

Energy transfer in ITG/KBM system

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1 model equation

$$\frac{dn}{dt} = a \frac{dn_{eq}}{dr} \nabla_{\theta} \phi - n_{eq} \nabla_{\parallel} v + \nabla_{\parallel} j + \omega_d (n_{eq} \phi - p_e) + D_n \nabla_{\perp}^2 n \quad (1)$$

$$\frac{d\nabla_{\perp}^2 \phi}{dt} = -a T_{eq} \left(\frac{1}{n} \frac{dn_{eq}}{dr} + \frac{1}{T_{eq}} \frac{dT_{eq}}{dr} \right) \nabla_{\theta} \nabla_{\perp}^2 \phi + \frac{1}{n_{eq}} \nabla_{\parallel} j - \omega_d \left(T_i + \frac{T_{eq}}{n_{eq}} n + \frac{p_e}{n_{eq}} \right) + D_U \nabla_{\perp}^4 \phi \quad (2)$$

$$\frac{dv}{dt} = -\nabla_{\parallel} T_i - 2 \frac{T_{eq}}{n_{eq}} \nabla_{\parallel} n - \beta a T_{eq} \left(\frac{2}{n_{eq}} \frac{dn_{eq}}{dr} + \frac{1}{T_{eq}} \frac{dT_{eq}}{dr} \right) \nabla_{\theta} A + D_v \nabla_{\perp}^2 v \quad (3)$$

$$\beta \frac{\partial A}{\partial t} = -\nabla_{\parallel} \phi + \frac{T_{eq}}{n_{eq}} \nabla_{\parallel} n + \beta a T_{eq} \frac{1}{n_{eq}} \frac{dn_{eq}}{dr} \nabla_{\theta} A + \sqrt{\frac{\pi m_e}{2 m_i}} |\nabla_{\parallel}| \left(v - \frac{j}{n_{eq}} \right) - \eta j \quad (4)$$

$$\frac{dT_i}{dt} = a \frac{\partial T_{eq}}{\partial r} \nabla_{\theta} \phi - (\Gamma - 1) T_{eq} \nabla_{\parallel} v + T_{eq} \omega_d \left((\Gamma - 1) \phi + (2\Gamma - 1) T_i + (\Gamma - 1) \frac{T_{eq}}{n_{eq}} n \right) - (\Gamma - 1) \sqrt{\frac{8 T_{eq}}{\pi}} |\nabla_{\parallel}| T_i + D_T \nabla_{\perp}^2 T_i \quad (5)$$

2 energy balance equation

energy in system

$$\begin{aligned} E_k &= \frac{1}{2} \langle |\nabla_{\perp} \phi|^2 \rangle \\ E_m &= \frac{1}{2} \langle \frac{\beta}{n_{eq}} |\nabla_{\perp} \psi|^2 \rangle \\ E_n &= \frac{1}{2} \langle \tau \frac{T_{eq}}{n_{eq}^2} |n|^2 \rangle \\ E_v &= \frac{1}{2} \langle \frac{\tau}{\tau + 1} |v|^2 \rangle \\ E_t &= \frac{1}{2} \langle \frac{\tau}{(\Gamma - 1)(\tau + 1) T_{eq}} |T|^2 \rangle \end{aligned} \quad (6)$$

where

$$\langle f \rangle = \frac{\int f dV}{\int dV} = \frac{\int f r dr d\theta d\zeta}{\int r dr d\theta d\zeta} \quad (7)$$

total energy

$$E_{total} = E_k + E_m + E_n + E_v + E_t \quad (8)$$

time dependence of energy

$$\frac{d}{dt} E_i = \Gamma_F + \Gamma_Q + \Gamma_C + \Gamma_{LD} + \Gamma_{NL} + \Gamma_S \quad (9)$$

radial transport items

$$\begin{aligned}
\Gamma_{Q,n} &= \langle \tau a \frac{T_{eq}}{n_{eq}^2} n \nabla_\theta \phi \rangle + \langle \beta \tau \frac{T_{eq}}{n_{eq}^2} \frac{\partial j_0}{\partial r} n \nabla_\theta A \rangle \\
\Gamma_{Q,v} &= - \langle \frac{\tau}{\tau+1} \beta a T_{eq} (\frac{2}{n_{eq}} \frac{dn_{eq}}{dr} + \frac{1}{T_{eq}} \frac{dT_{eq}}{dr}) v \nabla_\theta A \rangle \\
\Gamma_{Q,t} &= \langle \frac{\tau}{(\Gamma-1)(\tau+1)T_{eq}} a \frac{\partial T_{eq}}{\partial r} T \nabla_\theta \phi \rangle \\
\Gamma_{Q,k} &= \langle -a T_{eq} (\frac{1}{n} \frac{dn_{eq}}{dr} + \frac{1}{T_{eq}} \frac{dT_{eq}}{dr}) \phi \nabla_\theta \nabla_\perp^2 \phi \rangle + \langle \frac{\beta}{n_{eq}} \frac{\partial j_0}{\partial r} \phi \nabla_\theta A \rangle \\
\Gamma_{Q,m} &= \langle \frac{\beta}{n_{eq}} a T_{eq} \frac{1}{n_{eq}} \frac{dn_{eq}}{dr} j \nabla_\theta A \rangle
\end{aligned} \tag{10}$$

parallel transport items

$$\Gamma_{F,k} = \langle \rangle \tag{11}$$

curvature items

$$\Gamma_{C,k} = \langle \rangle \tag{12}$$

landau damping items

$$\begin{aligned}
D_m &= \langle \frac{1}{n_{eq}} \sqrt{\frac{\pi \tau m_e}{2 m_i}} j \nabla_\parallel (v - \frac{j}{n_{eq}}) \rangle \\
D_t &= - \langle \frac{\tau}{(\tau+1)T_{eq}} \sqrt{\frac{8T_{eq}}{\pi}} T |\nabla_\parallel| T \rangle
\end{aligned} \tag{13}$$

diffusion items

$$\begin{aligned}
\Gamma_{S,k} &= -D_U \langle |\nabla_\perp^2 \phi|^2 \rangle \\
\Gamma_{S,m} &= -\frac{1}{n_{eq}} D_m \langle |j|^2 \rangle \\
\Gamma_{S,n} &= \tau \frac{T_{eq}}{n_{eq}^2} D_n \langle |\nabla_\perp n|^2 \rangle \\
\Gamma_{S,v} &= -\frac{\tau}{\tau+1} D_v \langle |\nabla_\perp v|^2 \rangle \\
\Gamma_{S,t} &= -\frac{\tau}{(\Gamma-1)(\tau+1)T_{eq}} D_t \langle |\nabla_\perp T|^2 \rangle
\end{aligned} \tag{14}$$

time dependence of energy

$$\frac{\partial}{\partial t} E_{total} = \Sigma_i [\frac{1}{2} (\Gamma_{Q,i} + \Gamma_{C,i} + \Gamma_{LD,i}) + \Gamma_{S,i}] \tag{15}$$

3 energy drive of $E_{k,m=0,n=0}$ and $E_{p,m=1,n=0}$

for zonal flow energy:

$$\frac{\partial}{\partial t} E_k = \Gamma_{NLk,k} + \Gamma_{NLm,k} + \Gamma_{C,k} \tag{16}$$

for pressure:

$$p = p_i + p_e = n_0 T + (1 + \tau) T_0 n \tag{17}$$

$$\frac{\partial}{\partial t} E_p = \Gamma_{Q,p} + \Gamma_{F,p} + \Gamma_{C,p} + \Gamma_{LD,p} + \Gamma_{NL,p} + \Gamma_{S,p} \tag{18}$$

$$\begin{aligned}
\Gamma_{Q,p} &= \langle a[(1+\tau)T_{eq}\frac{\partial n_{eq}}{\partial r} + n_{eq}\frac{\partial T_{eq}}{\partial r}]p\nabla_\theta\phi \rangle + \langle (1+\tau)\beta T_{eq}\frac{\partial j_0}{\partial r}p\nabla_\theta A \rangle \\
\Gamma_{F,p} &= -\langle (\Gamma+\tau)n_{eq}T_{eq}p\nabla_\parallel v \rangle + \langle (1+\tau)T_{eq}p\nabla_\parallel j \rangle \\
\Gamma_{LD,p} &= -\langle (\Gamma-1)\sqrt{\frac{8T_{eq}}{\pi}}n_{eq}p|\nabla_\parallel|T \rangle \\
\Gamma_{C,p} &= \langle p\omega_d((\Gamma+\tau)n_{eq}T_{eq}\phi) \rangle + \langle p\omega_d((2\Gamma-1)n_{eq}T_{eq}T) \rangle + \langle p\omega_d(((\Gamma-1)-\tau(\tau+1))T_{eq}^2n) \rangle \\
\Gamma_{NL,p} &= -\langle p((1+\tau)T_{eq}[\phi, n] + n_{eq}[\phi, T]) \rangle + \langle p(\Gamma+\tau)n_{eq}T_{eq}\beta[A, v] \rangle - \langle p(1+\tau)T_{eq}\beta[A, j] \rangle \\
\Gamma_{S,p} &= \langle p[(1+\tau)T_{eq}D_n\nabla_\perp^2 n + n_{eq}D_T\nabla_\perp^2 T] \rangle
\end{aligned} \tag{19}$$

4 Appendix: equation for the evolution of zonal flow

define flux average as

$$\langle f \rangle = \frac{\int \int f d\theta d\zeta}{\int \int d\theta d\zeta} \tag{20}$$

and the flux-averaged derivation term of vorticity is written as:

$$\begin{aligned}
\frac{\partial}{\partial t} \langle \nabla_\perp^2 \phi \rangle &= \frac{\partial}{\partial t} \langle \frac{1}{r} \frac{\partial}{\partial r} (r \frac{\partial \phi}{\partial r}) \rangle \\
&= \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial}{\partial t} \langle \frac{\partial \phi}{\partial r} \rangle \\
&= \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial}{\partial t} (v_E)
\end{aligned} \tag{21}$$

the other flux-averaged term can be written as

$$-\langle [\phi, \Omega] \rangle = \frac{1}{r} \langle \frac{\partial \phi}{\partial \theta} \frac{\partial \Omega}{\partial r} - \frac{\partial \phi}{\partial r} \frac{\partial \Omega}{\partial \theta} \rangle \tag{22}$$

$$\langle \frac{\partial \phi}{\partial \theta} \frac{\partial \Omega}{\partial r} \rangle = \int \frac{\partial \phi}{\partial \theta} \frac{\partial \Omega}{\partial r} d\theta = \int \frac{\partial}{\partial r} (\frac{\partial \phi}{\partial \theta} \Omega) d\theta - \int \frac{\partial^2 \phi}{\partial r \partial \theta} \Omega d\theta \tag{23}$$

$$\langle \frac{\partial \phi}{\partial r} \frac{\partial \Omega}{\partial \theta} \rangle = \int \frac{\partial \phi}{\partial r} \frac{\partial \Omega}{\partial \theta} d\theta = [\Omega \frac{\partial \phi}{\partial r}]_0^{2\pi} - \int \frac{\partial^2 \phi}{\partial r \partial \theta} \Omega d\theta = - \int \frac{\partial^2 \phi}{\partial r \partial \theta} \Omega d\theta \tag{24}$$

then:

$$\begin{aligned}
-\langle [\phi, \Omega] \rangle &= \frac{1}{r} \frac{\partial}{\partial r} \langle \Omega \frac{\partial \phi}{\partial \theta} \rangle \\
&= \frac{1}{r} \frac{\partial}{\partial r} \langle \phi \frac{\partial \Omega}{\partial \theta} \rangle
\end{aligned} \tag{25}$$

and we can convert it to the form like Reynolds stress as follows:

$$\begin{aligned}
\int \frac{\partial \phi}{\partial \theta} \nabla_\perp^2 \phi d\theta &= \int \frac{\partial \phi}{\partial \theta} \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial \phi}{\partial r} d\theta + \int \frac{\partial \phi}{\partial \theta} \frac{1}{r^2} \frac{\partial^2 \phi}{\partial \theta^2} d\theta \\
&= \int \frac{\partial \phi}{\partial \theta} \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial \phi}{\partial r} d\theta + \frac{1}{2r^2} \int \frac{\partial}{\partial \theta} (\frac{\partial \phi}{\partial \theta})^2 d\theta \\
&= \int \frac{\partial \phi}{\partial \theta} \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial \phi}{\partial r} d\theta + \int \frac{1}{r} (r \frac{\partial \phi}{\partial r} \frac{\partial^2 \phi}{\partial r \partial \theta}) d\theta \\
&= \int \frac{1}{r} \frac{\partial}{\partial r} (r \frac{\partial \phi}{\partial r} \frac{\partial \phi}{\partial \theta}) d\theta \\
&= \frac{1}{r} \frac{\partial}{\partial r} \langle r \frac{\partial \phi}{\partial r} \frac{\partial \phi}{\partial \theta} \rangle \\
&= -\frac{1}{r} \frac{\partial}{\partial r} r^2 \langle v_\theta v_r \rangle
\end{aligned} \tag{26}$$

and we can deduce the maxwell stress in a similiar way:

$$\begin{aligned}
-\frac{\beta}{n_{eq}} < [A, j] > &= \frac{\beta}{n_{eq}} < [A, \nabla_{\perp}^2 A] > \\
&= \frac{\beta}{n_{eq}} \frac{1}{r} \frac{\partial}{\partial r} < j \frac{\partial A}{\partial \theta} > \\
&= \frac{\beta}{n_{eq}} \frac{1}{r} \frac{\partial}{\partial r} < A \frac{\partial j}{\partial \theta} > \\
&= \frac{\beta}{n_{eq}} \frac{1}{r} \frac{\partial}{\partial r} r^2 < B_{\theta} B_r >
\end{aligned} \tag{27}$$

as for curvature terms,

$$\begin{aligned}
- < \omega_d \cdot f > &= -2\epsilon < [r \cos \theta, f] > \\
&= 2\epsilon < \frac{1}{r} \left(\frac{\partial(r \cos \theta)}{\partial \theta} \frac{\partial f}{\partial r} - \frac{\partial(r \cos \theta)}{\partial r} \frac{\partial f}{\partial \theta} \right) > \\
&= \frac{2\epsilon}{r} \left(- < r \sin \theta \frac{\partial f}{\partial r} > - < \cos \theta \frac{\partial f}{\partial \theta} > \right) \\
&= \frac{2\epsilon}{r} \left(- < r \sin \theta \frac{\partial f}{\partial r} > - < f \sin \theta > \right) \\
&= -2\epsilon < \left(\frac{\partial f}{\partial r} + \frac{f}{r} \right) \sin \theta > \\
&= -2\epsilon \frac{1}{r} \frac{\partial}{\partial r} r < f \sin \theta >
\end{aligned} \tag{28}$$

Here,

$$f = T_i + \frac{T_{eq}}{n_{eq}} n + \frac{p_e}{n_{eq}} = \frac{p}{n_{eq}} \tag{29}$$

then,

$$- < \omega \cdot \frac{p}{n_{eq}} > = -\frac{2\epsilon}{n_{eq}} \frac{1}{r} \frac{\partial}{\partial r} r < p \sin \theta > \tag{30}$$

so the evolution of zonal flow in the limit of collisionless situation is as follows,

$$\frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial}{\partial t} (v_E) = -\frac{1}{r} \frac{\partial}{\partial r} \frac{1}{r} \frac{\partial}{\partial r} r^2 < v_{\theta} v_r > + \frac{1}{r} \frac{\partial}{\partial r} \frac{\beta}{n_{eq}} \frac{1}{r} \frac{\partial}{\partial r} r^2 < B_{\theta} B_r > - \frac{2\epsilon}{n_{eq}} \frac{1}{r} \frac{\partial}{\partial r} r < p \sin \theta > \tag{31}$$

eliminate the commom part, we get,

$$\frac{\partial}{\partial t} < v_E > = -\frac{1}{r^2} \frac{\partial}{\partial r} r^2 < v_{\theta} v_r > + \frac{\beta}{n_{eq}} \frac{1}{r^2} \frac{\partial}{\partial r} r^2 < B_{\theta} B_r > - \frac{2\epsilon}{n_{eq}} < p \sin \theta > \tag{32}$$

and in the code, we use the alternative expression,

$$\frac{\partial}{\partial t} < v_E > = \frac{1}{r} < \phi \frac{\partial \Omega}{\partial \theta} > + \frac{\beta}{n_{eq}} \frac{1}{r} < A \frac{\partial A}{\partial \theta} > - \frac{2\epsilon}{n_{eq}} < p \sin \theta > \tag{33}$$

when we use the Fourier expansion,

$$\frac{\partial}{\partial t} < v_E > = \sum_{m1+m2=0} \phi_{m1} \Omega_{\theta, m2} + \sum_{m1+m2=0} A_{m1} j_{\theta, m2} - \frac{i\epsilon}{n_{eq}} (p_{1,0} - p_{-1,0}) \tag{34}$$

$$< p \sin \theta > = \frac{i}{2} (p_{1,0} - p_{-1,0}) \tag{35}$$

5 Appendix: equation for the evolution of $\langle p \sin \theta \rangle$

we can deduce the evolution of p with the derivative equation of n and T ,

$$\frac{\partial}{\partial t} p = R_Q + R_F + R_C + R_{NL} + R_{LD} + R_S \quad (36)$$

where,

$$\begin{aligned} R_Q &= a[(1+\tau)T_{eq}\frac{\partial n_{eq}}{\partial r} + n_{eq}\frac{\partial T_{eq}}{\partial r}]\nabla_\theta \phi + (1+\tau)\beta T_{eq}\frac{\partial j_0}{\partial r}\nabla_\theta A \\ R_F &= -(\Gamma+\tau)n_{eq}T_{eq}\nabla_\parallel v + (1+\tau)T_{eq}\nabla_\parallel j \\ R_C &= \omega_d((\Gamma+\tau)n_{eq}T_{eq}\phi) + \omega_d((2\Gamma-1)n_{eq}T_{eq}T) + \omega_d((\Gamma-1)-\tau(\tau+1))T_{eq}^2 n \\ R_{NL} &= -(1+\tau)T_{eq}[\phi, n] - n_{eq}[\phi, T] + (\Gamma+\tau)n_{eq}T_{eq}\beta[A, v] - (1+\tau)T_{eq}\beta[A, j] \\ R_{LD} &= -(\Gamma-1)\sqrt{\frac{8T_{eq}}{\pi}}n_{eq}|\nabla_\parallel|T \\ R_S &= (1+\tau)T_{eq}D_n\nabla_\perp^2 n + n_{eq}D_T\nabla_\perp^2 T \end{aligned} \quad (37)$$

with,

$$\begin{aligned} \langle \nabla_\theta f \sin \theta \rangle &= \int \frac{1}{r} \frac{\partial f}{\partial \theta} \sin \theta d\theta \\ &= -\frac{1}{r} \langle f \cos \theta \rangle \end{aligned} \quad (38)$$

$$\begin{aligned} \langle \nabla_\parallel f \sin \theta \rangle &= \int \frac{a}{R} (f_\theta/q + f_\zeta) \sin \theta d\theta d\zeta \\ &\approx -\frac{\epsilon}{q} \langle f \cos \theta \rangle \end{aligned} \quad (39)$$

$$\begin{aligned} \langle (\omega_d \cdot f) \sin \theta \rangle &= 2\epsilon \langle [r \cos \theta, f] \sin \theta \rangle \\ &= \frac{2\epsilon}{r} \langle \frac{\partial f}{\partial \theta} \cos \theta \sin \theta \rangle - \langle r \frac{\partial f}{\partial r} \sin^2 \theta \rangle \\ &= \epsilon \langle \frac{\partial f}{\partial r} \rangle - \langle (\frac{2f}{r} + \frac{\partial f}{\partial r}) \cos 2\theta \rangle \end{aligned} \quad (40)$$

we can convert the terms form to,

$$\begin{aligned} \langle R_Q \sin \theta \rangle &= a[(1+\tau)T_{eq}\frac{\partial n_{eq}}{\partial r} + n_{eq}\frac{\partial T_{eq}}{\partial r}] \langle \phi \cos \theta \rangle + (1+\tau)\beta T_{eq}\frac{\partial j_0}{\partial r} \langle A \cos \theta \rangle \\ \langle R_F \sin \theta \rangle &= (\Gamma+\tau)n_{eq}T_{eq}\frac{\epsilon}{q} \langle v \cos \theta \rangle - (1+\tau)T_{eq}\frac{\epsilon}{q} \langle j \cos \theta \rangle \\ \langle R_C \sin \theta \rangle &= \epsilon(\Gamma+\tau)p_{eq} \langle v_\theta \rangle + \epsilon(2\Gamma-1)p_{eq} \langle \frac{\partial T}{\partial r} \rangle + \epsilon((\Gamma-1)-\tau(\tau+1))T_{eq}^2 \langle \frac{\partial n}{\partial r} \rangle \\ \langle R_{LD} \sin \theta \rangle &= -(\Gamma-1)\sqrt{\frac{8T_{eq}}{\pi}}n_{eq}\frac{\epsilon}{q} \langle T \sin \theta \rangle \\ \langle R_{NL} \sin \theta \rangle &= -\langle ((1+\tau)T_{eq}[\phi, n] + n_{eq}[\phi, T]) \sin \theta \rangle \\ &\quad + \langle (\Gamma+\tau)n_{eq}T_{eq}\beta[A, v] \sin \theta \rangle - \langle (1+\tau)T_{eq}\beta[A, j] \sin \theta \rangle \end{aligned} \quad (41)$$