

# **Natural Language Models and Interfaces**

BSc Artificial Intelligence

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Institute for Logic, Language, and Computation

2018, week 5, lecture b

# Context-Free Grammars

A **CFG** grammar  $G$  is denoted by

- a finite set of **nonterminal** symbols  $\mathcal{V}$
- a finite set of **terminal** symbols  $\Sigma$  with  $\Sigma \cap \mathcal{V} = \emptyset$
- a finite set  $\mathcal{R}$  of **rules** of the form  $X \rightarrow \beta$  where
  - $X \in \mathcal{V}$  and  $\beta \in (\Sigma \cup \mathcal{V})^*$
- $S \in \mathcal{V}$  a distinguished **start** symbol

Let  $\varepsilon$  denote an **empty** string

# Example CFG

$S \rightarrow NP VP$

$Vi \rightarrow \text{sleeps}$

$VP \rightarrow Vi$

$Vt \rightarrow \text{saw}$

$VP \rightarrow Vt NP$

$NN \rightarrow \text{man}$

$VP \rightarrow VP PP$

$NN \rightarrow \text{dog}$

$NP \rightarrow DT NN$

$NN \rightarrow \text{telescope}$

$NP \rightarrow NP PP$

$DT \rightarrow \text{the}$

$PP \rightarrow IN NP$

$IN \rightarrow \text{with}$

# Generative Device

Left-most derivation

- sequence of strings  $\alpha_1 \dots \alpha_n$ 
  - $\alpha_1 = \langle S \rangle$
  - $\alpha_n \in \Sigma^*$
  - $\alpha_{i \geq 2}$  derived from  $\alpha_{i-1}$  by picking the left-most nonterminal  $X$ 
    - and replacing it by some  $\alpha$  such that  $X \rightarrow \beta \in \mathcal{R}$

# Example of Derivation

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String

Substitution

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	String	Substitution
$\alpha_1 =$	S	$S \rightarrow NP VP$

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$\alpha_5 =$	the man VP	$VP \rightarrow V_i$

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$\alpha_5 =$	the man VP	$VP \rightarrow Vi$
$\alpha_6 =$	the man Vi	$Vi \rightarrow sleeps$

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$\alpha_5 =$	the man VP	$VP \rightarrow Vi$
$\alpha_6 =$	the man Vi	$Vi \rightarrow \text{sleeps}$
$\alpha_7 =$	the man sleeps	

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$\alpha_5 =$	the man VP	$VP \rightarrow V_i$
$\alpha_6 =$	the man $V_i$	$V_i \rightarrow \text{sleeps}$
$\alpha_7 =$	the man sleeps	
$\alpha_7 =$	$S \Rightarrow^* \text{the man sleeps}$	

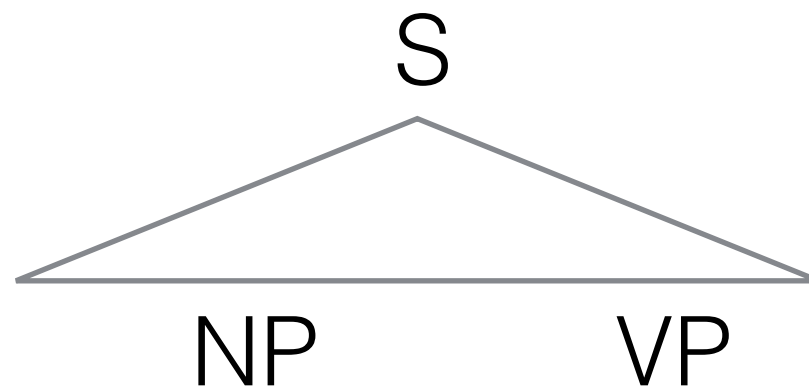
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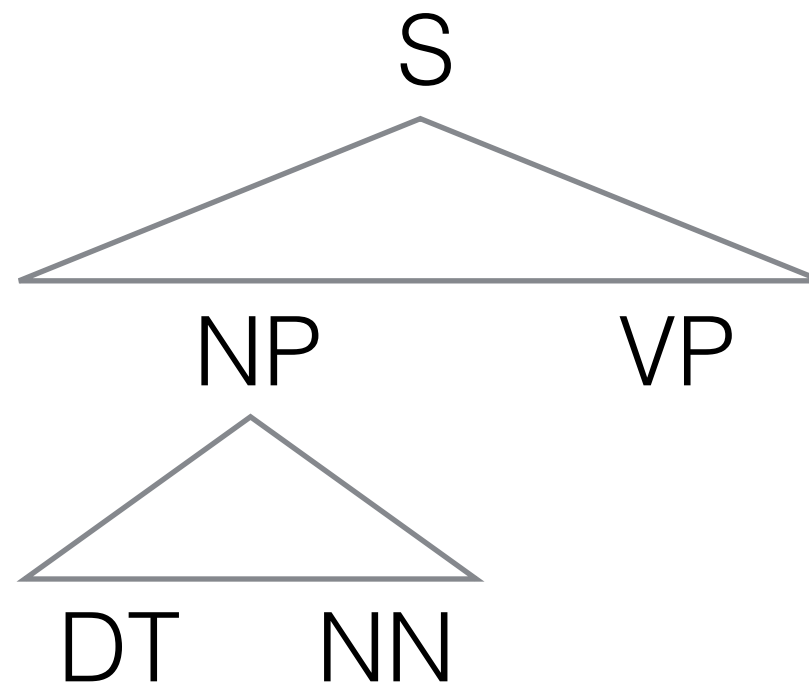
S



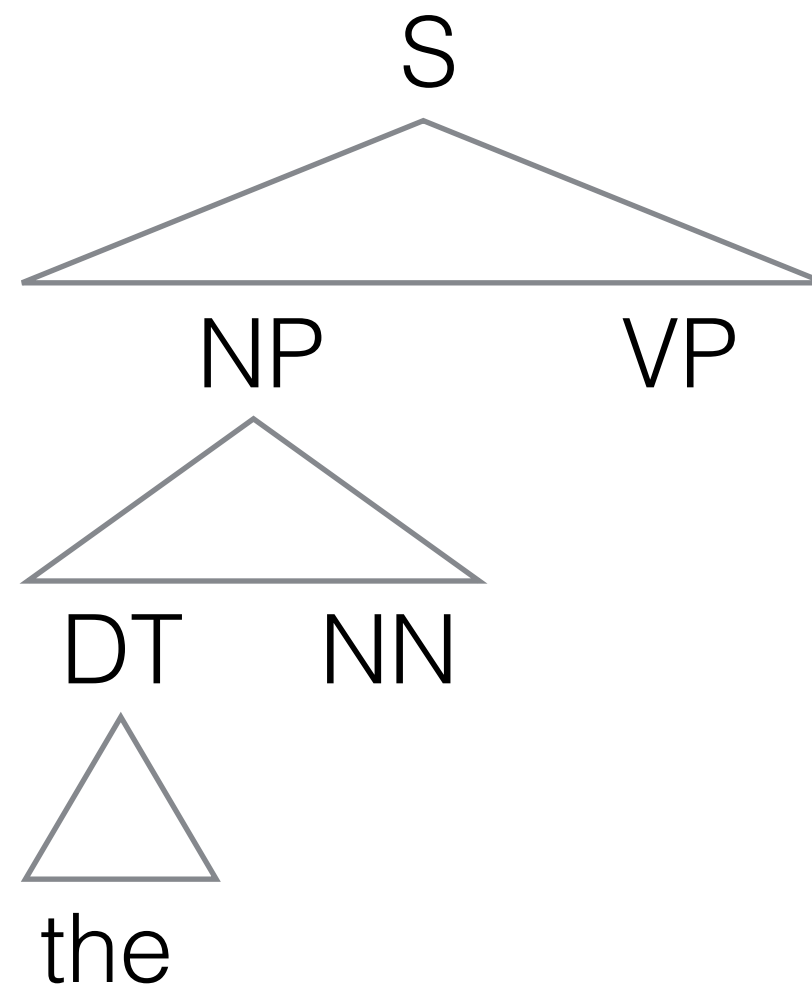
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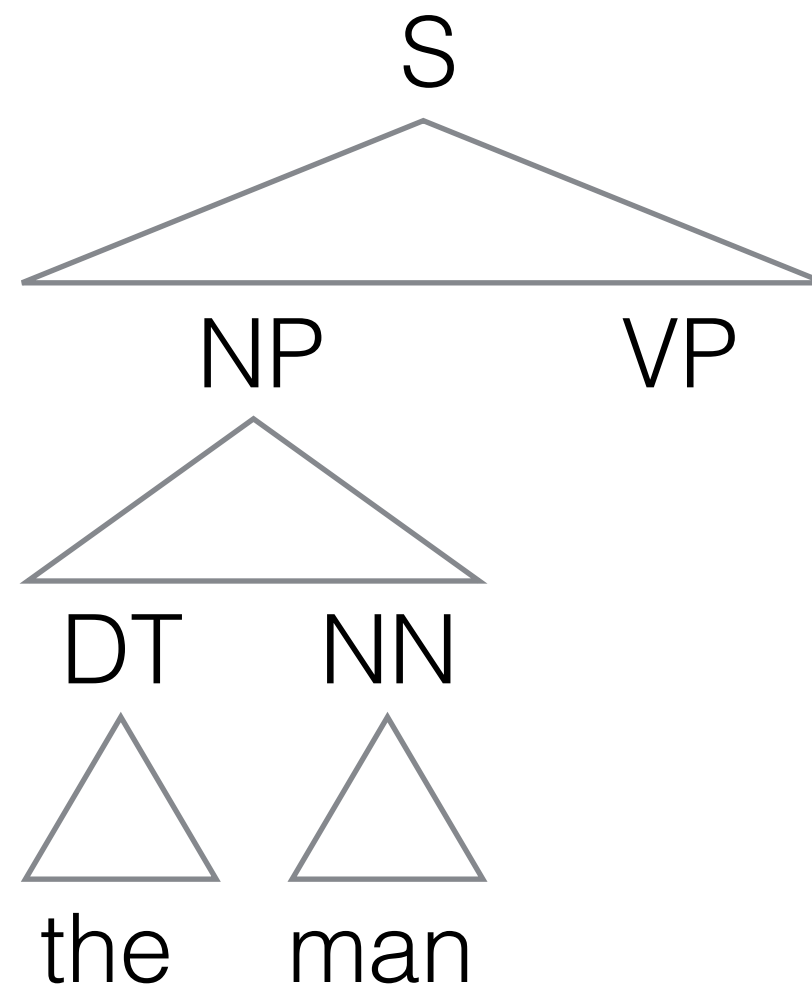
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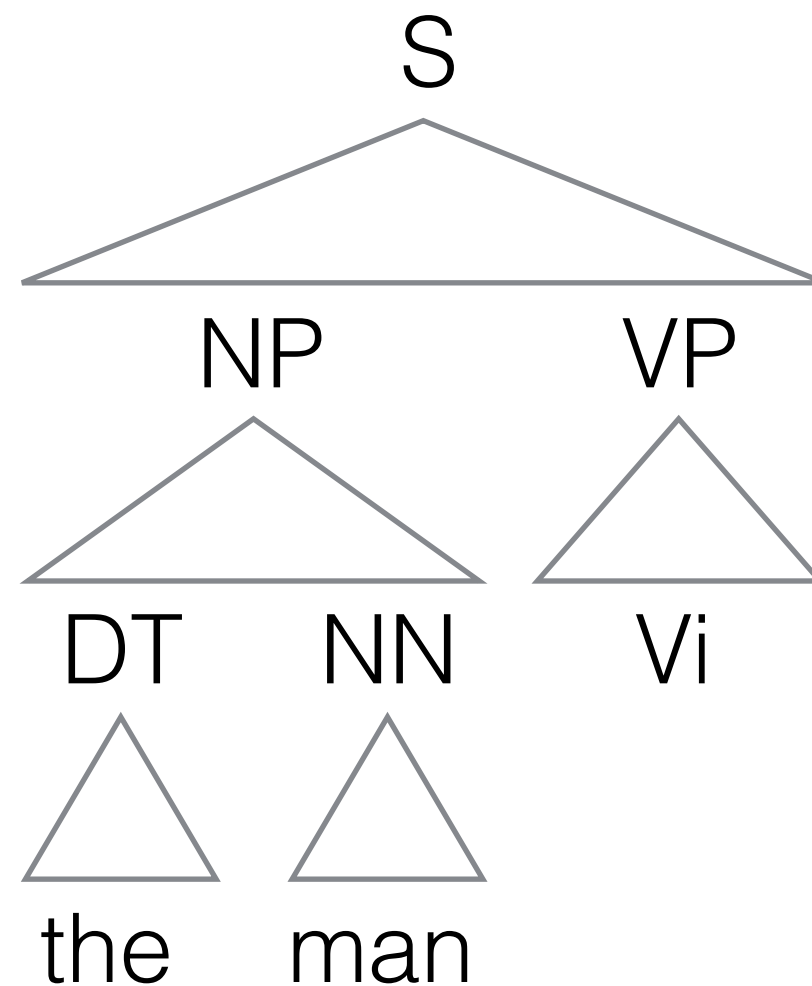
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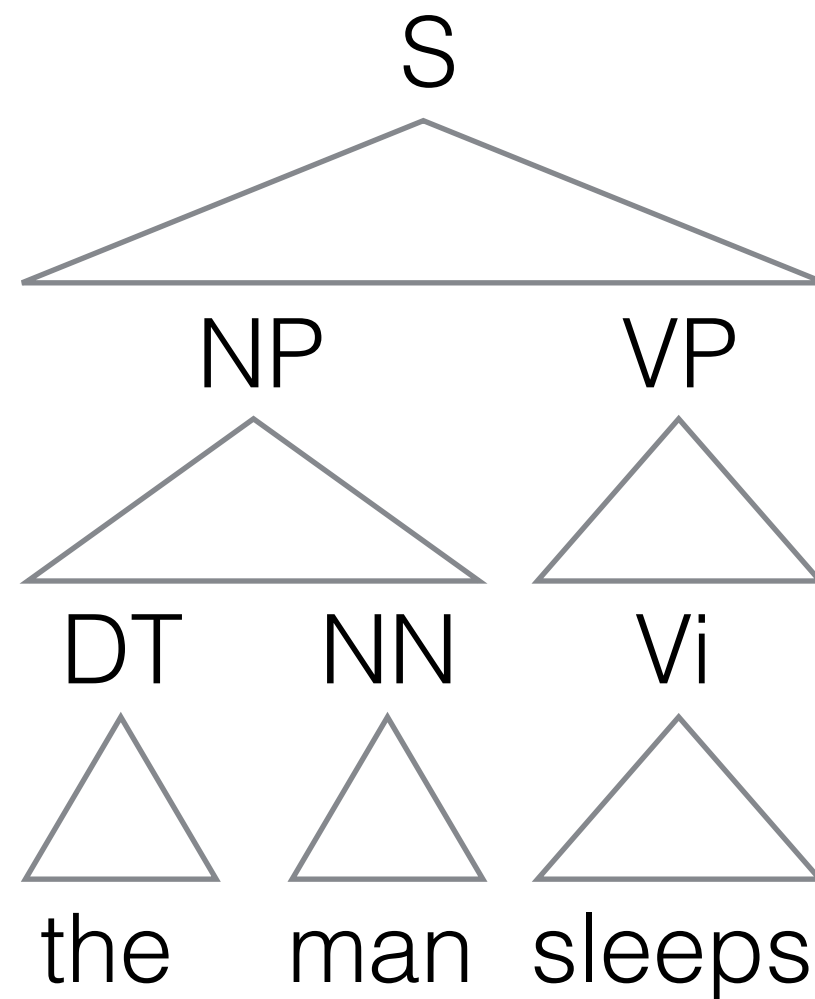
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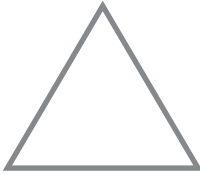
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# Example of Recognition

The man saw the dog

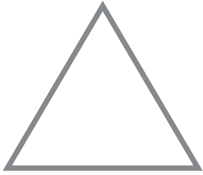
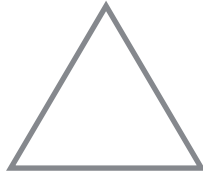


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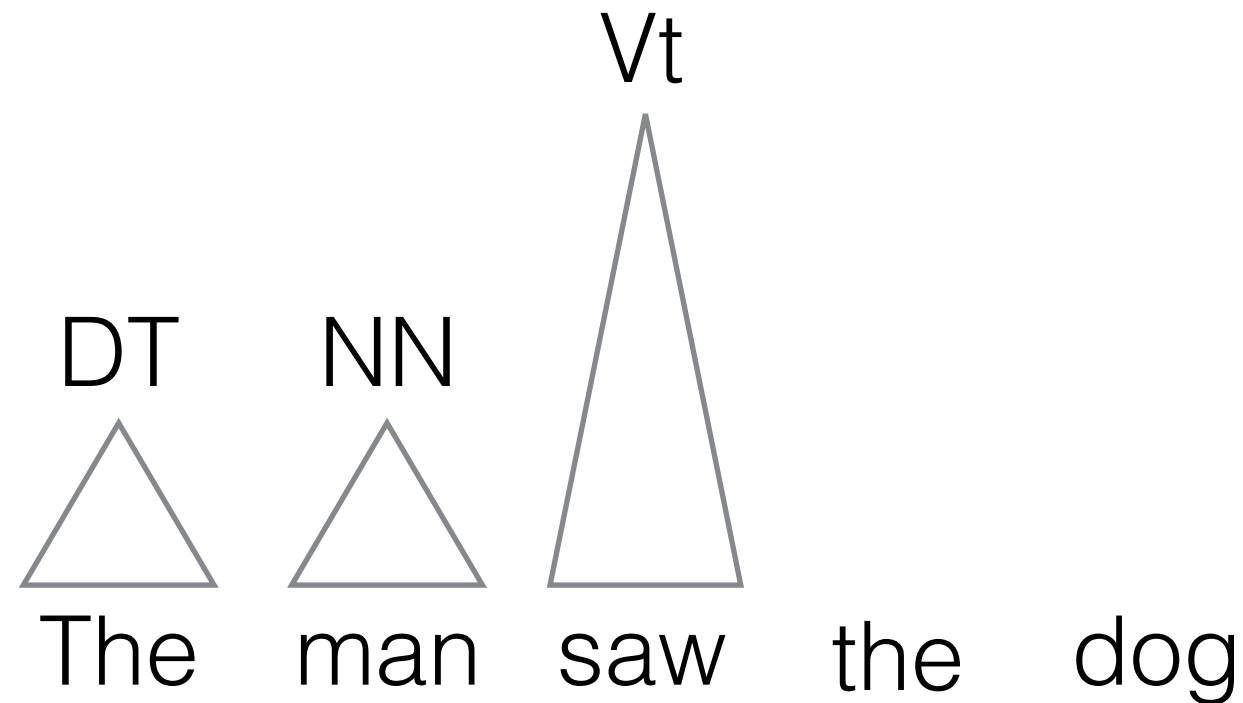
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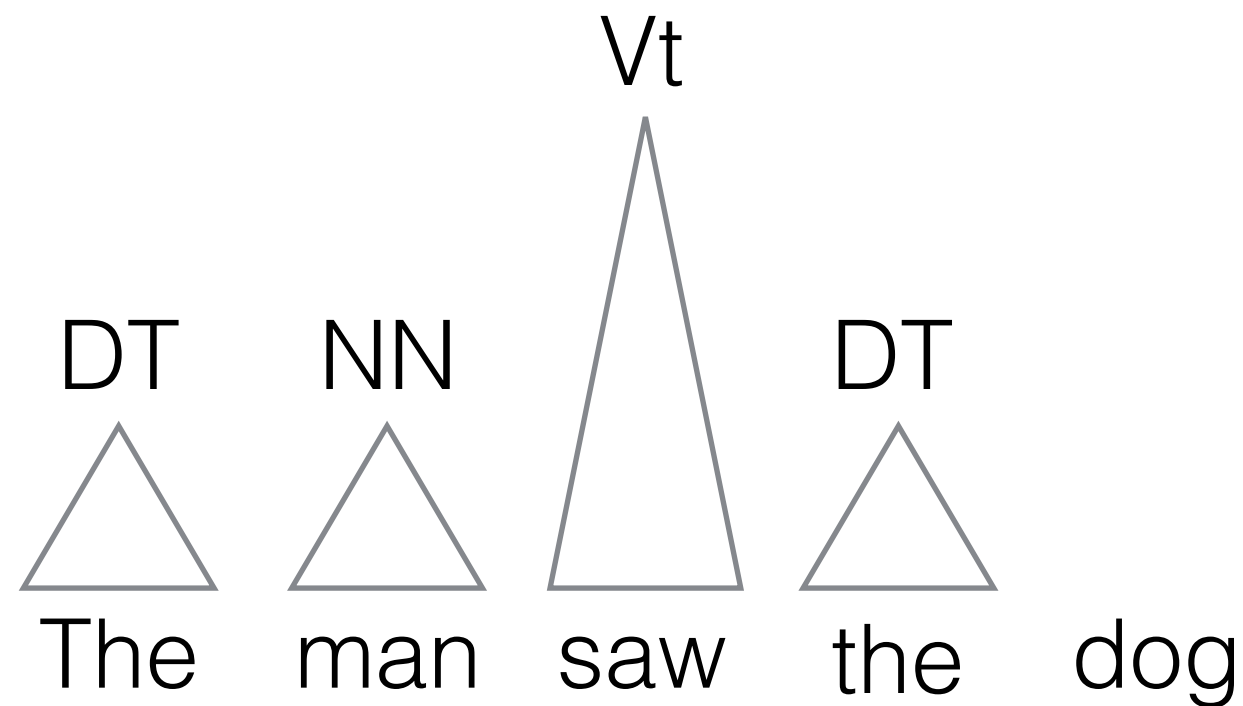
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DT NN  
  saw the dog  
The man

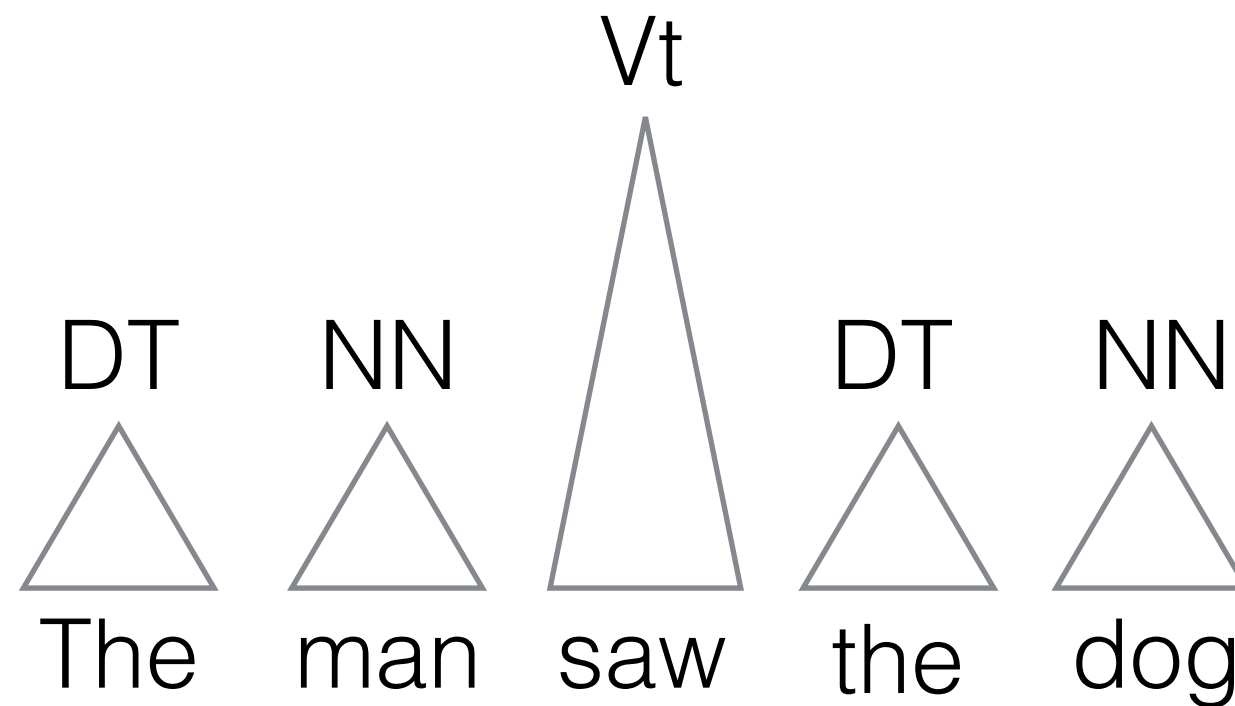
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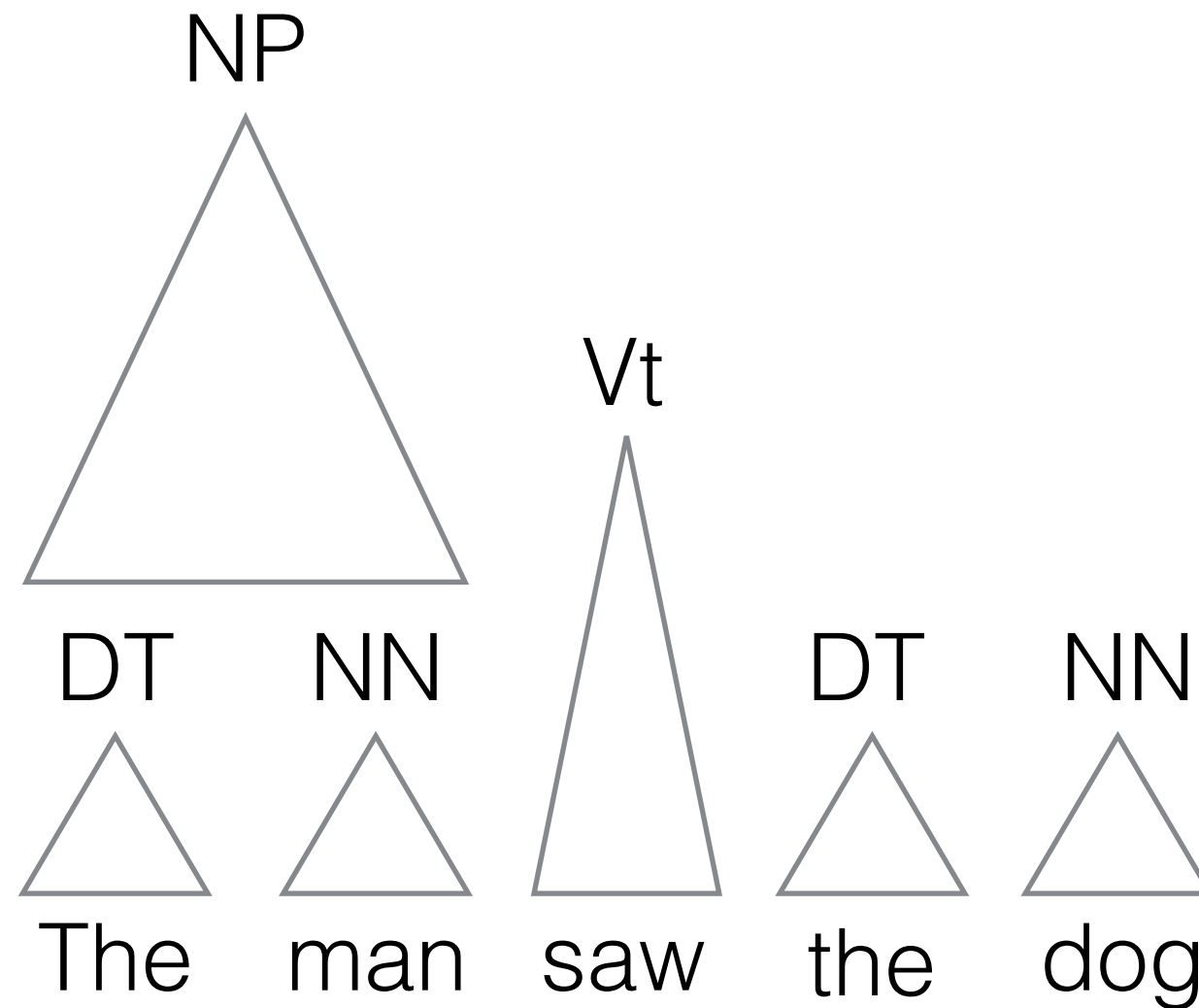
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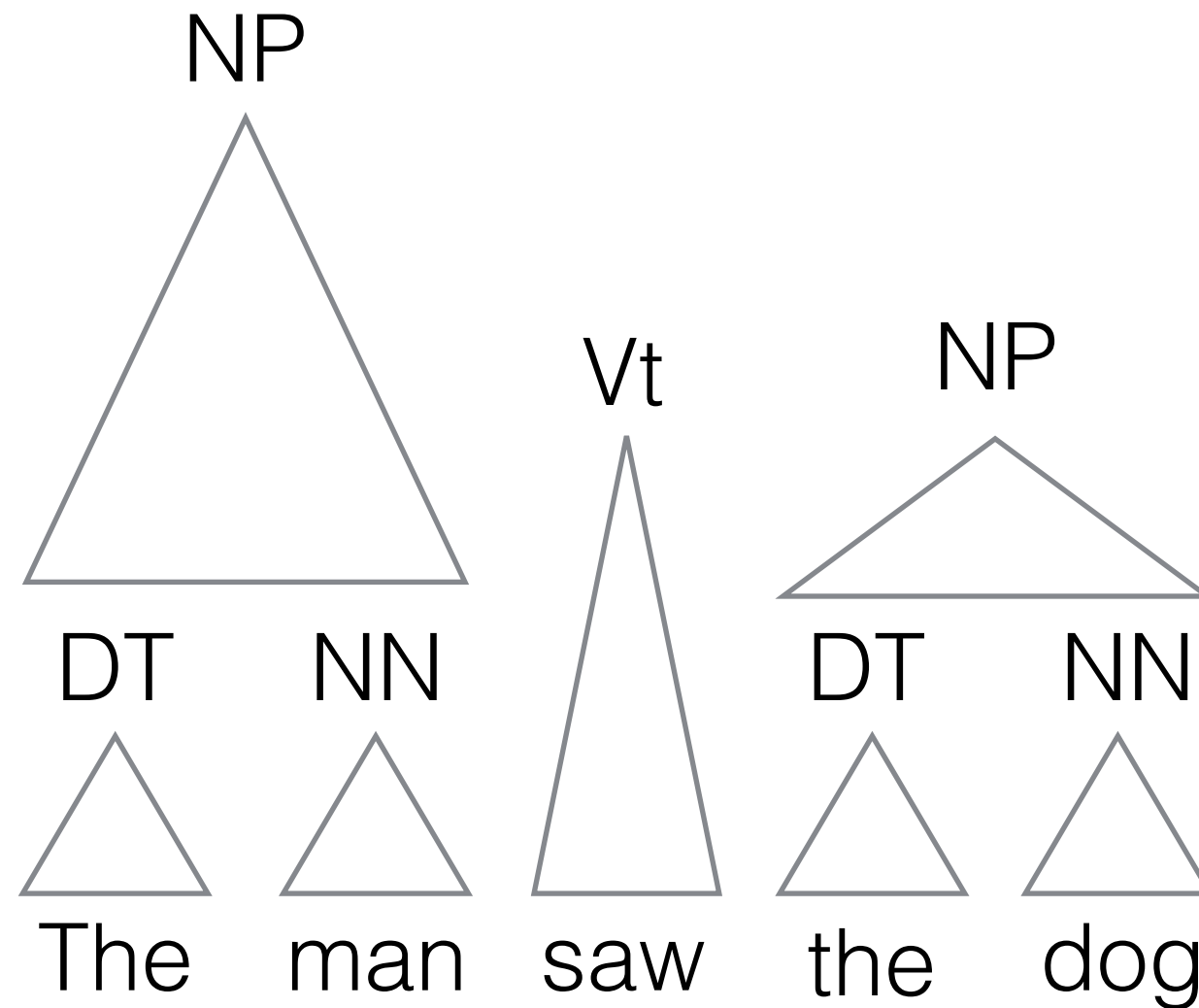
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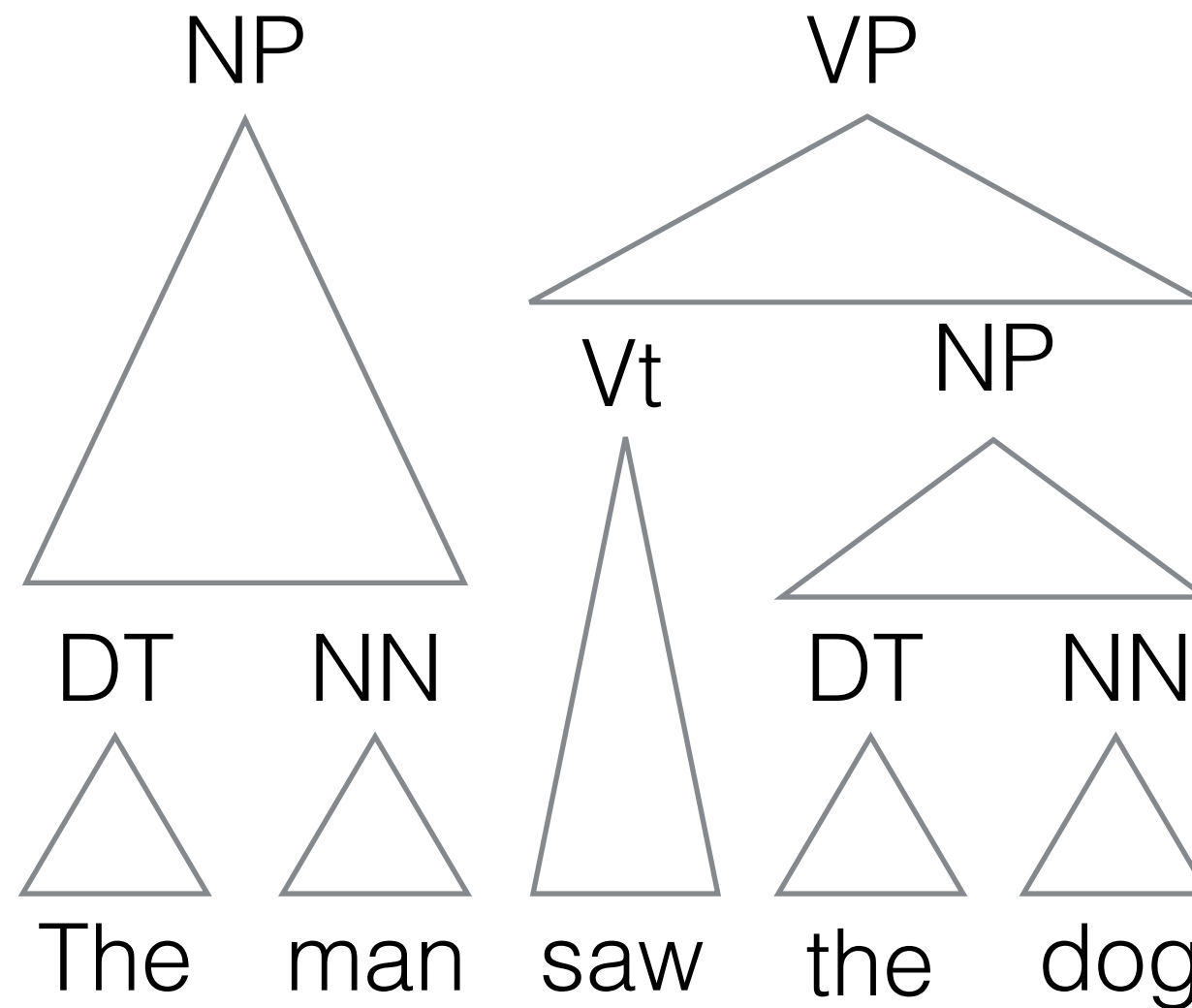
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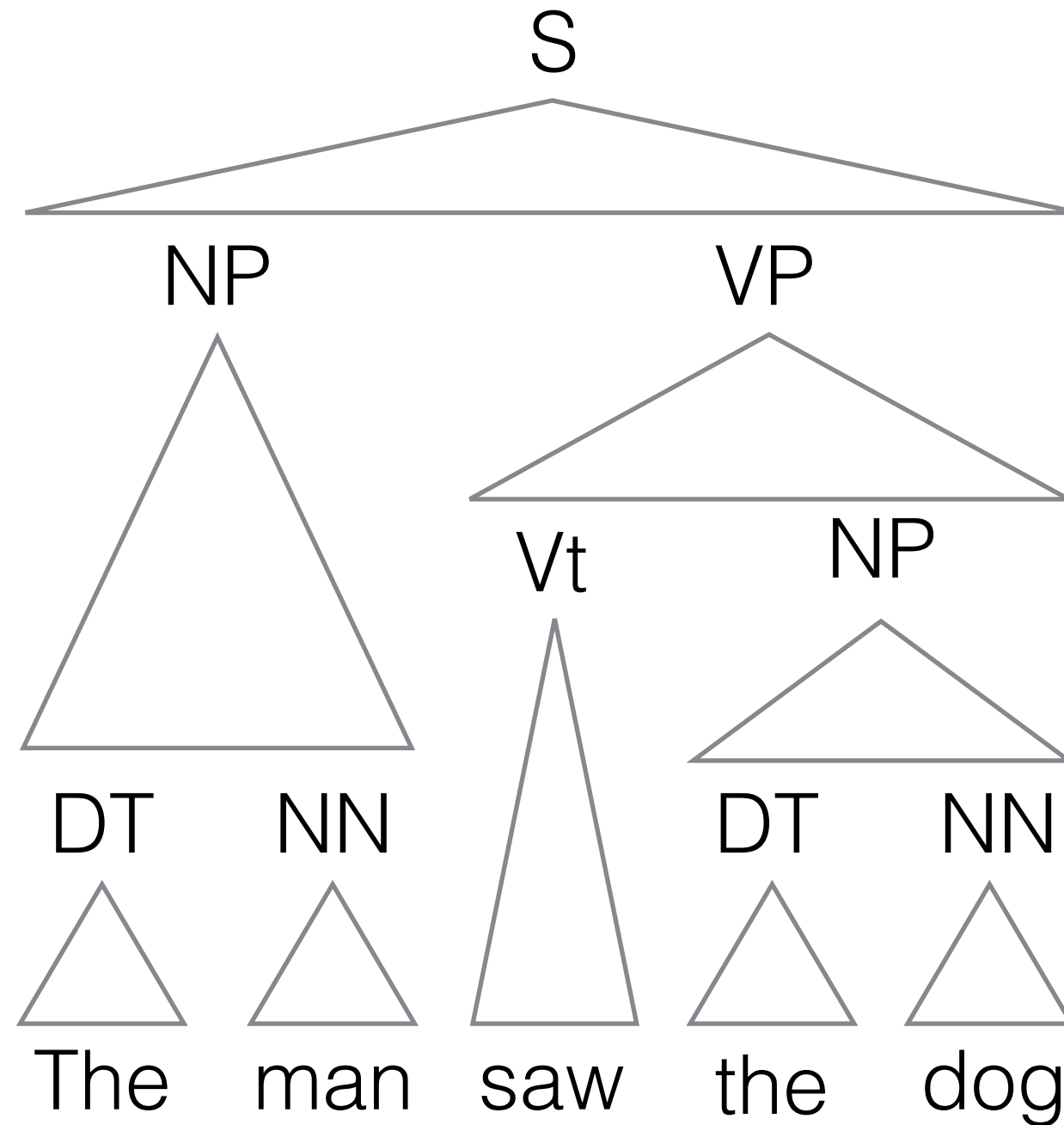


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# Language

A string  $\omega \in \Sigma^*$  is generated/accepted by  $G$  if

$$S \Rightarrow^* \omega$$

$\Rightarrow^*$  denotes a sequence of rule applications

Language of  $G$

$$L(G) = \{\omega: S \Rightarrow^* \omega\} \subseteq \Sigma^*$$

# Chomsky Normal Form

Every CFG is weakly equivalent to another such that

- $X \rightarrow YZ$  where  $X, Y, Z \in \mathcal{V}$
- $X \rightarrow w$  where  $w \in \Sigma$
- and possibly  $S \rightarrow \varepsilon$

[Hopcroft and Ullman, 1979]

# Parsing as Deduction

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Deductive process to prove claims about grammaticality  
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- dynamic program follows directly

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Deductive process to prove claims about grammaticality  
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- focus on strategy rather than implementation
- soundness/completeness easier to prove
- complexity determined by inspection
- dynamic program follows directly
- generality

# Deductive systems

**Item:** a statement / intermediate sound result

- formula or schemata expressed with variables

**Inference rule:** statement derived from existing items

- $\frac{A_1 \dots A_m}{B}$  (condition) where  $A_i$  and  $B$  are items
  - $A_i$  are called antecedents
  - $B$  is called consequent

# Deductive program

**Axioms:** trivial items

- do not depend on previous statements

**Goal:** states that a proof exists

**Proof:**

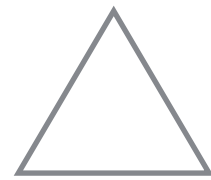
- start from axioms
- exhaustively deduce items
  - never twice under the same premises
- accept if goal is proven

# Bottom-up: Shift-Reduce

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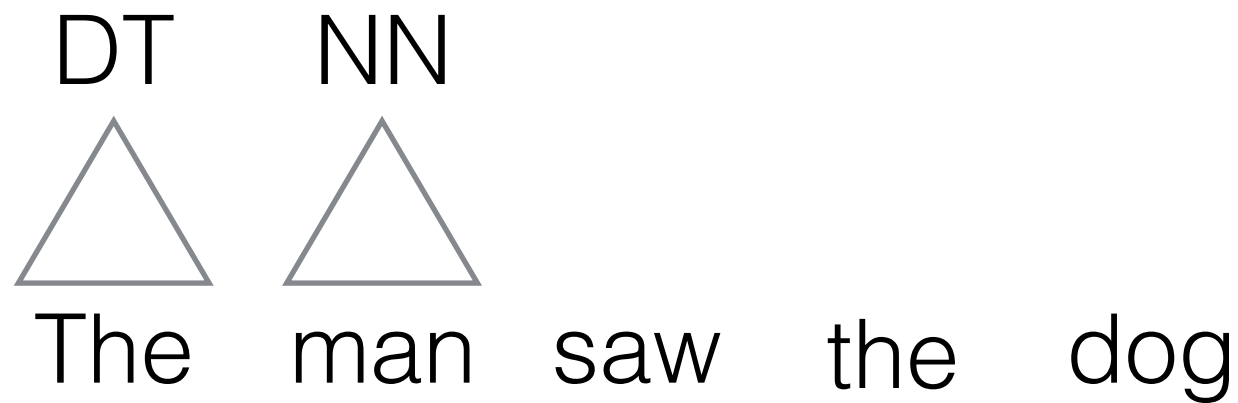
The man saw the dog

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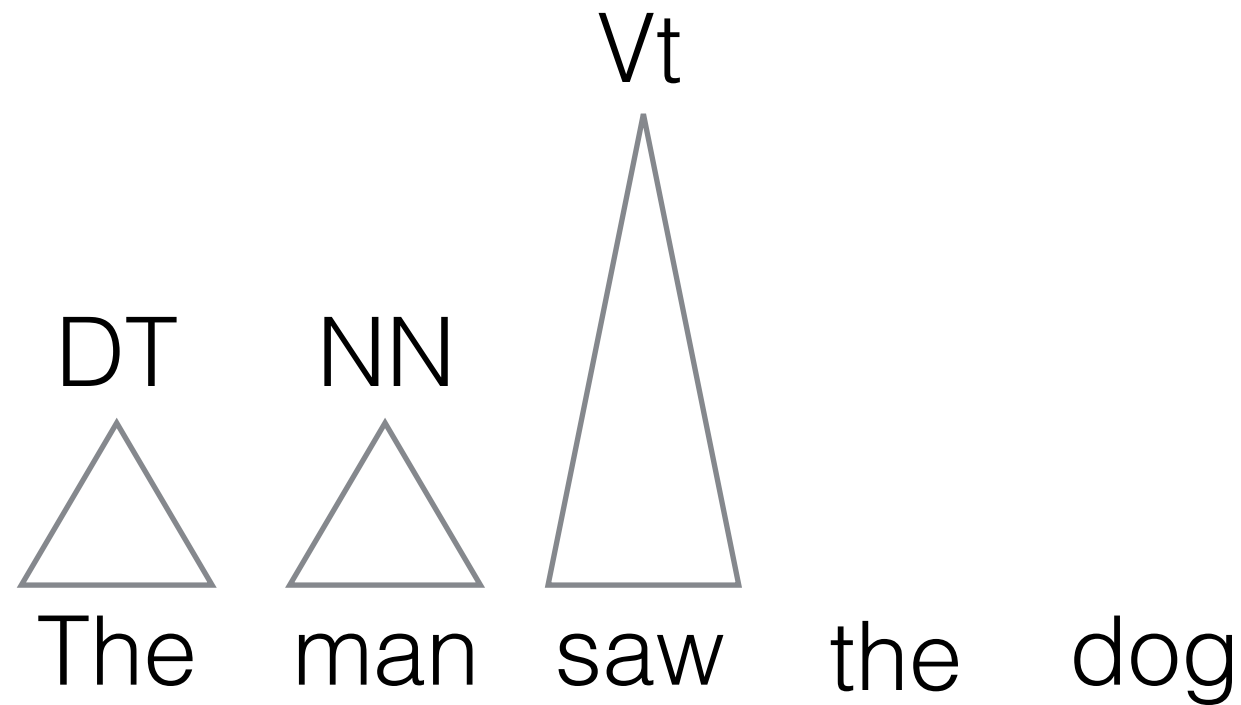
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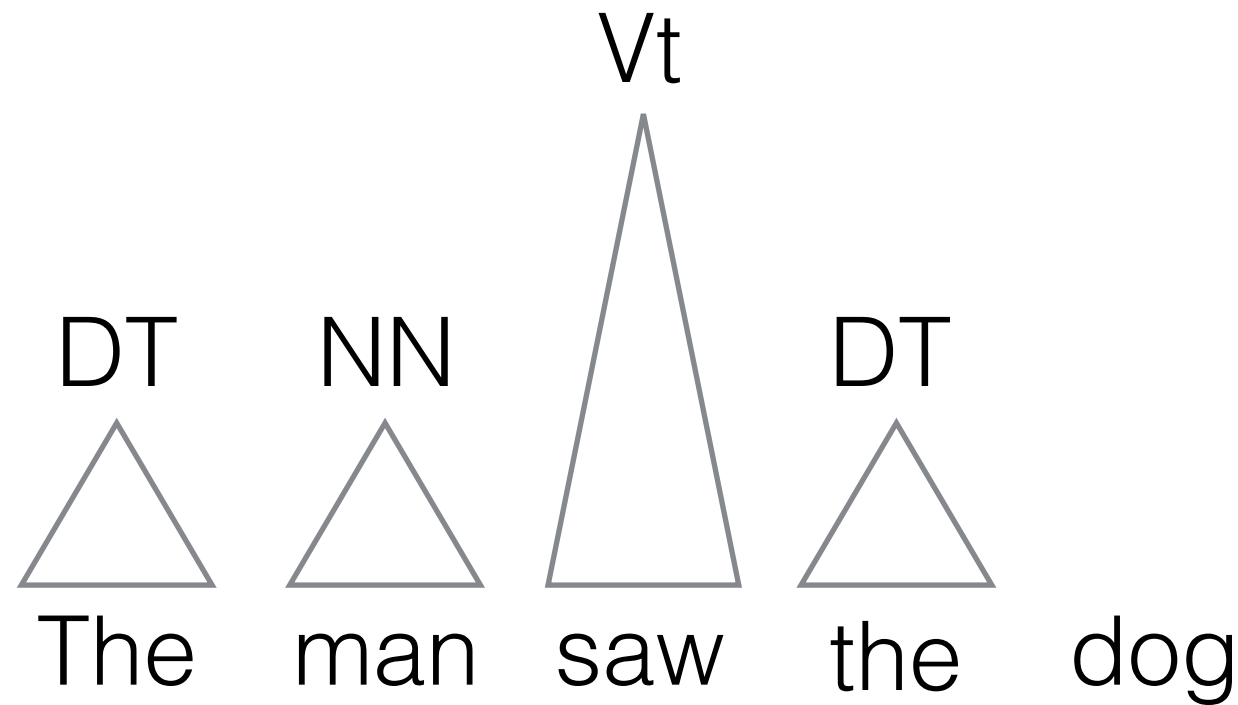




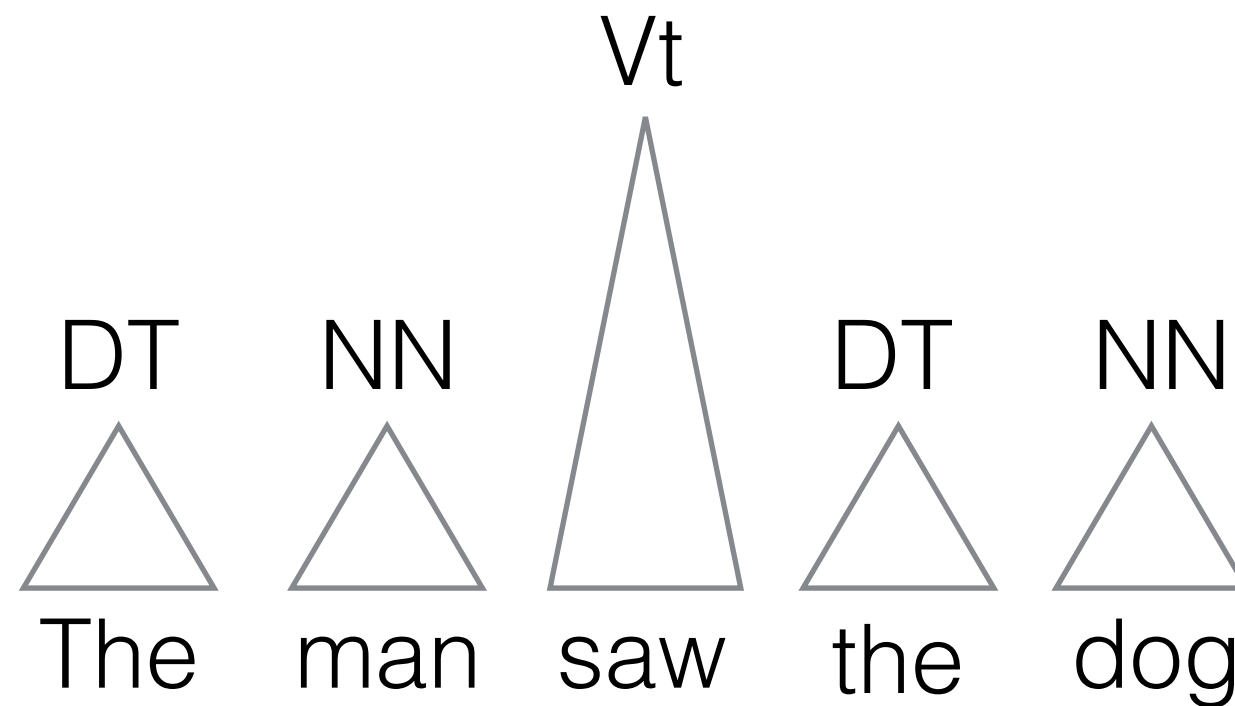
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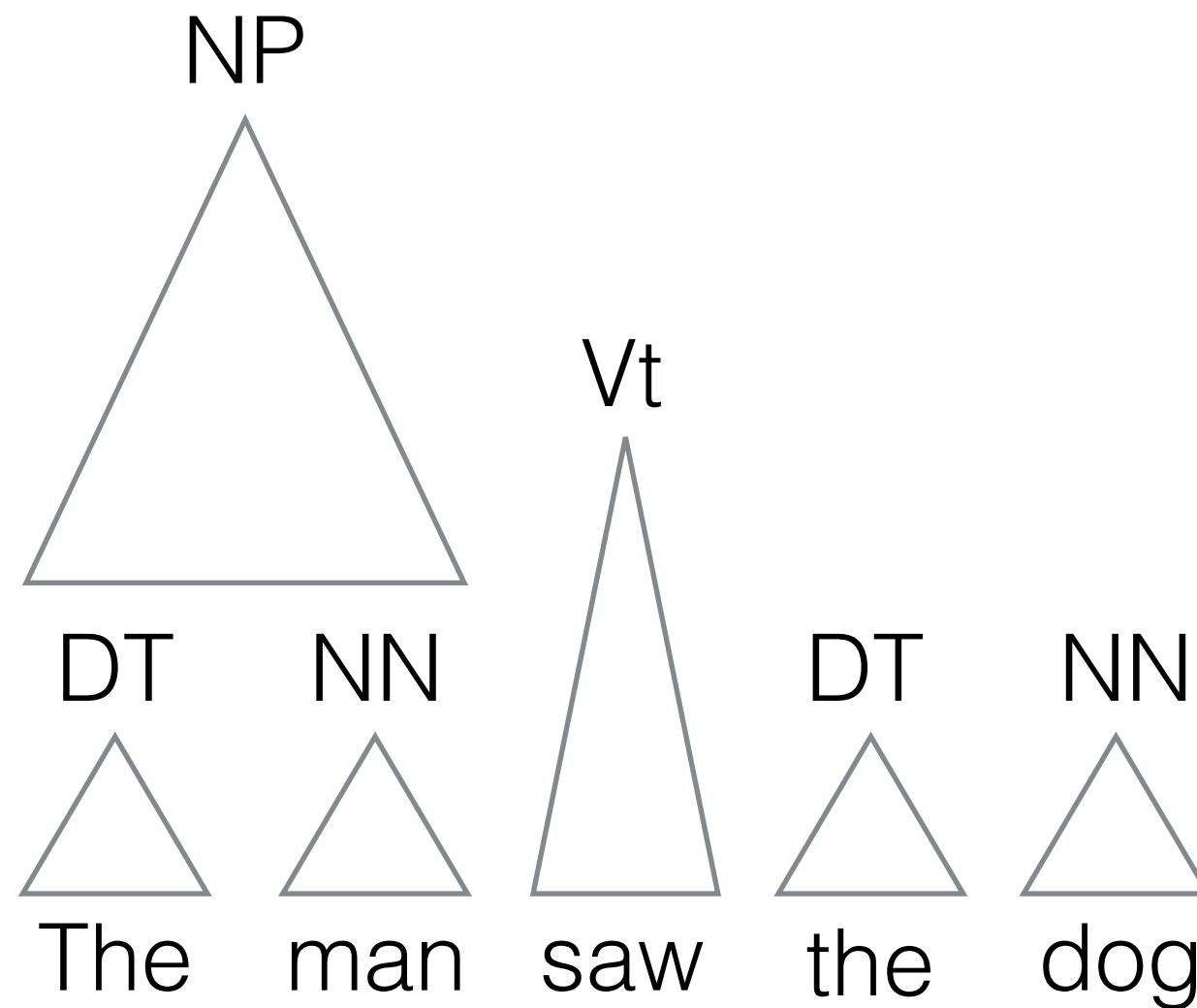
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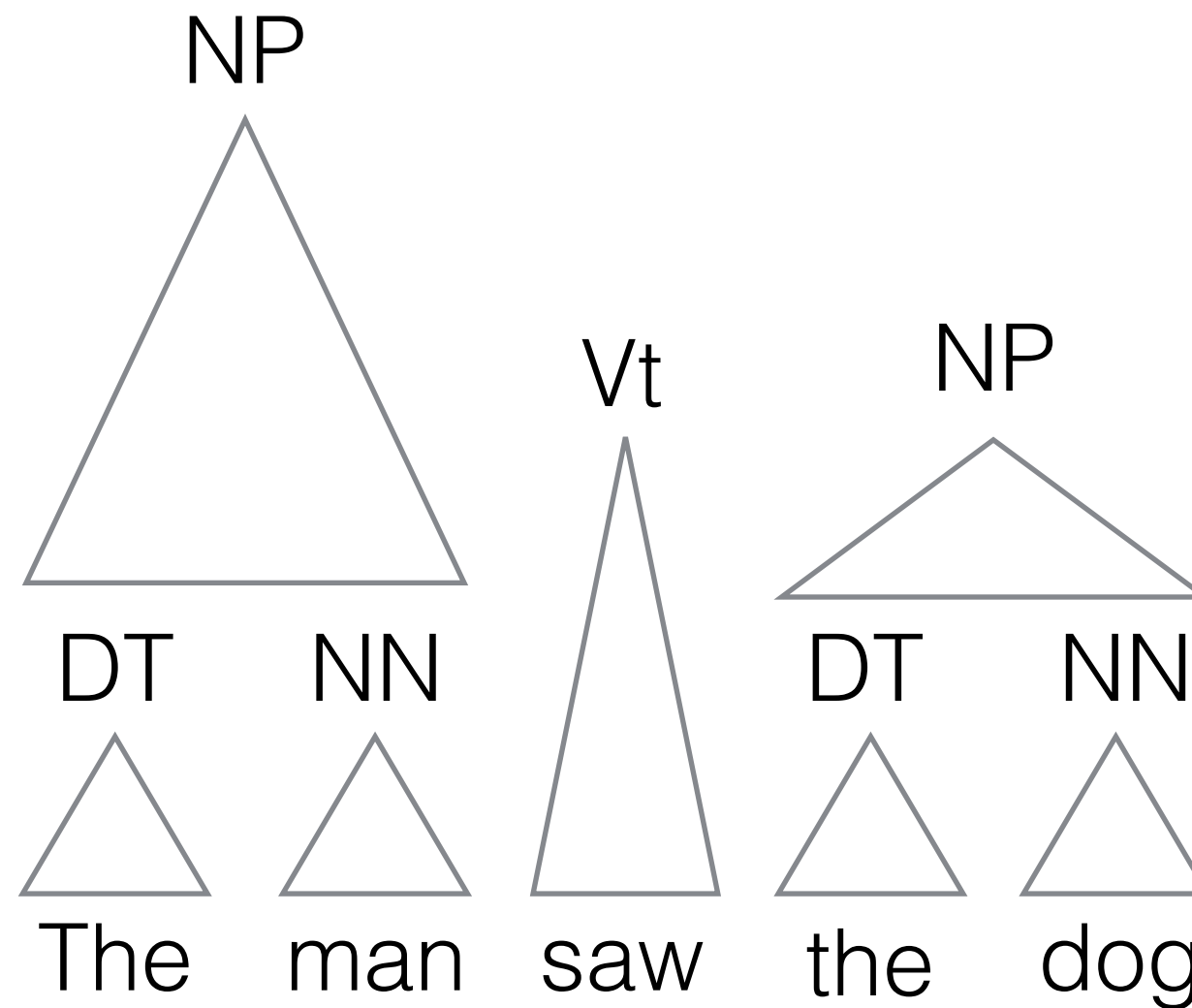
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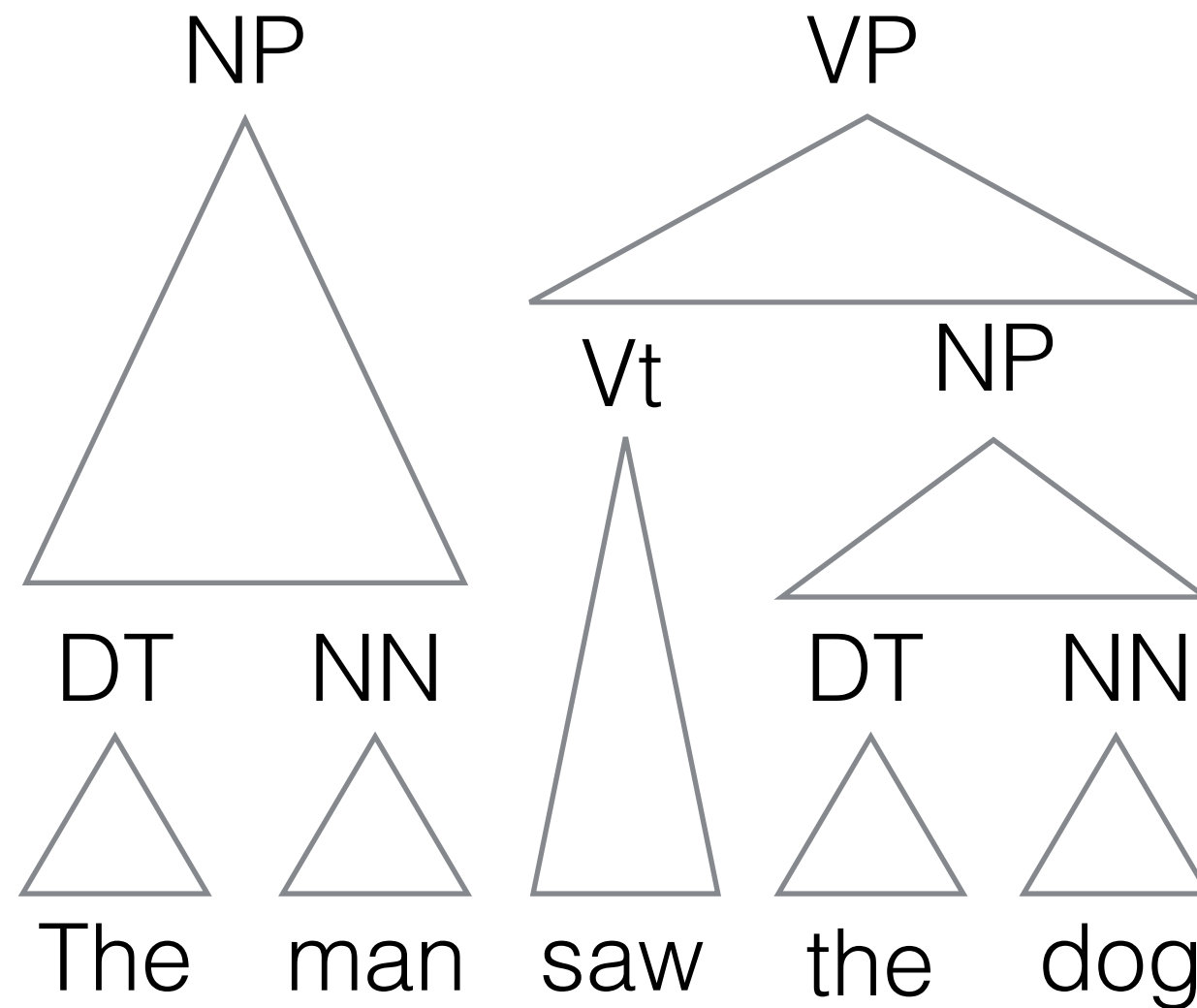
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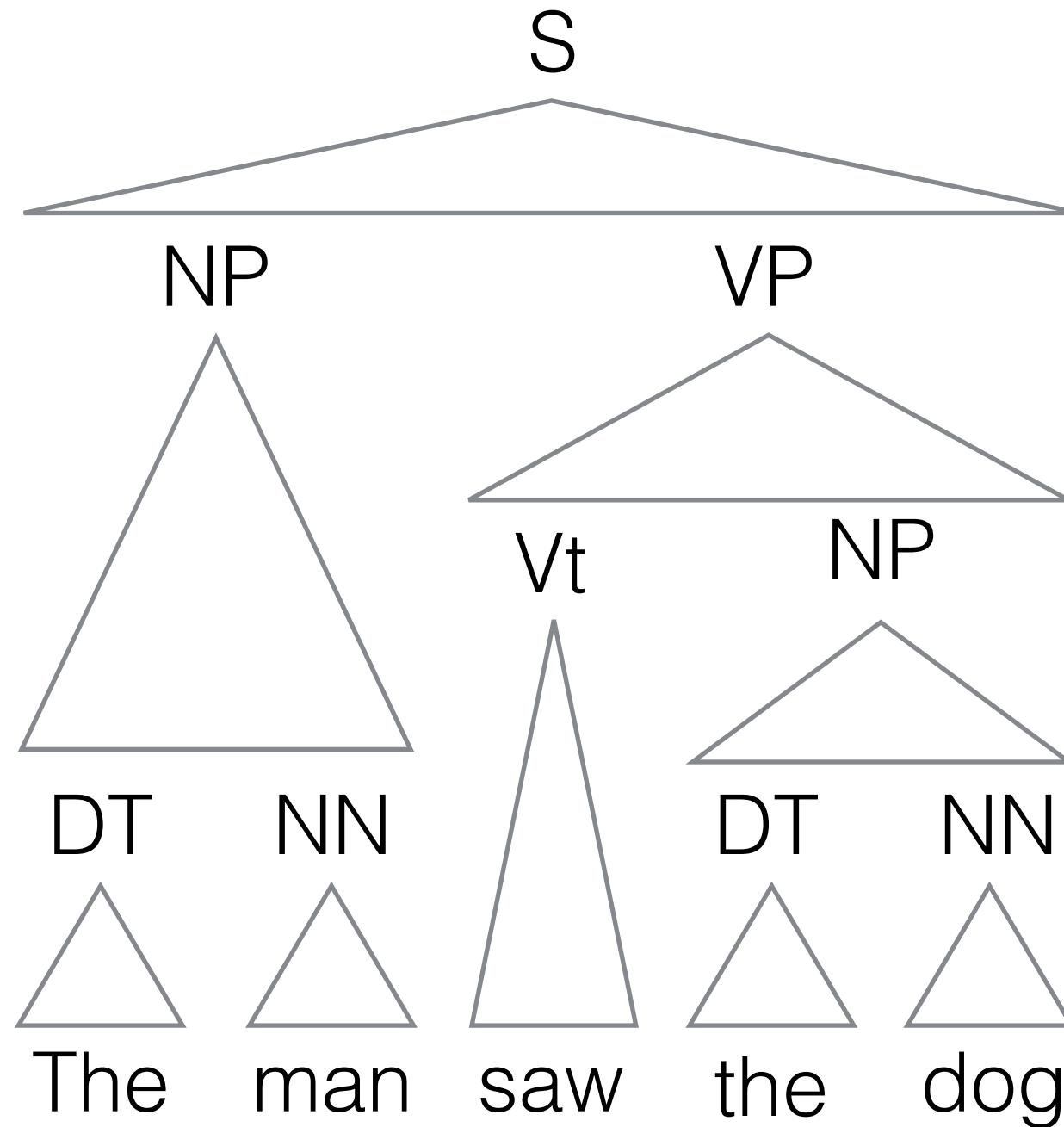
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# Shift-Reduce Example

Input: *the man sleeps*

S → NP VP

VP → Vi

VP → Vt NP

VP → VP PP

NP → DT NN

NP → NP PP

PP → IN NP

Vi → sleeps

Vt → saw

NN → man

NN → dog

NN → telescope

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Shift: [1]	2	[the•,1]	2

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Shift: [6]		7 [NP sleeps •, 3]	7
Reduce: [7]	Vi → sleeps	8 [NP Vi •, 3]	8

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Shift: [6]		7 [NP sleeps •, 3]	7
Reduce: [7]	Vi → sleeps	8 [NP Vi •, 3]	8
Reduce: [8]	VP → Vi	9 [NP VP •, 3]	9

S → NP VP

VP → Vi

VP → Vt NP

VP → VP PP

NP → DT NN

NP → NP PP

PP → IN NP

Vi → sleeps

Vt → saw

NN → man

NN → dog

NN → telescope

DT → the

IN → with

# Shift-Reduce Example



Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [•,0]	1
Shift: [1]		2 [the•,1]	2
Reduce: [2]	DT → the	3 [DT•,1]	3
Shift: [3]		4 [DT man •, 2]	4
Reduce: [4]	NN → man	5 [DT NN •, 2]	5
Reduce: [5]	NP → DT NN	6 [NP •, 2]	6
Shift: [6]		7 [NP sleeps •, 3]	7
Reduce: [7]	Vi → sleeps	8 [NP Vi •, 3]	8
Reduce: [8]	VP → Vi	9 [NP VP •, 3]	9

S → NP VP

VP → Vi

VP → Vt NP

VP → VP PP

NP → DT NN

NP → NP PP

PP → IN NP

Vi → sleeps

Vt → saw

NN → man

NN → dog

NN → telescope

DT → the

IN → with

# Shift-Reduce Example



Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [•,0]	1
Shift: [1]		2 [the•,1]	2
Reduce: [2]	DT → the	3 [DT•,1]	3
Shift: [3]		4 [DT man •, 2]	4
Reduce: [4]	NN → man	5 [DT NN •, 2]	5
Reduce: [5]	NP → DT NN	6 [NP •, 2]	6
Shift: [6]		7 [NP sleeps •, 3]	7
Reduce: [7]	Vi → sleeps	8 [NP Vi •, 3]	8
Reduce: [8]	VP → Vi	9 [NP VP •, 3]	9
Reduce: [9]	S → NP VP	10 [S •, 3]	10

S → NP VP

VP → Vi

VP → Vt NP

VP → VP PP

NP → DT NN

NP → NP PP

PP → IN NP

Vi → sleeps

Vt → saw

NN → man

NN → dog

NN → telescope

DT → the

IN → with

# Shift-Reduce Example



Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [•,0]	1
Shift: [1]		2 [the•,1]	2
Reduce: [2]	DT → the	3 [DT•,1]	3
Shift: [3]		4 [DT man •, 2]	4
Reduce: [4]	NN → man	5 [DT NN •, 2]	5
Reduce: [5]	NP → DT NN	6 [NP •, 2]	6
Shift: [6]		7 [NP sleeps •, 3]	7
Reduce: [7]	Vi → sleeps	8 [NP Vi •, 3]	8
Reduce: [8]	VP → Vi	9 [NP VP •, 3]	9
Reduce: [9]	S → NP VP	10 [S •, 3]	10
GOAL: [10]			∅

S → NP VP

VP → Vi

VP → Vt NP

VP → VP PP

NP → DT NN

NP → NP PP

PP → IN NP

Vi → sleeps

Vt → saw

NN → man

NN → dog

NN → telescope

DT → the

IN → with

# Shift-Reduce

**Input:**  $G$  and  $x_1 \dots x_n$

**Item form:**  $[\alpha\bullet, j]$

asserts that  $\alpha \Rightarrow^* x_1 \dots x_j$  or

that  $\alpha x_{j+1} \dots x_n \Rightarrow^* x_1 \dots x_n$

**Axiom:**  $[\bullet, 0]$

**Goal:**  $[S\bullet, n]$

**Scan (shift)**

asserts that  $\alpha x_{j+1} \Rightarrow^* x_1 \dots x_j x_{j+1}$

**Complete (reduce)**

asserts that  $\alpha B \Rightarrow^* x_1 \dots x_j$

$$\text{SHIFT} \frac{[\alpha\bullet, j]}{[\alpha x_{j+1}, j+1]}$$

$$\text{REDUCE} \frac{[\alpha \beta\bullet, j]}{[\alpha B, j]} B \rightarrow \beta \in \mathcal{R}$$

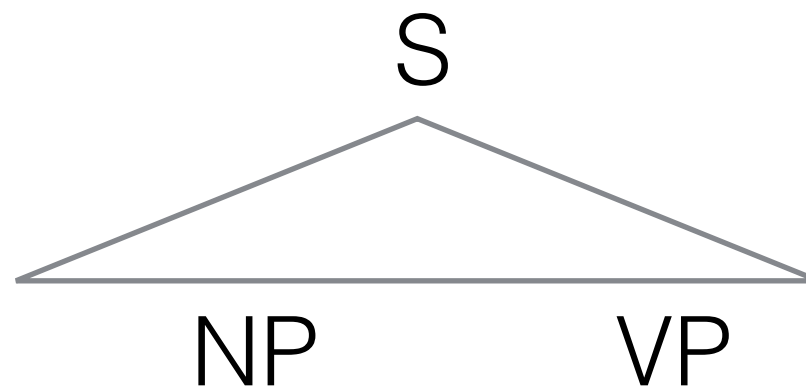
# Top-down: Predict-Scan

# Top-down: Predict-Scan

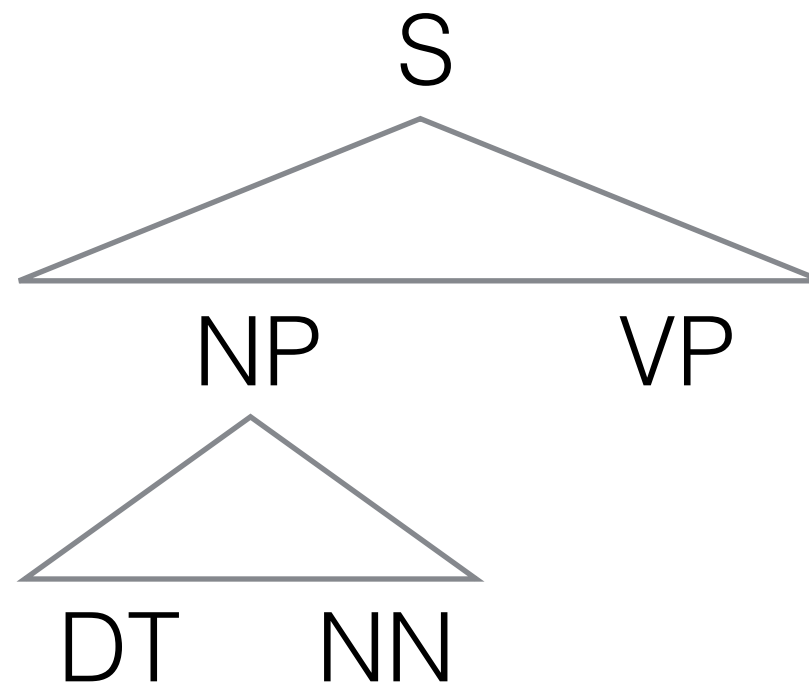
S



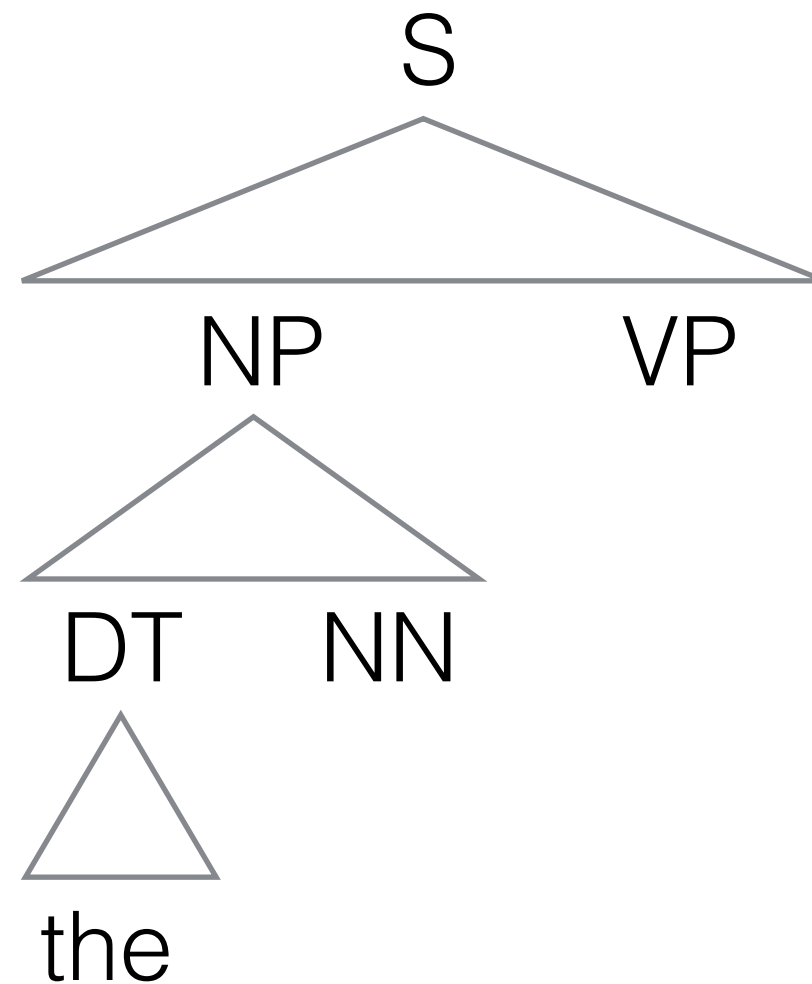
# Top-down: Predict-Scan



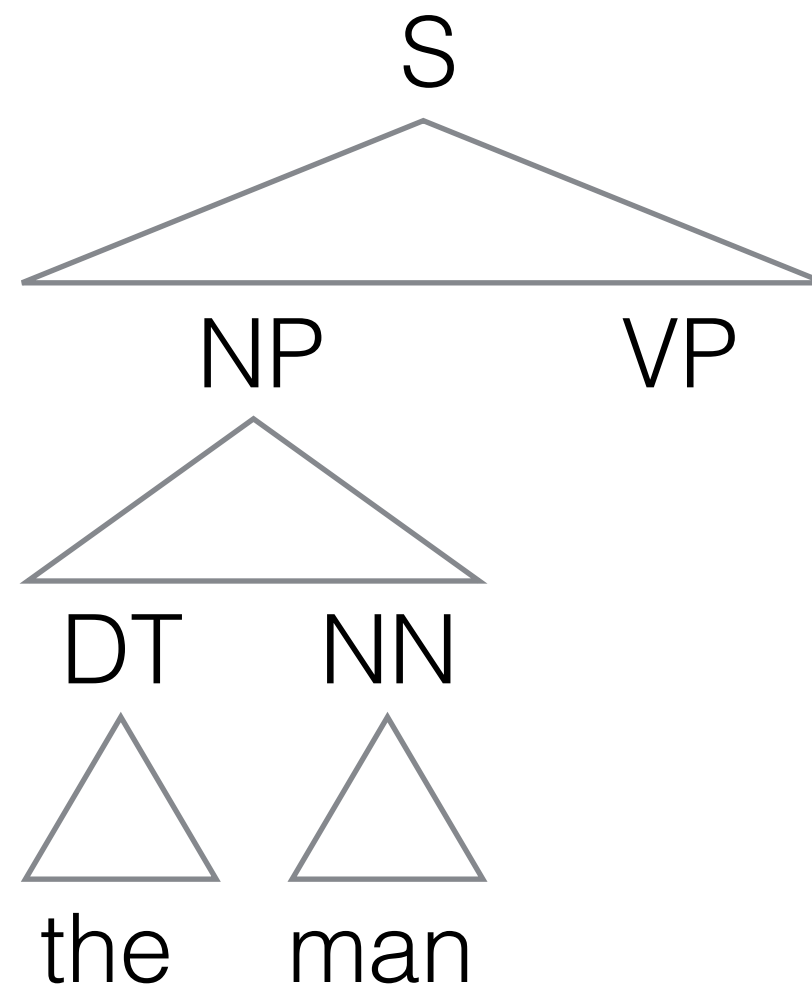
# Top-down: Predict-Scan



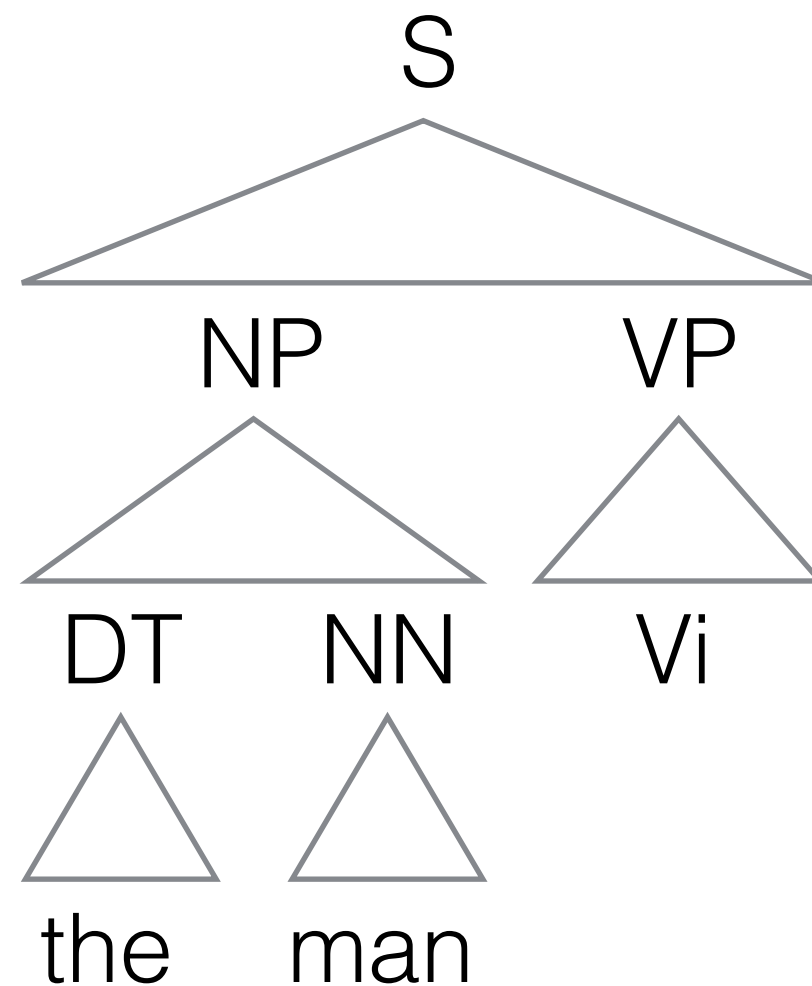
# Top-down: Predict-Scan



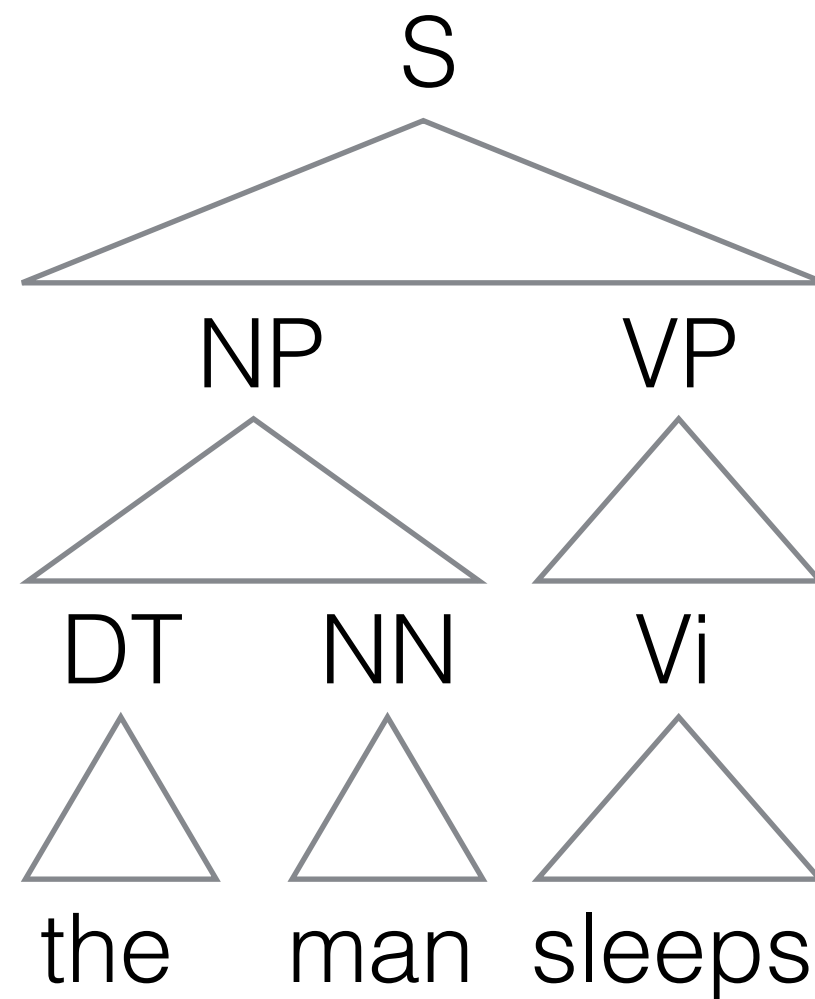
# Top-down: Predict-Scan



# Top-down: Predict-Scan



# Top-down: Predict-Scan



# Top-Down Example

Input: *the man sleeps*

$S \rightarrow NP VP$

$VP \rightarrow V_i$

$VP \rightarrow V_t NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$V_i \rightarrow \text{sleeps}$

$V_t \rightarrow \text{saw}$

$NN \rightarrow \text{man}$

$NN \rightarrow \text{dog}$

$NN \rightarrow \text{telescope}$

$DT \rightarrow \text{the}$

$IN \rightarrow \text{with}$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
			$S \rightarrow NP VP$
			$VP \rightarrow Vi$
			$VP \rightarrow Vt NP$
			<del><math>VP \rightarrow VP PP</math></del>
			$NP \rightarrow DT NN$
			$NP \rightarrow NP PP$
			$PP \rightarrow IN NP$
			$Vi \rightarrow \text{sleeps}$
			$Vt \rightarrow \text{saw}$
			$NN \rightarrow \text{man}$
			$NN \rightarrow \text{dog}$
			$NN \rightarrow \text{telescope}$
			$DT \rightarrow \text{the}$
			$IN \rightarrow \text{with}$



# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom	1	[• S, 0]	1

S → NP VP

VP → Vi

VP → Vt NP

~~VP → VP PP~~

NP → DT NN

NP → NP PP

PP → IN NP

Vi → sleeps

Vt → saw

NN → man

NN → dog

NN → telescope

DT → the

IN → with

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom	1	[• S, 0]	1

S → NP VP

VP → Vi

VP → Vt NP

~~VP → VP PP~~

NP → DT NN

NP → NP PP

PP → IN NP

Vi → sleeps

Vt → saw

NN → man

NN → dog

NN → telescope

DT → the

IN → with

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1    [ $\bullet$ S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2    [ $\bullet$ NP VP, 0]	2

$S \rightarrow NP VP$  

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow \text{sleeps}$

$Vt \rightarrow \text{saw}$

$NN \rightarrow \text{man}$

$NN \rightarrow \text{dog}$

$NN \rightarrow \text{telescope}$

$DT \rightarrow \text{the}$

$IN \rightarrow \text{with}$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition		Statement	Queue
Axiom		1	[• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2	[• NP VP, 0]	2

$S \rightarrow NP VP$  

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$  

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow \text{sleeps}$

$Vt \rightarrow \text{saw}$

$NN \rightarrow \text{man}$

$NN \rightarrow \text{dog}$

$NN \rightarrow \text{telescope}$

$DT \rightarrow \text{the}$

$IN \rightarrow \text{with}$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3

$S \rightarrow NP VP$  

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$  

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow \text{sleeps}$

$Vt \rightarrow \text{saw}$

$NN \rightarrow \text{man}$

$NN \rightarrow \text{dog}$

$NN \rightarrow \text{telescope}$

$DT \rightarrow \text{the}$

$IN \rightarrow \text{with}$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition		Statement	Queue
Axiom		1	[• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2	[• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3	[• DT NN VP, 0]	3

$S \rightarrow NP VP$  

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$  

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow \text{sleeps}$

$Vt \rightarrow \text{saw}$

$NN \rightarrow \text{man}$

$NN \rightarrow \text{dog}$

$NN \rightarrow \text{telescope}$

$DT \rightarrow \text{the}$  

$IN \rightarrow \text{with}$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4

$S \rightarrow NP VP$  

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$  

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$  

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$



# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition		Statement	Queue
Axiom		1	[• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2	[• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3	[• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4	[• the NN VP, 0]	4
Scan: [4]		5	[• NN VP, 1]	5

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8 [• Vi, 2]	8, 9

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$



# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8 [• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9 [• Vt NP, 2]	

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8 [• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9 [• Vt NP, 2]	

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition		Statement	Queue
Axiom		1	[• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2	[• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3	[• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4	[• the NN VP, 0]	4
Scan: [4]		5	[• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6	[• man VP, 1]	6
Scan: [6]		7	[• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8	[• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9	[• Vt NP, 2]	
Predict: [8]	$Vi \rightarrow sleeps$	10	[• sleeps, 2]	9, 10

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8 [• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9 [• Vt NP, 2]	
Predict: [8]	$Vi \rightarrow sleeps$	10 [• sleeps, 2]	9, 10

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8 [• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9 [• Vt NP, 2]	
Predict: [8]	$Vi \rightarrow sleeps$	10 [• sleeps, 2]	9, 10
[9]			10

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition		Statement	Queue
Axiom		1	[• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2	[• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3	[• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4	[• the NN VP, 0]	4
Scan: [4]		5	[• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6	[• man VP, 1]	6
Scan: [6]		7	[• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8	[• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9	[• Vt NP, 2]	
Predict: [8]	$Vi \rightarrow sleeps$	10	[• sleeps, 2]	9, 10
				10

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8 [• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9 [• Vt NP, 2]	
Predict: [8]	$Vi \rightarrow sleeps$	10 [• sleeps, 2]	9, 10
			10
Scan: [10]		11 [•, 3]	11

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$

# Top-Down Example

Input: *the man sleeps*

Rule	Condition	Statement	Queue
Axiom		1 [• S, 0]	1
Predict: [1]	$S \rightarrow NP VP$	2 [• NP VP, 0]	2
Predict: [2]	$NP \rightarrow DT NN$	3 [• DT NN VP, 0]	3
Predict: [3]	$DT \rightarrow the$	4 [• the NN VP, 0]	4
Scan: [4]		5 [• NN VP, 1]	5
Predict: [5]	$NN \rightarrow man$	6 [• man VP, 1]	6
Scan: [6]		7 [• VP, 2]	7
Predict: [7]	$VP \rightarrow Vi$	8 [• Vi, 2]	8, 9
	$VP \rightarrow Vt NP$	9 [• Vt NP, 2]	
Predict: [8]	$Vi \rightarrow sleeps$	10 [• sleeps, 2]	9, 10
			10
Scan: [10]		11 [•, 3]	11
GOAL: [11]			∅

$S \rightarrow NP VP$

$VP \rightarrow Vi$

$VP \rightarrow Vt NP$

~~$VP \rightarrow VP PP$~~

$NP \rightarrow DT NN$

$NP \rightarrow NP PP$

$PP \rightarrow IN NP$

$Vi \rightarrow sleeps$

$Vt \rightarrow saw$

$NN \rightarrow man$

$NN \rightarrow dog$

$NN \rightarrow telescope$

$DT \rightarrow the$

$IN \rightarrow with$



# Top-Down recognition

**Input:**  $G$  and  $x_1 \dots x_n$

**Item form:**  $[\bullet\beta, j]$

asserts that  $S \Rightarrow^* x_1 \dots x_j \beta$

**Axiom:**  $[\bullet S, 0]$

**Goal:**  $[\bullet, n]$

$$\text{SCAN} \frac{[\bullet x_{j+1} \beta, j]}{[\bullet \beta, j+1]}$$

**Scan**

asserts that  $S \Rightarrow^* x_1 \dots x_j x_{j+1} \beta$

**Predict**

asserts that  $S \Rightarrow^* x_1 \dots x_j B \beta$

$$\text{PREDICT} \frac{[\bullet A \beta, j]}{[\bullet \alpha \beta, j]} \quad A \rightarrow \alpha \in \mathcal{R}$$

# Bottom-Up for CNF: CKY

0

1

2

3

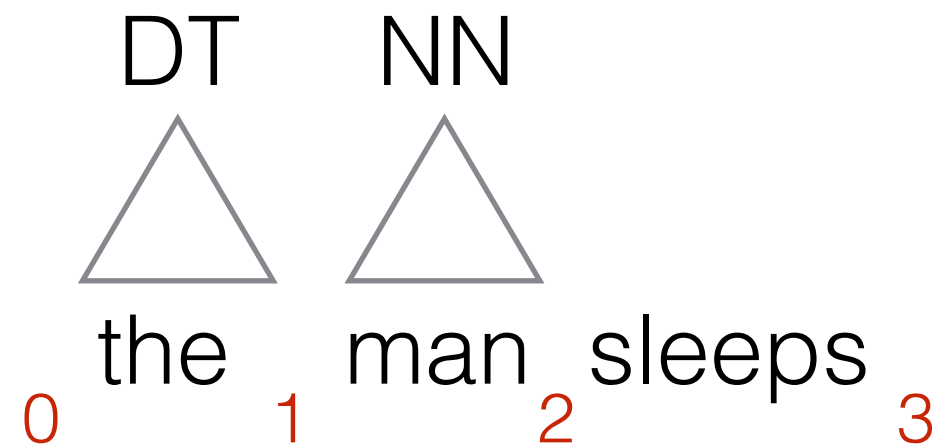
# Bottom-Up for CNF: CKY

<sub>0</sub> the <sub>1</sub> man <sub>2</sub> sleeps <sub>3</sub>

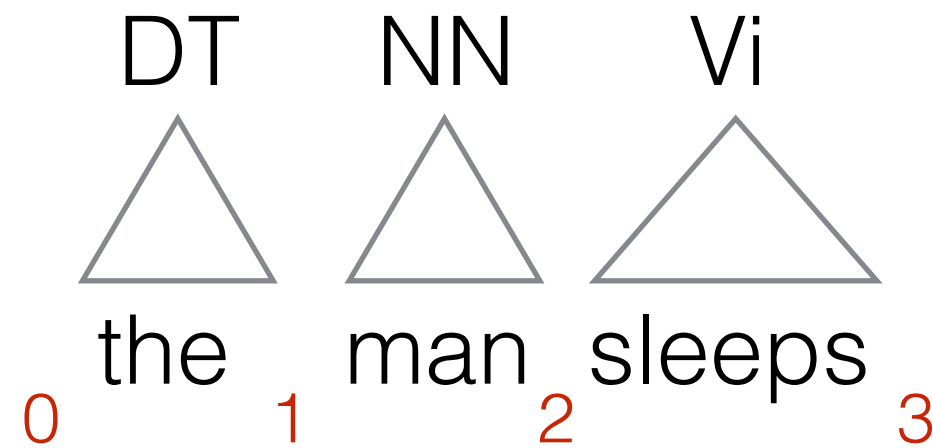
# Bottom-Up for CNF: CKY



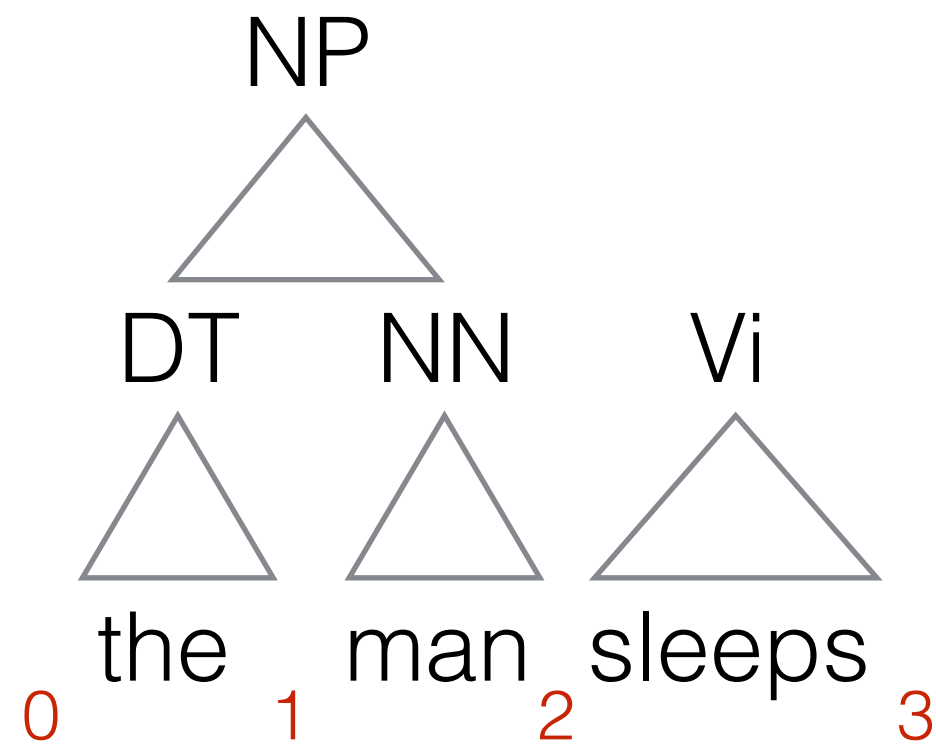
# Bottom-Up for CNF: CKY



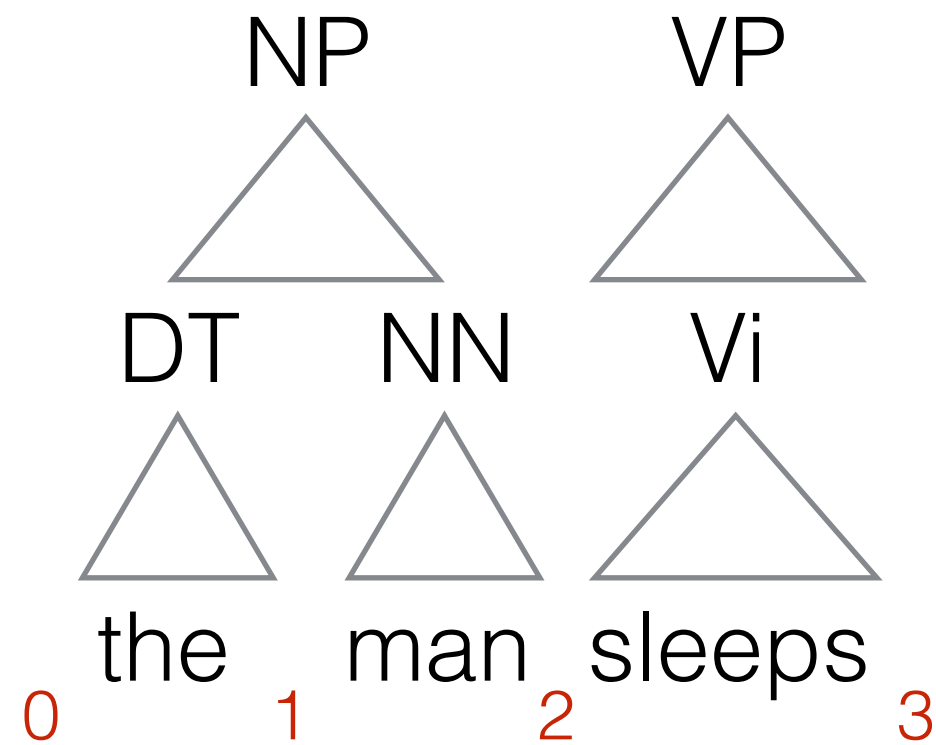
# Bottom-Up for CNF: CKY



# Bottom-Up for CNF: CKY

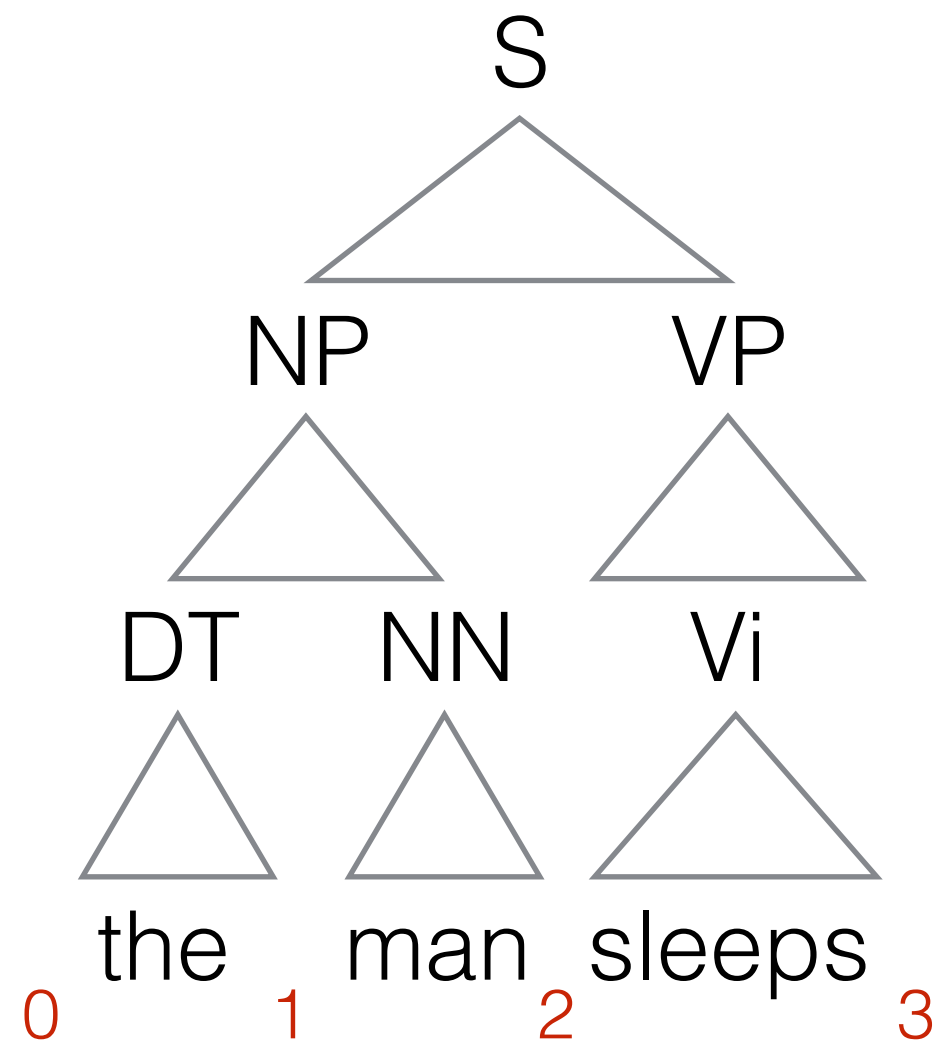


# Bottom-Up for CNF: CKY





# Bottom-Up for CNF: CKY



# CKY - CNF only

**Input:**  $G$  and  $s = x_1 \dots x_n$     **Item form:**  $[i, X, j]$   
asserts that  $X \Rightarrow^* x_{i+1} \dots x_j$

**Axioms:**  $[i, X, i+1] \quad X \rightarrow x_i \in \mathcal{R}$

**Goal:**  $[0, S, n]$

**Merge:**  
asserts that

$$\frac{[i, A, k][k, B, j]}{[i, C, j]} \quad C \rightarrow AB \in \mathcal{R}$$

$x_{i+1} \dots x_k x_{k+1} \dots x_j \Rightarrow^* x_{i+1} \dots x_j$

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$

$Vi \rightarrow \text{sleeps}$

~~$VP \rightarrow Vi$~~

$Vt \rightarrow \text{saw}$

$VP \rightarrow Vt NP$

$NN \rightarrow \text{man}$

$VP \rightarrow VP PP$

$NN \rightarrow \text{dog}$

$NP \rightarrow DT NN$

$NN \rightarrow \text{telescope}$

$NP \rightarrow NP PP$

$DT \rightarrow \text{the}$

$PP \rightarrow IN NP$

$IN \rightarrow \text{with}$

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
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# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	



# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2
			4, 5, 6	3

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2
			4, 5, 6	3
			5, 6	4

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2
			4, 5, 6	3
			5, 6	4
Merge: [4][5]	$NP \rightarrow DT NN$	7 [3, NP, 5]	6, 7	5

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2
			4, 5, 6	3
			5, 6	4
Merge: [4][5]	$NP \rightarrow DT NN$	7 [3, NP, 5]	6, 7	5
			7	6

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2
			4, 5, 6	3
			5, 6	4
Merge: [4][5]	$NP \rightarrow DT NN$	7 [3, NP, 5]	6, 7	5
			7	6
Merge: [3][7]	$VP \rightarrow Vt NP$	8 [2, VP, 5]	8	7



# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2
			4, 5, 6	3
			5, 6	4
Merge: [4][5]	$NP \rightarrow DT NN$	7 [3, NP, 5]	6, 7	5
			7	6
Merge: [3][7]	$VP \rightarrow Vt NP$	8 [2, VP, 5]	8	7
Merge: [6][8]	$S \rightarrow NP VP$	9 [0, S, 5]	9	8

# CKY Example

Input: *the man saw the dog*

$S \rightarrow NP VP$	$Vi \rightarrow \text{sleeps}$
<del><math>VP \rightarrow Vi</math></del>	$Vt \rightarrow \text{saw}$
$VP \rightarrow Vt NP$	$NN \rightarrow \text{man}$
$VP \rightarrow VP PP$	$NN \rightarrow \text{dog}$
$NP \rightarrow DT NN$	$NN \rightarrow \text{telescope}$
$NP \rightarrow NP PP$	$DT \rightarrow \text{the}$
$PP \rightarrow IN NP$	$IN \rightarrow \text{with}$

Rule	Condition	Statement	Queue	Passive
Axiom	$DT \rightarrow \text{the}$	1 [0, DT, 1]	1	
	$NN \rightarrow \text{man}$	2 [1, NN, 2]	1, 2	
	$Vt \rightarrow \text{saw}$	3 [2, Vt, 3]	1, 2, 3	
	$DT \rightarrow \text{the}$	4 [3, DT, 4]	1, 2, 3, 4	
	$NN \rightarrow \text{dog}$	5 [4, NN, 5]	1, 2, 3, 4, 5	
			2, 3, 4, 5	1
Merge: [1][2]	$NP \rightarrow DT NN$	6 [0, NP, 2]	3, 4, 5, 6	2
			4, 5, 6	3
			5, 6	4
Merge: [4][5]	$NP \rightarrow DT NN$	7 [3, NP, 5]	6, 7	5
			7	6
Merge: [3][7]	$VP \rightarrow Vt NP$	8 [2, VP, 5]	8	7
Merge: [6][8]	$S \rightarrow NP VP$	9 [0, S, 5]	9	8
GOAL: [9]			$\emptyset$	9

# Rule Segmentation: "Split Points"

${}_0S_3 \rightarrow {}_0NP_2 {}_2VP_3$

${}_0NP_2 \rightarrow {}_0DT_1 {}_1NN_2$

${}_2VP_3 \rightarrow {}_2Vi_3$

${}_0DT_1 \rightarrow \text{the}$

${}_1NN_2 \rightarrow \text{man}$

${}_2Vi_3 \rightarrow \text{sleeps}$

0            1            2            3

# Rule Segmentation: "Split Points"

${}_0S_3 \rightarrow {}_0NP_2 {}_2VP_3$

${}_0NP_2 \rightarrow {}_0DT_1 {}_1NN_2$

${}_2VP_3 \rightarrow {}_2Vi_3$

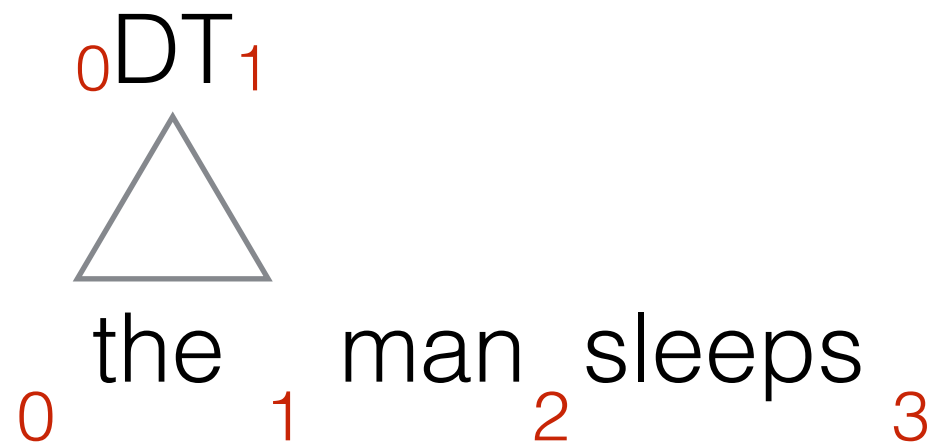
${}_0DT_1 \rightarrow \text{the}$

${}_1NN_2 \rightarrow \text{man}$

${}_2Vi_3 \rightarrow \text{sleeps}$

${}_0$  the  ${}_1$  man  ${}_2$  sleeps  ${}_3$

# Rule Segmentation: "Split Points"



${}_0S_3 \rightarrow {}_0NP_2 {}_2VP_3$

${}_0NP_2 \rightarrow {}_0DT_1 {}_1NN_2$

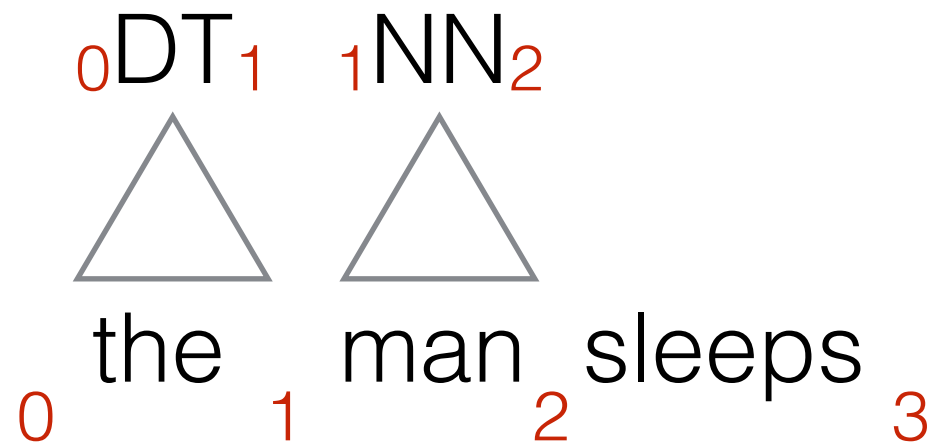
${}_2VP_3 \rightarrow {}_2Vi_3$

${}_0DT_1 \rightarrow \text{the}$

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${}_2Vi_3 \rightarrow \text{sleeps}$

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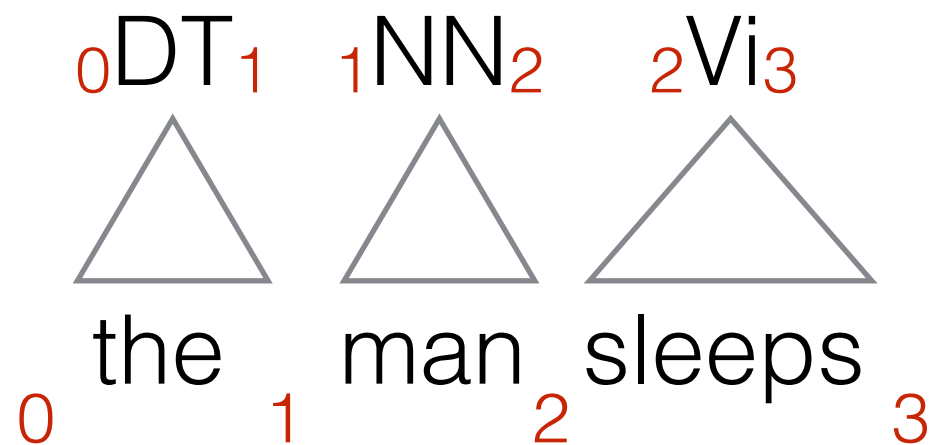
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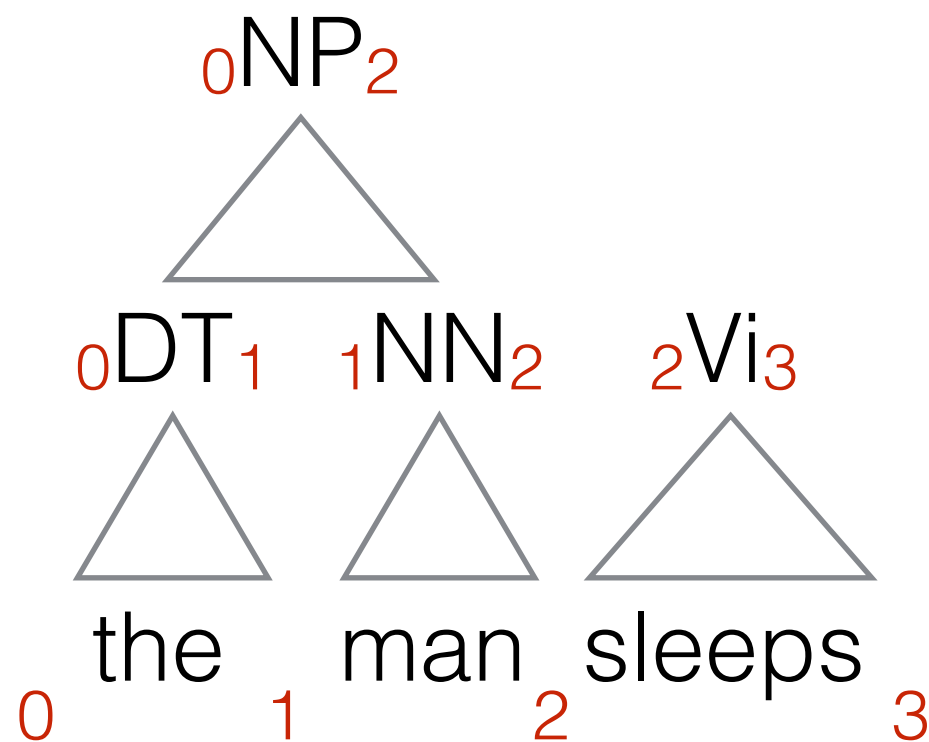
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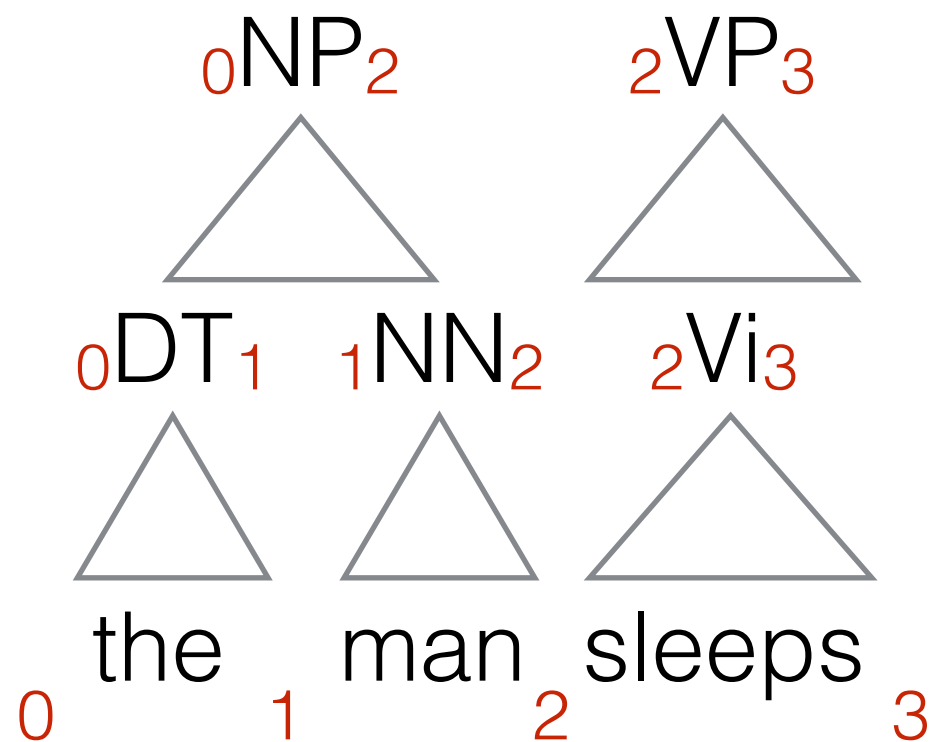
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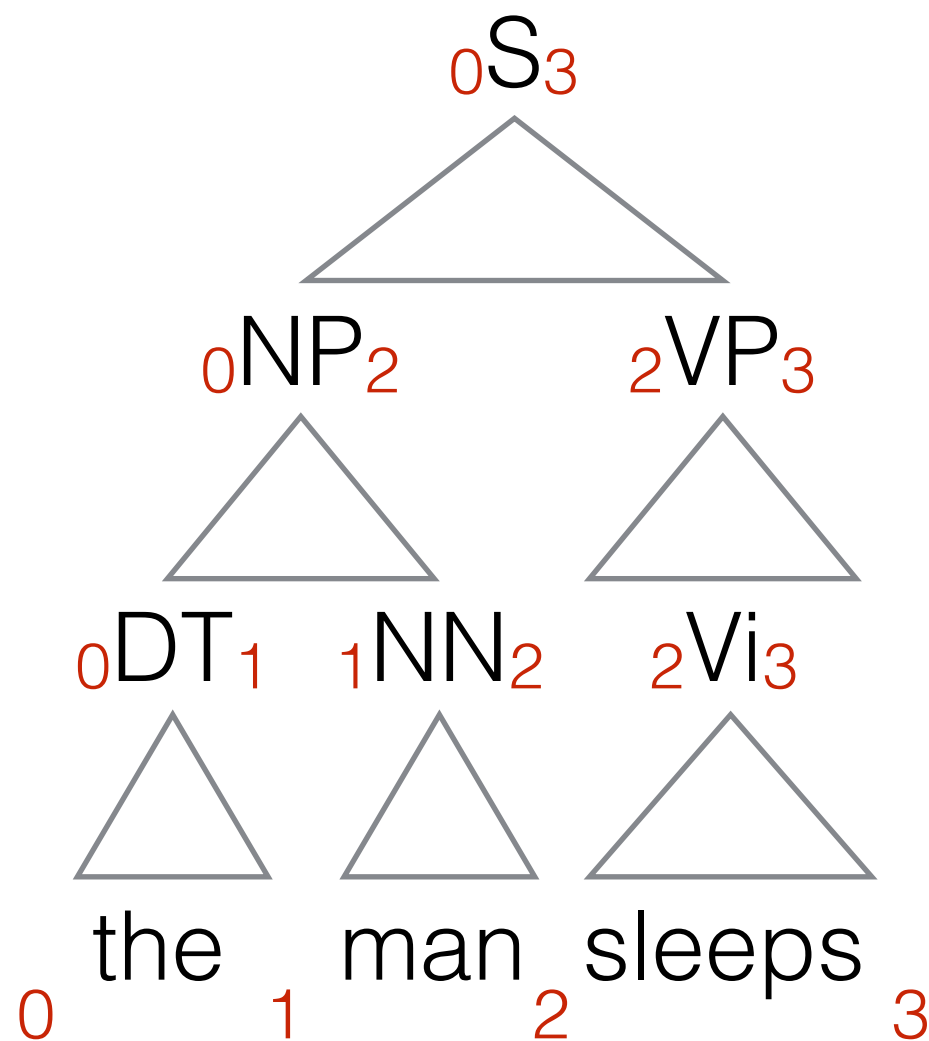
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- The prefix  $\alpha$  has already been parsed and we are waiting for  $\beta$
- The filled box represents a segmentation of  $[0 .. j]$  into  $|\alpha|$  adjacent parts
- The empty box has no actual role, it's just a reminder that the segmentation beyond  $j$  is unknown

# CKY+

**Input:**  $G$  and  $s = x_1 \dots x_n$

**Item form:**  $[i, X \rightarrow \alpha \blacksquare \bullet \beta \square, j]$   
asserts that  $X \Rightarrow^* x_{i+1} \dots x_j \beta$

**Axioms:**  $[i, X \rightarrow x_i \bullet \alpha \square, i+1]$   $X \rightarrow x_i \alpha \in \mathcal{R}$   
 $[i, X \rightarrow \varepsilon \bullet, i]$   $X \rightarrow \varepsilon \in \mathcal{R}$

**Goal:**  $[0, S \rightarrow \alpha \blacksquare \bullet, n]$

**Scan**

$$\frac{[i, X \rightarrow \alpha \blacksquare \bullet x_{j+1} \beta \square, j]}{[i, X \rightarrow \alpha \blacksquare x_{j+1} \bullet \beta \square, j+1]}$$

**Prefix**

$$\frac{[i, Y \rightarrow \alpha \blacksquare \bullet, j]}{[i, X \rightarrow Y_{i,j} \bullet \beta \square, j]} X \rightarrow Y \beta \in \mathcal{R}$$

**Complete**

$$\frac{[i, X \rightarrow \alpha \blacksquare \bullet Y \beta \square, k][k, Y \rightarrow \gamma \blacksquare \bullet, j]}{[i, X \rightarrow \alpha \blacksquare Y_{k,j} \bullet \beta \square, j]}$$

# CKY + Example

Input: *the man sleeps*

$S \rightarrow NP VP$

$Vi \rightarrow \text{sleeps}$

$VP \rightarrow Vi$

$Vt \rightarrow \text{saw}$

$VP \rightarrow Vt NP$

$NN \rightarrow \text{man}$

$VP \rightarrow VP PP$

$NN \rightarrow \text{dog}$

$NP \rightarrow DT NN$

$NN \rightarrow \text{telescope}$

$NP \rightarrow NP PP$

$DT \rightarrow \text{the}$

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Rule	Condition	Item	Active	Passive
------	-----------	------	--------	---------

# CKY + Example

Input: *the man sleeps*

S → NP VP

Vi → sleeps

VP → Vi

Vt → saw

VP → Vt NP

NN → man

VP → VP PP

NN → dog

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Rule	Condition	Item	Active	Passive
Axiom	DT → the	1 [0, DT → the •, 1]	1	

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Axiom	$DT \rightarrow \text{the}$	1 [0, $DT \rightarrow \text{the} \bullet, 1$ ]	1	
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Prefix: [1]	$NP \rightarrow DT NN$	4 [0, $NP \rightarrow DT_{0,1} \bullet NN, 2$ ]	2, 3, 4	1

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Prefix: [1]	$NP \rightarrow DT NN$	4 [0, $NP \rightarrow DT_{0,1} \bullet NN, 2$ ]	2, 3, 4	1
			3, 4	2
Prefix: [3]	$VP \rightarrow Vi$	5 [2, $VP \rightarrow Vi_{2,3} \bullet, 3$ ]	4, 5	3

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Rule	Condition	Item	Active	Passive
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Prefix: [1]	$NP \rightarrow DT NN$	4 [0, $NP \rightarrow DT_{0,1} \bullet NN, 2$ ]	2, 3, 4	1
			3, 4	2
Prefix: [3]	$VP \rightarrow Vi$	5 [2, $VP \rightarrow Vi_{2,3} \bullet, 3$ ]	4, 5	3
Complete: [4] [2]		6 [0, $NP \rightarrow DT_{0,1} NN_{1,2} \bullet, 2$ ]	5, 6	4

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			3, 4	2
Prefix: [3]	$VP \rightarrow Vi$	5 [2, $VP \rightarrow Vi_{2,3} \bullet, 3$ ]	4, 5	3
Complete: [4] [2]		6 [0, $NP \rightarrow DT_{0,1} NN_{1,2} \bullet, 2$ ]	5, 6	4
			6	5

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Prefix: [3]	$VP \rightarrow Vi$	5 [2, $VP \rightarrow Vi_{2,3} \bullet, 3$ ]	4, 5	3
Complete: [4] [2]		6 [0, $NP \rightarrow DT_{0,1} NN_{1,2} \bullet, 2$ ]	5, 6	4
			6	5
Prefix: [6]	$S \rightarrow NP VP$	7 [0, $S \rightarrow NP_{0,2} \bullet VP, 2$ ]	7	6

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Complete: [4] [2]		6 [0, $NP \rightarrow DT_{0,1} NN_{1,2} \bullet, 2$ ]	5, 6	4
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Prefix: [6]	$S \rightarrow NP VP$	7 [0, $S \rightarrow NP_{0,2} \bullet VP, 2$ ]	7	6
Complete: [7] [5]		8 [0, $S \rightarrow NP_{0,2} VP_{2,3} \bullet, 3$ ]	8	7



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Complete: [4] [2]		6 [0, $NP \rightarrow DT_{0,1} NN_{1,2} \bullet, 2$ ]	5, 6	4
			6	5
Prefix: [6]	$S \rightarrow NP VP$	7 [0, $S \rightarrow NP_{0,2} \bullet VP, 2$ ]	7	6
Complete: [7] [5]		8 [0, $S \rightarrow NP_{0,2} VP_{2,3} \bullet, 3$ ]	8	7
GOAL: [8]			$\emptyset$	

# Correctness of Parsing Strategy

Soundness: if a goal item is proven for  $\alpha$

- then  $\omega \in L(G)$

Completeness: if  $\alpha \in L(G)$

- then a goal item can be proven for  $\alpha$

# Parse Forest

Efficient representation of the whole space  $T_G(\omega)$

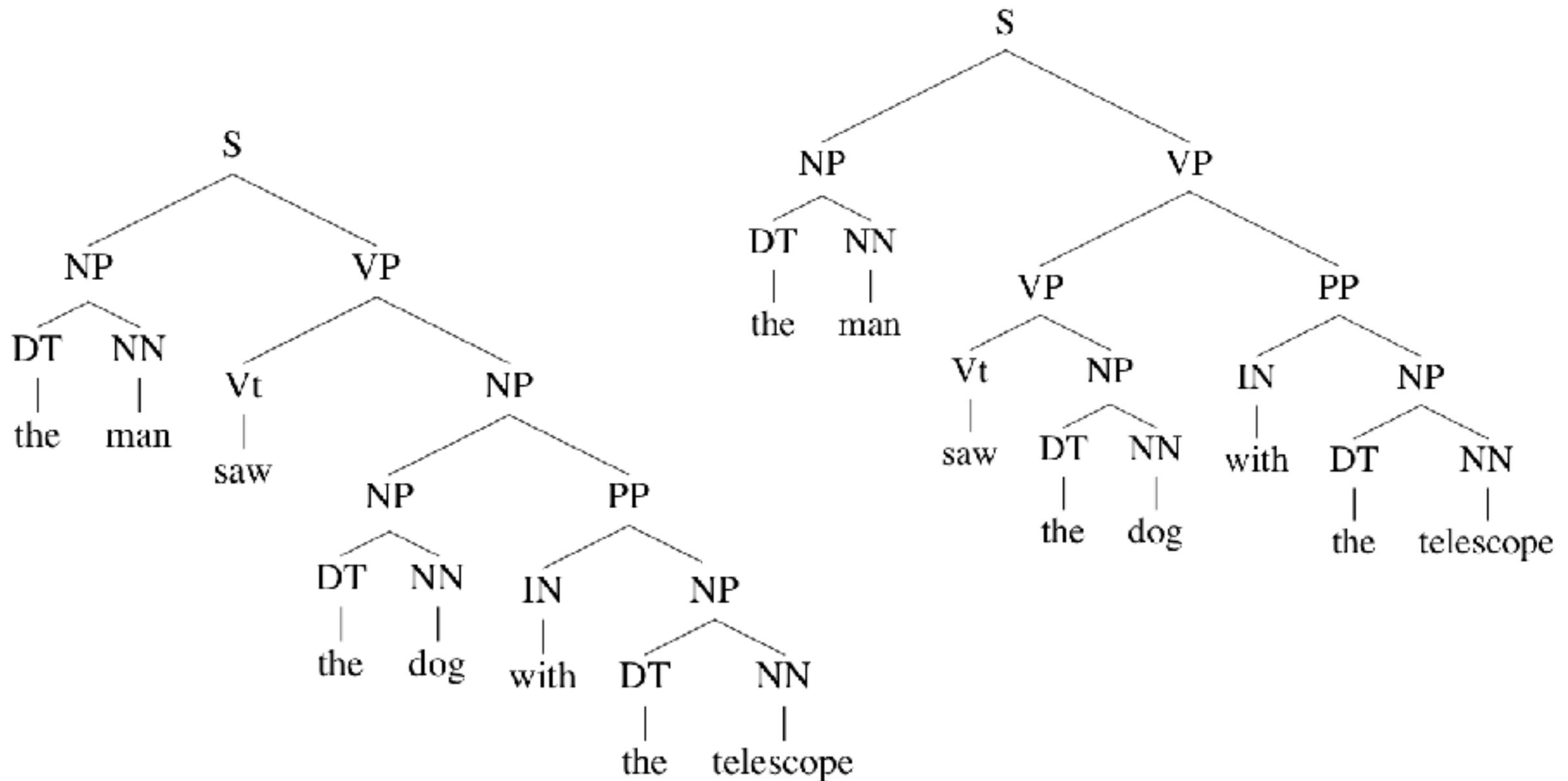
- each and every possible tree yielding  $\omega$

We must be able to represent partial derivations

- including alternative ones

# Ambiguity

Some strings may have more than one derivation in G



# Dealing with Ambiguity

Statistical model: weight steps in a derivation

- induces a partial ordering over derivations
- can be used to make a decision
  - e.g. best tree under the model

# Probabilistic CFG

CFG extended with parameters  $0 \leq \theta_r \leq 1$

- where  $r \in \mathcal{R}$  and

$$\sum_{\alpha: X \rightarrow \alpha \in R} \theta_{X \rightarrow \alpha} = 1$$

# Probabilistic CFG

Distribution over trees and their yields

$$\begin{aligned} P_{DS|NM}(R_1^m = r_1^m, X_1^n = \text{yield}(r_1^m) | n, m) \\ = \prod_{i=1}^m \theta_{r_i} = \prod_{i=1}^m \theta_{v_i \rightarrow \beta_i} \end{aligned}$$

where  $r_i$  corresponds to  $v_i \rightarrow \beta_i$

# Joint Distribution

${}_0S_3 \rightarrow {}_0NP_2 {}_2VP_3$

${}_0NP_2 \rightarrow {}_0DT_1 {}_1NN_2$

${}_2VP_3 \rightarrow {}_2Vi_3$

${}_0DT_1 \rightarrow \text{the}$

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the man sleeps

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${}_0S_3 \rightarrow {}_0NP_2 {}_2VP_3$

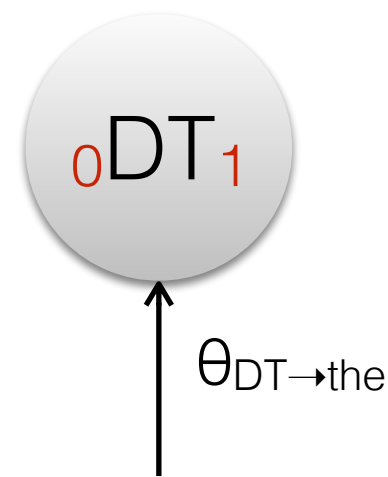
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the

man

sleeps

# Joint Distribution

${}_0S_3 \rightarrow {}_0NP_2 {}_2VP_3$

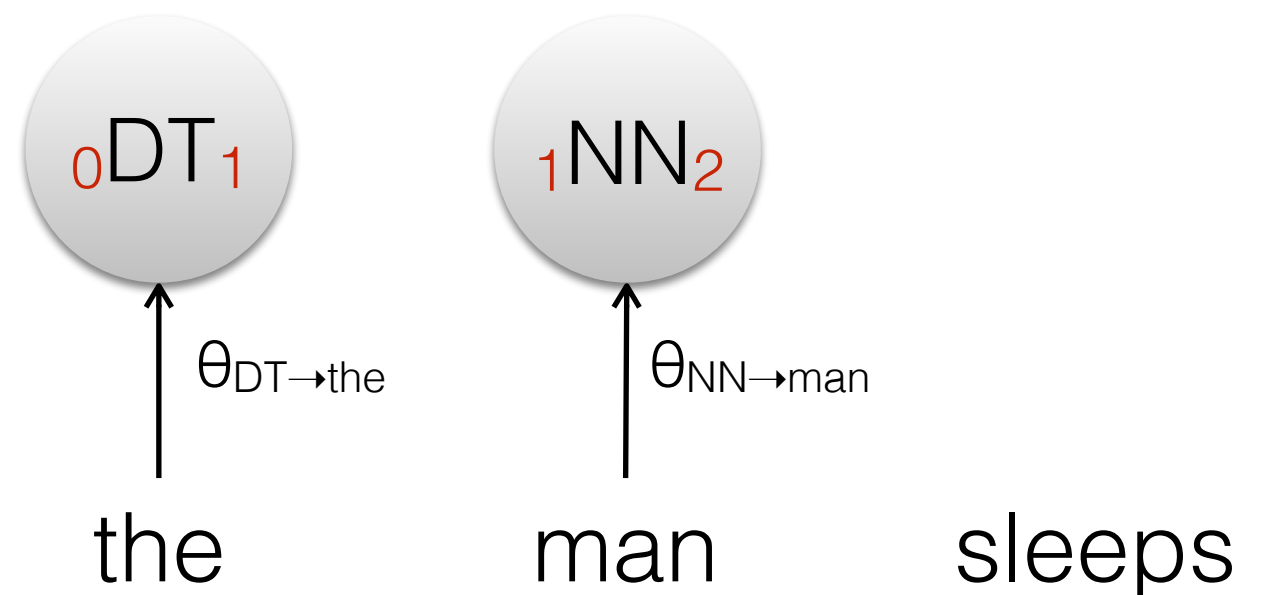
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# Joint Distribution

${}_0S_3 \rightarrow {}_0NP_2 {}_2VP_3$

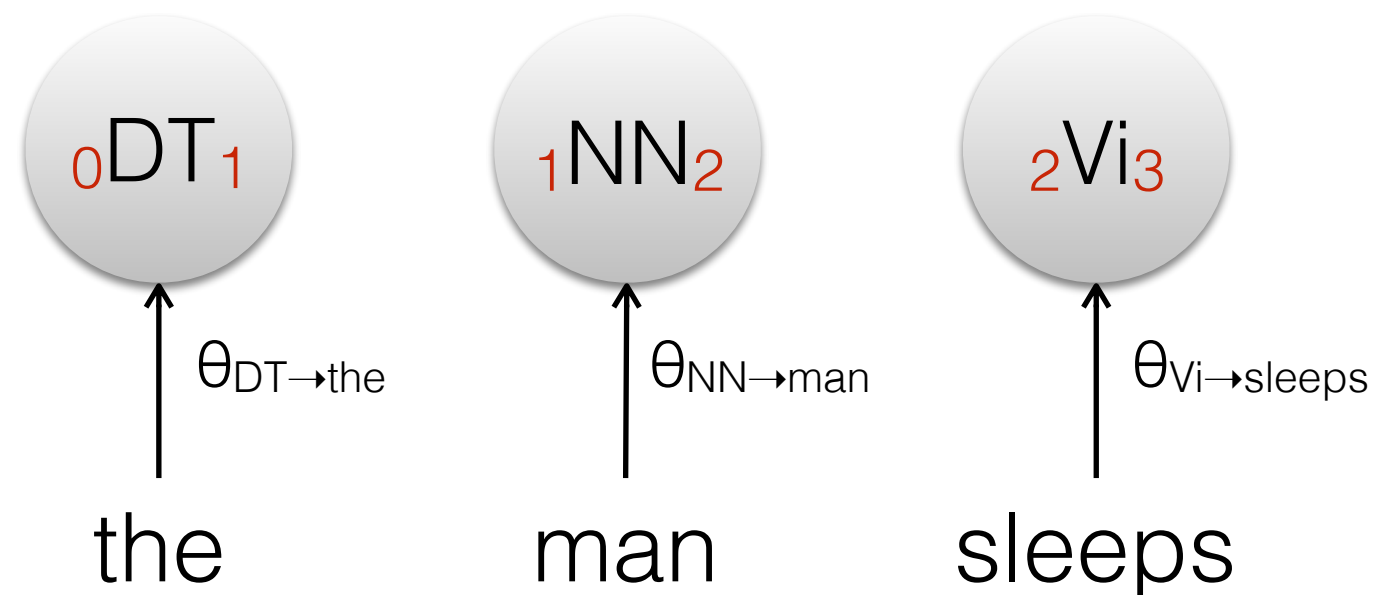
${}_0NP_2 \rightarrow {}_0DT_1 {}_1NN_2$

${}_2VP_3 \rightarrow {}_2Vi_3$

${}_0DT_1 \rightarrow \text{the}$

${}_1NN_2 \rightarrow \text{man}$

${}_2Vi_3 \rightarrow \text{sleeps}$



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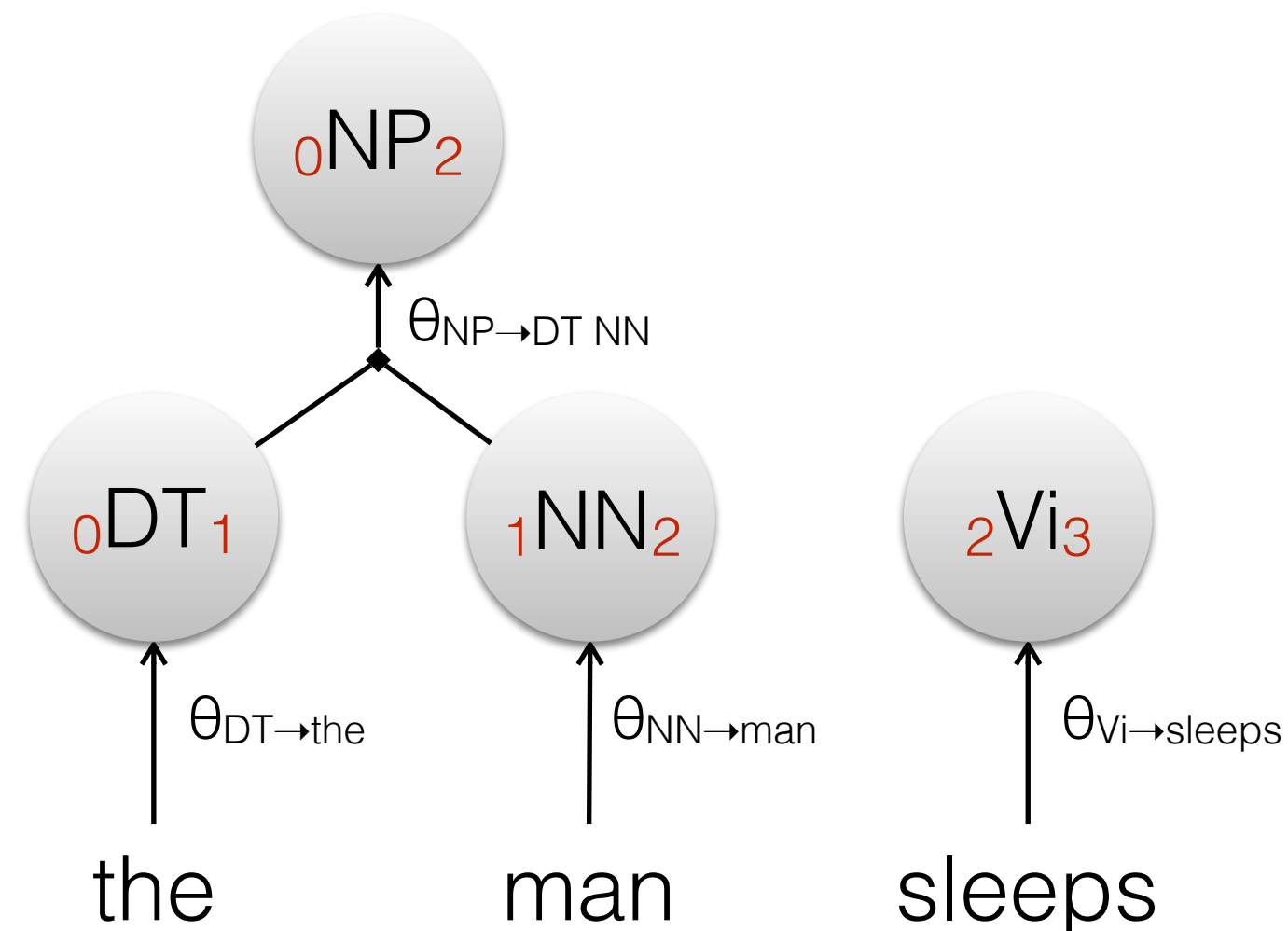
${}_0NP_2 \rightarrow {}_0DT_1 {}_1NN_2$

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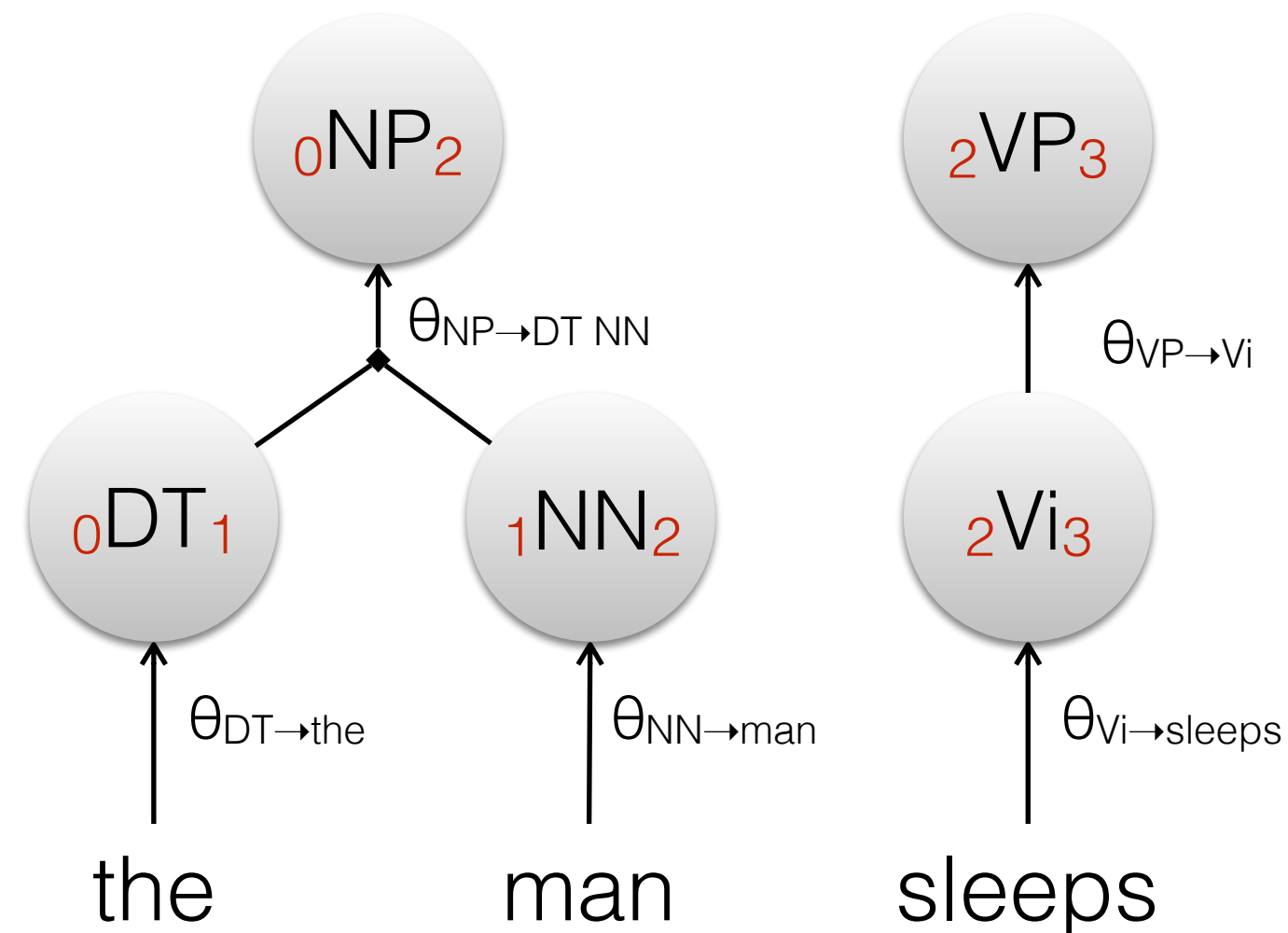
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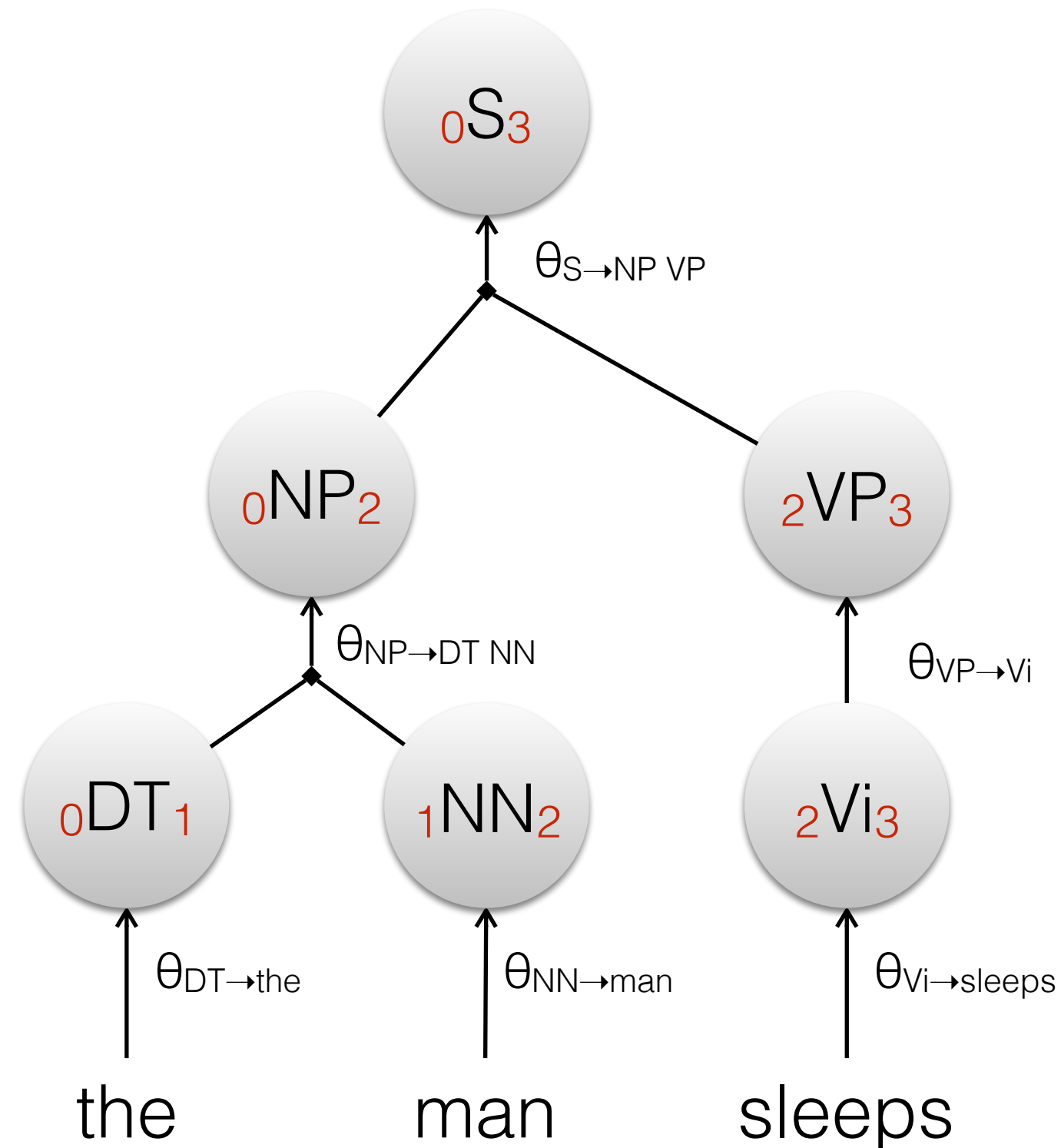
${}_0NP_2 \rightarrow {}_0DT_1 {}_1NN_2$

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# Ambiguity



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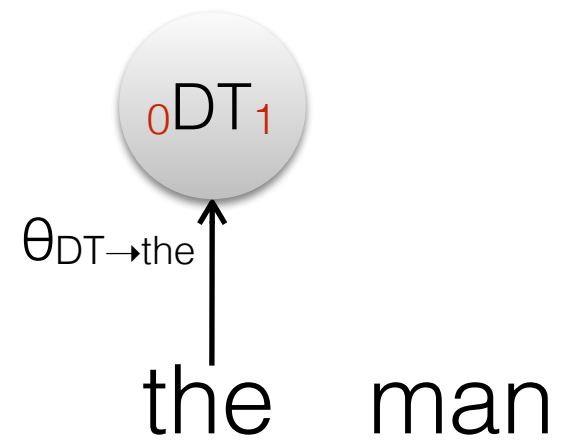
the man

saw

the dog

with the telescope

# Ambiguity

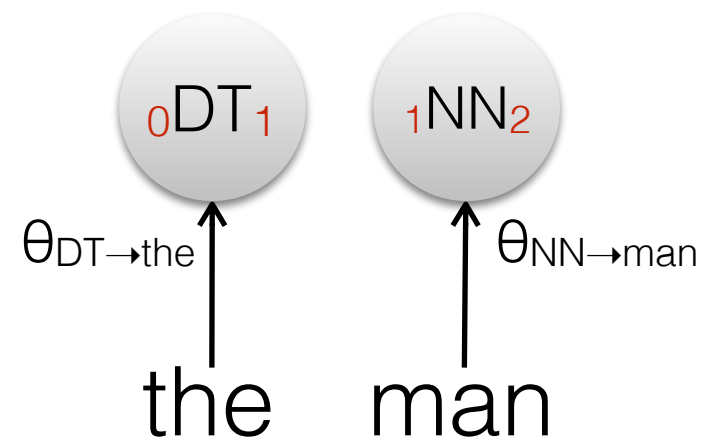


saw

the dog

with the telescope

# Ambiguity

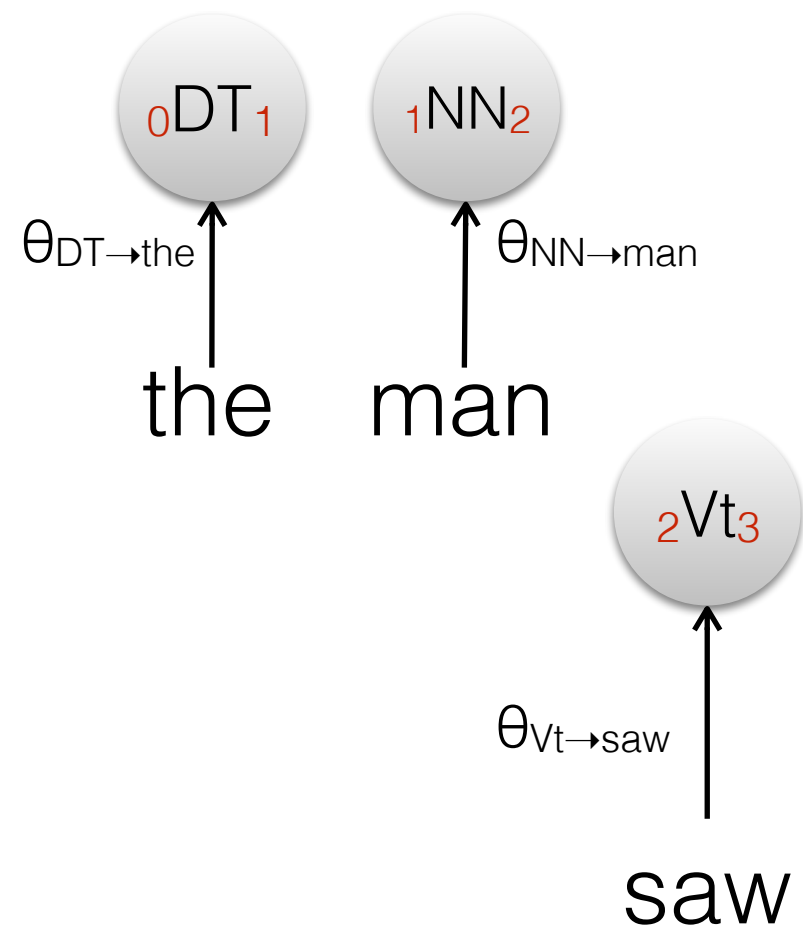


saw

the dog

with the telescope

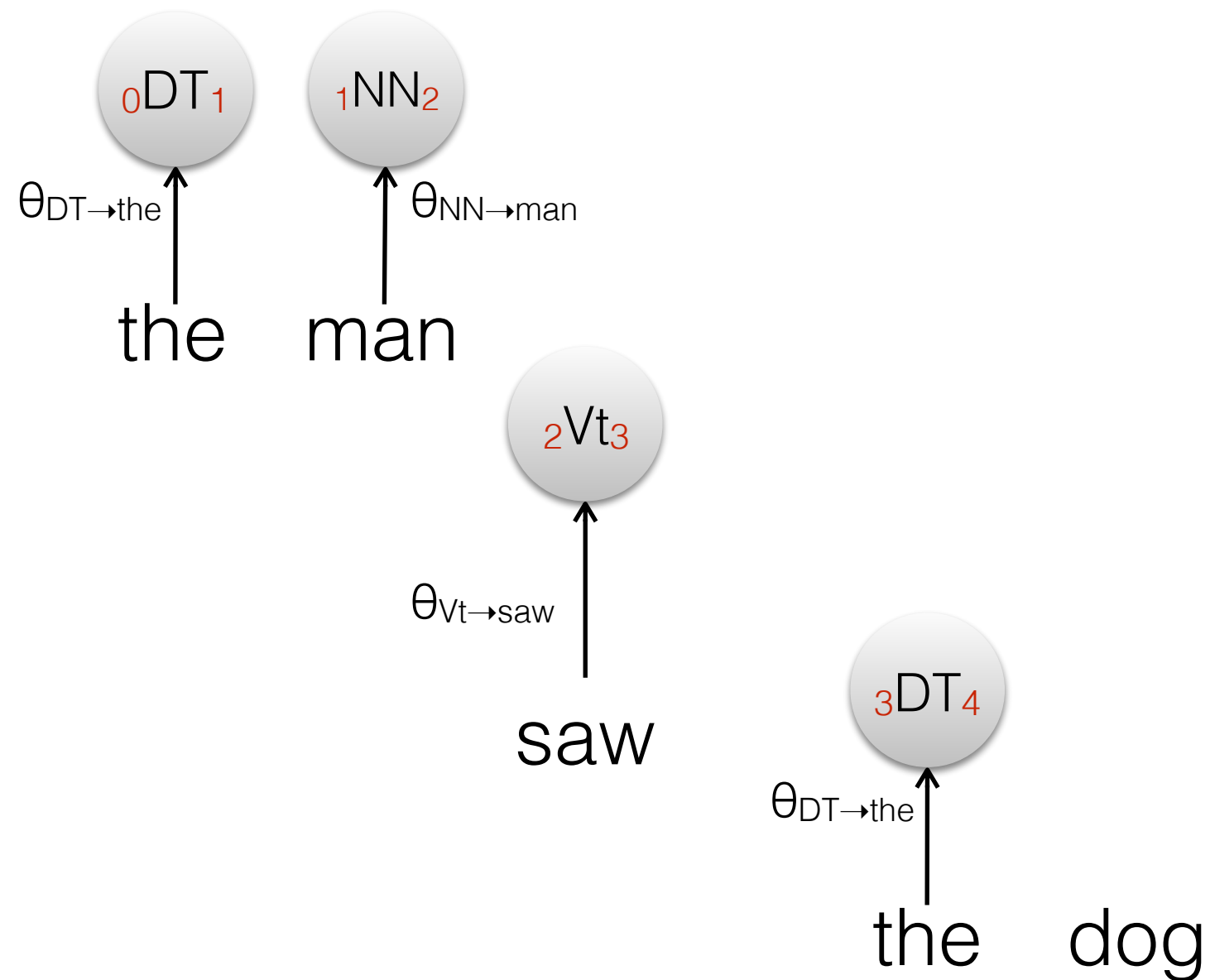
# Ambiguity



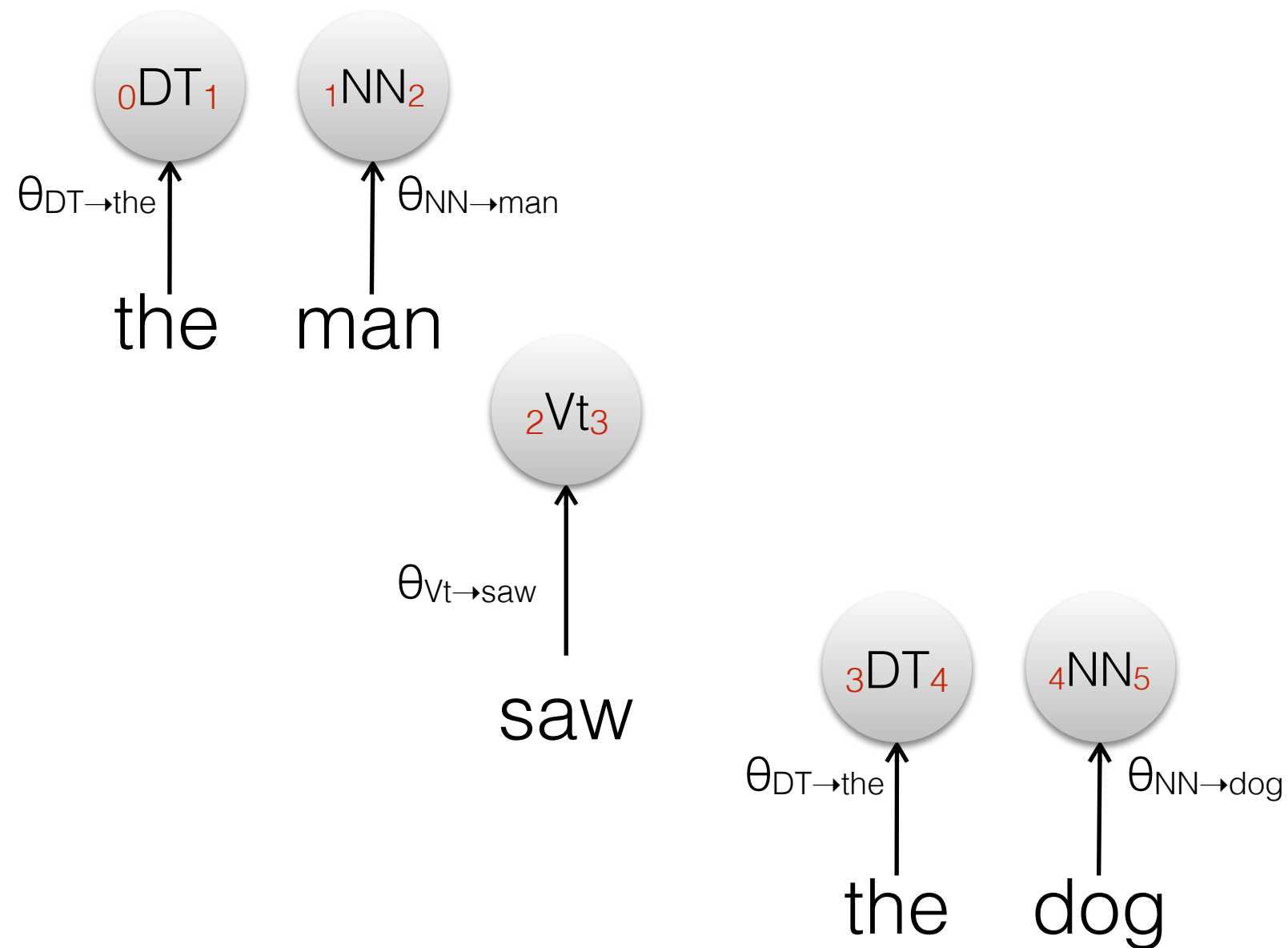
the dog

with the telescope

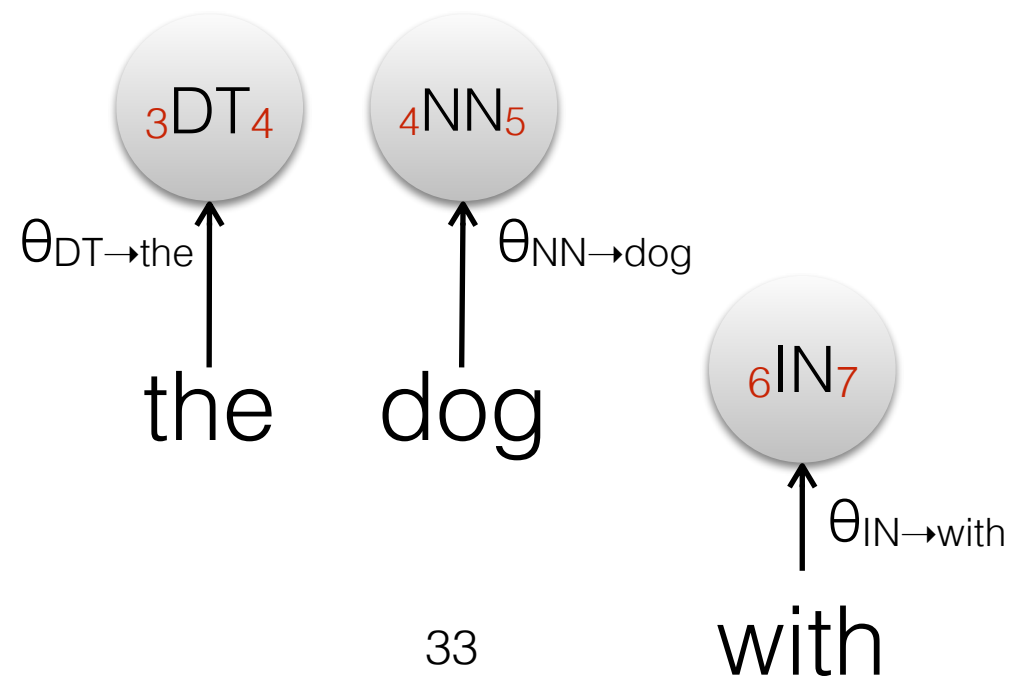
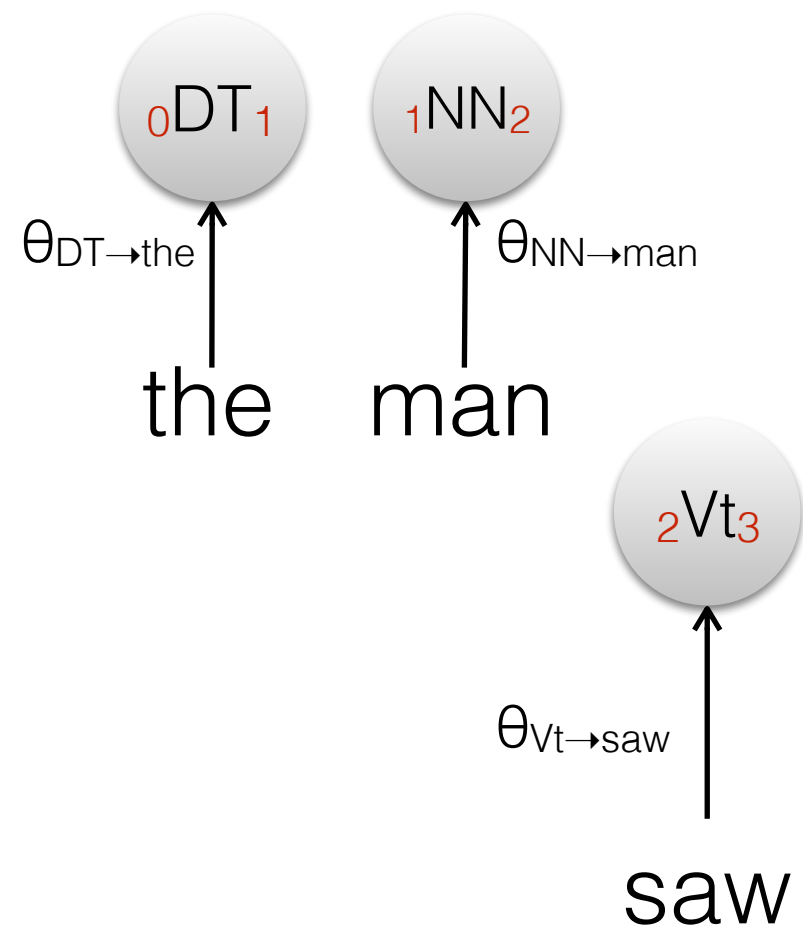
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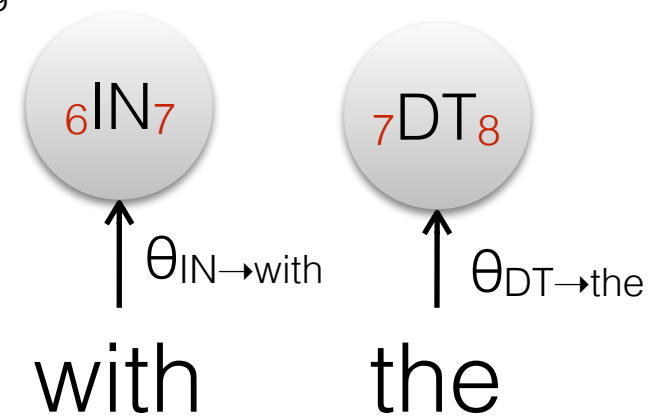
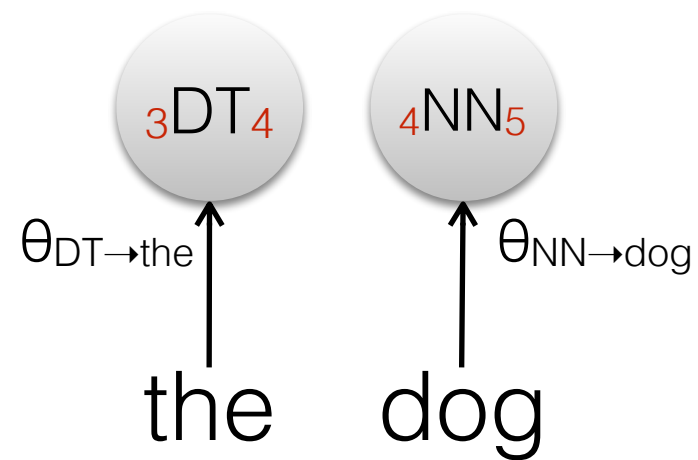
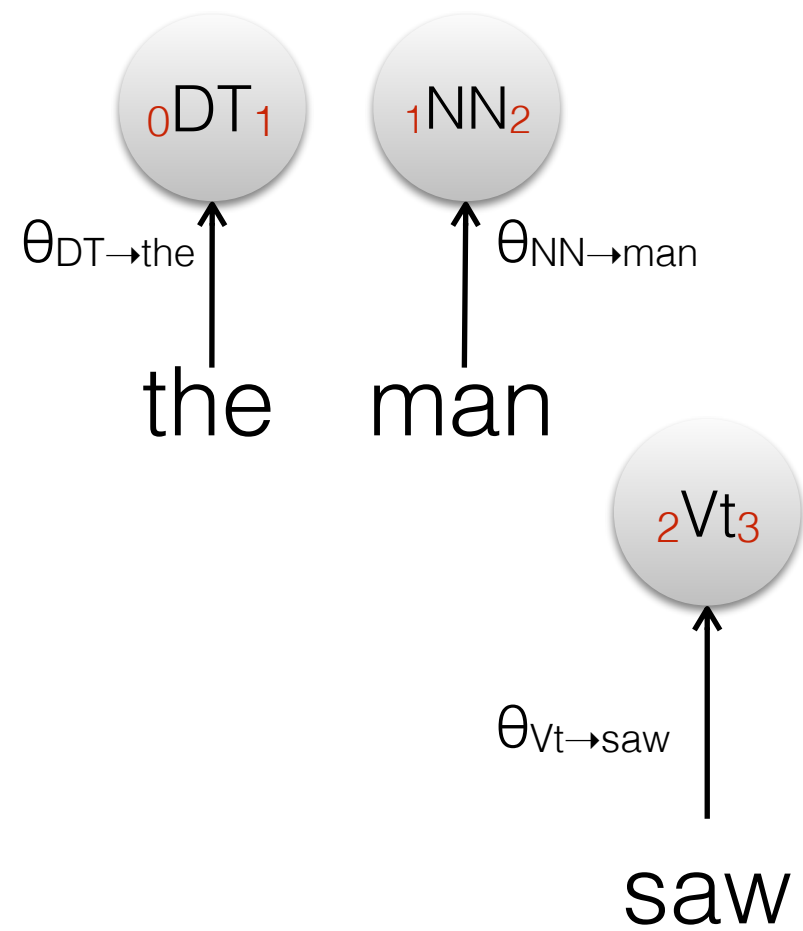
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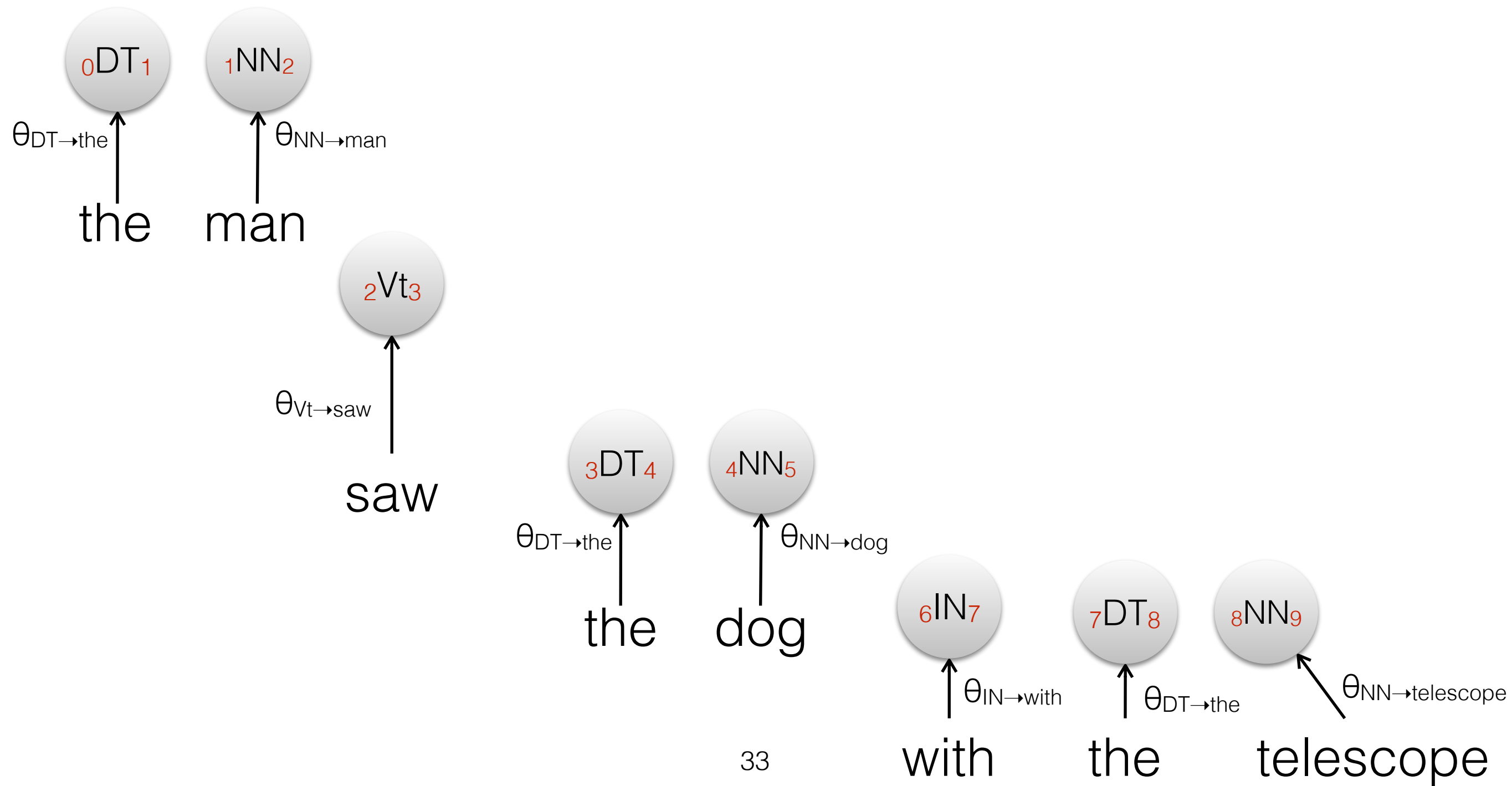


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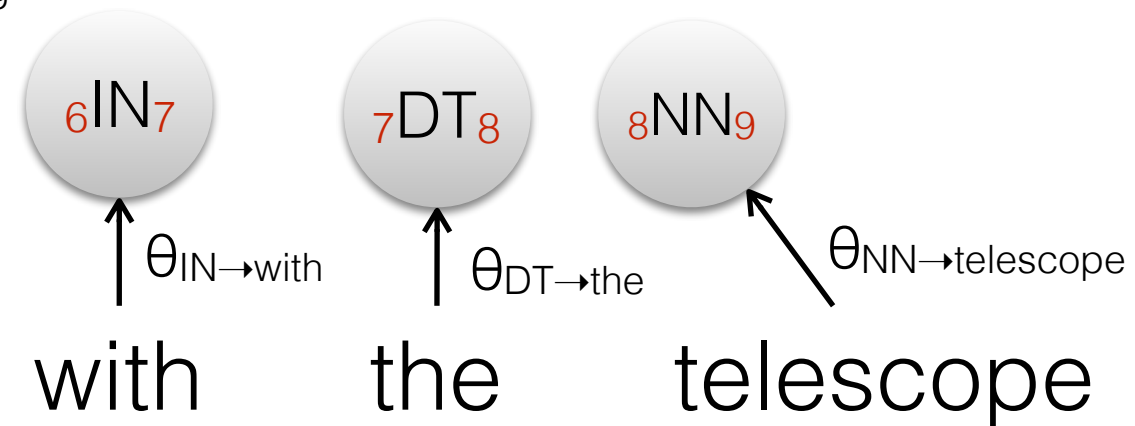
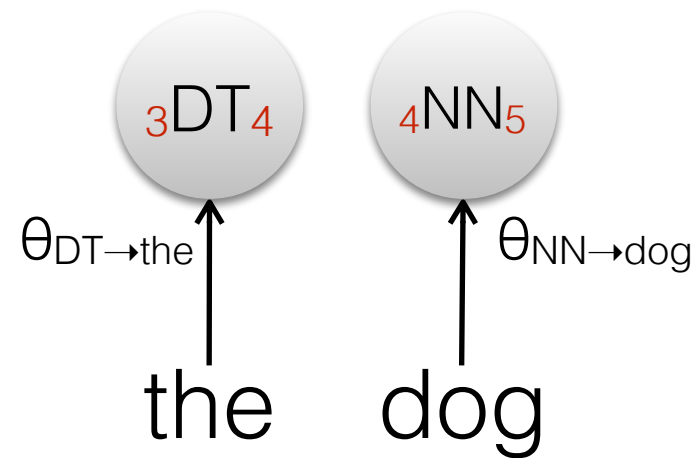
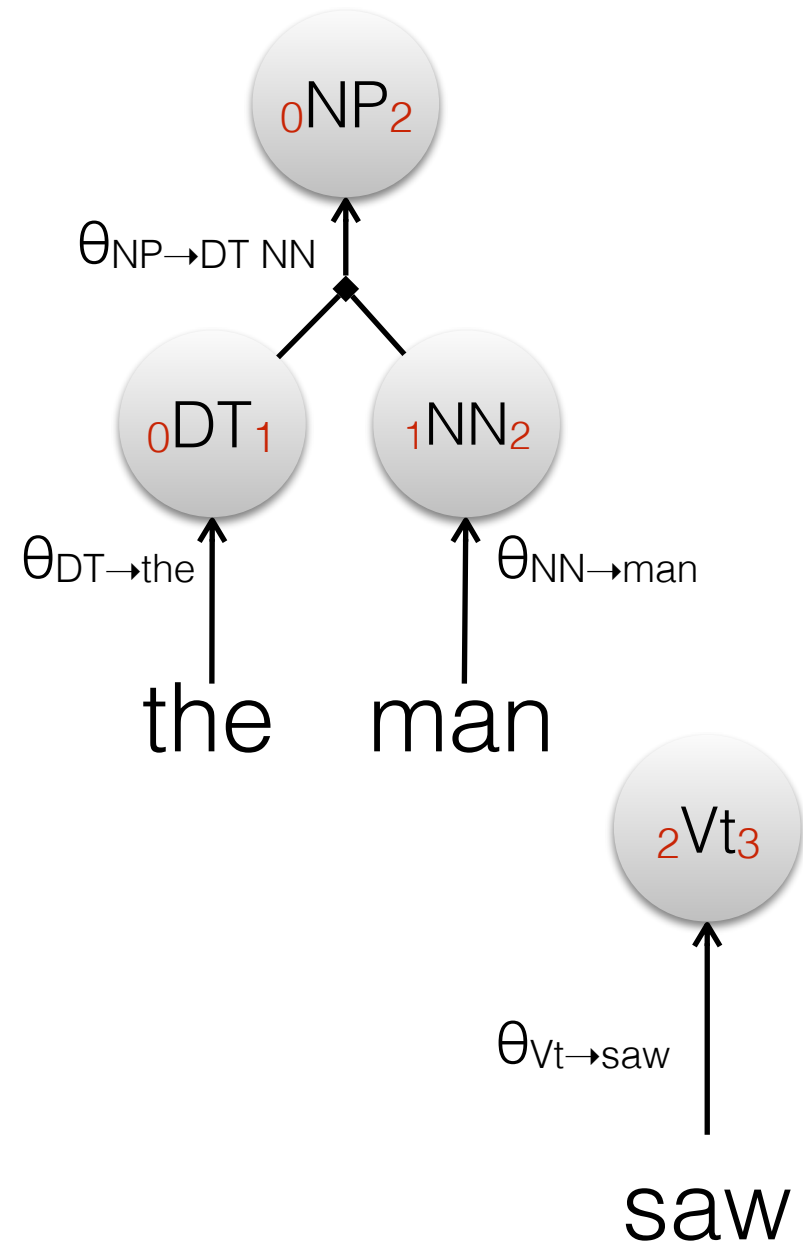




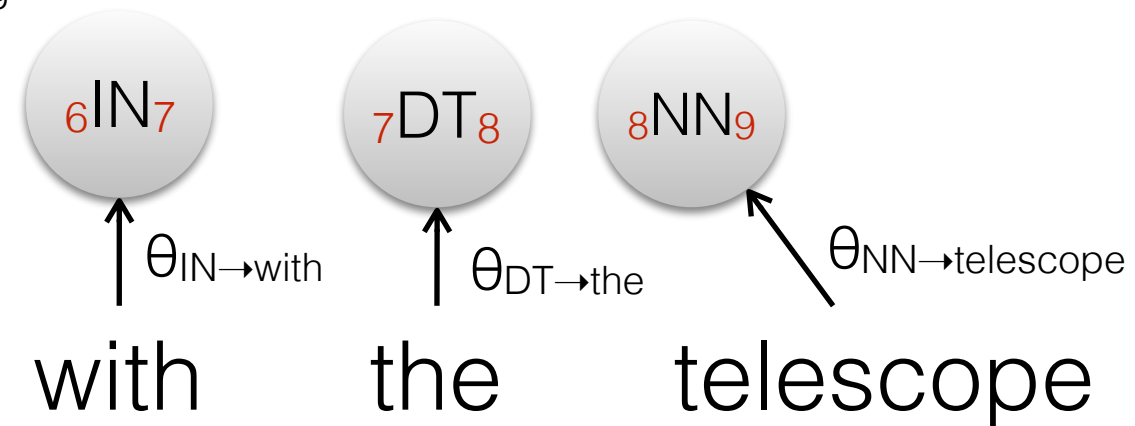
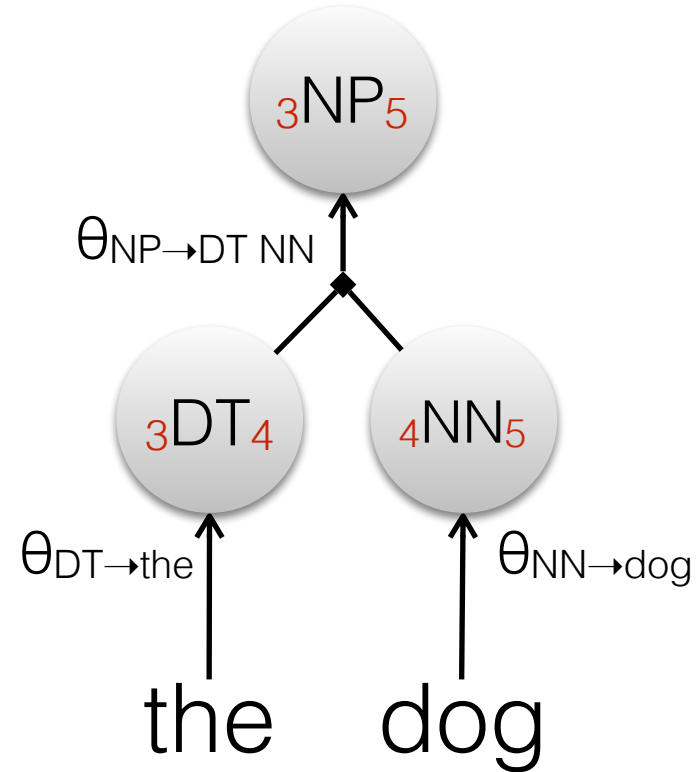
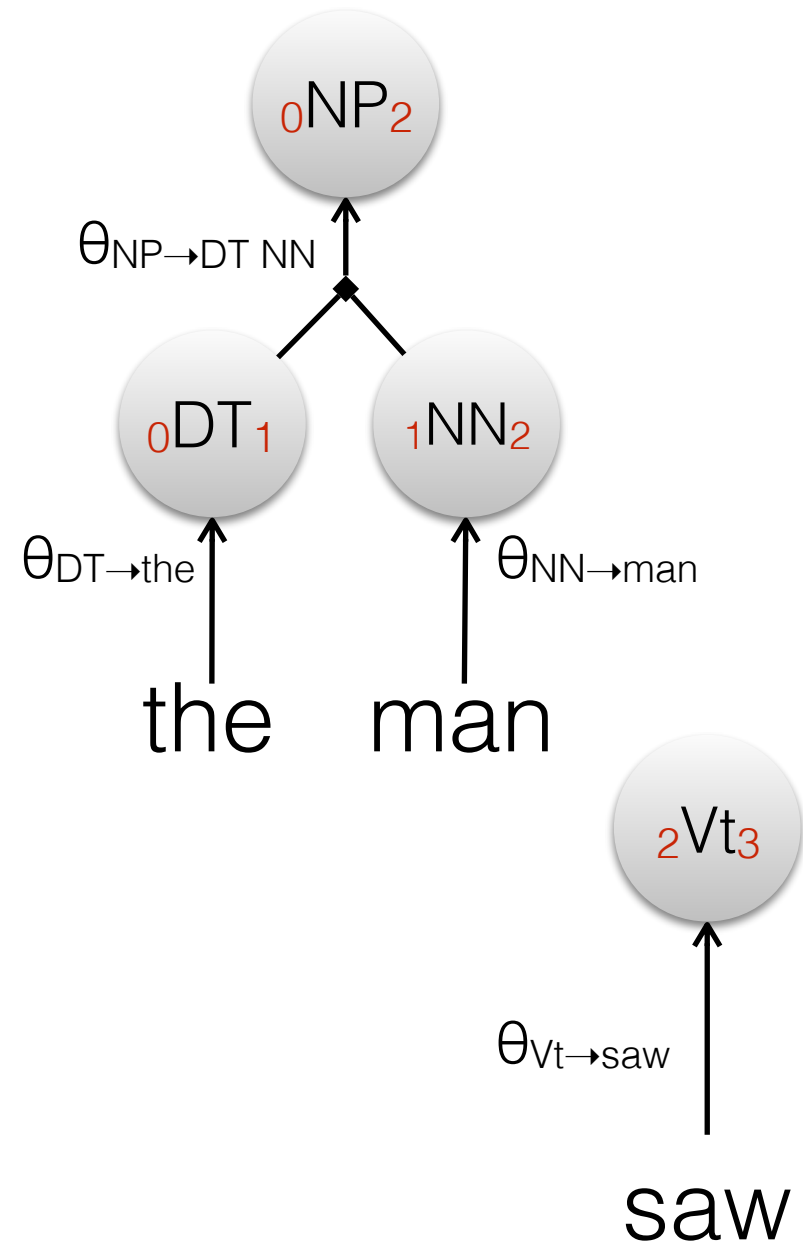
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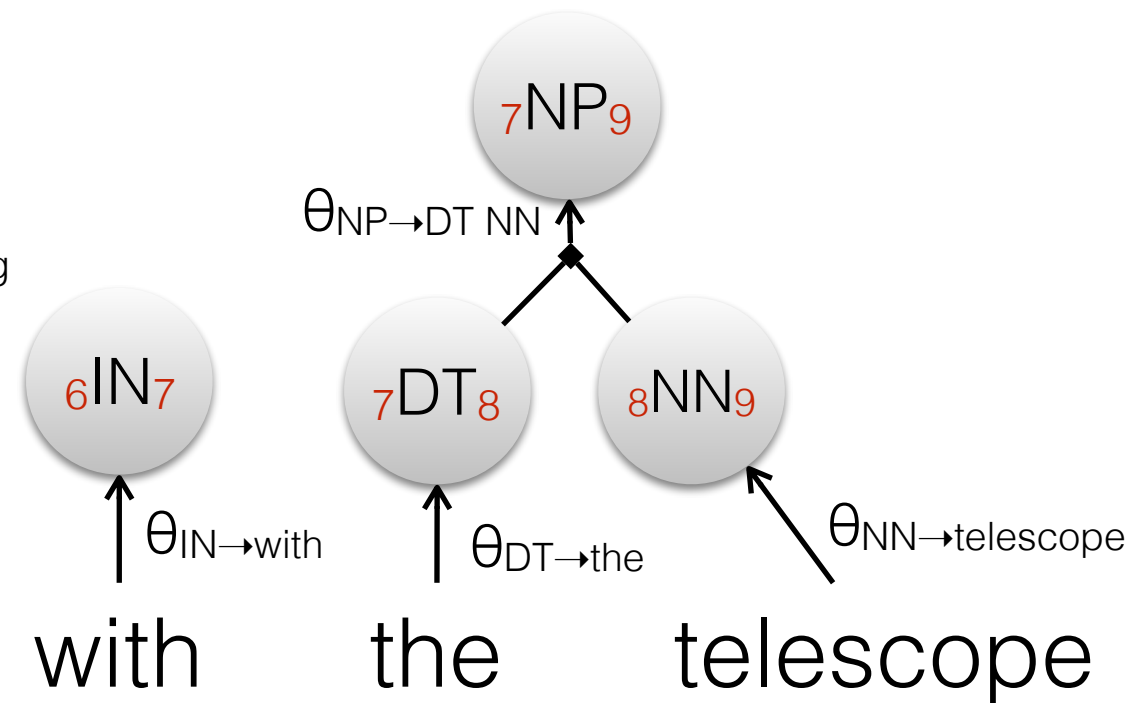
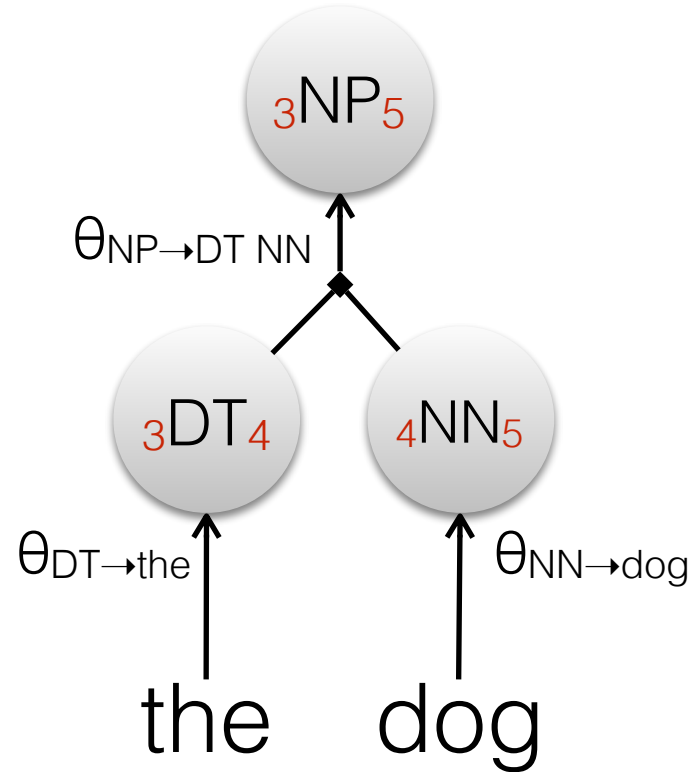
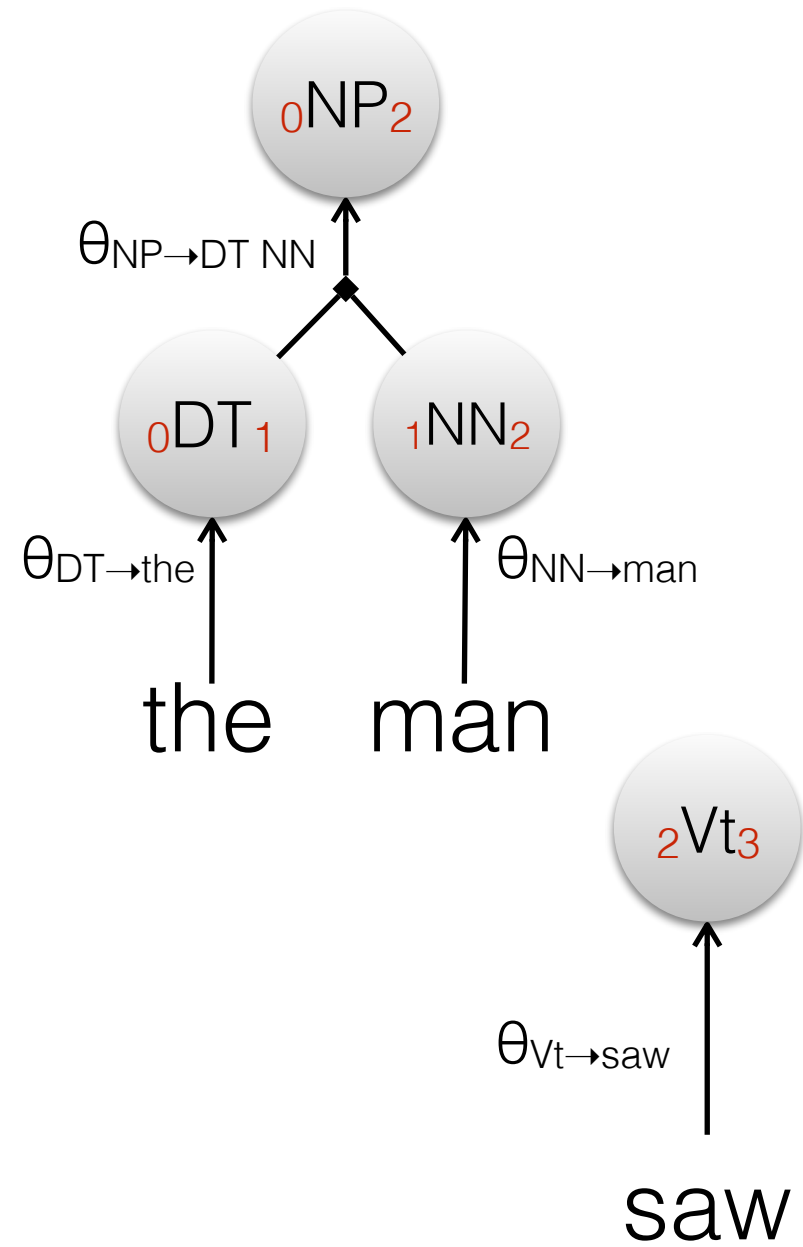
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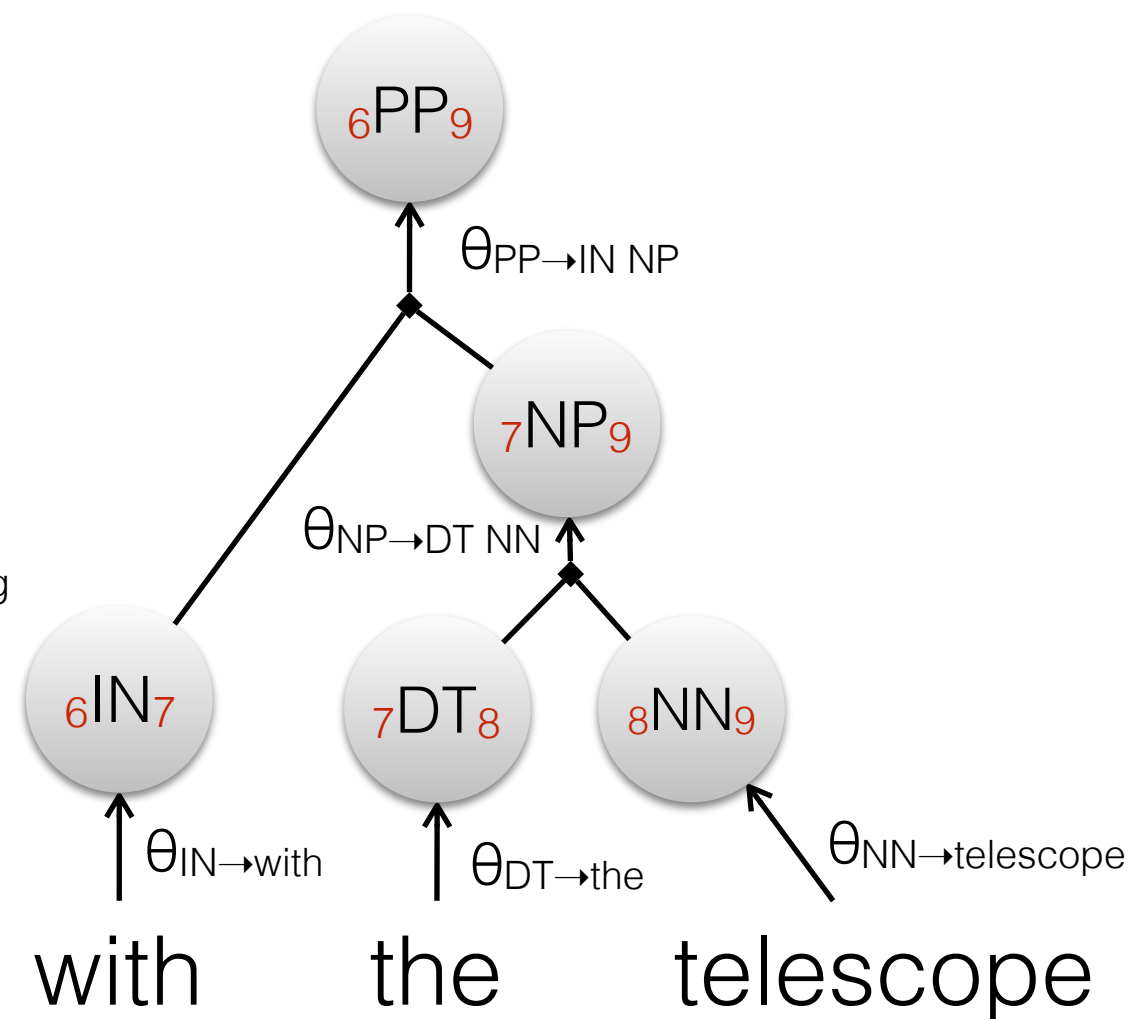
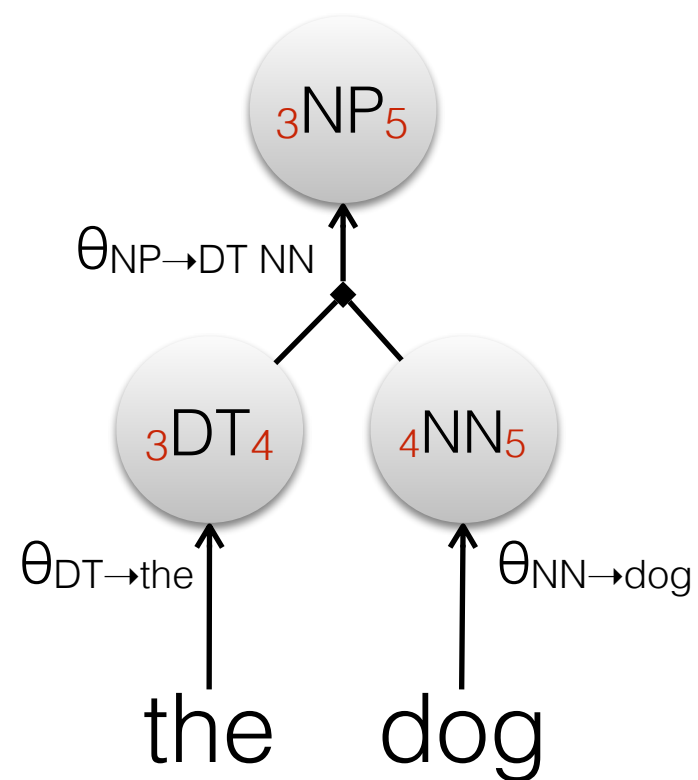
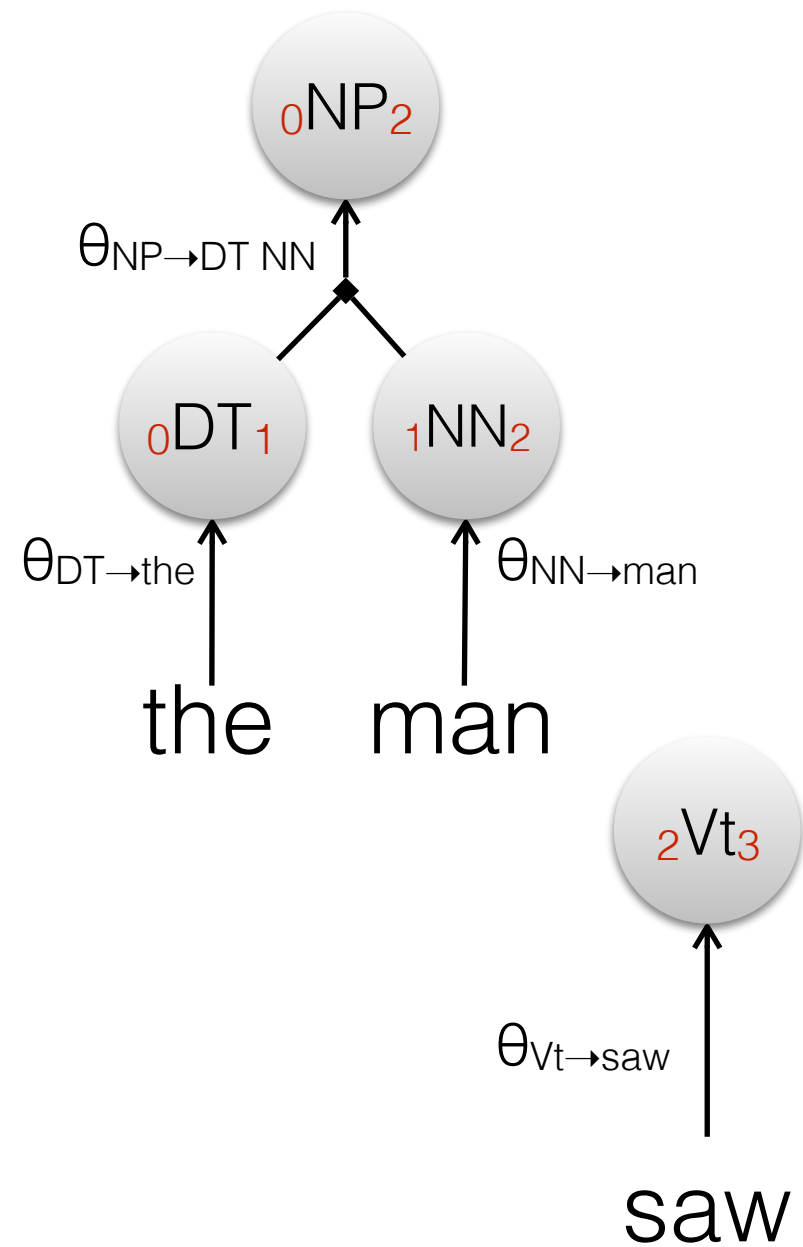
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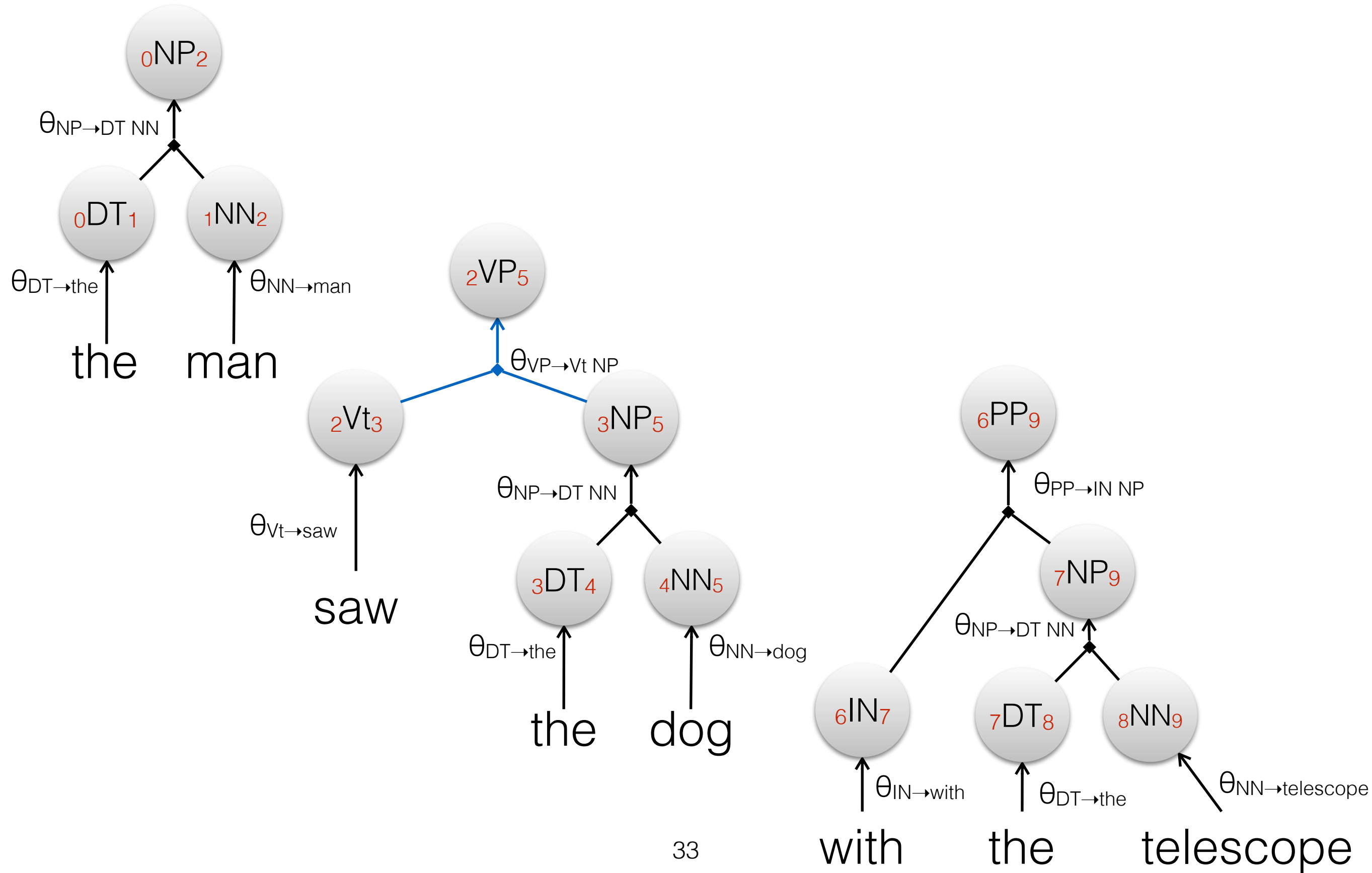
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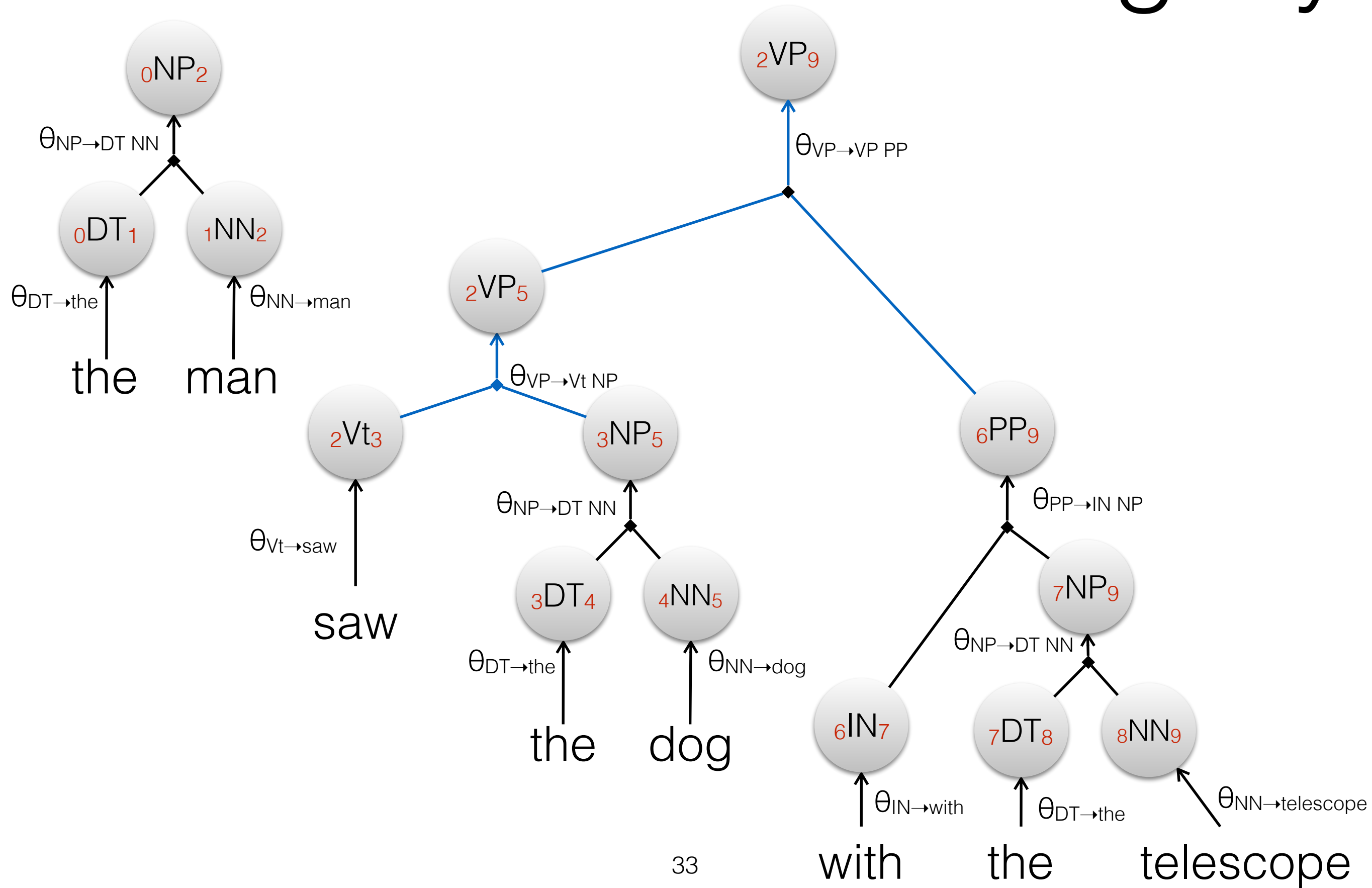
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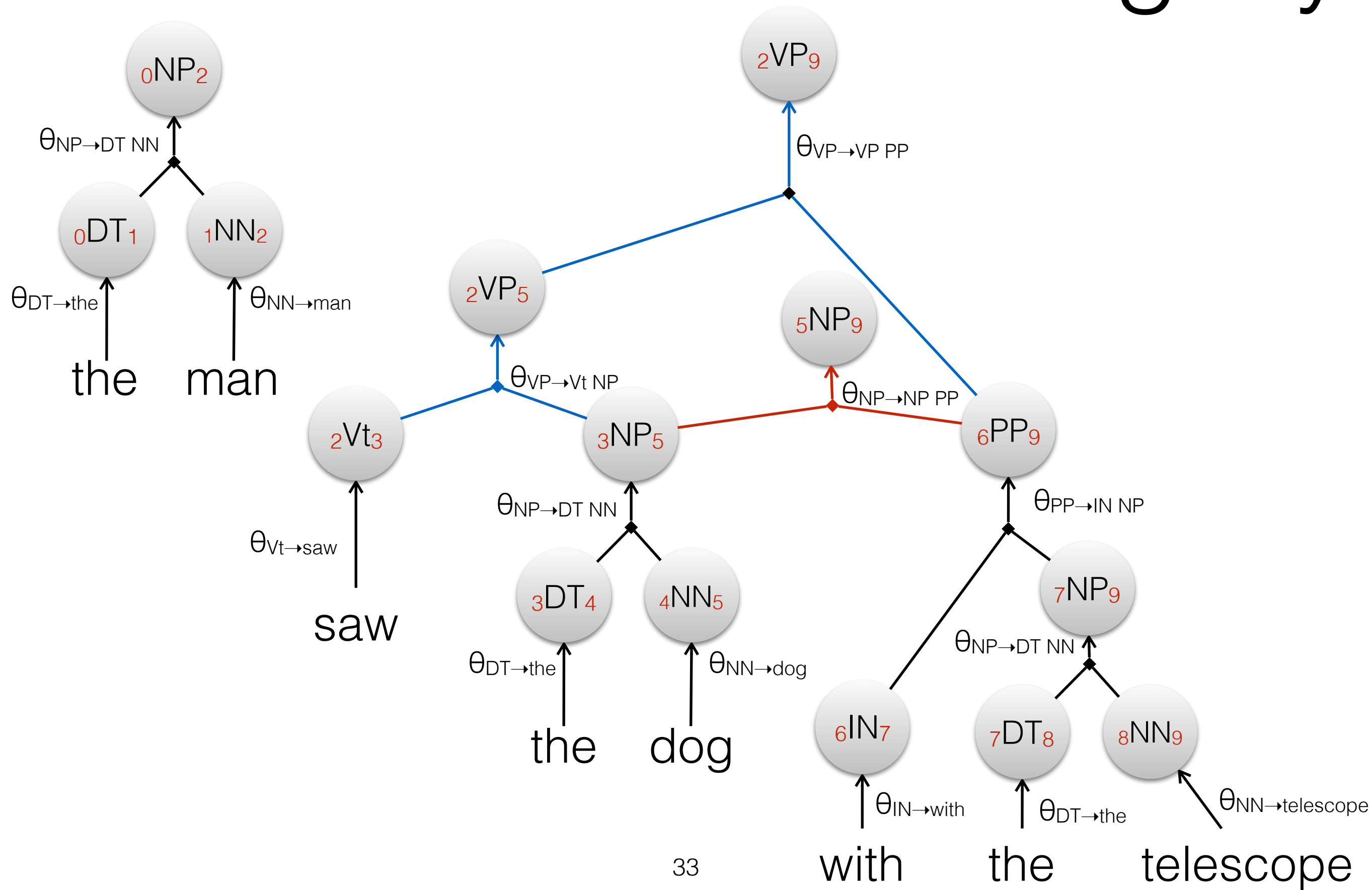
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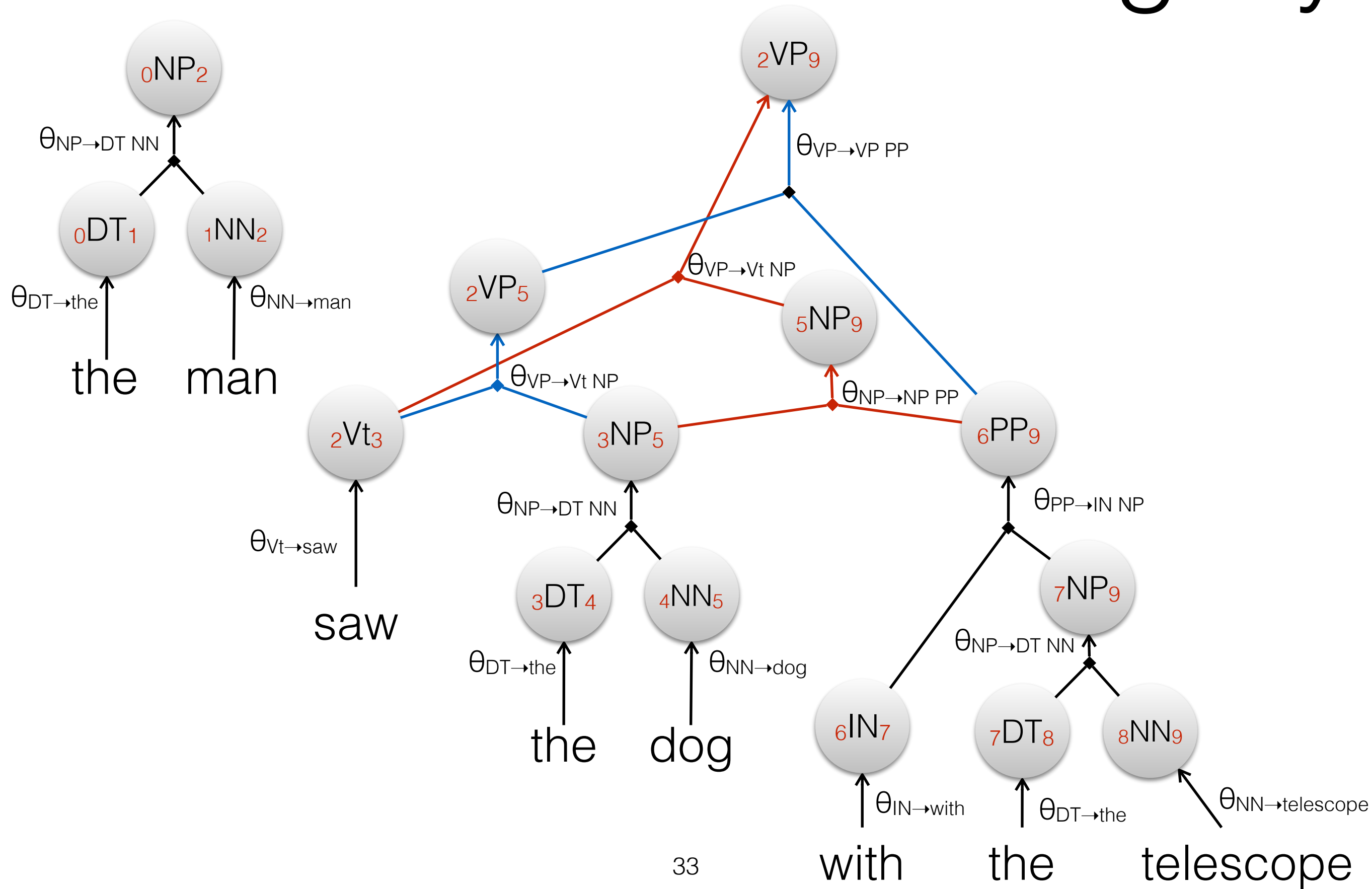


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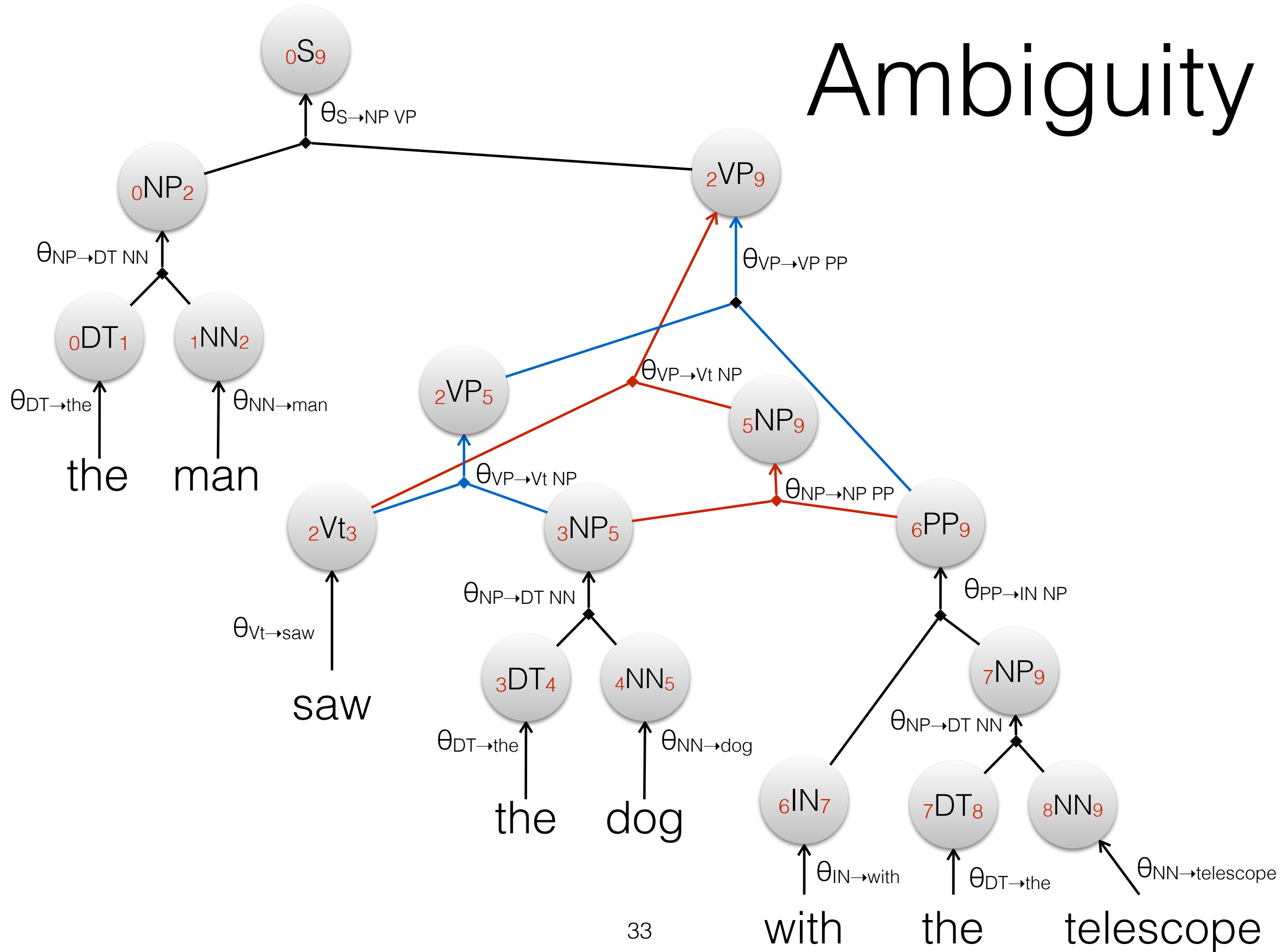




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# Bibliography

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