

# AU 332 ARTIFICIAL INTELLIGENCE: PRINCIPLES AND TECHNIQUES

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HW#: 1

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## I. INTRODUCTION

### A. Purpose

The goal of homework 1 is to design an agent to play Chinese Checker, which will exemplify the **Minimax Algorithm**, **alpha-beta pruning** and the use of **heuristic functions** to prune the adversarial search.

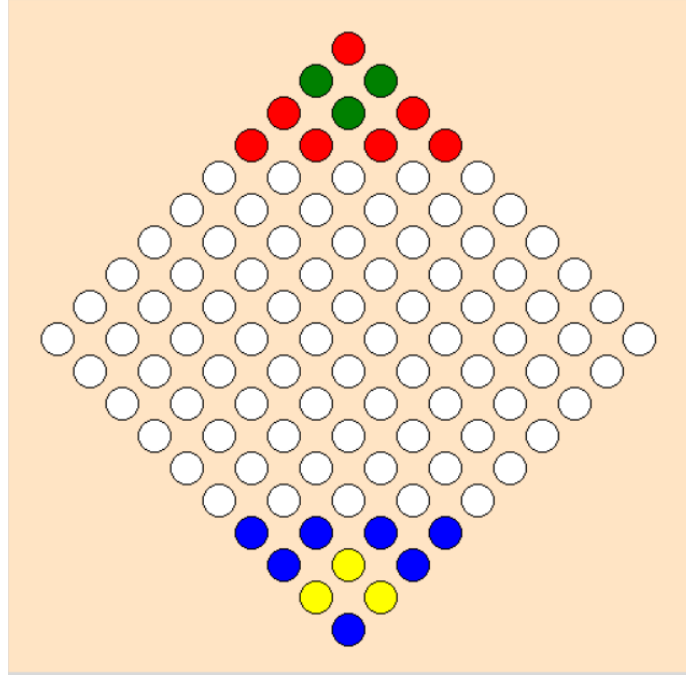


FIG. 1: our checker board

Unlike the traditional Chinese Checker game, each player has two types of marbles with different colors in our game. The Checker board is shown in Figure 1. The purpose of our agent is not only to move all the marbles into opponents' home, namely the triangle area at the top(bottom), but also to ensure that each type of marbles is in the right position respectively.

In order to beat our opponent, our agent must achieve the goal above faster than its opponent. Also, there are some extra constraints listed below:

- One second is limited for each action.
- In order to prevent the situation that one of the players may not leave its triangle and prevent the opponent to occupy its space, after 100 turns, if any of the marbles of one player are still in its own triangle, the player loses its game immediately.

### B. Equipment

1. Ubuntu 18.04
2. Python 3.8.3

### C. Procedure

1. Get familiar with the utility functions and variables in the code.
2. Implement the **Minimax Algorithm** and **alpha-beta pruning**.
3. Work out some potential evaluating methods.
4. Design the evaluating function and test it.
5. Refine the evaluating function and **Minimax Algorithm**.
6. Complete our FlappyAgent and write the report.

## II. IMPLEMENTATION

### A. Evaluating function

In order to make full use of the **Minimax Algorithm**, we must design a robust evaluating function. Apparently, the vertical distance from home( $V_v$ ) is the most important factor because it directly determines the game result; hence we should attach a big coefficient to it. Notably, we also have to put each type of marbles into right position, thus designing a reward function( $V_r$ ) listed in Equation (1).

$$V_r = \begin{cases} V_r + 5 & \text{for marble in right position} \\ V_r - 5 & \text{for marble in wrong position} \end{cases} \quad (1)$$

Since stepping into a wrong position will reduce the reward, the marbles can ultimately find the right one. Besides, we also consider other factors like horizontal distance( $V_h$ ) when playing Chinese Checker. We define it intuitively as the sum of horizontal distance from the middle column because the smaller horizontal distance are, the more chance marbles can step over each other. However, when we are in the middle, we also provide chance for our opponent. Therefore, the weight of horizontal distance should not be too large. The final factor we take into account is the row variance( $V_{var}$ ), which is defined in Equation (2).

$$V_{var} = \sum_i |r_i - \bar{r}| \quad (2)$$

where  $r_i$  means row coordinate of marble i and  $\bar{r}$  means the average row coordinate.

This factor can ensure that all marbles move forward in a whole in case that one marble has to move step by step in the end. Consequently, we get the value of our state shown in Equation (3). Do the same for the opponent except for the reward function and then subtract them to derive our evaluating function.

$$V = w_v V_v + w_h V_h + w_{var} V_{var} + V_r \quad (3)$$

### B. Minimax Algorithm and alpha-beta pruning

Minimax alpha-beta pruning evaluates the local subtree rather than the entire subtrees of a node to decide its value. It uses two dynamically computed bounds alpha and beta to bound the values that nodes can take. Alpha is the minimum value that the max player is guaranteed (regardless of what the min player does) through another path throughout the game tree. This value is used to implement pruning at the minimizing levels. When the min player has discovered that the score of a min node would necessarily be less than

alpha, it need not evaluate any more choices from that node because the max player already has a better move (the one which has value alpha).

Beta is the maximum value that the min player is guaranteed and is used to implement pruning at the maximizing levels. When the max player has discovered that the score of a max node would necessarily be greater than beta, it can stop evaluating any more choices from that node because the min player would not allow it to take this path since the min player already has a path that guarantees a value of beta. The pseudocode of this algorithm is shown in Figure 2.

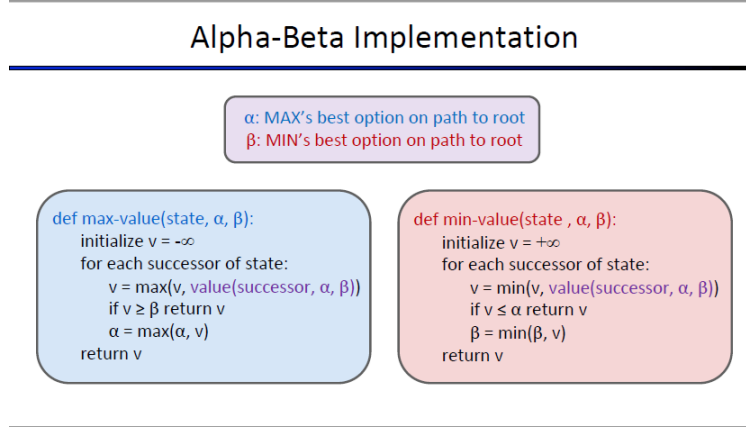


FIG. 2: pseudocode

### C. Get action

Initially, we decide to employ **Monte Carlo Tree Search**, which proves useful in similar games like Go. Nevertheless, due to the computation time limit, we cannot use it directly. Instead, we try to apply one of its ideas to our strategy that it only searches nodes that have potential to win. Therefore, we first sort all the valid actions by their step length and only choose the toppest 20 actions to compute, which boosts our algorithm. Besides, we get rid of those actions leading to a big step backward.

To make full use of the one second, we can gradually increase the searching depth of **Minimax Algorithm** from 2. As long as we get a better action with **Minimax Algorithm**, we renew the chosen action immediately. Sometimes, we may get stuck in some situations. To prevent that, we store the last action we made and disregard the action leading to our last state.

## III. EXPERIMENT

As shown in Figure 3, our FlappyAgent beats SimpleGreedyAgent by 10:0.

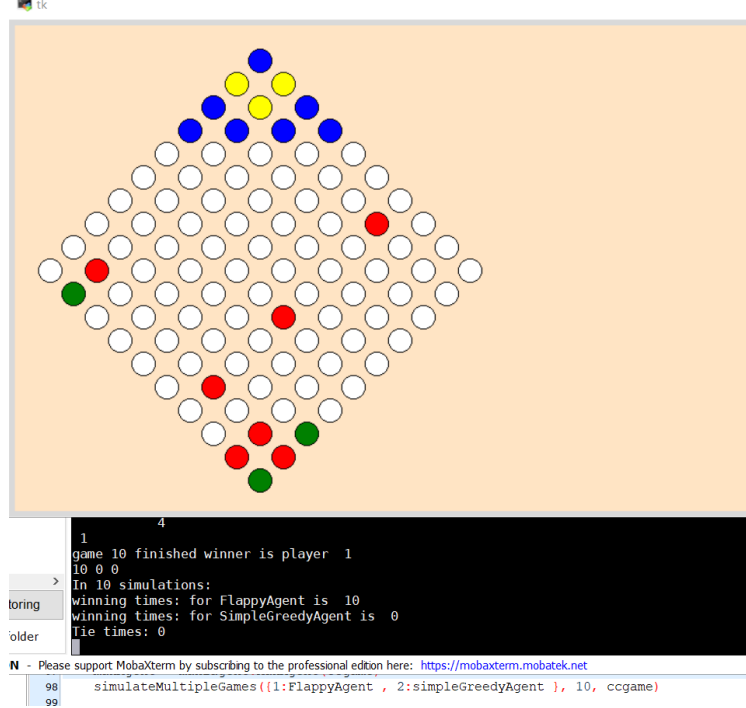


FIG. 3: game with SimpleGreedyAgent

#### IV. DISCUSSION & CONCLUSION

Though we can beat the SimpleGreedyAgent by 10:0 at ease, there is still much improvement we can do. For example, we think we can divide the game into two stages. In the first stage, we can step over both our marbles and opponents' and the same for the opponent. Therefore, the **Minimax Algorithm** can be useful. However, in the second stage, marbles of two players leave apart from each other, so it is unnecessary for us to consider opponents' marbles. Since we only need to focus on ourselves, the computation cost is diminished a lot. Actually, we try to implement this idea in our code(see line 253 and below in V). Unfortunately, we failed to achieve a stable performance for lack of time.

Finally, thanks to Prof Gao, TA and those open-source programs on Github. We hope to compete with other students and acquire some inspirations from them.

## V. APPENDIX

agent.py:

```

1 import random, re, datetime, copy
2 from queue import PriorityQueue
3
4 class Agent(object):
5     def __init__(self, game):
6         self.game = game
7
8     def getAction(self, state):
9         raise Exception("Not implemented yet")
10
11 class RandomAgent(Agent):
12     def getAction(self, state):
13         legal_actions = self.game.actions(state)
14         self.action = random.choice(legal_actions)
15
16 class SimpleGreedyAgent(Agent):
17     # a one-step-lookahead greedy agent that returns action with max vertical advance
18     def getAction(self, state):
19         legal_actions = self.game.actions(state) # actions: [pos, new_pos]
20
21         self.action = random.choice(legal_actions)
22
23         player = self.game.player(state) # state: [player, board]
24         if player == 1: # choose action with the biggest step
25             max_vertical_advance_one_step = max([action[0][0] - action[1][0] for action in legal_actions])
26             max_actions = [action for action in legal_actions if
27                             action[0][0] - action[1][0] == max_vertical_advance_one_step]
28         else:
29             max_vertical_advance_one_step = max([action[1][0] - action[0][0] for action in legal_actions])
30             max_actions = [action for action in legal_actions if
31                             action[1][0] - action[0][0] == max_vertical_advance_one_step]
32         self.action = random.choice(max_actions)
33
34 class FlappyAgent(Agent):
35     def __init__(self, game):
36         super().__init__(game)
37         self.lastaction = None # my last action
38         self.exp_depth = 2 # current exploration depth, start from 2
39         self.end = 0 # not used
40         self.breadth = 20 # the maximal breadth one layer extends
41
42     def getAction(self, state):
43         legal_actions = self.game.actions(state)
44         self.action = random.choice(legal_actions)
45
46         player = self.game.player(state)
47
48         depth = 2 # initial exploration depth
49         self.exp_depth = depth
50
51         value = -1e5 # namely negative infinity
52
53         pq = PriorityQueue() # sort legal actions
54         for action in legal_actions:
55             if player == 1:
56                 if action[0][0] > 3: # constrain the most rows one piece can step backward in order to prune
57                     if action[0][0] - action[1][0] < -1:
58                         continue
59                 else:
60                     if action[0][0] - action[1][0] < -2:
61                         continue
62             else:
63                 if action[0][0] < 17:
64                     if action[0][0] - action[1][0] > 1:
65                         continue
66             else:
67                 if action[0][0] - action[1][0] > 2:
68                     continue
69
70             if self.lastaction != None and action[0] == self.lastaction[1] and action[1] == self.lastaction[0]: # prevent being stuck
71                 continue
72             pq.put((-2*player-3)*(action[1][0]-action[0][0]), action) # sort by step length
73         next_pq = PriorityQueue()
74         while True:
75             cnt = 0
76             while self.breadth > cnt and not pq.empty():
77                 action = pq.get()[1]
78                 if self.getValue(self.game.succ(state, action), player) == 1e4: # I win
79                     self.action = action
80                     break
81                 cnt += 1
82                 next_value = self.minimax(value, 1e5, self.game.succ(state, action), depth, player) # player is always me
83                 next_pq.put((-next_value, action))
84                 if next_value > value:
85                     value = next_value
86                     self.action = action
87
88         self.lastaction = self.action
89         depth -= 1 # if there is time left, increase the exploration depth
90         self.exp_depth = depth
91         del pq
92         pq = PriorityQueue() # renew the priority queue
93         while not next_pq.empty():
94             pq.put(next_pq.get())
95

```

```

97
98
99
100 def getValue(self, state, player):
101     board = state[1]
102     my_pos = board.getPlayerPiecePositions(player)
103     enemy_pos = board.getPlayerPiecePositions(3-player)
104     my_dist_from_home = 0 # the average of vertical distance of all my pieces from home
105     enemy_dist_from_home = 0
106     my_col_dist = 0 # the sum of horizontal distance of some pieces from the middle column
107     enemy_col_dist = 0
108     var = 0 # the variance of my rows
109     var2=0
110     value=0 # reward for arrived pieces
111     value2=0
112
113     my_arr_num=0 # the number of arrived pieces
114     #enemy_arr_num=0
115     if player==1:
116         special_loc1=[(2,1),(2,2),(3,2)] # terminal for three special pieces
117         # special_loc2=[(18,1),(18,2),(17,2)]
118         for pos in my_pos:
119             my_dist_from_home += (20 - pos[0])/10.0 # average distance
120
121             if pos[0]<=4:
122                 if pos in special_loc1: # get reward if arriving at right terminal, lose reward if arriving at wrong terminal
123                     if board.board_status[pos] == 3:
124                         value+=5
125                         my_arr_num+=1
126                     else:
127                         value-=5
128                 else:
129                     if board.board_status[pos] == 1:
130                         value+=5
131                         my_arr_num+=1
132                     else:
133                         value-=5
134                         if pos[0]==1:
135                             value-=50
136             else:
137                 if pos[0]&1:
138                     if pos[1]==(board.getColNum(pos[0])+1)/2: # the exact middle column may lead to "stuck" situation
139                         my_col_dist+=1
140                     else:
141                         my_col_dist += abs(pos[1]-(board.getColNum(pos[0])+1)/2)-1
142                 else:
143                     my_col_dist+=abs(pos[1]-(board.getColNum(pos[0])+1)/2)
144
145         for pos in enemy_pos: # the same for enemy except for the reward
146             enemy_dist_from_home+=pos[0]/10.0
147             if pos[0]<16:
148                 if pos[0]&1:
149                     if pos[1]==(board.getColNum(pos[0])+1)/2:
150                         enemy_col_dist+=1
151                     else:
152                         enemy_col_dist += abs(pos[1]-(board.getColNum(pos[0])+1)/2)-1
153                 else:
154                     enemy_col_dist+=abs(pos[1]-(board.getColNum(pos[0])+1)/2)
155         for pos in my_pos:
156             var += abs(20-pos[0] - my_dist_from_home) # wrong
157         for pos in enemy_pos:
158             var2 += abs(pos[0] - enemy_dist_from_home)
159     else:
160         #special_loc2=[(2,1),(2,2),(3,2)]
161         special_loc1=[(18,1),(18,2),(17,2)]
162         for pos in my_pos:
163             my_dist_from_home += pos[0] /10.0
164
165             if pos[0]>=16:
166                 # value+=10
167                 if pos in special_loc1:
168                     if board.board_status[pos] == 4:
169                         value+=5
170                         my_arr_num+=1
171                     else:
172                         value-=5
173                 else:
174                     if board.board_status[pos] == 2:
175                         value+=5
176                         my_arr_num+=1
177                     else:
178                         value-=5
179                         if pos[0]==19:
180                             value-=50
181             else:
182                 if pos[0]&1:
183                     if pos[1]==(board.getColNum(pos[0])+1)/2:
184                         my_col_dist+=1
185                     else:
186                         my_col_dist += abs(pos[1]-(board.getColNum(pos[0])+1)/2)-1
187                 else:
188                     my_col_dist+=abs(pos[1]-(board.getColNum(pos[0])+1)/2)
189         for pos in enemy_pos:
190             enemy_dist_from_home+=20-pos[0]
191             if pos[0]>4:
192                 if pos[0]&1:
193                     if pos[1]==(board.getColNum(pos[0])+1)/2:
194                         enemy_col_dist+=1
195                     else:
196                         enemy_col_dist += abs(pos[1]-(board.getColNum(pos[0])+1)/2)-1
197                 else:
198                     enemy_col_dist+=abs(pos[1]-(board.getColNum(pos[0])+1)/2)

```

```

199         for pos in my_pos:
200             var += abs(pos[0] - my_dist_from_home)
201         for pos in enemy_pos:
202             var2 += abs(20-pos[0] - enemy_dist_from_home)
203     if my_ar_num==10: # I win
204         return le4
205     #if enemy_ar_num==10: # I lose
206     #return -le4
207     score = 24*(my_dist_from_home-enemy_dist_from_home) - 0.2 * (var-var2)-0.6*(my_col_dist-enemy_col_dist)+value # --value2
208     return score
209
210
211 def minimax(self, alpha, beta, state, depth, player):
212     if depth==0:
213         return self.getValue(state, player)
214     depth -= 1
215     if state[0]!=player: # it's enemy's turn
216         if depth != self.exp_depth-1:
217             if self.getValue(state,player)==le4: # I win
218                 return le4
219
220         actions = self.game.actions(state)
221         pq = PriorityQueue()
222         for action in actions: # enemy's actions
223             pq.put(((2 * player-3) * (action[1][0] - action[0][0]), action))
224         cnt=0
225         value=-le5
226         while self.breadth>cnt and not pq.empty():
227             action=pq.get()[1]
228             cnt+=1
229             value=min(value, self.minimax(alpha,beta, self.game.succ(state,action), depth, player)) # min node
230             if value<=alpha: # prune
231                 return value
232             beta=min(beta,value)
233         return value
234     else: # it's my turn
235         #if self.getValue(state,player) == -le4:
236         #return -le4
237         actions=self.game.actions(state)
238         value=-le5
239         pq=PriorityQueue()
240         for action in actions: # my actions
241             pq.put((-2 * player-3) * (action[1][0] - action[0][0]), action))
242         cnt=0
243         while self.breadth>cnt and not pq.empty():
244             action=pq.get()[1]
245             cnt+=1
246             value=max(value, self.minimax(alpha,beta, self.game.succ(state,action), depth, player)) # max node
247             if value>=beta: # prune
248                 return value
249             alpha=max(alpha,value)
250         return value
251
252     ''' For lack of time, the functions below are not used but they may have certain potential to improve our agent. '''
253
254 def GetFirstLastPiece(self, all_pos, player):
255     first = all_pos[0][0]
256     last = all_pos[0][0]
257     if player==1:
258         for pos in all_pos:
259             first = min(first, pos[0])
260             last = max(last, pos[0])
261     else:
262         for pos in all_pos:
263             first = max(first, pos[0])
264             last = min(last, pos[0])
265     return first, last
266
267 def near_end(self, my_last, enemy_last, player):
268     return enemy_last > my_last if player == 1 else my_last > enemy_last
269
270 def midtime(self, my_first, enemy_first, player):
271     return my_first - enemy_first <= 2 if player == 1 else enemy_first - my_first <= 2
272
273 def maximax(self, state, depth, player):
274     if depth==0:
275         return self.getValue2(state, player)
276     if self.getValue2(state,player)==le4:
277         return le4 # *depth
278     depth -= 1
279
280     actions=self.game.actions(state)
281     value=-le5
282     pq=PriorityQueue()
283     for action in actions: # my actions
284         pq.put((-2 * player-3) * (action[1][0] - action[0][0]), action))
285     cnt=0
286     while self.breadth>cnt and not pq.empty():
287         action=pq.get()[1]
288         cnt+=1
289         value=max(value, self.maximax(self.pseudo_succ(state,action), depth, player))
290     return value
291
292
293 def getValue2(self, state, player): # suppose depth is even and this is always my turn
294     board = state[1]
295     my_pos = board.getPlayerPiecePositions(player)
296     my_dist_from_home = 0 # the average of vertical distance of all my pieces from home
297     my_col_dist = 0 # the sum of horizontal distance of some pieces from the middle column
298     var = 0 # the variance of my rows
299     value=0 # reward for arrived pieces
300     my_ar_num=0 # the number of arrived pieces

```



```

301     if player==1:
302         special_loc1=[(2,1),(2,2),(3,2)] # terminal for three special pieces
303         for pos in my_pos:
304             my_dist_from_home += (20 - pos[0])/10.0 # average distance
305
306         if pos[0]<=4:
307             if pos in special_loc1: # get reward if arriving at right terminal, lose reward if arriving at wrong terminal
308                 if board.board_status[pos] == 3:
309                     value+=5
310                     my_arr_num+=1
311                 else:
312                     value-=10
313             else:
314                 if board.board_status[pos] == 1:
315                     value+=5
316                     my_arr_num+=1
317                 else:
318                     value-=5
319                     if pos[0]==1:
320                         value-=5
321             else:
322                 if pos[0]&1:
323                     if pos[1]==(board.getColNum(pos[0])+1)/2: # the exact middle column may lead to "stuck" situation
324                         my_col_dist+=1
325                     else:
326                         my_col_dist += abs(pos[1]-(board.getColNum(pos[0])+1)/2)-1
327                 else:
328                     my_col_dist+=abs(pos[1]-(board.getColNum(pos[0])+1)/2)
329
330         for pos in my_pos:
331             var += abs(20-pos[0] - my_dist_from_home)
332
333     else:
334         special_loc1=[(18,1),(18,2),(17,2)]
335         for pos in my_pos:
336             my_dist_from_home += pos[0] /10.0
337
338         if pos[0]>=16:
339             if pos in special_loc1:
340                 if board.board_status[pos] == 4:
341                     value+=5
342                     my_arr_num+=1
343                 else:
344                     value-=10
345             else:
346                 if board.board_status[pos] == 2:
347                     value+=5
348                     my_arr_num+=1
349                 else:
350                     value-=5
351                     if pos[0]==19:
352                         value-=5
353             else:
354                 if pos[0]&1:
355                     if pos[1]==(board.getColNum(pos[0])+1)/2:
356                         my_col_dist+=1
357                     else:
358                         my_col_dist += abs(pos[1]-(board.getColNum(pos[0])+1)/2)-1
359                 else:
360                     my_col_dist+=abs(pos[1]-(board.getColNum(pos[0])+1)/2)
361
362         for pos in my_pos:
363             var += abs(pos[0] - my_dist_from_home)
364     if my_arr_num==10: # I win
365         return 1e4
366
367     score = 24*my_dist_from_home - 0.2 * var - 0.6*my_col_dist+value
368     return score
369
370 def pseudo_succ(self, state, action): # always my turn, regardless of enemy's action
371     board = copy.deepcopy(state[1])
372     board.board_status[action[1]] = board.board_status[action[0]]
373     board.board_status[action[0]] = 0
374     return (state[0], board)

```