### TI2316 Slides week 8

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19 June 2019



### This lecture

- Computable functions
- Mapping / many-to-one reducibility
- Rice's theorem
- Reduction via computation histories
- Linear bounded automata

### Reducibility, formal

(See also videos Computable Functions and Reducing One Language to Another)

A function  $f: \Sigma^* \to \Sigma^*$  is computable if there exists a TM M that halts on every input w with f(w) on its tape. (Def. 5.17)

Computable functions can be used, for instance, to generate descriptions of TMs, given a certain input.

NB: We assume such a TM always halts in the accept state.

## Mapping (many-to-one) reducibility (Def. 5.20)

A language A is mapping reducible to language B, written  $A \leq_m B$ , if there exists a computable function  $f: \Sigma^* \to \Sigma^*$  such that for every  $w \in \Sigma^*$ :

$$w \in A \iff f(w) \in B$$
.

The function f is called the reduction of A to B.

Note Consequentially, for a reduction f, the following holds:

$$w \in A \quad \Rightarrow \quad f(w) \in B$$
, and also  $w \notin A \quad \Rightarrow \quad f(w) \notin B$ .

### Properties of reduction (1)

If  $A \leq_m B$  and B is decidable, then A is decidable. (Th. 5.22)

If  $A \leq_m B$  and A is undecidable, then B is undecidable. (Cor. 5.23)

 $A \leq_m B$  if and only if  $\overline{A} \leq_m \overline{B}$ .

## Example 1 mapping reduction

Instead of direct reduction  $A_{\mathsf{TM}} \leq \mathit{HALT}_{\mathsf{TM}}$ , a mapping reduction  $A_{\mathsf{TM}} \leq_m \mathit{HALT}_{\mathsf{TM}}$  can be given, where the reduction f is computed by the TM F:

$$F = \text{"On input } \langle M, w \rangle$$
:

1. Construct the following TM M':

M' = "On input x x:

- **1**. Run *M* on *x*.
- 2. Accept if *M* accepts.
- 3. "Loop" if M rejects."
- **2**. Return  $\langle M', w \rangle$ ."

## Example 2 mapping reduction

There exists a (simple) direct reduction  $E_{\mathsf{TM}} \leq EQ_{\mathsf{TM}}$ . This reduction can be interpreted as a mapping reduction.

The reduction f is defined here as:

$$f(\langle M\rangle)=\langle M,M_{\emptyset}\rangle,$$

where  $M_{\emptyset}$  is a machine that rejects every input.

(See also video The Equivalence of Turing Machines for the direct reduction)

### Properties of reduction (2)

If  $A \leq_m B$  and B is Turing-recognizable, then A is Turing-recognizable. (Th. 5.28)

If  $A \leq_m B$  and A is not Turing-recognizable, then B is not Turing-recognizable. (Cor. 5.29)

### Exercise

We have shown that  $A_{\mathsf{TM}}$  is directly reducible to  $E_{\mathsf{TM}}$ , i.e.,  $A_{\mathsf{TM}} \leq E_{\mathsf{TM}}$ , which was to say a procedure to decide  $E_{\mathsf{TM}}$  would give us a procedure to decide  $A_{\mathsf{TM}}$ .

Show that  $A_{\mathsf{TM}} \leq_m E_{\mathsf{TM}}$  does not hold. Or, equivalently,  $\overline{A_{\mathsf{TM}}} \leq_m \overline{E_{\mathsf{TM}}}$  does not hold.

Hint: What do you know about  $\overline{E_{TM}}$ ?

## Example 3 mapping reduction

In the direct reduction  $A_{\mathsf{TM}} \leq E_{\mathsf{TM}}$ , technically there is a mapping reduction:

$$A_{\mathsf{TM}} \leq_m \overline{E_{\mathsf{TM}}},$$

using the reduction *f* defined as:

$$f(\langle M, w \rangle) = \langle M_1 \rangle,$$

where  $M_1$  is a machine with the property that

$$M$$
 accepts  $w \Leftrightarrow L(M_1) \neq \emptyset$ .

# Constructing M<sub>1</sub>

Suppose we have  $\langle M, w \rangle$ . To be able to use R we modify M into  $M_1$ :

 $M_1$  = "On input x:

- 1. If  $x \neq w$ , reject.
- If x = w, run M on input w and accept if M accepts input w."

We now have:

$$M$$
 accepts  $w \Leftrightarrow L(M_1) = \{w\} \neq \emptyset$ .

## Example 4 mapping reduction

 $EQ_{\mathsf{TM}}$  is not Turing-recognizable and not co-Turing-recognizable. (Th. 5.30)

#### Proof

- To prove that  $EQ_{\mathsf{TM}}$  is not Turing-recognizable, we show:  $\overline{A_{\mathsf{TM}}} \leq_m EQ_{\mathsf{TM}}$ .
- For the second part,  $EQ_{\mathsf{TM}}$  is not co-Turing-recognizable, we show:  $\overline{A_{\mathsf{TM}}} \leq_m \overline{EQ_{\mathsf{TM}}}$ , or, equivalently,  $A_{\mathsf{TM}} \leq_m EQ_{\mathsf{TM}}$ .

### Exercise

Let *A* be a language. Prove the following statement.

*A* is Turing-recognizable iff  $A \leq_m A_{TM}$ .

### Solution

- (⇐) Suppose  $A \leq_m A_{\mathsf{TM}}$ . Since  $A_{\mathsf{TM}}$  is Turing-recognizable, A must be too (Th. 5.28).
- (⇒) Suppose A is Turing-recognizable. Then there exists a TM  $M_A$  recognizing the language A, i.e.,  $L(M_A) = A$ . The function f projecting a word  $w \in A$  onto  $\langle M_A, w \rangle$  is the reduction we need, since (check this!):

$$w \in A \text{ iff } f(w) = \langle M_A, w \rangle \in A_{\mathsf{TM}}.$$

Of course the requirement for f to be a computable function has been met.

### Exercise

Check whether the following statement is true or false:

If *A* and *B* are both non-Turing-recognizable languages, we have  $A \leq_m B$ .

### **Answer**

#### FALSE!!!

Counterexample: Take  $A = \overline{EQ_{TM}}$  and  $B = \overline{A_{TM}}$ .

Both  $\overline{EQ_{\text{TM}}}$  and  $\overline{A_{\text{TM}}}$  are non-Turing-recognizable.

 $\overline{EQ_{\mathsf{TM}}} \leq_m \overline{A_{\mathsf{TM}}}$  cannot hold, however, since this is equivalent to  $EQ_{\mathsf{TM}} \leq_m A_{\mathsf{TM}}$ . Since  $A_{\mathsf{TM}}$  is Turing-recognizable,  $EQ_{\mathsf{TM}}$  would have to be Turing-recognizable as well, but this is not the case.

### Rice's theorem

### Let *P* be a set of Turing machines satisfying:

- ① P is exclusively defined in terms of input/output behavior of TMs; that is to say, if  $L(M_1) = L(M_2)$ , then  $\langle M_1 \rangle \in P$  iff  $\langle M_2 \rangle \in P$ ;
- ② P is not trivial, which is to say,  $P \neq \emptyset$  and  $\overline{P} \neq \emptyset$ .

Then P is not decidable.

## Proof (1)

Let  $M_{\emptyset}$  be a TM that recognizes the empty language by rejecting every input. This means that  $L(M_{\emptyset}) = \emptyset$ .

If  $\langle M_{\emptyset} \rangle \notin P$ , then we perform the reduction  $HALT_{\mathsf{TM}} \leq_m P$ , otherwise  $HALT_{\mathsf{TM}} \leq_m \overline{P}$ . In both cases, the reduction is done the same way. Suppose  $\langle M_{\emptyset} \rangle \notin P$ . Also let  $\langle M_P \rangle \in P$  (we know that  $P \neq \emptyset$ ).

## Proof (2)

The reduction f is defined as follows:

$$f(\langle M, w \rangle) = \langle M_1 \rangle,$$

with:

 $M_1$  = "On input x:

- 1. Run M on input w.
- **2**. Run  $M_P$  on input x.
- 3. Return the output of  $M_P$  on x."

## Proof (3)

#### Now we have:

- If  $\langle M, w \rangle \in HALT_{\mathsf{TM}}$ , then  $L(M_1) = L(M_P)$  (since  $M_1$  always reaches steps 2 and 3). But then  $\langle M_1 \rangle \in P$ , since  $\langle M_P \rangle \in P$ .
- If  $\langle M, w \rangle \notin HALT_{\mathsf{TM}}$ , then  $M_1$  will not reach a halting state while executing step 1, so that  $L(M_1) = \emptyset = L(M_{\emptyset})$ . Therefore,  $\langle M_1 \rangle \notin P$ , since  $\langle M_{\emptyset} \rangle \notin P$ .

Since f is a computable function, we have now presented a reduction. The other case is analogous.

## Reduction via computation histories

This technique uses computation histories in the construction of a reduction.

(Sipser p. 220 and following)

## Computation histories (Def. 5.5)

Let M be a TM and w an input word. An accepting computation history for M on w is a sequence of configurations  $C_1, C_2, \ldots, C_l$  such that  $C_1$  is the start configuration of M on w,  $C_l$  is an accepting configuration of M, and each  $C_i$  is yielded by  $C_{i-1}$ .

A rejecting computation history is defined analogously, except that  $C_l$  is a rejecting configuration.

### Linear bounded automata (Def. 5.6)

A Linear Bounded Automaton (LBA) is a TM where the read/write head cannot leave the part of the tape that contain(ed) the input.

(See also video Linear Bounded Automata)

### **Exercise**

Explain why for an LBA, the following holds (which explains the name):

Since the tape alphabet can be greater than the input alphabet, the available memory of an LBA is in fact a constant factor times the input length.

### Number of configurations of an LBA (Lem. 5.8)

Let M be an LBA with q states and g symbols in the tape alphabet. Then there are exactly  $qng^n$  different configurations of M for a tape of length n.

## The acceptance problem for LBAs (Th. 5.9)

The acceptance problem  $A_{LBA}$  for LBAs, defined as

$$A_{\mathsf{LBA}} = \{ \langle M, w \rangle \mid M \text{ is an LBA that accepts input } w \},$$

is decidable.

## Undecidability of $E_{LBA}$ (Th. 5.10)

The problem  $E_{LBA}$ , defined as

$$E_{\mathsf{LBA}} = \{ \langle M \rangle \mid M \text{ is an LBA with } L(M) = \emptyset \}$$

is not decidable.

Proof: reduction via computation history:

$$A_{\mathsf{TM}} \leq E_{\mathsf{LBA}}$$
.

Suppose R is a decider that decides  $E_{LBA}$ .

### **Auxiliary construction**

We construct an LBA B that recognizes the language of accepting computation histories of M on w for a specific Turing machine M and input w. B is defined such that:

$$L(B) \neq \emptyset$$
  $\Leftrightarrow$   $M$  accepts  $w$ .

Note that L(B) contains exactly one string if M accepts input w.

This *B* can be "fed" to the decider *R*.