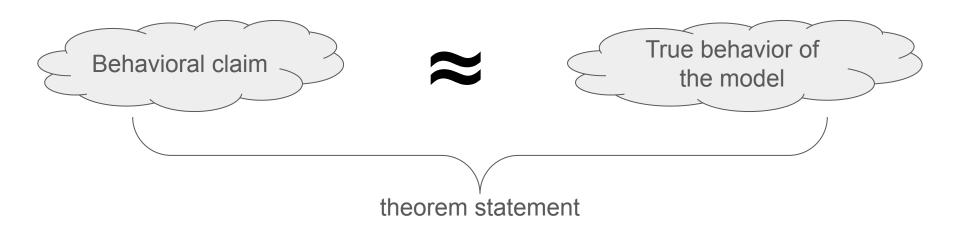
Compressing explanations

Compact Proofs of Model Performance via Mechanistic Interpretability

Jason Gross, Rajashree Agrawal, Thomas Kwa, Euan Ong, Chun Hei Yip, Alex Gibson, Soufiane Noubir, Lawrence Chan

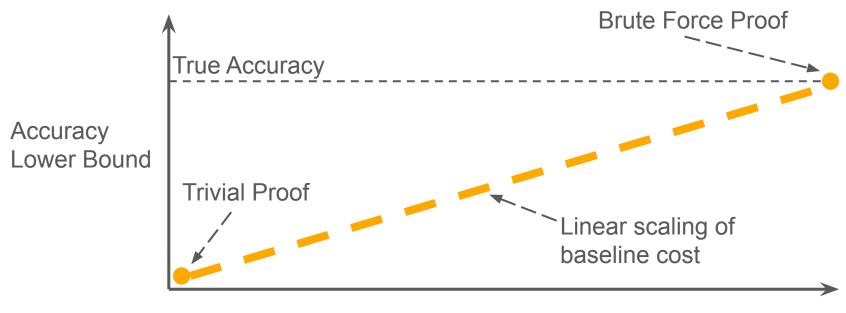
Formalizing proof length to quantify compression



Proof = sound computation of worst-case error

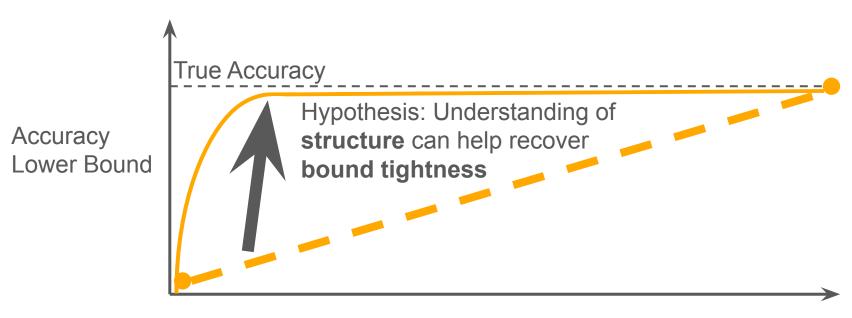
Length of proof = cost of running computation

Quantifying the compute-cost of explanations



FLOPs to Verify Proof

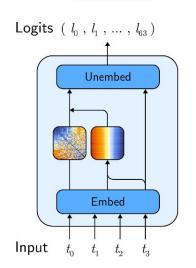
Does understanding improve upon the linear baseline?



FLOPs to Verify Proof

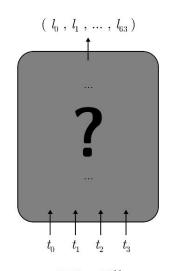
Proofs with varying mechanistic understanding

True Model



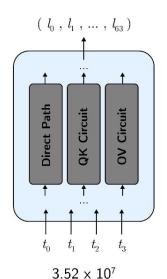
FLOPs Required: Accuracy Lower Bound: Effective Dimension: Asymptotic Complexity:

Brute Force Proof



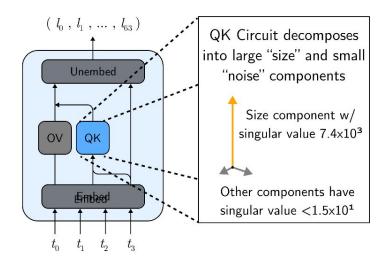
 8.76×10^{11} 99.92% 1.67×10^{7} $O(d_{\text{vocab}}^{\text{context}})$

Cubic Proof



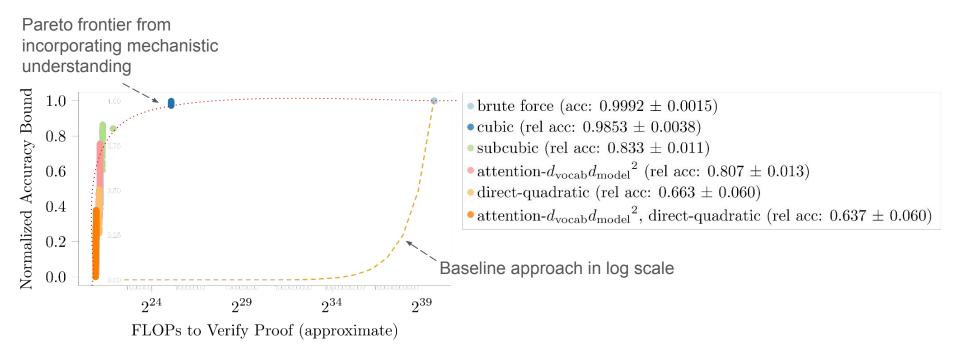
98.45% 1.28×10^{5} $O(d_{\text{vocab}}^{3} \cdot \text{context})$

Subcubic Proofs



 2.08×10^{6} 79.7% 1.03×10^{5} $O(d_{\text{vocab}} \cdot d_{\text{model}}^{2} \cdot \text{context})$

We found an empirical "pareto frontier"



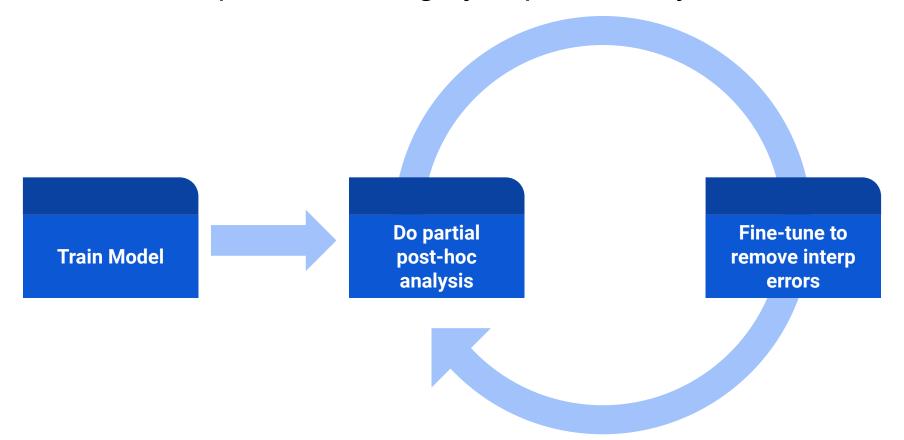
Puzzle: Why does more structure not always mean better bound?

Compounding errors from lack of structure



| Approximation Strategy | Result | Complexity |
|----------------------------|--------------|--|
| (exact) max row diff | ≈ 1.8 | $(\mathcal{O}({d_{\mathrm{vocab}}}^2 d_{\mathrm{model}}))$ |
| 2 · (max abs value) | ≈ 2.0 | $(\mathcal{O}({d_{\mathrm{vocab}}}^2 d_{\mathrm{model}}))$ |
| max row diff on subproduct | ≈ 5.7 | $(\mathcal{O}(d_{\mathrm{vocab}}d_{\mathrm{model}}^{2}))$ |
| recursive max row diff | ≈ 97 | $(\mathcal{O}(d_{	ext{vocab}}d_{	ext{model}}))$ |

Wanted: Compression of highly expressive systems



Check out our poster!

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