



# Limits of Computation

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## 15 - Hierarchy Theorems

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## The complexity story so far

- how to measure running time for different models
- runtime bounds
- complexity classes, e.g. **LIN** and **P**
- Cook's (Invariance) Thesis and Cook-Karp Thesis



THIS TIME

## Hierarchy Theorems

- Tackle the question: “Can we decide more problems if we have ‘more’ time?”
- Exact formulation leads to Hierarchy Theorems:
  - for  $WH^1LE$  constants in time bounds **do** matter.
  - in general where ‘more’ means (asymptotically) faster growing time bounds

“Runtime Complexity” Hierarchy – for Animals (on land)



image: [www.eduplace.com](http://www.eduplace.com) (Leigh Haeger)



## Can we decide more problems if we increase the time bound?

The “fathers” of computational complexity (this line of work started in 1965):



J. Hartmanis R.E. Stearns  
Turing Award 1993

P. M. Lewis II



...in other words, if time bound  $f$  is “smaller” than  $g$ , are there problems in  $\text{TIME}^L(g)$  that are not in  $\text{TIME}^L(f)$  ?

investigate this for different languages  $L$  and functions  $f, g$

## Constants do matter

Does  $a < b$  imply  $\text{TIME}^L(a \times n) \subsetneq \text{TIME}^L(b \times n)$  ?

proper inclusion

Does  $a < b$  imply  $\text{TIME}^L(b \times n) \setminus \text{TIME}^L(a \times n) \neq \emptyset$  ?

Can  $L$ -programs decide **more** problems with a **larger** constant in their **linear** “running time allowance”?

It can be shown that – in line with our intuition – this is true for the language  $\text{WH}^1\text{LE}$ .

Need some proof technique: **timed universal program**



# Time Hierarchy for $WH^1LE$

constants **do actually matter** for  $WH^1LE$ .

Neil D Jones



**Theorem** (Linear Time Hierarchy Theorem, [5, Thm. 19.3.1]). *There is a constant  $b$  such that for all  $a \geq 1$  there is a problem  $A$  in  $TIME^{WH^1LE}(a \times b \times n)$  that is not in  $TIME^{WH^1LE}(a \times n)$ .*

The proof uses a well known technique again that we used in the proof of the Undecidability of the Halting Problem (and the Barber's Paradox): self-reference (diagonalisation).



## Open problem

- Does this theorem hold for WHILE and GOTO as well?
- Remark: one can show, however, an analogous theorem for SRAM (using logarithmic time measure).



# Let us now consider super-linear time bounds and other languages (not just $WH^1LE$ )

## What does “smaller” mean for general (super-linear) time bounds?

- $f, g$  time bounds (functions mapping natural numbers to natural numbers)
- $g$  “smaller than”  $f$  if  $f$  **grows** (asymptotically) **much faster** than  $g$ .
- $f$  grows much faster than  $g$  if eventually  $f(n) > g(n)$  for all  $n$  “large enough”:  
$$\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$$

asymptotic  
growth



# Time constructible bounds

**Definition** A time bound  $f : \mathbb{N} \rightarrow \mathbb{N}$  is called *time constructible* if there is a program  $p$  and a constant  $c > 0$  such that for all  $n \geq 0$  we have that

$$\llbracket p \rrbracket (\ulcorner n \urcorner) = \ulcorner f(n) \urcorner \quad \text{and} \quad \text{time}_p(d) \leq c \times f(|d|) \quad \text{for all } d$$

The time bound is computable.

The time it takes to compute the time bound is itself bounded by the time bound up to a constant factor.



## Big-O

should be familiar  
from module  
Program Analysis

**Definition (Big-O).** Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a function. The *order of  $f$* , short  $\mathcal{O}(f)$ , is the set of all functions defined below:

$$\{ g : \mathbb{N} \rightarrow \mathbb{N} \mid \forall n > n_0. g(n) \leq c \times f(n) \text{ for some } c \in \mathbb{N} \setminus \{0\} \text{ and } n_0 \in \mathbb{N} \}$$

In other words  $\mathcal{O}(f)$  are those functions that up to constant factors grow at most as fast as  $f$  (or, in other words, not faster) and are thus at least “not worse” a runtime bound than  $f$  (maybe even “better”). For  $g \in \mathcal{O}(f)$  we also say  $g$  is  $\mathcal{O}(f)$ .



# Generalising $\text{TIME}^L(f)$

**Definition** For any timed programming language  $L$  we define another complexity class using “Big-O” as follows:

$$\text{TIME}^L(\mathcal{O}(f)) = \bigcup_{g \in \mathcal{O}(f)} \text{TIME}^L(g)$$

This is the class of problems  $L$ -decidable in  $\mathcal{O}(f)$ , that is with a runtime bound asymptotically growing, up to constants, not more than  $f$ .

This definition relaxes  $\text{TIME}^L(f)$  with given worst case time bound  $f$  in the spirit of *asymptotic* complexity.

## Little-o

should be familiar  
from module  
Program Analysis

**Definition (Little-o).** Let  $f$  and  $g$  be functions of type  $\mathbb{N} \rightarrow \mathbb{N}$ . Then  $o(g)$  are those functions  $f$  that eventually grow much more slowly than  $g$ . Formally we can define this as follows:

$f \in o(g)$  iff for all  $0 < \varepsilon \in \mathbb{R}$  there exists an  $N \in \mathbb{N}$  s.t.  $\varepsilon \times g(n) \geq f(n)$  for all  $n \geq N$

The above definition is equivalent to

$$f \in o(g) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

# Hierarchy Theorem

Arbitrary  
asymptotic  
growth in time

**Theorem** (Asymptotic Hierarchy Theorem  $WH^1LE$ ). If functions  $f$  and  $g$  are time constructible,  $f(n) \geq n$ ,  $g(n) \geq n$  and  $g \in o(f)$  then it holds that:

$$TIME^{WH^1LE}(\mathcal{O}(f)) \setminus TIME^{WH^1LE}(\mathcal{O}(g)) \neq \emptyset$$

If  $f$  grows asymptotically faster than  $g$  (under given assumptions on  $f$  and  $g$ ) then we can decide more problems with  $WH^1LE$  programs in time  $O(f)$  than  $O(g)$ .

Proof similar to Linear  
Time Hierarchy Theorem

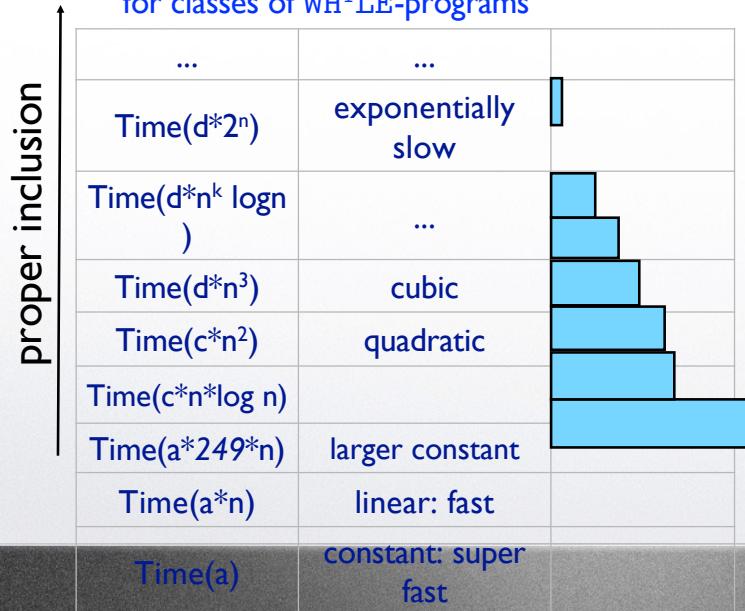
## More Theorems

- Similar Hierarchy Theorems hold for SRAM and TM
- Other fascinating results (Gap-theorem, Blum's speedup theorem, Levin's optimality theorem). Not enough time here, but recommended to interested reader.



# Hierarchy

Runtime Complexity Hierarchy –  
for classes of  $WH^1LE$ -programs



“Runtime Complexity” Hierarchy –  
for Animals



# END

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Next time: important  
problems and their  
complexity classes