

Q1-a

Suppose there are four campers with  $w_1 = 1$ ,  $w_2 = 2$ ,  $w_3 = 3$  and  $w_4 = 4$ .

Assume that  $C$  is 5.

Campers 1 and 2 are two lightest campers, since  $1 < 2 < 3 < 4$ .

$w_1 + w_2 = 1 + 2 = 3 \leq 5$ , by the algorithm, the pair  $\{1, 2\}$  is added to the result set.

Since no camper can be in two canoes, campers 3 and 4 are two lightest campers in the rest of the campers.

$w_3 + w_4 = 3 + 4 = 7 > 5$ , by the algorithm, do nothing to the result set.

Then, the algorithm has covered all the campers. The algorithm stopped.

There is only one pair  $\{1, 2\}$  in the resulting set.

However, if we combined campers 1 and 4 together. Then combine campers 2 and 3 together.

$w_1 + w_4 = 1 + 4 = 5 \leq 5$ , which satisfies feasible condition (a)

$w_2 + w_3 = 2 + 3 = 5 \leq 5$ , which satisfies feasible condition (a)

There is no camper appearing in the different sets, which satisfies feasible condition (b).

Therefore, there exists a feasible set with two pairs in it.

Since there exists the feasible set with greater cardinality than the given algorithm, the algorithm in 1-a would not necessarily be an optimal set.

Q1-b

Promising Set Lemma: Let  $A_i$  be the set in  $A$  at the end of the  $i$ -th iteration.

For each iteration  $i$ ,  $A_i$  is constrained in some optimal set.

Proof: By induction on  $i$ .

Basis: for  $i = 0$

At the end of the 0-th iteration of the loop.(i.e. Just before entering the loop for the first time)

$A_0$  is an empty set, as wanted.

Induction Hypothesis: Assume that  $A_i$  is constrained in an optimal set  $A^*$ , for all  $i \geq 0$ .

Induction Step: want to show that  $A_{i+1}$  is constrained in the optimal set  $\hat{A}$ .

Suppose the **lightest** camper is **p** and **heaviest** camper is **q** in each iteration.

(i) if  $w_p + w_q > C$ ,

then by the algorithm,  $q$  is discarded and no pair is added to the result set.

$w_p + w_q > C \Rightarrow w_k + w_q > C$ , for any Camper  $k$  in the camp [since  $p$  is the lightest camper]

$\Rightarrow q$  cannot be paired with any camper in the camp to group a valid canoe.

Therefore, the optimal set would not include Camper  $q$ , and it would return the result of the  $i$ -th iteration.(i.e.  $A_{i+1} = A_i$ )

Let's choose  $\hat{A} = A^*$

$A_i$  is constrained in optimal set  $A^*$  [by I.H.]

$\Rightarrow A_{i+1}$  is constrained in optimal set  $A^*$  [by algorithm  $A_{i+1} = A_i$ ]

$\Rightarrow A_{i+1}$  is constrained in optimal set  $\hat{A}$  [by our choice for  $\hat{A}$ ]

as wanted.

(ii) if  $w_p + w_q \leq C$ ,

First want to prove: if the combined weight of the lightest and heaviest camper does not exceed  $C$ , there is an optimal set  $\hat{A}$  that contains a pair consisting of the two campers.

Case 1:  $A^*$  does not contain  $p$  and  $q$ .

Since  $w_p + w_q \leq C$  and  $p$  and  $q$  do not appear in the optimal set  $A^*$ , the pair  $\{p, q\}$  needs to be included in the optimal set  $A^*$  to form a maximum cardinal set, but  $A^*$  does not contain  $p$  and  $q$ , which is a contradiction.

Therefore, Case 1 would never happen.

Case 2:  $A^*$  contains both  $p$  and  $q$ .

If  $A^*$  contains  $(p, q)$ , then choose  $\hat{A} = A^*$ , where  $\hat{A}$  is an optimal set with pair  $(p, q)$ .

If  $p$  and  $q$  appears in  $A^*$  not in the same pair.

Suppose  $p$  is in  $(p, a)$  and  $q$  is in  $(b, q)$ .

$b$  and  $q$  in the same pair  $\Rightarrow w_b + w_q \leq C$

$\Rightarrow w_b + w_a \leq C$  [ $w_a \leq w_q$ , since  $q$  is the heaviest camper in the camp]

By the condition for case (ii) where  $w_p + w_q \leq C$ ,

Campers  $a, b, p, q$  can be regrouped into two pairs  $(p, q)$  and  $(a, b)$  without loss the generalization.

Let  $\hat{A} = A^* - \{(p, a)\} - \{(b, q)\} \cup \{(a, b)\} \cup \{(p, q)\}$

**$\hat{A}$  is feasible** since  $A^*$  is feasible and  $w_p + w_q \leq C$ ,  $w_a + w_b \leq C$ .

$|\hat{A}| = |A^*| - 2 + 2 = |A^*|$ , so  **$\hat{A}$  has the same number of pairs as the optimal set  $A^*$ .**

Therefore,  $\hat{A}$  is an optimal set with the pair  $\{p, q\}$ .

Case 3:  $A^*$  contains  $p$  but does **not contain  $q$** .

Suppose the pair with  $p$  in  $A^*$  is  $\{p, a\}$ .

Let  $\hat{A} = A^* - \{p, a\} \cup \{p, q\}$

**$\hat{A}$  is feasible** since  $A^*$  is feasible and  $q \notin A^*$  and  $w_p + w_q \leq C$ .

$|\hat{A}| = |A^*| - 1 + 1 = |A^*|$ , so  **$\hat{A}$  has the same number of pairs as the optimal set  $A^*$ .**

Therefore,  $\hat{A}$  is an optimal set with pair  $\{p, q\}$ .

Case 4:  $A^*$  contains  $q$  but does **not contain  $p$** .

Suppose the pair with  $q$  in  $A^*$  is  $\{a, q\}$ .

Let  $\hat{A} = A^* - \{a, q\} \cup \{p, q\}$

**$\hat{A}$  is feasible** since  $A^*$  is feasible and  $p \notin A^*$  and  $w_p + w_q \leq C$ .

$|\hat{A}| = |A^*| - 1 + 1 = |A^*|$ , so  **$\hat{A}$  has the same number of pairs as the optimal set  $A^*$ .**

Therefore,  $\hat{A}$  is an optimal set with pair  $\{p, q\}$ .

Therefore, if the combined weight of the lightest and heaviest camper does not exceed  $C$ , there is an optimal set  $\hat{A}$  that contains a pair consisting of the two campers.

$\Rightarrow \hat{A}$  contains  $A_i \cup \{p, q\} = A_{i+1}$  (by construction of the algorithm).

Therefore  $\hat{A}$  is an optimal set that contains all pairs in  $A_{i+1}$ , as wanted.

Q1-c

The algorithm does not work.

Here is a counter example.

Suppose there are eight campers with  $w_1 = 1, w_2 = 2, w_3 = 3, w_4 = 4, w_5 = 5, w_6 = 7, w_7 = 10$  and  $w_8 = 11$ .

Assume that  $C$  is 23.

Two lightest:  $w_1$  and  $w_2$

Two heaviest:  $w_7$  and  $w_8$

Combining weight of two lightest and two heaviest:  $w_1 + w_2 + w_7 + w_8 = 1 + 2 + 10 + 11 = 24 > 23$

Then discard the heaviest camper 8.

Recursively applying the algorithm, we would get the final optimal set  $\{(1, 2, 7, 10)\}$  of size 1.

However, if we group campers 1, 3, 6, 8 together and Campers 2, 4, 5, 7 together.

$$w_1 + w_3 + w_6 + w_8 = 1 + 3 + 7 + 11 = 22 < 23$$

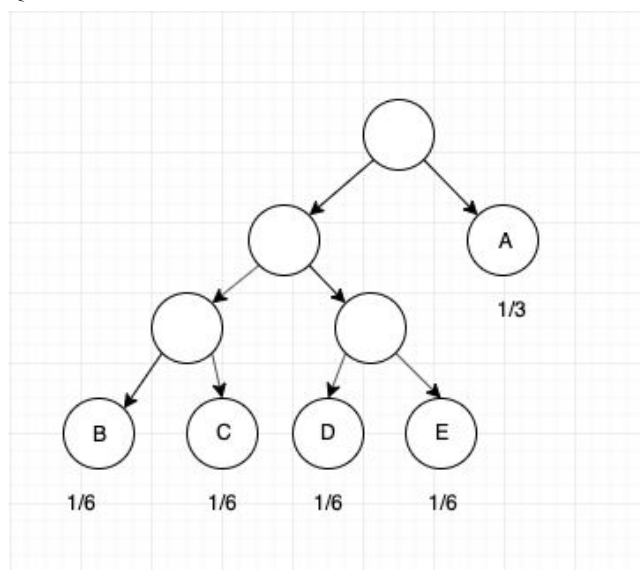
$$w_2 + w_4 + w_5 + w_7 = 2 + 4 + 5 + 10 = 21 < 23$$

Every camper only appears in one canoe.

Therefore, there exists a set  $\{(1, 3, 6, 8), (2, 4, 5, 7)\}$  of size two, which contains more groups than the result set of the algorithm.

Hence, the algorithm does not always provide an optimal solution.

Q2-a



alphabet = {A,B,C,D,E}

frequence = {1/3, 1/6, 1/6, 1/6, 1/6}

Q2-b

Define:  $\text{fre}(x) = \begin{cases} \text{frequency of } x, & \text{if } x \text{ is a leaf} \\ \text{Sum of all frequencies of leaves of the subtree root at } x, & \text{if } x \text{ is an internal node} \end{cases}$

Fact 1:  $\text{fre}(T) = 1$  when  $T$  is the root of the original tree generated by Huffman's algorithm.

Fact 2:  $\text{fre}(x) > \text{fre}(y) \Rightarrow \text{depth}(x) \leq \text{depth}(y)$

Proof by contradiction.

Assume  $\exists$  a set of symbols s.t. every symbol has frequency (strictly) less than 1/3 and Huffman's algorithm **can** produce a codeword of length 1.

Let  $n$  be the size of the set of symbols.

Suppose  $x$  is the symbol with codeword of length 1

Case 1:  $n = 1$

$x$  is the only node in the tree

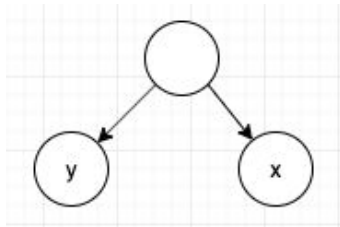
$\Rightarrow x$  is the root of the Tree

$\Rightarrow \text{fre}(x) = \text{fre}(T) = 1 \geq 1/3$

by fact1

=> contradiction

Case 2:  $n = 2$



$$\Rightarrow \text{fre}(T) = \text{fre}(y) + \text{fre}(x) = 1$$

by fact 1

$$\Rightarrow \text{fre}(y) = 1 - \text{fre}(x)$$

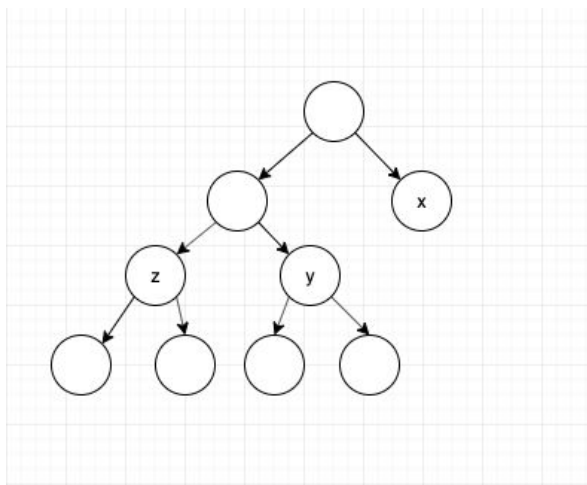
$$\Rightarrow \text{fre}(y) > 1 - 1/3$$

all nodes have frequency less than  $1/3$  include  $x$  (assumption)

$$\Rightarrow \text{fre}(y) > 2/3 \geq 1/3$$

=> contradiction

Case 3:  $n \geq 3$



$$\text{depth}(y) > \text{depth}(x) \text{ and } \text{depth}(z) > \text{depth}(x)$$

$$\Rightarrow \text{fre}(y) \leq \text{fre}(x) \text{ and } \text{fre}(z) \leq \text{fre}(x)$$

[by contrapositive of Fact 2]

$$\Rightarrow \text{fre}(T) = \text{fre}(z) + \text{fre}(y) + \text{fre}(x) \leq \text{fre}(x) + \text{fre}(x) + \text{fre}(x)$$

$$\Rightarrow 3\text{fre}(x) \geq \text{fre}(T) = 1$$

$$\Rightarrow \text{fre}(x) \geq 1/3$$

=> contradiction

Therefore, our assumption is False,  $\forall$  set of symbols, if every symbol has frequency (strictly) less than  $1/3$ , Huffman's algorithm **cannot** produce a codeword of length 1.

Q3-a

Let  $n(v)$  be the number of minimum-weight paths from start node to  $v$

Modified algorithm as following:

$R := \emptyset$ ;  $d(s) := 0$ ;  $\mathbf{n(s) := 1}$ ;

for each  $v \neq s$  do

$d(v) := \infty$ ;  $\mathbf{n(v) := 0}$ ;

while  $R \neq V$  do

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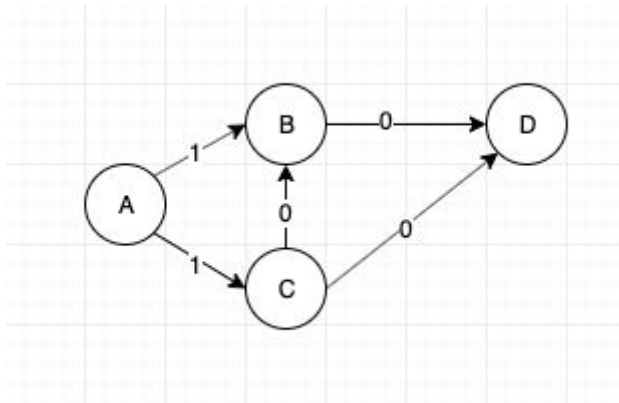
u := node not in R with min d-value
R := R ∪ {u}
for each v s.t (u,v) ∈ E do
  if d(v) > d(u) + wt(u,v) then
    d(v) := d(u) + wt(u,v)
    n(v) = n(u)
  Else if d(v) == d(u) + wt(u,v) then
    n(v) = n(v) + n(u)

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Q3-b

Above algorithm won't work with zero weight edges!

Below is a counter example:



Let's trace this:

	A	B	C	D
R	-	-	-	-
d	0	$\infty$	$\infty$	$\infty$
n	1	0	0	0

R	+	-	-	-
d	0	1	1	$\infty$
n	1	1	1	0

R	+	+	-	-
d	0	1	1	1
n	1	1	1	1

R	+	+	-	+
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d	0	1	1	1
n	1	1	1	1

R	+	+	+	+
d	0	1	1	1
n	1	2	1	2

After the algorithm is finished, we can see  $n(D) = 2$ . However there are three minimum-weight paths from A to D: A->B->D, A->C->D and A->C->B->D.