## CS224n Assignment2

September 26, 2022

## 1. Written: Understanding word2vec

(a) y is the true empirical distribution, one-hot vector with a 1 for true outside word o, and 0 everywhere else. Hence the left side of the function can be rewritten as:

$$-\sum_{w \in Vocab} y_w log(\hat{y}_w) = -y_o log(\hat{y}_o) = -log(\hat{y}_o)$$

- (b) Explanation by line:
  - L1-L2 take  $exp(u_o^T v_c)$  as  $f(v_c)$ ,  $exp(u_w^T v_c)$  as  $g(v_c)$  and use the quotient rule.
  - L2-L3 Suppose we have a vocabulary of size k, and an embedding size of 200. Then U is of size (200,k).  $u_o = Uy$  (y is a one-hot vector of size (k,1)). For the summation part, it should be clear if you just open it up.
  - L3-L4  $\hat{y}_o = \frac{exp(u_o^T v_c)}{\sum_v exp(u_v^T v_c)}$ , note  $\hat{y}_o$  is a scalar.
  - L4-L5  $\hat{y}$  is of size (k,1), convert the summation into matrix multiplication.

$$\begin{split} \frac{\partial J}{\partial v_c} &= \frac{\partial}{\partial v_c} - log \frac{exp(u_o^T v_c)}{\sum_w exp(u_w^T v_c)} \\ &= -u_o + \frac{\sum_w u_w exp(u_w^T v_c)}{\sum_w exp(u_w^T v_c)} \\ &= -Uy + \sum_w \frac{u_w exp(u_w^T v_c)}{\sum_m exp(u_m^T v_c)} \\ &= -Uy + \sum_w \hat{y}_w u_w \\ &= -Uy + U\hat{y} = U(\hat{y} - y) \end{split}$$

(c) Consider two cases: when  $w \neq o$ :

- L1-L2  $log \frac{a}{b} = log a log b$ , and the first term does not contain  $u_w$ .
- L2-L3 Take the derivative.
- L3-L4  $\hat{y}_w = \frac{exp(u_w^T v_c)}{\sum_w exp(u_w^T v_c)}$

$$\frac{\partial J}{\partial u_w} = \frac{\partial}{\partial u_w} - \log \frac{exp(u_o^T v_c)}{\sum_w exp(u_w^T v_c)}$$

$$= \frac{\partial}{\partial u_w} \log \sum_w exp(u_w^T v_c)$$

$$= \frac{v_c exp(u_w^T v_c)}{\sum_m exp(u_m^T v_c)}$$

$$= v_c \hat{y}_w$$

when w = o:

$$\begin{split} \frac{\partial J}{\partial u_w} &= \frac{\partial}{\partial u_w} - log \frac{exp(u_o^T v_c)}{\sum_w exp(u_w^T v_c)} \\ &= \frac{\partial}{\partial u_w} log \sum_w exp(u_w^T v_c) - log exp(u_o^T v_c) \\ &= \frac{v_c exp(u_w^T v_c)}{\sum_m exp(u_m^T v_c)} - v_c \\ &= v_c(\hat{y}_o - 1) \end{split}$$

(d) The following term is calculated as above.

$$\frac{\partial J}{\partial U} = \left[ \frac{\partial J}{\partial u_1}, \frac{\partial J}{\partial u_2}, ..., \frac{\partial J}{\partial u_{|Vacab}|} \right]$$

(e) When x > 0, f'(x) = 1, when x < 0, f'(x) = 0

(f)

$$\sigma'(x) = \frac{e^x(e^x + 1) - e^{2x}}{(e^x + 1)^2}$$
$$= \frac{e^x}{(e^x + 1)^2} = \sigma(x)(1 - \sigma(x))$$

**(g)** 

(i) Here J refers to the negative sampling loss

$$\begin{split} \frac{\partial J}{\partial v_c} &= \frac{\partial}{\partial v_c} - log(\sigma(u_o^T v_c)) - \sum_{s=1}^K log(\sigma(-u_{w_s}^T v_c)) \\ &= -u_o\sigma(u_o^T v_c)(1 - \sigma(u_o^T v_c)) \frac{1}{\sigma(u_o^T v_c)} + \sum_{s=1}^K u_{w_s}\sigma(-u_{w_s}^T v_c)(1 - \sigma(-u_{w_s}^T v_c)) \frac{1}{\sigma(-u_{w_s}^T v_c)} \\ &= -u_o(1 - \sigma(u_o^T v_c)) + \sum_{s=1}^K u_{w_s}(1 - \sigma(-u_{w_s}^T v_c)) \end{split}$$

Note that  $o \notin w_1, ..., w_k$ , the second term does not contain  $u_o$ 

$$\frac{\partial J}{\partial u_o} = \frac{\partial}{\partial u_o} - \log(\sigma(u_o^T v_c)) - \sum_{s=1}^K \log(\sigma(-u_{w_s}^T v_c))$$
$$= v_c(\sigma(u_o^T v_c) - 1)$$

The first term does not contain  $u_{w_s}$ 

$$\frac{\partial J}{\partial u_{w_s}} = \frac{\partial}{\partial u_{w_s}} - \log(\sigma(u_o^T v_c)) - \sum_{s=1}^K \log(\sigma(-u_{w_s}^T v_c))$$
$$= v_c(1 - \sigma(-u_{w_s}^T v_c))$$

- (ii)  $Uv_c-1$
- (iii) The calculation only depends on the K negative samples instead of the whole vocabulary.
- (h) We now suppose that the K sampled words are not distinct. The basic intuition here is that we multiply the gradient by the number of a sample word's appearance.

$$\frac{\partial J}{\partial u_{w_s}} = \frac{\partial}{\partial u_{w_s}} - \sum_{s=1}^K log(\sigma(-u_{w_s}^T v_c))$$
$$= \sum_{w=w_s} v_c (1 - \sigma(-u_{w_s}^T v_c))$$

(i) Here J refers to the skip-gram loss

$$\frac{\partial J}{\partial U} = \sum_{\substack{-m \le j \le m \\ j \ne 0}} \frac{\partial J_{neg-sample}(v_c, w_{t+j}, U)}{\partial U}$$

$$\frac{\partial J}{\partial v_c} = \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \frac{\partial J_{neg-sample}(v_c, w_{t+j}, U)}{\partial v_c}$$

when  $w \neq c$ ,

$$\frac{\partial J}{\partial v_w} = 0$$