



# Specification and Verification

## Lecture 4: Omega regular-languages and omega automata

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# TL;DR: This lecture in short

## What are they? Why study them?

Omega automata recognize infinite-word languages; they allow us to express languages of *infinite* words (e.g.,  $\text{Words}(\varphi)$ ) using a *finite* automaton

## Main references

- Christel Baier, Joost-Pieter Katoen: **Principles of Model Checking**. MIT Press 2018.
- Mickael Randour: Verification course @ UMONS.

# Required and target competences

## What tools do we need?

Discrete maths, formal language theory

## What skills will we obtain?

- theory: we introduce the automata-theoretic approach to specifying and model checking systems
- practice: the main model checking tool comes from formal language theory (emptiness checks)

## How will these skills be useful?

Model checking and synthesis of reactive systems is mostly automata and game based

1 Automata for finite-word languages

2 Omega-regular languages

3 Büchi automata: Automata for infinite-word languages

4 Büchi automata: language emptiness

5 Büchi automata: variants

# Finite-state automata: reminder

Automata describing languages of *finite* words.

## Definition: non-deterministic finite-state automaton (NFA)

Tuple  $\mathcal{A} = (Q, A, \delta, I, F)$  with

- $Q$  a finite set of states,
- $A$  a finite alphabet,
- $\delta: Q \times A \rightarrow 2^Q$  a transition function,
- $I \subseteq Q$  a set of initial states,
- $F \subseteq Q$  a set of accepting (or final) states.

# Finite-state automata: example



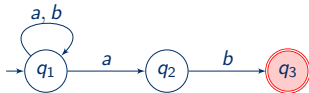
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- Language?
  - Finite word  $w = a_0 a_1 \dots a_n \in A^*$
  - A run of  $\mathcal{A}$  on  $w$  is a sequence  $q_0 q_1 \dots q_{n+1}$  such that  $q_0 \in I$  and for all  $0 \leq i \leq n$ ,  $q_{i+1} \in \delta(q_i, a_i)$
  - $w \in \mathcal{L}(\mathcal{A})$  if there exists a run  $q_0 q_1 \dots q_{n+1}$  for  $w$  such that  $q_{n+1} \in F$

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- $\hookrightarrow$  Here,  $\mathcal{L}(\mathcal{A}) = (a \mid b)^* a b$ , i.e., all words ending by “ab”

# NFAs & regular expressions

Recall that NFAs correspond to **regular languages**, which can be described by *regular expressions*.

## Syntax

Regular expressions over letters  $A \in A$  are formed by

$$E ::= \emptyset \mid \varepsilon \mid A \mid E + E' \mid E \cdot E' \mid E^*$$

## Semantics

For regular expression  $E$ , language  $\mathcal{L}(E) \subseteq A^*$  obtained by

$$\begin{aligned}\mathcal{L}(\emptyset) &= \mathcal{L}(E \cdot \emptyset) = \emptyset, \mathcal{L}(\varepsilon) = \{\varepsilon\}, \mathcal{L}(A) = \{A\}, \mathcal{L}(E^*) = \mathcal{L}(E)^*, \\ \mathcal{L}(E + E') &= \mathcal{L}(E) \cup \mathcal{L}(E'), \mathcal{L}(E \cdot E') = \mathcal{L}(E) \cdot \mathcal{L}(E')\end{aligned}$$

**Syntactic sugar:** we often write  $E \mid E'$  for  $E + E'$ ,  $E^+$  for  $E \cdot E^*$  and we drop the concatenation operator, i.e.,  $EE'$  instead of  $E \cdot E'$ .

# Finite-state automata: DFAs vs. NFAs

## Expressiveness

Deterministic FAs (DFAs) are *expressively equivalent* to NFAs, i.e., for any NFA, there exists a DFA recognizing the same language.

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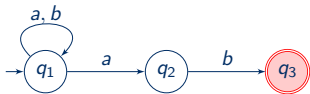
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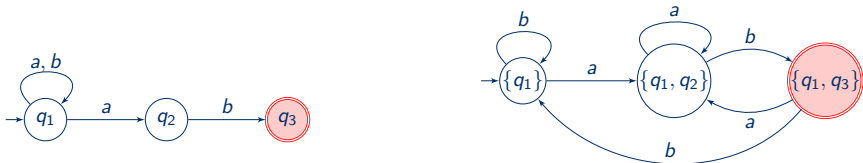
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# $\omega$ -regular languages

Intuitively, extension of regular languages to *infinite* words.

## Syntax

An  $\omega$ -regular expression  $G$  over  $A$  has the form

$$G = E_1 \cdot F_1^\omega + \dots + E_n \cdot F_n^\omega \text{ for } n > 0$$

where  $E_i, F_i$  are regular expressions over  $A$  with  $\varepsilon \notin \mathcal{L}(F_i)$ .

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## Semantics

For  $\mathcal{L} \subseteq A^*$ , let  $\mathcal{L}^\omega = \{w_1 w_2 w_3 \dots \mid \forall i \geq 1, w_i \in \mathcal{L}\}$

For  $G = E_1 \cdot F_1^\omega + \dots + E_n \cdot F_n^\omega$ ,  $\mathcal{L}(G) \subseteq A^\omega$  is given by

$$\mathcal{L}(G) = \mathcal{L}(E_1) \cdot \mathcal{L}(F_1)^\omega \cup \dots \cup \mathcal{L}(E_n) \cdot \mathcal{L}(F_n)^\omega.$$

## $\omega$ -regular languages: examples

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## Properties of $\omega$ -regular languages

They are *closed* under union, intersection and complementation.

# $\omega$ -regular languages: non-example

Not all languages on infinite words are  $\omega$ -regular.

E.g.,  $\mathcal{L} = \{\text{words on } A = \{a, b\} \text{ such that } a \text{ appears infinitely often with increasingly many } b\text{'s between occurrences of } a\}$  is **not**.



## Link with LTL?

We know that every LTL formula  $\varphi$  describes a language of infinite words  
 $\text{Words}(\varphi) \subseteq (2^P)^\omega$ .

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**The converse is false!**

There are  $\omega$ -regular languages that cannot be expressed in LTL, e.g.

$$\mathcal{L} = \left\{ a_0 a_1 a_2 \cdots \in (2^{\{a\}})^\omega \mid \forall i \geq 0, a \in a_{2i} \right\},$$

all words where  $a$  must hold in all even positions.

- $\omega$ -regular expression  $G = (\{a\}(\{a\} \mid \emptyset))^\omega$ .
- Intuitively, LTL *cannot count modulo  $k$*  (e.g., words with “a” every  $k$  steps)

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# Büchi automata: definition

Automata describing languages of **infinite** words

- $\omega$ -regular languages.

## Definition: non-deterministic Büchi automaton (NBA)

Tuple  $\mathcal{A} = (Q, A, \delta, I, F)$  with

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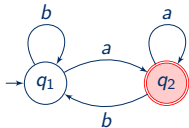
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## Accepted language of $\mathcal{A}$

$$\mathcal{L}(\mathcal{A}) = \{w \in A^\omega \mid \text{there is an accepting run for } w \text{ in } \mathcal{A}\}.$$

# Büchi automata: examples

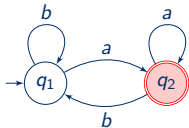
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*Deterministic Büchi automaton (DBA)*

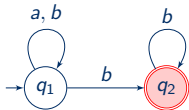
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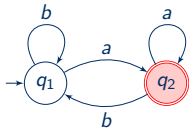


*Non-deterministic Büchi automaton (NBA)*

**Is there an equivalent DBA?**

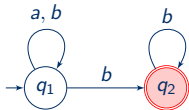
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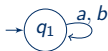
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# Büchi automata: as specifications

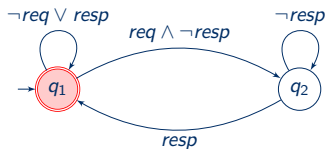
**Liveness property:** “once a request is provided, eventually a response shall occur”

- $\{req, resp\} \subseteq P$  for the TS

- NBA  $\mathcal{A}$  uses alphabet  $2^P$

↪ Succinct representation of multiple transitions using propositional logic. E.g., for  $P = \{a, b\}$ ,

$q \xrightarrow{a \vee b} q'$  stands for  $q \xrightarrow{\{a\}} q'$ ,  $q \xrightarrow{\{b\}} q'$ , and  $q \xrightarrow{\{a,b\}} q'$ .



# Büchi automata vs. $\omega$ -regular languages

## Theorem

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A language is  $\omega$ -regular if and only if it is recognized by an NBA.

$\Rightarrow$  For any  $\omega$ -regular property, we can build a corresponding NBA.

$\Rightarrow$  For any NBA  $\mathcal{A}$ , the language  $\mathcal{L}(\mathcal{A})$  is  $\omega$ -regular.



# From $\omega$ -regular expressions to NBAs

## Reminder

An  $\omega$ -regular expression  $G$  over  $A$  has the form

$$G = E_1 \cdot F_1^\omega + \dots + E_n \cdot F_n^\omega \text{ for } n > 0$$

where  $E_i, F_i$  are regular expressions over  $A$  with  $\varepsilon \notin \mathcal{L}(F_i)$ .

## Construction scheme

Use operators on NBAs mimicking operators on  $\omega$ -regular expressions:

- union of NBAs ( $E_1 \cdot F_1^\omega + E_2 \cdot F_2^\omega$ )
- $\omega$ -operator for NFA ( $F^\omega$ )
- concatenation of an NFA and an NBA ( $E \cdot F^\omega$ )

# From expressions to a union of NBAs

## Goal

Mimic  $E_1 \cdot F_1^\omega + E_2 \cdot F_2^\omega$ .

Let  $\mathcal{A}^1 = (Q^1, A, \delta^1, I^1, F^1)$  and  $\mathcal{A}^2 = (Q^2, A, \delta^2, I^2, F^2)$  be two NBAs over the same alphabet with disjoint state spaces.

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## Union

$\mathcal{A}^1 + \mathcal{A}^2 = (Q^1 \cup Q^2, A, \delta, I^1 \cup I^2, F^1 \cup F^2)$  with  $\delta(q, a) = \delta^i(q, a)$  if  $q \in Q^i$ .

**A word is accepted by  $\mathcal{A}^1 + \mathcal{A}^2$  iff it is accepted by (at least) one of the automata.**

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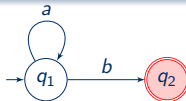
$$\implies \mathcal{L}(\mathcal{A}^1 + \mathcal{A}^2) = \mathcal{L}(\mathcal{A}^1) \cup \mathcal{L}(\mathcal{A}^2)$$

# $\omega$ -operator (1/2)

## Goal

Mimic  $F^\omega$  (from an automaton recognizing  $F$ )

Example:

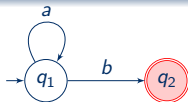


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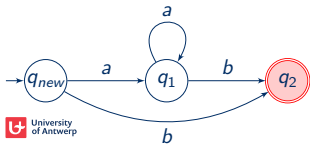
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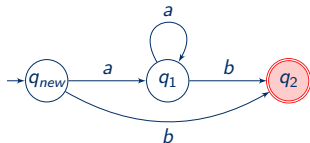
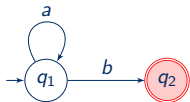


**Step 1.** If states in  $I$  have incoming transitions or  $I \cap F \neq \emptyset$ :

- Introduce new initial state  $q_{new} \notin F$
- Add  $q_{new} \xrightarrow{a} q$  iff  $q_0 \xrightarrow{a} q$  for some  $q_0 \in I$
- Keep all other transitions of  $\mathcal{A}$
- New  $I = \{q_{new}\}$

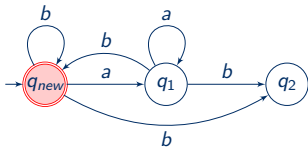


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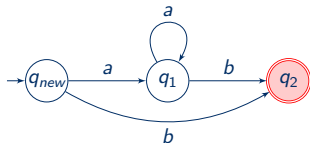
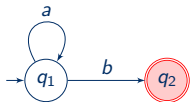


**Step 2.** Build the NBA  $\mathcal{A}'$  as follows.

- If  $q \xrightarrow{a} q' \in F$ , then add  $q \xrightarrow{a} q_0$  for all  $q_0 \in I$
- Keep all other transitions of  $\mathcal{A}$
- $I' = I$  and  $F' = I$

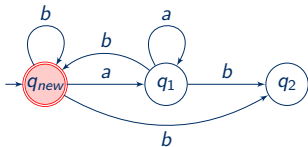


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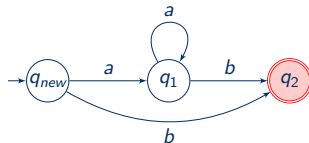
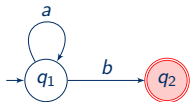
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$\hookrightarrow$  In practice, state  $q_2$  is now useless and can be removed.

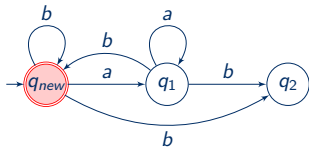


## $\omega$ -operator (2/2)



**Step 2.** Build the NBA  $\mathcal{A}'$  as follows.

- If  $q \xrightarrow{a} q' \in F$ , then add  $q \xrightarrow{a} q_0$  for all  $q_0 \in I$
- Keep all other transitions of  $\mathcal{A}$
- $I' = I$  and  $F' = I$



$\hookrightarrow$  In practice, state  $q_2$  is now useless and can be removed.

$\implies \mathcal{L}(\mathcal{A}') = \mathcal{L}(\mathcal{A})^\omega$ , i.e., this NBA recognizes  $(a^*b)^\omega$ .

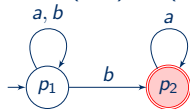
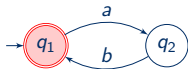
# NFA-NBA concatenation (1/2)

## Goal

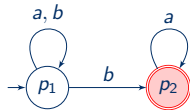
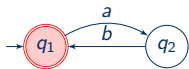
Mimic  $E \cdot F^\omega$  (from automata for  $E$  and  $F$ )

Let  $\mathcal{A}^1 = (Q^1, A, \delta^1, I^1, F^1)$  be an NFA and  $\mathcal{A}^2 = (Q^2, A, \delta^2, I^2, F^2)$  be an NBA, both over the same alphabet and with disjoint state spaces.

Example: NFA  $\mathcal{A}^1$  with  $\mathcal{L}(\mathcal{A}^1) = (a b)^*$  and NBA  $\mathcal{A}^2$  with  $\mathcal{L}(\mathcal{A}^2) = (a \mid b)^* b a^\omega$ .



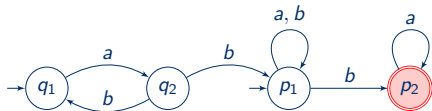
## NFA-NBA concatenation (2/2)



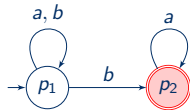
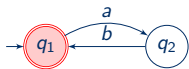
**Construction of NBA.**  $\mathcal{A} = (Q = Q^1 \cup Q^2, A, \delta, I, F = F^2)$

$$I = \begin{cases} I^1 & \text{if } I^1 \cap F^1 = \emptyset \\ I^1 \cup I^2 & \text{otherwise} \end{cases}$$

$$\delta(q, a) = \begin{cases} \delta^1(q, a) & \text{if } q \in Q^1 \text{ and } \delta^1(q, a) \cap F^1 = \emptyset \\ \delta^1(q, a) \cup I^2 & \text{if } q \in Q^1 \text{ and } \delta^1(q, a) \cap F^1 \neq \emptyset \\ \delta^2(q, a) & \text{if } q \in Q^2 \end{cases}$$



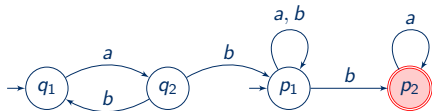
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$\mathcal{L}(\mathcal{A}) = \mathcal{L}(\mathcal{A}^1) \cdot \mathcal{L}(\mathcal{A}^2)$ , this NBA recognizes  $(a b)^*(a \mid b)^* b a^\omega$

- 1 Automata for finite-word languages
- 2 Omega-regular languages
- 3 Büchi automata: Automata for infinite-word languages
- 4 Büchi automata: language emptiness**
- 5 Büchi automata: variants

# Büchi automata: checking non-emptiness

## Criterion for non-emptiness

Let  $\mathcal{A}$  be an NBA. Then,

$$\begin{aligned} \mathcal{L}(\mathcal{A}) \neq \emptyset \\ \Updownarrow \\ \exists q_0 \in I, \exists q \in F, \exists w \in A^*, \exists v \in A^+, q \in \delta^*(q_0, w) \wedge q \in \delta^*(q, v), \\ \text{i.e., there is an accepting state on a reachable cycle} \end{aligned}$$

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$\Rightarrow$  Can be checked in *linear time* by computing reachable strongly connected components (SCCs)

$\Rightarrow$  Important tool for LTL model checking

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# NBAs vs. DBAs

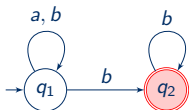
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**NBAs are strictly more expressive than DBAs**

There exists no DBA  $\mathcal{A}$  such that  $\mathcal{L}(\mathcal{A}) = \mathcal{L}((a \mid b)^* a^\omega)$ .



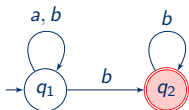
*Words with finitely many a's*

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*Words with finitely many a's*

$\Rightarrow$  Intuition: by contradiction, if a DBA would exist, then we show that it would accept some words with infinitely many a's by exploiting determinism to construct corresponding accepting runs

# Generalized Büchi automata

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# Generalized Büchi automata

- NBAs describe  $\omega$ -regular languages.
- Several **equally expressive** variants exist, with different acceptance conditions: Muller, Rabin, Streett, parity and **generalized Büchi** automata (**GNBAs**)

⇒ Will help us for LTL model checking

# Generalized Büchi automata: definition

## Definition: non-det. generalized Büchi automaton (GNBA)

Tuple  $\mathcal{G} = (Q, A, \delta, I, \mathcal{F})$  with

- $Q$  a finite set of states,
- $A$  a finite alphabet,
- $\delta: Q \times A \rightarrow 2^Q$  a transition function,
- $I \subseteq Q$  a set of initial states,
- $\mathcal{F} = \{F_1, \dots, F_k\} \subseteq 2^Q$  ( $k \geq 0$  and  $\forall 0 \leq i \leq k, F_i \subseteq Q$ )

**Intuition:** a GNBA requires to visits **each set**  $F_i$  infinitely often

# GNBAs: acceptance condition

## Accepting run

A run  $q_0q_1 \dots$  is accepting if for all  $F \in \mathcal{F}$ ,  $q_i \in F$  for infinitely many indices  $i \in \mathbb{N}$ .

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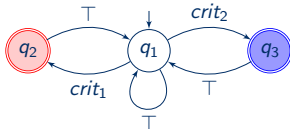
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Observe the difference between  $F = \emptyset$  for an NBA (i.e., no run is accepting) and  $\mathcal{F} = \emptyset$  for a GNBA (i.e., all runs are accepting). In fact,  $\mathcal{F} = \emptyset$  is equivalent to having  $F = \{Q\}$ .

# GNBAs: as specifications

**Liveness property:** “both processes are infinitely often in their critical section”

- $\{crit_1, crit_2\} \subseteq P$  for the TS.



- $\mathcal{F} = \{\{q_2\}, \{q_3\}\}$ . **Both must be visited infinitely often!**

# GNBAs vs. NBAs

## From a GNBA to an NBA

For any GNBA  $\mathcal{G}$ , there exists a language-equivalent NBA.

# GNBAs vs. NBAs

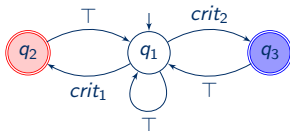
## From a GNBA to an NBA

For any GNBA  $\mathcal{G}$ , there exists a language-equivalent NBA.

1. Make  $k$  copies of  $Q$  arranged in  $k$  levels.
2. At level  $i \in \{1, \dots, k\}$ , keep all transitions leaving states  $q \notin F_i$  at the same level.
3. At level  $i \in \{1, \dots, k\}$ , redirect transitions leaving states  $q \in F_i$  to level  $i + 1$  (level  $k + 1$  to level 1).
4.  $I' = \{\langle q_0, 1 \rangle \mid q_0 \in I\}$ , i.e., initial states in level 1; and  $F' = \{\langle q, 1 \rangle \mid q \in F_1\}$ , i.e., final states in level 1.

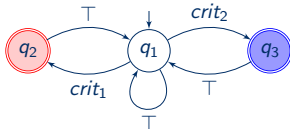
$\Rightarrow F'$  can only be visited infinitely often if the accepting states ( $F_i$ ) at every level  $i$  are visited infinitely often.

# GNBAs vs. NBAs: example

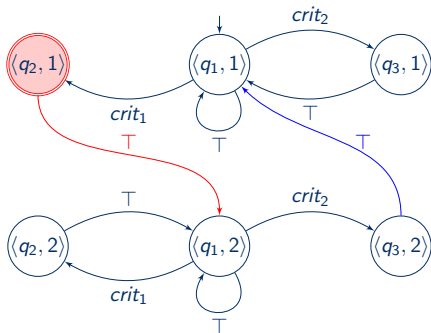


⇒ **Blackboard illustration**

# GNBAs vs. NBAs: example



$\Rightarrow$  **Blackboard illustration**



# Summary and conclusions

## Parity automata

- Max even priority version on board. . .
- Deterministic parity automata recognize all  $\omega$ -regular languages.

## Omega automata

- Büchi automata recognize all  $\omega$ -regular languages
- Deterministic automata are less powerful than non-deterministic ones
- Automata-based tools will be a recurring topic in the sequel!