

JIAXIANG LI

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ACADEMIC EMPLOYMENT

University of Minnesota

Postdoctoral Associate, Department of Electrical and Computer Engineering
Advisor: Mingyi Hong

Minneapolis, MN
2024–Now

EDUCATION

University of California, Davis

Ph.D. in Applied Mathematics, GPA: 3.97/4.00
Advisors: Shiqian Ma and Krishnakumar Balasubramanian

Davis, CA
2018–2023

Zhejiang University

B.S. in Mathematics, GPA: 3.88/4.00

Hangzhou, China
2014–2018

RESEARCH INTEREST

My research interests lie at the optimization problems arising in machine learning, operations research and other applications. Specifically, I am working on the following topics:

- Computational complexities for gradient-based, gradient-free (zeroth-order) and primal-dual algorithms for solving nonconvex optimizations and optimization on Riemannian manifolds.
- Convergence theory for deterministic and stochastic minimax/bilevel problems with applications in operations research and machine learning.
- Distributed optimization algorithms, including decentralized and federated learning.
- Theories for reinforcement learning algorithms, especially for multi-armed bandits and convergence of policy-based methods, and their applications in large language model (LLM) alignments.
- Theory and computation of supervised and unsupervised learning in general.

INDUSTRY EXPERIENCE

Amazon.com, Inc.

Applied Scientist Intern

Seattle, WA
June 2023–Sept 2023

- Amazon Prime Science ML Team
- Built customer-prime service engagement history aggregation model with 1.2% offline evaluation gain
- Developed data pipeline for engagement history features using Pyspark and AWS services
- Built customer-prime service engagement trajectory model using seq2seq modeling and Attention networks

Meta Platforms, Inc.

Software Engineer Intern, Machine Learning

Menlo Park, CA
June 2022–Sept 2022

- Ads Core Machine Learning ENG Team
- Built reinforcement learning based Slate-Q long-term value optimization for Ads recommender in Facebook
- Designed large-scale data pipeline and managed data from industry-level databases using SQL and Hadoop Hive
- Initiated and conduct large-scale deep Q-networks training in PyTorch

HONORS AND AWARDS

- INFORMS Computing Society Prize 2024
- INFORMS Optimization Conference Travel Award 2024
- Graduate Student Fellowship at UC Davis 2018–2019, 2022–2023

PUBLICATIONS AND PREPRINTS

- [1] X. Zhang, C. Li, S. Zeng, **J. Li**, Z. Wang, S. Lu, A. Garcia, and M. Hong, “Reinforcement Learning in Inference Time: A Perspective from Successive Policy Iterations”, in *ICLR 2025 Workshop on Reasoning and Planning for Large Language Models*.
- [2] C. Li, S. Zeng, Z. Liao, **J. Li**, D. Kang, A. Garcia, and M. Hong, “Joint reward and policy learning with demonstrations and human feedback improves alignment”, in *The Thirteenth International Conference on Learning Representations (ICLR, Spotlight)*, 2025.
- [3] **J. Li** and S. Ma, “Riemannian bilevel optimization”, *Journal of Machine Learning Research*, vol. 26, no. 18, pp. 1–44, 2025.
- [4] A. Han, **J. Li**, W. Huang, M. Hong, A. Takeda, P. Jawanpuria, and B. Mishra, “SLTrain: A sparse plus low-rank approach for parameter and memory efficient pretraining”, in *Thirty-Eighth Annual Conference on Neural Information Processing Systems (NeurIPS)*, 2024.
- [5] X. Jiang, **J. Li**, M. Hong, and S. Zhang, “Barrier function for bilevel optimization with coupled lower-level constraints: Formulation, approximation and algorithms”, *arXiv preprint arXiv:2410.10670 (Submitted to Mathematical Programming)*, 2024.
- [6] **J. Li**, K. Balasubramanian, and S. Ma, “Zeroth-order Riemannian averaging stochastic approximation algorithms”, *SIAM Journal on Optimization*, vol. 34, no. 4, pp. 3314–3341, 2024.
- [7] **J. Li**, X. Chen, S. Ma, and M. Hong, “Problem-Parameter-Free Decentralized Nonconvex Stochastic Optimization”, *Pacific Journal on Optimization (Accepted)*, *arXiv:2402.08821*, 2024.
- [8] **J. Li**, S. Ma, and T. Srivastava, “A Riemannian Alternating Direction Method of Multipliers”, *Mathematics of Operations Research (Accepted)*, *arXiv:2211.02163*, 2024.
- [9] **J. Li**, S. Zeng, H.-T. Wai, C. Li, A. Garcia, and M. Hong, “Getting More Juice Out of the SFT Data: Reward Learning from Human Demonstration Improves SFT for LLM Alignment”, in *Thirty-Eighth Annual Conference on Neural Information Processing Systems (NeurIPS)*, 2024.
- [10] X. Zhang, S. Zeng, **J. Li**, K. Lin, and M. Hong, “Policy optimization can be memory-efficient: LLM Alignment Through Successive Policy Re-weighting (SPR)”, in *NeurIPS 2024 Workshop on Fine-Tuning in Modern Machine Learning: Principles and Scalability*, 2024.
- [11] Y. Zhang, P. Li, J. Hong, **J. Li**, Y. Zhang, W. Zheng, P.-Y. Chen, J. D. Lee, W. Yin, M. Hong, Z. Wang, S. Liu, and T. Chen, “Revisiting Zeroth-Order Optimization for Memory-Efficient LLM Fine-Tuning: A Benchmark”, in *Proceedings of the 41st International Conference on Machine Learning (ICML)*, vol. 235, PMLR, 2024, pp. 59 173–59 190.
- [12] **J. Li**, K. Balasubramanian, and S. Ma, “Stochastic Zeroth-order Riemannian Derivative Estimation and Optimization”, *Mathematics of Operations Research*, vol. 48, no. 2, pp. 1183–1211, 2023.
- [13] **J. Li** and S. Ma, “Federated Learning on Riemannian Manifolds”, *Appl. Set-Valued Anal. Optim.* 5 (2023), 213–232, 2023.

FUNDINGS

- I am a **Co-PI** of NSF grant ECCS-2426064. Prof. Mingyi Hong is the PI.

TEACHING

- **Lecturer** at University of Minnesota
 - EE5239 Introduction to Nonlinear Optimization (Jointly teaching with Prof. Mingyi Hong)

- **Teaching Assistant** at UC Davis
 - MAT 127 Real Analysis
 - MAT 168 Optimization
 - MAT 170 Math in Data Science
 - MAT 21 ABCD Calculus Series
 - MAT 17 ABC Calculus for Biology Series

TALKS

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| • INFORMS Annual meeting, session speaker
Topic: A Riemannian ADMM | October 2024 |
| • INFORMS Optimization Society Conference, session speaker
Topic: Zeroth order moving average methods on manifolds | March 2024 |
| • INFORMS Optimization Society Conference, session speaker
Topic: Zeroth order optimization on Riemannian manifolds | March 2022 |
| • Modeling and Optimization: Theory and Applications (MOPTA), session speaker
Topic: Zeroth order optimization on Riemannian manifolds | August 2021 |
| • SIAM Conference on Optimization, session speaker
Topic: Zeroth order optimization on Riemannian manifolds | July 2021 |

ACADEMIC SERVICES

- Journal Reviewer
INFORMS Journal on Computing, Information and Inference: A Journal of the IMA, Journal of Machine Learning Research, Computational Optimization and Applications, Journal of Scientific Computing, Journal of Global Optimization
- Conference Reviewer
AISTATS (2021, 2022, 2023), ICML (2022, 2024), NeurIPS (2023, 2024), ICLR (2023, 2024), AAAI (2024)

SKILLS AND TOOLS

- Coursework highlights: Probability Theory, Numerical Linear Algebra, Numerical and Combinatorial Optimization, High-dimensional Statistics, Machine Learning, Natural Language Processing, Data Structure, Database
- C/++, Python (PyTorch, TensorFlow, Numpy, Scipy, Sklearn, Pandas, PySpark, etc.), AWS, MATLAB, R, SQL, Git, Linux, \LaTeX , etc.