

Shortest Path I: Dijkstra

Instructor: Meng-Fen Chiang

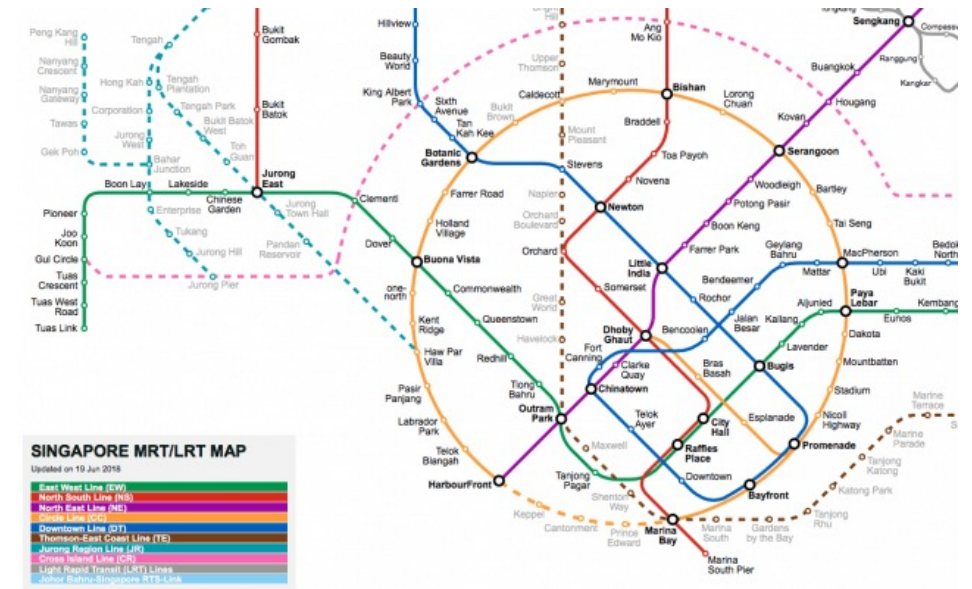
COMPCSI220: WEEK 11



Slides adapted from Mark Wilson, Georgy Gimel'farb, Simone Linz and Tanya Gvozdeva

OUTLINE

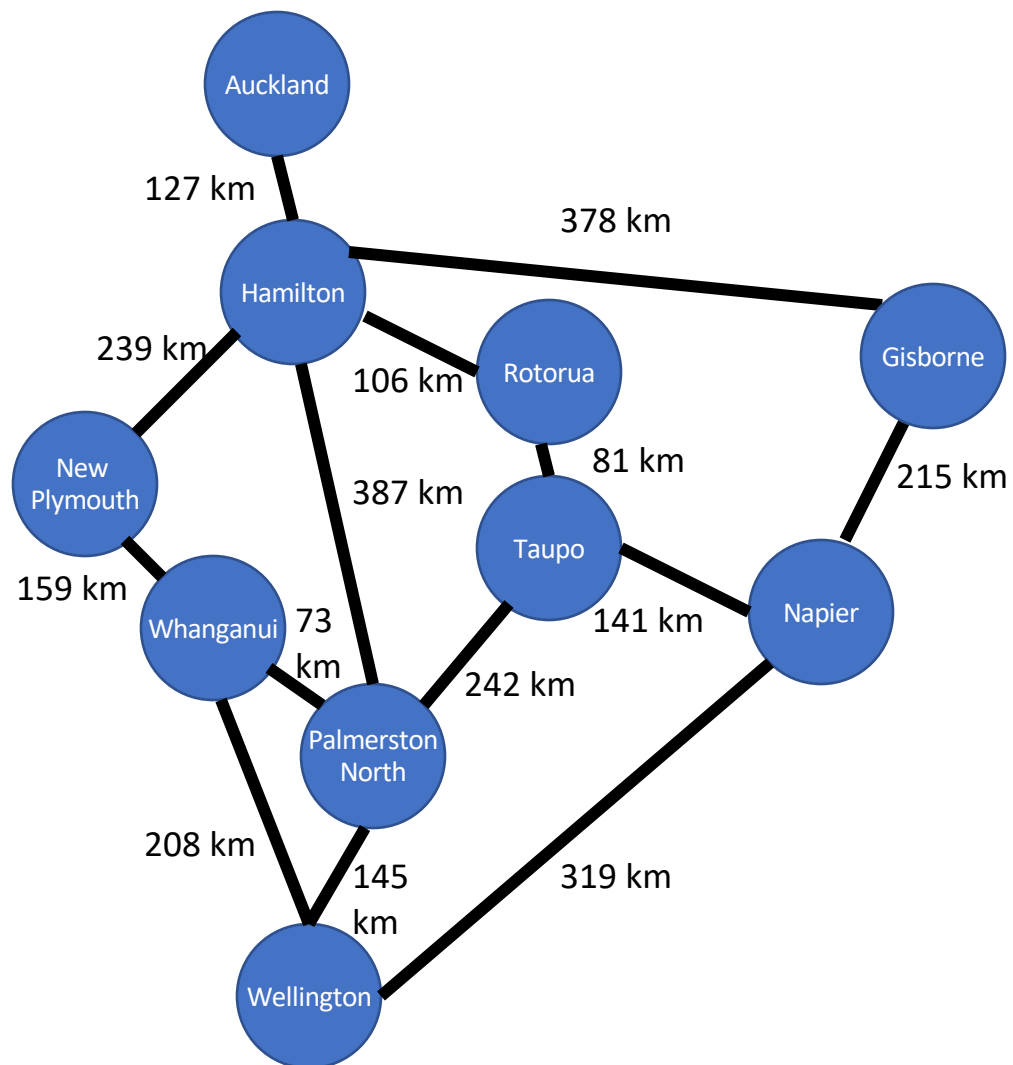
- Weighted Graphs
 - Representation
 - Weight as cost functions
- Algorithms on Weighted Graphs
 - Dijkstra
 - Bellman-Ford
 - Floyd-Warshall



Weighted (Di)graphs

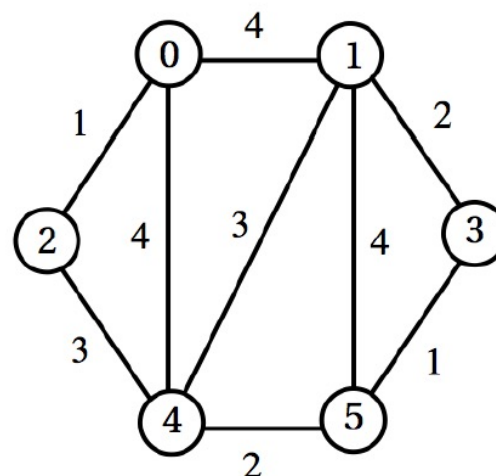
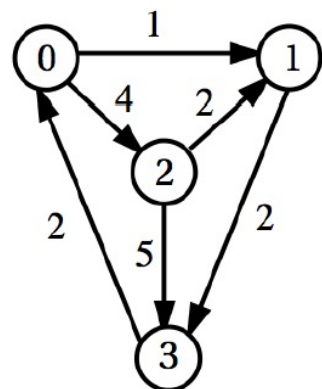
- Very common in applications, also called “networks”. Optimization problems on networks are important in operations research.
- Each arc carries a real number “weight”, usually positive, can be $+\infty$. Weight typically represents cost, distance, time. We may use the words “weight” and “cost” interchangeably in the slides.
- Representation: weighted adjacency matrix or double adjacency list.
- Standard problems concern finding a minimum or maximum weight path between given nodes (covered here), spanning tree, cycle or tour (e.g TSP), matching, flow, etc.

Example: Weighted Graph



Source of distances: Google Maps

Computer Representations of Weighted Digraphs

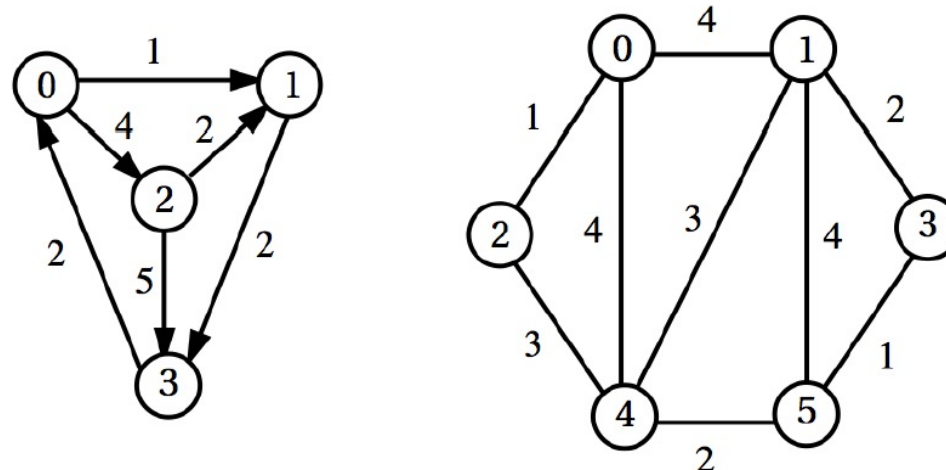


Weighted Adjacency Matrices (Cost Matrices):

$$\begin{bmatrix} 0 & 1 & 4 & 0 \\ 0 & 0 & 0 & 2 \\ 0 & 2 & 0 & 5 \\ 2 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 4 & 1 & 0 & 4 & 0 \\ 4 & 0 & 0 & 2 & 3 & 4 \\ 1 & 0 & 0 & 0 & 3 & 0 \\ 0 & 2 & 0 & 0 & 0 & 1 \\ 4 & 3 & 3 & 0 & 0 & 2 \\ 0 & 4 & 0 & 1 & 2 & 0 \end{bmatrix}$$

Computer Representations of Weighted Digraphs



Weighted (Double) Adjacency Lists:

1	1	2	4
3	2		
1	2	3	5
0	2		

1	4	2	1	4	4		
0	4	3	2	4	3	5	4
0	1	4	3				
1	2	5	1				
0	4	1	3	2	3	5	2
1	4	3	1	4	2		

Example: Distance Matrix Representation

	Auckland	Gisborne	Hamilton	Napier	New Plymouth	Palmerston North	Rotorua	Taupo	Wellington	Whanganui
Auckland	0	∞	127	∞	∞	∞	∞	∞	∞	∞
Gisborne	∞	0	378	215	∞	∞	∞	∞	∞	∞
Hamilton	127	378	0	∞	239	387	106	∞	∞	∞
Napier	∞	215	∞	0	∞	∞	∞	141	319	∞
New Plymouth	∞	∞	239	∞	0	∞	∞	∞	∞	159
Palmerston North	∞	∞	387	∞	∞	0	∞	242	145	73
Rotorua	∞	∞	106	∞	∞	∞	0	81	∞	∞
Taupo	∞	∞	∞	141	∞	242	81	0	∞	∞
Wellington	∞	∞	∞	319	∞	145	∞	∞	0	208
Whanganui	∞	∞	∞	∞	159	73	∞	∞	208	0

Example: Adjacency List Representation

Auckland: Hamilton, 127

Gisborne: Hamilton, 378, Napier, 215

Hamilton: Auckland, 127, Gisborne 378, New Plymouth, 239, Palmerston North, 387, Rotorua, 106

Napier: Gisborne, 215, Taupo, 141, Wellington, 319

New Plymouth: Hamilton, 239, Whanganui, 159

Palmerston North: Hamilton, 387, Taupo, 242, Whanganui, 73, Wellington, 145

Rotorua: Hamilton, 106, Taupo, 81

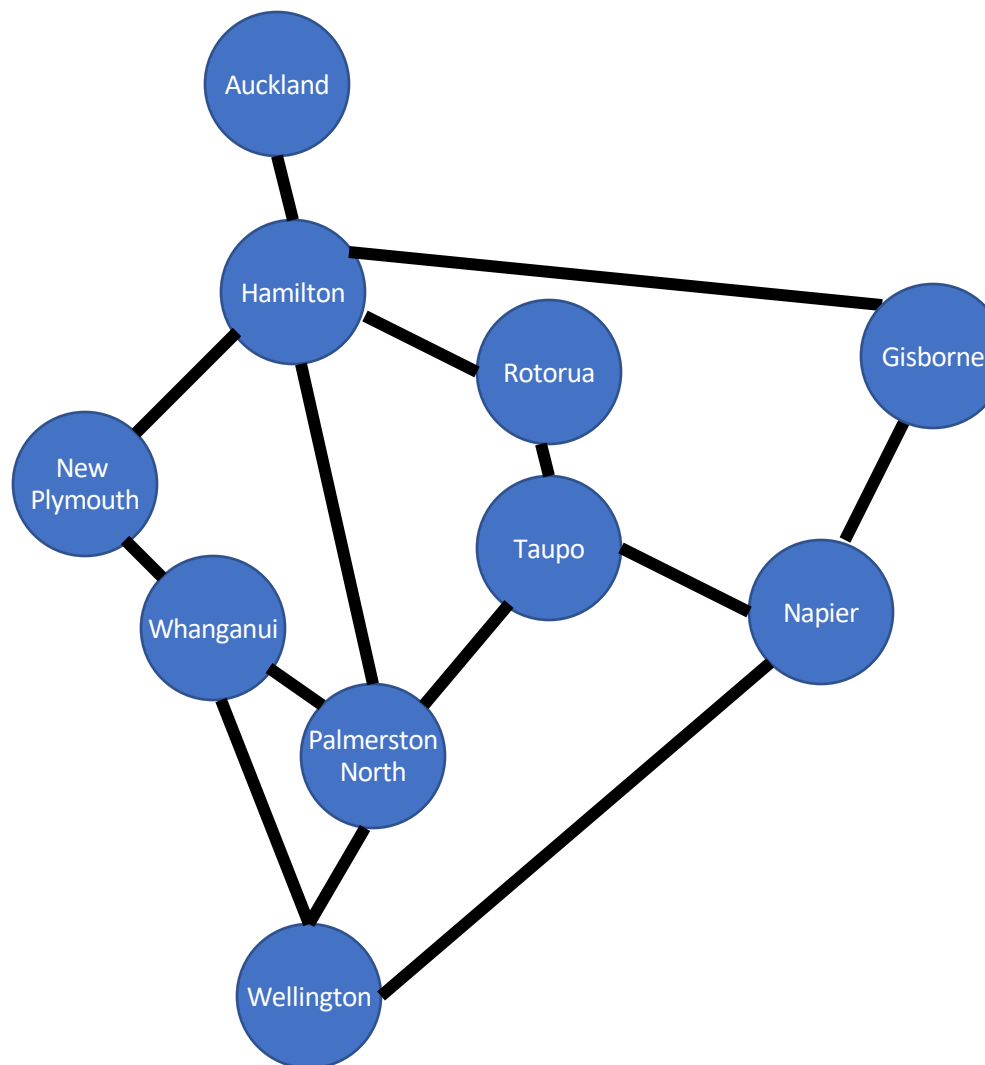
Taupo: Napier, 141, Palmerston North, 242, Rotorua, 81

Wellington: Napier, 319, Palmerston North, 145, Whanganui, 208

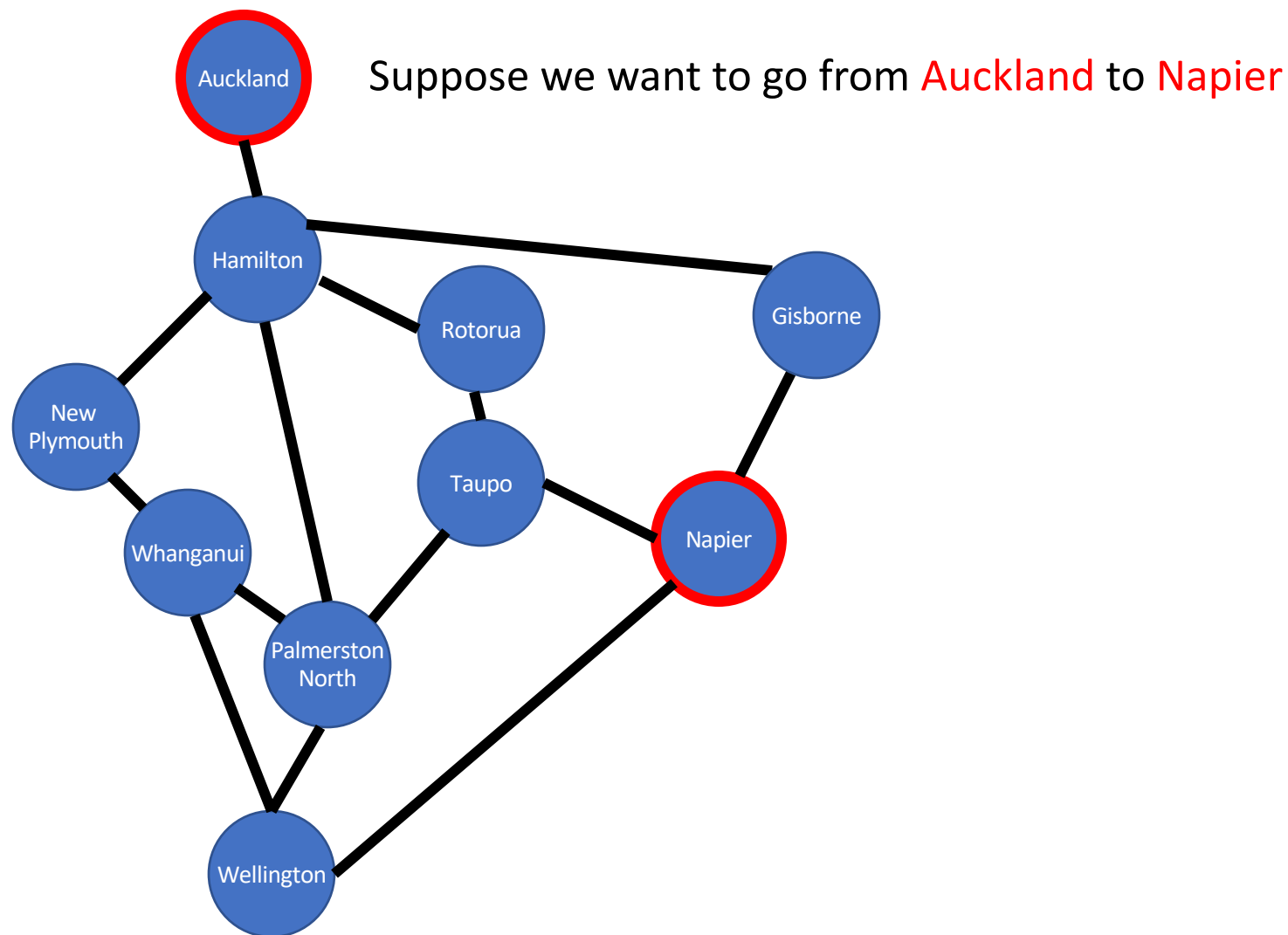
Whanganui: New Plymouth, 159, Palmerston North, 73, Wellington, 208

Essentially, we need the same number of extra storage spaces as there are objects, so the fundamental complexity does not change!

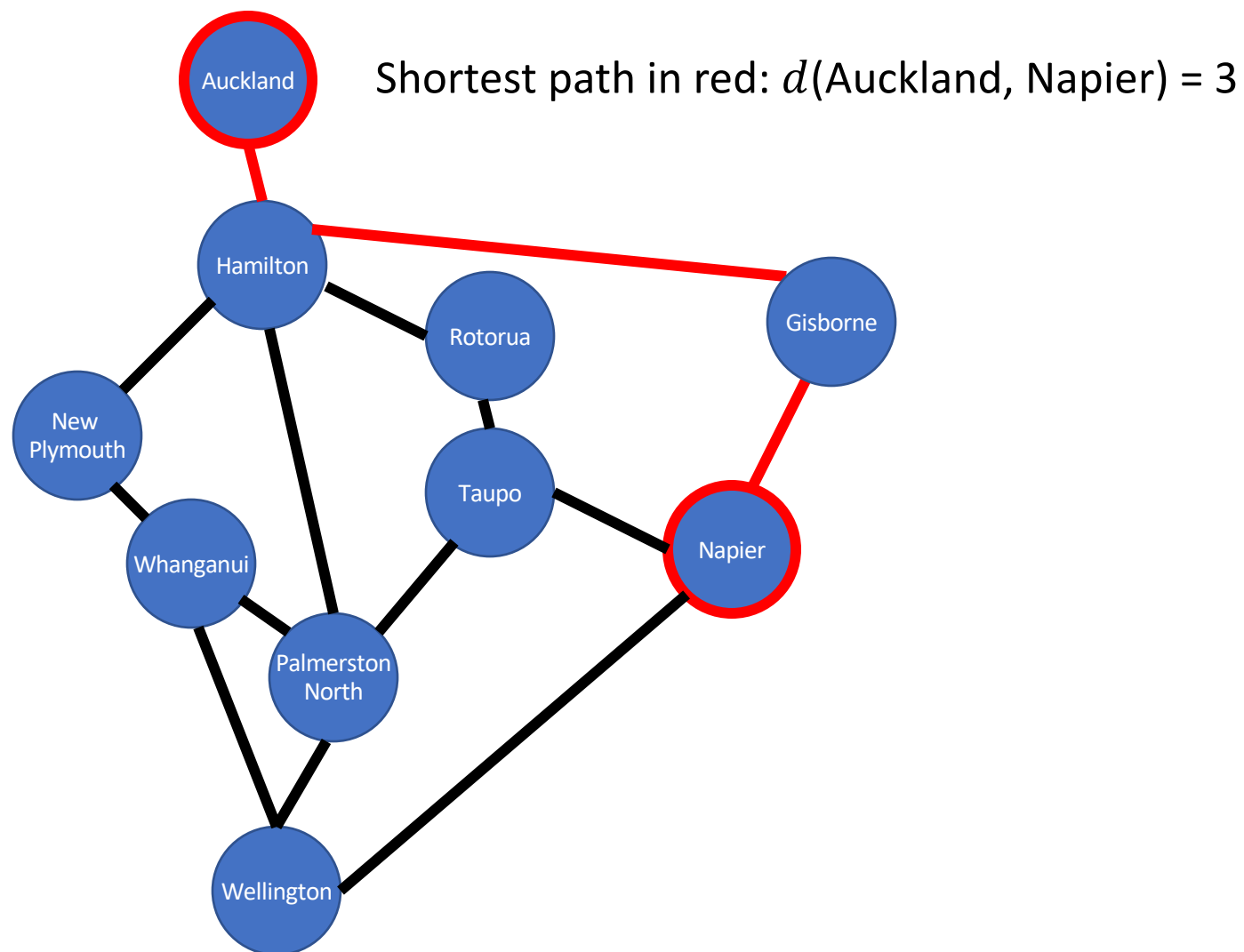
Graph: North Island Road Network



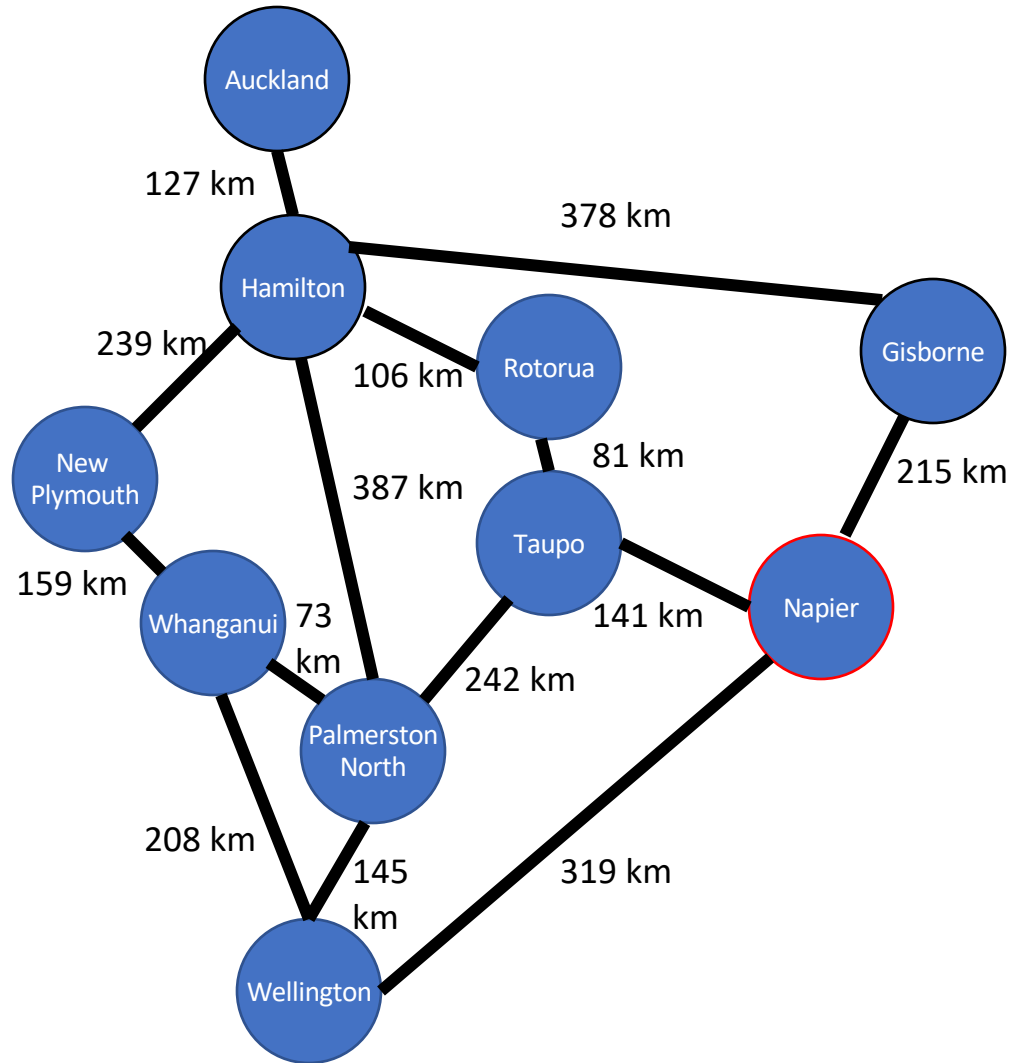
Graph: North Island Road Network



Graph: North Island Road Network

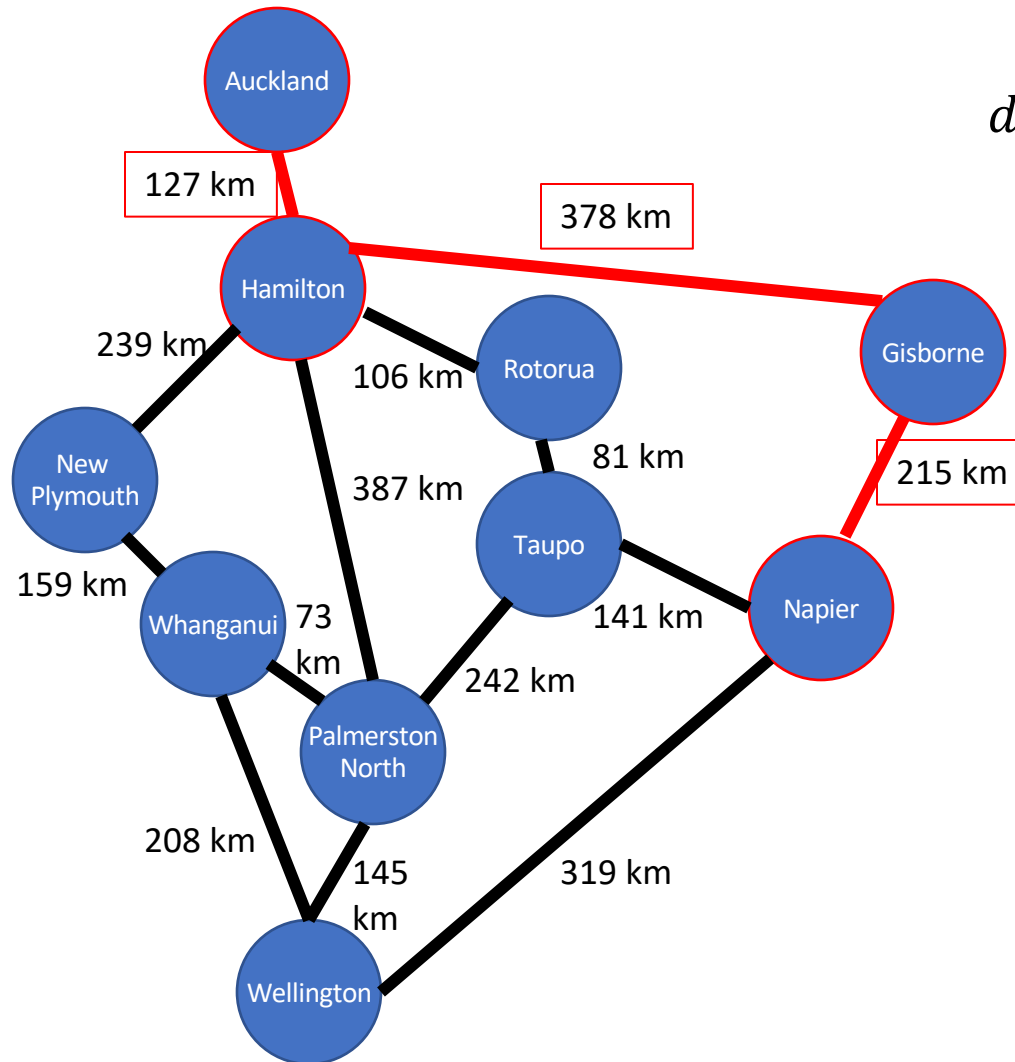


Weighted Graph: North Island Road Network



Source of distances: Google Maps

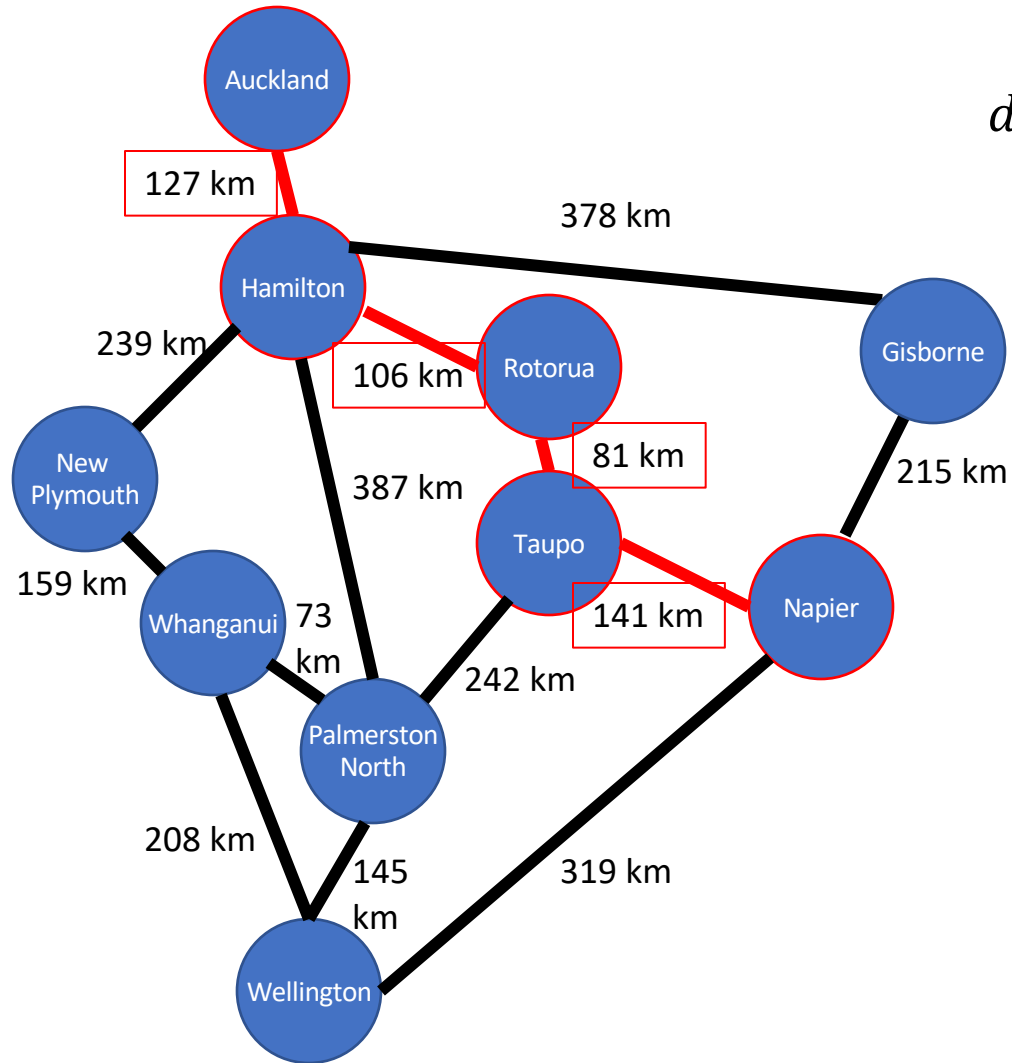
Weighted Graph: North Island Road Network



$d(\text{Auckland, Napier}) = 720 \text{ km}$

Source of distances: Google Maps

Weighted Graph: North Island Road Network



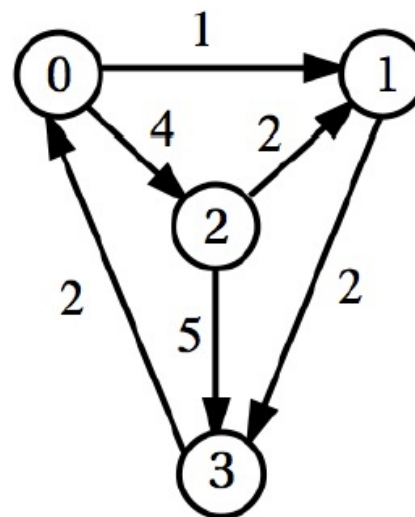
$d(\text{Auckland, Napier}) = 455 \text{ km}$

Source of distances: Google Maps

Paths/Distances (revisited)

- **Definition.** For a digraph (V, E) with arc weights $\{c(u, v) | (u, v) \in E\}$ we say that the **distance** $d(u, v)$ between two vertices u and v of V is the minimum cost of a path between u and v . The **cost** of a walk/path v_0, v_1, \dots, v_k is $\sum_{i=0}^{k-1} c(v_i, v_{i+1})$
- **Definition.** The diameter of a (di-)graph $G = (V, E)$ is the maximum of $d(u, v)$ over all pairs $u, v \in V$. If the (di-)graph is not (strongly) connected, the diameter of G is not defined.

Example: Diameter



weighted adjacency matrix:

$$\begin{bmatrix} 0 & 1 & 4 & 0 \\ 0 & 0 & 0 & 2 \\ 0 & 2 & 0 & 5 \\ 2 & 0 & 0 & 0 \end{bmatrix}$$

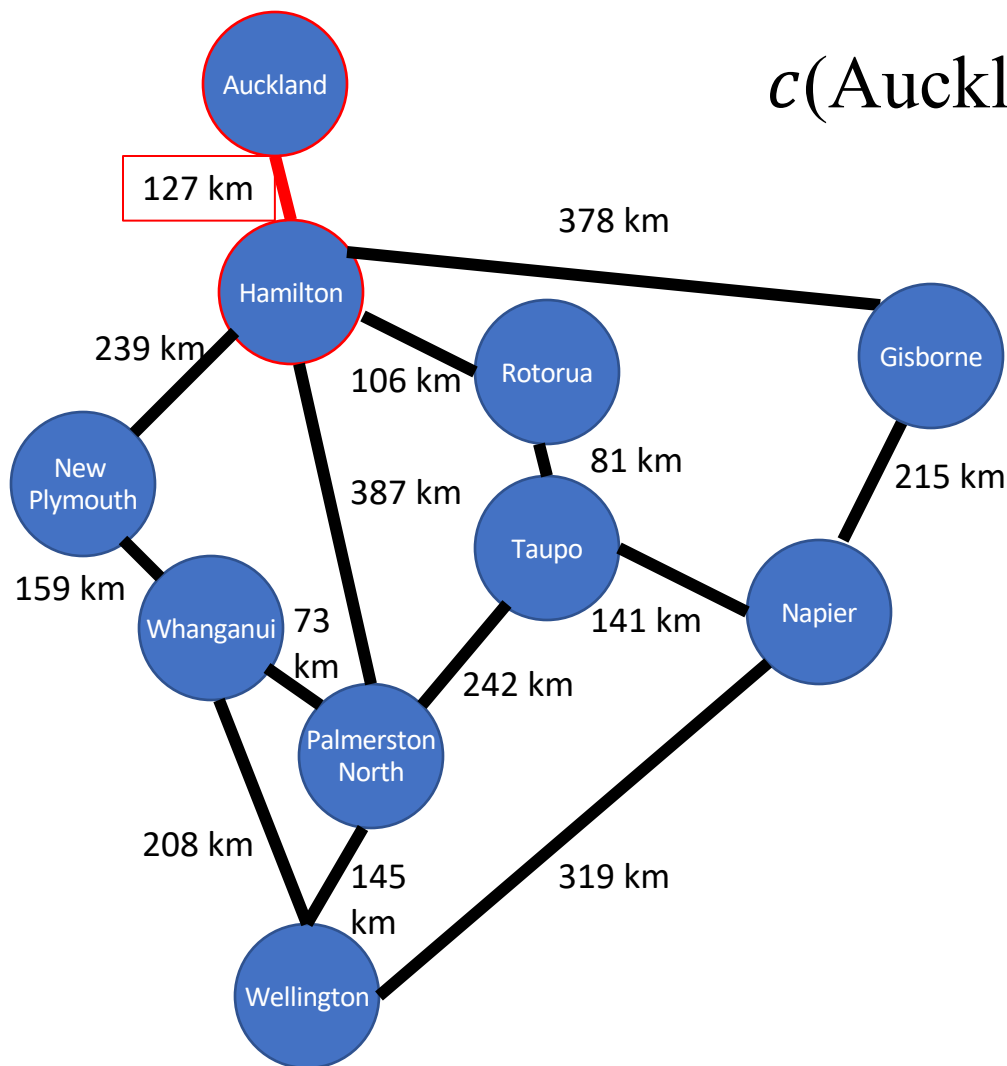
distance matrix:

$$\begin{bmatrix} 0 & 1 & 4 & 3 \\ 4 & 0 & 8 & 2 \\ 6 & 2 & 0 & 4 \\ 2 & 3 & 6 & 0 \end{bmatrix}$$

Hence, the diameter is 8.

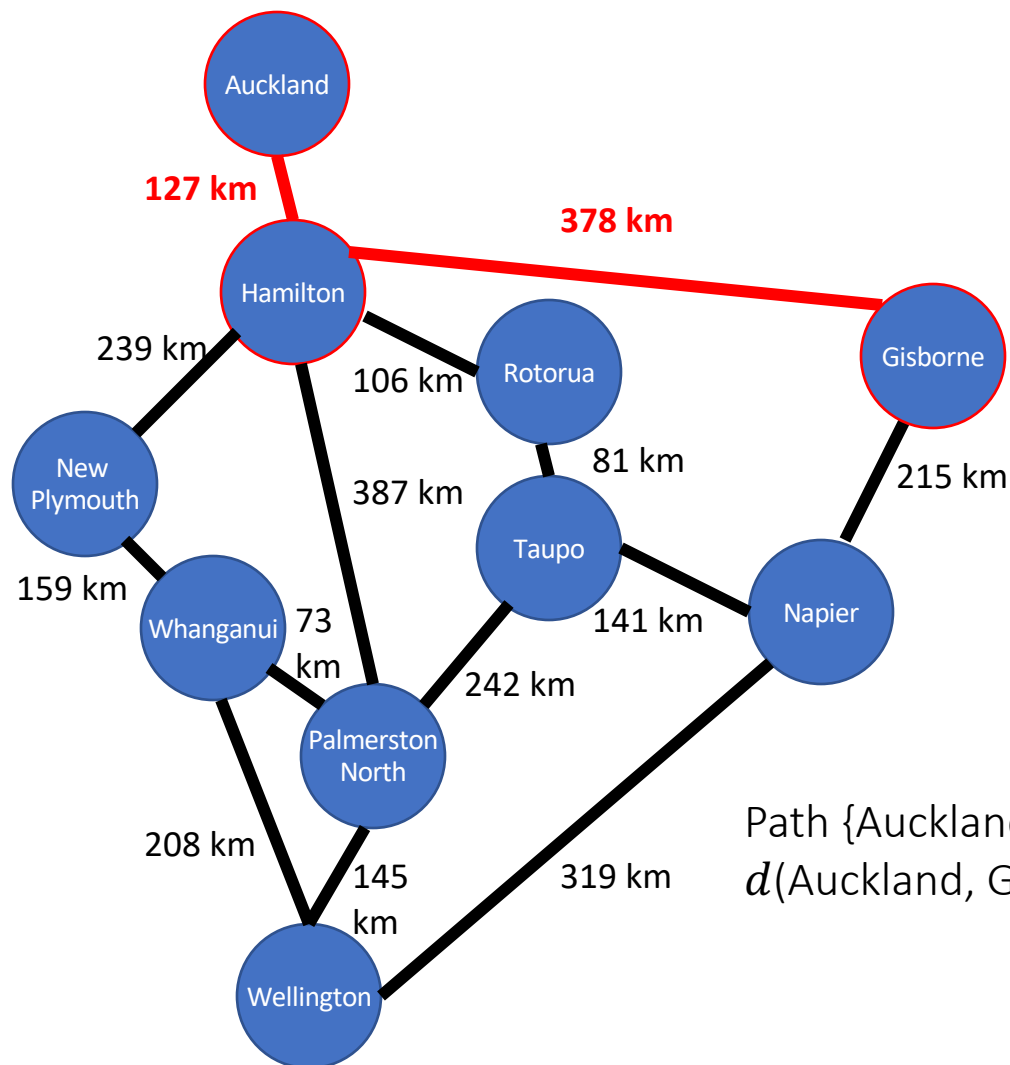
Example: Cost

$$c(\text{Auckland, Hamilton}) = 127 \text{ km}$$



Source of distances: Google Maps

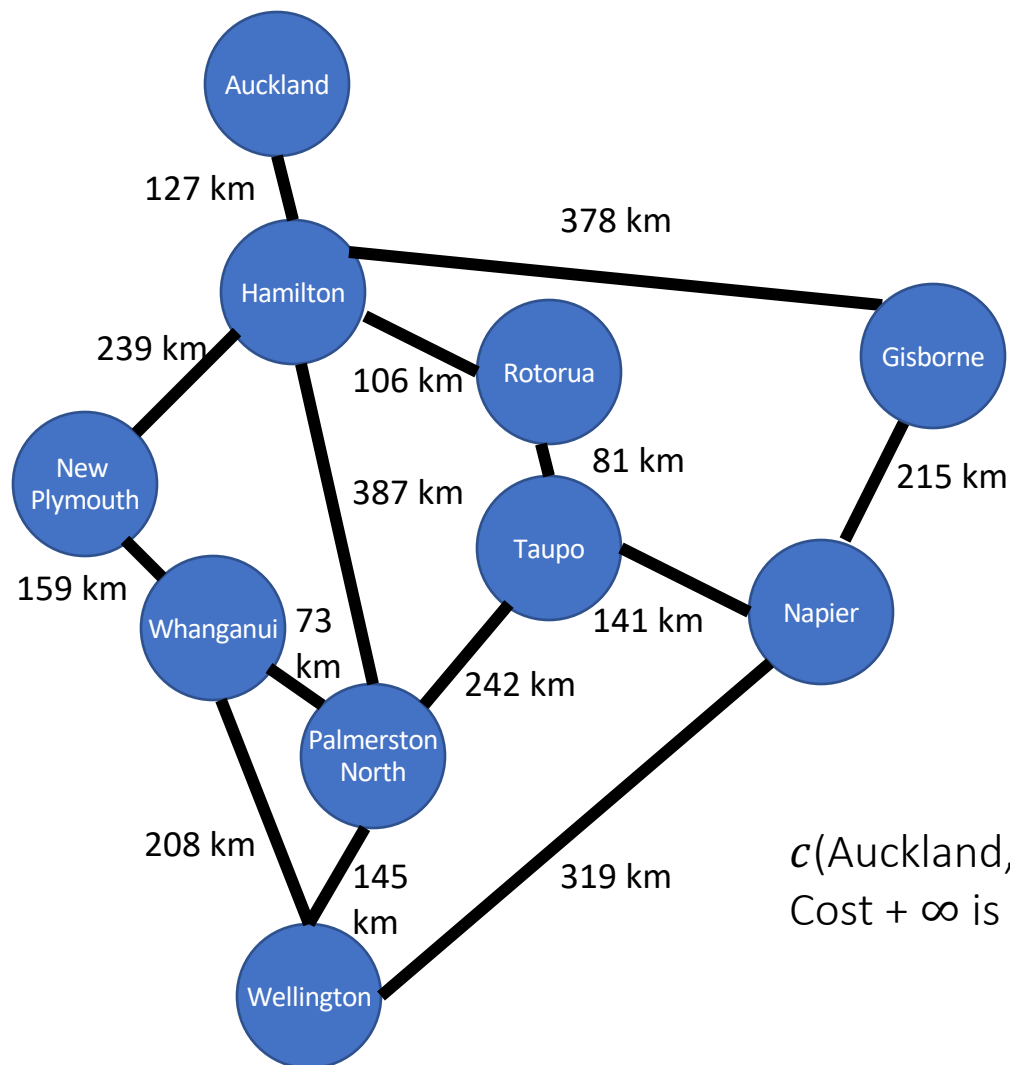
Example: Distance v.s. Cost



Path {Auckland, Hamilton, Gisborne} then
 $d(\text{Auckland, Gisborne}) = c(\text{Auckland, Hamilton}) + c(\text{Hamilton, Gisborne})$

Source of distances: Google Maps

Example: Distance v.s. Cost



$c(\text{Auckland}, \text{Hamilton}) = 127$ and $c(\text{Auckland}, \text{Gisborne}) = +\infty$
 Cost + ∞ is for cities that aren't directly connected.

Source of distances: Google Maps

Issue: Negative Weights

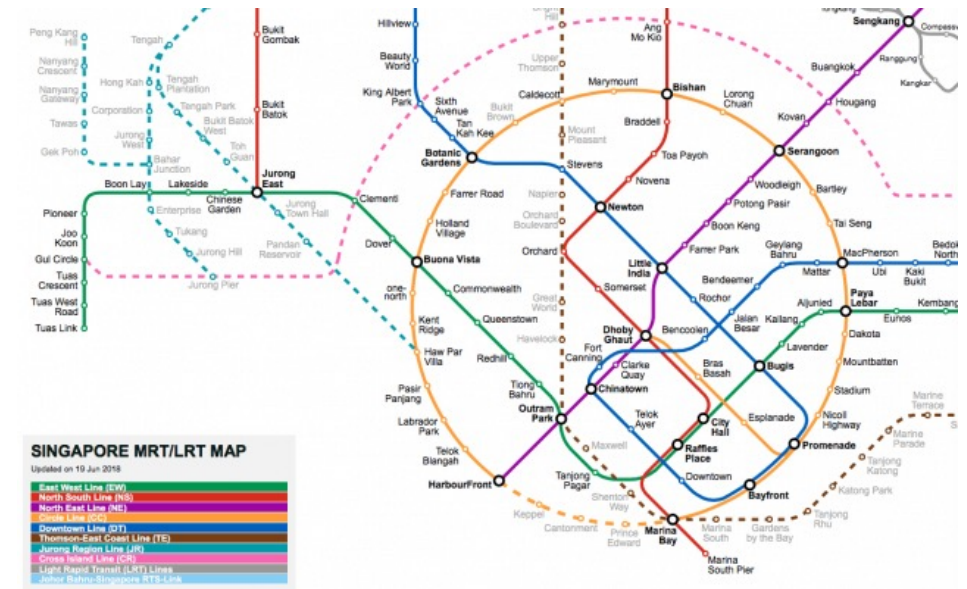
- The vast majority of weighted graph problems have positive weights
- However, exceptions exist where the weight of an edge/arc can be negative.

Example: Negative Weights

- Consider going on a holiday tripping around the world.
- Between some cities, we may need to buy a train/ferry ticket or an airfare (=cost, positive weight),
- ... but between others we might have the opportunity to earn money by working as crew on a ship, train, or plane (=gain, negative weight).

OUTLINE

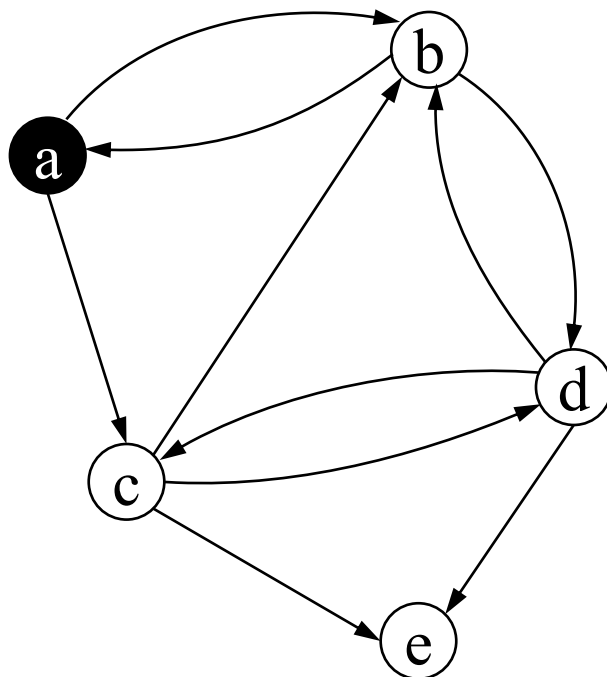
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Single-source Shortest Path Problem (SSSP)

- Given an originating node v , find shortest (minimum weight) path to each other node.
If all weights are equal then BFS works, otherwise not.

Unweighted digraph

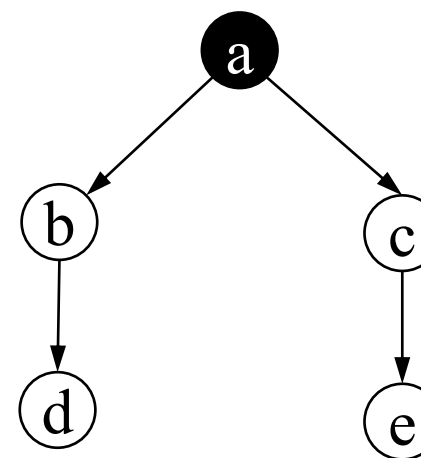


$d(a, b) = 1$, path: a, b

$d(a, c) = 1$, path: a, c

$d(a, d) = 2$, path: a, b, d or a, c, d

$d(a, e) = 2$, path: a, c, e



If BFS is used:

$d(a, b) = 1$, path: a, b

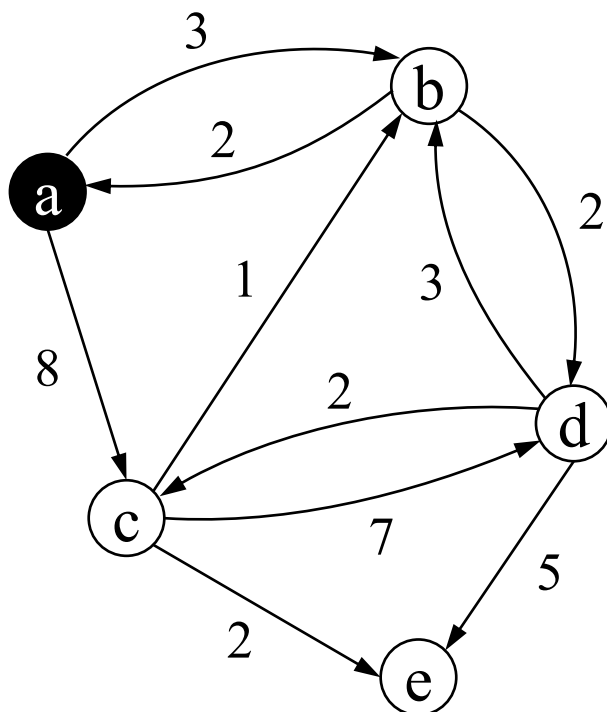
$d(a, c) = 1$, path: a, c

$d(a, d) = 2$, path: a, b, d

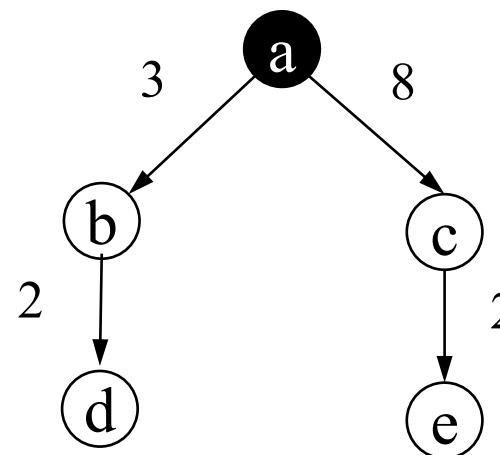
$d(a, e) = 2$, path: a, c, e

Single-source Shortest Path Problem (SSSP)

- Given an originating node v , find shortest (minimum weight) path to each other node.
If all weights are equal then BFS works, otherwise not.



$d(a, b) = 3$, path: a, b
 $d(a, c) = 7$, path: a, b, d, c
 $d(a, d) = 5$, path: a, b, d
 $d(a, e) = 9$, path: a, b, d, c, e



If BFS is used:

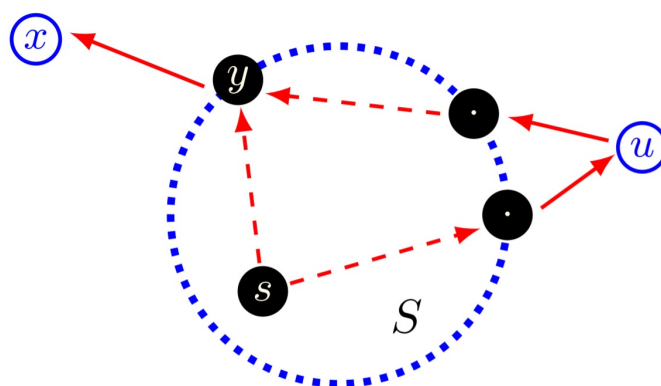
$d(a, b) = 3$, path: a, b
 $d(a, c) = 8$, path: a, c
 $d(a, d) = 5$, path: a, b, d
 $d(a, e) = 10$, path: a, c, e

Algorithms on Weighted Graphs

- **Dijkstra** (pronounced "Dyke-stra"): Used to find the cost to each destination vertex from a single source ("single source shortest path" - SSSP). Cannot handle negative weights.
- **Bellman-Ford**: solves SSSP as well, slower than Dijkstra but can handle negative weights
- **Floyd-Warshall**: solves all-pairs shortest path (APSP) problem – minimal cost between any given pair of vertices

Single-source Shortest Path Problem (SSSP)

- Several algorithms are known; we present one, **Dijkstra's** algorithm. An example of a **greedy algorithm**; locally best choice is globally best. **Doesn't work if weights can be negative.**
 1. Maintain list S of visited nodes.
 2. Choose an unvisited node u with shortest S -path and put it to S .
 3. Update distances (of remaining unvisited nodes) from starting node s in case adding u has established shorter paths.
 4. Repeat.



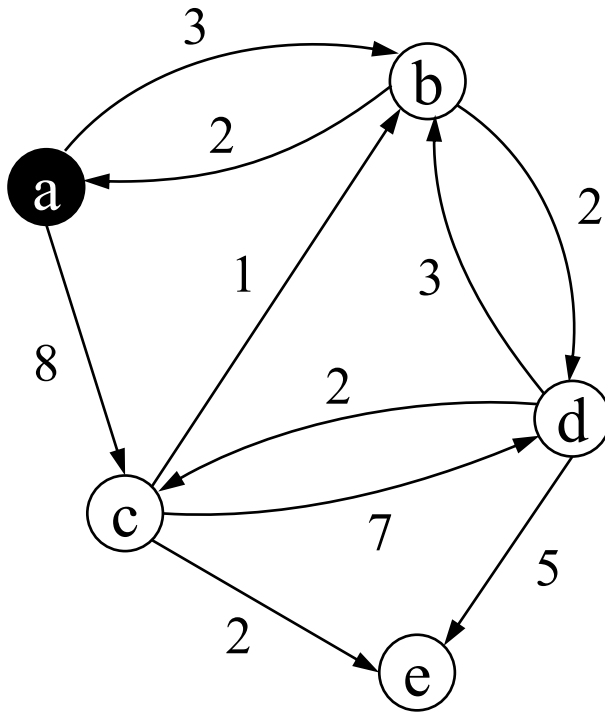
Let an **S -path** be a path starting at node s and ending at node u with all nodes in S except possibly u .

Dijkstra's Algorithm

Algorithm 1 Dijkstra's algorithm.

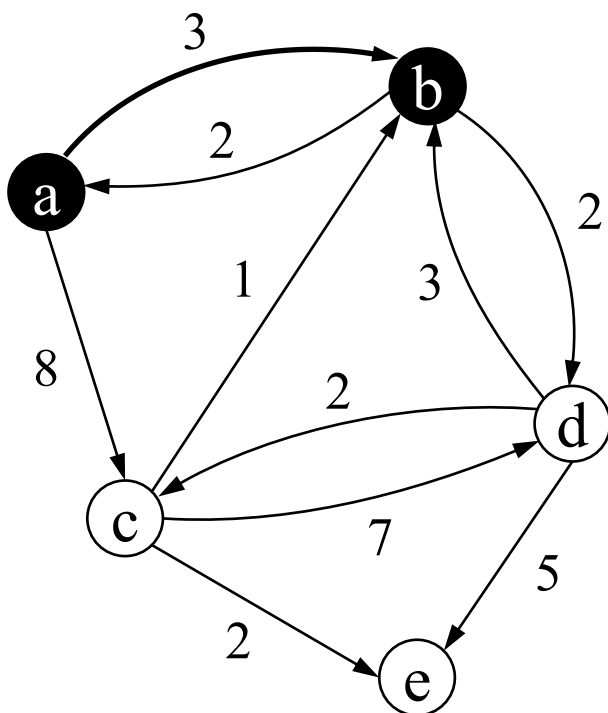
```
1: function DIJKSTRA(weighted digraph( $G, c$ ); node  $s \in V(G)$ )
2:   array  $colour[0..n - 1], dist[0..n - 1]$ 
3:   for  $u \in V(G)$  do
4:      $dist[u] \leftarrow c(s, u); colour[u] \leftarrow \text{WHITE}$ 
5:    $dist[s] \leftarrow 0; colour[s] \leftarrow \text{BLACK}$ 
6:   while there is a white node do
7:     find a white node  $u$  so that  $dist[u]$  is minimum
8:      $colour[u] \leftarrow \text{BLACK}$ 
9:     for each  $x$  adjacent to  $u$  do
10:      if  $colour[x] = \text{WHITE}$  then
11:         $dist[x] \leftarrow \min\{dist[x], dist[u] + c(u, x)\}$ 
12:   return  $dist$ 
```

Illustrating Dijkstra's algorithm



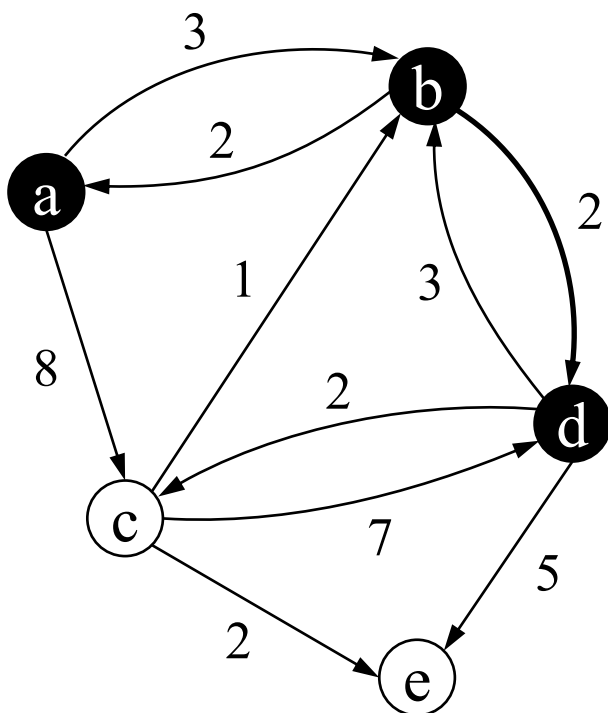
BLACK	$dist[x]$
	a, b, c, d, e
a	0, 3 , 8, ∞ , ∞

Illustrating Dijkstra's algorithm



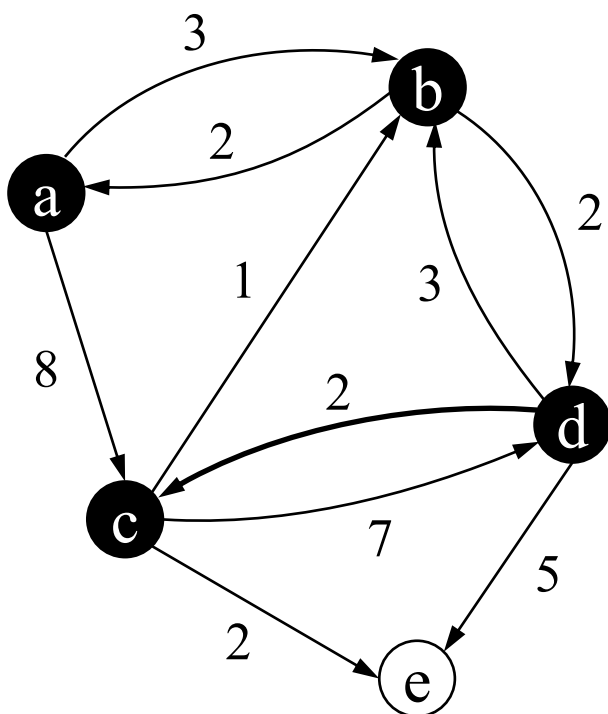
BLACK	$dist[x]$
	a, b, c, d, e
a	0, 3 , 8, ∞ , ∞
a, b	0, 3, 8, $3 + 2 = \mathbf{5}$, ∞

Illustrating Dijkstra's algorithm



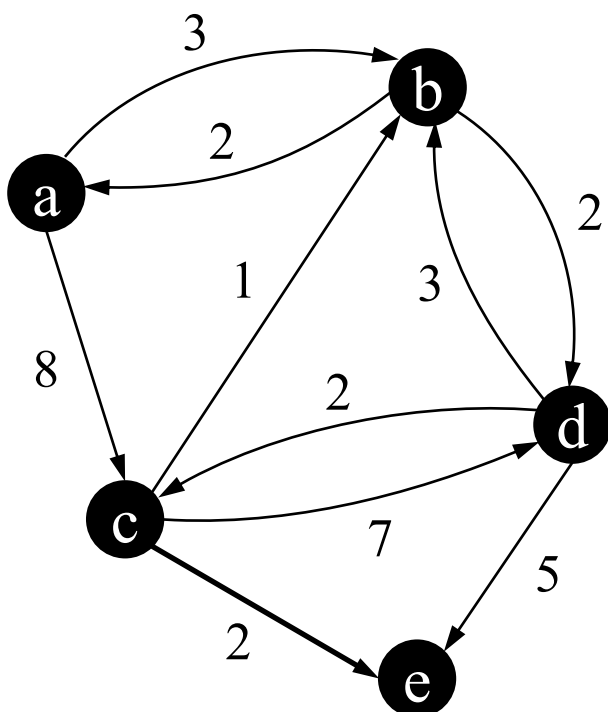
BLACK	$dist[x]$
	a, b, c, d, e
a	0, 3 , 8, ∞ , ∞
a, b	0, 3, 8, $3 + 2 = \mathbf{5}$, ∞
a, b, d	0, 3, $3 + 2 + 2 = \mathbf{7}$, 5, 10

Illustrating Dijkstra's algorithm



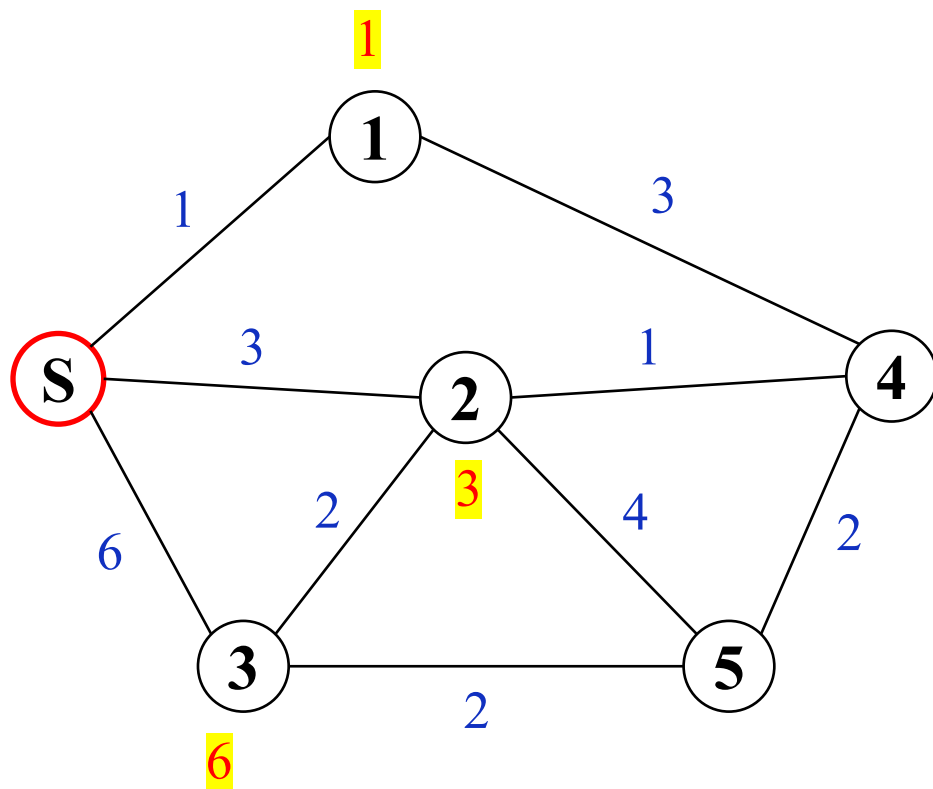
BLACK	$dist[x]$
	a, b, c, d, e
a	0, 3 , 8, ∞ , ∞
a, b	0, 3, 8, $3 + 2 = \mathbf{5}$, ∞
a, b, d	0, 3, $3 + 2 + 2 = \mathbf{7}$, 5, 10
a, b, c, d	0, 3, 7, 5, $7 + 2 = 9$

Illustrating Dijkstra's algorithm



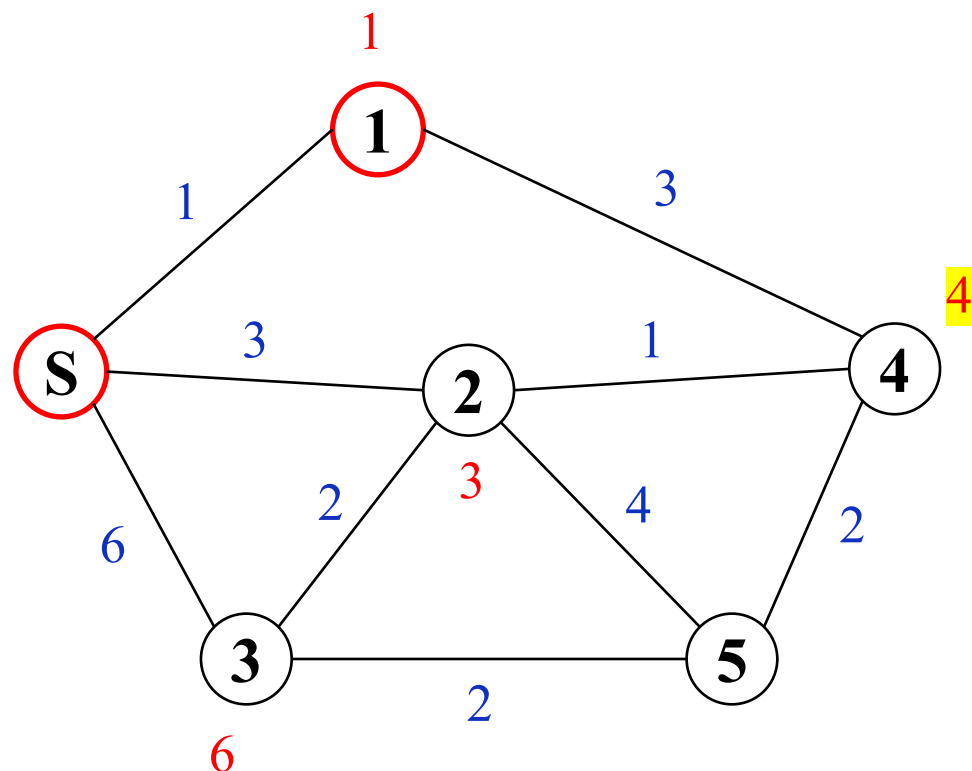
BLACK	$dist[x]$
	a, b, c, d, e
a	0, 3 , 8, ∞ , ∞
a, b	0, 3, 8, $3 + 2 = \mathbf{5}$, ∞
a, b, d	0, 3, $3 + 2 + 2 = \mathbf{7}$, 5, 10
a, b, c, d	0, 3, 7, 5, $7 + 2 = 9$
$V(G)$	

Dijkstra's algorithm - Pairwise



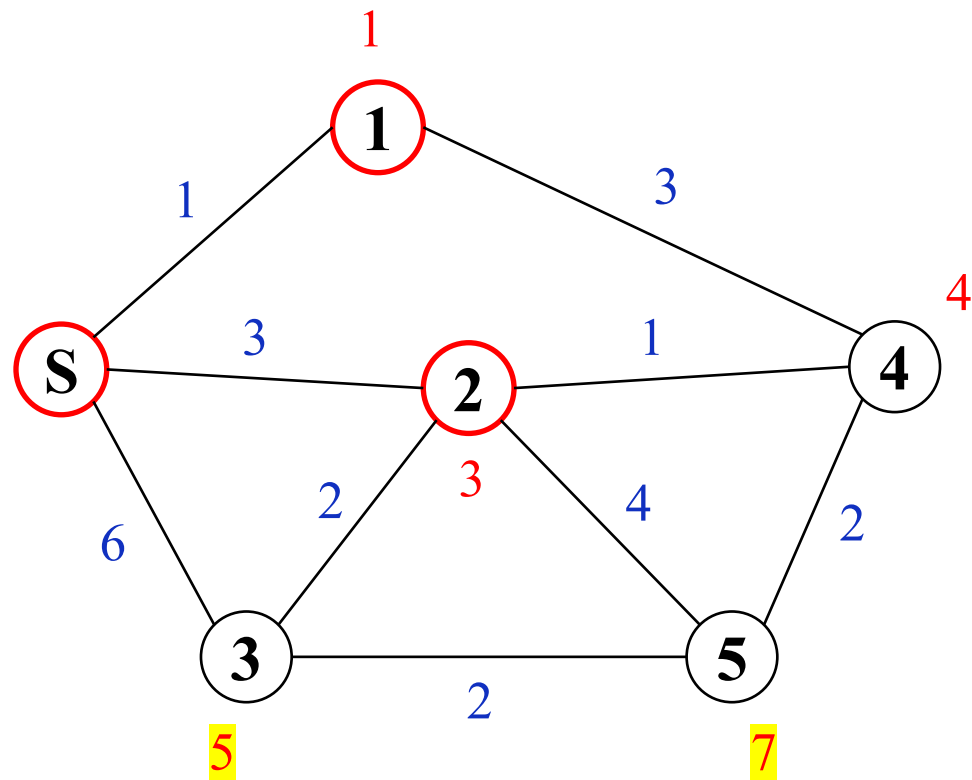
BLACK	$dist[x]$
	S, 1, 2, 3, 4, 5
S	0, 1, 3, 6, ∞ , ∞

Dijkstra's algorithm - Pairwise



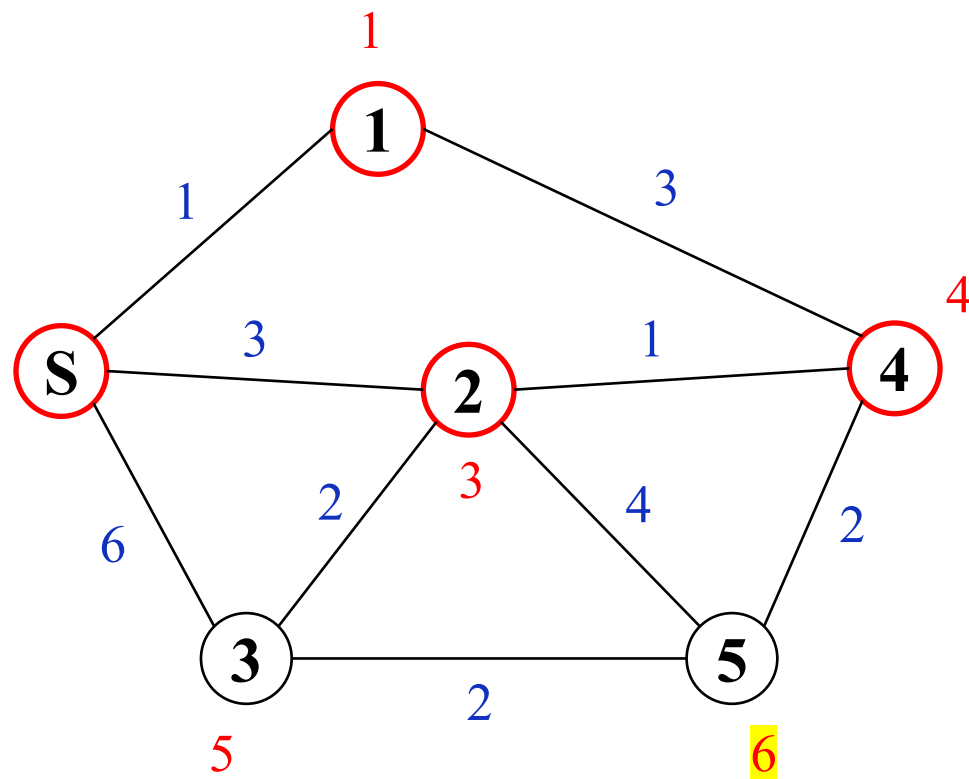
BLACK	$dist[x]$
	S, 1, 2, 3, 4, 5
S	0, 1, 3, 6, ∞ , ∞
S, 1	0, 1, 3, 6, 4, ∞

Dijkstra's algorithm - Pairwise



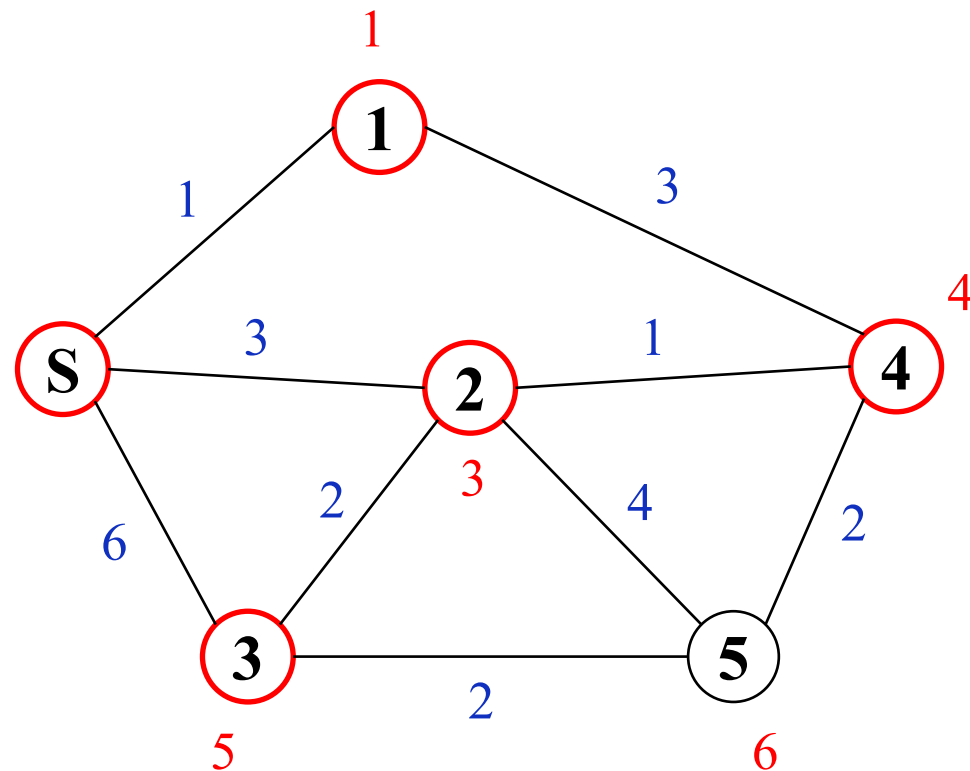
BLACK	$dist[x]$
	S, 1, 2, 3, 4, 5
S	0, 1, 3, 6, ∞ , ∞
S, 1	0, 1, 3, 6, 4, ∞
S, 1, 2	0, 1, 3, 5, 4, 7

Dijkstra's algorithm - Pairwise



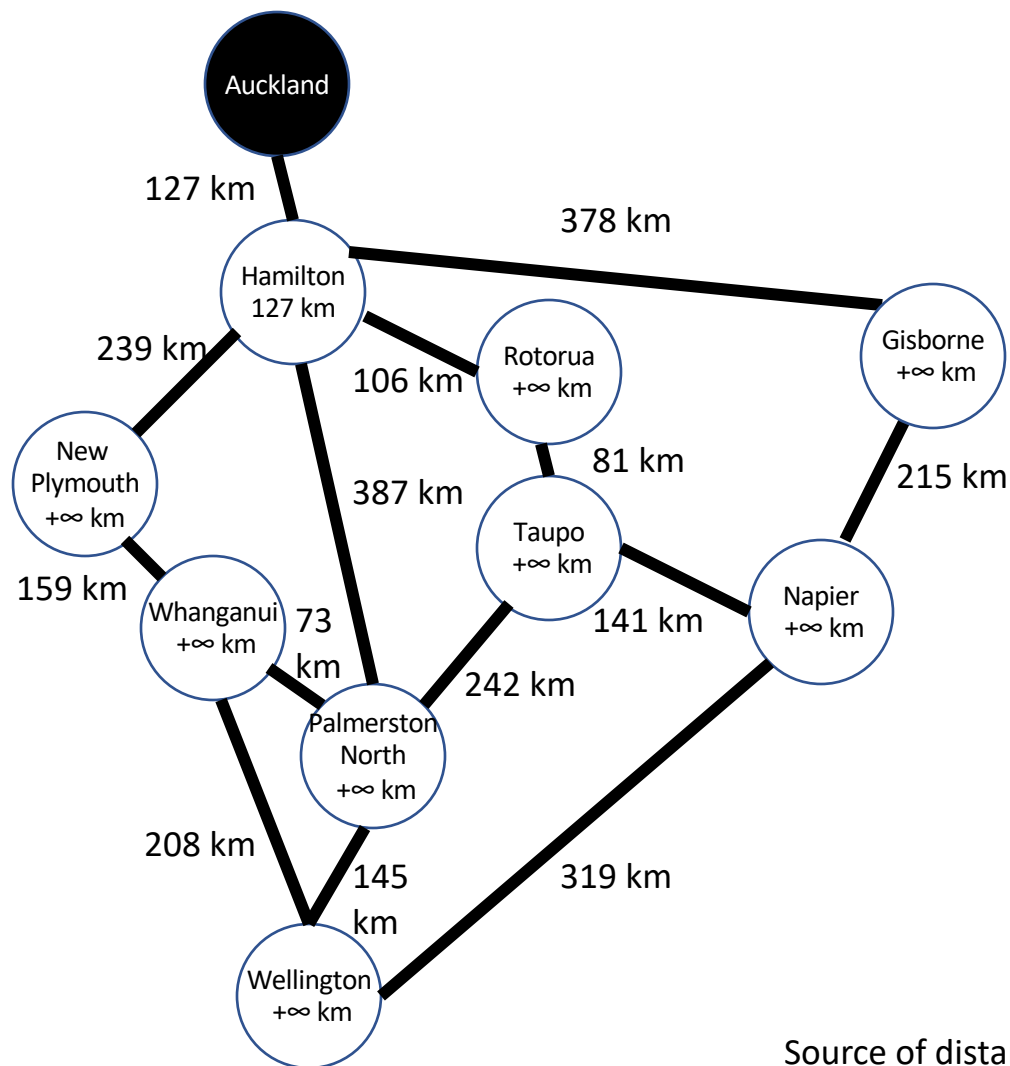
BLACK	$dist[x]$
	S, 1, 2, 3, 4, 5
S	0, 1 , 3, 6, ∞ , ∞
S, 1	0, 1, 3 , 6, 4, ∞
S, 1, 2	0, 1, 3, 5, 4 , 7
S, 1, 2, 4	0, 1, 3, 5 , 4, 6

Dijkstra's algorithm - Pairwise



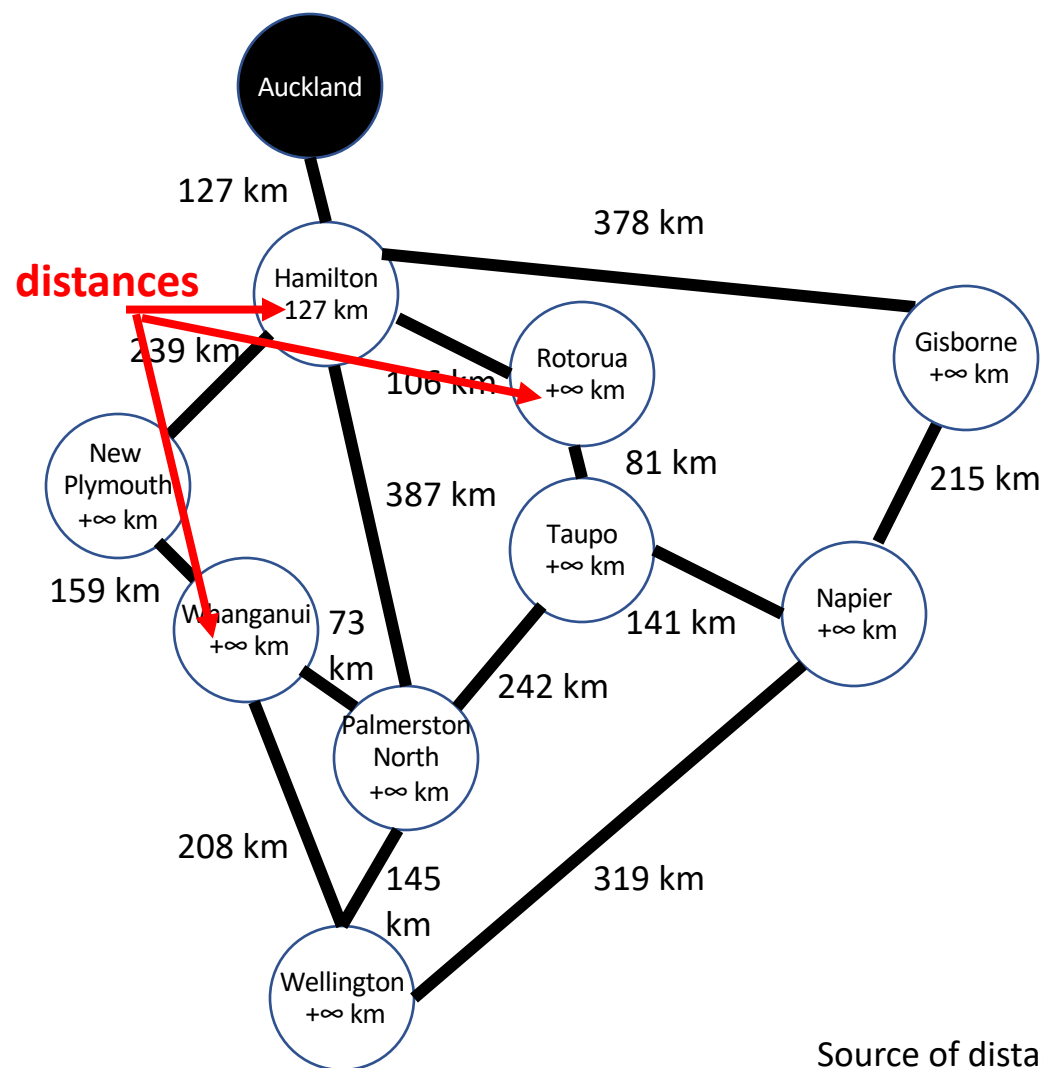
BLACK	$dist[x]$
	S, 1, 2, 3, 4, 5
S	0, 1, 3, 6, ∞ , ∞
S, 1	0, 1, 3, 6, 4, ∞
S, 1, 2	0, 1, 3, 5, 4, 7
S, 1, 2, 4	0, 1, 3, 5, 4, 6
S, 1, 2, 4, 5	0, 1, 3, 5, 4, 6

Example: Dijkstra at Work



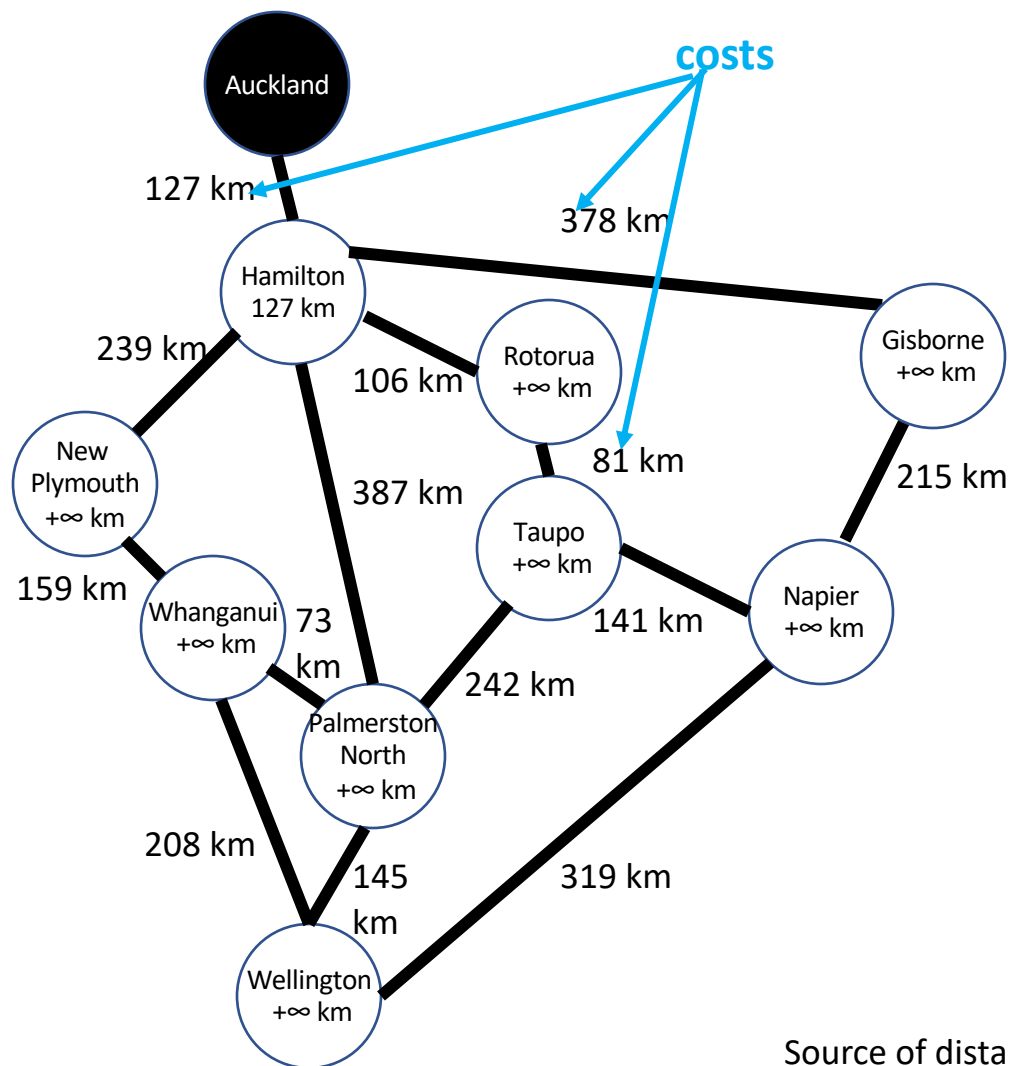
Source of distances: Google Maps

Example: Dijkstra at Work



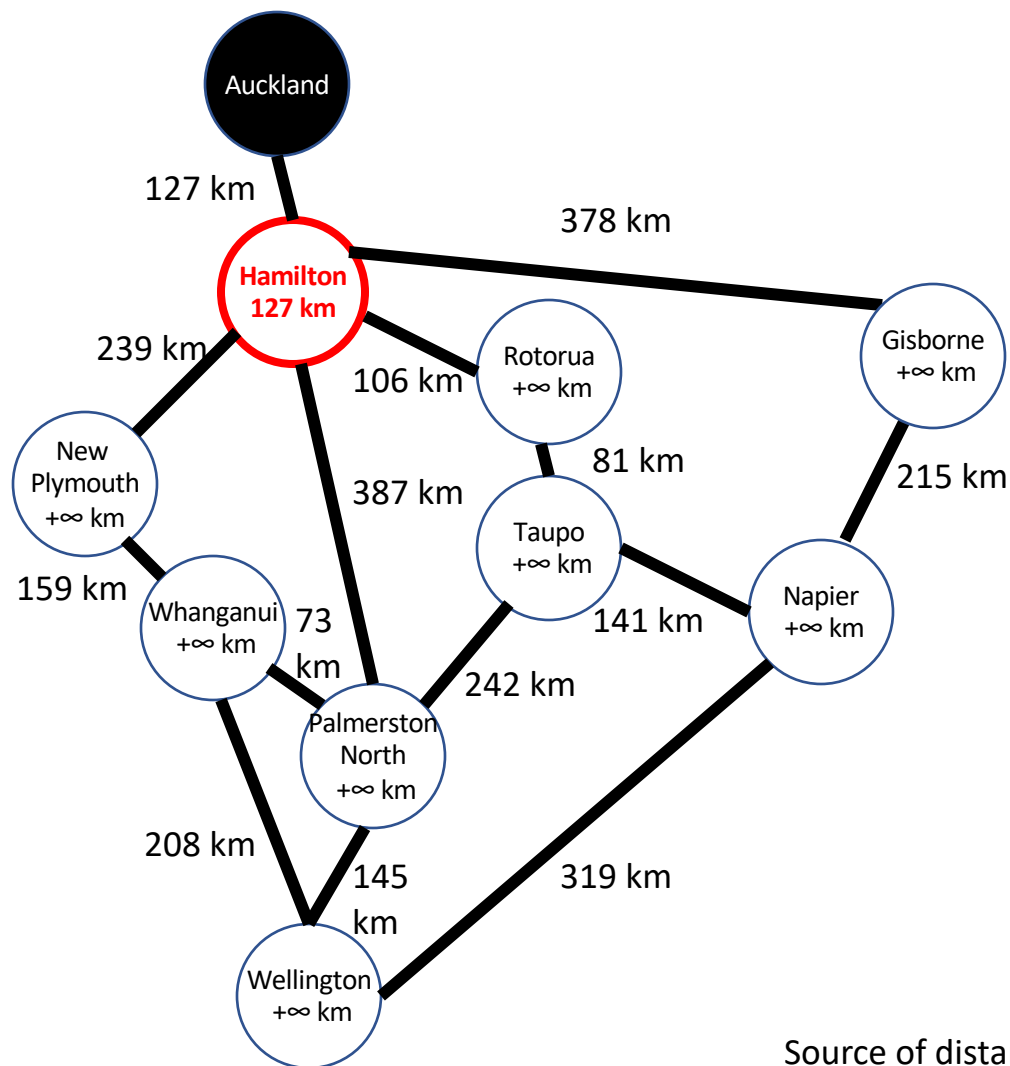
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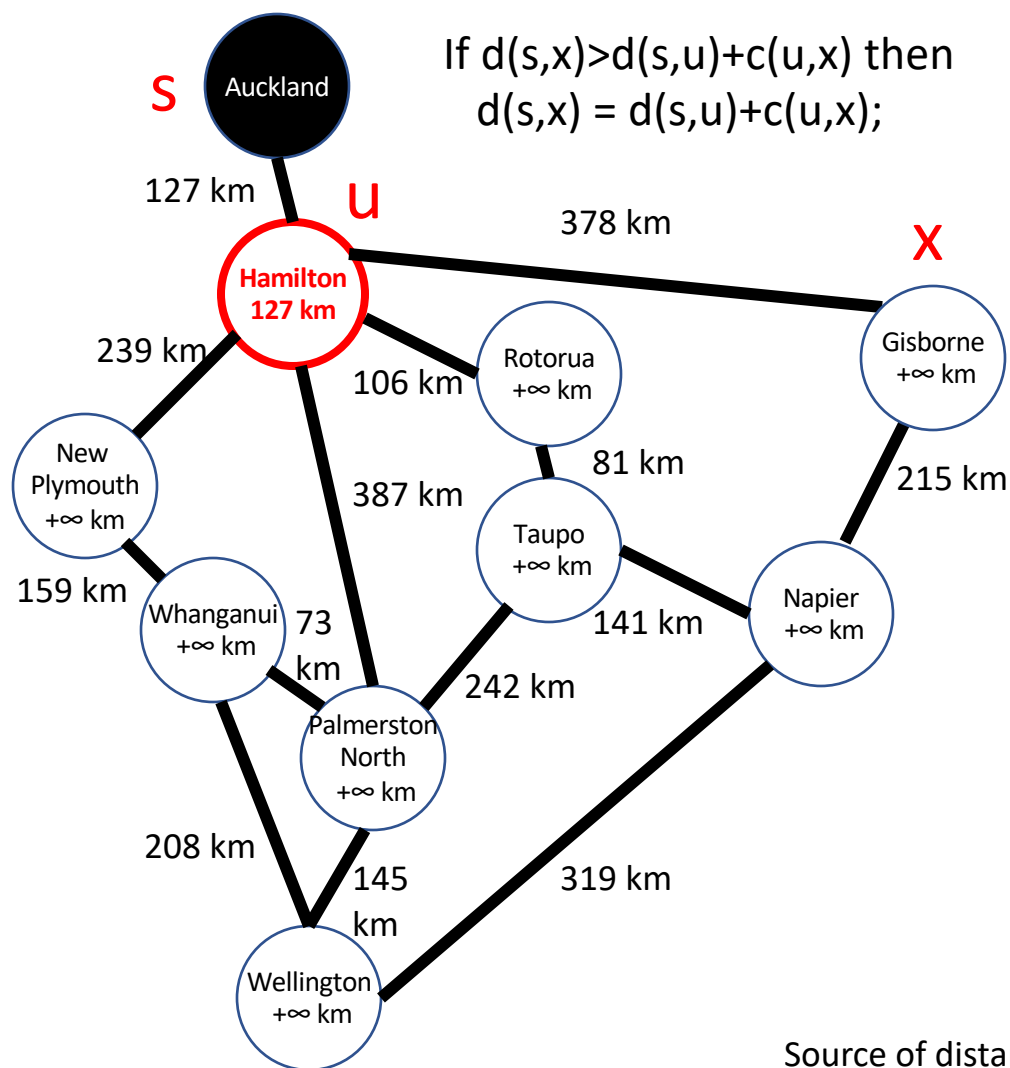
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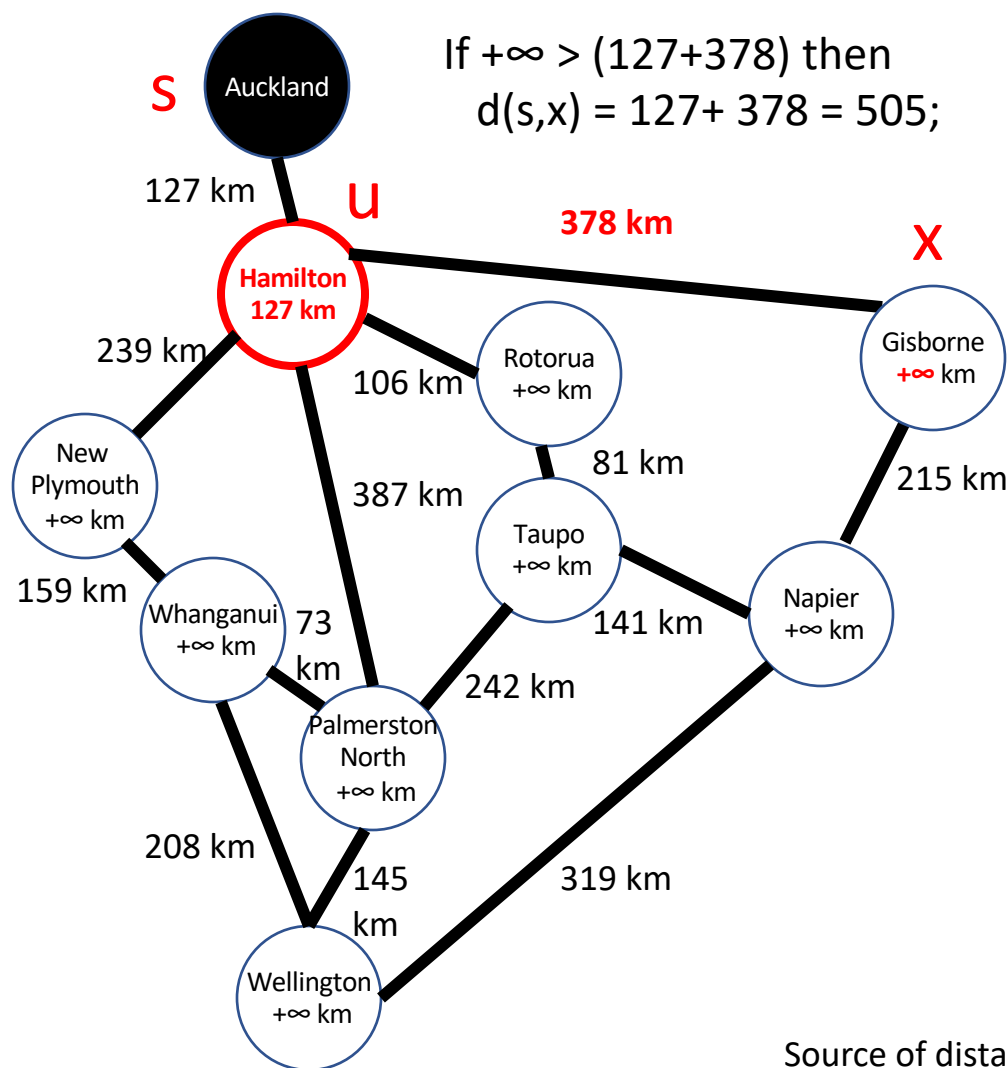
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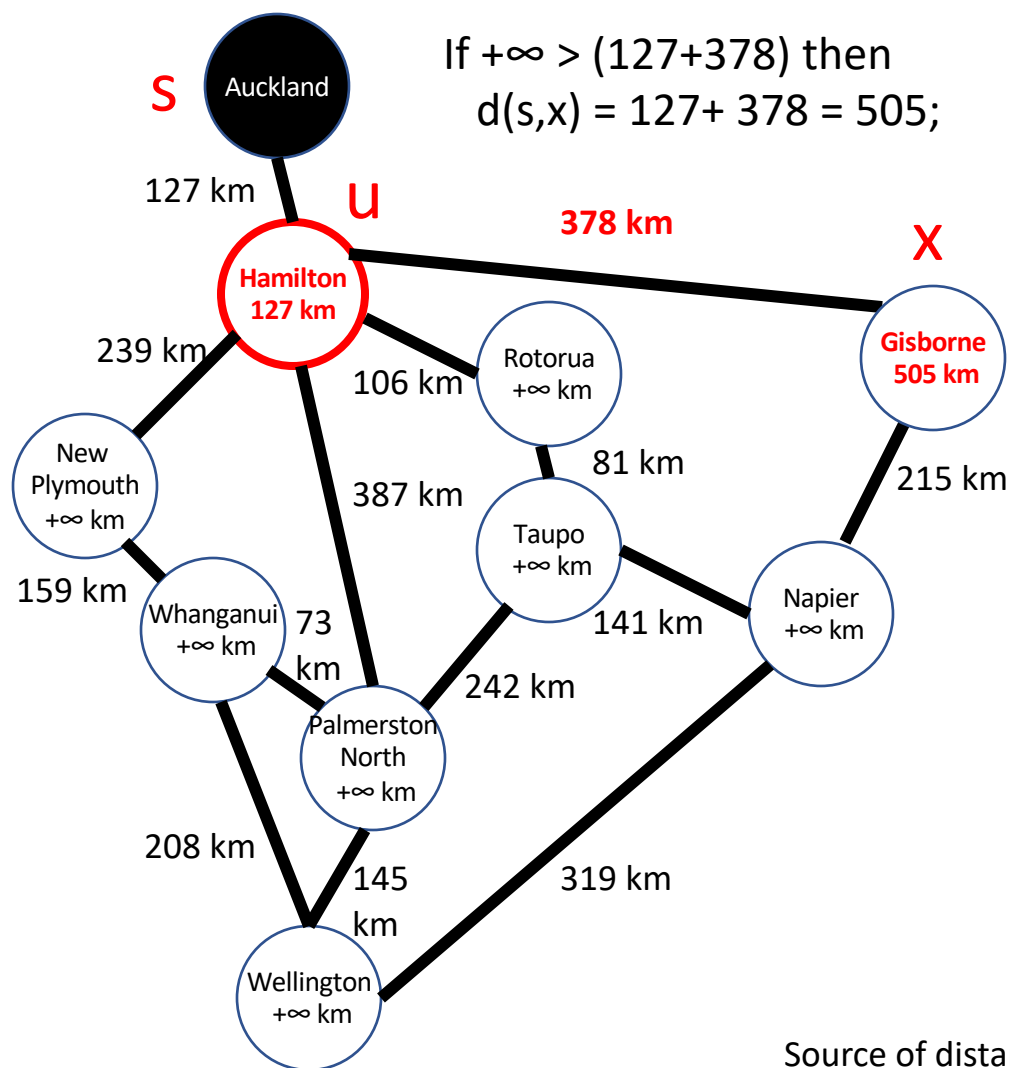
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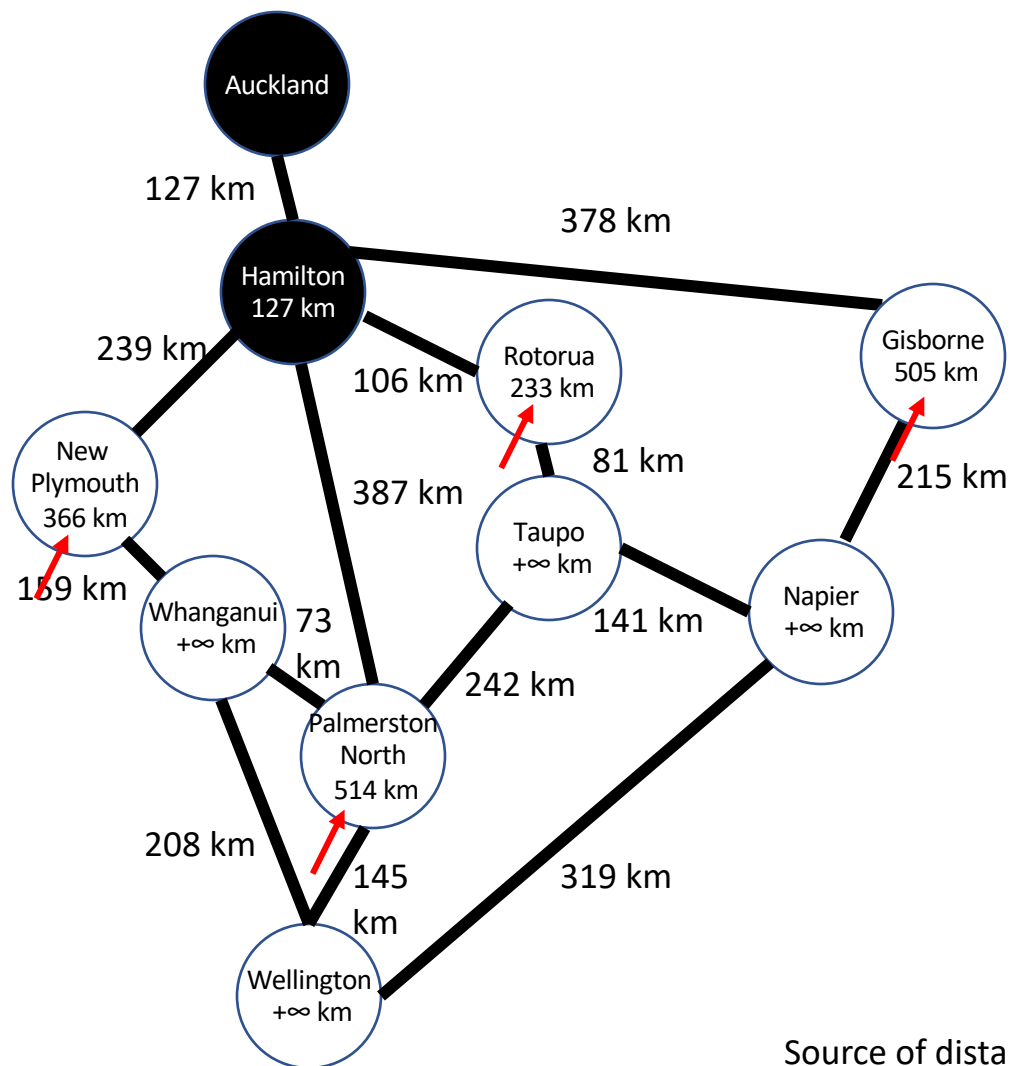
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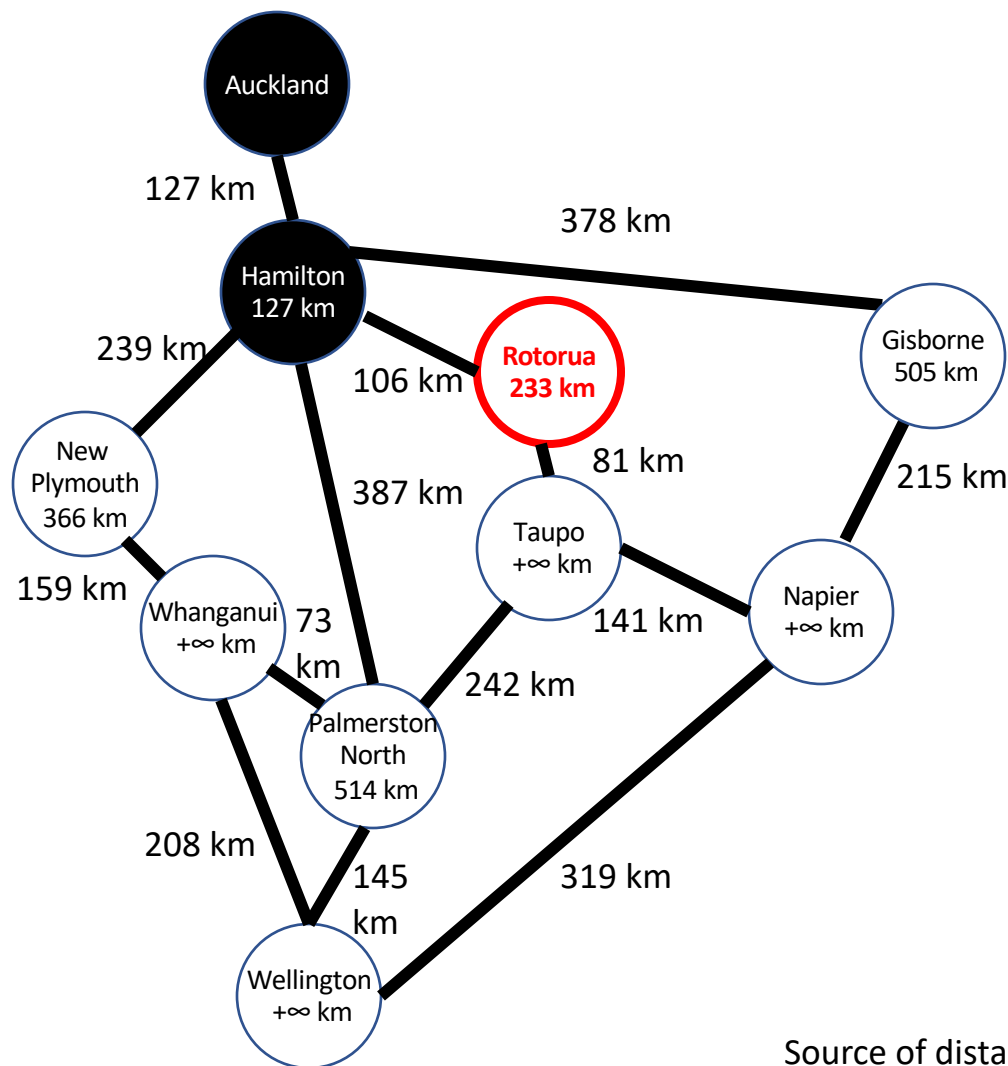
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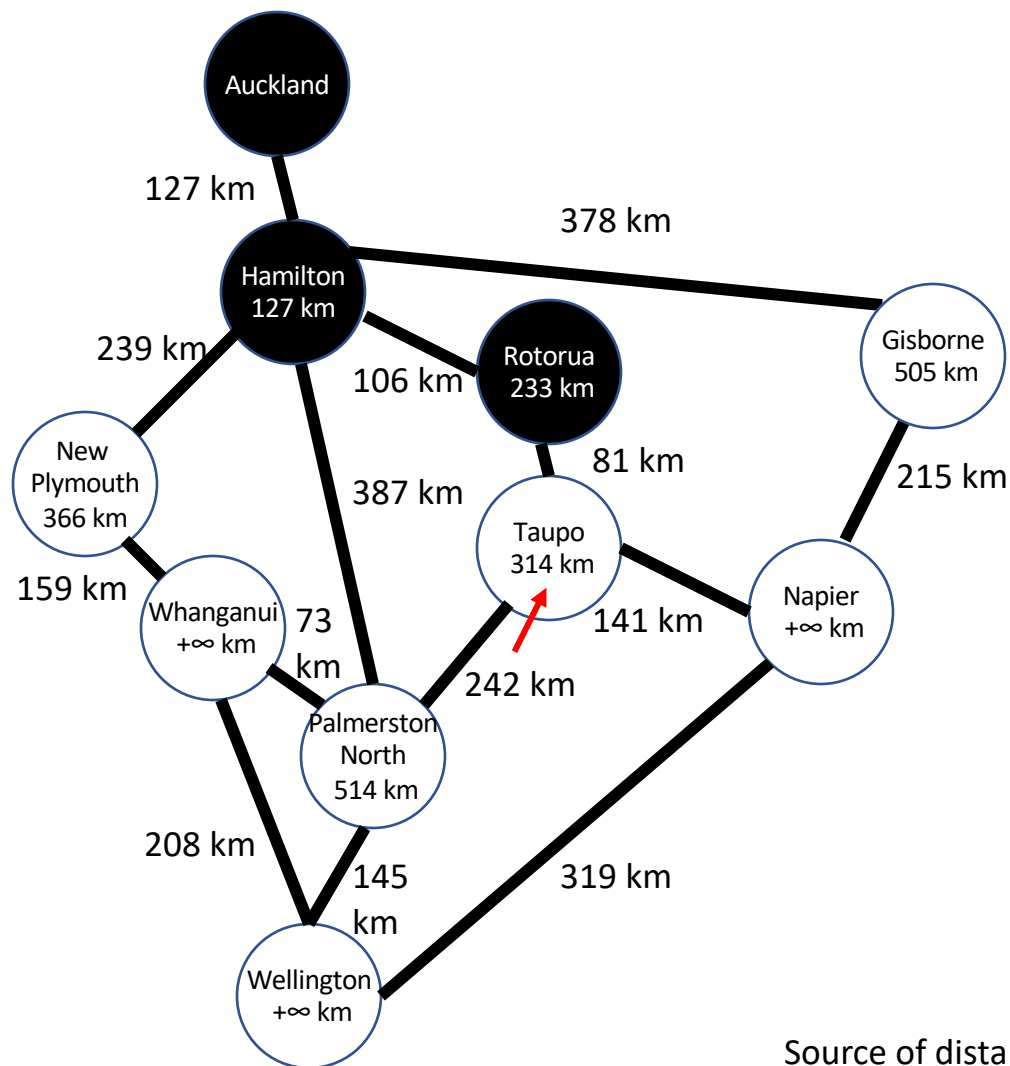
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Example: Dijkstra at Work



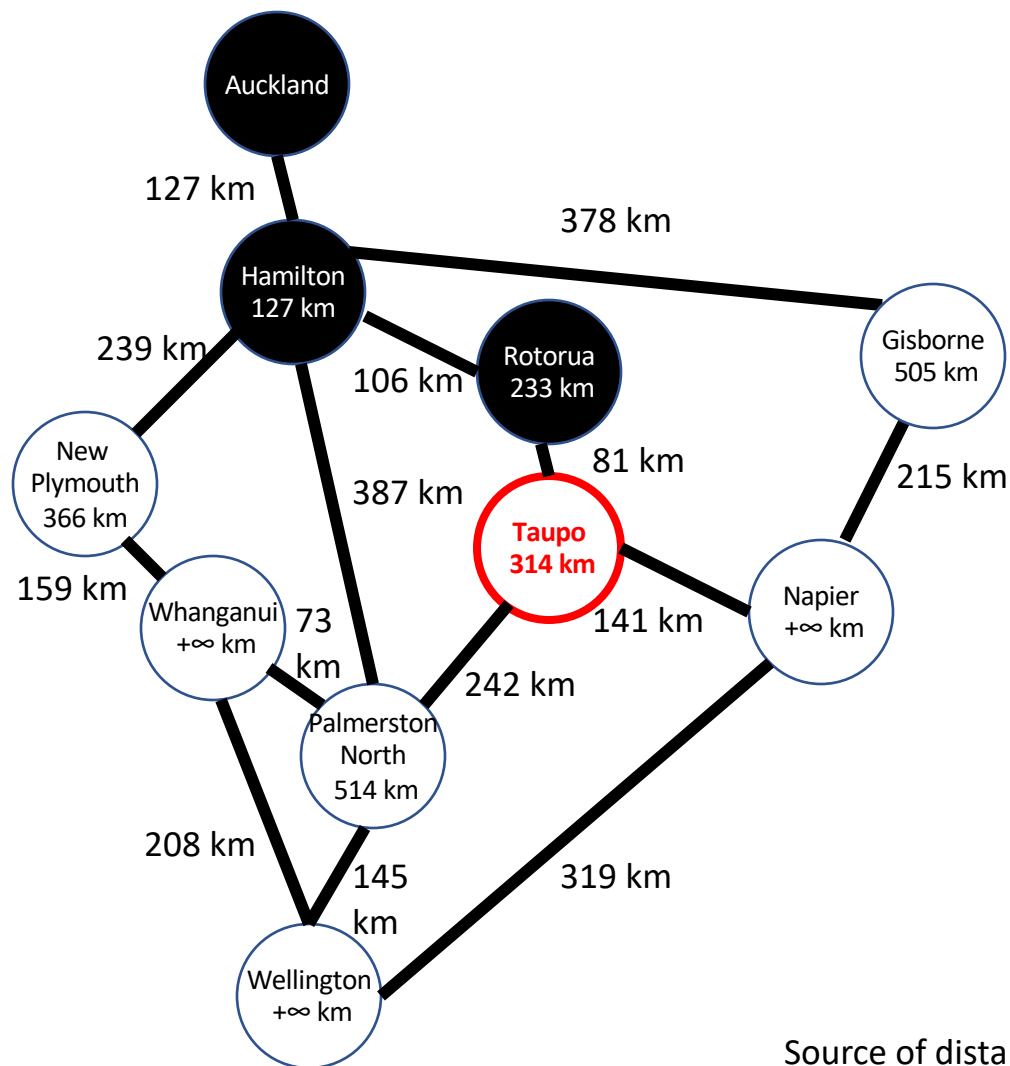
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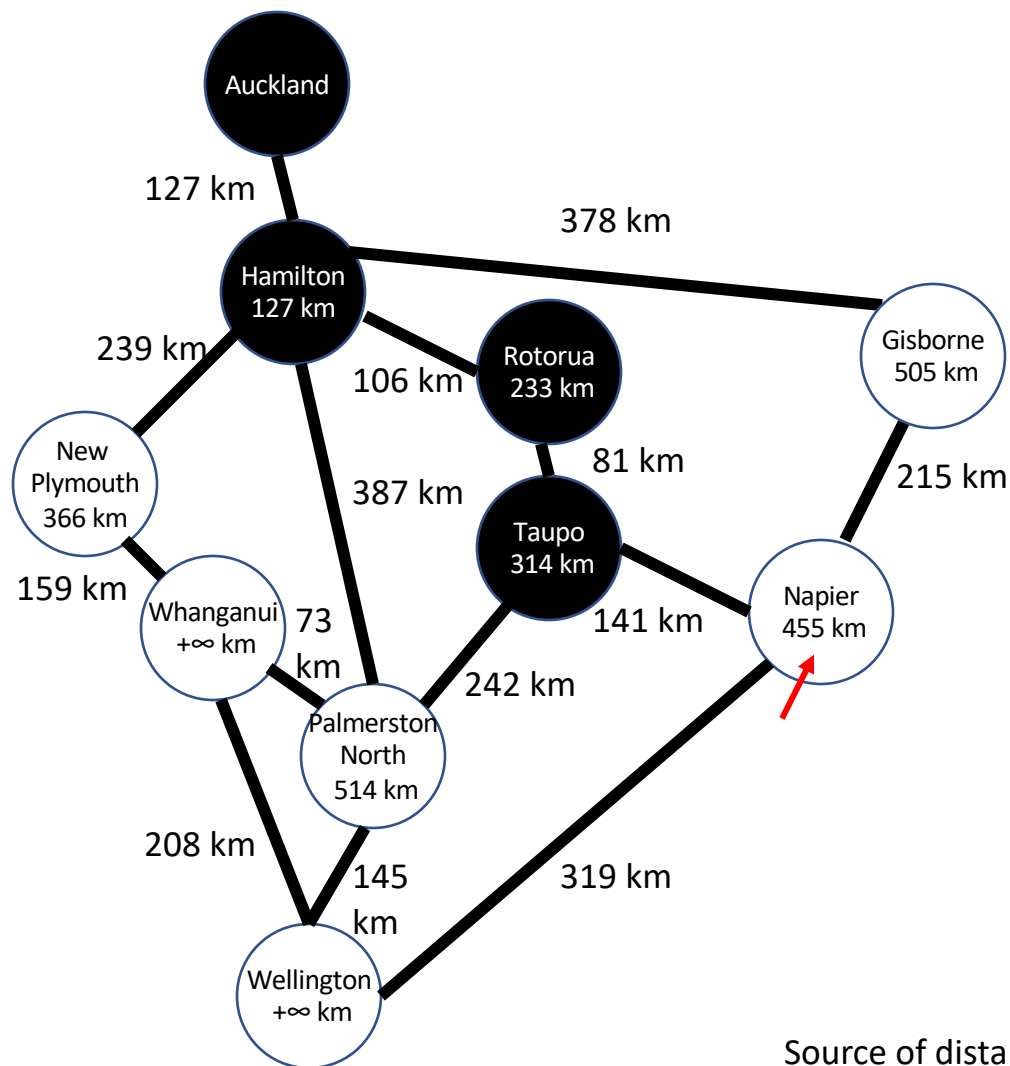
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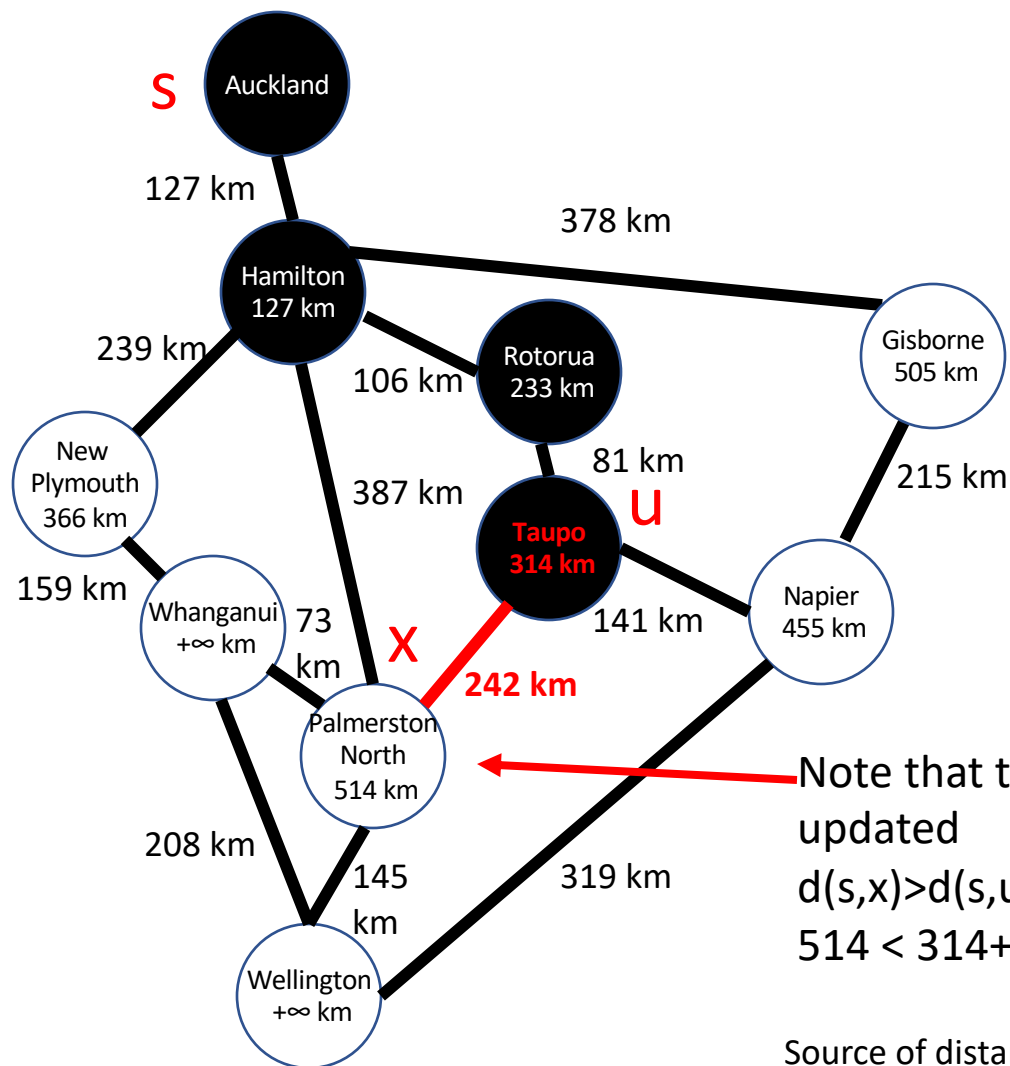
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Example: Dijkstra at Work



Source of distances: Google Maps

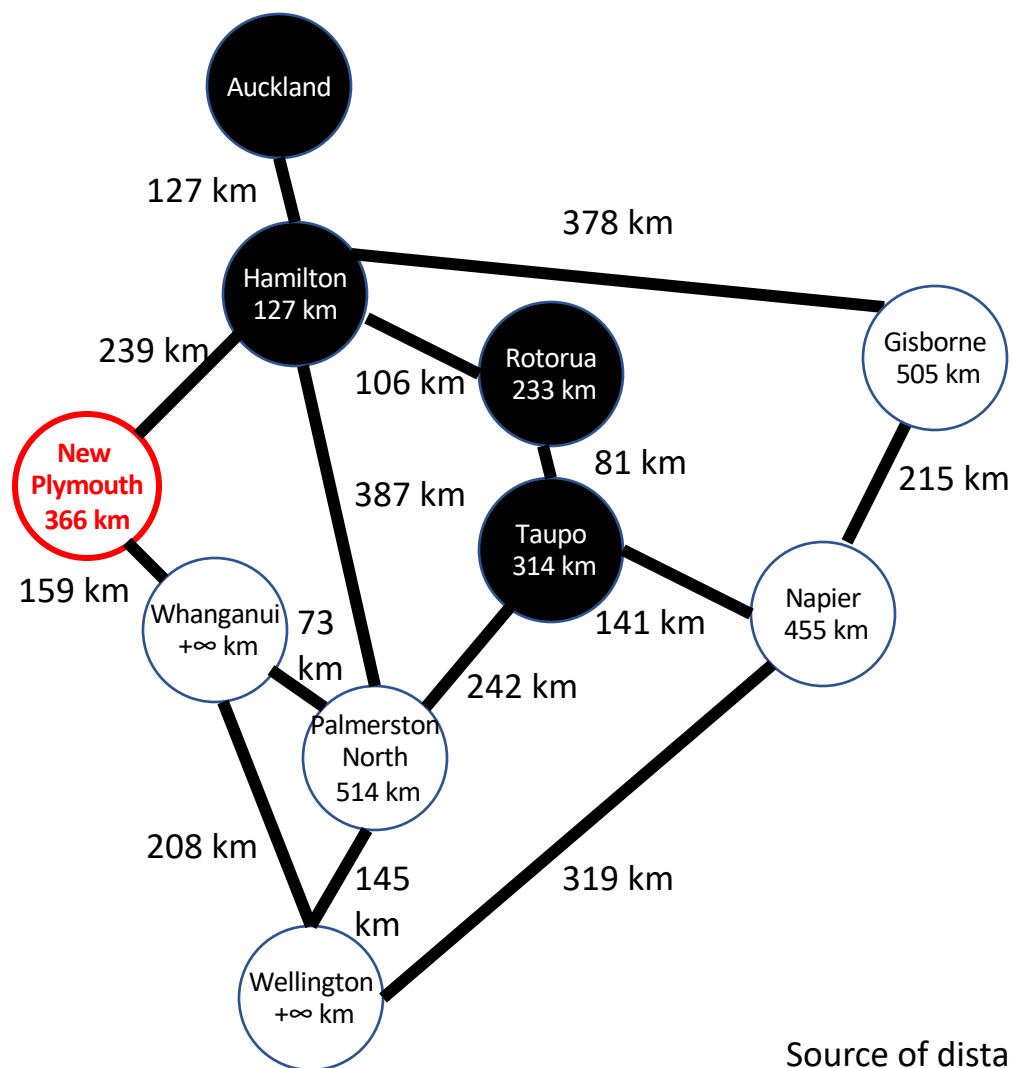
Example: Dijkstra at Work



Note that this has not been updated
 $d(s,x) > d(s,u) + c(u,x)$ is false
 $514 < 314 + 242 = 556$

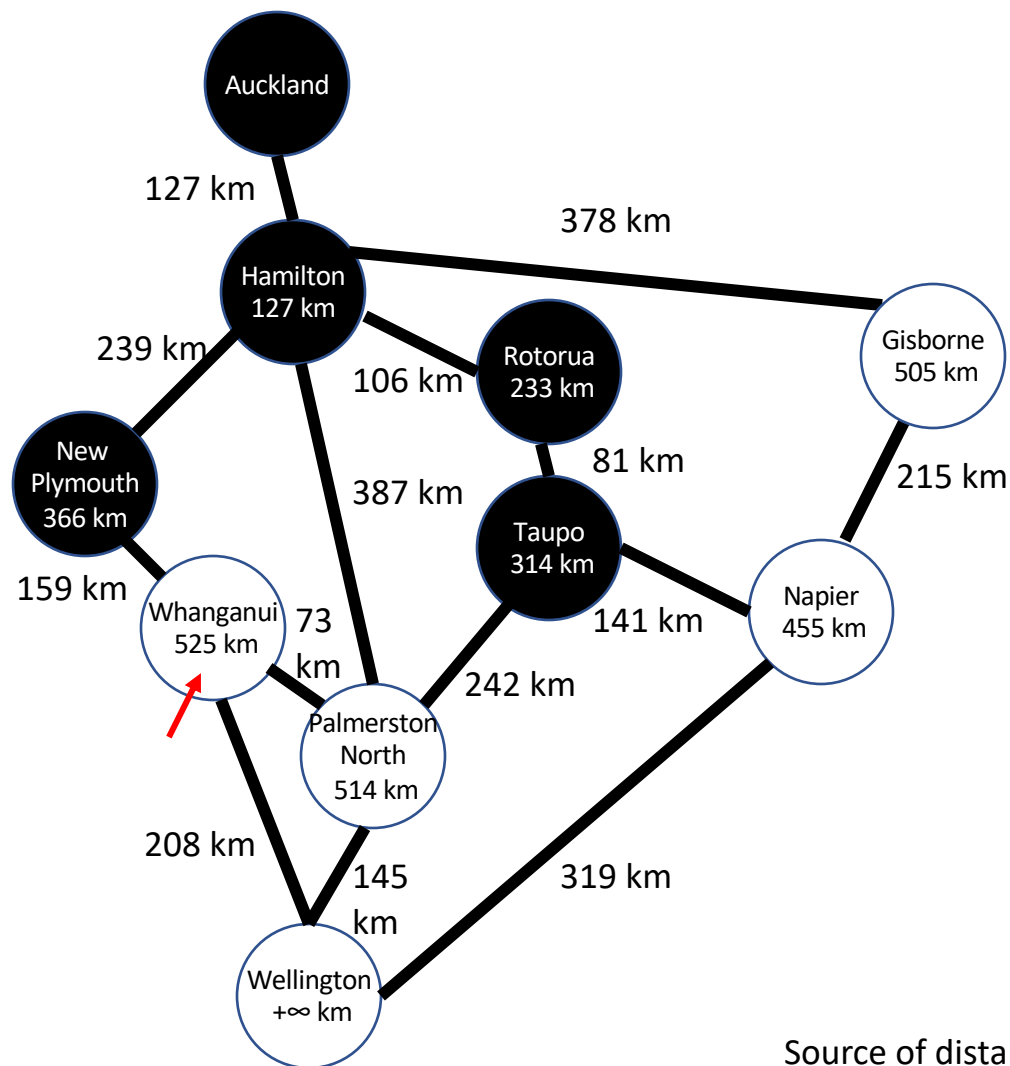
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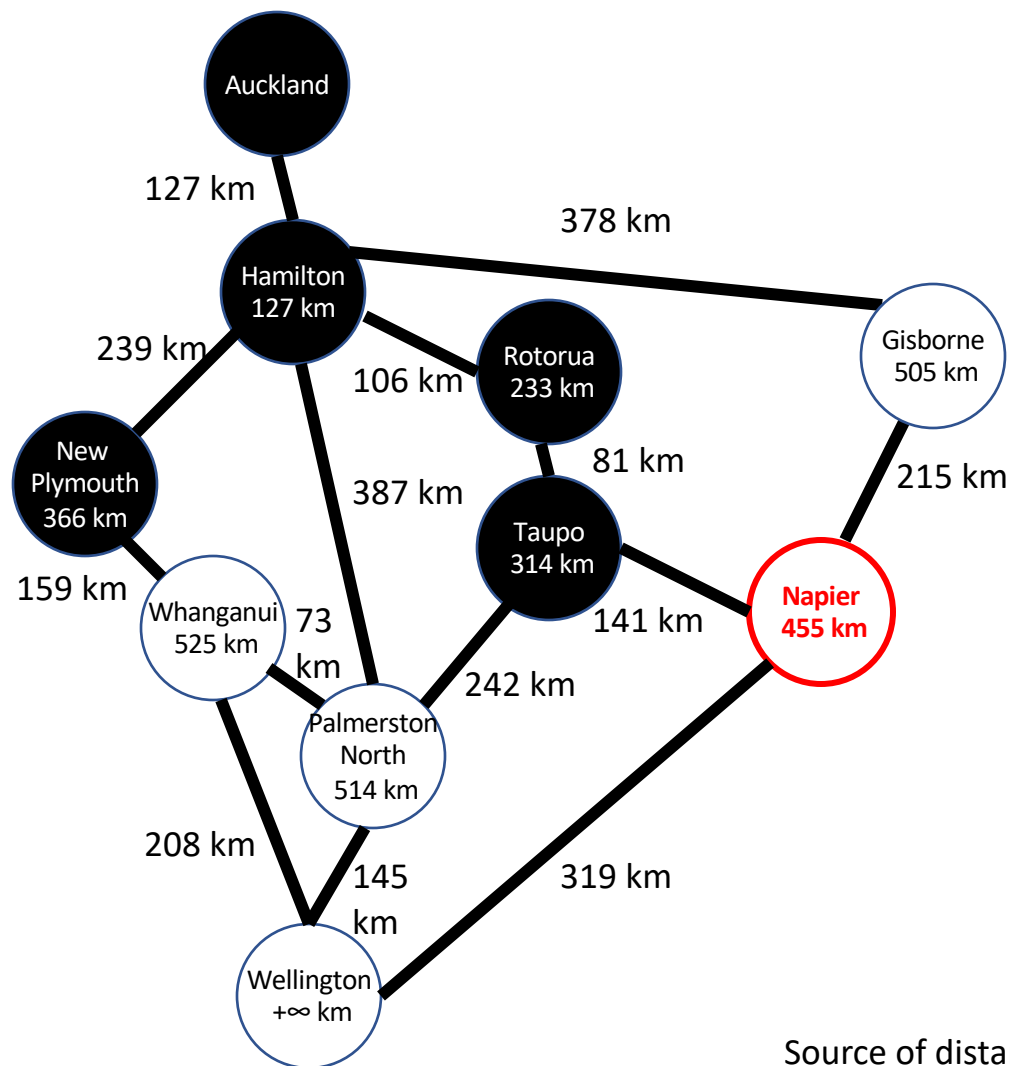
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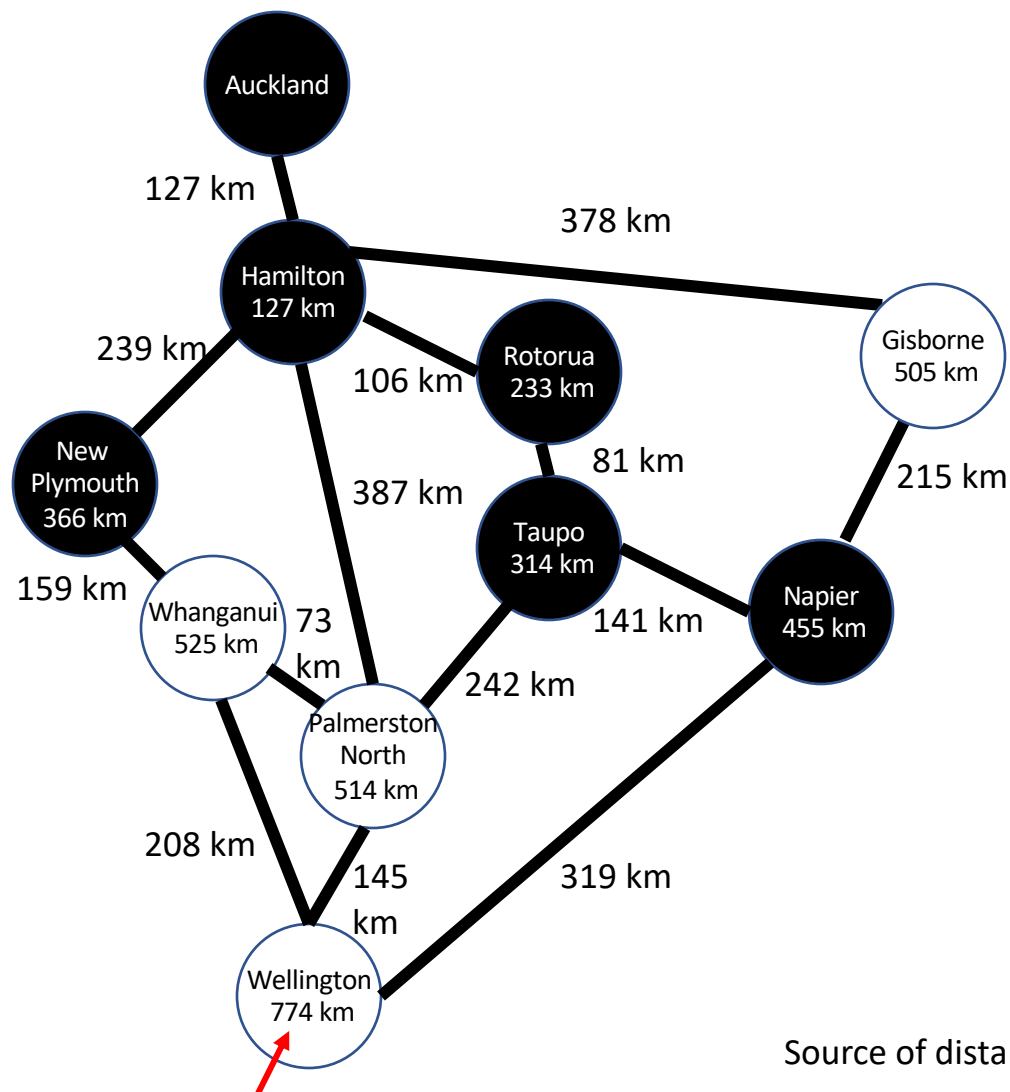
Source of distances: Google Maps

Example: Dijkstra at Work



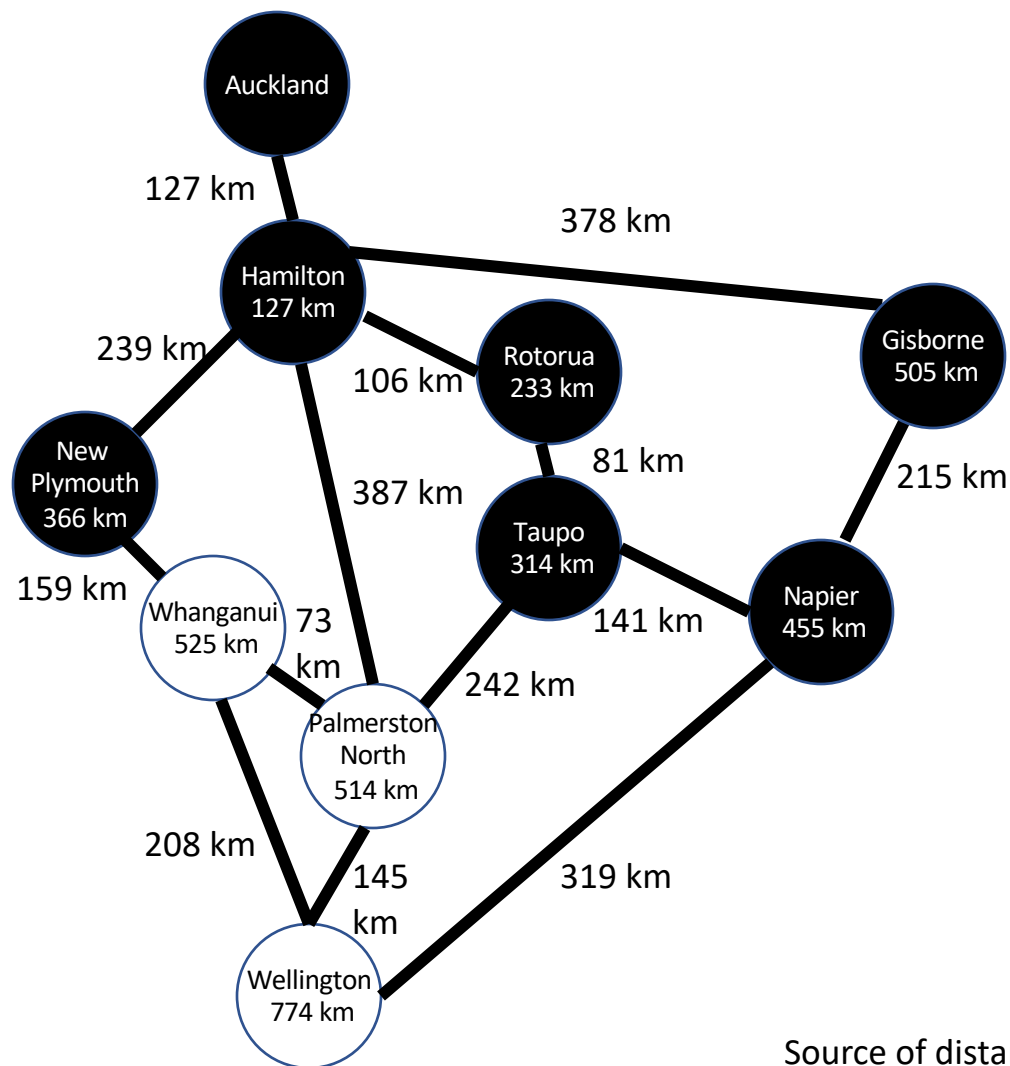
Source of distances: Google Maps

Example: Dijkstra at Work



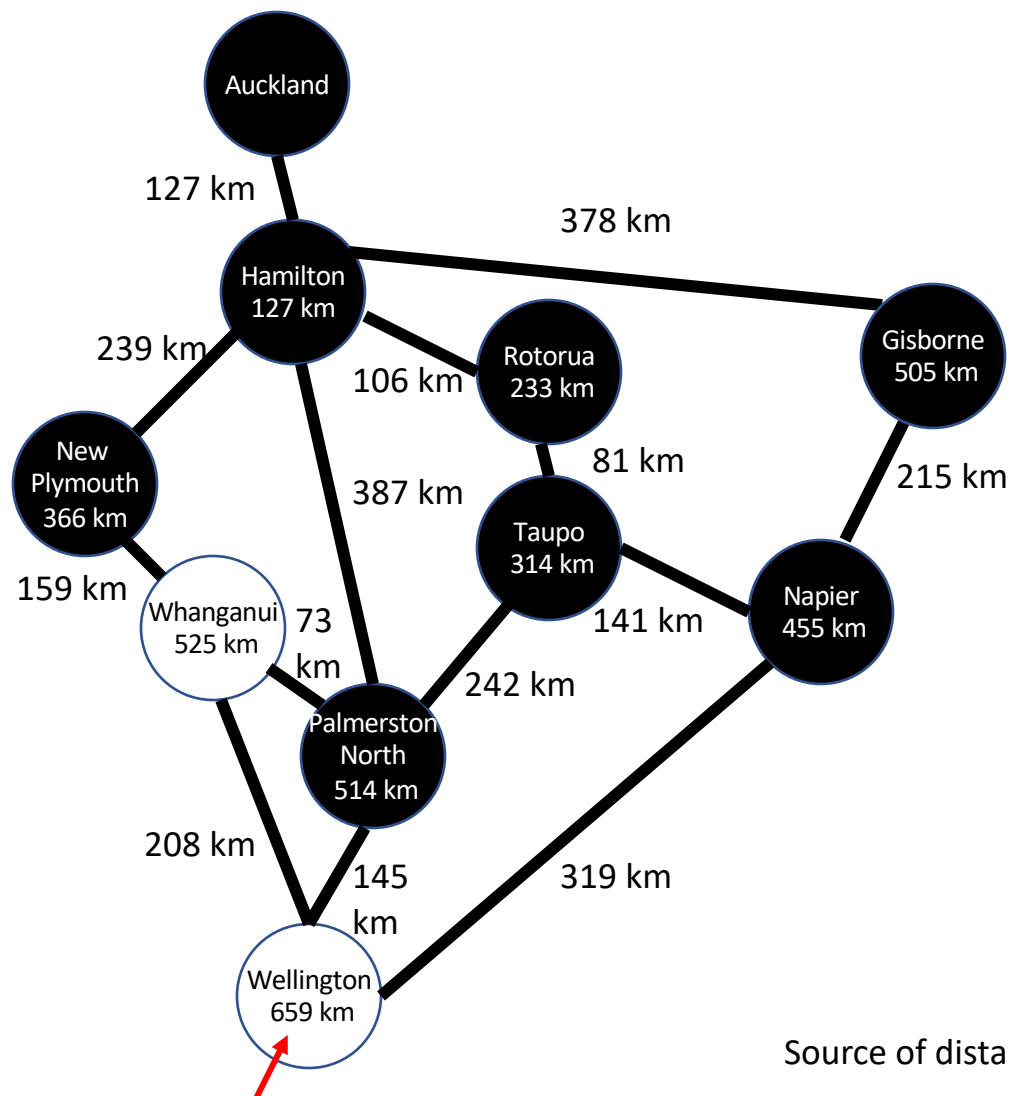
Source of distances: Google Maps

Example: Dijkstra at Work



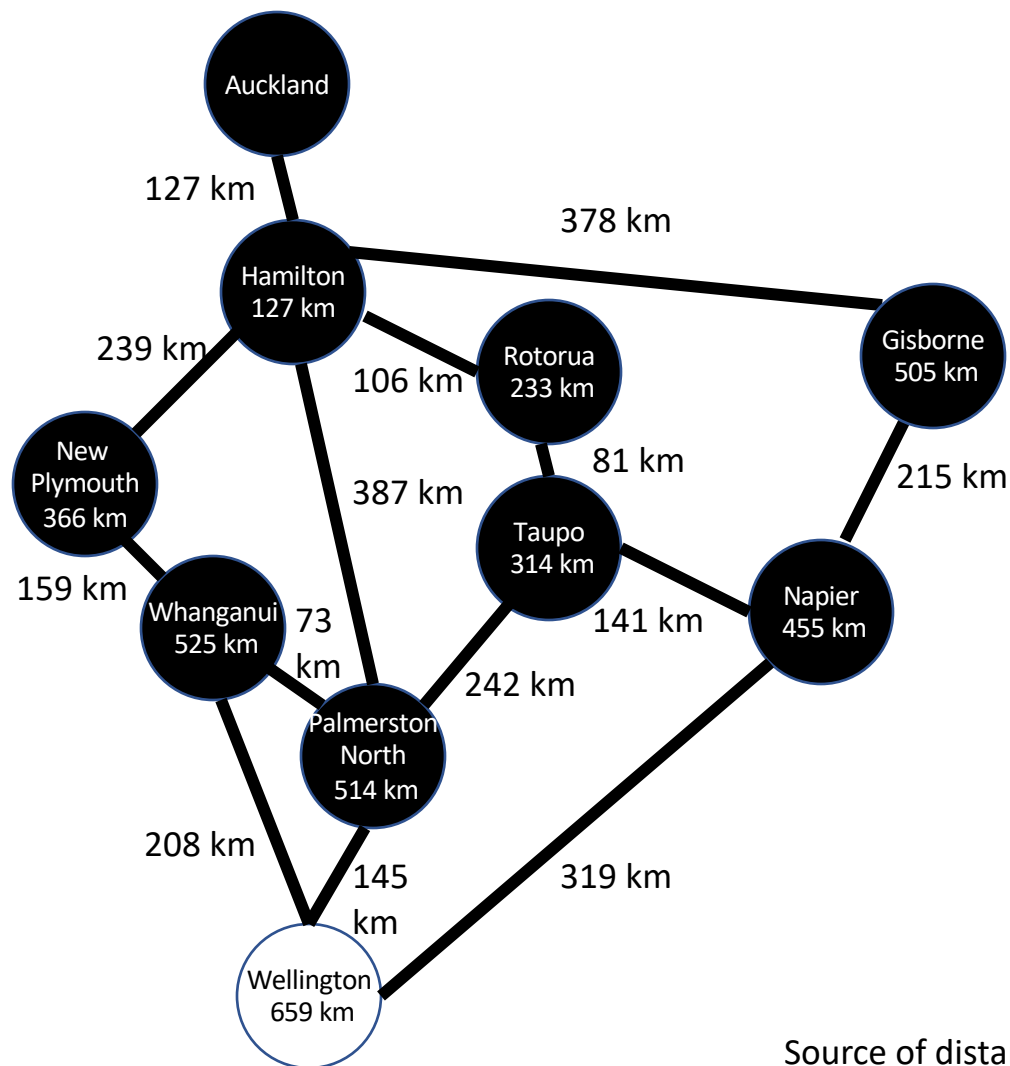
Source of distances: Google Maps

Example: Dijkstra at Work



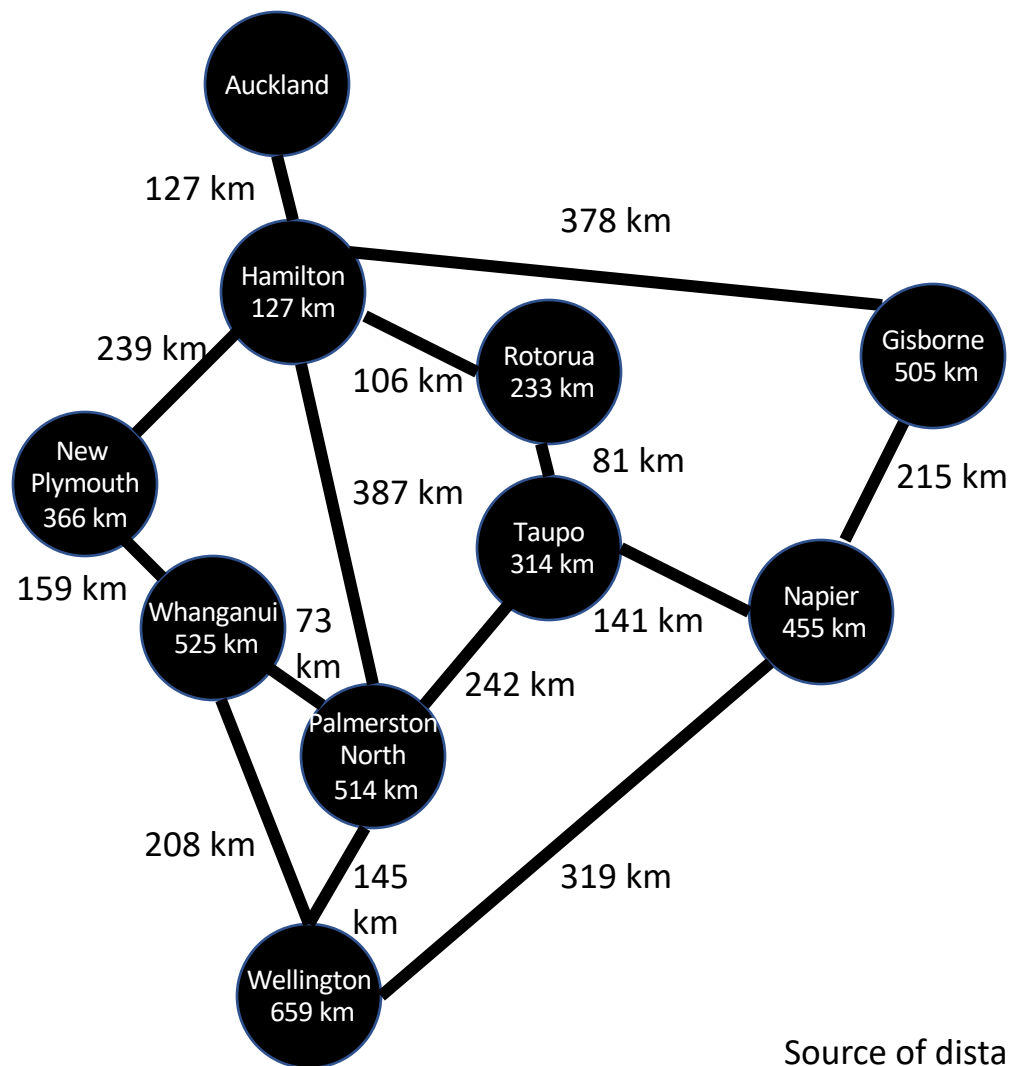
Source of distances: Google Maps

Example: Dijkstra at Work



Source of distances: Google Maps

Example: Dijkstra at Work

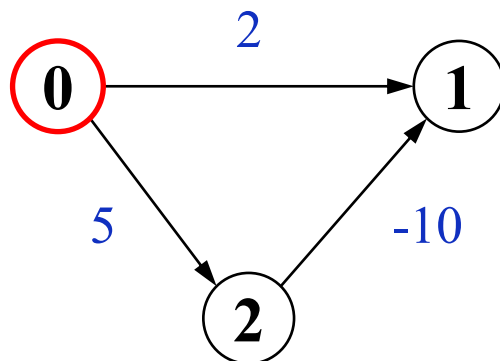


Source of distances: Google Maps

Time Complexity Analysis: Dijkstra's Algorithm

- Complexity with array implementation to find the minimum value of dist: $\Theta(n^2)$
 - $n^2 + m$ Adjacency list representation
 - $n^2 + n^2$ Adjacency matrix representation
 - n^2 : time taken to find the nodes with minimum value of dist
- Complexity depends on data structures used, especially for priority queue; $O((m + n) \log n)$ is possible.
 - n delete-min operations, and
 - m decrease-key operations at most
 - $\log n$: binary heap implementation of priority queue

Fact: Dijkstra's algorithm does not work with negative weights!



starting vertex **0** :

- shortest path from 0 to 2 is 5
- shortest path from 0 to 1 is $5 + (-10) = -5$

1st iteration: **1** is colored black

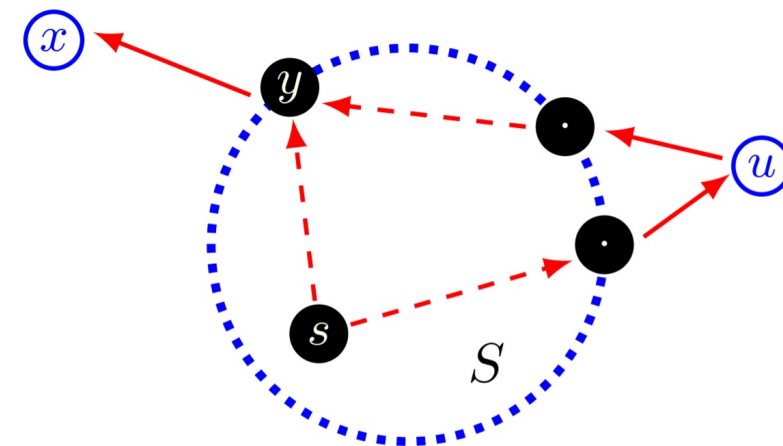
2nd iteration: **2** is colored black

Shortest path from 0 to 1 is not updated since 1 is already black!

Why Dijkstra's Algorithm Works

- **Fact.** Suppose that all weights are non-negative. At the top of *while* loop, these properties hold for all $x \in V(G)$:
- **P1:** $dist[x]$ is the minimum weight of an S-path to x .
- **P2:** if $color[x]=BLACK$, $dist[x]$ is the minimum weight.

Let an **S-path** be a path starting at node s and ending at node u with all nodes in S except **possibly** u .



In Other Words ...

- P1 guarantees that, in each iteration of the algorithm, we find a minimum weight path from s to a vertex $w \in V(G)$ that only uses vertices in S (black vertices) except possibly w .
- P2 guarantees that, for each black vertex w , we have already found a minimum weight path from s to w .
- Taken together, these two facts imply that Dijkstra's algorithm solves the single-source shortest path problem for weighted digraphs that do not have any **negative arc weights**.

Proof by Mathematical Induction

- Induction on the number of times k of going through the while-loop. We use S_k to denote the set of S at the k -th loop: $S_0 = \{s\}$; $dist[s] = 0$

P1: $dist[x]$ is the minimum weight of an S -path to x .

P2: if $color[x] = \text{BLACK}$, $dist[x]$ is the minimum weight.

- **Base case:** both P1 and P2 hold when $k=0$
- If $k=0$, then only the starting vertex s is black and $dist[s]=0$. Hence, both properties hold.
- **Inductive hypothesis:** P1 and P2 hold for $k \geq 0$; $S_{k+1} = S_k \cup \{u\}$.

Inductive Step for P1

- Inductive hypothesis: P1 and P2 hold for $k \geq 0$; $S_{k+1} = S_k \cup \{u\}$.

P1: $dist[x]$ is the minimum weight of an S -path to x .

P2: if $color[x] = \text{BLACK}$, $dist[x]$ is the minimum weight.

- In iteration $k+1$, the algorithm colors u black.
- Let $x \in V(G)$ and γ be any S_{k+1} -path from starting vertex s to x .
- We want to show that $|\gamma| \geq dist[x]$, then $|\gamma| = dist[x]$ for min path

Case 1: u is not a vertex of γ .

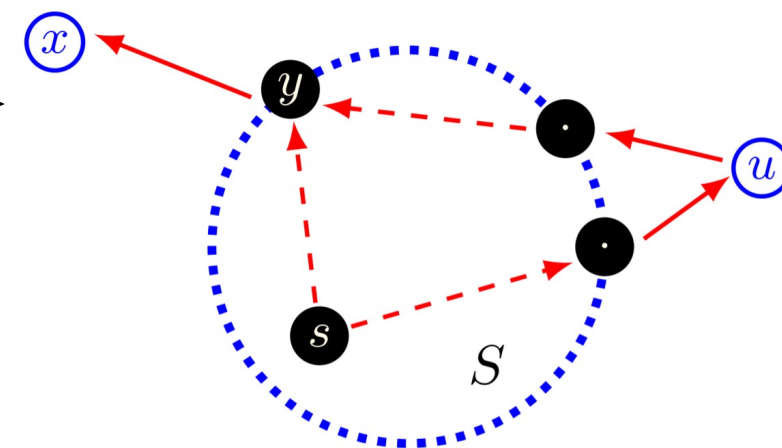
- Then γ is an S_k -path and the result follows from the induction hypothesis: $dist[x]$ is the minimum weight of an S_{k+1} -path from the starting vertex to x .

Inductive Step for P1 (Contd.)

- Inductive hypothesis: P1 and P2 hold for $k \geq 0$; $S_{k+1} = S_k \cup \{u\}$

P1: $dist[x]$ is the minimum weight of an S -path to x .

P2: if $color[x] = \text{BLACK}$, $dist[x]$ is the minimum weight.



Case 2: u is a vertex of γ .

- Subcase 2a: $\gamma = (s \dots u, x)$ Then let $\gamma_1 = (s \dots u)$. So $|\gamma| = |\gamma_1| + c(u, x) \geq dist[x]$
- Subcase 2B: $\gamma = (s \dots u \dots y, x)$

Let β be the minimum S_k path from s to y (not including u): $|\beta| = dist[y]$

By the induction hypothesis:

$$|\gamma| = |\gamma_1| + c(y, x) \geq |\beta| + c(y, x) = dist[y] + c(y, x) \geq dist[x].$$

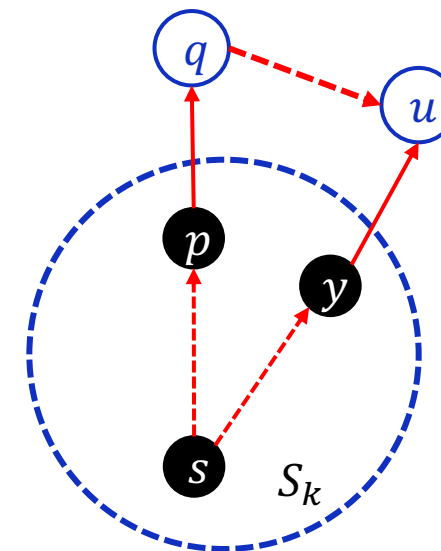
Inductive Step for P2

- Inductive hypothesis: P1 and P2 hold for $k \geq 0$; $S_{k+1} = S_k \cup \{u\}$.

P1: $dist[x]$ is the minimum weight of an S -path to x .

P2: if $color[x] = \text{BLACK}$, $dist[x]$ is the minimum weight.

Case 1: If $x \in S_{k+1}$ and $x \neq u$, P2 holds by hypothesis

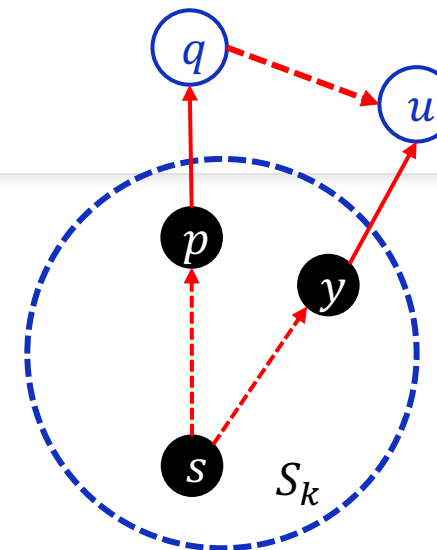


Inductive Step for P2 (Contd.)

- Inductive hypothesis: P1 and P2 hold for $k \geq 0$; $S_{k+1} = S_k \cup \{u\}$.

P1: $dist[x]$ is the minimum weight of an S -path to x .

P2: if $color[x] = \text{BLACK}$, $dist[x]$ is the minimum weight.



Case 2: If $x=u$, for any S_k -path, we have $dist[u]$ be the shortest by hypothesis. We only need to show that there is no shorter path to u that contains any node that is not in S_{k+1} .

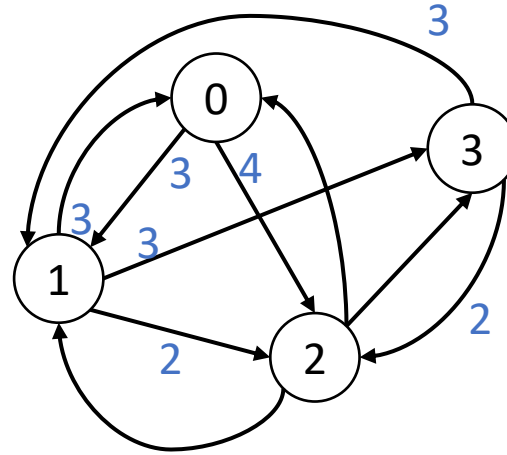
Let $\gamma=(s,\dots,p,q,\dots,u)$ be any of the above path to u , and q is the first node in γ that leaves S_k . Let $\gamma_1 = (s, \dots, p)$, $\gamma_2 = (q, \dots, u)$

- By hypothesis (P1): $|\gamma_1| + c(p, q) \geq dist[q]$ (γ_1 is an S_k path)
- By non-negative weights: $|\gamma_2| \geq 0$

By 1 and 2, we have $|\gamma| = |\gamma_1| + c(p, q) + |\gamma_2| \geq dist[q]$. At time $k+1$, we choose u not q , this implies $dist[q] \geq dist[u]$, thus $|\gamma| \geq dist[u]$.

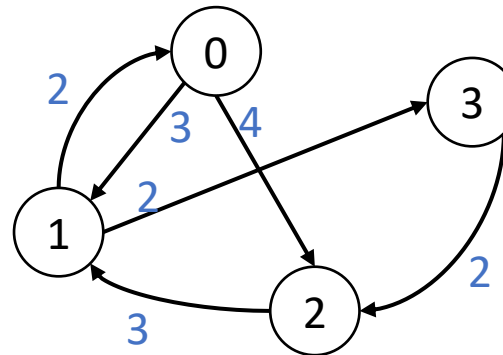
Example 28.3. Draw the weighted graph given by the weighted matrix below.

$$\begin{bmatrix} 0 & 3 & 4 & 0 \\ 3 & 0 & 1 & 3 \\ 4 & 1 & 0 & 2 \\ 0 & 3 & 2 & 0 \end{bmatrix}$$

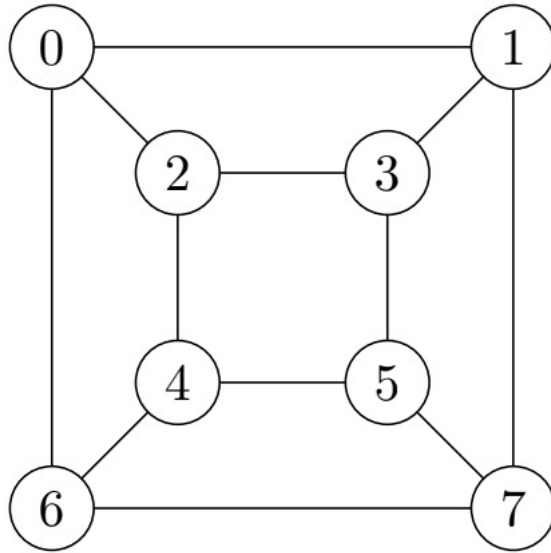


Draw the weighted digraph given by the weighted list representation below.

0	1	3	2	4
1	0	2	3	2
2	1	3		
3	2	1		



Example 28.7. What is the diameter of the 3-cube in Example 28.2?



$$d(0,1)=1$$

$$d(0,2)=1$$

$$d(0,3)=2$$

$$d(0,4)=2$$

$$d(0,5)=3$$

$$d(0,6)=1$$

$$d(0,7)=2$$

$$d(1,0)=1$$

$$d(1,2)=2$$

$$d(1,3)=1$$

$$d(1,4)=3$$

$$d(1,5)=2$$

$$d(1,6)=2$$

$$d(1,7)=1$$

...

$$d(7,0)=2$$

$$d(7,1)=1$$

$$d(7,2)=3$$

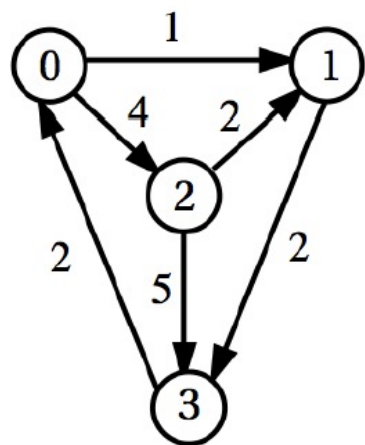
$$d(7,3)=2$$

$$d(7,4)=2$$

$$d(7,5)=1$$

$$d(7,6)=1$$

Example 28.11. An application of Dijkstra’s algorithm on the digraph below for each starting vertex s . Complete the table for the starting vertex 2.



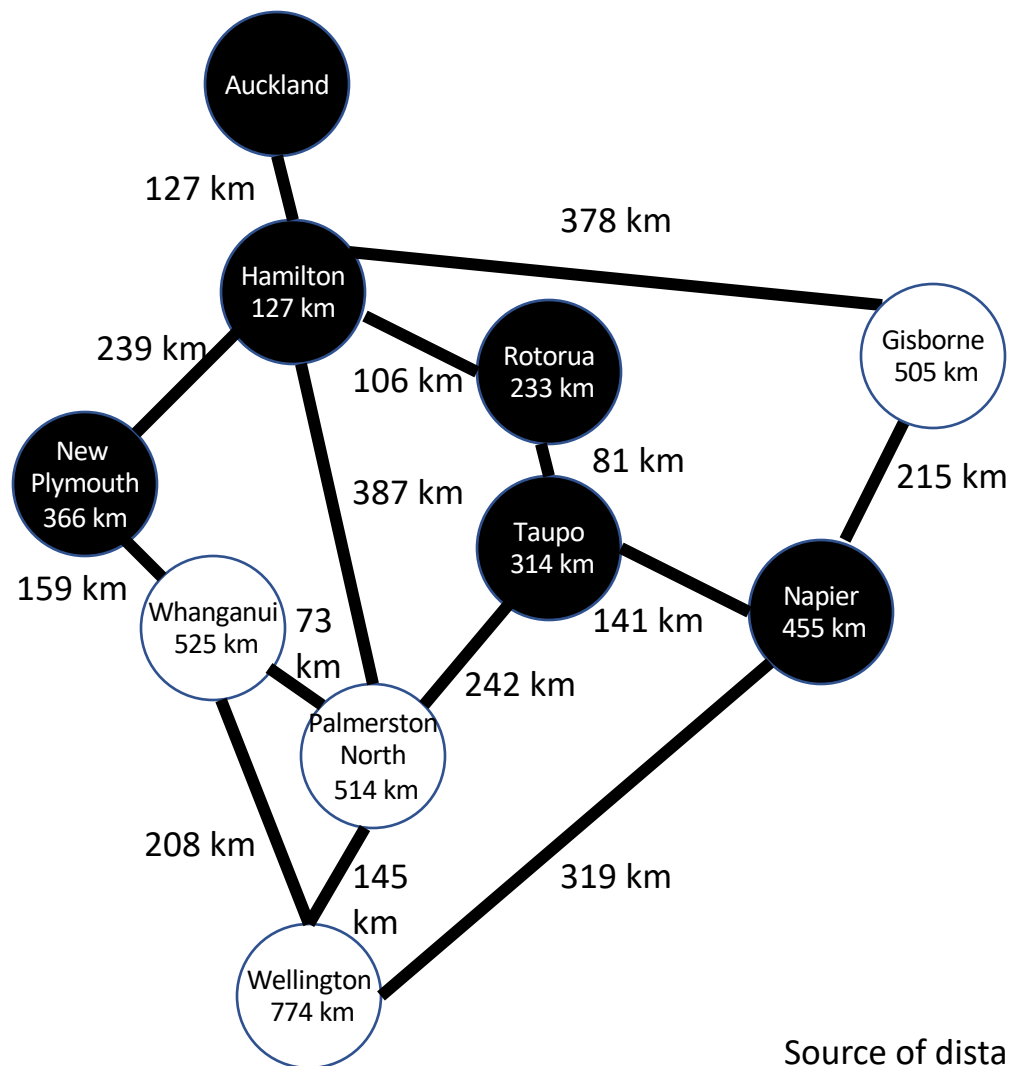
The table illustrates that the distance array is updated at most $n - 1$ times (only before a new vertex is selected and added to S). Thus we could have omitted the lines with $S = \{0, 1, 2, 3\}$.

current $S \subseteq V$	distance vector dist
$\{0\}$ $\{0, 1\}$ $\{0, 1, 3\}$ $\{0, 1, 2, 3\}$	$0, 1, 4, \infty$ $0, 1, 4, 3$ $0, 1, 4, 3$ $0, 1, 4, 3$
$\{1\}$ $\{1, 3\}$ $\{0, 1, 3\}$ $\{0, 1, 2, 3\}$	$\infty, 0, \infty, 2$ $4, 0, \infty, 2$ $4, 0, 8, 2$ $4, 0, 8, 2$
$\{2\}$ $\{ \textcolor{red}{1}, \textcolor{blue}{2} \}$ $\{ \textcolor{red}{1}, \textcolor{blue}{2}, \textcolor{green}{3} \}$ $\{0, 1, 2, 3\}$	$\infty, \textcolor{red}{2}, 0, 5$ $\infty, 2, 0, \textcolor{green}{2} + \textcolor{green}{2}$ $2 + 2 + 2, 2, 0, 2 + 2$ $6, 2, 0, 4$
$\{3\}$ $\{0, 3\}$ $\{0, 1, 3\}$ $\{0, 1, 2, 3\}$	$2, \infty, \infty, 0$ $2, 3, 6, 0$ $2, 3, 6, 0$ $2, 3, 6, 0$

Dijkstra Algorithm: Negative Weights

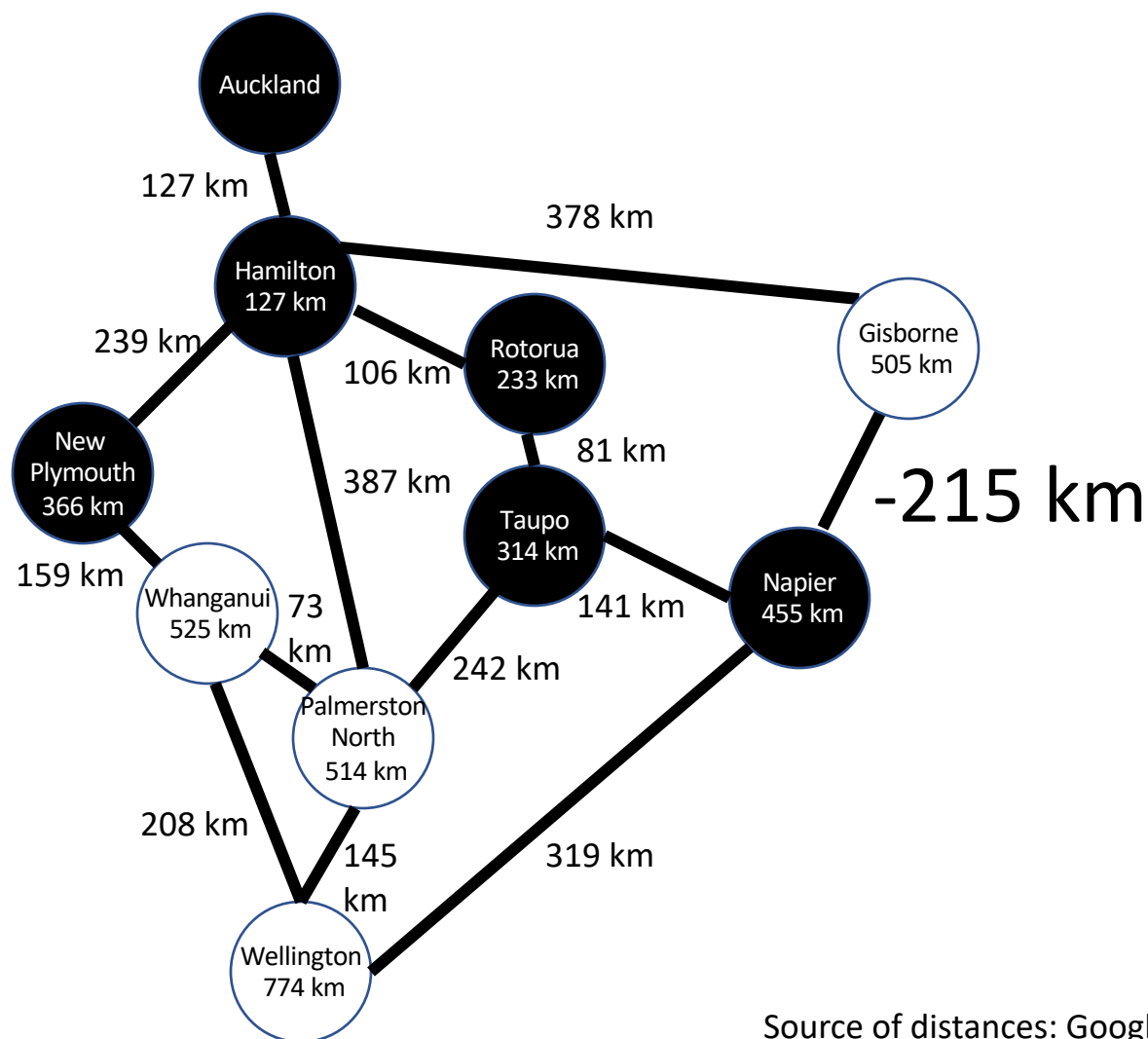
- One of the property of Dijkstra's algorithm is that once a node turns black its distance is not updated any longer
- This means that even if a new cheaper route is available, a black node's distance will not get updated – a case that would only be there when there are negative weights.

Example: Dijkstra at Work



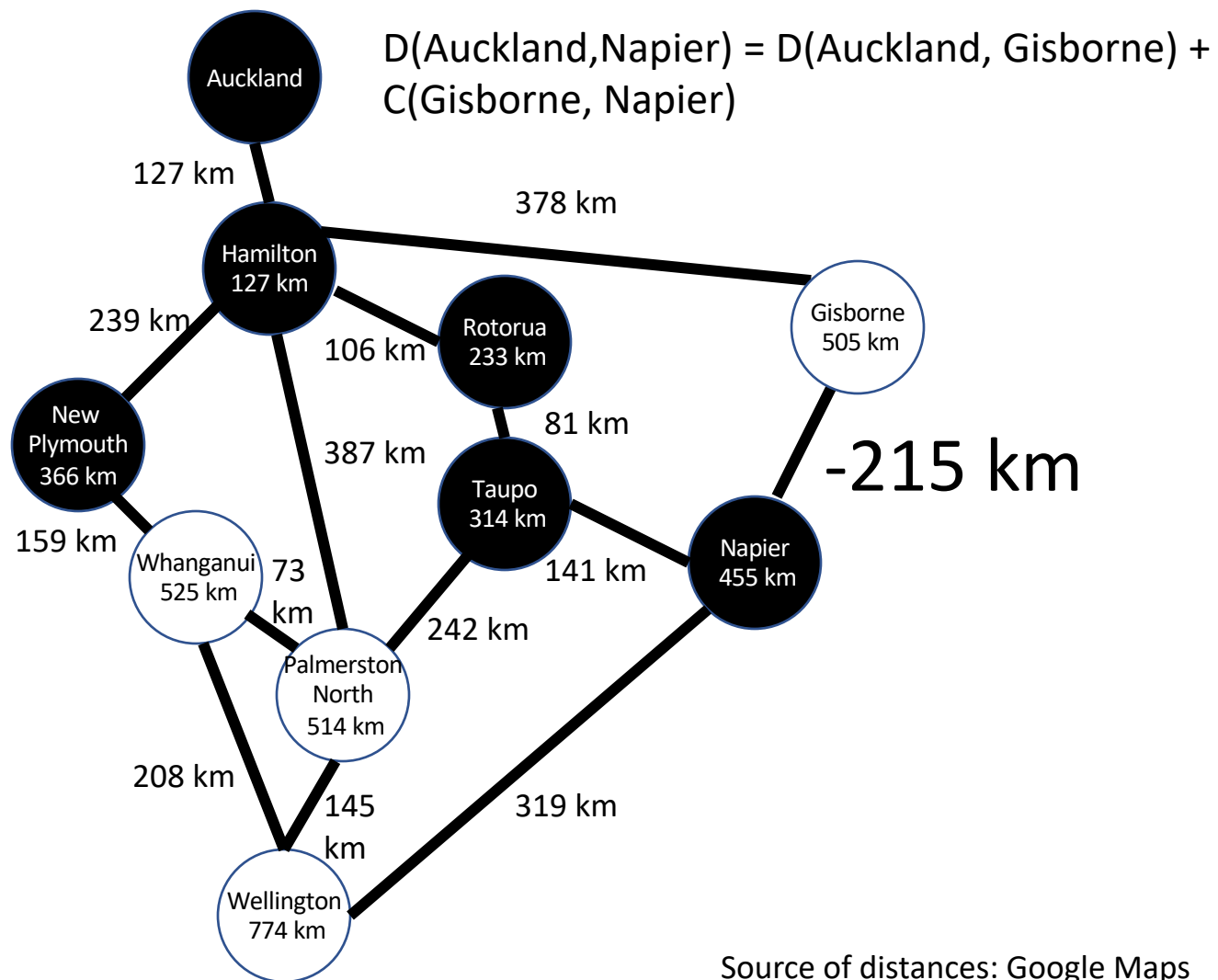
Source of distances: Google Maps

Example: Adding a Negative Weight



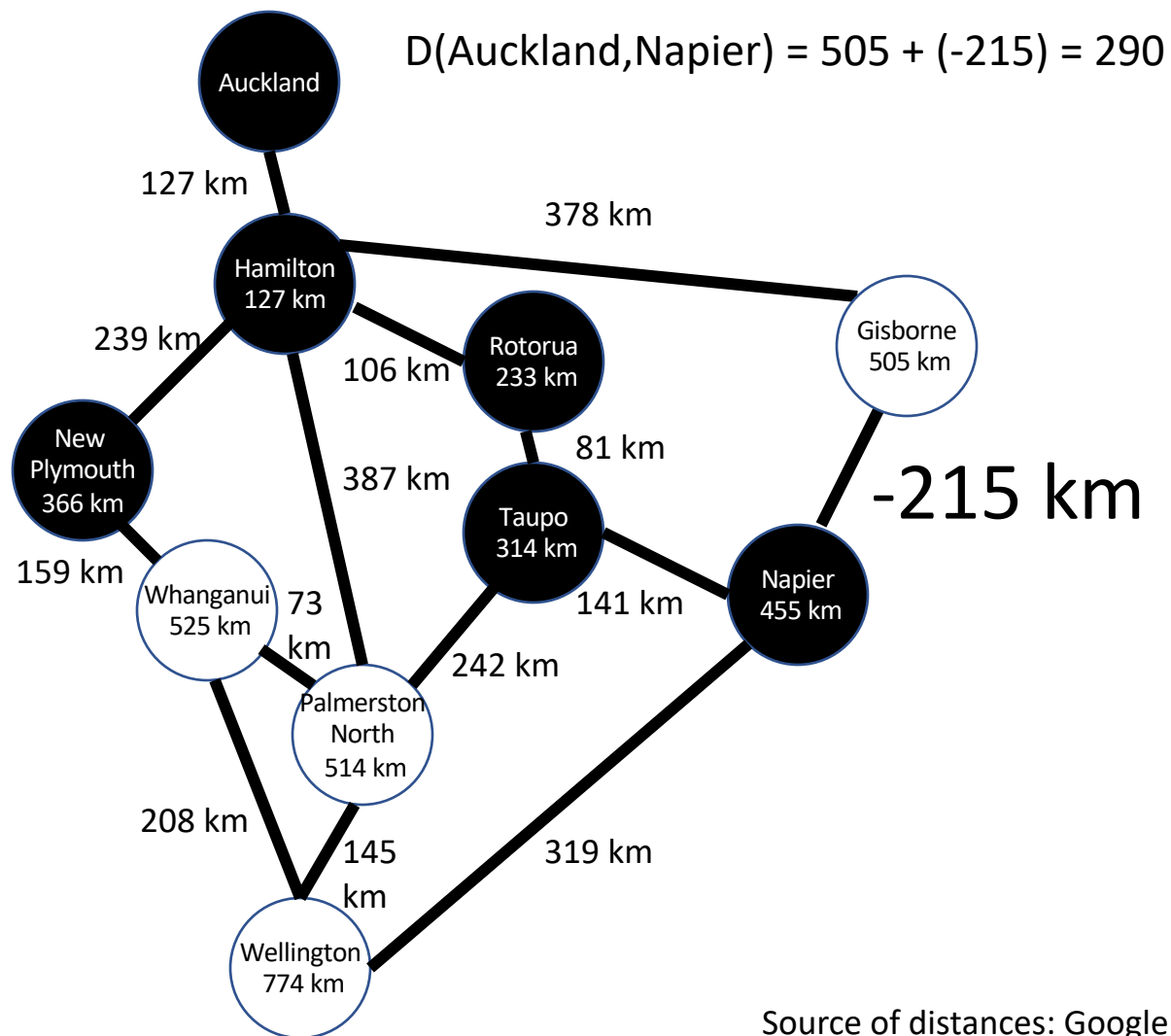
Source of distances: Google Maps

Example: Adding a Negative Weight



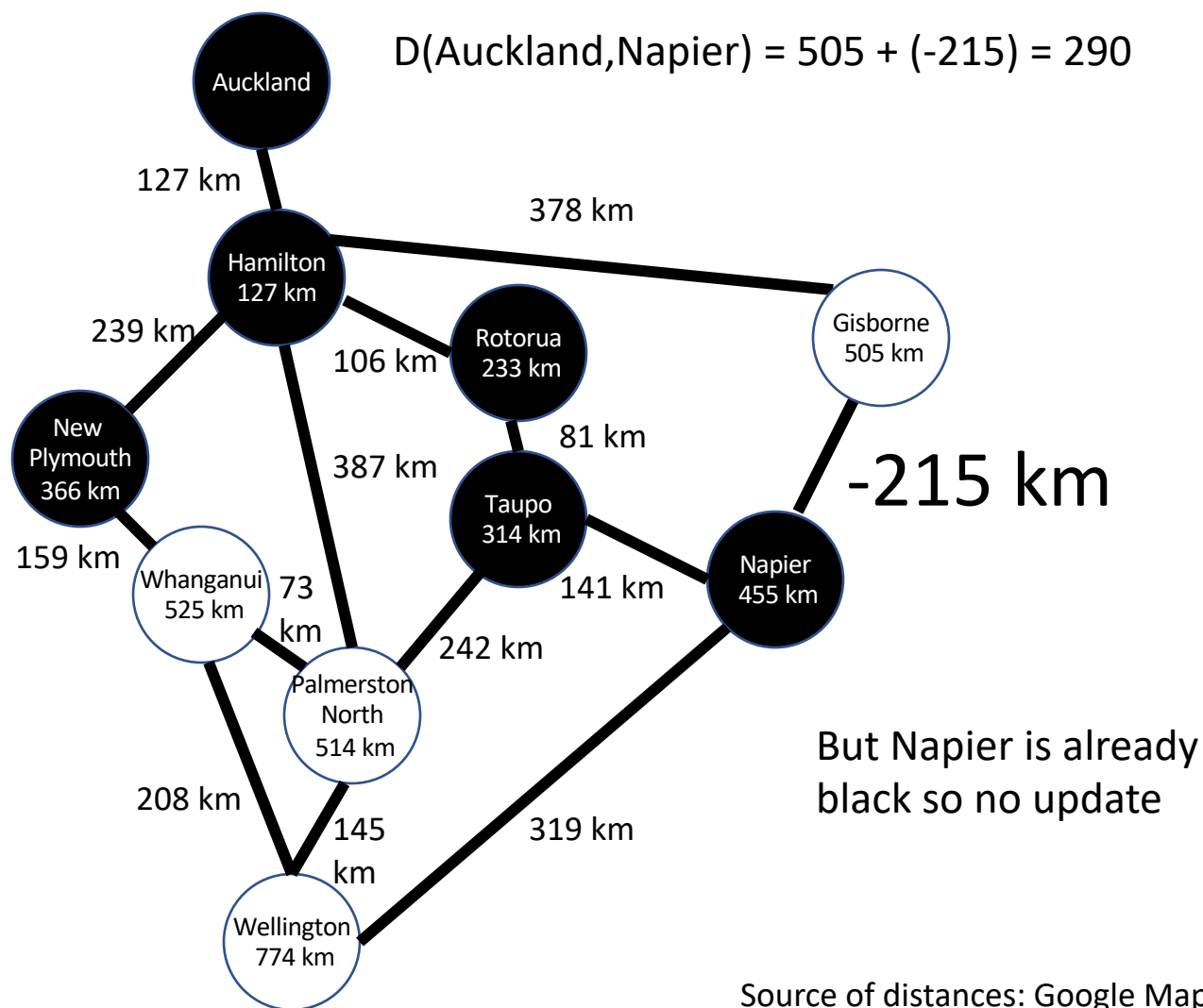
Source of distances: Google Maps

Example: Adding a Negative Weight



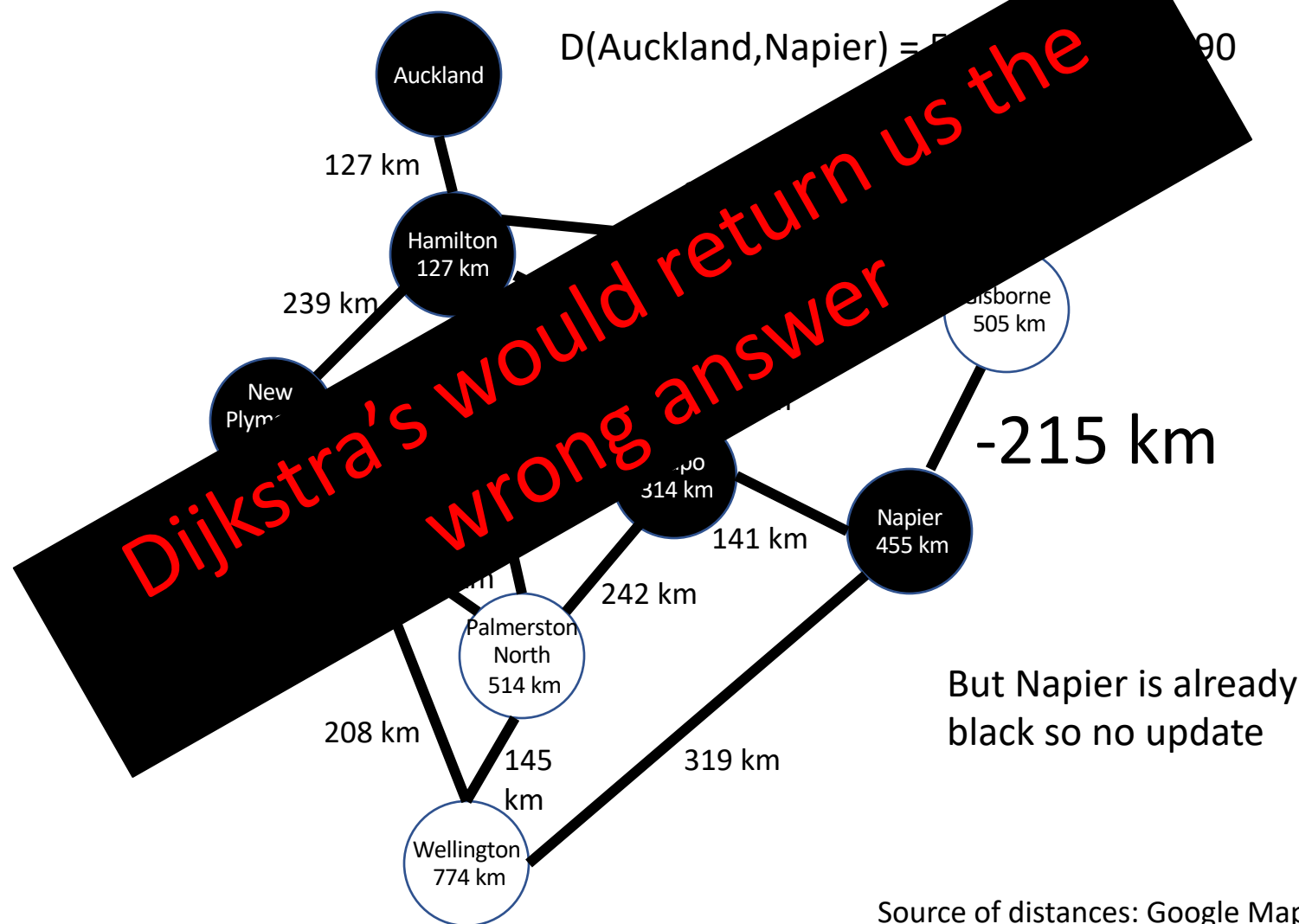
Source of distances: Google Maps

Example: Adding a Negative Weight



Source of distances: Google Maps

Example: Adding a Negative Weight



Source of distances: Google Maps

SUMMARY

- Weighted Graphs
 - Representation
 - Weight as cost functions
- Algorithms on Weighted Graphs
 - Dijkstra
 - Bellman-Ford
 - Floyd-Warshall

