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Correlation of Meltpool Characteristics and Residual Stresses at High Laser Intensity for Metal LPBF Process

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Correlation of Meltpool and Residual Stresses at High Laser Intensity for Metal LPBF Process

Selective Laser Melting (SLM) commonly referred as Metal Laser Powder Bed Fusion (LPBF) processes, proved in the last decade to be suitable for the manufacturing of complex metallic components. In order to fulfil industrial needs from various industries (e.g. aerospace, tooling, energy production, medical), Machine manufacturers have increased the productivity of the LPBF-process mainly by increasing the number and maximum power of lasers. However, this strategy also affects the magnitude of residual stresses generated in the consolidated material. This study analyses the residual stresses in SS316L components by XRD measurement, where a correlation to the meltpool dimensions could be found and verified for different laser power and scan speeds. The results provide fundamentals to assess the gain in productivity, and to establish generic guidelines for the optimization of residual stresses at high laser power.

Keywords: additive manufacturing, laser powder bed fusion, residual stresses, XRD, meltpool, SS316L

Introduction

Selective Laser Melting (SLM) (PBF-LB/M according to ISO/ASTM DIS 52900:2018), commonly Laser Powder Bed Fusion (LPBF) is one of the most promising Additive Manufacturing (AM) technologies for the manufacturing of metallic three-dimensional structures having typical sizes from tenths of millimetres to tenths of centimetres [1]. Bourell *et al.* [2] presented a review of the different AM-processes and highlighted the remaining bottlenecks. Schmidt *et al.* [3] also discussed the opportunities and limitations of the LPBF process. Notably the residual stresses remaining in the parts are identified by Patterson *et al.* [4] as a bottleneck for further technology development. Indeed, the high stress levels in the parts can lead to build job interruption in case of in-process deformation, or to critical part distortions once they are removed from the build plate. In fact, no prediction of the final part accuracy is possible without the knowledge of the residual stress state, which is greatly influenced by the part geometry and the build-up strategy. In addition, the residual stresses limit their industrial performance during use.

Independently from the manufacturing technique, all metallic materials are subject to residual stresses either coming from mechanical or thermal solicitation during

the manufacturing process. Complex residual stress states in LPBF originate from the high temperature gradient induced by the high intensity laser process, and according to Kruth *et al.* [5] strongly depends on the Marangoni convection. Indeed, the extremely fast temperature change in the process is leading to two phenomena. Both the temperature gradient mechanism (TGM) during the heating phase and a thermal shrinkage of the solidified melt-pool during the cooling phase yield to the formation of high residual stresses as a result of surrounding solid material constraining the solidification of the molten material [6].

A lot of research has been performed to characterise residual stresses induced by the LPBF process. The different mechanisms of residual stresses formation are having different scales, and are classified in three types as proposed by Withers *et al.* [7], being the atomic level (type III), the grain level (type II) and the macroscopic level (type I). For each stress type specific tools and methods for quantification are used, which do not permit the quantification of the other types. The residual stresses studied in most of the literature about the LPBF process are from type I, being macro-scale stresses, as these stresses are the most relevant in terms of part deformation. Measurement methods such as geometrical deformation permit a qualitative assessment of the residual stresses magnitude using a beam curvature after removal of the support structure binding to the build plate, as used by Safronov *et al.* [8], while Ghasri-Khouzani *et al.* [9] used the overall deformation of disk artefacts for the same purpose. However, the use of neutron diffraction [9, 10] or the hole drilling method [11] permit a better understanding of the residual stress profiles over the depth of a sample. Casavola *et al.* [11] identified that due to the partial re-melting of the layers through the build, residual stresses would be reduced in the depth of the sample. Bartlett *et al.* [12] proposed a new methodology to *in-situ* monitor residual stresses during the LPBF-process, by using digital image correlation. These results permit to establish stress maps for simple geometries. The authors also highlighted the high dependencies of the stress state on the geometry of the sample. X Ray Diffraction (XRD) measurements have been performed to assess the stress state in a surface near region, hence within the last top-layer of the sample. Vrancken *et al.* [13] used XRD to identify that the main stress direction is in the scan direction, while Mercelis *et al.* [6] applied chemical etching to assess the stress state of layers beneath the top layer, giving information about the stress profile. Numerous analytical studies [14-16] analysed the effects of different scanning strategies on residual stress reduction, concluding that

the reduction of the scanning vector length and the application of a chessboard island scan strategy are beneficial for stress reduction.

However, so far not sufficient work has been put into the characterisation of the residual stresses for higher laser intensities, and the dependency of residual stresses from the properties of the melt-pool created by the laser beam. Hence, this paper intends to advance the state of the art in the comprehension of these phenomena. By the characterisation of the residual stresses and the correlation with the meltpool dimensions, this paper aims at providing a comparison basis for the understanding of the residual stresses in LPBF at various laser powers using a constant methodology.

Materials and Methods

Sample definition and LPBF parameters

10x10x10mm³ cube samples were produced in SS316L, as a widely adopted material in metal additive manufacturing. A ConceptLaser M2 machine, equipped with a SPI 400W Nd-YAG laser source operated in continuous wave mode, was used. The laser spot diameter in the processing plane was 116 µm. The slicing thickness (L) and hatching distance (h) were respectively of 40 µm and 90 µm. The classic meander scanning strategy with a 90° rotation applied between each layer was chosen as it has been shown to be the most promising strategy for high laser intensities [17].

Prior to the analysis of meltpool characteristics and residual stress state, a process window for a material density of $\rho \geq 99.5\%$ was developed for each laser power level (P). By the variation of the scanning speed (v) samples were produced and measured for $P = 100 \text{ W}, 150 \text{ W}, 200 \text{ W}, 250 \text{ W}, 300 \text{ W},$ and 350 W . The common characteristics to all process windows is that they use the same volumetric energy density range, e_d , as defined in Equation (1).

$$e_d = \frac{P}{L \cdot h \cdot v} \text{ (J.mm}^{-3}\text{)} \quad (1)$$

Analysis

The material density analysis was performed with a non-destructive density measurement methodology using Archimedes' principle applied in acetone. As pointed out by

Spierings *et al.* [18] this analysis is easy and yields to quick and reliable results in comparison to other analysis methods, e.g. X-ray neutron imaging, or optical micrograph analysis.

The meltpool characteristics were analysed by a cutting, embedding, polishing and etching procedure in the transversal plane. After ODS (oxide dispersion solution) polishing down to $R_a = 50$ nm the samples were etched for 30 s using V2A etchant (Schmitz-Metallographie, Germany). The analysis was performed using an optical light microscope Leica DM6 at various magnifications.

Three different samples per laser power level were selected for the residual stress state quantification. For comparison purposes, the three samples will each have respectively the same energy density for each laser power. The samples are produced using energy densities, e_d , of 43, 62 and 79 J/mm³, and having all a material density $\rho \geq 99\%$ (see arrows on Figure 1).

The residual stresses were measured using an X Ray Diffractometer Stresstech Xstress 3000 G3 using Mn radiation at wavelength of 0.2103 nm, equipped with a 1 mm diameter collimator. The measurements of the samples are obtained for a 2θ value of 152.3° , at nine different tilt angles being: 0° , $\pm 18,3^\circ$, $\pm 26,4^\circ$, $\pm 33,0^\circ$, $\pm 39,0^\circ$. The total exposure time is 65 s. The two principal in-plane directions σ_{11} and σ_{22} are computed and the resulting stress is deduced.

Stress relieve operations were realised at a temperature of 800°C followed by a natural furnace cooling [19].

Results and Discussion

Process window development

In order to keep comparable results, the energy density range to establish the processing windows is kept the same for all laser powers. Consequently, and knowing the fixed parameter presented above, it is impossible to establish a suitable processing window for a laser power of 100W, as shown on Figure 1. This is due to the meltpool size being not large enough to correctly connect to the neighbouring scan tracks, resulting in connection defects and correspondingly a high material porosity. Indeed, it has been shown in previous studies that such energy density range could easily process SS316L, but only

with other process parameters, notably a smaller hatch distance and layer thickness.

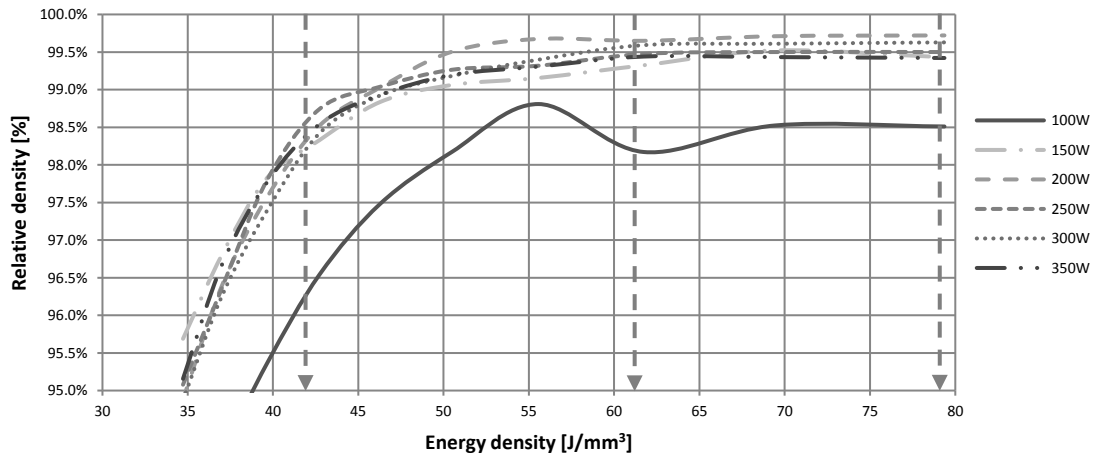


Figure 1. Relative Material density-gain curve along the energy density for several laser powers.

All the other five processing windows developed for $P = 150\text{ W}$ to 350 W successfully reached the expected material density level and the above-described samples were used for residual stresses measurement.

Meltpool Characteristics

The meltpool dimensions were measured in the cross section of the samples, averaged and represented using elliptical shapes, representing all typical meltpool geometries, as in the present data set there were no “bell shaped” meltpool observed due to the selected manufacturing parameters. The aspect ratio (AR), being the ratio between the width and the depth of the sample, was calculated to highlight the meltpool shape. An $AR > 1$ represents shallow and flat meltpools, while $AR < 1$ represents deep and thin meltpools. The characteristics of the meltpools are summarized in Table 1.

P [W]	E_d [J/mm ³]	AR	$Area$ [μm^2]
150	43	1.53	10033
	62	1.51	19554
	79	1.64	22616
200	43	1.15	13344
	62	1.13	24951
	79	1.30	24362
250	43	1.28	16496
	62	0.95	22425
	79	1.19	46863
300	43	1.28	23164
	62	0.81	20793
	79	0.71	29863
350	43	1.10	24075
	62	0.67	28612
	79	0.64	34508

Table 1. Meltpools characteristics in the different processing conditions

As shown in Figure 2, meltpool shapes tend to elongate in depth with increasing laser power assuming a constant energy density. Typical AR values are between 1.51 to 0.67 for an energy density, e_d , of 62 J/mm³. For each laser power P in the power range [150 W; 250 W], the AR tends to increase with the corresponding energy density increase, in the domain where the consolidated material is considered dense ($\rho \geq 99\%$). While for higher laser power $P > 250$ W the contrary effect is observed. Therefore, the assumption can be made that this change in the meltpool shape is linked to a change in the dynamic behaviour of the meltpool during the liquid phase and its energy dissipation to the surrounding material, thus influencing the residual stress state. Once a threshold of $P = 250$ W is exceeded, and for energy densities permitting to produce dense material, the welding mode switches from convection to keyhole welding, as discussed by King *et al.* [20] and Staub *et al.*[17], resulting in more elongated and deeper meltpool shapes.

The increase in the energy brought to the powder layer, considering a constant laser power, will also increase the area of the meltpool cross-section as shown in Table 1. Indeed, the time given to the growth of the meltpool per unit of length is longer for a higher energy density due to the slower scanning speed. This volume increase goes along with a change in the aspect ratio AR , showing the tendency of the meltpool not to increase its width proportionally to the depth. This anisotropic growth of the meltpool size is related to the given laser spot diameter that is comparable or slightly smaller than the

melt pool width, thus explaining the tendency of the melt pool to switch to the keyhole mode.

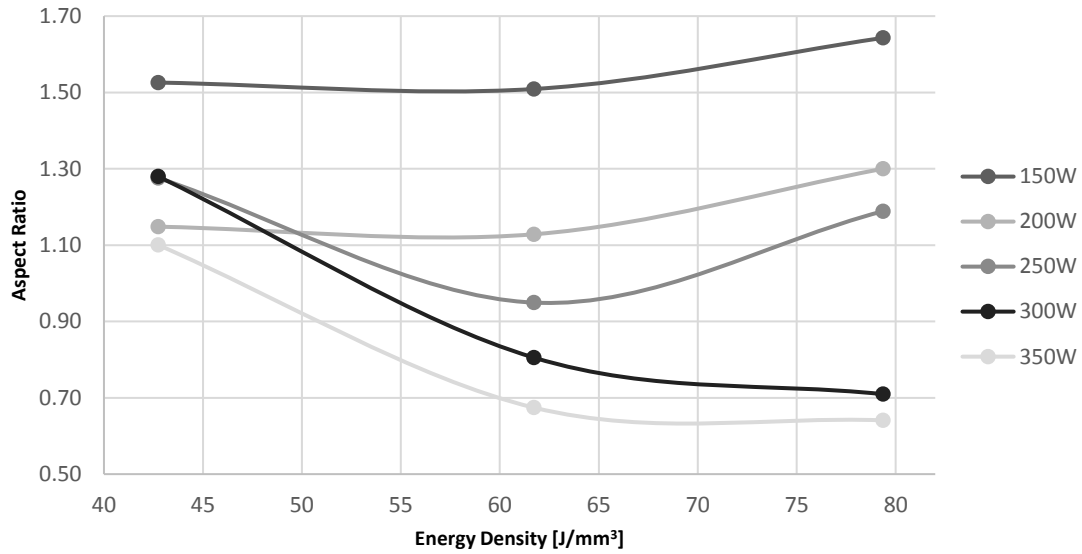


Figure 2. Melt pool characteristics at different laser powers and energy densities.

Residual stresses

Figure 3 shows the residual stresses in dependence of the aspect ratio. A steady increase of the residual stress state can be observed for increasing AR . A flatter melt pool induces higher residual stresses on the surface due to its larger width in comparison to a deeper and thinner melt pool. This leads to the conclusion that deeper melt pools are beneficial for lower in-plane residual stresses. However, this measurement does not give any insights on the residual stresses in the build direction, which might be increased by the melt pool elongation.

At a laser power of $P = 250$ W the residual stresses are stable through the increase of the energy input and in the magnitude of the yield stress of the bulk material. This behaviour has also to be correlated with the melt pool characteristics, which show relatively large but stable melt pools for this parameter set.

For the other laser powers, except for $P = 350$ W were the stresses increase with the energy input, e_d , the residual stress state shows the same behaviour. The samples having a lower material density result in lower residual stresses, due to a different mechanical behaviour arising from the higher pore concentration. The residual stresses increase for samples having a relative material density $\rho \geq 99.5\%$ and decrease again for even higher energy inputs. This effect arise from the increase of the sample temperature

at higher energy density, thus increasing the ductility of the material and lowering the resulting residual stresses.

Removing approximately $-150\text{ }\mu\text{m}$ by etching the sample produced using 250 W with an energy density 62 J/mm^3 , hence removing the last molten layer leaves a zone that was not remolten by the last scan tracks. This allows the measurement of residual stresses within the material, yielding to a resulting stress of 593 MPa at a depth of the meltpool bottom. This drastic increase of the stresses compared to measurements done at a surface-near region highlights the complexity of the stress distribution in LPBF-produced samples. The highest temperature of the meltpool is found at its bottom according to Zhang *et al.* [21]. The even steeper temperature gradient in the neighbourhood of the meltpool bottom explains this drastic increase in residual stresses.

The stress-relieved samples, produced at 300W, showed small residual stresses in the magnitude of 50MPa, proving the reliability of the measurement for this study.

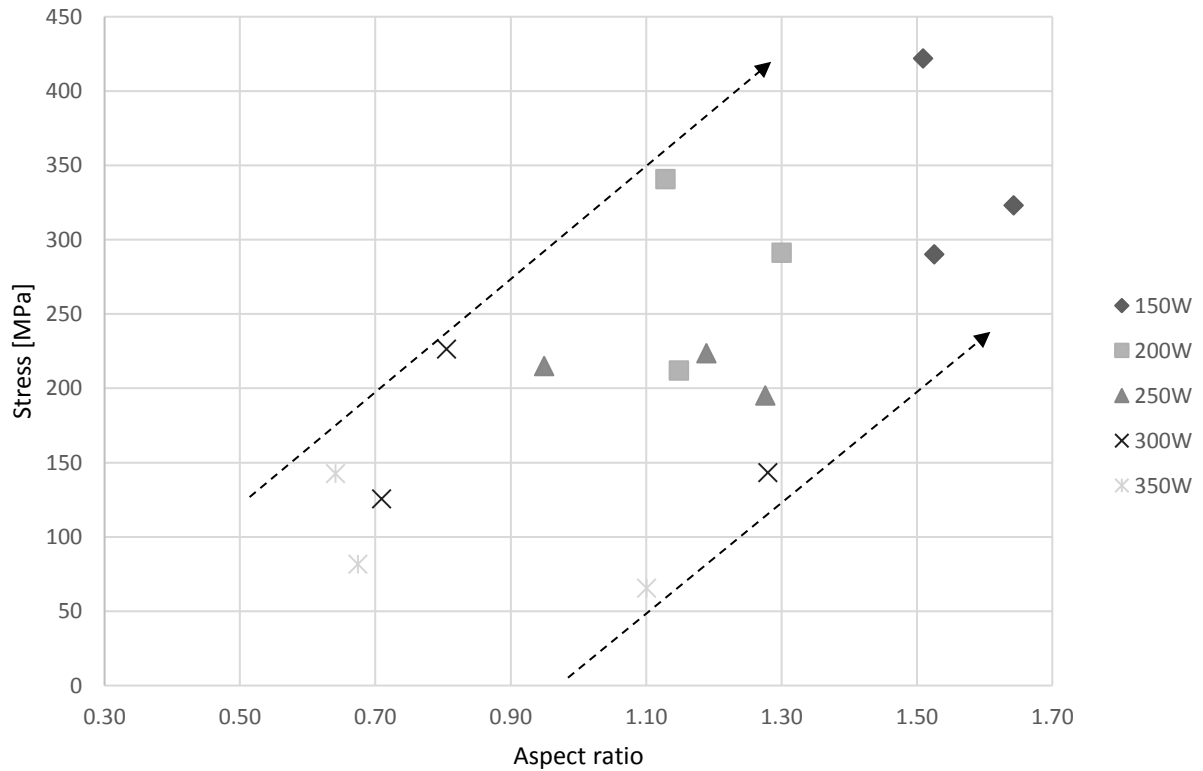


Figure 3. Residual stresses measured at different laser powers in dependence of the melt-pool aspect ratio.

Conclusion

This study investigates the meltpool size and shape in dependence of the laser power at comparable processing conditions (i.e. constant scanning strategies and energy input range) and correlates them with the meltpool shape and area. Several effects are identified:

- (1) The shape and area, of the meltpool correlate with the residual stresses on the top layer of the sample. Thereby, larger and flatter meltpools induce higher in-plane stresses in both directions.
- (2) The higher laser intensities influence single-track residual stresses. Nevertheless, the overall increase of the temperature of the sample appears to be beneficial for the relief of stresses. For this reason, for a proper comparison of stress measurement data, the temperature of the samples needs to be considered, which is highly dependent of the volume, geometry and orientation of the part.
- (3) The complexity of the residual stresses also needs to be investigated in the build direction in order to draw optimisation guidelines for the LPBF process.

The results of this study tend to favour the keyhole melting mode as beneficial for lower residual stresses. In addition, detrimental effects of the keyhole welding mode, such as e.g. the formation of keyhole porosity, and typical complex features on real AM-parts, e.g. fine lattice structures, and the quality of overhanging surfaces, which are more complex to manufacture at high laser intensities should be considered.

This study serves as a basis for further development of simulation models taking into account the meltpool characteristics. The results are also a contribution to the general optimisation of the LPBF process. Nevertheless, a visual inspection permits to realise that the residual stress state needs to be compared to other dimensions in order to optimise the process. Residual stress reduction cannot be the only goal to improve the LPBF process. Further work to correlate different physical dimension such as residual stresses in build direction, surface quality of overhanging surface, mechanical behaviour are necessary to lead the optimisation of the process.

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