

Disclaimer



The following slides contain mainly examples for the chapters 2-11 of the lecture "Artificial Intelligence 1". They do not repeat the stuff in the lecture videos resp. slides.

Often they start with a task and present the solution in subsequent videos. You probably profit the most from the slides, if you try to solve the task before looking at the solution.

The language of the slides is a mix of German and English.

Frank Puppe





Chapter 2: Intelligent Agents







KI@LS6: Bildverarbeitung



- Transkription von Texten
 - Layouterkennung von eingescannten Seiten
 - Drucktexte: Überschriften, Text in Spalten, Bilder, Kopf- und Fußzeile, Fußnoten
 - Handschriftliche Notizen
 - Tabellen
 - Rechnungen
 - OCR von Texten (einschl. Nachkorrektur)
 - Notenerkennung (mittelalterliche Musik)
 - Extraktion von Evaluationsergebnissen aus wissenschaftlichen Publikationen
- Medizinische Bild- und Videoerkennung
 - Polypenerkennung in Koloskopie-Videos in Echtzeit
 - Medizinische Bildinterpretation zur semiautomatischen Dokumentation
 - Semiautomatische Annotation (Bilder und Befundberichte)
 - Tutorsystem zur radiologischen Befundung





KI@LS6: NLP (Textverarbeitung)



- Textverarbeitung (NLP)
 - Information Extraction
 - Befundberichte, Arztbriefe
 - medizinische Leistungsanforderungen
 - Juristische Lösungsskizzen
 - Gerichtsurteile
 - Chatbots
 - Ethische Diskussionen zur KI
 - Juristische Falleingabe
 - Analyse literarischer Texte
 - Personen-Erkennung und Ko-Referenz-Resolution
 - Figurennetzwerke
 - Handlungsanalyse





KI@LS6: Weitere Themen



- Simulation und Kalibrierung von Heizungsanlagen
- Automatische Korrektur von Übungsaufgaben in formalen Sprachen
- Kolloquiumsplanung f
 ür Schulen
- KI-Bots für Spiele wie Civilization II
- Anamnese-Fragebogen für Patienten
- Optimierung von Richtanlagen (Parameter für Maschinen)
- Workflow-Optimierung für bildgebende Verfahren im Krankenhaus
- Herleitung radiologischer Kennzahlen aus Krankenhausinformationssystem (Datawarehouse)





UNIVERSITÄT Rough Performance Measure & Environment for Projects info



			Performance Measure	Environmen	t				
				observable	# Agents	Deterministic	Sequential	Static	Discret
ildv	erarbeit	tung		fully	single	no?	episodic	yes	no
	Layou	terkennung von Dokumenten	erkannte Regionen / Zeilen / Tabellenelemente / Artefakte						
	OCR v	on Textregionen in Dokumenten	erkannte Zeichen; Konfidenz				sequential		
	Noten	nerkennung	erkannte Noten mit Attributen (Tonhöhe, Verbundenheit, Silben)						
	Publik	kationsextraktion	Evaluationsergebnisse (Zahlen, Aufgaben, Daten, Methoden/Systeme)				sequential	no	
	Regio	nen-Erkennung in Endoskopie-Videos	Erkannte Regionen (Echtzeit)						
	Regio	nen-Erkennung in Endoskopie-Bildern	Erkannte Regionen						
prac	hverarb	eitung		fully	single	no?	yes?	yes	yes
	Inforn	nations-Extraktion	Extrahierte Informationen						
		in Befundberichten							
		Leistungsanforderungen							
		Lösungsskizzen							
		Gerichtsurteile							
	Litera	rische Textanalyse							
		Personen und Ko-Referenz	Erkannte Personen						
		Figurennetzwerke	Personen mit Eigenschaften und Relationen						
		Szenenerkennung und Handlungsanalyse	Plausibilität der Szenen und ihrer erkannten Handlungen						
	Chat-E	Bots		partially	multi	no	yes	no	yes
		Ethische Diskussions-Bots	Abdeckung Argumente, "Natürlichkeit"						
		Fallberatungs-Bots	Verständnis des Falles, Lösungsvorschläge						
onst	iges								
	Simul	ation von Heizungsanlagen	Übereinstimmung mit realer Anlage / Qualität von Vorhersagen	partially	single	no?	yes	no	no
	Aufga	benkorrektur für formale Sprachen	Finden aller Fehler	fully	single	yes	yes	semi	yes
	Kolloo	quiumsplanung für Schulen	Plan, der Constraints einhält und ggf. optimiert	fully	single	yes	yes	semi	yes
	KI-Bot	ts für Civilization II	gute Agenten-Performance im Spiel	partially	multi	no	yes	dynamic	yes
	Anam	nese-Fragebogen	Nutzer sollen Fragebogen schnell und korrekt ausfüllen						
		für Dokumentation							
		für Beratung	Zusätzlich: Herleitung von Lösungen						
	Optim	nierung von Richtanlagen	Verbesserte Maschinen-Einstellungen	partially	single	no	yes/no	semi	no
	Workf	flow-Optimierung für Untersuchungen	Insgesamt schneller Workflow mit wenig Kommunikations-Overhead	partially	multi	no	yes	dynamic	no
	Radio	logische Dashboard	Kennzahlenberechnung						





Chapter 3: Solving Problem by Searching







Eimer Problem



- Sie haben zwei Eimer, in den einen Eimer passen 5 Liter Wasser, in den anderen 3 Liter Wasser.
- Sie wollen 4 Liter in einem Eimer haben. Ihre einzigen Operationen sind das Ausschütten, das Auffüllen oder das Umschütten eines Eimers in den anderen (Sie haben beliebig viel Wasser zur Verfügung).
 - Zeichnen Sie den vollständigen Suchbaum bis zur ersten Lösung.
 Hinweis: Kennzeichnen Sie Zustände, die bereits irgendwo im Suchbaum aufgetreten sind, und expandieren Sie diese nicht weiter.
 - 2. Gibt es mehr als eine Lösung?
 - 3. Geben Sie an, welche Suchstrategien für das Problem am besten geeignet sind?







Tiefe	Suc	:hba	um	für	Eim	er-P	robl	em:	Inh	alt_	Gro	ßer_	_Ein	ner,	Inhc	alt_k	(lein	er_l	Eim	er		
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1	5.0												0.3									







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29.11.2021 Artificial Intelligence 1





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4						0,0	5,0	2,3	0,2				-	-			5,3	0,3	3,0	5,1			
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4						0,0	5,0	2,3	0,2								5,3	0,3	3,0	5,1			
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6											4,3											1,0	
7																						1.3	





Tiefe	Suc	hba	um	für	Eim	er-P	rob	em	: Inh	alt_	Gro	ßer_	_Ein	ner,	Inhc	ılt_l	(lein	er_	Eim	er			
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1	5,0												0,3										
2	0,0	5,3		2,3									5,3	0,0	3,0								
3		5,0	0,3	5,0	3,0	2,0									5,0	0,0	3,3						
4						0,0	5,0	2,3	0,2								5,3	0,3	3,0	5,1			
5									0,3	0,0	5,2									3,3	5,0	0,1	
6											4,3											1,0	
7																						1,3	
8																						4.0	





Lösungen



- Die erste Lösung findet sich auf Ebene 6.
- Die zweite Lösung findet sich auf Ebene 8.
- Es eignen sich Breitensuche und Iterative Tiefensuche
 - Tiefensuche eignet sich nicht, da sie in Endlosschleifen führt
 - A* eignet sich nicht, da es keine brauchbare optimistische Heuristik gibt.
 - Bei Breitensuche kann man sich alle bekannten Zustände merken, um sie nicht zu wiederholen → beträchtlicher Effizienzgewinn





Chapter 4: Search in Complex Environments



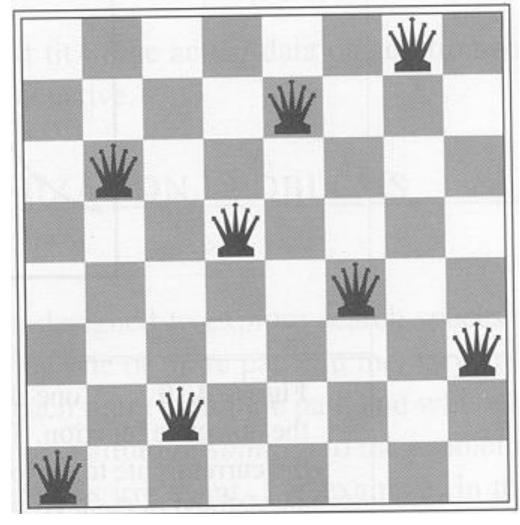




Improvements to Hill-Climbing



- **Sideways move**: Limited; to pass shoulders but to avoid infinite loops in e.g. flat maxima
- **Stochastic hill-climbing**: Random selection of all improvements
 - First-choice hill-climbing: Generate sucessor-nodes and take the first improvement
- Random-restart hill-climbing: Repeat hill-climbing with randomly generated initial states
- **Simulated Annealing:** Allow worsening with a low probability
- Local beam search: Simultaneous search an several paths



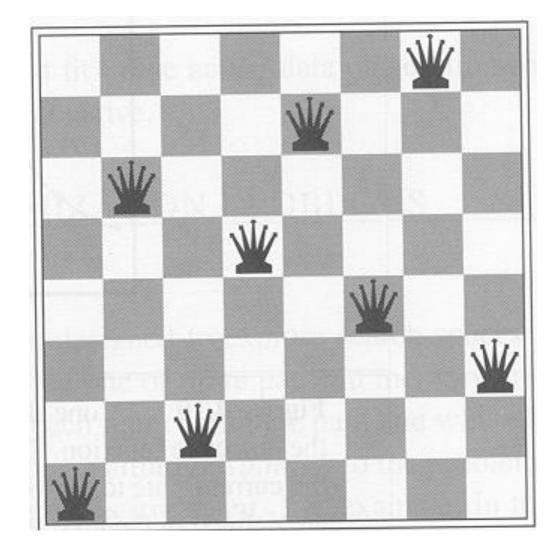




Improvements to Hill-Climbing



- **Sideways move**: Limited; to pass shoulders but to avoid infinite loops in e.g. flat maxima
- Simulated Annealing: Allow worsening with a low probability







Improvements to Hill-Climbing

В



- Sideways move: Limited; to pass shoulders but to avoid infinite loops in e.g. flat

else *current* \leftarrow *next* only with probability $e^{-\Delta E/T}$

maxima	1	3	3	3	3	2	3	X	3
 not applicable Simulated Annealing: Allow worsening with 	2	3	3	4	2	X	4	2	4
a low probability	3	2	X	3	3	4	4	2	3
 might choose any move, e.g. G1 -> G2 but some are more probable than others 	4	3	2	4	X	4	3	3	2
function SIMULATED-ANNEALING(problem, schedule) current ← problem.INITIAL	5	3	3	4	3	4	X	2	3
$\mathbf{for}\ t = 1\ \mathbf{to} \propto \mathbf{do}$ $T \leftarrow schedule(t)$	6	3	3	3	2	4	3	2	X
if $T = 0$ then return current	7	3	3	X	2	2	3	3	3
$next \leftarrow$ a randomly selected successor of current $\Delta E \leftarrow VALUE(current) - VALUE(next)$ if $\Delta E > 0$ then $current \leftarrow next$	8	X	3	3	2	2	3	2	3
If $\Delta E > 0$ then current — next									

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One of many possible search paths for Simulated Annealing



1	3	3	3	3	2	3	x	3	2				4	1		1		1	2				X		2	
	3	3	4	2	X	4	2	4					4	х		X			2						X	
	2	X	3	3	4	4	2	3			X		5	4		2			1	X					3	
	3	2	4	X	4	3	3	2					X	5		3			2			X			2	
	3	3	4	4	4	X	2	3					6	4	X	2			2					X	2	
	3	3	3	2	4	3	2	X					4	4		2	X	-	1						2	X
	3	3	X	2	2	3	3	3				X	4	2		3			2		X				3	
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0		x			X		X		1		x			X		X		1		x			X		X	
0		X		X	X		X		1		X		X	X	3	X		1	2	X		x	X		X	2
0		X		X	X		X	X	1		X		X	X	3	X	x	1	2	X		X	X	X	X	2
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0	X	X	X	X	X		X	X	1	X	X	X	X	X	3 3 2 X	X	X	1	2 1 2 2	X	X	X	X	X	X	2 3 2 1



Chapter 5: Adversial Search and Games

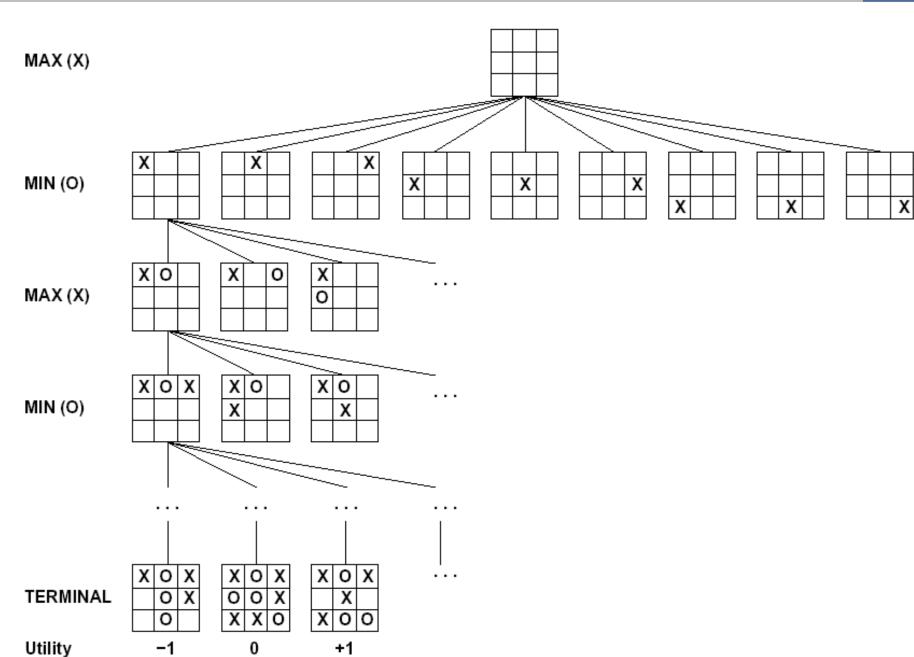








- Evaluation-Function
- Alpha-Beta-Pruning
- Monte-Carlo Tree Search



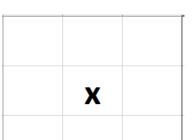




Evaluation Function



(Number of own chances to win) – (number of opposite chances)



$$8 - 4 = 4$$



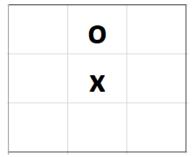
0

5 – 4 = 1



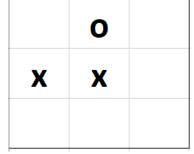
6 - 2 = 4

$$8 - 5 = 3$$



X

$$6 - 4 = 2$$



X

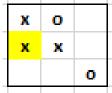
$$6 - 3 = 3$$



Improvement (idea similar to Quiescense Search):

If: Immediate-Win then 100

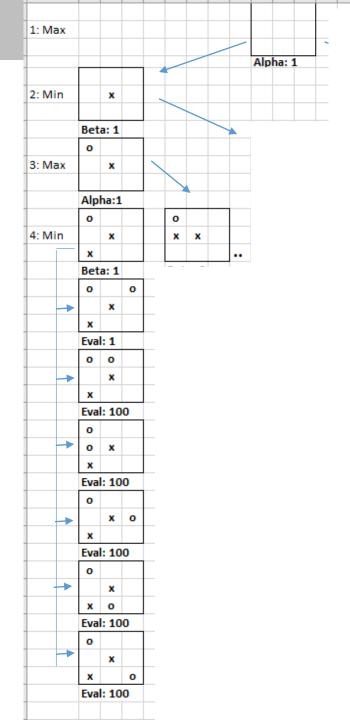
elseif: Double-Double then 10



else: (Number of own chances to win) – (number of opposite chances)



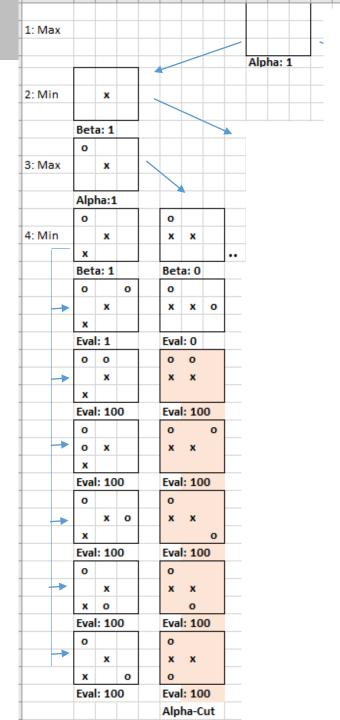
- ... for Alpha-Cut (light orange)
- ... for Beta-Cut (full orange)
- With search depth of 4







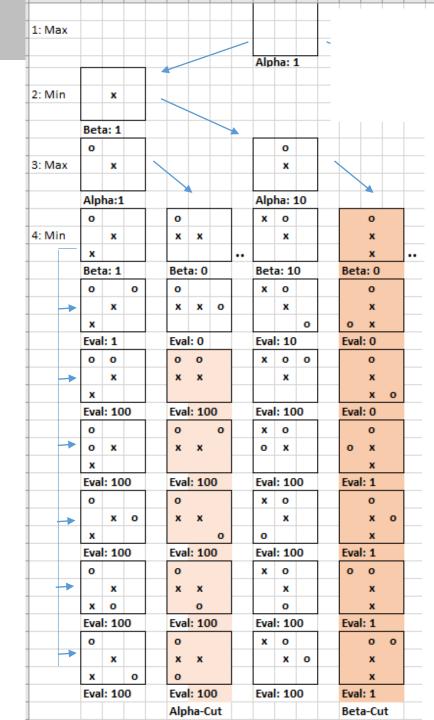
- ... for Alpha-Cut (light orange)
- ... for Beta-Cut (full orange)
- With search depth of 4







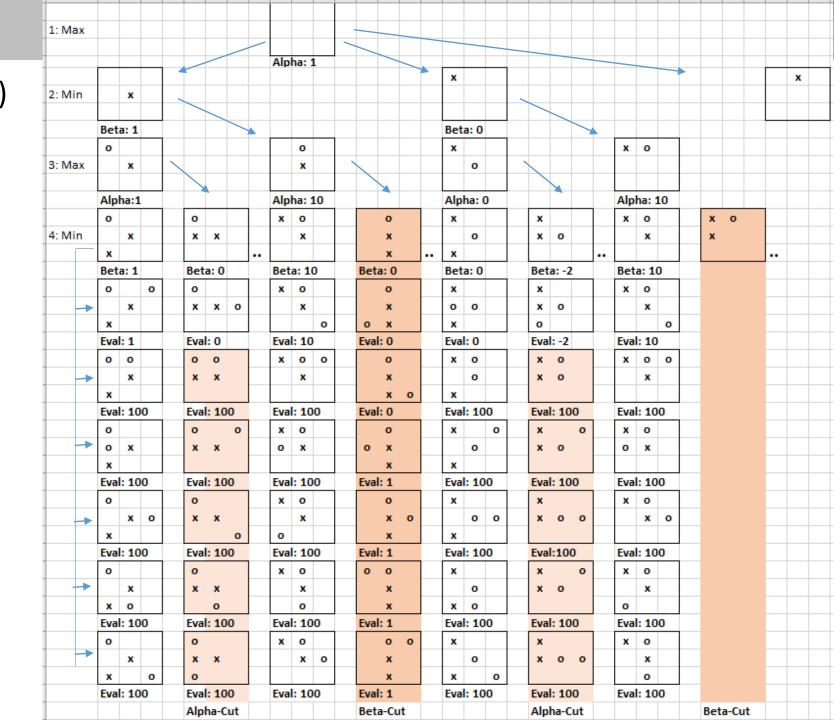
- ... for Alpha-Cut (light orange)
- ... for Beta-Cut (full orange)
- With search depth of 4







- ... for Alpha-Cut (light orange)
- ... for Beta-Cut (full orange)
- With search depth of 4













Monte Carlo Tree Search



```
function MONTE-CARLO-TREE-SEARCH(state) returns an action
tree ← NODE(state)
```

while Is-TIME-REMAINING() do

 $leaf \leftarrow SELECT(tree)$

 $child \leftarrow Expand(leaf)$

 $result \leftarrow SIMULATE(child)$

BACK-PROPAGATE(result, child)

return the move in ACTIONS(state) whose node has highest number of playouts

Selection strategy:

$$UCB1(n) = \frac{U(n)}{N(n)} + C \times \sqrt{\frac{\log N(PARENT(n))}{N(n)}}$$

U(n) = Utility of node n

N(n) = Number of visits

C = Constant, e.g $\sqrt{2}$





function 1

Monte Carlo Tree Search

MONTE-CARLO-TREE-SEA	RCH(state) returns an action
----------------------	------------------------------

$$tree \leftarrow Node(state)$$

$$leaf \leftarrow SELECT(tree)$$

$$child \leftarrow EXPAND(leaf)$$

$$result \leftarrow SIMULATE(child)$$

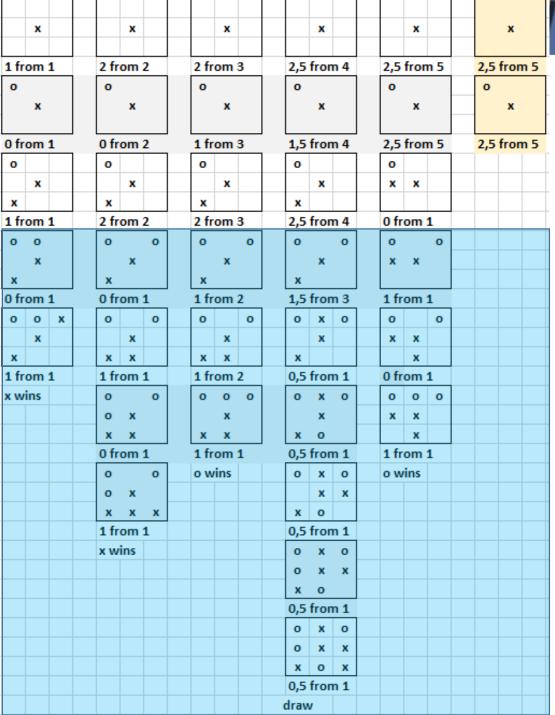
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Selection strategy:

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C = Constant, e.g
$$\sqrt{2}$$







Monte Carlo Tree Search

function MONTE-CARLO-TREE-SEARCH(state) returns an action

 $tree \leftarrow Node(state)$

while Is-TIME-REMAINING() do

 $leaf \leftarrow SELECT(tree)$ $child \leftarrow EXPAND(leaf)$

 $result \leftarrow SIMULATE(child)$

BACK-PROPAGATE(result, child)

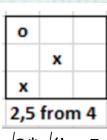
return the move in ACTIONS(state) whose node has highest number of playouts

Selection strategy:

$$UCB1(n) = \frac{U(n)}{N(n)} + C \times \sqrt{\frac{\log N(PARENT(n))}{N(n)}}$$

U(n) = Utility of node n N(n) = Number of visits C = Constant, e.g $\sqrt{2}$

U(n) = # Wins



 $5/8+\sqrt{2}*\sqrt{\log 5/4} \approx$ $0,625+1,4*1,6/4 \approx$ $0,625+0,56 \approx 1,2$ 0 x x 0 0 from 1

 $0 + \sqrt{2*}\sqrt{(\log 5/1)} \approx 0 + 1,4*1,6/1 \approx 0 + 2,24 \approx 2,2$

Artificial Intelligence 1

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Chapter 6: Constraint Satisfaction Problems





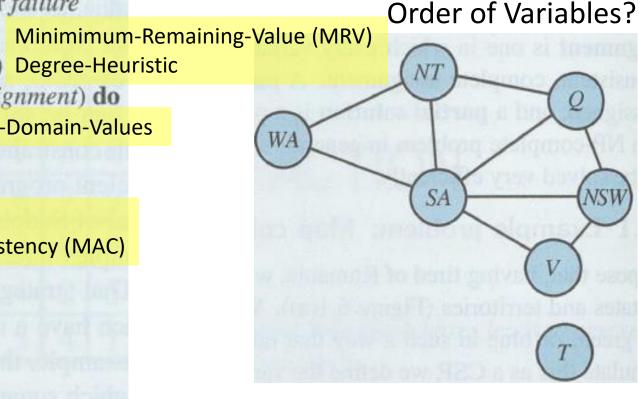


Constraint-Propagation



function BACKTRACKING-SEARCH(csp) returns a solution or failure return BACKTRACK(csp, { })

function BACKTRACK(csp, assignment) returns a solution or failure if assignment is complete then return assignment var ← SELECT-UNASSIGNED-VARIABLE(csp, assignment) Degree-Heuristic for each value in ORDER-DOMAIN-VALUES(csp, var, assignment) do if value is consistent with assignment then Order-Domain-Values add $\{var = value\}$ to assignment $inferences \leftarrow Inference(csp, var, assignment)$ if $inferences \neq failure$ then Forward Checking Maintaining Arc Consistency (MAC) add inferences to csp $result \leftarrow BACKTRACK(csp, assignment)$ **if** $result \neq failure$ **then** return resultremove inferences from csp remove $\{var = value\}$ from assignment return failure







Constraint-Propagation



function BACKTRACKING-SEARCH(csp) returns a solution or failure return BACKTRACK(csp, { })

function BACKTRACK(csp, assignment) returns a solution or failure if assignment is complete then return assignment var ← SELECT-UNASSIGNED-VARIABLE(csp, assignment) Degree-Heuristic for each value in ORDER-DOMAIN-VALUES(csp, var, assignment) do if value is consistent with assignment then Order-Domain-Values add $\{var = value\}$ to assignment $inferences \leftarrow Inference(csp, var, assignment)$ if $inferences \neq failure$ then Forward Checking Maintaining Arc Consistency (MAC) add inferences to csp $result \leftarrow BACKTRACK(csp, assignment)$ if $result \neq failure$ then return resultremove inferences from csp remove $\{var = value\}$ from assignment return failure

Order of Variables? Minimimum-Remaining-Value (MRV) SA

- Degree: SA
- MRV & Degree: {NT, Q, NSW} e.g. NT
- Forward Checking: WA, Q, NSW, V





Constraint-Propagation: Min-Conflict



function MIN-CONFLICTS(csp, max_steps) returns a solution or failure inputs: csp, a constraint satisfaction problem

max_steps, the number of steps allowed before giving up

current ← an initial complete assignment for csp
for i = 1 to max_steps do
 if current is a solution for csp then return current
 var ← a randomly chosen conflicted variable from csp.VARIABLES
 value ← the value v for var that minimizes Conflictes(csp, var, v, current)

set var=value in current

return failure





Min-Conflict: Example (one search path)



1				3			х		
				2	X		2		
		X		3			2		
				X			3		
				4		X	2		
				2			2	X	
			X	2			3		
	X			2			2		



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Min-Conflict: Example (one search path)



1				3			х		2	3				1		1		1		2				х		2	
				2	X		2			4				X		x				2						X	
		x		3			2			2	X			4		2				1	X					3	
				x			3			3			X	5		3				2			X			2	
				4		X	2			3				4	X	2		, L		2					X	2	
				2			2	x		3				4		2	X			1						2	X
			х	2			3			3		X		2		3				2		X				3	
	X			2			2		-	X				2		2				X						2	
										$\overline{}$			_					-					_				
									L '		1							+		_			4	}			
0					х				1					х	3					2			4	X			2
0					X		X		1					X	3	X			•	2			1			x	2 2
0		x			X		х		1		x			X		х		1	•		x		1			x	
0		х		X	X		X		1		X		X	X	3	x				2	X		x			X	2
0		X		X	X		X	X	1		X		X	X	3	X	X			2	X				X	X	2
0	X	X		X	X		X	×	1	X	X		X	X	3 3 2	X	X			2 1 2	X				x	X	2 3 2
0	X	X	X	X	X		X	X	1	X	X	X	X	X	3 3 2 X	X	X			2 1 2 2	X	x			X	X	2 3 2 1



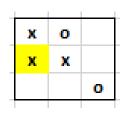
Evaluation function and Forward Checking



Improvement (Quiescense Search):

If: Immediate-Win then 100

elseif: Double-Double then 10



Constraint Analogy:

(Forward Checking)

(Sort of Arc or Path Consistency)

else: (Number of own chances to win) – (number of opposite chances)





Chapter 7: Logical Agents







Forward Chaining Algorithm PL-FC-Entails?



- Not yet processed symbols are noted in a variable "queue" and processed only once
- Rules have a counter for unsatisfied symbols in its premise, which is continuously decremented; if the counter = 0, the rules "fires", i.e. its conclusion is added to queue

```
function PL-FC-ENTAILS?(KB, q) returns true or false
  inputs: KB, the knowledge base, a set of propositional definite clauses
          q, the query, a proposition symbol
  count \leftarrow a table, where count[c] is initially the number of symbols in clause c's premise
  inferred \leftarrow a table, where inferred[s] is initially false for all symbols
  queue \leftarrow a queue of symbols, initially symbols known to be true in KB
  while queue is not empty do
     p \leftarrow POP(queue)
      if p = q then return true
      if inferred[p] = false then
          inferred[p] \leftarrow true
          for each clause c in KB where p is in c.PREMISE do
              decrement count[c]
             if count[c] = 0 then add c.CONCLUSION to queue
  return false
```





Rules Premises

Χ

R1

R2

R3

R4

R5

R6

R8

R9

R10

В

X

Χ

Χ

Χ

Χ

Ε

X

Χ

D

Forward Chaining Example

G

Χ

Χ

Χ

Х

Х

Conclusions

Ε

Χ

F

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X

Χ

D

Χ

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Goal:	Proc	of of	H; G	iiven	: A a	ınd E	3				
Forwa	rd C	hain	ing								
Depth	Firs	t									
		R1	R2	R3	R4	R5	R6	R7	R8	R9	R10
		2	2	3	2	2	2	2	2	1	1
Α											
В											
Bread	th Fi	rst									
		R1	R2	R3	R4	R5	R6	R7	R8	R9	R10
		2	2	3	2	2	2	2	2	1	1
-		_	_					_			



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Χ



Rules Premises

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Χ

R1

R2

R3

R4

R5

R6

R7

R8

R9

В

X

X

X

X

X

Χ

Χ

Χ

				ions	nclus	Coi				
4	ŀ	G	F	Ε	D	С	G	F	E	D
						Х				
					Χ					
				Χ				Χ		
		Χ							X	
		X								
K)								X	
K)									
						Х				Χ
K)							Χ		
K)						X			



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В

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Rules Premises

Χ

R1

R3

R4

R5

R6

R8

R9

R10

Forward Chaining Exa

Conclusions

Ε

Χ

F

D

Х

Goal: Proof of H; Given: A and B

R2

2

0

R3

R3

2

1

0

R4

R5

2

0

R4

R5

0

R6

R7

0

R7

0

R6

R8

2

R9

R9

R10

R8

2

0

R10

Forward Chaining

R1

R5

R10

Breadth First

R1

R2

R5

R7

R1

Depth First

Α

G

ample	
-------	--

G

Х

Х

Н

Χ

Χ

Artificial Intelligence



Backward Chaining as AND-OR-Search



```
function AND-OR-SEARCH(problem) returns a conditional plan, or failure
  return OR-SEARCH(problem, problem.INITIAL, [])
```

```
function OR-SEARCH(problem, state, path) returns a conditional plan, or failure
  if problem.IS-GOAL(state) then return the empty plan
  if Is-CYCLE(path) then return failure
  for each action in problem. ACTIONS(state) do
      plan \leftarrow AND-SEARCH(problem, RESULTS(state, action), [state] + path])
      if plan \neq failure then return [action] + plan
  return failure
```

```
function AND-SEARCH(problem, states, path) returns a conditional plan, or failure
  for each si in states do
      plan_i \leftarrow OR\text{-SEARCH}(problem, s_i, path)
      if plan_i = failure then return failure
```

return true





Backward Chaining Example



Rules	Pre	Premises						Co	nclus	sions			
	Α	В	С	D	Ε	F	G	С	D	E	F	G	Н
R1	X	X						Χ					
R2	Χ	X							X				
R3	Χ		X			X				X			
R4		X			X							X	
R5		X	X									X	
R6	Χ				X								X
R7	Χ		X										X
R8		X		X				Χ					
R9						X							X
R10							X						Χ





Backward Chaining Example

Backward Chaining (AND-OR-Search)
H: OR: R6, R7, R9, R10
R6: AND: A, E
A: o.k.

Rules	Pre	emise	es					Со	nclu	sions			
	Α	В	С	D	Ε	F	G	С	D	E	F	G	Н
R1	Χ	Χ						Х					
R2	Χ	X							X				
R3	Χ		X			X				X			
R4		X			X							X	
R5		X	X									X	
R6	Χ				X								X
R7	Χ		X										X
R8		X		X				Χ					
R9						X							X
R10							X						X





Backward Chaining Example Backward Chaining (AND-OR-Search)

Rules	Pre	mise	es					Coi	nclus	sions			
	Α	В	С	D	E	F	G	С	D	E	F	G	Н
R1	Χ	Χ						Х					
R2	Χ	Χ							X				
R3	Χ		X			X				X			
R4		Χ			X							X	
R5		Χ	X									X	
R6	Χ				X								X
R7	Χ		X										X
R8		Χ		X				Χ					
R9						X							X
R10							X						X

H:OR	: R6	, R7,	R9, F	R10			
	R6:	AND	: A, E	•			
		A: o	.k.				
		E: O	R : R	3			
			R3:	AND	: A,C	,F	
				A: o	.k.		
				C: O	R : R	1, R8	}
					R1:	A, B	
						A: o	.k.
						B: o	.k.
				C: o	.k.		
				F: n.	o.k.		





Backward Chaining Example

Rules	Prei	mise	S					Cor	nclus	ions			
	Α	В	С	D	E	F	G	С	D	Ε	F	G	Н
R1	Χ	Χ						Χ					
R2	Χ	X							X				
R3	Χ		X			X				X			
R4		X			X							X	
R5		X	X									X	
R6	Χ				X								X
R7	Χ		X										X
R8		Χ		X				Χ					
R9						Χ							Χ
R10							X						X

	-		
	1	3	
k.	1	3	
	4		

Backw	ard	Chai	ning	(ANI	D-OF	R-Sea	rch)
H : OR	R: R6	, R7,	R9, I	R10			
	R6: AND : A, E						
		A: o	.k.				
		E: O	R : R	3			
		R3: AND : A,				,F	
		A: o.k.					
		C: OR			R : R	R: R1, R8	
					R1:	A, B	
						A: o	.k.
						B: o	.k.
				C: o	.k.		
				F: n.	o.k.		
	R7: AND : A, C						
	A: o.k.						
	C: OR : R1, F			1, R8	3		
		R1: A, B					
				A: o	.k.		
				B: o	.k.		
		C: o	.k.				
H o.k.							



Model Checking: DPLL Example



$$(\neg B \lor \neg C \lor \neg D) \land (\neg A \lor B \lor \neg C) \land (\neg B \lor C \lor E) \land (B \lor C \lor \neg E) \land (B \lor \neg C \lor E) \land (A \lor C \lor \neg E) \land (A \lor B \lor C) \land (\neg B \lor C \lor \neg E)$$

DPLL:

- Pure Symbols: ¬D
- Set D= False
- $(\neg A \lor B \lor \neg C) \land (\neg B \lor \neg C \lor E) \land (B \lor \neg C \lor E) \land (B \lor C \lor \neg E) \land (A \lor C \lor \neg E) \land (A \lor B \lor C) \land (\neg B \lor C \lor \neg E)$
- A = True OR A = False;
 - Set A = True
 - $(B \lor \neg C) \land (\neg B \lor \neg C \lor E) \land (B \lor C \lor E) \land (B \lor C \lor \neg E) \land (\neg B \lor C \lor \neg E)$
 - B = True OR B = False;
 - Set B = False
 - $(\neg C) \land (C \lor E) \land (C \lor \neg E)$
 - Unit Clause (¬C)
 - C = False
 - (E) \land (\neg E): Unit Clause E = True -> **Return False**
 - Set B = True
 - $(\neg C \lor E) \land (C \lor \neg E)$
 - C = True OR C = False;
 - Set C = True
 - (E)
 - Unit Clause = E = True -> Return True

Return True (D= False, A=True, B=True, C=True, E=True)

function DPLL-SATISFIABLE?(s) **returns** true or false **inputs**: s, a sentence in propositional logic

 $clauses \leftarrow$ the set of clauses in the CNF representation of s $symbols \leftarrow$ a list of the proposition symbols in s **return** DPLL(clauses, symbols, $\{\}$)

function DPLL(clauses, symbols, model) returns true or false

if every clause in *clauses* is true in *model* then return true if some clause in *clauses* is false in *model* then return false $P, value \leftarrow \text{FIND-PURE-SYMBOL}(symbols, clauses, model)$ if P is non-null then return DPLL(clauses, symbols $-P, model \cup \{P = value\}\}$) $P, value \leftarrow \text{FIND-UNIT-CLAUSE}(clauses, model)$

if P is non-null **then return** DPLL(clauses, symbols -P, model \cup {P=value})

 $P \leftarrow \text{FIRST}(symbols); rest \leftarrow \text{REST}(symbols)$

return DPLL(clauses, rest, model \cup {P=true}) or DPLL(clauses, rest, model \cup {P=false}))



Model Checking: Walksat Example



```
(\neg B \lor \neg C \lor \neg D) \land (\neg A \lor B \lor \neg C) \land (\neg B \lor C \lor E) \land (B \lor C \lor \neg E) \land (B \lor \neg C \lor E) \land (A \lor C \lor \neg E) \land (A \lor B \lor C) \land (\neg B \lor C \lor \neg E)
Random Assignment: (A=True, B=True, C=True, D=True, E=True)
False
                                          ∧ True
                                                           ∧ True
                                                                              ∧ True
                                                                                                ∧ True
                      ∧ True
                                                                                                                ∧ True
                                                                                                                                 ∧ True
(i=1) Flip B = False (randomly selected)
                      ∧ False
                                          ∧ True
                                                           ∧ True
                                                                              ∧ True
                                                                                                ∧ True
                                                                                                                ∧ True
                                                                                                                                 ∧ True
True
(i=2) Flip A= False (maximizes satisfied clauses; better than C = False or B = True)
True
                      ∧ True
                                          ∧ True
                                                           ∧ True
                                                                              ∧ True
                                                                                                ∧ True
                                                                                                                ∧ True
                                                                                                                                 ∧ True
```

```
function WALKSAT(clauses, p, max_flips) returns a satisfying model or failure
  inputs: clauses, a set of clauses in propositional logic
          p, the probability of choosing to do a "random walk" move, typically around 0.5
          max_flips, number of value flips allowed before giving up
  model ← a random assignment of truelfalse to the symbols in clauses
  for each i = 1 to max_flips do
     if model satisfies clauses then return model
     clause ← a randomly selected clause from clauses that is false in model
     if RANDOM(0, 1) \le p then
         flip the value in model of a randomly selected symbol from clause
     else flip whichever symbol in clause maximizes the number of satisfied clauses
  return failure
```





Chapter 8: First Order Logic







Kinship Domain: Facts



parent (Christopher, Arthur)

parent (Christopher, Victoria)

parent (Andrew, James)

parent (Andrew, Jennifer)

parent (James, Colin)

parent (James, Charlotte)

parent (Penelope, Arthur)

parent (Penelope, Victoria)

parent (Christine, James)

parent (Christine, Jennifer)

parent (Victoria, Colin)

parent (Victoria, Charlotte)

spouse (Christopher, Penelope) spouse (Andrew, Christine)

spouse (Arthur, Margaret) spouse (James, Victoria) spouse (Charles, Jennifer)

male (Christopher)
male (Andrew)
male (Arthur)
male (James)
male (Charles)
male (Colin)

female (Penelope)
female (Christine)
female (Margaret)
female (Victoria)
female (Jennifer)
female (Charlotte)

Implicit relations:

- father (x,y)
- mother (x,y)
- husband (x,y)
- wife (x,y)
- son (x,y)
- daughter (x,y)
- sibling (x,y)
- uncle (x,y)
- aunt (x,y)
- nephew (x,y)
- niece (x,y)
- grandparent (x,y)
- grandchild (x,y)





Kinship Domain: Inferences



father (Christopher, Arthur) father (Christopher, Victoria) father (Andrew, James) father (Andrew, Jennifer) father (James, Colin) father (James, Charlotte)

mother (Penelope, Arthur) mother (Penelope, Victoria) mother (Christine, James) mother (Christine, Jennifer) mother (Victoria, Colin) mother (Victoria, Charlotte)

husband (Christopher, Penelope)
husband (Andrew, Christine)
husband (Arthur, Margaret)
husband (James, Victoria)

husband (Charles, Jennifer)

son (Arthur, Christopher)
son (James, Andrew)
son (Colin, James)
son (Arthur, Penelope)
son (James, Christine)
son (Colin, Victoria)

daugther (Victoria, Christopher) daugther (Jennifer, Andrew) daugther (Charlotte, James) daugther (Victoria, Penelope) daugther (Jennifer, Christine) daugther (Charlotte, Victoria)

wife (Penelope, Christopher) wife (Christine, Andrew) wife (Margaret, Arthur) wife (Victoria, James) wife (Jennifer, Charles) sibling (Arthur, Victoria) sibling (James, Jennifer) sibling (Colin, Charlotte)

uncle (Arthur, Colin) uncle (Charles, Colin) uncle (Arthur, Charlotte) uncle (Charles, Charlotte)

aunt (Jennifer, Colin) aunt (Margaret, Colin) aunt (Jennifer, Charlotte) aunt (Margaret, Charlotte)

nephew (Colin, Arthur) nephew (Colin, Jennifer) nephew (Colin, Margaret) nephew (Colin, Charles)

niece (Charlotte, Arthur) niece (Charlotte, Jennifer) niece (Charlotte, Margaret) niece (Charlotte, Charles)

grandparent (Christophe,r Colin) grandparent (Christopher, Charlotte) grandparent (Andrew, Colin) grandparent (Andrew, Charlotte) grandparent (Penelope, Colin) grandparent (Penelope, Charlotte) grandparent (Christine, Colin) grandparent (Christine, Charlotte) grandchild (Colin, Christopher) grandchild (Charlotte, Christopher) grandchild (Colin, Andrew) grandchild (Charlotte, Andrew) grandchild (Colin, Penelope) grandchild (Charlotte, Penelope) grandchild (Colin, Christine) grandchild (Charlotte, Christine)



Task



• Relations given:

- parent (x,y)
- spouse (x,y)
- male (x)
- female (x)

Relations to be inferred:

- father (x,y)
- mother (x,y)
- husband (x,y)
- wife (x,y)
- son (x,y)
- daughter (x,y)
- sibling (x,y)
- uncle (x,y)
- aunt (x,y)
- nephew (x,y)
- niece (x,y)
- grandparent (x,y)
- grandchild (x,y)

Example inference:

 \forall x,y male (x) \land parent (x, y) \Rightarrow father (x, y)





Kinship Domain: Rules



```
\forall x,y male (x) \land parent (x, y) \Rightarrow father (x, y)
```

$$\forall$$
 x,y female (x) \land parent (x, y) \Rightarrow mother (x, y)

$$\forall$$
 x,y male (y) \land parent (x, y) \Rightarrow son (y, x)

$$\forall$$
 x,y female (y) \land parent (x, y) \Rightarrow daugther (y, x)

$$\forall$$
 x,y male (x) \land spouse (x, y) \Rightarrow husband (x,y)

$$\forall$$
 x,y female (x) \land spouse (x, y) \Rightarrow wife (y,x)

$$\forall$$
 x,y,z parent (x, z) \land parent (y,z) \Rightarrow sibling (x,y)

$$\forall$$
 x,y,z parent (x, z) \land sibling (y,z) \land male (x) \Rightarrow uncle (x,y)

$$\forall$$
 x,y,z parent (x, z) \land sibling (y,z) \land female (x) \Rightarrow aunt (x,y)

$$\forall$$
 x,y,z parent (x, z) \land sibling (y,z) \land male (y) \Rightarrow nephew (y,x)

$$\forall$$
 x,y,z parent (x, z) \land sibling (y,z) \land female (y) \Rightarrow niece (y,x)

$$\forall$$
 x,y,z parent (x, y) \land parent (y,z) \Rightarrow grandparent (x,z)

$$\forall$$
 x,y,z parent (x, y) \land parent (y,z) \Rightarrow grandchild (z,x)





Chapter 9: Inference in First Order Logic







Example for CNF- Conversion



Right: Textual representation

Below: FOL representation

Below right: CNF representation

Everyone who loves all animals is loved by someone.

Anyone who kills an animal is loved by no one.

Jack loves all animals.

Either Jack or Curiosity killed the cat, who is named Tuna.

Did Curiosity kill the cat?

A.
$$\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$$

B.
$$\forall x \ [\exists z \ Animal(z) \land Kills(x,z)] \Rightarrow [\forall y \ \neg Loves(y,x)]$$

C.
$$\forall x \ Animal(x) \Rightarrow Loves(Jack, x)$$

F.
$$\forall x \ Cat(x) \Rightarrow Animal(x)$$

$$\neg G$$
. $\neg Kills(Curiosity, Tuna)$

A1.
$$Animal(F(x)) \lor Loves(G(x), x)$$

A2.
$$\neg Loves(x, F(x)) \lor Loves(G(x), x)$$

B.
$$\neg Loves(y, x) \lor \neg Animal(z) \lor \neg Kills(x, z)$$

C.
$$\neg Animal(x) \lor Loves(Jack, x)$$

D.
$$Kills(Jack, Tuna) \lor Kills(Curiosity, Tuna)$$

F.
$$\neg Cat(x) \lor Animal(x)$$

$$\neg G$$
. $\neg Kills(Curiosity, Tuna)$





Example for CNF-Conversion



A.
$$\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$$
 A1 and A2: s. next slide

B.
$$\forall x \ [\exists z \ Animal(z) \land Kills(x,z)] \Rightarrow [\forall y \ \neg Loves(y,x)]$$
 B. $\neg Animal(z) \lor \neg Kills(x,z) \lor \neg Loves(y,x)$

C.
$$\forall x \ Animal(x) \Rightarrow Loves(Jack, x)$$

D.
$$Kills(Jack, Tuna) \lor Kills(Curiosity, Tuna)$$

F.
$$\forall x \ Cat(x) \Rightarrow Animal(x)$$

$$\neg G$$
. $\neg Kills(Curiosity, Tuna)$

B.
$$\neg$$
Animal (z) \lor \neg Kills (x,z) \lor \neg Loves (y,x)

C.
$$\neg$$
Animal (x) \vee Loves (Jack,x)]

F.
$$\neg$$
Cat(x) \vee Animal (x)

$$\forall$$
 x [\exists z Animal (z) \land Kills (x,z)] \Rightarrow [\forall y \neg Loves (y,x)]

$$\forall$$
 x [\neg [\exists z Animal (z) \land Kills (x,z)] \lor [\forall y \neg Loves (y,x)]

$$\forall$$
 x [$\neg \exists$ z Animal (z) $\lor \neg$ Kills (x,z)] \lor [\forall y \neg Loves (y,x)]

$$\forall$$
 x [\forall z \neg Animal (z) \vee \neg Kills (x,z)] \vee [\forall y \neg Loves (y,x)]

$$\neg$$
Animal (z) $\lor \neg$ Kills (x,z) $\lor \neg$ Loves (y,x)





Example 2 for Conversion in CNF



```
\forall x [\forall y Animal y) \Rightarrow Loves (x,y)] \Rightarrow [\exists y Loves (y,x)]
```

$$\forall x \neg [\forall y \text{ Animal } y) \Rightarrow \text{Loves } (x,y)] \lor [\exists y \text{ Loves } (y,x)]$$

$$\forall x \neg [\forall y \neg Animal y) \lor Loves (x,y)] \lor [\exists y Loves (y,x)]$$

$$\forall$$
 x [\exists y \neg (\neg Animal y) \lor Loves (x,y))] \lor [\exists y Loves (y,x)]

$$\forall$$
 x [\exists y $\neg \neg$ Animal y) $\land \neg$ Loves (x,y))] \lor [\exists y Loves (y,x)]

$$\forall$$
 x [\exists y Animal (y) $\land \neg$ Loves (x,y))] \lor [\exists y Loves (y,x)]

$$\forall x [\exists y Animal(y) \land \neg Loves (x,y))] \lor [\exists z Loves (z,x)]$$

$$\forall$$
 x [Animal (F(x)) \land \neg Loves (x,F(x)))] \lor [Loves (G(x),x)]

[Animal $(F(x)) \land \neg Loves (x,F(x))$] \lor [Loves (G(x),x)]

[Animal (F(x)) \vee Loves (G(x),x)] \wedge [\neg Loves (x,F(x))] \vee Loves (G(x),x)]

A1 [Animal (F(x)) \vee Loves (G(x),x)]

A2 [\neg Loves (x,F(x))] \vee Loves (G(x),x)]

Eliminate implication

Eliminate implication

Move \neg inwards

Move \neg inwards

Move ¬ inwards

Standardize variables

Skolemize (2 x)

Drop universal quantifier

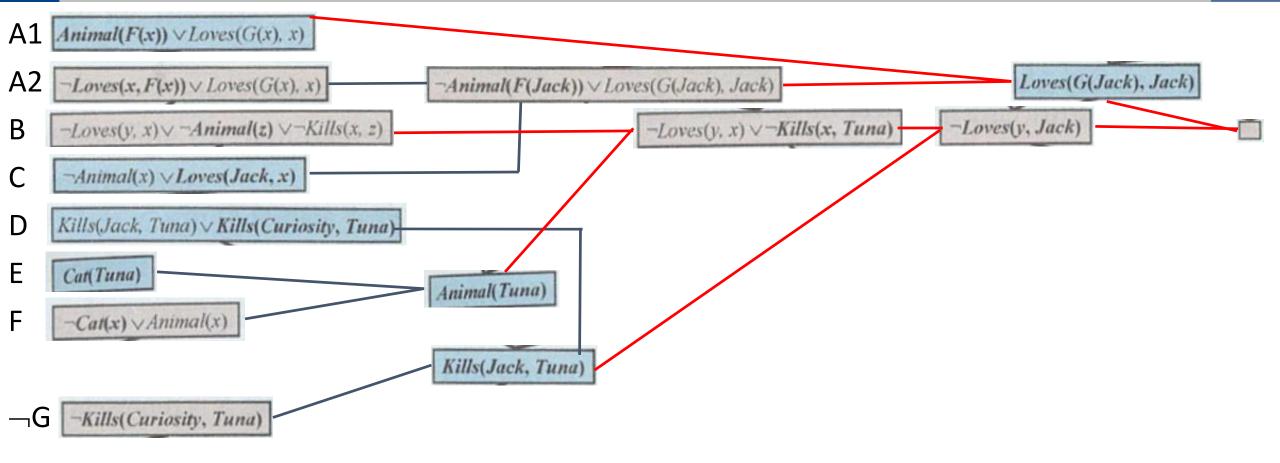
Distribute ∨oder ∧





Resolution









Comparison of \land and \Rightarrow



A	В	$A \Rightarrow B$	A ^ B
Т	Т	T	Т
Т	F	F	F
F	Т	Т	F
F	F	Т	F

General difference:

 $A \wedge B$ two facts (A and B) but $A \Rightarrow B$ only one fact.

To be discussed: Implication within Implication

Everyone who loves all animals is loved by someone.

If valid: "Wenn y ein Tier ist, wird es von x geliebt", then there is somebody (z), who loves x.

 \forall x [\forall y Animal (y) \Rightarrow Loves (x,y)] \Rightarrow [\exists z Loves (z,x)] versus

 \forall x [\forall y Animal (y) \land Loves (x,y)] \Rightarrow [\exists z Loves (z,x)]

If all things (all y) are animals and x loves y, then there is somebody (z), who loves x.





Example 2 for Conversion in CNF with \land instead of \Rightarrow



```
\forall x [\forall y Animal y) \land Loves (x,y)] \Rightarrow [\exists y Loves (y,x)]
```

$$\forall x \neg [\forall y \text{ Animal } y) \land \text{Loves } (x,y)] \lor [\exists y \text{ Loves } (y,x)]$$

$$\forall$$
 x [\exists y \neg Animal (y) \vee \neg Loves (x,y)] \vee [\exists y Loves (y,x)]

$$\forall$$
 x [\exists y \neg Animal (y) \vee \neg Loves (x,y)] \vee [\exists z Loves (z,x)]

$$\forall$$
 x [\neg Animal ($F(x)$) $\lor \neg$ Loves ($x,F(x)$)] \lor [Loves ($G(x),x$)]

$$[\neg Animal (F(x)) \lor \neg Loves (x,F(x))] \lor [Loves (G(x),x)]$$

Eliminate implication

Move *¬* inwards

Standardize variables

Skolemize (2 x)

Drop universal quantifier





Adapted Resolution (with \land instead of \Rightarrow)



```
[\neg Animal (F(y)) \lor \neg Loves (y,F(y))] \lor [Loves (G(y),y)];
Α
                                              [\neg Animal (F(Jack)) \lor [Loves (G(Jack), Jack)]
                                                                       [\neg Animal(F(Jack)) \lor \neg Kills(Jack, z)]
                                                                                                                              ¬Animal( F(Jack))
       \neg Loves(y, x) \lor \neg Animal(z) \lor \neg Kills(x, z)
В
        \neg Animal(x) \lor Loves(Jack, x)
D
      Kills(Jack, Tuna) V Kills(Curiosity, Tuna)
Ε
       Cat(Tuna)
                                                         Animal(Tuna)
         Cat(x) \lor Animal(x)
                                                          Kills(Jack, Tuna)
\neg G
         Kills(Curiosity, Tuna)
                                         Resolutions:
                                          [\neg Animal (F(y)) \lor \neg Loves (y,F(y))] \lor [Loves (G(y),y)];
                                                                                                                    \neg Animal(x) \lor Loves(Jack, x) = Jack; x=F(Jack)
                                          [\neg Animal (F(Jack)) \lor \neg Animal (F(Jack)) \lor [Loves (G(Jack), Jack)]
                                          [\neg Animal (F(Jack)) \lor [Loves (G(Jack), Jack)];
                                                                                                     \neg Loves(y, x) \lor \neg Animal(z) \lor \neg Kills(x, z) = G(Jack), x = Jack
                                          [\neg Animal (F(Jack)) \lor \neg Animal (z) \lor \neg Kills (Jack, z)]
                                          \negAnimal( F(Jack)) \lor \negKills (Jack, z)]
```





Chapter 10: Knowledge Representation







Ontologies



"An ontology is an explicit, formal specification of a shared conceptualization" (Gruber 1993)

- Conceptualization: abstract model (terms and relations)
- Explizit: Semantic of all terms is defined
- Formal: Computer interpretable
- Shared: Consense for Ontology in a community





Types of Ontologies



- Catalogue, glossar, taxonomy: simple controlled vocabularies
- Classification, thesaurus, (taxonomy): includes hierarchical relations, synonyms
- Semantic net, (ontology), knowledge graph: includes other types of relations in addition to hierarchical relations

Catalogue, glossar:

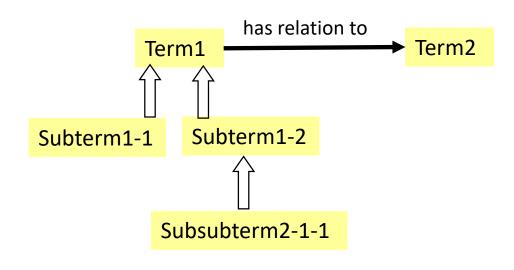
- 1. Term1
- 2. Term2
- 3. Term3
- 4. Term4
- 5. ...

Classification, thesaurus:

- 1. Term1
- 1.1 Subterm 1.1
- 1.2 Subterm1.2
- 1.2.1 Subsubterm1.2.1
- 2. Term2

•••

Semantic net, (ontology), knowledge graph:



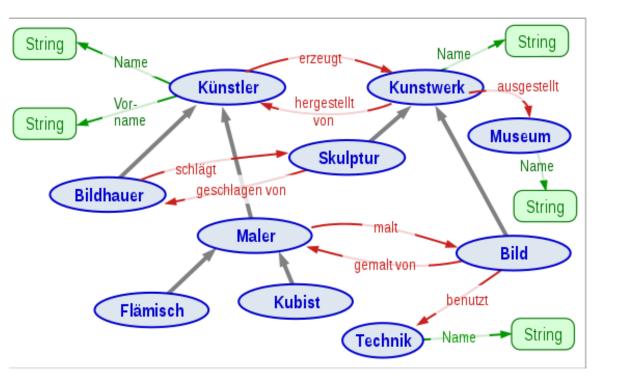




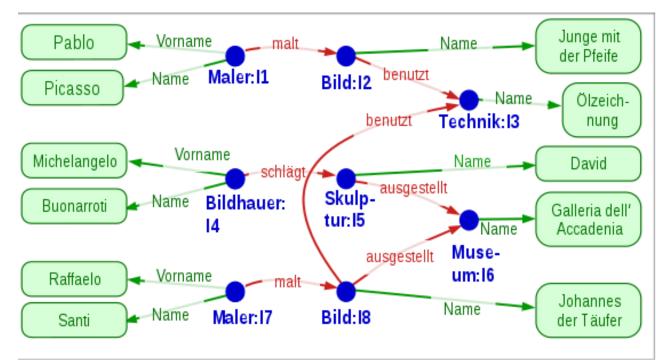
Example for Semantic Net (Knowledge graph)



Concepts of Semantic Net



Instances of Semantic Net



from: https://de.wikipedia.org/wiki/Ontologie_(Informatik)





Example for an Ontology (Knowledge Graph): Dbpedia



- Contains structured information from Wikipedia (e.g. infoboxes, categorization information, images, geo-coordinates and links to external Web pages)
- This structured information is extracted and put in a uniform dataset which can be queried.
- Founder: People at the Free University of Berlin and Leipzig University with OpenLink Software
- Maintained by people at the University of Mannheim and Leipzig University.
- First publicly available dataset was published in 2007.
- 2014: The ontology covers 685 classes which form a subsumption hierarchy and are described by 2795 different properties with 4 233 000 instances
- June 2021: it contains over a trillion entities.

Instances per class

	Class	Instances
	Resource (overall)	4,233,000
	Place	735,000
	Person	1,450,000
	Work	411,000
	Species	251,000
Ir	Organisation	241,000



Artificial Ir



Dbpedia



The DBpedia Ontology is provided for download in four parts (in many different languages):

- DBpedia Ontology T-BOX (Schema)
- DBpedia Ontology A-Box RDF type statements (Instances with their classes)
- DBpedia Ontology A-Box RDF object relations (Instances with properties and values)
- DBpedia Ontology A-Box RDF literal facts (Instances with numeric data and text data)
- DBpedia Ontology A-Box RDF specific properties (Instances with numeric properties and values in normalized units)

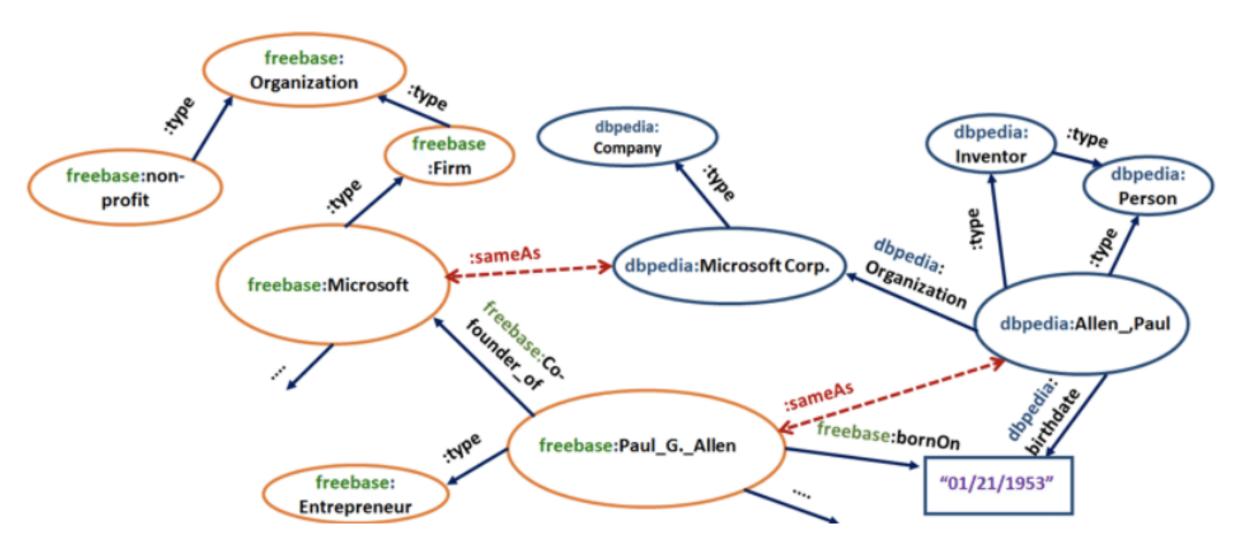
http://mappings.dbpedia.org/server/ontology/classes/





Part of Dbpedia and freebase









Example Query for Dbpedia in SPARQL (1)



```
(2011-dbpedia-train-3)
PREFIX rdf: <http://www.w3.org/1999/02/22-rdf-syntax-ns#>
PREFIX rdfs: <http://www.w3.org/2000/01/rdf-schema#>
PREFIX yago: <http://dbpedia.org/class/yago/>
PREFIX prop: <http://dbpedia.org/property/>
SELECT ?uri ?string
WHERE
        ?uri rdf:type yago:FemaleHeadsOfGovernment.
        ?uri prop:office ?office .
        FILTER regex(?office, 'Chancellor of Germany').
        OPTIONAL {?uri rdfs:label ?string . FILTER (lang(?string) = 'en') }
```

Female heads of government that have an office that match the expression /Chancellor of Germany/. Show also, if available, these female heads of government's English labels.





Example Query for Dbpedia in SPARQL (2)



```
(2011-dbpedia-train-9)
PREFIX rdfs: <http://www.w3.org/2000/01/rdf-schema#>
PREFIX rdf: <http://www.w3.org/1999/02/22-rdf-syntax-ns#>
PREFIX onto: <a href="http://dbpedia.org/ontology/">http://dbpedia.org/ontology/>
SELECT ?uri ?string
WHERE
         ?subject rdf:type onto:Software .
         ?subject rdfs:label 'World of Warcraft'@en .
         ?subject onto:developer ?uri .
        OPTIONAL {?uri rdfs:label ?string . FILTER (lang(?string) = 'en') }
```

Developers of software that have the English label "World of Warcraft". Show also, if available, these developers' English labels.





Example Query for Dbpedia in SPARQL (3)



```
(2011-dbpedia-train-14)
PREFIX rdfs: <http://www.w3.org/2000/01/rdf-schema#>
PREFIX rdf: <http://www.w3.org/1999/02/22-rdf-syntax-ns#>
PREFIX onto: <a href="mailto:ref">ref">http://dbpedia.org/ontology/></a>
SELECT ?uri ?string
WHERE
        ?orga rdf:type onto:Organisation .
        ?orga onto:keyPerson ?uri .
        ?orga rdfs:label 'Aldi'@en .
        OPTIONAL {?uri rdfs:label ?string . FILTER (lang(?string) = 'en') }
        FILTER (lang(?string) = 'en')
```

Things that are organisation key people of things that have the English label "Aldi". Show also, if available, these things' English labels.





GermaNet

- Semantic network for the German language (similar to WordNet for English)
- Relates nouns, verbs, and adjectives semantically
- Synset: Grouping lexical units that express the same concept
- Defining semantic relations between these synsets (e.g. subclass and part-of)
- Distinct concepts for ambiguous words

- A sollen (0)
- A geben (0)
- A gefühlsspezifisch (0)
- A agieren, handeln (0)
 - A spielen (0)
 - A falsch machen, Fehler machen (0)
 - A reagieren (0)
 - A beantworten (0)
 - A beziehen, einnehmen (0)
 - A ansprechen (0)
 - A mitgehen (0)
 - A erwidern (0)
 - A nachsehen (0)
 - A belohnen (0)
 - A ausgleichen (0)
 - A prämieren (0)
 - A vergelten (0)
 - A revanchieren (0)
 - A lohnen (0)
 - A honorieren (0)
 - A wettmachen (0)
 - A sanktionieren (0)
 - A überreagieren (0)
 - A bewältigen (0)
 - A verteidigen (0)



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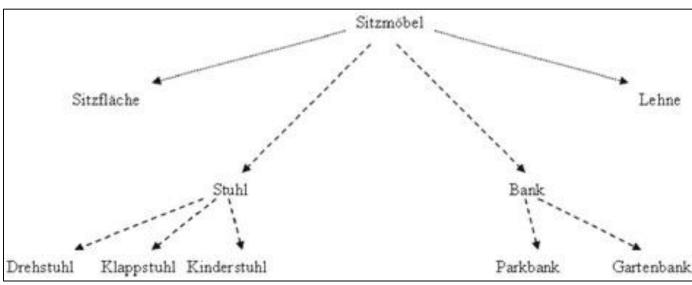
Examples from GermaNet



- ▼ A Entität
 - A Objekt
 - A Ding, Gebilde, Gegenstand, Sache
 - Artefakt, Werk
 - A Einrichtungsgegenstand, Möbel, Möbelstück
 - ▼ A Sitzmöbel
 - ▼ A Stuhl
 - A Drehstuhl

- ▼ A Entität
 - ▼ A Objekt
 - A natürliches Objekt
 - A Kreatur, Wesen, Wesenheit
 - A Lebewesen, Organismus
 - A höheres Lebewesen
 - A Individuum, Mensch, Person, Persönlichkeit
 - A Beschaffener, beschaffener Mensch
 - ▼ A Charakterbeschaffener
 - A negativer Charakter

A Bösewicht, Ganove, Ganovin, Gauner, Gaunerin, Missetäter, Missetäterin, Übeltäter, Übeltäterin



Partof and subclass relations in GermaNet.



Chapter 11: Automated Planning







Classical Planning



Actions of Cargo Planning Example:

```
Action(Load(c, p, a),

PRECOND: At(c, a) \land At(p, a) \land Cargo(c) \land Plane(p) \land Airport(a)

EFFECT: \neg At(c, a) \land In(c, p))

Action(Unload(c, p, a),

PRECOND: In(c, p) \land At(p, a) \land Cargo(c) \land Plane(p) \land Airport(a)

EFFECT: At(c, a) \land \neg In(c, p))

Action(Fly(p, from, to),

PRECOND: At(p, from) \land Plane(p) \land Airport(from) \land Airport(to)

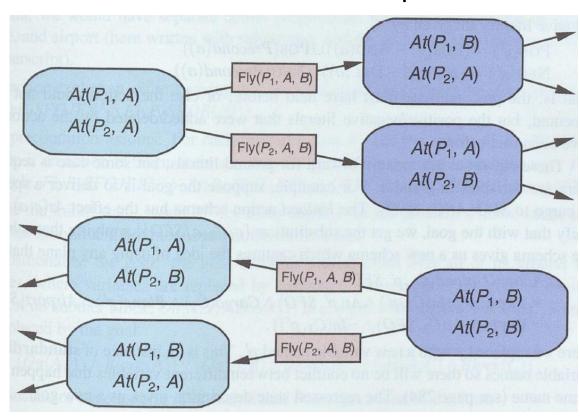
EFFECT: \neg At(p, from) \land At(p, to))
```

Example Problem Description of Cargo Planning

```
Init(At(C_1, SFO) \land At(C_2, JFK) \land At(P_1, SFO) \land At(P_2, JFK) \land Cargo(C_1) \land Cargo(C_2) \land Plane(P_1) \land Plane(P_2) \land Airport(JFK) \land Airport(SFO))

Goal(At(C_1, JFK) \land At(C_2, SFO))
```

Forward and Backward state-space search:



Problem: Given: 1000 Cargos with orders from A to B, 10 planes, 10 airports. Goal: a plan ...

- minimizing flight distances of planes
- minimizing time for completion





Approaches



- Forward state-space-search with optimistic heuristic for A*:
 - Sum up all distances of the Cargos not at their goal
 - Sum up all flight durations of the Cargos not at their goal and divide by 10 (for parallelism)
- Greedy strategy for initial plan:
 - For each plane: Take best open cargo (sum of cargo-start nearest to plane and cargo-goal nearest to another open cargo)
- Plan improvement (local search strategy on solutions):
 - Two planes exchange one cargo
 - Two planes exchange two cargos
 - Three planes exchange one cargo
 - Three planes exchange two cargos





Hierarchical Planning



- There are huge savings compared to non-hierarchical planning
 - Non-hierarchical: Final plan with d actions and b allowable actions in each state: O(bd)
 - Hierarchical: If each non-primitive action has r possible refinements, each into k actions at the next level: $O(r^{(d-1)/(k-1)})$
- Give an application scenario for the formula!





Simple Application Scenario for Hierarchical Planning



- **Assumption:** Final plan with d actions, the non-primitive actions (HLAs) each have r possible refinement, into k actions at the next level \Rightarrow O($r^{(d-1)/(k-1)}$) decomposition trees
- d primitive actions in a tree with branching factor $k \Rightarrow log_k d$ levels beneath root
 - e.g. d=4; $k=2 \Rightarrow 2$ levels beneath the root: 2,4,
- number of nodes in the last refinement level: $k^{(log_k d)-1}$
 - $e.g. 2^{2-1} = 2$
- total number of refinement nodes: $1 + k + k^2 + ... + k^{(\log_k d) 1}$

•
$$e.g. 1 + 2 = 3$$

• 1 + k + k2 + ... +
$$k^{(\log_k d) - 1} = (d-1) / (k-1)$$

•
$$e.g. (4-1) / (2-1) = 3$$

| | Start | | | | | | | |
|-----------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Choice (OR) | HLA1 | | | | HLA2 | | | |
| Expansion (AND) | HLA11 | | HLA12 | | HLA21 | | HLA22 | |
| Choice (OR) | HLA111 | HLA112 | HLA121 | HLA122 | HLA211 | HLA212 | HLA221 | HLA222 |
| Expansion (AND) | B111-1 | B112-1 | B121-1 | B122-1 | B211-1 | B212-1 | B221-1 | B222-1 |
| | B111-2 | B112-2 | B121-2 | B122-2 | B211-2 | B212-2 | B221-2 | B222-2 |

- Each refinement node has r possible refinements: r^{(d-1)/(k-1)}
 - e.g. with r = 2: $2^{3/1} = 8$ refinements in total





Example for Simple Application Scenario



Given 2 vacuum cleaners V1 or V2 each with 2 brushes B1 or B2 and 2 rooms X and Y each with 2 squares L and R to be cleaned Sequence of squares is given: XL, XR, YL, YR, but vacuum cleaner and brushes are choices

Non-hierarchical search tree: for each square, there are 4 choices: 4*4*4*4=256 sequences: $O(b^d) = O(4^4) = 256$

Hierarchical search tree with HLA (High Level Actions): (1) Decide cleaner, (2) Decide brush for each room: O(r(d-1)/k-1)

with r =2; d= 4 and k=2: $2^{(4-1)/(2-1)} = 2^3 = 8$ sequences of basic actions (B)

| • | | | | | | | | | | | |
|---------------------|-------------|----------------|----------------|----------------------------------|-------------|-------------|-------------|-------------|--|--------------|-------|
| | Start | | | | | | | | | | |
| Choice (OR) | HLA-V1 | | | | HLA-V2 | | | | vacuum cleaner V1 or V2
Room X and Room Y | | |
| Expansion (AND) | HLA-V1-X | | HLA-V1-Y | | HLA-V2-X | | HLA-V1-Y | | | | |
| Choice (OR) | HLA-V1-X-B1 | HLA-V1-X-B2 | HLA-V1-Y-B1 | HLA-V1-Y-B2 | HLA-V2-X-B1 | HLA-V2-X-B2 | HLA-V1-Y-B1 | HLA-V2-Y-B2 | Brush B1 or | B2 of vacuum | clean |
| Expansion (AND) | B-V1B1-XL | B-V1B2-XL | B-V1B1-YL | B-V1B2-YL | B-V2B1-XL | B-V2B2-XL | B-V2B1-YL | B-V2B2-YL | Square left = L | | |
| | B-V1B1-XR | B-V1B2-XR | B-V1B1-YR | B-V1B2-YR | B-V2B1-XR | B-V2B2-XR | B-V2B1-YR | B-V2B2-YR | Square right = R | | |
| | Choose V | Choose B for X | Choose B for Y | Resulting Basic Action Sequences | | | ces | | | | |
| Possible Sequences: | HLA-V1 | HLA-V1-X-B1 | HLA-V1-Y-B1 | B-V1B1-XL | B-V1B1-XR | B-V1B1-YL | B-V1B1-YR | | | | |
| | | HLA-V1-X-B2 | HLA-V1-Y-B1 | B-V1B2-XL | B-V1B2-XR | B-V1B1-YL | B-V1B1-YR | | | | |
| | | HLA-V1-X-B1 | HLA-V1-Y-B2 | B-V1B1-XL | B-V1B1-XR | B-V1B2-YL | B-V1B2-YR | | | | |
| | | HLA-V1-X-B2 | HLA-V1-Y-B2 | B-V1B2-XL | B-V1B2-XR | B-V1B2-YL | B-V1B2-YR | | | | |
| | HLA-V2 | HLA-V2-X-B1 | HLA-V2-Y-B1 | B-V2B1-XL | B-V2B1-XR | B-V2B1-YL | B-V2B1-YR | | | | |
| | | HLA-V2-X-B2 | HLA-V2-Y-B1 | B-V2B2-XL | B-V2B2-XR | B-V2B1-YL | B-V2B1-YR | | | | |
| | | HLA-V2-Y-B1 | HLA-V2-Y-B2 | B-V2B1-XL | B-V2B1-XR | B-V2B2-YL | B-V2B2-YR | | | | |
| | | HLA-V2-Y-B2 | HLA-V2-Y-B2 | B-V2B2-XL | B-V2B2-XR | B-V2B2-YL | B-V2B2-YR | | | | |
| | | | | one-of | one-of | one-of | one-of | | | | |
| Possible Sequences: | | | | B-V1B1-XL | B-V1B1-XR | B-V1B1-YL | B-V1B1-YR | | | | |
| without HLAs | | | | B-V1B2-XL | B-V1B2-XR | B-V1B2-YL | B-V1B2-YR | | | | |
| | | | | B-V2B1-XL | B-V2B1-XR | B-V2B1-YL | B-V2B1-YR | | | | |
| | | | | B-V2B2-XL | B-V2B2-XR | B-V2B2-YL | B-V2B2-YR | | | | |





Extended Example



Extended situation:

Given 2 vacuum cleaners each with 2 two brushes and 4 rooms each with 4 squares to be cleaned.

- (1) What is the complexity of non-hierarchical search?
- (2) What is the complexity of hierarchical search?
 - (2.1) As above, plan first the type of cleaner for all rooms, then the type of brushes for each room.
 - (2.2) Plan the type of cleaner and the type of brushers for all rooms identical.





Solution to Example



- (Non-)Hierarchical search tree with 4 rooms each with 4 squares?
 - Non-Hierarchical: $O(b^d)$) with d=16 and b=4: $O(4^{16}) = O(2^{32}) \approx 4\,000\,000\,000$;
 - Hierarchical: $O(r^{(d-1)/k-1)})$ with r=2, d=16 and k=4: $2^{(16-1)/(4-1)} = 2^5 = 32$

- Hierarchical planning with cleaner and brush for all squares identically?
 - Hierarchical: $O(r^{(d-1)/k-1)})$ with r=4, d=16 and k=16: = $4^{(16-1)/(16-1)}$ = 4^1 = 4





Human Hierarchical Planning



• Even higher saving can be achieved, if we can select the correct cleaner and room in the rules for the HLA. Then nearly no search at all is necessary.





Applications of Hierarchical Planning



- Build a house: standard example
- Plan a trip to multiple distinations
- Deliver things like food, parcels etc.
- Design a program
- Clean all rooms in a building with several floors
- Design a strategy for correction of the tasks of many examinations





Applications of Hierarchical Planning



- Plan a trip to multiple distinations:
 - decide places for accomodation and make reservations for places
 - decide main attractions and make reservations
 - decide trips to and between destinations
- Deliver things like food, parcels etc.:
 - divide orders in regions
 - divide orders in time intervalls
 - make a plan for each region and time intervall
- Design a program:
 - definition of data structures
 - definition of main modules with interfaces (beyond data structures)
 - definition of submodules within modules (maybe several times)
 - finally implementation of submodules





Applications of Hierarchical Planning



- Clean all rooms in a building with several floors
 - Sort the floors (e.g. from top to bottom or from bottom to top or according to features like carpets)
 - Sort the rooms within a floor (e.g. to minimum distance for TSP)
- Design a strategy for examination correction
 - HLA:
 - Sort the exams alphabetically, randomly or competence-oriented
 - Take one Exam after the other and correct all its tasks
 - Correct one or several Task(s) of all exams and than the next task(s)

