Monthly Operations Report for May 2021

Investigations

| | Monthly | Year to Date (CY 2021) | Notes |
|-----------------------|---------|------------------------|-------|
| | Count | | |
| Number of tips, | 23 | 100 | |
| complaints, and | | | |
| reports received | | | |
| Number of 15-day | 0 | 7 | |
| letters sent | | | |
| Number of | 1 | 2 | |
| investigations opened | | | |
| Number of matters | 21 | 83 | |
| closed | | | |
| Number of guidance | 0 | 1 | |
| letters sent | | | |
| Number of matters | See YTD | 82 | |
| pending as of May 31 | | | |
| Number of open | See YTD | 33 | |
| investigations as of | | | |
| May 31 | | | |

Ethics

| | Monthly Count | Year to Date (CY 2021) | Notes |
|----------------------|---------------|------------------------|-------|
| Total Requests for | | | |
| Guidance | 74 | 419 | |
| Post-Employment | 20 | 136 | |
| Gifts | 3 | 19 | |
| Outside Activity | 16 | 131 | |
| Reimbursement for | | | |
| Official Activity | 1 | 2 | |
| G G G | | . | |
| Conflict of Interest | 8 | 56 | |
| Miscellaneous | 26 | 75 | |
| Publications & | 0 | 2 | |
| Eblasts | | | |

Lobbying

| | Monthly Count | Year to Date (CY 2021) | Notes |
|-----------------------|---------------|------------------------|------------------|
| Number of Individual | See YTD | 6,844 | |
| & Principal Lobbyists | | | |
| as of June 1, 2021 | | | |
| Number of Clients as | See YTD | 3,546 | |
| of June 1, 2021 | | | |
| Number of Public | See YTD | 59 | |
| Corps as of June 1, | | | |
| 2021 | | | |
| Lobbying Inquiries | 2,289 | 17,984 | Emails: 1738 |
| | | | Phone Calls: 551 |
| Publications & | 1 | 15 | |
| Eblasts | | | |

FDS

| | Monthly Count | Year to Date (CY 2021) | Notes |
|--------------------|---------------|------------------------|-------|
| Number of Filings | | | |
| Submitted | 11,126 | 20,968 | |
| Failure to File | | | |
| Notices Issued | 0 | 120 | |
| Notices of | | | |
| Delinquency Issued | 0 | 0 | |
| Number of | | | |
| Exemption and | 80 | 158 | |
| Deletion Requests | | | |

Training

| | Monthly Count | Year to Date (CY 2021) | Notes |
|------------------------|---------------|------------------------|----------------------------|
| Ethics Trainings Held | 2 | 10 | |
| Number of | | | |
| individuals who | 96 | 443 | |
| received ethics | | | |
| training | | | |
| Number of | | | |
| individuals overdue | See YTD | 1978 | |
| for ethics training as | | | |
| of May 13, 2021 | | | |
| Number of | | | Also conducted a virtual |
| individuals who | 34 | 408 | lobbying training for not- |
| received lobbying | | | for-profit entities |
| training | | | |

Audit and Review

| | Monthly Count | Year to Date (CY 2021) | Notes |
|---|---------------|---------------------------|--|
| Number of Random Audits of lobbying filings completed | 74 | 253 | |
| Number of Random FDS reviews completed | 0 | 0 | Current focus is on Targeted FDS Reviews |
| Requests for Additional Information Letters (RAIL) sent after Random Review | 0 | 0 | Current focus is on Targeted FDS Reviews |
| Number of Targeted FDS reviews completed | 47 | 274 | |
| RAILs sent after | 4 | 58 | |
| Targeted Review | | | |

Public Information Office

| | Monthly Count | Year to Date (CY 2021) | Notes |
|------------------|---------------|------------------------|-------|
| Records Access | 11 | 67 | |
| Requests | | | |
| Records Provided | 33 | 306 | |