#### 1.1 Paradox

A declarative statement that cannot be true and cannot be false.

# 1.2 Compact definition

A natural number n has a compact definition if there is an English sentence of at most 200 characters that uniquely define n.

# 1.3 Proposition

A declarative sentence that is either true or false in some context

# 1.4 Atomic proposition (atom)

A proposition that cannot be broken down into smaller propositions. A proposition that is not atomic is called **compound** 

### 1.5 Unique Readability of Propositional Formulas

For every propositional formula in Form( $\mathcal{L}^p$ ), A is exactly an atom,  $(\neg B)$ ,  $(B \land C)$ ,  $(B \lor C)$ ,  $(B \lor C)$  or  $(B \leftrightarrow C)$  and in each case A is formed in exactly one way.

### 1.6 Proper Initial Segment

A proper initial segment of A is a non empty expression X such that A is XY for some non empty expression Y

#### 1.7 Unique Readability Theorem

- The first symbol of A is either (or a variable.
- A has an equal number of (and) and each proper initial segment of A has more (than)
- A has a unique construction as a formula.

#### 1.8 Order of Precedence

- 1. ¬
- $2. \wedge$
- 3. V
- $4. \rightarrow$
- $5. \leftrightarrow$

#### 2.1 Truth Valuation

A truth valuation, t, is a function from propositional symbols to  $\{0,1\}$ .  $p^t$  denotes the value of p under t.

$$t:P\to\{0,1\}$$

where P is the set of available propositional symbols

# 2.2 Satisfied

C is satisfied under t if  $C^t = 1$  and not satisfied if  $C^t = 0$ 

# 2.3 Tautologically Equivalent

If  $A^t = B^t$  for every truth valuation, t.  $(A \models B)$ 

# 2.4 Tautology

It is a tautology or a valid formula if  $C^t = 1$  for every truth valuation t.

#### 2.5 Satisfiable

A propositional formula C is satisfiable if  $C^t = 1$  for some truth valuation t.

### 2.6 Contradiction / Not Satisfiable

If every truth valuation  $C^t = 0$ 

#### 2.7 Set Satisfiable

t satisfies a set  $\sum$  if for every  $C \in \sum$ ,  $C^t = 1$ . If there exist  $C \in \sum$  such that  $C^t = 0$ , t does not satisfy  $\sum (\sum^t = 0)$ 

### 2.8 Tautologically Implies

 $\sum$  tautologically implies a propositional formula  $C(\sum \models C)$  if whether  $\sum^t = 1$  for some truth valuation t, we also have  $C^t = 1$ . We say that C is a **tautological consequence** of  $\sum$ 

# 3.1 Replaciblity Theorem

Assume  $B \models C$ , and let A' be the formula obtained by replacing some of A with occurences of B with formula C. Then  $A' \models A$ .

# 3.2 Duality Theorem

Suppose A is a formula formed with only atoms,  $\neg$ ,  $\wedge$ ,  $\vee$ . Suppose  $\Delta(A)$  replaces A with all occurrences of  $\wedge$  with  $\vee$  and  $\vee$  with  $\wedge$ , as well as each atom with its negation. Then  $\neg A \models = \Delta(A)$ 

#### 3.3 Literal

Either a propositional symbol, or negation of a propositional symbol.

# 3.4 Disjuntive Clause

$$(p \lor (\neg q) \lor r)$$

# 3.5 Conjunctive Clause

$$((\neg p) \land q \land (\neg r))$$

# 3.6 Disjunctive Normal Form (DNF)

$$(A \wedge \cdots \wedge B) \vee \cdots \vee (C \wedge \cdots \wedge D)$$

# 3.7 Conjunctive Normal Form (CNF)

$$(A \lor \cdots \lor B) \land \cdots \land (C \lor \cdots \lor D)$$

### 4.1 Arity

The number of inputs the function takes. 1-ary function  $(\neg)$  are called **unary** 2-ary function  $(\land, \lor \dots)$  are called **binary** Arity n function:  $f: \{0,1\}^n \to \{0,1\}$ 

# 4.2 Adequate for Propositional Logic

If every propositional connectives can be implemented using the connectives in S.

# 4.3 Theorems for Propositional Logic

The set  $\{\land, \lor, \neg\}$  is an adequate set of connectives for propositional logic. Same for the sets  $\{\land, \neg\}, \{\lor, \neg\}, \{\rightarrow, \neg\}.$ 

# 4.4 Dead Code

Code that is never executed.

# 5.1 Formula Deduction Proof

It is a sequence of lines of the form  $\sum \vdash A$  ( $\sum$  proves A) for some set  $\sum$  and formula A.

# 5.2 Soundness of Propositional Formula Deduction

$$\sum \vdash C \implies \sum \vDash C$$

# 5.3 Consistent

We call  $\sum$  consistent

- If for every propositional formula A, if  $\sum \vdash A$  then  $\sum \not\vdash (\neg A)$  or,
- If there exists formula B such that  $\sum \not\vdash B$

# 5.4 Inconsistent

We call  $\sum$  inconsistent if it is not consistent, so if  $\sum$  is inconsistent, for every propositional formula C.

$$\sum \vdash C, \sum \vdash (\neg C)$$

# 5.5 Lemma

$$\sum \vDash A \iff \sum \cup \{(\neg A)\} \text{ is unsatisfiable}.$$

$$\sum \vdash A \iff \sum \cup \{(\neg A)\} \text{ is inconsistent.}$$

# 5.6 Completeness of Propositional Formula Deduction

$$\sum \vDash A \implies \sum \vdash A$$

If  $\sum$  is consistent, then it is satisfiable.

If  $\sum$  is satisfiable, then it is consistent.

# 6.1 Refutation System

In a **refutation system** to prove the argument  $A_1, \ldots A_n \models C$  is valid, we show that the set

$$\{A_1,\ldots,A_n,\neg C\}$$

is not satisfiable or inconsistent.

We show that  $\{A_1, \ldots, A_n, \neg C\}$  can formally prove both B and  $\neg B$  for some formula B.

## 6.2 Resolution

$$C \lor p, D \lor \neg p \vdash_r C \lor D$$

 $C \lor p, D \lor \neg p$  are **parent clauses**, we say we are resolving two parent clauses over p.  $C \lor D$  is the **resolvent**.

The resolvent of p and  $\neg p$  is called the empty clause  $(\bot)$ 

$$p, \neg p \vdash_r \bot$$

A (resolution) derivation from a set of clauses S is a finite sequence of clauses such that each clause is either in S or results from previous clauses in the sequence by resolution.

#### Remarks:

- Clauses can only be resolved iff they contain two complementary literals  $(p, \neg p)$
- The empty clause  $\perp$  is not satisfiable.

#### 6.3 Possible outcomes from Resolution Refutation

- 1. Arrive at empty clause  $(\bot)$ . (not satisfiable, by soundness, implies the original clause is not satisfiable. Hence, the original argument is valid)
- 2. Resolve everything possible without obtaining  $\perp$ . This suggests starting clause might be satisfiable. Hence, the original argument was not valid. Confirm by finding a satisfiable truth valuation for the set.

#### 6.4 Soundness of Resolution

This resolvent is tautologically implied by its parent clause, resolving a sound rule of formal deduction.

Corollary: Resolution preserves the satisfiability of the set at every step.

# 6.5 Set of Support strategy

- 1. Partition all clauses into 2 sets, the set of support (negation of conclusion) and auxiliary set (premise)
- 2. Auxiliary set is formed in a way that formulas in it are **not contradictory**. The contradiction will only arise when one adds the negation of the conclusion.
- 3. Resolve clauses within the auxiliary set to avoid contradiction.
- 4. Rule: every resolution step must use at least one formula from the set of support.
- 5. Resolvent is added to the set of support
- 6. **Theorem:** resolution with the set of support strategy is complete.

#### 6.6 David-Putnam Procedure

- Any clause corresponds to a set of literals. e.g.  $p \vee \neg q \vee r$  corresponds to  $\{p, \neg q, r\}$
- Orders of disjunction is irrelevant, and duplicates don't matter, the set associated with the clause determines the clause
- We treat clauses as sets, allows one to speak of union of two clauses
- If clauses are repesented as sets, we write the resolvents on p

$$(C \cup \{p\}) \cup (D \cup \{\neg p\} \setminus \{p, \neg p\})$$

• By defn,  $\{p\}$  and  $\{\neg\}$  is empty clause

$$C = (A \cup B) \setminus \{p, \neg p\}$$

#### 7.1 Satisfiable

We say v satisfies  $\Sigma$  ( $\Sigma^v = 1$ ) iff only  $A^v = 1$  for every formula  $A \in \Sigma$ . Otherwise v does not satisfy  $\Sigma$ 

# 7.2 Logically Implies

We say  $\Sigma$  logically implies C ( $\Sigma \models C$  if and only if for every valuation v we have

$$\Sigma^v = 1 \implies C^v = 1$$

**Remark:**  $\varnothing$  is still satisfied under any valuation. Hence  $\Sigma \vDash C$  C must be valid.

#### 7.3 Formal Deduction Rules

Proofs in First-Order formal deduction are 100% syntactic, 0% symenatic. All the proof rules for proposition logic would work the same.

- 1.  $(\forall -)$ : If  $\Sigma \vdash \forall x A(x)$ , then  $\Sigma \vdash A(t)$  for any term t
- 2.  $(\forall +)$ : If  $\Sigma \vdash A(u)$ , u not occurring in  $\Sigma$ , then  $\Sigma \vdash \forall x A(x)$
- 3.  $(\exists -)$ : If  $\Sigma, A(u) \vdash B$ , u not occurring in  $\Sigma$  or B, then  $\Sigma, \exists x A(x) \vdash B$
- 4.  $(\exists +)$ : If  $\Sigma \vdash A(t)$ , then  $\Sigma \vdash \exists x A(x)$  where A(x) results by replacing some but not all occurrences of t in A(t) by x
- 5.  $(\sim -)$ : If  $\Sigma \vdash A(t_1), \Sigma \vdash t_1 \sim t_2$ , then  $\Sigma \vdash A(t_2)$  where  $A(t_2)$  results by replacing some but not all occurrences of  $t_1$  in  $A(t_1)$  by  $t_2$
- 6.  $(\sim +)$ :  $\varnothing \vdash u \sim u$

**Remark:** to carefully define  $\forall +$  rule, we need to prove our formula A holds for an arbitrary element u with **no assumptions about u** 

#### 7.4 Replacement of Equivalent Formulas

Let  $A, B, C \in \text{Form}(\mathcal{L})$  with  $B \vdash \dashv C$ . Let A' results from A by substituting some occurrences of B by C. Then

$$A' \vdash \dashv A$$

# 7.5 Completion

Suppose A is a formula composed of atoms of  $\mathcal{L}$ , the connectives  $\neg, \lor, \land$  and the quantifiers  $\forall, \neg$ . A' is the formula by exchanging  $\land, \lor, \exists$  and  $\forall$  and negating all atoms. Then  $A' \vdash \neg A$ 

#### 8.1 Sound

Formal Deduction for First-Order logic is **sound** if whenever  $\Sigma \vdash A$ ,  $\Sigma \vDash A$ 

# 8.2 Sound Rules

 $\forall -, \exists +, \forall +, \forall -, \sim +, \sim - \text{ is sound.}$ 

### 8.3 Completeness

$$\Sigma \vDash A \implies \Sigma \vdash A$$

#### 8.4 Formal Deduction

Everything in sight is quietly  $\forall$  quantified. For  $\exists$ ,

- If at the front, replace y something(y) by something(a) (Skolem Constant)
- If followed by one or more  $\forall$  quantifiers  $\forall x \exists y \text{ something}(x) \text{ lol}(y) \text{ by } \forall x \text{ something}(x) \text{ lol}(f(x))$  (Skolem function)

# 8.5 Prenex Normal Form (PNF)

It is in PNF if it is of form

$$Q_1x_1Q_2x_2\dots Q_nx_n$$
(prefix)  $B$ 

where  $n \geq 1$   $Q_i$  is  $\forall$  or  $\exists$  for  $(1 \leq i \leq n)$  and B is quantifier-free.

**Remark:** If n = 0, (no quantifiers) then the formula is trivially in PNF.

### 8.6 Replacability of bound variable symbols

$$QxB(x) \models QyB(y), Q \in \{\forall, \exists\}$$

# 9.1 Algorithm

An algorithm is a finite sequence of well-defined, computer-implementable instructions to solve a class of problems or perform a computation. An algorithm solves a problem if for every input the algorithm produces the correct output.

e.g. An algorithm decide if a formula in the language of first-order logic is universally valid must output the correct answer (yes / no) for every input formula.

#### 9.2 Decidable

A decision problem is **decidable** if there is an algorithm that given an input

- outputs yes (1) if the input has answer yes
- outputs no (0) if the input has answer no

A decision problem is undecidable if the algorithm exists to give the correct yes/no answer for every input.

**Remark:** An algorithm must always complete after finitely many steps.

### 9.3 Membership Problem

Let  $S \subseteq \mathbb{N}$  be any subset. The S-Membership Problem asks for an arbitrary  $x \in \mathbb{N}$ , is  $x \in S$ ?

#### 9.4 Decidable

A set  $S \subseteq \mathbb{N}$  is called decidable if the S-membership problem is decidable.

#### 9.5 Halting Problem

The Halting Problem is **undecidable**. It cannot be solved even assuming unlimited time and space. It states the following:

- Input: A program P and an input I to the program
- $\bullet$  Output: "Yes" if the program halts on input I and "No" otherwise

#### 9.6 Halting

Let M be a Turing Machine and let w be an input.

To say that M halts on w means that, while processing w, M reaches a configuration in which no transition is defined for its current state and current tape symbol

Otherwise, it means that M runs forever.

#### 9.7 Reduction

Suppose we have two decision problems  $P_1, P_2$ 

Suppose we also have algorithm A that transports inputs for  $P_1$  into inputs for instances of  $P_2$  such that

- 1. Yes instances of  $P_1$  gets mapped to yes instances of  $P_2$
- 2. No instances of  $P_1$  gets mapped to no instances of  $P_2$
- 3. the Algorithm A always take finite time

Then A reduces  $P_1$  to  $P_2$  and that A is a reduction from  $P_1$  to  $P_2$ 

## 9.8 Reduction and Decidability

If there is a reduction from  $P_1$  to  $P_2$  then

- If  $P_1$  is undecidable, then  $P_2$  is also undecidable
- If  $P_2$  is decidable, then  $P_1$  is also decidable

# 9.9 Turning Machines

A Turning Machine  $T = (S, I, f, s_0)$  consist of

- S: a finite set of **states** of the finite control unit
- I: a finite set of **tape symbols** containing a **blank symbol**, B (The tape is indefinitely long in both directions. A finite number of cells are filled with non-B content to start; all the rest are filled with B symbols.
- $s_0 \in S$  is the start state.
- $f: S \times I \to S \times I \times \{L, R\}$  is the **transition function** where L: left and R: right

If f(s,x) = (s',x'D) then in state s, reading tape symbol x the turning machine will

- change its state to s'
- write the symbol x' in the current cell, overwriting x
- moving the tape one cell to the right if D = R or left if D = L

If the (partial) function f is undefined for the pair (s,x) (f need not be total), then the Turing Machine T will halt.

# 9.10 Final State

Any state  $s_f \in S$  that is not the first state in any five-tuple in the description of T using five-tuples  $(s_f \text{ has no outgoing transitions})$ 

# 9.11 Outcomes of Turing Machines

- 1. Run Forever: the TM does not accept the input word
- 2. Halt(i.e. no transition defined for current state, tape symbol)
  - halting state is **final**: the TM accepts the input word
  - halting state is **not final**: the TM rejects the input word

# 9.12 Church-Turing Thesis

Any problem can be solved with an algorithm can be solved by a Turing Machine

# 10.1 Properties of Equality

- Reflexivity  $(\varnothing \vdash \forall x (x \approx x))$
- Symmetry  $(\varnothing \vdash \forall x \forall y (x \approx y \rightarrow y \approx x))$
- Transitivity  $(\varnothing \vdash \forall x \forall y \forall z ((x \approx y \land y \approx z) \rightarrow x \approx z))$

Therefore  $\approx$  is an equivlance relation.

# 10.2 EQSubs

Let r(u) be a term that contains u as a free variable and let  $t_1, t_2$  be terms. Let  $r(t_i)$  denote r where all instances of u have been replaced by  $t_i$ . For any set of  $\Sigma$  of first-order logic formulas, we have that  $\Sigma \vdash t_1 \approx t_2$  implies  $\Sigma \vdash r(t_1) \approx r(t_2)$ 

**EQTrans(k):** Let  $k \geq 1$  be a positive integer and  $\Sigma$  be a set of first-order logic formulas and  $t_1, t_2, \ldots, t_{k+1}$  be terms. If  $\Sigma \vdash t_1 \approx t_{i+1}$  for all  $1 \leq i \leq k$  then  $\Sigma \vdash t_1 \approx t_{k+1}$ 

#### 10.3 Peano Arithmetic

- Fix the domain as N the natural numbers
- Interpret symbol 0 as zero and the unary symbol s as successor (s(n) = n + 1)
- $\mathbb{N} = \{0, s(0), s(s(0)), s(s(s(0))), \dots\}$

#### Properties:

- 1. Zero is not a successor  $\forall x \neg (s(x) \approx 0)$
- 2. Nothing has two predecessors  $\forall x \forall y (s(x) \approx s(y) \rightarrow x \approx y)$
- 3. Adding zero to any number yields same number  $\forall (x+0 \approx 0)$
- 4. Adding a successor yields the successor of adding the number  $\forall x \forall y (x + s(y) \approx s(x + y))$
- 5. Multiplying by zero yields zero  $\forall x(x \times 0 \approx 0)$
- 6. Multiplication by a successor  $\forall x \forall y (x \times s(y) \approx (x \times y) + x)$
- 7.  $(A(0) \land \forall x (A(x) \to A(s(x)))) \to \forall x A(x)$

#### 10.4 PA Theorem 1

No natural number equals its successor

$$\varnothing \vdash_{PA} \forall x (\neg(s(x) \approx x))$$

# 10.5 PA Commutative

$$\varnothing \vdash_{PA} \forall x \forall y (x + y \approx y + x)$$

Lemma:

$$\varnothing \vdash_{PA} \forall y (0 + y \approx y + 0)$$

**Lemma 2:** For each free variable u

$$\{\forall y(u+y\approx y+u)\}\vdash_{PA} \forall y(s(u)+y\approx y+s(u))$$

### 10.6 PA Associative

$$\varnothing \vdash_{PA} \forall x \forall y \forall z ((x+y) + z \approx x + (y+z))$$

#### 10.7 Axiom for Induction

For  $n \in \mathbb{N}$ , let P(n) denote that n has the property P

Base Case:

Prove that P(0) is true

**Inductive Steps:** 

Assume P(k) is true for every  $k \in \mathbb{N}$ . Prove that P(k+1) or s(k) is true.

By POMI, P(n) is true for every  $n \in \mathbb{N}$ . Expressing this in predicate logic

$$(P(0) \land \forall (P(x) \rightarrow P(s(x)))) \rightarrow \forall x P(x)$$

### 10.8 Soundness

PA is sound in  $\mathbb{N}$ 

#### 10.9 Completeness

PA is not complete in  $\mathbb{N}$ . Godel's Incompleteness Theorem tells us that no axiomatization of the arithmetic of  $\mathbb{N}$  can be both consistent and complete. Therefore, for any maximization of arithmetic of  $\mathbb{N}$  either some provable statements aren't true or some true statements are not provable.

# 11.1 Hoare Triple

A **Hoare Triple** is a triple (|P|)C(|Q|) composed of

- ullet P a precondition a First-Order formula
- $\bullet$  C some code
- Q a postcondition, another First-Order formula

It is satisfied under **partial correctness** if whenever execution starts in a **state** satisfying precondition P and terminates it follows that the **state** after execution satisfies postcondition Q

# 11.2 Specification

A specification of a program C is a Hoare triple with C as its middle element.

#### 11.3 State

The **state** of a program at a given moment is the list of the values of each of its variables at that moment.

#### 11.4 Total Correctness

A Hoare Triple (|P|)C(|Q|) is satisfied under total correctness (a.k.a. totally correct) if it is satisfied under partial correctness and whenever C starts in a state obeying P, it follows that C terminates.

Total Correctness = Partial Correctness + termination

# 11.5 Rules for Program Annotations

Rule of Precondition Strengthening

$$\frac{P \rightarrow P' \ (|P'|)C(|Q|)}{(|P|)C(|Q|)}$$

Rule of Postcondition Weakening

$$\frac{(|P|)C(|Q'|) \ \ Q' \rightarrow Q}{(|P|)C(|Q|)}$$

Composition

$$\frac{(|P|)C_1(|Q|), (|Q|)C_2(|R|)}{(|P|)C_1; C_2(|R|)}$$

Requires us to find the **midcondition** Q. It usually arises from applying assignment rules. The Assignment Rule is

$$(|Q[E/x]) x = E; (|Q|)$$

where Q[E/x] denotes a copy of Q with all free xs replaced by Es. It has no premises and is therefore an axiom.

### 11.6 Conditionals

If-then-else

$$\frac{(|P \wedge B|)C_1(|Q|) \ (|P \wedge \neg B|)C_2(|Q|)}{(|P|) \text{ if } (B) \ C_1 \text{ else } C_2(|Q|)}$$

If-then

$$\frac{(|P \wedge B|)C(|Q|) \ (P \wedge \neg B) \to Q}{(|P|) \ \mathrm{if}(B)C(|Q|)}$$

# 11.7 Loops

Partial while: do not yet require termination

$$\frac{(|I \wedge B|)C(|I|)}{(|I|) \operatorname{while}(B)C(|I \wedge \neg B|)}$$

where I is a loop invariant (A formula expressing a relationship between program variables s.t.

- $\bullet$  I holds before we execute the loop for the first time
- ullet I holds after number of iterations of the loop code C

# 12 Week 12

Did not include Program Verification Notes