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Outline

- Generalized Computation Graphs(GCG)
 - Background
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- Generalization through Simulation(GtS)
 - Background
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Background

- Model-free VS Model-based: sample efficiency, stability, and final performance.
- These three metrics suffer when the state space is high-dimensional (e.g.image).

• Idea:

 Subsume both value-based model-free methods and model-based algorithms.

Framework

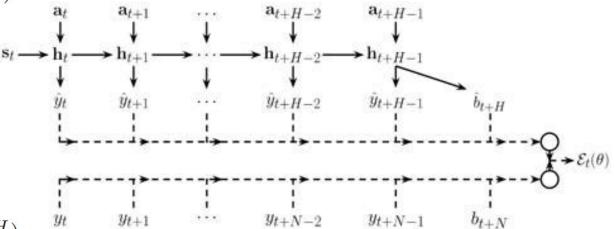
- the computation graph: $G_{\theta}(\mathbf{s}_t, \mathbf{A}_t^H)$
- input: \mathbf{S}_t

$$\mathbf{A}_t^H = (\mathbf{a}_t, ..., \mathbf{a}_{t+H-1})$$

• output: $\hat{Y}_t^H = (\hat{y}_t, ..., \hat{y}_{t+H-1})$

$$\hat{b}_{t+H}$$

- error function: $\mathcal{E}_t(\theta)$
- policy evaluation function: $J(\mathbf{s}_t, \mathbf{A}_t^H)$

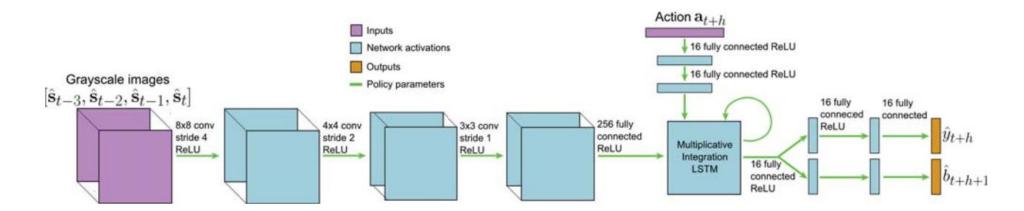


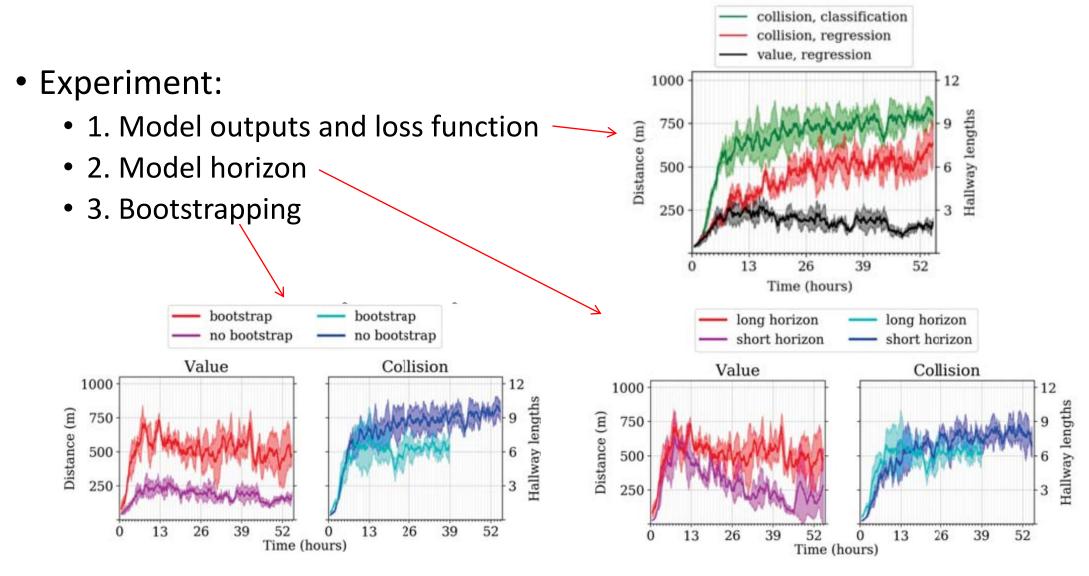
Algorithm 1 Reinforcement learning with generalized computation graphs

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1: input: computation graph G_{\theta}(\mathbf{s}_t, \mathbf{A}_t^H), error function
     \mathcal{E}_t(\theta), and policy evaluation function J(\mathbf{s}_t, \mathbf{A}_t^H)
 2: initialize dataset \mathcal{D} \leftarrow \emptyset
 3: for t=1 to T do
       get current state s_t
                                                                        e.g. MPC, CEM
      \mathbf{A}_t^H \leftarrow \operatorname{arg\,max}_{\mathbf{A}} J(\mathbf{s}_t, \mathbf{A})
      execute first action \mathbf{a}_t
       receive labels y_t and b_t
         add (\mathbf{s}_t, \mathbf{a}_t, y_t, b_t) to dataset \mathcal{D}
         update G_{\theta} by \theta \leftarrow \arg\min_{\theta} \mathcal{E}_{t'}(\theta) using \mathcal{D}
10: end for
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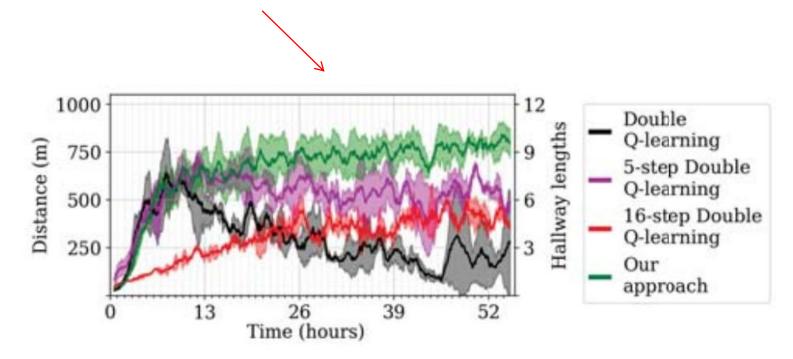
- GCG instantiation:
 - Model: deep RNN
 - Output:
 - \hat{Y}_t^H : reward; \hat{b}_{t+H} : future value-to-go
 - \hat{Y}_t^H : probability of collision; \hat{b}_{t+H} : the best-case future likelihood of collision
 - Policy evaluation function:
 - $J(\mathbf{s}_t, \mathbf{A}_t^H) = \sum_{h=0}^{H-1} \gamma^h \hat{y}_{t+h} + \gamma^H \hat{b}_{t+H}$
 - $J(\mathbf{s}_t, \mathbf{A}_t^H) = \sum_{h=0}^{H-1} -\hat{y}_{t+h} \hat{b}_{t+H}$
 - Policy evaluation: MPC, CEM
 - Model horizon:
 - H = 1 --> fully model-free
 - H = ∞ --> fully model-based
 - H = constant --> interpolating between model-free and model-based

- GCG instantiation
 - Boostrapping: can increase H but cause bias and instability
 - Loss function: Bellman error / cross entropy loss
 - Network struture:





- Experiment:
 - 4. Comparion to prior work



• Background:

- Complex realworld physical and visual phenomena are difficult to simulate accurately.
- The systematic differences between simulation and reality are typically impossible to eliminate.

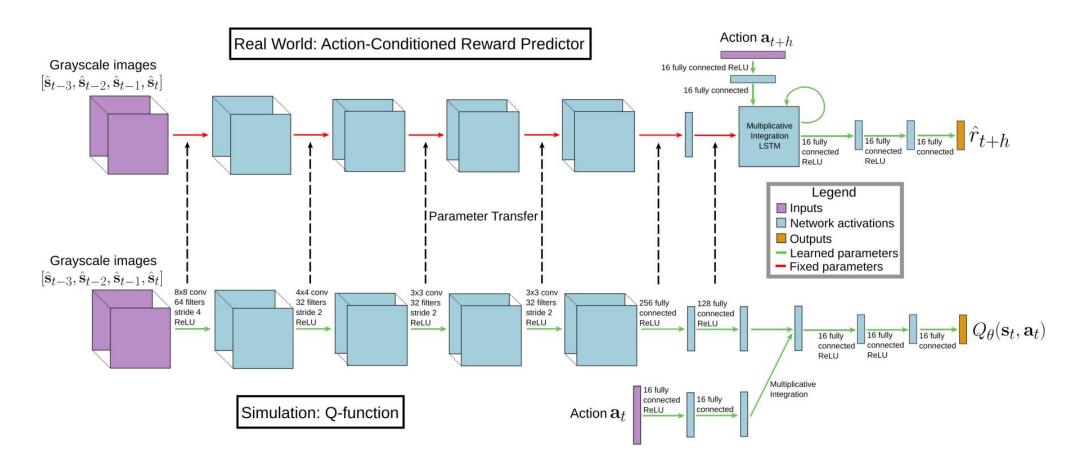
• Idea:

• use real-world experience to learn how to fly(control) and use simulated experience to learn how to generalize(perception).

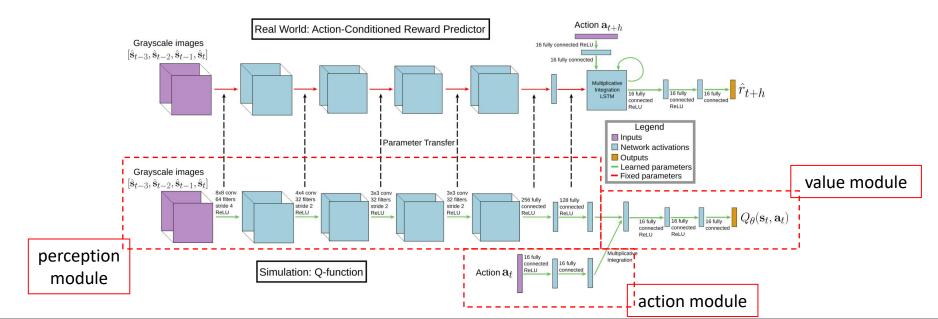
The main contribution:

 Combining large amounts of simulated data with small amounts of real-world experience to train real-world collision avoidance policies for autonomous flight with DRL

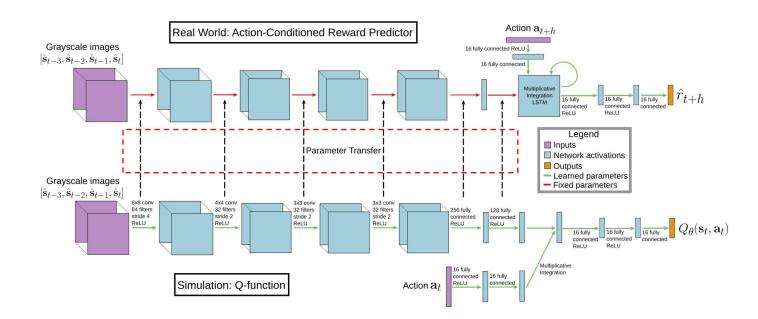
Network Struture



- Learning a task-specific model:
 - Train a DNN Q-function $Q_{\theta}(s_t, a_t)$ using Q-learning:
 - ① We have access to large amounts of data in simulation, which is a requirement for deep Q-learning.
 - ② Q-learning can learn long-horizon tasks, which may improve the visual features that it learns.



- Visual perception system transfer:
 - Initialize the weights of real-world policy 's perception layers using the layers from the Q-function learned in simulation.
 - Hold these perception layers fixed during real-world policy training.

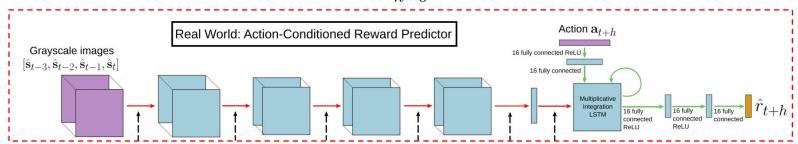


- Real-world policy learning:
 - Action-conditioned reward predictor: $G_{\theta}(\mathbf{s}_t, \mathbf{A}_t^H)$
 - input: $S_t \& A_t^H = (a_t, ..., a_{t+H-1})$
 - output: $\hat{R}_{t}^{H} = (\hat{r}_{t}, ..., \hat{r}_{t+H-1})$
 - At training time, minimize the loss (supervised learning):

$$\theta^* = \arg\min_{\theta} \sum_{(\mathbf{s}_t, \mathbf{A}_t^H, R_t^H) \in \mathcal{D}^{\text{RW}}} \|G_{\theta}(\mathbf{s}_t, \mathbf{A}_t^H) - R_t^H\|^2$$

• At test time, solve the optimal action sequence(MPC, CEM etc):

$$\mathbf{A}^* = \arg\max_{\mathbf{A}} \sum_{h=0}^{H-1} \gamma^h \hat{r}_{t+h}$$



- Algorithm overview:
 - First, train a DQN in a visually diverse set of simulated environments.
 - Then, transfer the perception layers from the DQN to the action-conditioned reward predictor(ACRP).
 - Next, train the ACRP using real-world data gathered by the robot(hold the perception layers fixed).
 - At test time, use the learned ACRP by MPC etc to select an optimal action sequence, and executing the first action.

• Expreiment:

- Does including real-world data improve performance?
- Does the ACRP lead to better real-world policies compared to Q-learning?
- Is a task-specific or task-agnostic simulation-trained model better for real-world transfer?
- Does transferring the perception module from the simulation-trained model improve real-world performance?

	Simulation Model	Perception System Transferred	Real-World Learned Model	Uses Real- World Data	Perception Layers Trained with Real-World Data	Time Until Collision (seconds, max 86)	Percentage Hallway Traversed
sim only	Task-specific	N/A	N/A	X	N/A	16.5 (0.5)	19
sim fine-tuned	Task-specific	X	Q-function	1	✓	6.0 (28.5)	7
sim fine-tuned perception fixed	Task-specific	X	Q-function	/	Х	6.5 (66.5)	8
real-world only	N/A	X	ACRP	/	✓	7.8 (30.0)	9
supervised (ImageNet) transfer	N/A	/	ACRP	/	Х	9.5 (4.5)	11
unsupervised (VAE) transfer	Task-agnostic	/	ACRP	✓	Х	21.0 (19.3)	24
GtS (ours)	Task-specific	/	ACRP	✓	Х	85.8 (2.5)	100

Conclusion

• GCG:

- A generalized framework that is suited for MB & MF.
- Avoid predicting (high dimensional) future state, make it easier to learn.
- The main limitation is horizon(H)

• GtS:

- Reduce the real-world learning to supervised learning which need less data.
- Simulation learning with Q-learning may improve the visual (task-relevant) features that it learns.
- Hold the perception layers fixed to prevent overfitting to the real-world data.

Reference

- Self-Supervised Deep Reinforcement Learning with Generalized Computation Graphs for Robot Navigation
- Generalization through Simulation: Integrating Simulated and Real Data into Deep Reinforcement Learning for Vision-Based Autonomous Flight