# Shortest Path I: Dijkstra

Instructor: Meng-Fen Chiang

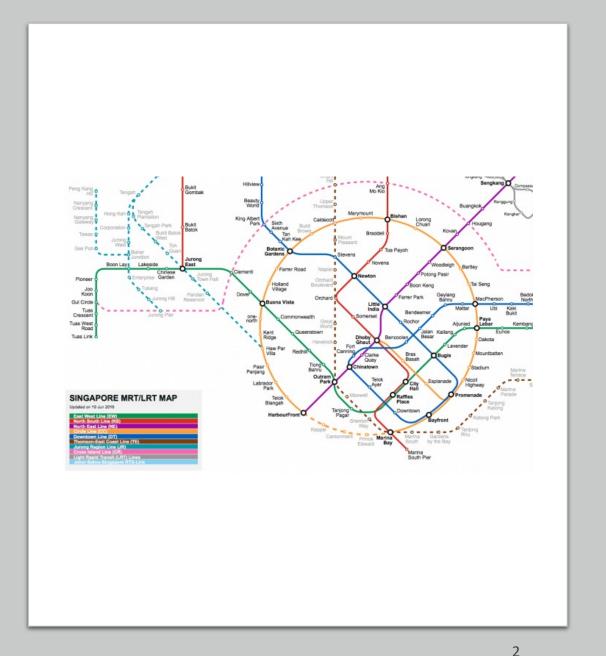
**COMPSCI: WEEK 11.3** 





#### OUTLINE

- Weighted Graphs
  - Representation
  - Weight as cost functions
- Algorithms on Weighted Graphs
  - Dijkstra
  - Bellman-Ford
  - Floyd-Warshall



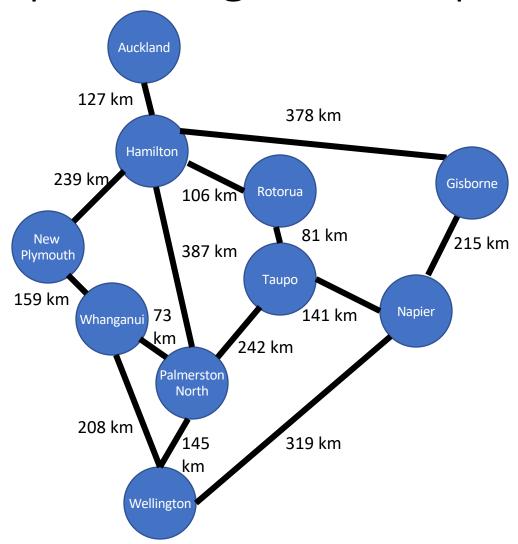


#### Weighted (Di)graphs

- Very common in applications, also called "networks". Optimization problems on networks are important in operations research.
- Each arc carries a real number "weight", usually positive, can be +∞. Weight typically represents cost, distance, time. We may use the words "weight" and "cost" interchangeably in the slides.
- Representation: weighted adjacency matrix or double adjacency list.
- Standard problems concern finding a minimum or maximum weight path between given nodes (covered here), spanning tree, cycle or tour (e.g TSP), matching, flow, etc.

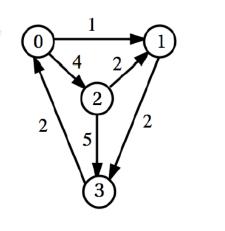


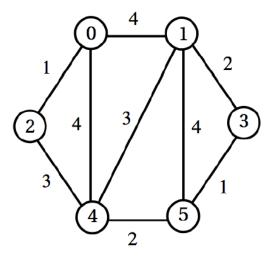
### Example: Weighted Graph





#### Computer Representations of Weighted Digraphs

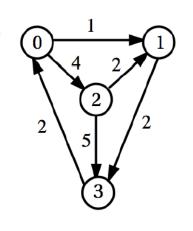


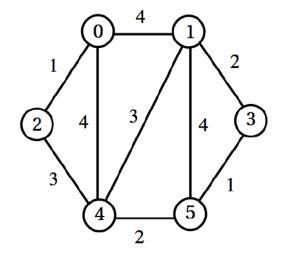


Weighted Adjacency Matrices (Cost Matrices):



#### Computer Representations of Weighted Digraphs





Weighted (Double) Adjacency Lists:

1 1 2 4 3 2 1 2 3 5 0 2

1	4	2	1	4	4		
0	4	3	2	4	3	5	4
0	1	4	3				
1	2	5	1				
0	4	1	3	2	3	5	2
1	4	3	1	4	2		



### Example: Distance Matrix Representation

	Auckland	Gisborne	Hamilton	Napier	New Plymouth	Palmerston North	Rotorua	Taupo	Wellington	Whanganui
Auckland	0	$\infty$	127	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
Gisborne	$\infty$	0	378	215	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
Hamilton	127	378	0	$\infty$	239	387	106	$\infty$	$\infty$	$\infty$
Napier	$\infty$	215	$\infty$	0	$\infty$	$\infty$	$\infty$	141	319	$\infty$
New Plymouth	$\infty$	$\infty$	239	$\infty$	0	$\infty$	$\infty$	$\infty$	$\infty$	159
Palmerston North	$\infty$	$\infty$	387	$\infty$	$\infty$	0	$\infty$	242	145	73
Rotorua	$\infty$	$\infty$	106	$\infty$	$\infty$	$\infty$	0	81	$\infty$	$\infty$
Taupo	$\infty$	$\infty$	$\infty$	141	$\infty$	242	81	0	$\infty$	$\infty$
Wellington	$\infty$	$\infty$	$\infty$	319	$\infty$	145	$\infty$	$\infty$	0	208
Whanganui	$\infty$	$\infty$	$\infty$	$\infty$	159	73	$\infty$	$\infty$	208	0



#### Example: Adjacency List Representation

Auckland: Hamilton, 127

Gisborne: Hamilton, 378, Napier, 215

Hamilton: Auckland, 127, Gisborne 378, New Plymouth, 239, Palmerston North, 387, Rotorua, 106

Napier: Gisborne, 215, Taupo, 141, Wellington, 319

New Plymouth: Hamilton, 239, Whanganui, 159

Palmerston North: Hamilton, 387, Taupo, 242, Whanganui, 73, Wellington, 145

Rotorua: Hamilton, 106, Taupo, 81

Taupo: Napier, 141, Palmerston North, 242, Rotorua, 81

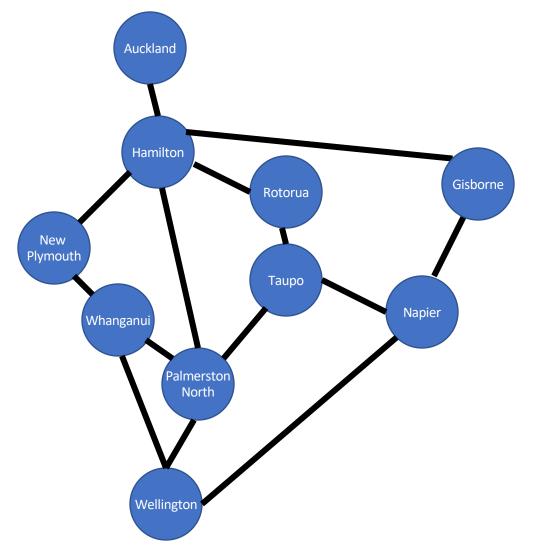
Wellington: Napier, 319, Palmerston North, 145, Whanganui, 208

Whanganui: New Plymouth, 159, Palmerston North, 73, Wellington, 208

Essentially, we need the same number of extra storage spaces as there are objects, so the fundamental complexity does not change!

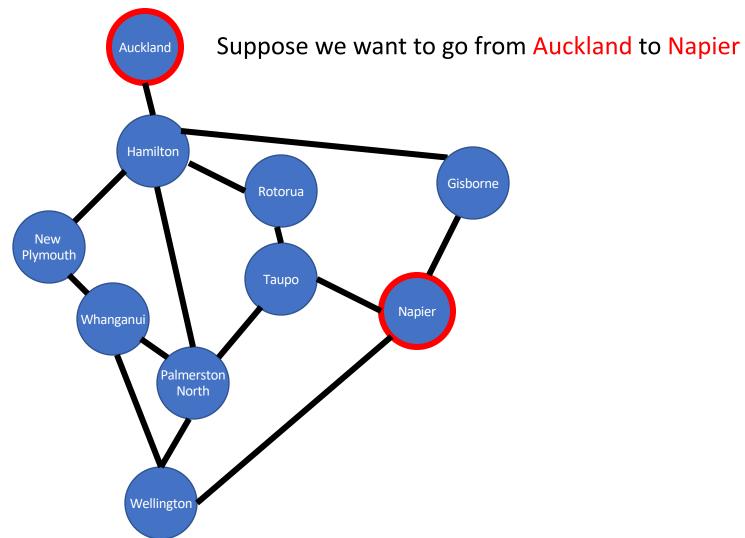


## Graph: North Island Road Network



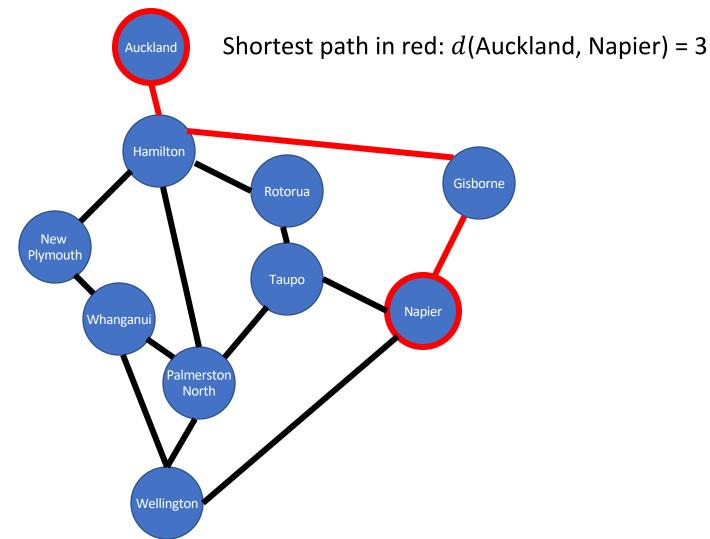


## Graph: North Island Road Network

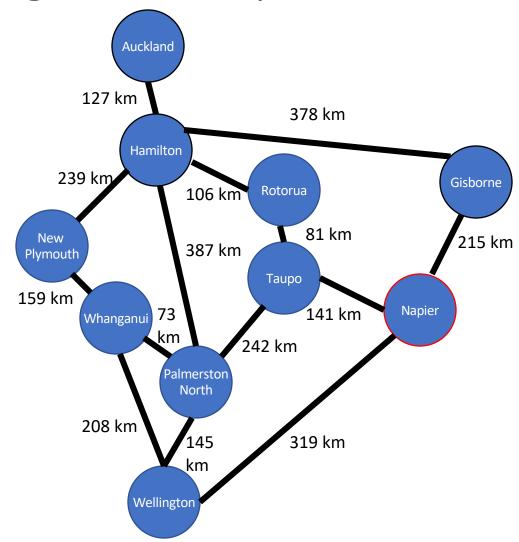




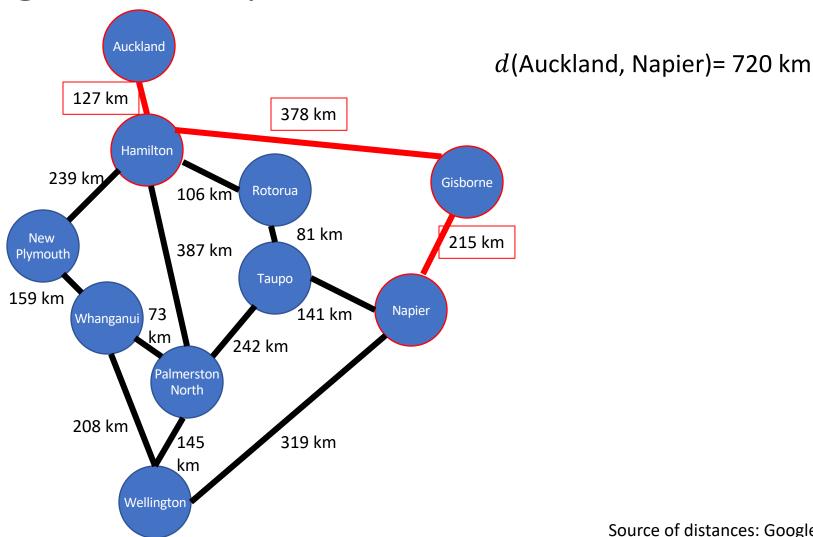
# Graph: North Island Road Network



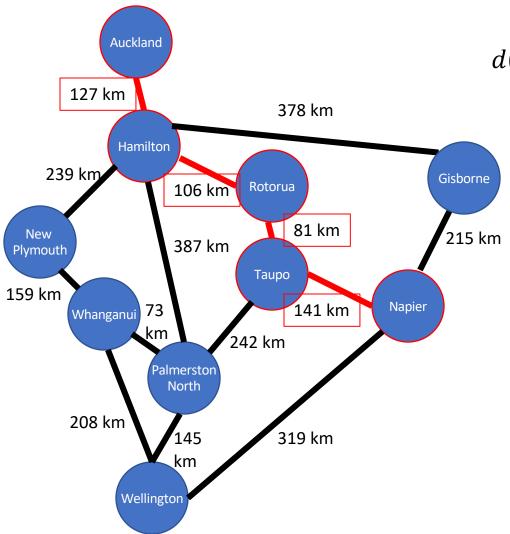
### Weighted Graph: North Island Road Network



### Weighted Graph: North Island Road Network



### Weighted Graph: North Island Road Network



d(Auckland, Napier)= 455 km

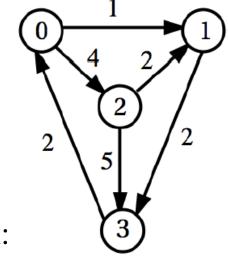


#### Paths/Distances (revisited)

- **Definition**. For a digraph (V, E) with arc weights  $\{c(u, v) | (u, v) \in E\}$  we say that the distance d(u, v) between two vertices u and v of V is the minimum cost of a path between u and v. The cost of a walk/path  $v_0, v_1, \dots, v_k$  is  $\sum_{i=0}^{k-1} c(v_i, v_{i+1})$
- **Definition**. The diameter of a (di-)graph G = (V, E) is the maximum of d(u, v) over all pairs  $u, v \in V$ . If the (di-)graph is not (strongly) connected, the diameter of G is not defined.



#### Example: Diameter



weighted adjacency matrix:

distance matrix:

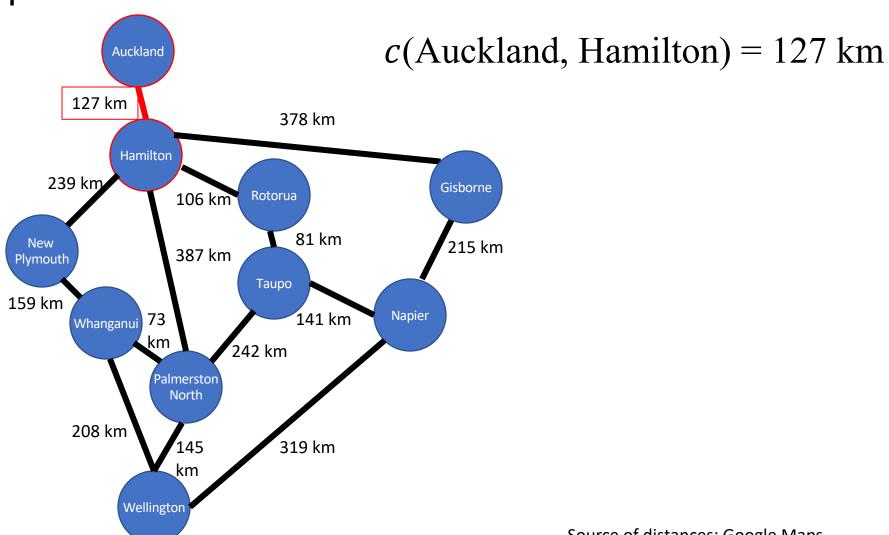
$$\begin{bmatrix}
0 & 1 & 4 & 0 \\
0 & 0 & 0 & 2 \\
0 & 2 & 0 & 5 \\
2 & 0 & 0 & 0
\end{bmatrix}$$

$$\begin{bmatrix}
0 & 1 & 4 & 3 \\
4 & 0 & 8 & 2 \\
6 & 2 & 0 & 4 \\
2 & 3 & 6 & 0
\end{bmatrix}$$

Hence, the diameter is 8.

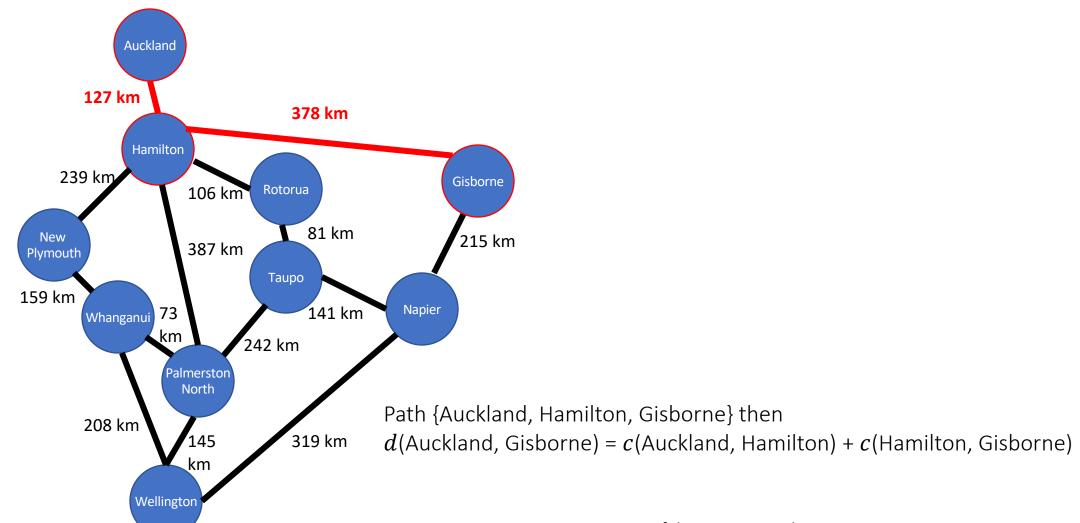


# Example: Cost



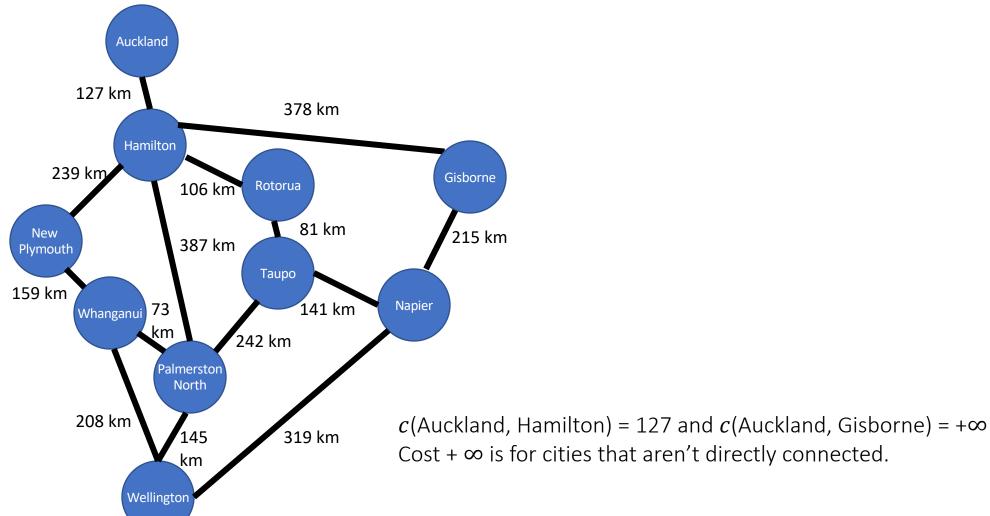


#### Example: Distance v.s. Cost





#### Example: Distance v.s. Cost





#### Issue: Negative Weights

- The vast majority of weighted graph problems have positive weights
- However, exceptions exist where the weight of an edge/arc can be negative.



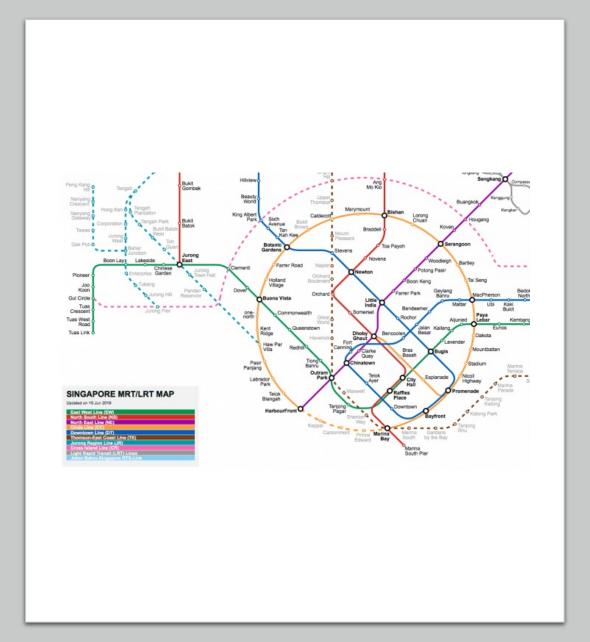
#### Example: Negative Weights

- Consider going on a holiday tripping around the world.
- Between some cities, we may need to buy a train/ferry ticket or an airfare (=cost, positive weight),
- ... but between others we might have the opportunity to earn money by working as crew on a ship, train, or plane (=gain, negative weight).



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- Algorithms on Weighted Graphs
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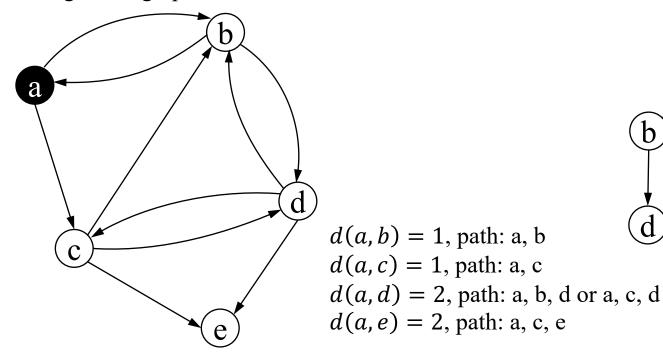


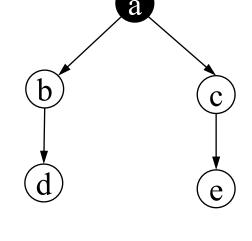


#### Single-source Shortest Path Problem (SSSP)

• Given an originating node v, find shortest (minimum weight) path to each other node. If all weights are equal then BFS works, otherwise not.

#### Unweighted digraph





If BFS is used:

$$d(a,b) = 1$$
, path: a, b

$$d(a, c) = 1$$
, path: a, c

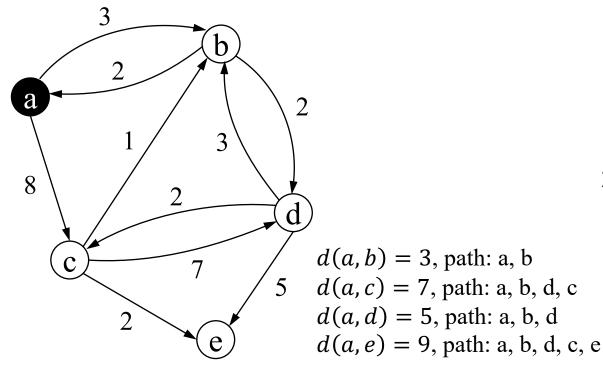
$$d(a, d) = 2$$
, path: a, b, d

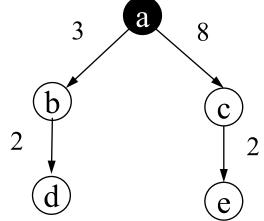
$$d(a, e) = 2$$
, path: a, c, e



#### Single-source Shortest Path Problem (SSSP)

• Given an originating node v, find shortest (minimum weight) path to each other node. If all weights are equal then BFS works, otherwise not.





If BFS is used:

$$d(a, b) = 3$$
, path: a, b

$$d(a, c) = 8$$
, path: a, c

$$d(a,d) = 5$$
, path: a, b, d

$$d(a, e) = 10$$
, path: a, c, e



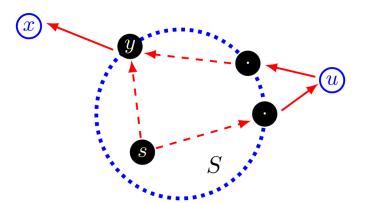
#### Algorithms on Weighted Graphs

- **Dijkstra** (pronounced "Dyke-stra"): Used to find the cost to each destination vertex from a single source ("single source shortest path" SSSP). Cannot handle negative weights.
- **Bellman-Ford**: solves SSSP as well, slower than Dijkstra but can handle negative weights
- Floyd-Warshall: solves all-pairs shortest path (APSP) problem minimal cost between any given pair of vertices



#### Single-source Shortest Path Problem (SSSP)

- Several algorithms are known; we present one, Dijkstra's algorithm. An example of a greedy algorithm; locally best choice is globally best. Doesn't work if weights can be negative.
  - Maintain list S of visited nodes.
  - 2. Choose an unvisited node u with shortest S-path and put it to S.
  - 3. Update distances (of remaining unvisited nodes) from starting node s in case adding u has established shorter paths.
  - 4. Repeat.



Let an S-path be a path starting at node s and ending at node u with all nodes in S except possibly u.

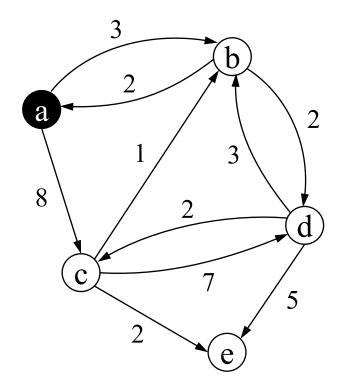


### Dijkstra's Algorithm

#### **Algorithm 1** Dijkstra's algorithm.

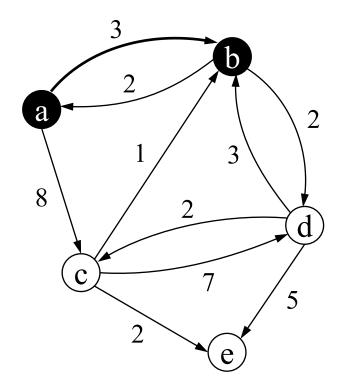
```
1: function DIJKSTRA(weighted digraph(G, c); node s \in V(G))
2:
          array colour[0..n-1], dist[0..n-1]
3:
          for u \in V(G) do
               dist[u] \leftarrow c(s,u); colour[u] \leftarrow WHITE
4:
          dist[s] \leftarrow 0; colour[s] \leftarrow BLACK
5:
          while there is a white node do
6:
7:
               find a white node u so that dist[u] is minimum
8:
                colour[u] \leftarrow BLACK
9:
               for each x adjacent to u do
                     if colour[x] = WHITE then
10:
                          dist[x] \leftarrow \min\{dist[x], dist[u] + c(u, x)\}
11:
12:
          return dist
```





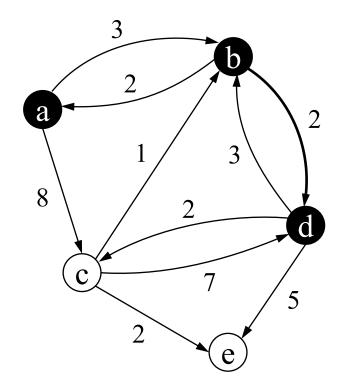
BLACK	dist[x]
	a, b, c, d, e
a	$0, 3, 8, \infty, \infty$





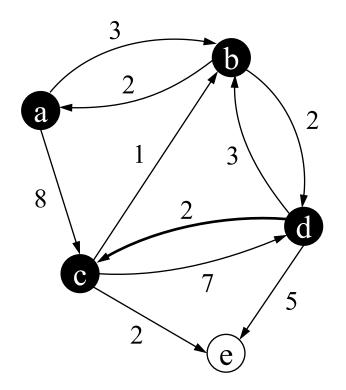
BLACK	dist[x]
	a, b, c, d, e
a	$0, 3, 8, \infty, \infty$
a, b	$0, 3, 8, 3 + 2 = 5, \infty$





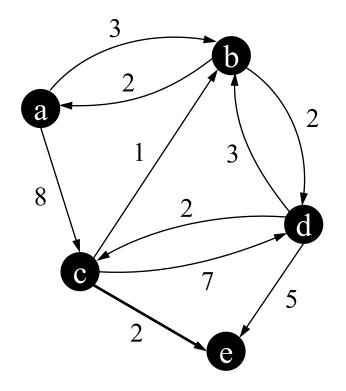
BLACK	dist[x]
	a, b, c, d, e
a	$0, 3, 8, \infty, \infty$
a, b	$0, 3, 8, 3 + 2 = 5, \infty$
a, b, d	0, 3, 3 + 2 + 2 = 7, 5, 10





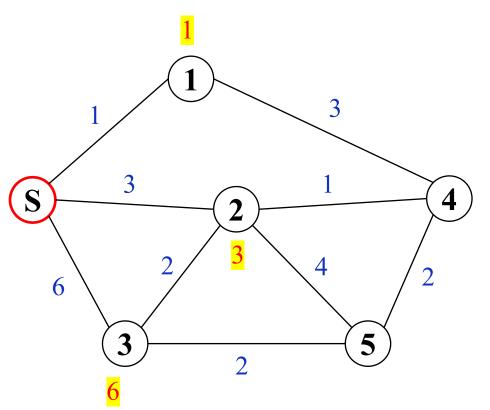
BLACK	dist[x]
	a, b, c, d, e
a	$0, 3, 8, \infty, \infty$
a, b	$0, 3, 8, 3 + 2 = 5, \infty$
a, b, d	0, 3, 3 + 2 + 2 = 7, 5, 10
a, b, c, d	0, 3, 7, 5, 7 + 2 = 9





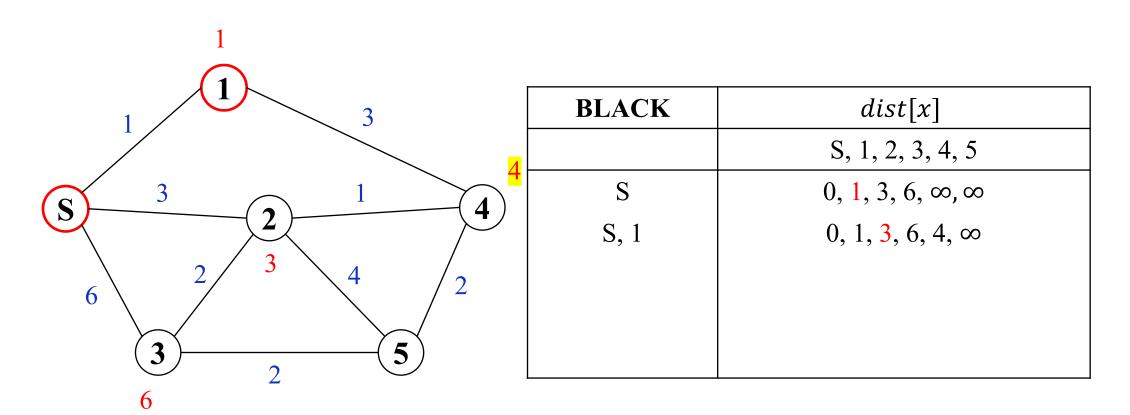
BLACK	dist[x]
	a, b, c, d, e
a	$0, 3, 8, \infty, \infty$
a, b	$0, 3, 8, 3 + 2 = 5, \infty$
a, b, d	0, 3, 3 + 2 + 2 = 7, 5, 10
a, b, c, d	0, 3, 7, 5, 7 + 2 = 9
V(G)	



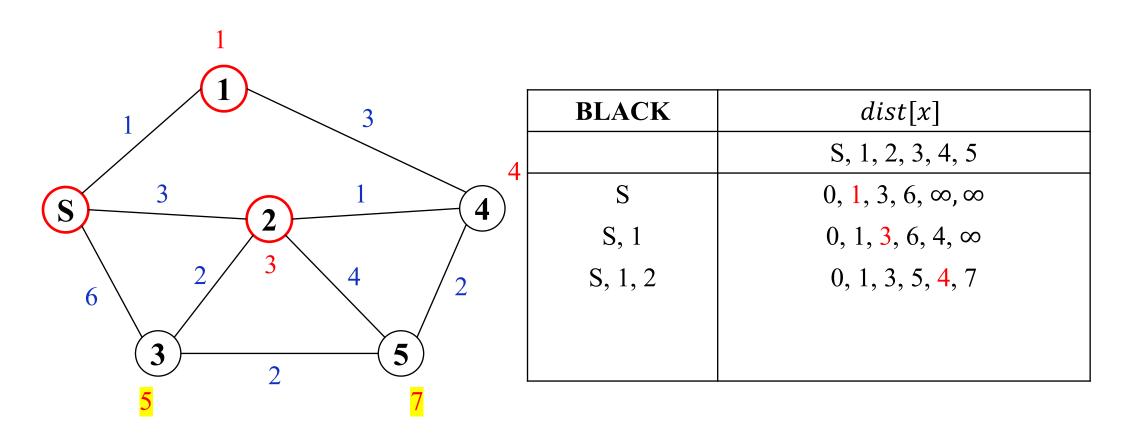


BLACK	dist[x]		
	S, 1, 2, 3, 4, 5		
S	$0, 1, 3, 6, \infty, \infty$		

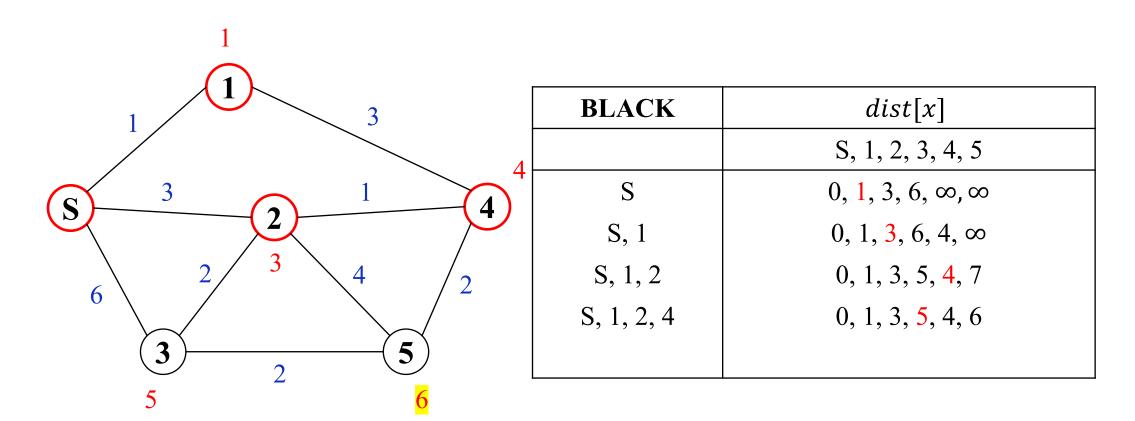






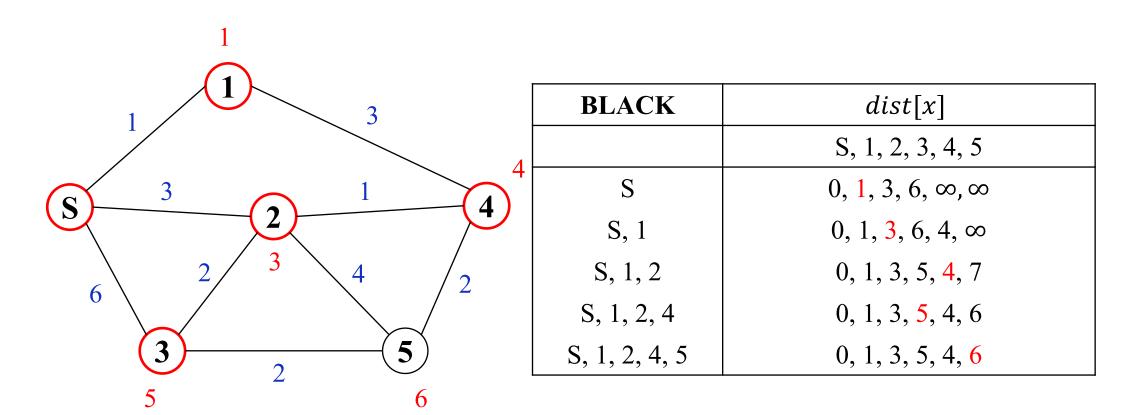




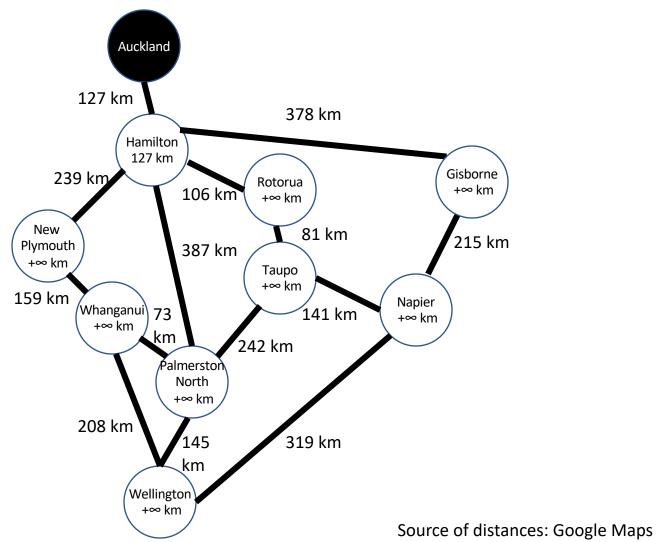




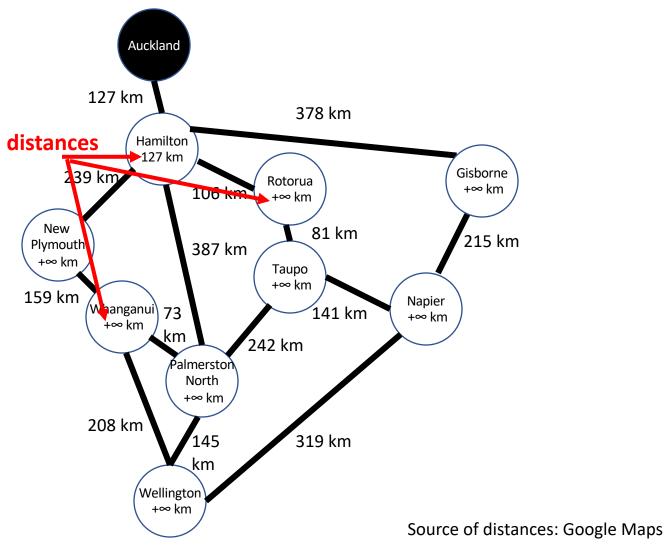
## Dijkstra's algorithm - Pairwise



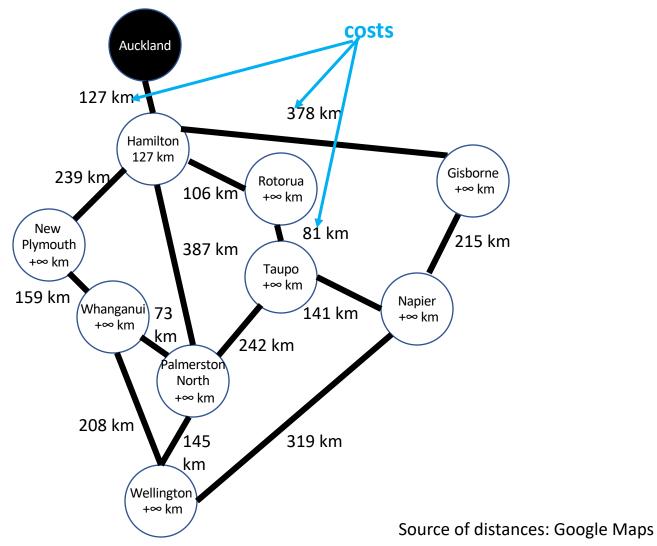




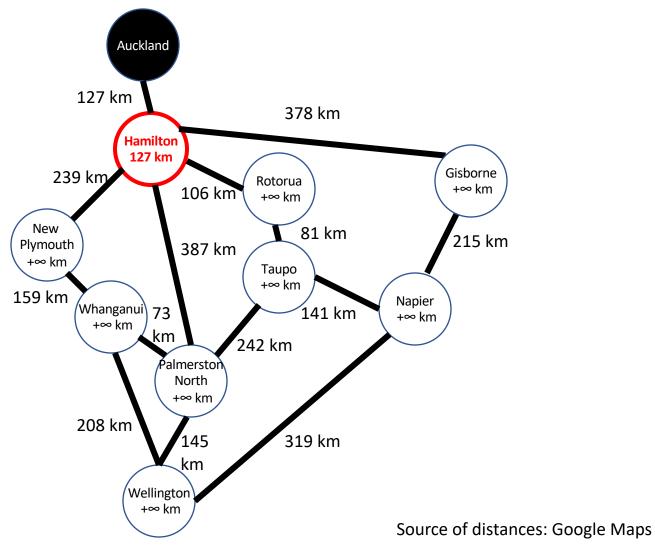




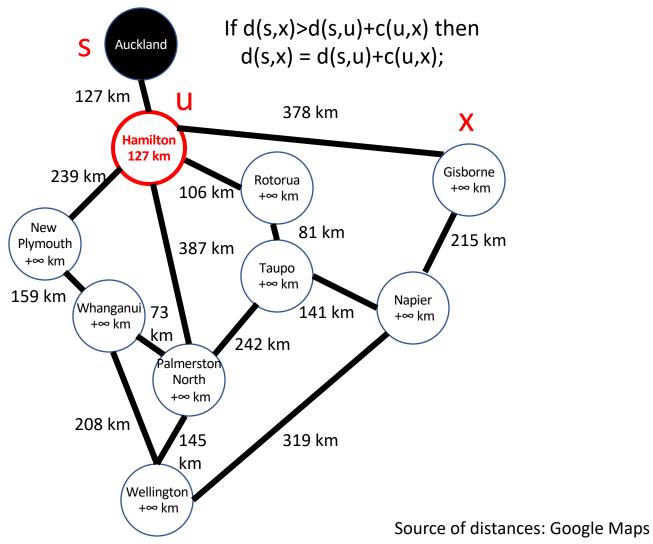




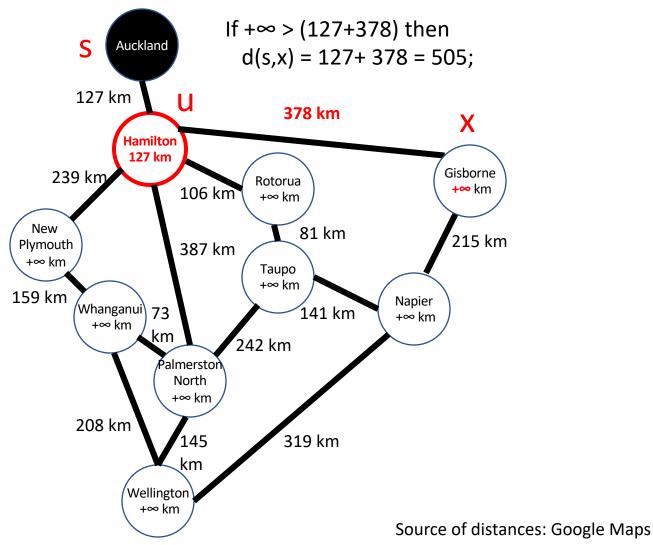




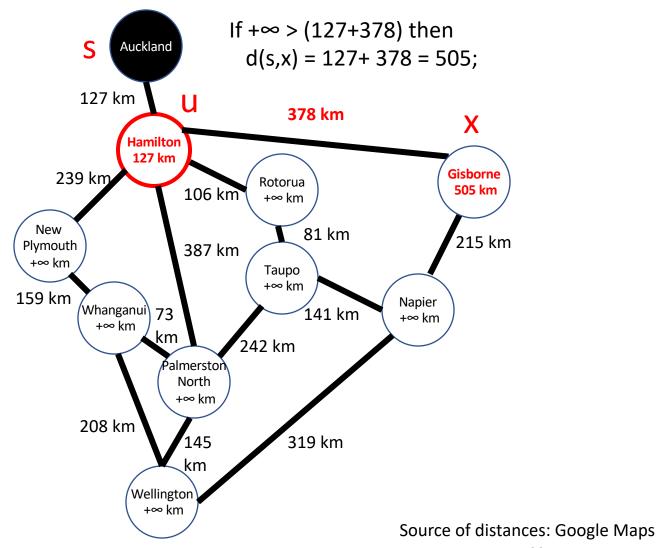




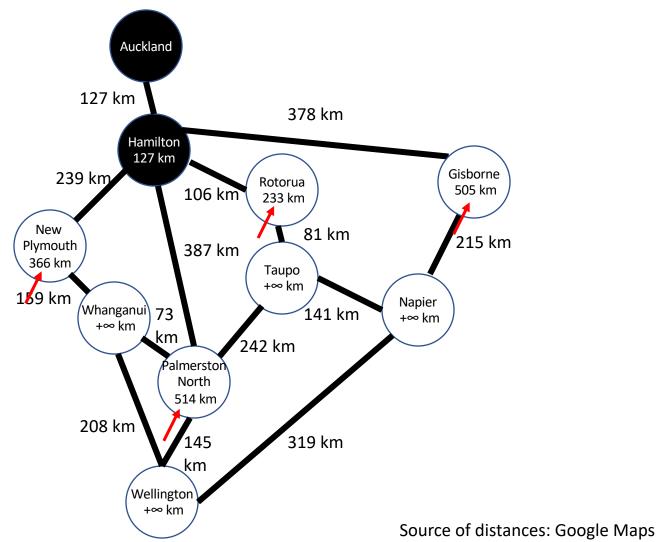




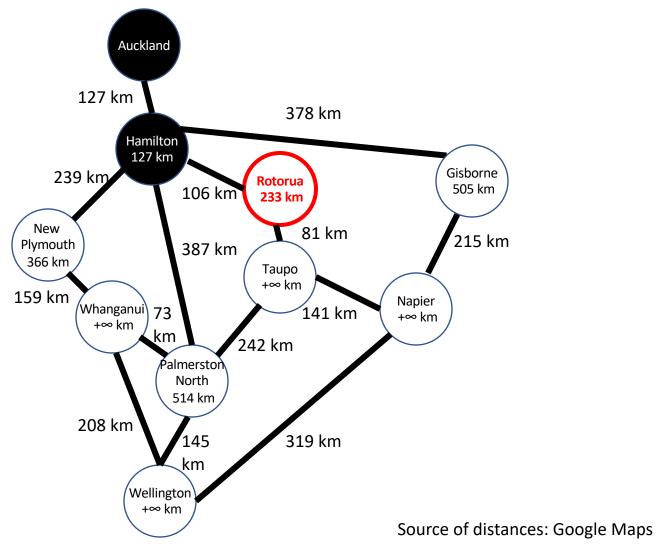




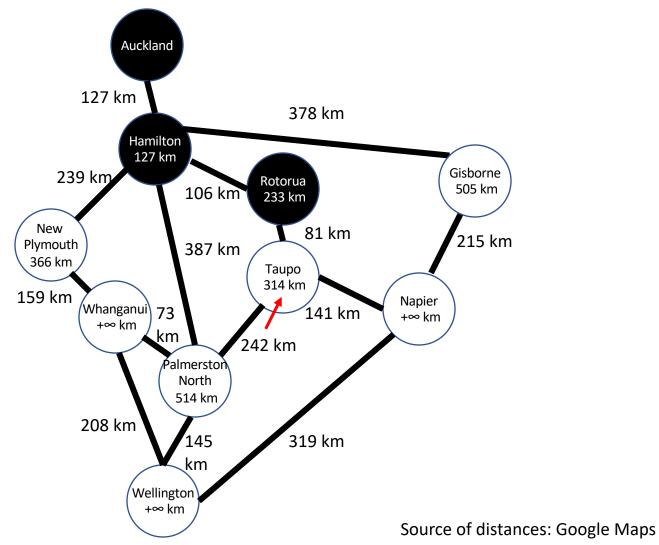




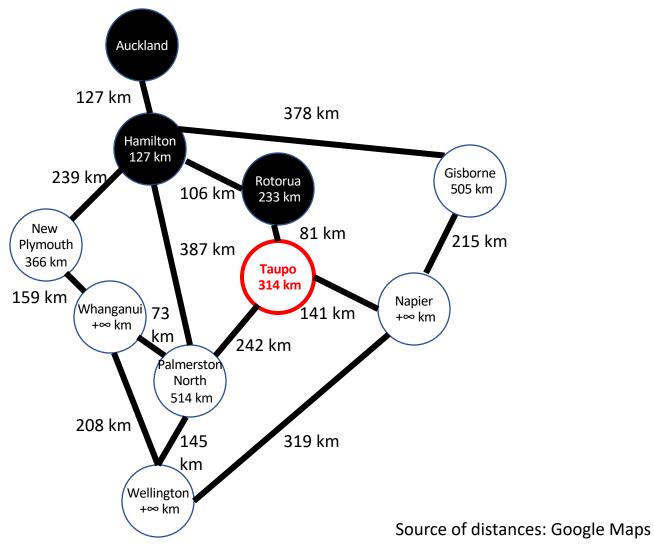




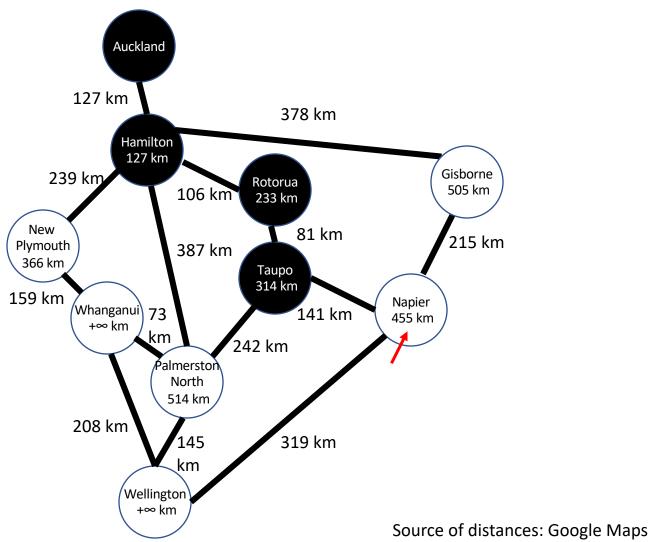




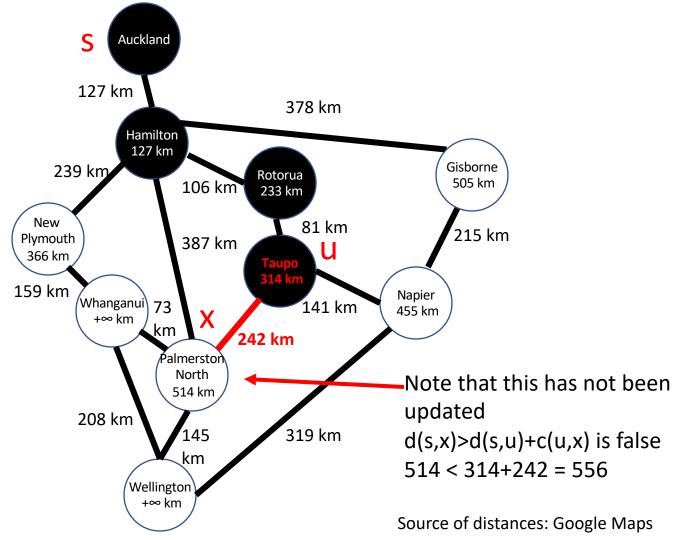




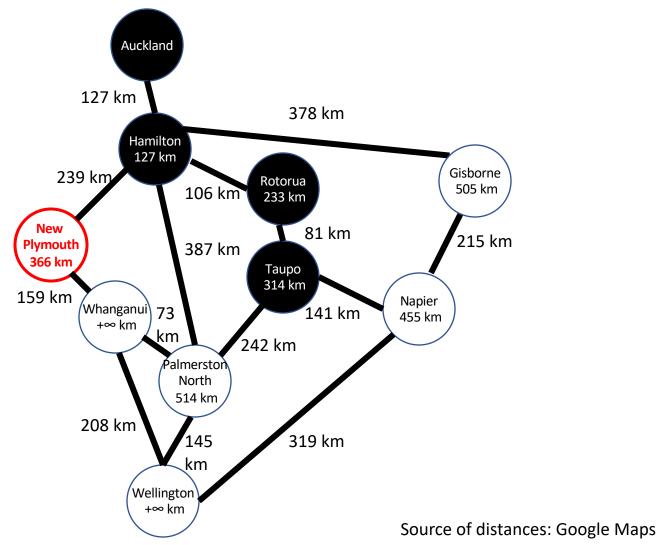




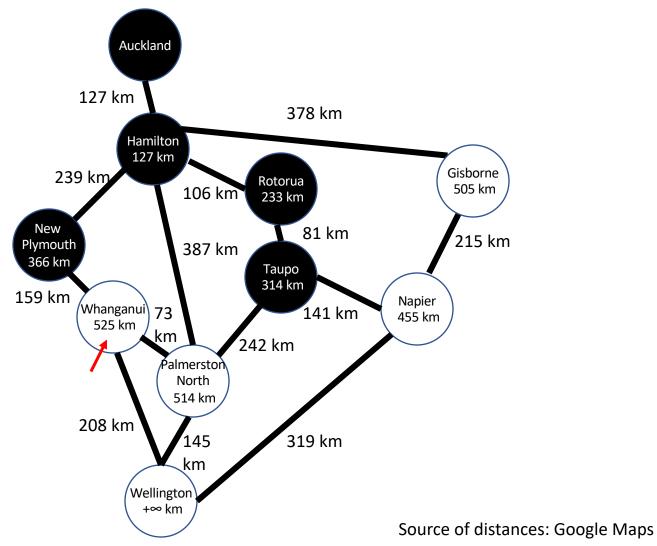




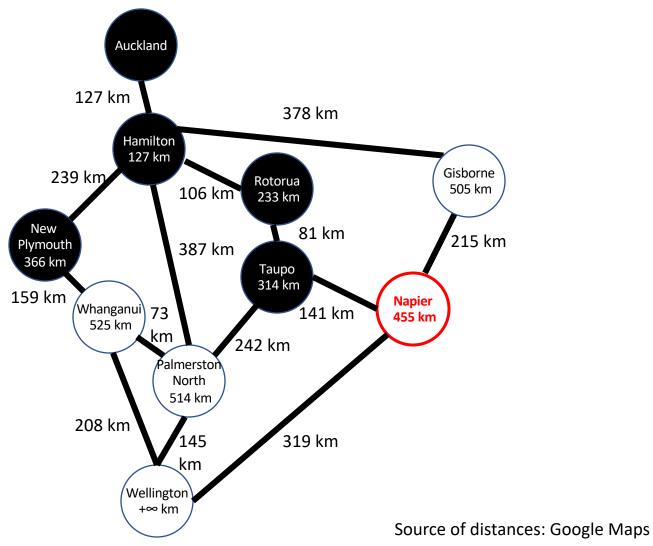




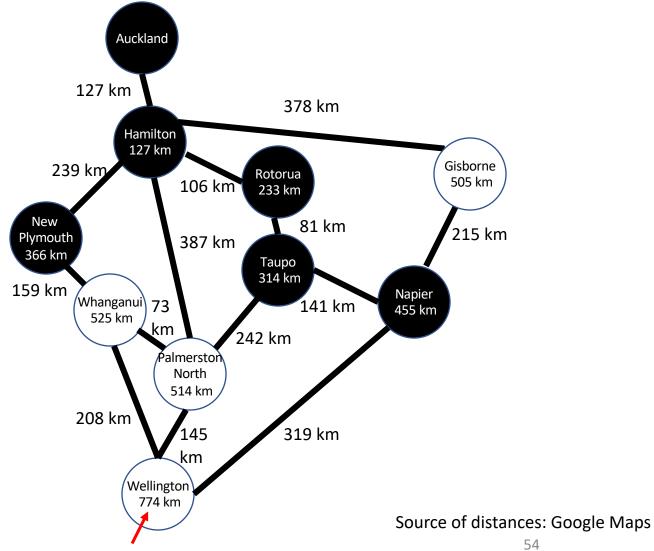




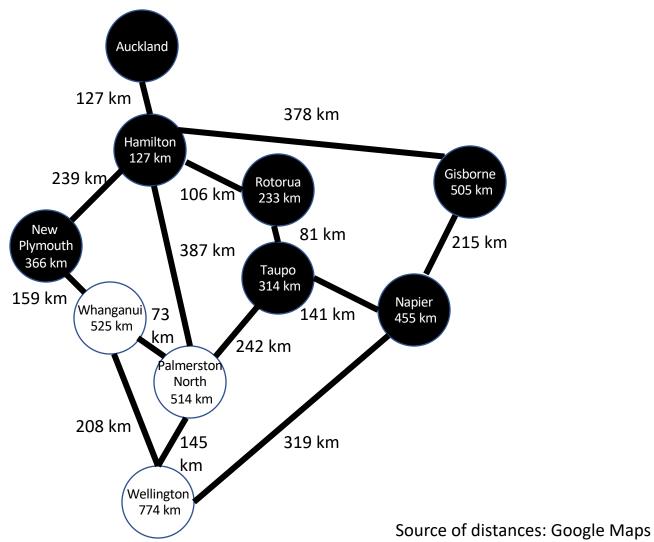




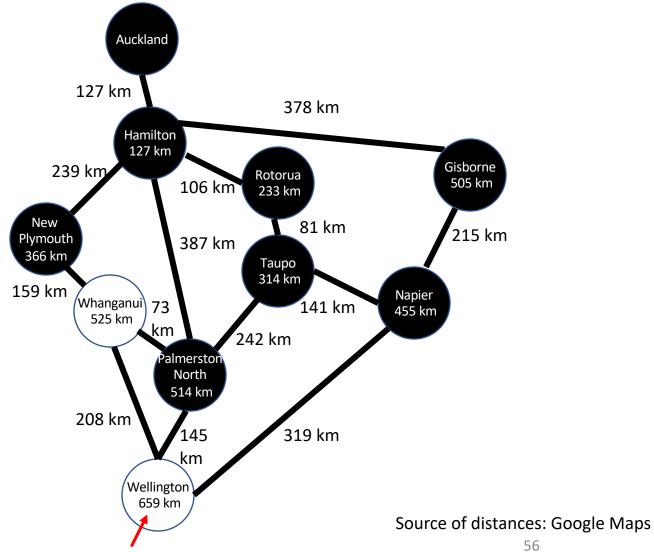




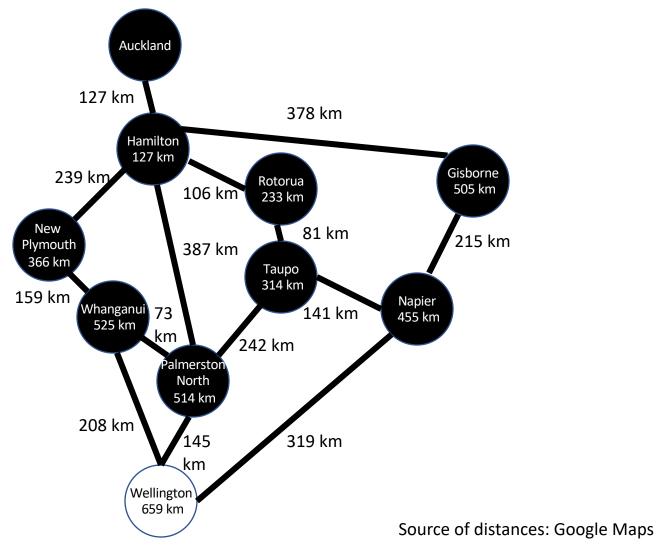




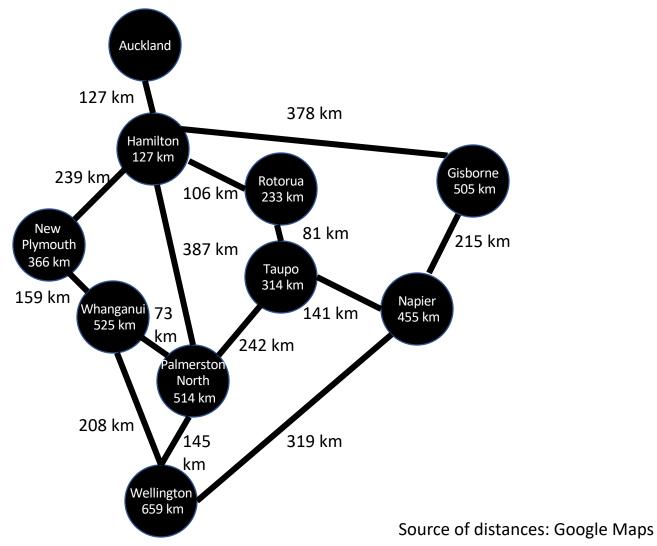














#### Time Complexity Analysis: Dijkstra's Algorithm

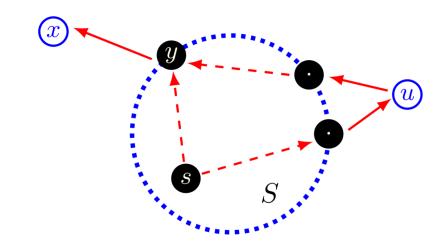
- Complexity with array implementation to find the minimum value of dist:  $\Theta(n^2)$ 
  - $n^2 + m$  Adjacency list representation
  - $n^2 + n^2$  Adjacency matrix representation
  - n: time taken to find the node with minimum value of dist
- Complexity depends on data structures used, especially for priority queue;  $O((m + n) \log n)$  is possible.
  - n delete-min operations, and
  - *m* decrease-key operations at most
  - $\log n$ : binary heap implementation of priority queue



#### Why Dijkstra's Algorithm Works

- Fact. Suppose that all weights are non-negative. At the top of while loop, these properties hold for all  $x \in V(G)$ :
- P1: dist[x] is the minimum weight of an S-path to x.
- P2: if color[x]=BLACK, dist[x] is the minimum weight.

Let an S-path be a path starting at node s and ending at node u with all nodes in S except **possibly** u.





#### In Other Words ...

- P1 guarantees that, in each iteration of the algorithm, we find a minimum weight path from s to a vertex  $w \in V(G)$  that only uses vertices in S (black vertices) except possibly w.
- P2 guarantees that, for each black vertex w, we have already found a minimum weight path from s to w.
- Taken together, these two facts imply that Dijkstra's algorithm solves the single-source shortest path problem for weighted digraphs that do not have any negative arc weights.



#### Proof by Mathematical Induction

• Induction on the number of times k of going through the while-loop. We use  $S_k$  to denote the set of S at the k-th loop:  $S_0 = \{s\}$ ; dist[s] = 0

```
P1: dist[x] is the minimum weight of an S-path to x.
```

P2: if color[x] = BLACK, dist[x] is the minimum weight.

- Base case: both P1 and P2 hold when k=0
- If k=0, then only the starting vertex s is black and dist[s]=0. Hence, both properties hold.
- Inductive hypothesis: P1 and P2 hold for  $k \ge 0$ ;  $S_{k+1} = S_k \cup \{u\}$ .



#### Inductive Step for P1

• Inductive hypothesis: P1 and P2 hold for  $k \ge 0$ ;  $S_{k+1} = S_k \cup \{u\}$ .

```
P1: dist[x] is the minimum weight of an S-path to x.
```

P2: if color[x] = BLACK, dist[x] is the minimum weight.

- In iteration k+1, the algorithm colors u black.
- Let  $x \in V(G)$  and  $\gamma$  be any  $S_{k+1}$  -path from starting vertex s to x.
- We want to show that  $|\gamma| \ge dist[x]$ , then  $|\gamma| = dist[x]$  for min path

Case 1: u is not a vertex of  $\gamma$ .

• Then  $\gamma$  is an  $S_k$  -path and the result follows from the induction hypothesis: dist[x] is the minimum weight of an  $S_{k+1}$  -path from the starting vertex to x.

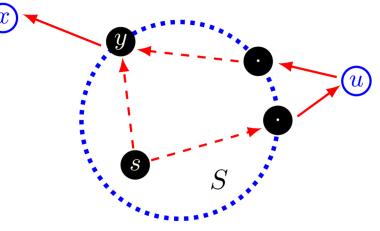


#### Inductive Step for P1 (Contd.)

• Inductive hypothesis: P1 and P2 hold for  $k \ge 0$ ;  $S_{k+1} = S_k \cup \{u\}$ 

P1: dist[x] is the minimum weight of an S-path to x.

P2: if color[x] = BLACK, dist[x] is the minimum weight.



#### Case 2: u is a vertex of $\gamma$ .

- Subcase 2a:  $\gamma = (s...u, x)$  Then let  $\gamma_1 = (s...u)$ . So  $|\gamma| = |\gamma_1| + c(u, x) \ge dist[x]$  // update formula
- Subcase 2B:  $\gamma = (s...u...y, x)$

Let  $\beta$  be the minimum  $S_k$  path from s to y (not including u):  $|\beta| = dist[y]$ By the induction hypothesis:

$$|\gamma| = |\gamma_1| + c(y, x) \ge |\beta| + c(y, x) = dist[y] + c(y, x) \ge dist[x]$$
 // induction hypothesis.



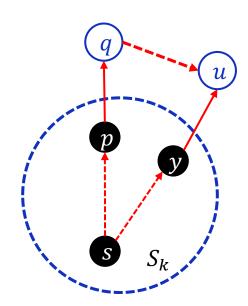
#### Inductive Step for P2

• Inductive hypothesis: P1 and P2 hold for  $k \ge 0$ ;  $S_{k+1} = S_k \cup \{u\}$ .

P1: dist[x] is the minimum weight of an S-path to x.

P2: if color[x] = BLACK, dist[x] is the minimum weight.

Case 1: If  $x \in S_{k+1}$  and  $x \neq u$ , P2 holds by hypothesis



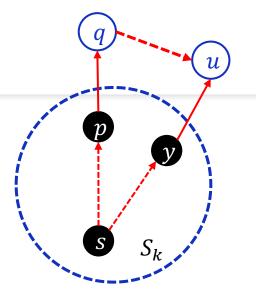


#### Inductive Step for P2 (Contd.)

• Inductive hypothesis: P1 and P2 hold for  $k \ge 0$ ;  $S_{k+1} = S_k \cup \{u\}$ .

P1: dist[x] is the minimum weight of an S-path to x.

P2: if color[x] = BLACK, dist[x] is the minimum weight.



Case 2: If x=u, for any  $S_k$  -path, we have dist[u] be the shortest by hypothesis. We only need to show that there is no shorter path to u that contains any node that is not in  $S_{k+1}$ .

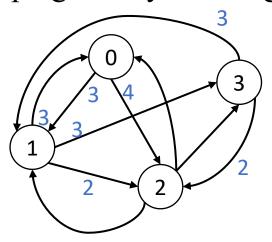
Let  $\gamma = (s, ..., p, q, ..., u)$  be any of the above path to u, and q is the first node in  $\gamma$  that leaves  $S_k$ . Let  $\gamma_1 = (s, ..., p), \gamma_2 = (q, ..., u)$ 

- 1. By hypothesis (P1):  $|\gamma_1| + c(p,q) \ge dist[q]$  ( $\gamma_1$  is an  $S_k$  path)
- 2. By non-negative weights:  $|\gamma_2| \ge 0$

By 1 and 2, we have  $|\gamma| = |\gamma_1| + c(p, q) + |\gamma_2| \ge dist[q]$ . At time k+1, we choose u not q, this implies  $dist[q] \ge dist[u]$ , thus  $|\gamma| \ge dist[u]$ .

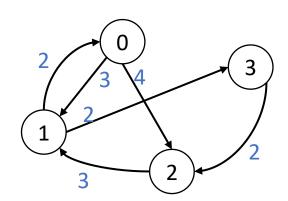
**Example 28.3.** Draw the weighted graph given by the weighted matrix below.

$$\left[\begin{array}{cccc} 0 & 3 & 4 & 0 \\ 3 & 0 & 1 & 3 \\ 4 & 1 & 0 & 2 \\ 0 & 3 & 2 & 0 \end{array}\right]$$

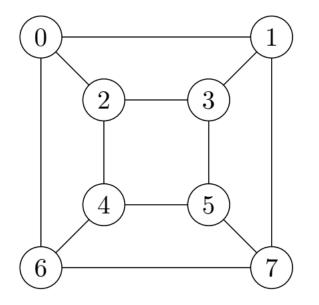


Draw the weighted digraph given by the weighted list representation below.

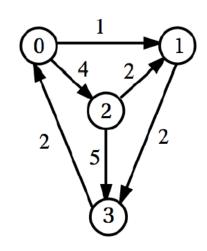
0	1	3	2	4
1	0	2	3	2
2	1	3		
3	2	1	2 3	



#### **Example 28.7.** What is the diameter of the 3-cube in Example 28.2?



**Example 28.11.** An application of Dijkstra's algorithm on the digraph below for each starting vertex s. Complete the table for the starting vertex 2.



The table illustrates that the distance array is updated at most n-1 times (only before a new vertex is selected and added to S). Thus we could have omitted the lines with  $S = \{0, 1, 2, 3\}$ .

<b>current</b> $S \subseteq V$	distance vector dist
{0}	0, 1, 4, ∞
$\{0, 1\}$	0, 1, 4, 3
$\{0, 1, 3\}$	0, 1, 4, 3
$\{0, 1, 2, 3\}$	0, 1, 4, 3
{1}	$\infty, 0, \infty, 2$
$\{1, 3\}$	$4,0,\infty,2$
$\{0, 1, 3\}$	4, 0, 8, 2
$\{0, 1, 2, 3\}$	4, 0, 8, 2
{2}	∞, <mark>2</mark> , 0, 5
{ <b>1</b> , <b>2</b> }	$\infty$ , 2, 0, 2 + 2
{ <b>1</b> , 2, 3 }	2+2+2,2,0,2+2
$\{0, 1, 2, 3\}$	6, 2, 0, 4
{3}	$2, \infty, \infty, 0$
$\{0, 3\}$	2, 3, 6, 0
$\{0, 1, 3\}$	2, 3, 6, 0
$\{0, 1, 2, 3\}$	2, 3, 6, 0

70

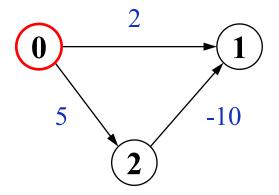


#### Dijkstra Algorithm: Negative Weights

- One of the property of Dijkstra's algorithm is that once a node turns black its distance is not updated any longer
- This means that even if a new cheaper route is available, a black node's distance will not get updated a case that would only be there when there are negative weights.



Fact: Dijkstra's algorithm does not work with negative weights!



starting vertex (0):

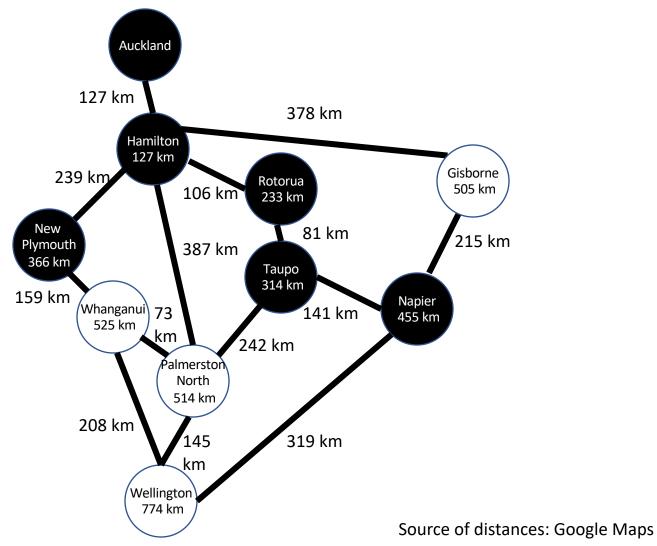
- shortest path from 0 to 2 is 5
- shortest path from 0 to 1 is 5 + (-10) = -5

1<sup>st</sup> iteration: (1) is colored black

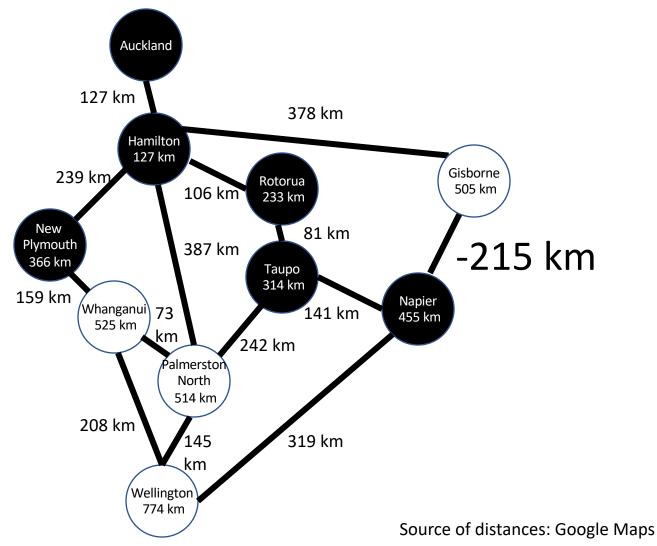
2<sup>nd</sup> iteration: (2) is colored black

Shortest path from 0 to 1 is not updated since 1 is already black!

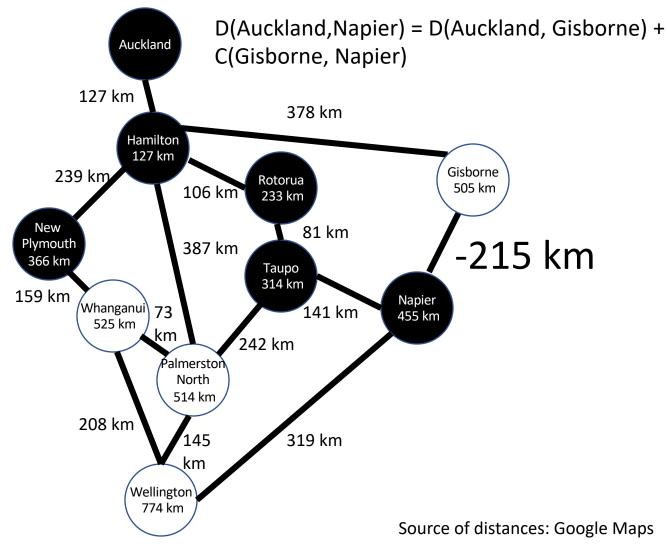




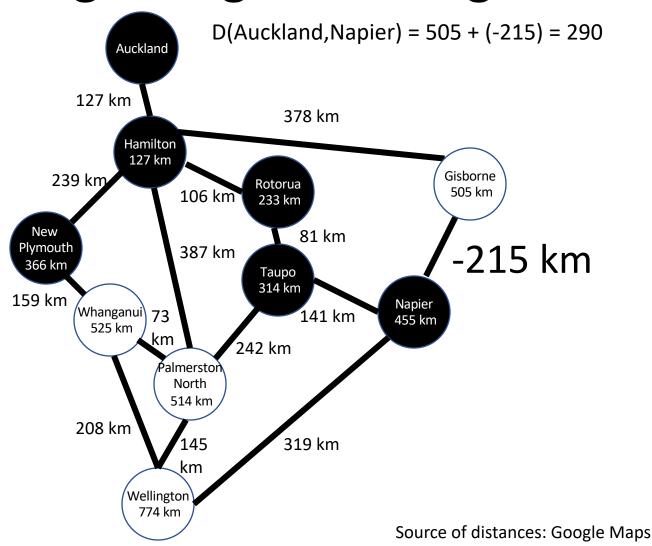




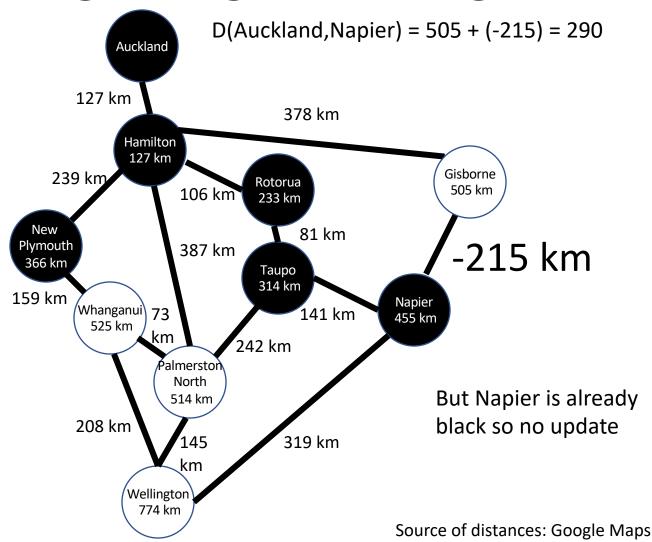




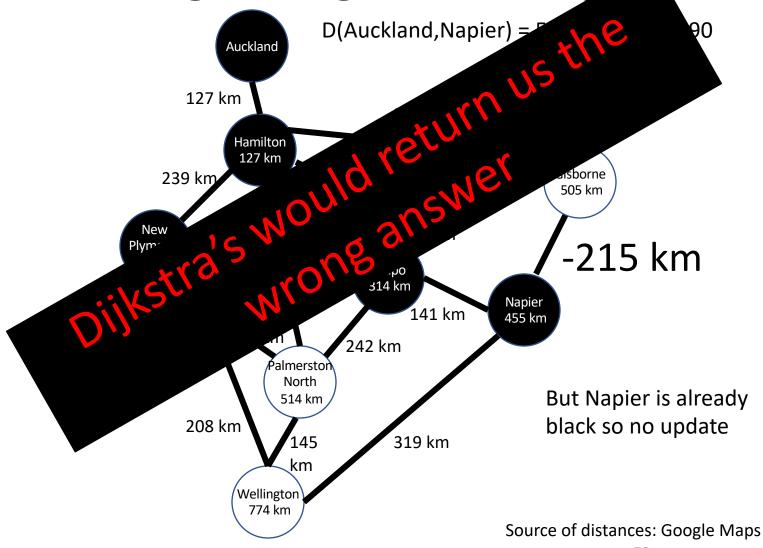














#### **SUMMARY**

- Weighted Graphs
  - Representation
  - Weight as cost functions
- Algorithms on Weighted Graphs
  - Dijkstra
  - Bellman-Ford
  - Floyd-Warshall

