# Computational Higher Inductive Types Computing with Custom Equalities

Jason Gross jgross@mit.edu

MIT CSAIL Student Workshop

February 23, 2025

Warm Up: Linked Lists

Example: Unordered Sets Canonical Inhabitants Higher Inductive Types

Computing with Higher Inductive Types

Thank you

ightharpoonup Reflexivity: x = x

- ightharpoonup Reflexivity: x = x
- ▶ Symmetry: if x = y then y = x

- ightharpoonup Reflexivity: x = x
- ▶ Symmetry: if x = y then y = x
- ▶ Transitivity: if x = y and y = z, then x = z

- ightharpoonup Reflexivity: x = x
- ightharpoonup Symmetry: if x = y then y = x
- ▶ Transitivity: if x = y and y = z, then x = z
- ▶ Leibniz rule: if x = y, then f(x) = f(y)

► Two constructors:

► Two constructors: nil, or [], and cons

- ► Two constructors: nil, or [], and cons
- ► Two accessors on non-nil lists:

- ► Two constructors: nil, or [], and cons
- Two accessors on non-nil lists: head and tail

- ► Two constructors: nil, or [], and cons
- ► Two accessors on non-nil lists: head and tail
- Equality is defined on an element-by-element basis
  - **▶** [] = []
  - ightharpoonup  $[] 
    eq [a, \ldots]$
  - $\blacktriangleright [a,\ldots] \neq []$
  - $[x_0, x_1, \dots, x_n] = [y_0, y_1, \dots, y_m]$  iff  $[x_1, \dots, x_n] = [y_1, \dots, y_m]$  and  $x_0 = y_0$

- ► Two constructors: nil, or [], and cons
- Two accessors on non-nil lists: head and tail
- Equality is defined on an element-by-element basis
  - **▶** [] = []
  - ightharpoonup  $[] 
    eq [a, \ldots]$
  - $\triangleright [a,\ldots] \neq []$
  - $[x_0, x_1, \dots, x_n] = [y_0, y_1, \dots, y_m] \text{ iff } [x_1, \dots, x_n] = [y_1, \dots, y_m]$  and  $x_0 = y_0$
- Fairly easy to prove the properties of equality
  - ▶ In Coq, Agda, and Idris, you get all of these properties for free

ightharpoonup nil, or  $\emptyset$ 

- ▶ nil, or ∅
- ▶ add

- ightharpoonup nil, or  $\emptyset$
- add
- remove

- ▶ nil, or ∅
- add
- remove
- contains

- ▶ nil, or ∅
- add
- remove
- contains
- ▶ Often implemented internally as a list or a tree

- ▶ nil, or ∅
- add
- remove
- contains
- Often implemented internally as a list or a tree
- ► Equality is then implemented as "is one a permutation of the other?"

- ▶ nil, or ∅
- add
- remove
- contains
- Often implemented internally as a list or a tree
- Equality is then implemented as "is one a permutation of the other?"
- Fairly easy to prove that it's an equivalence relation

- ▶ nil, or ∅
- add
- remove
- contains
- Often implemented internally as a list or a tree
- Equality is then implemented as "is one a permutation of the other?"
- Fairly easy to prove that it's an equivalence relation
- Leibniz rule (if x = y, then f(x) = f(y)) is harder
- ▶ In Haskell, Agda, Coq, and Idris, the Leibniz rule is false!

- ▶ nil, or ∅
- add
- remove
- contains
- Often implemented internally as a list or a tree
- Equality is then implemented as "is one a permutation of the other?"
- Fairly easy to prove that it's an equivalence relation
- Leibniz rule (if x = y, then f(x) = f(y)) is harder
- In Haskell, Agda, Coq, and Idris, the Leibniz rule is false! (or at least not internally provable)

- ▶ nil, or ∅
- add
- remove
- contains
- Often implemented internally as a list or a tree
- Equality is then implemented as "is one a permutation of the other?"
- Fairly easy to prove that it's an equivalence relation
- Leibniz rule (if x = y, then f(x) = f(y)) is harder
- In Haskell, Agda, Coq, and Idris, the Leibniz rule is false! (or at least not internally provable)
  - The problem is that either you don't have private fields, or you can't make use of the fact that everything is defined in terms of your public methods.

Solution 1: Canonical Inhabitants

► Give up private fields, but use element-wise equality

- ► Give up private fields, but use element-wise equality
- Define a type of "sorted lists without duplication", and call them sets

- Give up private fields, but use element-wise equality
- Define a type of "sorted lists without duplication", and call them sets
- Now we can use element-wise equality, and get Leibniz (and other properties) for free

- Give up private fields, but use element-wise equality
- Define a type of "sorted lists without duplication", and call them sets
- Now we can use element-wise equality, and get Leibniz (and other properties) for free
- ▶ What if we don't have an ordering on the elements, only equality?

- Give up private fields, but use element-wise equality
- Define a type of "sorted lists without duplication", and call them sets
- Now we can use element-wise equality, and get Leibniz (and other properties) for free
- What if we don't have an ordering on the elements, only equality?
- ▶ Is this really what we wanted? We asked for unordered sets, and instead made sorted lists.

Solution 2: Higher Inductive Types

► Higher Inductive Types

- ▶ Higher Inductive Types
- ► Keep the built-in equality (so we get the properties for free), but turn it into equality up to permutation

- Higher Inductive Types
- ► Keep the built-in equality (so we get the properties for free), but turn it into equality up to permutation
- ▶ How do we get that it's an equivalence relation for free?

- Higher Inductive Types
- ► Keep the built-in equality (so we get the properties for free), but turn it into equality up to permutation
- ▶ How do we get that it's an equivalence relation for free?
  - ► Take the reflexive symmetric transitive closure of the given relation

- Higher Inductive Types
- ► Keep the built-in equality (so we get the properties for free), but turn it into equality up to permutation
- ▶ How do we get that it's an equivalence relation for free?
  - ► Take the reflexive symmetric transitive closure of the given relation
- ► How do we get Leibniz for free?

- ▶ Higher Inductive Types
- ► Keep the built-in equality (so we get the properties for free), but turn it into equality up to permutation
- ▶ How do we get that it's an equivalence relation for free?
  - Take the reflexive symmetric transitive closure of the given relation
- ► How do we get Leibniz for free?
  - Require proving it each time you define a particular function
  - To define a function that deals with unordered sets, you have to simultaneously prove that your function is invariant under permutations

▶ It seems simple enough, so what's the problem?

- It seems simple enough, so what's the problem?
- ▶ Having higher inductive types gives you functional extensionality (if f(x) = g(x) for all x, then f = g), which doesn't yet have a good computational interpretation in Coq nor Agda nor Idris

- It seems simple enough, so what's the problem?
- ▶ Having higher inductive types gives you functional extensionality (if f(x) = g(x) for all x, then f = g), which doesn't yet have a good computational interpretation in Coq nor Agda nor Idris
- ► Equality in Coq and Agda (--without-K) actually has a rich structure

- It seems simple enough, so what's the problem?
- Having higher inductive types gives you functional extensionality (if f(x) = g(x) for all x, then f = g), which doesn't yet have a good computational interpretation in Coq nor Agda nor Idris
- Equality in Coq and Agda (--without-K) actually has a rich structure
- If you look at proofs of equality, and equality of these proofs, and you iterate this process, you get enough math to do topology!

- It seems simple enough, so what's the problem?
- Having higher inductive types gives you functional extensionality (if f(x) = g(x) for all x, then f = g), which doesn't yet have a good computational interpretation in Coq nor Agda nor Idris
- Equality in Coq and Agda (--without-K) actually has a rich structure
- If you look at proofs of equality, and equality of these proofs, and you iterate this process, you get enough math to do topology!
- ► This is Homotopy Type Theory

#### Thank you

# Thanks!

## Thank you

Thanks!

Questions?

Solution 3: Parametricity

▶ Make use of the fact that private fields are private

Solution 3: Parametricity

- ▶ Make use of the fact that private fields are private
- Very hard to do!

Solution 3: Parametricity

- Make use of the fact that private fields are private
- Very hard to do!
- ► Can probably be done by way of parametricity (aka "theorems for free"), or a generalization of it

Solution 3: Parametricity

- ▶ Make use of the fact that private fields are private
- Very hard to do!
- ► Can probably be done by way of parametricity (aka "theorems for free"), or a generalization of it
- Parametricity can be given a computational interpretation, but it's very non-trivial to do so