

Symmetric Functions

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These are notes based on my self-study of Chapter 7 in R.P Stanley’s “Enumerative Combinatorics”, mixed in with readings of various other expositions.

Contents

Notation and conventions	2
1 Symmetric Functions	4
1.1 As a certain subring of FPS in countably many indeterminates	4
1.1.1 Definition	4
1.1.2 The ring of symmetric functions	4
1.2 As a limit of graded rings	5
2 Partitions	5
2.1 Definition	5
2.2 Young diagrams	6
2.3 Orders	6
3 Distinguished bases of symmetric functions	6
3.1 Monomial symmetric functions	7
3.2 Elementary symmetric functions	7
3.2.1 The fundamental theorem of symmetric functions	9
3.3 Complete homogeneous symmetric functions	9
3.4 Power sum symmetric functions	10

4	Some theorems	10
4.1	The Newton-Girard formulas	10
4.2	Vieta's formulas	13
4.3	Cauchy identities	13
5	ω-involution	14
6	The Hall inner product	14
7	Schur functions	16
7.1	Combinatorial definitions	16
7.1.1	Schur functions via semistandard Young tableaux	16
7.1.2	Skew Schur functions	17
7.1.3	Kostka numbers	18
7.2	The Jacobi-Trudi identity	21
7.2.1	Statement	21
7.2.2	The Lindström-Gessel-Viennot lemma	21
7.2.3	Proof	22
8	The Robinson-Schensted-Knuth correspondence	23
8.1	Row insertion	23
8.2	Generalized two-line notation	24
8.3	The RSK algorithm	25
9	Appendix	28
10	Solutions to Exercises	28

Notation and conventions

Sets

We take \mathbb{N} to be the set of natural numbers *including* zero,

$$\mathbb{N} := \{0, 1, 2, \dots\}.$$

We take \mathbb{P} to be the set of *positive integers*,

$$\mathbb{P} := \{1, 2, \dots\}.$$

$\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ are defined as usual.

Partitions and compositions

A *weak composition* α of $n \in \mathbb{N}$ is an infinite tuple of nonnegative integers

$$(\alpha_1, \alpha_2, \dots)$$

such that $\sum_i \alpha_i = n$. We define $|\alpha| = \sum_i \alpha_i$ to have notation for recovering n given α .

When more convenient (most of the time), we will omit brackets and commas, and write compositions as strings of digits. For example we will write $(1, 1, 4, 3)$ as 1143.

A *partition* λ of n is a weak composition whose entries are *weakly decreasing*. That a particular partition λ is a partition of a particular n is denoted $\lambda \vdash n$.

I use English notation when drawing diagrams and tableaux, meaning, rows are drawn further downward as the index increases.

Rings, polynomials, and formal power series

All rings considered are commutative and unital. An arbitrary ring will be denoted \mathbb{K} .

$\mathbb{K}[[t]]$ will denote the formal power series ring over \mathbb{K} in the indeterminate t .

We will fix notation for the following sets of indeterminates:

- (a) $X_N := (x_1, x_2, \dots, x_N)$ for a set of N indeterminates.
- (b) $X := (x_1, x_2, \dots)$ for a set of countably many indeterminates.
- (c) Y, Y_N, Z, Z_N, Q, Q_N and so on are defined similarly.

Let $\mathbb{K}[[X]]$ be a formal power series ring. With compositions, partitions, or otherwise any finitely supported tuple of nonnegative integers α , we define *multi-index notation* for compactly writing down monomials in $\mathbb{K}[[X]]$.

$$x^\alpha := x_1^{\alpha_1} x_2^{\alpha_2} x_3^{\alpha_3} \dots$$

In the context of multi-index notation, α will also be called x 's *exponent vector*. We will let $[x^\alpha]f$ denote the coefficient of $[x^\alpha]$ in the formal power series f .

Permutations and the symmetric group

\mathfrak{S}_n will denote the symmetric group on n letters. I chose this symbol mostly because I think it looks insanely cool.

I use cycle notation, so e.g the cycle that sends 1 to 7, 7 to 4, and 4 to 1 will be written as (174) .

The simple transpositions $(i \ i + 1)$ will be denoted s_i .

Permutations will act on functions by permuting places, so if $w \in \mathfrak{S}_n$ and f is a function, then

$$wf(x_1, \dots, x_n) = f(x_{w(1)}, \dots, x_{w(n)}).$$

I Symmetric Functions

The first definition is exactly how the ring of symmetric functions is defined in [StanleyEC2], which is was my primary reference on this topic.

I.1 As a certain subring of FPS in countably many indeterminates

I.1.1 Definition

Definition I.1.1. A homogeneous symmetric function of degree n over a ring \mathbb{K} is a formal power series

$$\sum_{\alpha} c_{\alpha} x^{\alpha} \in \mathbb{K}[[X]],$$

where we are summing over all weak compositions α of n , and every c_{α} is a scalar.

We denote the set of all such formal power series by $\Lambda_{\mathbb{K}}^n$.

These form a \mathbb{K} -module, as a submodule of $\mathbb{K}[[X]]$. The following is a simple example:

Example I.1.2. The formal power series

$$f = \sum_i x_i^2 + 10 \sum_{i < j} x_i x_j$$

is a symmetric function that is homogeneous of degree 2. In this case $c_{\alpha} = 1$ whenever $\alpha = \dots 2 \dots$, and $c_{\alpha} = 10$ whenever $\alpha = \dots 1 \dots 1 \dots$.

We note that multiplying any two homogeneous symmetric functions f, g of degree m and n respectively give us a homogeneous symmetric function of degree $m + n$. The following definition gives us the space in which this multiplication makes sense.

I.1.2 The ring of symmetric functions

Definition I.1.3. The ring of symmetric functions $\Lambda_{\mathbb{K}}$ is the infinite direct sum

$$\Lambda_{\mathbb{K}} := \Lambda_{\mathbb{K}}^0 \oplus \Lambda_{\mathbb{K}}^1 \oplus \dots$$

In the case when $\mathbb{K} = \mathbb{Q}$, we will suppress \mathbb{Q} and refer to $\Lambda_{\mathbb{Q}}^n$ and $\Lambda_{\mathbb{Q}}$ as Λ^n and Λ respectively.

This direct sum is *internal*, happening within $\mathbb{K}[[X]]$. This is a \mathbb{K} -algebra, being a subalgebra of $\mathbb{K}[[X]]$.

This greatly broadens the possible definitions for symmetric functions. For example, the following demonstrates a symmetric function that arises from an infinite product, which evidently contains many monomials of different degrees and is not at all homogeneous.

Example 1.1.4. The formal power series

$$f = \prod_i (1 + 5x_i^2 + 7x_i^5)$$

is a symmetric function.

And in fact, we will find many such symmetric functions, usually in the form of *Cauchy identities*.

1.2 As a limit of graded rings

The *definition* of a symmetric function arises from the study of *symmetric polynomials*. Specifically, it is a limit of

Consider the polynomial ring $\mathbb{K}[x_1, \dots, x_n]$.

We denote this subring by $\mathbb{K}[x_1, \dots, x_n]^{\mathfrak{S}_n}$.

2 Partitions

2.1 Definition

Definition 2.1.1. A partition λ of $n \in \mathbb{N}$, which we denote $\lambda \vdash n$, is a sequence of numbers

$$(\lambda_1, \lambda_2, \dots)$$

such that

$$\sum_{i \in \mathbb{N}} \lambda_i = n$$

and

$$\lambda_j \leq \lambda_k$$

for all $j \geq k$.

In other words, λ_k is weakly decreasing and has only finitely many nonzero entries.

The set of all partitions λ such that $\lambda \vdash n$ is denoted $\text{Par}(n)$. We define the set of *all* partitions to be just Par .

2.2 Young diagrams

Partitions can be drawn as *Ferrers diagrams* and *Young diagrams*.

Both have the same underlying data structure: they encode the partition λ as a subset of \mathbb{N}^2 .

2.3 Orders

Definition 2.3.1 (Containment order). Young diagrams, as subsets of \mathbb{N}^2 , have a partial order induced by containment. *Containment order* for partitions is precisely this order when you pull back Young diagrams to partitions.

We will use \subseteq to denote this order.

Definition 2.3.2 (Dominance order). Let λ and ν be two partitions. We say that λ *dominates* or *majorizes* ν if

$$\sum_{k=1}^i \lambda_k \geq \sum_{k=1}^i \nu_k \quad \forall i \in \mathbb{N}.$$

We denote this relation $\lambda \leq \nu$.

Theorem 2.3.3 (The covering relation for dominance order). λ covers ν if

Definition 2.3.4 (Lexicographic order). We define the *lexicographic order* on Par to be

We denote this relation $\lambda \prec \nu$.

Theorem 2.3.5 (Lexicographic order is a total order).

Theorem 2.3.6 (Dominance order embeds into lexicographic order).

3 Distinguished bases of symmetric functions

We will cover several interesting bases of the ring of symmetric functions. These are *all* indexed by partitions.

3.1 Monomial symmetric functions

The first basis, the monomials, has the amazing property where it's *remarkably obvious the fact that it even is a basis*.

This is in total analogy to taking the *monomial basis of a polynomial ring*. In this case, we group together monomials by the orbits of the place-permuting \mathfrak{S}_n action.

Definition 3.1.1. Let $\lambda \vdash n$. The *monomial symmetric function* m_λ is

$$m_\lambda := \sum_{\alpha \sim \lambda} x^\alpha.$$

where $\alpha \sim \lambda$ means that α may be obtained by permuting the parts of λ .

The above definition involves permuting around the exponent vector α . I think it's actually much easier to think of the monomial symmetric functions as *permuting the subscripts*.

Example 3.1.2. Let $\lambda = 5322$. Then

$$\begin{aligned} m_\lambda = \sum_{i_1 < i_2 < i_3 < i_4} & \left(x_{i_1}^5 x_{i_2}^3 x_{i_3}^2 x_{i_4}^2 + x_{i_1}^5 x_{i_2}^2 x_{i_3}^3 x_{i_4}^2 + x_{i_1}^5 x_{i_2}^2 x_{i_3}^2 x_{i_4}^3 \right. \\ & + x_{i_1}^2 x_{i_2}^5 x_{i_3}^3 x_{i_4}^2 + x_{i_1}^2 x_{i_2}^5 x_{i_3}^2 x_{i_4}^3 + x_{i_1}^2 x_{i_2}^2 x_{i_3}^5 x_{i_4}^3 \\ & + x_{i_1}^3 x_{i_2}^5 x_{i_3}^2 x_{i_4}^2 + x_{i_1}^3 x_{i_2}^2 x_{i_3}^5 x_{i_4}^2 + x_{i_1}^3 x_{i_2}^2 x_{i_3}^2 x_{i_4}^5 \\ & \left. + x_{i_1}^2 x_{i_2}^3 x_{i_3}^5 x_{i_4}^2 + x_{i_1}^2 x_{i_2}^3 x_{i_3}^2 x_{i_4}^5 + x_{i_1}^2 x_{i_2}^2 x_{i_3}^3 x_{i_4}^5 \right). \end{aligned}$$

TODO: Add extra expression for monomial example

Now we prove the main result.

Theorem 3.1.3. The monomial symmetric functions form a basis for Λ .

Proof. It's impossible to form a nontrivial linear combination of monomial symmetric functions that sum to zero. \square

3.2 Elementary symmetric functions

Our next basis will be the *elementary symmetric functions*, which we will refer to as the *elementaries* or the e 's.

Definition 3.2.1. Let $n \in \mathbb{N}$. The *elementary symmetric function* e_n is defined to be

$$e_n := \sum_{i_1 < i_2 < \dots < i_n} x_{i_1} x_{i_2} \cdots x_{i_n}.$$

And if we let $\lambda \vdash n$, the elementary symmetric function e_λ is defined to be

$$e_\lambda := e_{\lambda_1} e_{\lambda_2} \cdots.$$

Now we examine the relationship between the elementaries and the monomials.

Definition 3.2.2. Let $\lambda \vdash n$. We define $M_{\lambda\mu}$ to be the coefficient of m_μ in the expansion of e_λ in the monomial basis. That is, the numbers such that

$$e_\lambda = \sum_{\mu} M_{\lambda\mu} m_\mu.$$

More generally, for any weak composition α , let $M_{\lambda\alpha}$ be the coefficient of x^α in e_λ .

Theorem 3.2.3. The coefficient $M_{\lambda\mu}$ is counted by zero-one matrices whose row-sums are λ and whose column sums are μ .

Proof. Consider what is going on when we compute the terms of e_λ ,

$$e_\lambda = e_{\lambda_1} e_{\lambda_2} \cdots.$$

The right hand side is

$$\left(\sum_{i_1 < \dots < i_{\lambda_1}} x_{i_1} \cdots x_{i_{\lambda_1}} \right) \left(\sum_{i_1 < \dots < i_{\lambda_2}} x_{i_1} \cdots x_{i_{\lambda_2}} \right) \cdots$$

and naming a term in this product means you pick up monomials which are products of λ_1 distinct variables from λ_1 , monomials of λ_2 distinct variables from λ_2 , and so on.

These choices of distinct variables are in fact subsets of (x_1, x_2, \dots) , and encoding these subsets with lists of 1s and 0s gives us the rows of our 0 – 1 matrix, where the row i corresponds to e_{λ_i} .

$$\begin{bmatrix} x_1 & x_2 & x_3 & \cdots \\ x_1 & x_2 & x_3 & \cdots \\ x_1 & x_2 & x_3 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

The fact that we picked up λ_i variables in each row manifests as the i -th row sum being equal to λ_i . The exponent of a given variable x_j appearing in a monomial only depends on how many times we picked up an x_j from each e_{λ_i} . This manifests as the j -th column sum being equal to μ_j . \square

Theorem 3.2.4. Let $\lambda, \mu \vdash n$, then

$$M_{\lambda\mu} = M_{\mu\lambda}.$$

Proof. Matrix transposition is a bijection between the sets the two numbers count. \square

3.2.1 The fundamental theorem of symmetric functions

Theorem 3.2.5 (Gale-Ryser). Let $M = [a_{ij}]$ be a zero-one matrix, whose row sums are given by the composition α and whose column sums are given by composition β . Then it must be that $\alpha \leq \beta^T$. Moreover, there is only *one* zero-one matrix such that $\alpha = \beta^T$.

Proof. We demonstrate this algorithmically. **TODO: Finish proof of Gale-Ryser** \square

Theorem 3.2.6 (Fundamental theorem of symmetric functions). The e 's form a \mathbb{Z} -basis for the ring of symmetric functions.

Proof. By Theorem 3.2.5, the transition matrix is upper-triangular and has 1's on the diagonal, hence it is invertible. \square

3.3 Complete homogeneous symmetric functions

The *complete homogeneous symmetric functions*, or the *completes*, or the h 's, have a very similar definition as the elementaries, but with distinctness relaxed.

Definition 3.3.1. Let $n \in \mathbb{N}$. The *complete homogeneous symmetric function* h_n is defined to be

$$h_n := \sum_{i_1 \leq i_2 \leq \dots \leq i_n} x_{i_1} x_{i_2} \cdots x_{i_n}.$$

And if we let $\lambda \vdash n$, the elementary symmetric function h_λ is defined to be

$$h_\lambda := h_{\lambda_1} h_{\lambda_2} \cdots.$$

Theorem 3.3.2. $N_{\lambda\nu}$ is counted by \mathbb{N} -matrices with row sums λ and column sums ν .

Theorem 3.3.3. Let $\lambda, \nu \vdash n$, then

$$N_{\lambda\nu} = N_{\nu\lambda}.$$

Proof. The exact same as with Theorem 3.2.4. □

3.4 Power sum symmetric functions

Definition 3.4.1. Let $n \in \mathbb{N}$. The *power sum symmetric function* p_n is defined to be

$$p_n := \sum_i x_i^n$$

And if we let $\lambda \vdash n$, the elementary symmetric function p_λ is defined to be

$$p_\lambda := p_{\lambda_1} p_{\lambda_2} \cdots$$

4 Some theorems

4.1 The Newton-Girard formulas

Theorem 4.1.1 (Newton-Girard formulas). Let $n \in \mathbb{P}$. Then

$$\sum_{k=0}^n (-1)^k e_k h_{n-k} = 0 \tag{1}$$

$$\sum_{k=0}^n (-1)^{k-1} e_{n-k} p_k = n e_n \tag{2}$$

$$\sum_{k=0}^n h_{n-k} p_k = n h_n \tag{3}$$

Proof. First, we “upgrade” to proving certain power series identities, from which the Newton-Girard formulas can be read off. Consider the power series

$$H(t) := \sum_{n \in \mathbb{N}} h_n t^n \in \Lambda[[t]]$$

and

$$E(t) := \sum_{n \in \mathbb{N}} e_n t^n \in \Lambda[[t]].$$

Combinatorially, we can see that

$$H(t) = \prod_{n \in \mathbb{N}} \frac{1}{1 - x_n t}$$

and

$$E(t) = \prod_{n \in \mathbb{N}} (1 + x_n t).$$

So we have that

$$H(t)E(-t) = \prod_{n \in \mathbb{N}} \frac{1 - x_n t}{1 + x_n t} = 1.$$

Then $[t^n]H(t)E(-t) = 0$ for all $n \geq 1$, giving us

$$\sum_{k=0}^n (-1)^k e_k h_{n-k} = 0 \quad \forall n \geq 1.$$

This proves the first Newton-Girard formula (1).

For the next, we define a new power series

$$P(t) := \sum_{n \geq 1} p_n t^n \in \Lambda[[t]].$$

Which has the following formula

$$P(t) = t \sum_{n \in \mathbb{N}} \frac{x_n}{1 - x_n t}$$

Doing the same thing,

$$\begin{aligned}
 E(-t)P(t) &= \left[\prod_{n \in \mathbb{N}} (1 - x_n t) \right] \left[t \sum_{m \in \mathbb{N}} \frac{x_m}{1 - x_m t} \right] \\
 &= t \sum_{m \in \mathbb{N}} \left[\frac{x_m}{1 - x_m t} \prod_{n \in \mathbb{N}} (1 - x_n t) \right] \\
 &= t \sum_{m \in \mathbb{N}} \left[x_m \prod_{\substack{n \in \mathbb{N} \\ n \neq m}} (1 - x_n t) \right] \\
 &= -t \sum_{m \in \mathbb{N}} \left[-x_m \prod_{\substack{n \in \mathbb{N} \\ n \neq m}} (1 - x_n t) \right].
 \end{aligned}$$

The sum can be expressed as the derivative of an infinite product, and we can continue the simplification

$$\begin{aligned}
 &= -t \frac{d}{dt} \left[\prod_{m \in \mathbb{N}} (1 - x_m t) \right] \\
 &= -t \frac{d}{dt} E(-t) \\
 &= -t \frac{d}{dt} \left[\sum_{n \in \mathbb{N}} (-1)^n e_n t^n \right] \\
 &= -t \left[\sum_{n \geq 1} n (-1)^n e_n t^{n-1} \right] \\
 &= \sum_{n \geq 1} n (-1)^{n-1} e_n t^n.
 \end{aligned}$$

Then, the formula for $[t^n]E(-t)P(t)$ given $n \geq 1$ is

$$\sum_{k=0}^n (-1)^k e_{n-k} p_k = n (-1)^{n-1} e_n \quad \forall n \geq 1.$$

And after moving the -1 factors,

$$\sum_{k=0}^n (-1)^{k-1} e_{n-k} p_k = n e_n.$$

This proves the second Newton-Girard formula, (2).

The proof of the third is very similar and actually even easier, since

$$\begin{aligned} \frac{d}{dt} H(t) &= \frac{d}{dt} \left[\prod_{n \in \mathbb{N}} \frac{1}{1 - x_n t} \right] \\ &= \sum_{m \in \mathbb{N}} \left[\frac{x_m}{(1 - x_m t)^2} \prod_{\substack{n \in \mathbb{N} \\ n \neq m}} \frac{1}{1 - x_n t} \right] \\ &= \sum_{m \in \mathbb{N}} \left[\frac{x_m}{1 - x_m t} \prod_{n \in \mathbb{N}} \frac{1}{1 - x_n t} \right] \end{aligned}$$

Then

$$\begin{aligned} H(t)P(t) &= \left[\prod_{n \in \mathbb{N}} \frac{1}{1 - x_n t} \right] \left[t \sum_{m \in \mathbb{N}} \frac{x_m}{1 - x_m t} \right] \\ &= t \sum_{m \in \mathbb{N}} \left[\frac{x_m}{1 - x_m t} \prod_{n \in \mathbb{N}} \frac{1}{1 - x_n t} \right] \\ &= t \frac{d}{dt} H(t) \\ &= t \frac{d}{dt} \left[\sum_{n \in \mathbb{N}} h_n t^n \right] \\ &= t \sum_{n \geq 1} n h_n t^{n-1} \\ &= \sum_{n \geq 1} n h_n t^n, \end{aligned}$$

which proves the third Newton-Girard formula, (3). □

4.2 Vieta's formulas

4.3 Cauchy identities

Theorem 4.3.1. We have that

$$\prod_{i,j \geq 1} (1 + x_i y_j) = \sum_{\lambda \in \text{Par}} m_\lambda(X) e_\lambda(Y).$$

Theorem 4.3.2. We have that

$$\prod_{i,j \geq 1} (1 - x_i y_j)^{-1} = \sum_{\lambda \in \text{Par}} m_\lambda(X) h_\lambda(Y).$$

Theorem 4.3.3. We have that

$$\prod_{i,j \geq 1} (1 - x_i y_j)^{-1} = \sum_{\lambda \in \text{Par}} z_\lambda^{-1} p_\lambda(X) p_\lambda(Y).$$

5 ω -involution

Theorem 5.0.1. For all n ,

$$\omega(h_n) = e_n.$$

Thus, ω is an involution.

Proof. We will use the first Newton-Girard formula (1). □

6 The Hall inner product

We define an inner product $\langle \cdot, \cdot \rangle$ on Λ via the following rule:

Definition 6.0.1. Let $\langle \cdot, \cdot \rangle$ be the scalar product defined by the relationship

$$\langle m_\lambda, h_\nu \rangle = \delta_{\lambda\nu}.$$

This scalar product is called the *Hall inner product*. It is well defined since the m 's and h 's form a basis for Λ .

Theorem 6.0.2. $\langle \cdot, \cdot \rangle$ is symmetric.

Proof. It suffices to prove that products of basis elements are symmetric for some bases of Λ . Consider the basis $\{h_\lambda\}$. We have that

$$\langle h_\lambda, h_\nu \rangle = \left\langle \sum_{\gamma} N_{\lambda\gamma} m_\gamma, h_\nu \right\rangle = N_{\lambda\nu}.$$

Then $\langle h_\lambda, h_\nu \rangle = N_{\lambda\nu} = N_{\nu\lambda} = \langle h_\lambda, h_\nu \rangle$. □

Theorem 6.0.3. Any two bases $\{u_\lambda\}$ and $\{v_\lambda\}$ of Λ that have a Cauchy identity

$$\prod_{i,j \geq 1} (1 - x_i y_j)^{-1} = \sum_{\lambda \in \text{Par}} u_\lambda(X) y_\lambda(Y) \quad (4)$$

are orthonormal with respect to the Hall inner product.

Proof. Let

$$m_\lambda = \sum_{\rho} \zeta_{\lambda\rho} u_\rho, \quad h_\mu = \sum_{\nu} \eta_{\mu\nu} v_\nu.$$

Then

$$\delta_{\lambda\mu} = \langle m_\lambda, h_\mu \rangle = \sum_{\rho, \nu} \zeta_{\lambda\rho} \eta_{\mu\nu} \langle u_\rho, v_\nu \rangle$$

If $\langle u_\lambda, v_\mu \rangle = \delta_{\lambda\mu}$, the above becomes

$$\delta_{\lambda\mu} = \sum_{\rho, \nu} \zeta_{\lambda\rho} \eta_{\mu\nu} \delta_{\rho\nu}.$$

Since $\sum_{\nu} \eta_{\mu\nu} \delta_{\rho\nu} = \eta_{\mu\rho}$, we find that

$$\delta_{\lambda\mu} = \sum_{\rho} \zeta_{\lambda\rho} \eta_{\mu\rho}. \quad (5)$$

The sequence of equalities given can be run backwards, so we have to just show (5) and the theorem is proven. By Theorem 4.3.2, we have that

$$\prod_{i,j} (1 - x_i y_j)^{-1} = \sum_{\lambda} m_\lambda(X) h_\lambda(Y).$$

So

$$\prod_{i,j} (1 - x_i y_j)^{-1} = \sum_{\lambda} \left(\sum_{\rho} \zeta_{\lambda\rho} u_\rho(X) \right) \left(\sum_{\nu} \eta_{\lambda\nu} v_\nu(Y) \right).$$

Interchanging sums,

$$\sum_{\lambda} \left(\sum_{\rho} \zeta_{\lambda\rho} u_\rho(X) \right) \left(\sum_{\nu} \eta_{\lambda\nu} v_\nu(Y) \right) = \sum_{\rho, \nu} \left(\sum_{\lambda} \zeta_{\lambda\rho} \eta_{\lambda\nu} \right) u_\rho(X) v_\nu(Y).$$

By (4)

$$\sum_{\rho, \nu} \left(\sum_{\lambda} \zeta_{\lambda \rho} \eta_{\lambda \nu} \right) u_{\rho}(X) v_{\nu}(Y) = \sum_{\mu} u_{\mu}(X) v_{\mu}(Y).$$

Since the $u_{\lambda}(X) v_{\lambda}(Y)$ are linearly independent as power series, we can compare coefficients, which gives us the desired equality. \square

7 Schur functions

7.1 Combinatorial definitions

7.1.1 Schur functions via semistandard Young tableaux

Definition 7.1.1. A semistandard Young tableau is a Young diagram filled in with entries which increase *weakly* along rows but *strongly* along columns. The set of all semistandard Young tableau of shape λ is denoted $\text{SSYT}(\lambda)$.

The *type* of a semistandard Young tableau T , $\text{type}(T)$ is its set of entries counted with multiplicities. Equivalently, it's the tuple (a_1, a_2, \dots) defined by

$$a_i = (\text{\# of times } i \text{ appears in } T)$$

Example 7.1.2. The following Young tableau is semistandard, of shape 4311 and type 22221.

1	1	2	4
2	3	3	
4			
5			

Definition 7.1.3. Let T be a semistandard Young tableau. Then define the *weight* of T , x^T , to be the monomial

$$x^T := x_1^{a_1} x_2^{a_2} \cdots,$$

where a_1 is the number of occurrences of 1 in T , a_2 is the number of occurrences of 2 in T , and so on.

Definition 7.1.4 (Schur functions via tableaux). Let $\lambda \vdash n$. The *Schur function* s_λ is defined to be

$$s_\lambda := \sum_{T \in SSYT(\lambda)} x^T.$$

Example 7.1.5. For the partition 22, we compute $s_\lambda(x_1, x_2, x_3)$. We have the following fillings that are semistandard Young tableaux:

$$\begin{array}{|c|c|} \hline 1 & 1 \\ \hline 2 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 2 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 3 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 2 \\ \hline 3 & 3 \\ \hline \end{array}.$$

These have the weights

$$x_1^2 x_2^2, \quad x_1^2 x_2 x_3, \quad x_1 x_2^2 x_3, \quad x_1^2 x_3^2, \quad x_1 x_2 x_3^2, \quad x_2^2 x_3^2$$

respectively. Hence we have computed that

$$s_{22}(x_1, x_2, x_3) = x_1^2 x_2^2 + x_1^2 x_3^2 + x_2^2 x_3^2 + x_1^2 x_2 x_3 + x_1 x_2^2 x_3 + x_1 x_2 x_3^2.$$

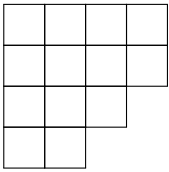
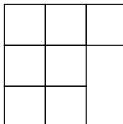
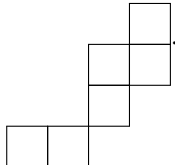
7.1.2 Skew Schur functions

Definition 7.1.6. Let λ, ν be partitions such that $\nu \subseteq \lambda$. Then the pair (λ, ν) is referred to as a *skew shape* and is denoted $\lambda \setminus \nu$.

The *skew diagram* of $\lambda \setminus \nu$ is the diagram obtained by taking λ 's Young diagram and removing all boxes that would be contained in ν 's Young diagram.

Finally, a *skew tableau of shape $\lambda \setminus \nu$* or a *tableau of skew shape $\lambda \setminus \nu$* is a filling of the skew diagram of $\lambda \setminus \nu$.

Such a tableau will still be called *semistandard* if it weakly increases along rows and strongly increases along columns.

Example 7.1.7. Let $\lambda =$  and $\nu =$ . Then $\lambda \setminus \nu$ is .

7.1.3 Kostka numbers

Definition 7.1.8. Let $\lambda \vdash n$ and let α be a weak composition of n . The *Kostka number* $K_{\lambda\alpha}$ counts the number of SSYT with shape λ and type α .

Let $\nu \subseteq \lambda$. We define the skew Kostka number $K_{\lambda \setminus \nu, \alpha}$ similarly.

Remark 7.1.9. We have that

$$s_\lambda = \sum_{\alpha} K_{\lambda\alpha} x^\alpha.$$

Theorem 7.1.10. The skew Schurs, and therefore also the Schurs, are symmetric functions.

Proof. We will prove that $s_{\lambda \setminus \nu}$ is invariant under the action of simple transpositions. Consider the simple transposition s_i which swaps i and $i + 1$. Let α be some weak composition of n , and define

$$\tilde{\alpha} = s_i \alpha = (\alpha_1, \dots, \alpha_{i+1}, \alpha_i, \dots).$$

We wish to now show that

$$K_{\lambda \setminus \nu, \alpha} = K_{\lambda \setminus \nu, \tilde{\alpha}}.$$

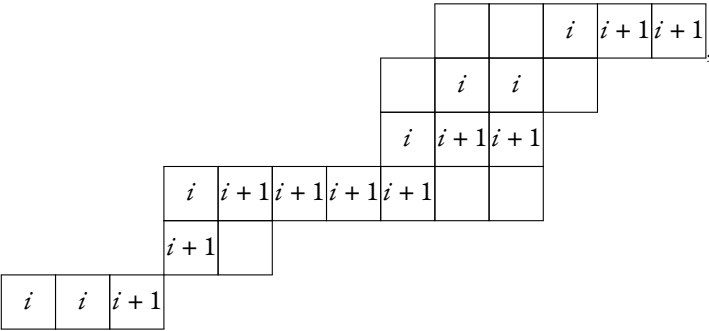
We will prove this by bijection.

The key fact here is that *if i and $i + 1$ appear in the same column, they must be vertically adjacent*. This is due to the column-strictness of semistandard Young tableau.

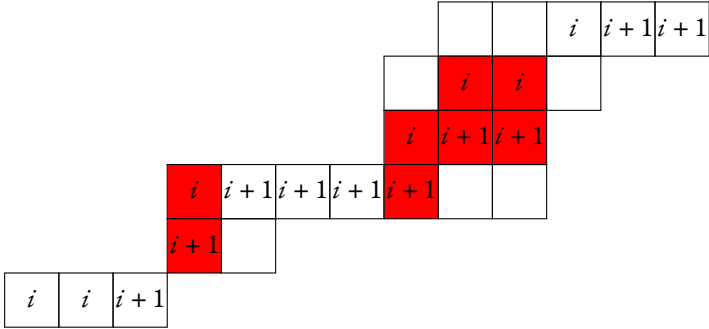
Let $T \in \text{SSYT}(\lambda \setminus \nu)$. Take all the columns of T which contain i or $i + 1$ but not both. These will form rows consisting of consecutive i 's followed by consecutive $i + 1$'s. In these rows, swap the number of consecutive i 's with the number of consecutive $i + 1$'s. This swap works because the i 's that become $i + 1$'s will not break column strictness since there is no $i + 1$ in the same column, similarly $i + 1$'s that become i 's will not break column strictness since there is no i in the same column.

This correspondence is involutive and therefore bijective. This completes the proof.

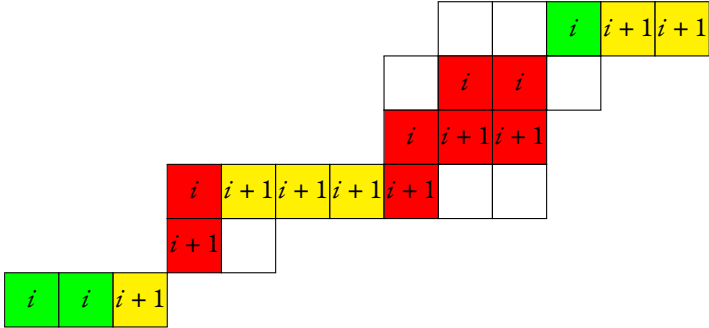
For example, if T looked like



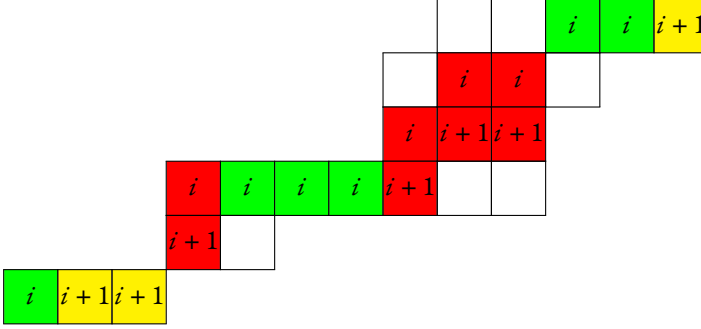
then we ignore all other entries with both i and $i + 1$.



and consider the remaining ones. Then, we keep track of the rows of consecutive i and $i + 1$'s.



Then, we flip the number of consecutive i and $i + 1$'s for each row.



□

As a consequence,

Corollary 7.1.11. Let $\lambda \setminus \nu$ be a skew shape. Then

$$s_{\lambda \setminus \nu} = \sum_{\gamma} K_{\lambda \setminus \nu, \gamma} m_{\gamma}.$$

Next, we will prove that the Schurs s_{λ} form a \mathbb{Z} -basis for Λ .

Theorem 7.1.12. Let $\lambda, \nu \vdash n$. Then $K_{\lambda \nu} \neq 0$ implies $\lambda \geq \nu$. Also, $K_{\lambda \lambda} = 1$.

Proof. Let $K_{\lambda \nu} \neq 0$. Then we have the existence of a SSYT T of shape λ and type ν .

We will show that the entries $1, \dots, k$ can only appear in the first k rows of T . Since there can only be $\lambda_1 + \dots + \lambda_k$ entries in the first k rows of T , this automatically tells us that

$$\nu_1 + \dots + \nu_k \leq \lambda_1 + \dots + \lambda_k.$$

Suppose that k appeared in the row $i > k$, and let $T_{ij} = k$. Then

$$1 \leq T_{1j} < T_{2j} < \dots < T_{kj} < \dots < T_{ij} = k.$$

But that implies

$$k \leq T_{kj} < \dots < T_{ij} = k.$$

which gives us $k < k$, a contradiction.

If $\nu = \lambda$, the only possible SSYT has the i th row filled with i .

□

Corollary 7.1.13. $\{s_\lambda : \lambda \in \text{Par}(n)\}$ forms a basis for Λ^n , and therefore $\{s_\lambda : \lambda \in \text{Par}\}$ form a basis for Λ , as the transition matrix $(K_{\lambda\nu})_{n \prec \lambda, \nu \prec 1^n}$ is lower triangular.

7.2 The Jacobi-Trudi identity

7.2.1 Statement

Theorem 7.2.1. Let λ be a partition. Then

$$s_\lambda = \det(h_{\lambda_i + j - i})_{i,j=1}^{\text{len}(\lambda)}.$$

7.2.2 The Lindström-Gessel-Viennot lemma

Definition 7.2.2. A *digraph* D is a pair consisting of a vertex set $V(D)$ and an arc set $A(D)$ which consists of ordered pairs of vertices. We will suppress the D and refer to the vertex and arc sets as V and A .

Definition 7.2.3. A *path* p in a digraph D is an ordered list of arcs (a_1, \dots, a_k) which are connected end-to-end.

Definition 7.2.4. A *cycle* is a path which starts and ends at the same vertex.

Definition 7.2.5. Let D be a digraph.

- We say that D is *acyclic* if it contains no cycles.
- We say that D is *path-finite* whenever there exist only finitely many paths from u to v for all $u, v \in V$.
- Let \mathbb{K} be a ring. We say that D is *weighted* when we have a function $w : A \rightarrow \mathbb{K}$ that assigns a *weight* to each arc of D .

Theorem 7.2.6 (Lindström-Gessel-Viennot). Let D be an weighted, acyclic path-finite, digraph.

Let U, V be two sets of n vertices in D . Define the *weight* of a path p to be

$$w(p) = \prod_{a \in p} a$$

For any two vertices u, v of D , define the quantity $\phi(u, v)$ to be

$$\phi(u, v) = \sum_{p: u \rightarrow v} w(p),$$

where $p : u \rightarrow v$ means that p is a path from u to v .

Consider now the determinant

$$\det \begin{bmatrix} \phi(u_1, v_1) & \cdots & \phi(u_n, v_1) \\ \vdots & \ddots & \vdots \\ \phi(u_1, v_n) & \cdots & \phi(u_n, v_n) \end{bmatrix}$$

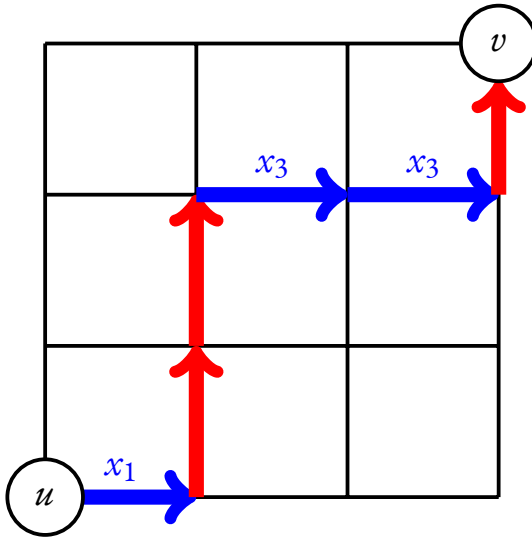
TODO: Finish proof of LGV

7.2.3 Proof

Proof of the Jacobi-Trudi identity. Fix $N \in \mathbb{N}$. Consider the digraph D whose vertex set is $\mathbb{N} \times \{1, \dots, N\}$.

We assign weights to the edges so that all vertical arcs are weighted 1, and all horizontal arcs $(i, j) \rightarrow (i + 1, j)$ are weighted x_{j+1} .

For example, consider the following path



This path's weight is $x_1 x_3^2$.

Paths $p : (i, 1) \rightarrow (i + n, N)$ are in bijection with monomials in $\mathbb{K}[X_N]$ of degree n .

We lay out the parts of λ in ascending order at $x = 1$.

TODO: Finish proof of Jacobi-Trudi

□

8 The Robinson-Schensted-Knuth correspondence

I'm going to define RSK functionally instead of imperatively.

(After the fact, I think this was a waste of time and was more or less a cacophony of notation, but it was interesting regardless.)

8.1 Row insertion

The basic operation will be that of *row insertion*.

Definition 8.1.1 (Row insertion). Let $P = (P_{ij})$ be a SSYT of shape λ . And let $t \in \mathbb{N}$. We will define the *insertion path* $I(P \leftarrow t)$ now. We write that $t \geq P_m$ whenever $t \geq \max P_m$ or P_m is empty and $t < P_m$ otherwise. If $t < P_m$, define

$$(P_m \leftarrow t) := \begin{cases} \lambda_m + 1 & \text{if } t \geq \max P_m \text{ or } P_m \text{ is empty} \\ \min\{n : P_{mn} > t\} & \text{otherwise.} \end{cases}.$$

We note that $(P_m \leftarrow t) \leq \lambda_m + 1$ always. We will define a recursive function RowInsert as follows.

$$\begin{aligned} & \text{RowInsert}(P, m, t) \\ &:= \begin{cases} [(P_m \leftarrow t)] & t \geq P_m \\ [(P_m \leftarrow t)] + \text{RowInsert}(P, m+1, P_{m(P_m \leftarrow t)}) & t < P_m \end{cases}. \end{aligned}$$

Where $+$ denotes list concatenation. Now, define $I(P \leftarrow t) := \text{RowInsert}(P, 1, t)$.

Now we define the tableau that results from row insertion, $(P \leftarrow t)$.

Definition 8.1.2. Let P be a SSYT and let $t \in \mathbb{N}$. Let $I(P \leftarrow t) = [j_1, j_2, \dots, j_M]$.

$$(P \leftarrow t)_{rs} := \begin{cases} t & \text{if } (r, s) = (1, j_1) \\ P_{m-1, j_{m-1}} & \text{if } (r, s) = (m, j_m) \\ P_{rs} & \text{otherwise.} \end{cases}$$

We state a property of insertion paths that will allow us to prove that row insertion gives us SSYT.

Theorem 8.1.3. Let P be a SSYT of shape λ , let $t \in \mathbb{P}$, and let $I(P \leftarrow t) = [j_1, j_2, \dots, j_M]$. Then $I(P \leftarrow t)$ is weakly decreasing.

Proof. Let $1 \leq m < M$. Suppose that $\lambda_{m+1} < j_m$. Then

$$j_{m+1} = (P_m \leftarrow k) \leq \lambda_m + 1 \leq j_m.$$

Now suppose that $\lambda_{m+1} \geq j_m$. Then P_{m+1, j_m} exists, and it must be that $P_{m j_m} < P_{m+1, j_m}$ due to column strictness. Then

$$j_{m+1} = (P_{m+1} \leftarrow P_{m j_m}) = \min\{n : P_{m+1, n} > P_{m j_m}\} \leq j_m.$$

Either way $j_{m+1} \leq j_m$. □

Corollary 8.1.4. If P is a SSYT and $t \in \mathbb{P}$, then $(P \leftarrow t)$ is a SSYT.

Proof. By the definition of row insertion, the rows are weakly increasing. Consider inserting a bumped number. By the previous theorem, it can only be moved down or down left, which means that it will always be inserted below a smaller number. This continues for the whole insertion path. □

8.2 Generalized two-line notation

Definition 8.2.1. Let $A = (a_{ij})$ be a \mathbb{N} -matrix. Let $i, j \in \mathbb{N}$, and write a *two-line entry* to be

$$\begin{pmatrix} i \\ j \end{pmatrix}.$$

We concatenate two-line entries as follows

$$\begin{pmatrix} i \\ j \end{pmatrix} + \begin{pmatrix} k \\ l \end{pmatrix} := \begin{pmatrix} i & k \\ j & l \end{pmatrix}.$$

Now consider the following formal sum:

$$\begin{aligned} w_A &= \sum_{i=1}^{\infty} \sum_{j=1}^{\infty} a_{ij} \begin{pmatrix} i \\ j \end{pmatrix} \\ &= \sum_{i=1}^{\infty} \left(a_{i1} \begin{pmatrix} i \\ 1 \end{pmatrix} + a_{i2} \begin{pmatrix} i \\ 2 \end{pmatrix} + \cdots \right) \\ &= \left(a_{11} \begin{pmatrix} 1 \\ 1 \end{pmatrix} + a_{12} \begin{pmatrix} 1 \\ 2 \end{pmatrix} + \cdots \right) + \left(a_{21} \begin{pmatrix} 2 \\ 1 \end{pmatrix} + a_{22} \begin{pmatrix} 2 \\ 2 \end{pmatrix} + \cdots \right) + \cdots . \end{aligned}$$

If A has finite support, w_A is well-defined. w_A is the *two-line array* or *generalized permutation* corresponding to the matrix A . We note that in w_A the individual two-line entries are sorted in lexicographic order.

Example 8.2.2. Let A be the matrix

$$\begin{bmatrix} 1 & 0 & 3 & 2 \\ 0 & 1 & 0 & 0 \\ 2 & 4 & 1 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}.$$

Then

$$w_A = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 2 & 3 & 3 & 3 & 3 & 3 & 3 & 4 \\ 1 & 2 & 2 & 2 & 4 & 4 & 2 & 1 & 1 & 2 & 2 & 2 & 2 & 3 \end{pmatrix}$$

8.3 The RSK algorithm

Definition 8.3.1. We define the *RSK correspondence* to be a rule that assigns to \mathbb{N} -matrices of finite support to pairs of SSYT (P, Q) , which we notate

$$A \xrightarrow{\text{RSK}} (P, Q).$$

To compute (P, Q) , let

$$w_A = \begin{pmatrix} i_1 & i_2 & \cdots & i_m \\ j_1 & j_2 & \cdots & j_m \end{pmatrix},$$

and begin with $(P_0, Q_0) = (\emptyset, \emptyset)$. Iteratively construct (P_{t+1}, Q_{t+1}) from (P_t, Q_t) as follows

- (a) $P_{t+1} = P_t \leftarrow j_{t+1}$
- (b) Add a new box to Q_t where a new box to P_t was added, and fill it with i_{t+1} .

This process continues until $t = m$, and we take $(P, Q) = (P_m, Q_m)$.

P is referred to as the *insertion tableau* and Q is referred to as the *recording tableau*.

Example 8.3.2. Let

$$A := \begin{bmatrix} 1 & 0 & 2 \\ 0 & 2 & 0 \\ 1 & 1 & 0 \end{bmatrix}.$$

We have that

$$w_A = \begin{pmatrix} 1 & 1 & 1 & 2 & 2 & 3 & 3 \\ 1 & 3 & 3 & 2 & 2 & 1 & 2 \end{pmatrix}.$$

So, going through the insertion process,

t	P_t	Q_t
1		
2	<div>1</div>	<div>1</div>
3	<div>1</div> <div>3</div>	<div>1</div> <div>1</div>
4	<div>1</div> <div>3</div> <div>3</div>	<div>1</div> <div>1</div> <div>1</div>
5	<div>1</div> <div>2</div> <div>3</div> <div>3</div>	<div>1</div> <div>1</div> <div>1</div> <div>2</div>
6	<div>1</div> <div>2</div> <div>2</div> <div>3</div> <div>3</div>	<div>1</div> <div>1</div> <div>1</div> <div>2</div> <div>2</div>
7	<div>1</div> <div>1</div> <div>2</div> <div>2</div> <div>3</div> <div>3</div>	<div>1</div> <div>1</div> <div>1</div> <div>2</div> <div>2</div> <div>3</div>
8	<div>1</div> <div>1</div> <div>2</div> <div>2</div> <div>2</div> <div>3</div> <div>3</div>	<div>1</div> <div>1</div> <div>1</div> <div>3</div> <div>2</div> <div>2</div> <div>3</div>

We find that

$$P = \begin{array}{cccc} 1 & 1 & 2 & 2 \\ 2 & 3 & & \\ 3 & & & \end{array} \quad Q = \begin{array}{cccc} 1 & 1 & 1 & 3 \\ 2 & 2 & & \\ 3 & & & \end{array}.$$

Theorem 8.3.3. RSK is a bijection between \mathbb{N} -matrices A of finite support and pairs of SSYT (P, Q) such that

$$\begin{aligned} \text{type}(P) &= \text{col}(A), \\ \text{type}(Q) &= \text{row}(A). \end{aligned}$$

Proof. That the types of P and Q correspond to row and column sums of A is obvious— j coordinates with multiplicities get inserted into P , while i coordinates with multiplicities get inserted into Q . That P is a SSYT follows from (8.1.4). That Q is a SSYT follows from properties of the insertion path.

Now, it remains to prove that the RSK correspondence is a bijection. RSK can actually be run backwards. First, we use this to prove injectivity, by showing that running RSK backwards is actually the inverse of RSK. Second, we use this to prove surjectivity, by showing that backwards RSK works for arbitrary pairs of SSYT.

□

Theorem 8.3.4 (Cauchy identity). We have

$$\prod_{i,j \geq 1} (1 - x_i y_j)^{-1} = \sum_{\lambda \in \text{Par}} s_{\lambda}(X) s_{\lambda}(Y). \quad (6)$$

Proof. We have that

$$\begin{aligned} [x^{\alpha} y^{\beta}] \left(\prod_{i,j \geq 1} (1 - x_i y_j)^{-1} \right) &= \left(\begin{array}{c} \# \text{ of } \mathbb{N}\text{-matrices } (a_{ij}) \text{ such that} \\ \text{row}(a) = \alpha, \text{ and } \text{col}(a) = \beta \end{array} \right) \\ [x^{\alpha} y^{\beta}] \left(\sum_{\lambda \in \text{Par}} s_{\lambda}(X) s_{\lambda}(Y) \right) &= \left(\begin{array}{c} \# \text{ of pairs } (P, Q) \text{ of SSYT of the same shape such that} \\ \text{type}(P) = \alpha, \text{ and } \text{type}(Q) = \beta \end{array} \right). \end{aligned}$$

RSK tells us that both counts are the same, hence both sides of the identity are equal as power series.

□

Corollary 8.3.5. The Schurs are an orthonormal basis of Λ with respect to the Hall inner product.

Proof. This follows from Theorem 6.0.3.

□

Corollary 8.3.6. Let $\mu, \nu \vdash n$. Then

$$\sum_{\lambda \vdash n} K_{\lambda\mu} K_{\lambda\nu} = \langle h_{\mu}, h_{\nu} \rangle.$$

Proof. Take the coefficient of $x^{\mu} y^{\nu}$ in (6).

□

Corollary 8.3.7. We have that

$$h_\mu = \sum_{\lambda} K_{\lambda\mu} s_\lambda.$$

Corollary 8.3.8. We have that

$$h_1^n \sum_{\lambda \vdash n} f^\lambda s_\lambda.$$

9 Appendix

Theorem 9.0.1. Googoo gaga

10 Solutions to Exercises

Exercise 10.0.1.

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