

# Notes for MATH 561 Differential Geometry

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## 1 Lecture 1

### 1.1 *Felix Klein's Erlangen-Nürnberg Theorem*

Let  $(X, G)$  be a pair where:

- $X$  is a set, and
- $G$  is a group with operation  $\cdot$ .

A group  $G$  is defined as a set with:

1. A binary operation  $G \times G \rightarrow G$ ,  $\forall g_1, g_2, g_3 \in G$ ,  $(g_1 \cdot g_2) \cdot g_3 = g_1 \cdot (g_2 \cdot g_3)$ .
2. An identity element  $e \in G$  such that  $g \cdot e = g = e \cdot g$ ,  $\forall g \in G$ .
3. An inverse  $g^{-1} \in G$  for every  $g \in G$ , satisfying  $g \cdot g^{-1} = g^{-1} \cdot g = e$ .

**Lemma 1.1.** *The group  $(G, \cdot)$  satisfies:*

1. *The identity element is unique.*
2. *The inverse  $g^{-1}$  is unique for each  $g \in G$ .*

*Proof.* 1. Assume there are two identity elements  $e_1$  and  $e_2$ . By the definition of the identity element:

$$e_1 = e_1 \cdot e_2 = e_2.$$

Hence, the identity element is unique.

2. Let  $g \in G$  and suppose  $g$  has two inverses  $g_1$  and  $g_2$ . By the definition of the inverse:

$$g_1 = g_1 \cdot e = g_1 \cdot (g \cdot g_2) = (g_1 \cdot g) \cdot g_2 = e \cdot g_2 = g_2.$$

Therefore, the inverse of  $g$  is unique.

□

### 1.2 *Transformation Group*

**Definition 1.1** (Transformation Group). *A group  $G$  is called a transformation group on  $X$  if  $G$  is a set of bijections  $\phi : X \rightarrow X$  and the group multiplication is given by the function composition.*

### Example 1: Euclidean Isometries

Let  $X = \mathbb{R}^n$  with the Euclidean distance  $d_0(x, y) = \|x - y\|$ . A Euclidean isometry is a mapping  $\phi : \mathbb{R}^n \rightarrow \mathbb{R}^n$  such that:

$$d_0(\phi(x), \phi(y)) = d_0(x, y), \quad \forall x, y \in \mathbb{R}^n.$$

The group of isometries of  $\mathbb{R}^n$  is:

$$\text{Isom}(\mathbb{R}^n) = \{\phi \mid \phi(x) = Ax + b, b \in \mathbb{R}^n, A \in O(n)\}.$$

Here:

1.  $O(n)$  is the set of all  $n \times n$  orthogonal matrices, i.e.,  $A^T A = I$ .
2.  $b \in \mathbb{R}^n$  is a translation vector.

#### Question

Why do Euclidean isometries use orthogonal matrices?

#### Answer

Orthogonal matrices preserve the length of vectors and angles. For  $\phi(x) = Ax + b$ ,  $d_0(\phi(x), \phi(y)) = \|A(x - y)\| = \|x - y\|$ , which holds only if  $A^T A = I$ . Geometrically, orthogonal matrices represent rotations and reflections.

#### Example

1. Rotation Matrix:

$$A = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}.$$

This rotates vectors by angle  $\theta$  around the origin.

2. Reflection Matrix:

$$A = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

This reflects vectors across the  $x$ -axis.

### Example 2: Affine Transformations

Affine transformations extend Euclidean isometries by allowing general linear mappings and translations. An affine transformation  $\phi$  is defined as:

$$\phi(x) = Ax + b, \quad A \in \text{GL}(n, \mathbb{R}), b \in \mathbb{R}^n,$$

where:

1.  $A \in \text{GL}(n, \mathbb{R})$ , the group of all invertible  $n \times n$  matrices.
2.  $b \in \mathbb{R}^n$  is a translation vector.

### Question

Why must the matrix in an affine transformation be invertible?

### Answer

Invertibility ensures:

1. No collapse to lower dimensions (e.g., projecting  $\mathbb{R}^2$  to a line).
2. Bijectivity: every point has a unique preimage, enabling the inverse transformation:

$$\phi^{-1}(x) = A^{-1}(x - b).$$

### Example

1. Non-invertible matrix:

$$A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}.$$

This projects all points onto the  $x$ -axis, losing information about the  $y$ -coordinate.

2. Invertible matrix:

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}.$$

This preserves the full dimensionality of the space.

## 1.3 Metric Space

**Definition 1.2** (Metric Space). A metric space is a pair  $(X, d)$  where  $X$  is a set and  $d : X \times X \rightarrow [0, \infty)$  is a distance function satisfying:

1.  $d(p, q) \geq 0$ , and  $d(p, q) = 0 \iff p = q, \forall p, q \in X$ .
2.  $d(p, q) = d(q, p), \forall p, q \in X$ .
3.  $d(p, q) \leq d(p, w) + d(w, q), \forall p, q, w \in X$ .

**Lemma 1.2.** For  $(\mathbb{R}^n, d_0)$ ,  $K \subset \mathbb{R}^n$ , Two True, Force the Third:

1.  $K$  is compact.
2.  $K$  is sequentially compact.
3.  $K$  is bounded and closed.

### Question

What is compactness, sequential compactness, and the relationship with bounded and closed sets?

### Answer

1. Compactness: Every open cover has a finite subcover. In  $\mathbb{R}^n$ , compactness  $\iff$  bounded and closed.
2. Sequential Compactness: Every sequence in the set has a convergent subsequence within the set.
3. Bounded and Closed: A set is compact in  $\mathbb{R}^n$  if it satisfies these properties.

### Example

1.  $[0, 1]$ : - Compact: Yes (bounded and closed). - Sequentially compact: Yes.
2.  $(0, 1)$ : - Compact: No (not closed). - Sequentially compact: No (e.g., sequence  $x_n = \frac{1}{n}$  converges to 0, which is not in  $(0, 1)$ ).
3.  $\mathbb{R}$ : - Compact: No (not bounded).

## 1.4 Arc Length

**Definition (Arc Length):** Let  $(X, d)$  be a metric space and  $\gamma : [0, 1] \rightarrow X$  be a curve. Then the arc length of  $\gamma$  is:

$$L(\gamma) = \sup_{k \in \mathbb{Z}_+} \sum_{j=1}^k d(\gamma(t_{j-1}), \gamma(t_j)),$$

where  $0 = t_0 < t_1 < t_2 < \dots < t_{k-1} < t_k = 1$  and  $\{t_j\}_{j=0}^k$  is a partition of  $[0, 1]$ . If  $L(\gamma) < \infty$ , the curve  $\gamma$  is called *rectifiable*.

### Question

Why does the definition of arc length use sup instead of inf?

### Answer

Arc length is defined as the supremum over all possible partitions of the sum of distances between consecutive points on a curve. Using sup ensures that finer partitions can better approximate the true curve length. If inf were used, coarse partitions might underestimate the length, failing to capture the curve's geometry.

### Example

For the parabola  $\gamma(t) = (t, t^2), t \in [0, 1]$ :

- Actual arc length:  $L(\gamma) > 1.478$ .
- Coarse partition (e.g.,  $t_0 = 0, t_1 = 1$ ): Straight-line length  $\sqrt{2} \approx 1.414$ , which underestimates the true length.

## 1.5 Length Metric

**Definition (Length Metric):** Let  $(X, d)$  be a metric space, the length metric  $d^\#(p, q)$  is defined as:

$$d^\#(p, q) = \inf L(\gamma),$$

where  $\gamma : [0, 1] \rightarrow X$  is a rectifiable curve connecting  $p$  and  $q$ .

### Question

Why does the length metric use inf?

### Answer

The length metric  $d^\#(p, q)$  is defined as the infimum of arc lengths over all curves connecting  $p$  and  $q$ . This ensures that the metric captures the shortest possible distance, avoiding unnecessary detours or longer paths.

### Example

1. In  $\mathbb{R}^2$ , the length metric  $d^\#(p, q)$  equals the Euclidean distance  $d(p, q)$  because the straight line minimizes the length.
2. On a sphere,  $d^\#(p, q)$  corresponds to the length of the shortest great circle arc between  $p$  and  $q$ .

## 2 Lecture 2

### 2.1 Curve

**Definition (Curve):** A mapping  $\gamma : I \rightarrow X$ , where  $I \subseteq \mathbb{R}$  is an interval, is said to be a **curve** if  $\gamma$  is continuous.

**Lemma 2.1.** *If  $(X, d)$  is a metric space, then  $(X, d^\#)$  is also a metric space.*

**Theorem 2.1.**  *$(\mathbb{R}^n, d_0)$  is a connected and complete metric space (and is also path-connected).*

### 2.2 Connectedness

**Definition (Connectedness):** A metric space  $(X, d)$  is said to be **connected** if  $X$  does not admit any separation:

$$X = A \cup B, \quad A, B \neq \emptyset, \quad A \cap B = \emptyset, \quad \text{with } A, B \text{ both open.}$$

**Definition (Path Connectedness):** A metric space  $X$  is said to be **path connected** if  $\forall p, q \in X$ , there exists a continuous curve  $\gamma$  connecting  $p$  and  $q$ .

**Lemma 2.2.** *Path connected implies connected.*

### 2.3 Parameterization by Arc Length

Let  $\gamma : [0, 1] \rightarrow \mathbb{R}^n$  be a  $C^1$ -curve. Denote:

- $\gamma'(t)$ : tangent vector at  $t$ ,
- $\|\gamma'(t)\|$ : speed at  $t$ .

The length of  $\gamma$  is given by:

$$L(\gamma) = \int_0^1 \|\gamma'(t)\| dt.$$

Define the arc length function:

$$s(t) = \int_0^t \|\gamma'(\tau)\| d\tau, \quad s'(t) = \|\gamma'(t)\| \geq 0.$$

**Definition (Parameterization by Arc Length):** Let  $\gamma : [0, L(\gamma)] \rightarrow \mathbb{R}^n$  and  $L(\gamma|_{[0,s]}) = s$ .

**Lemma 2.3.**  $\|\gamma'(s)\| = 1$ .

**Lemma 2.4.** Any  $C^1$  curve meets a constant speed parameterization.

## 2.4 Coincidence of Distance Structures

**Proposition 2.1.** The two distance structures  $d_0$  and  $d_0^\#$  coincide on  $(\mathbb{R}^n, d_0)$ , where  $d_0^\#$  is realized by  $\|p - q\|$ .

*Proof.* Let  $\gamma_0 : [0, 1] \rightarrow \mathbb{R}^n$  be a smooth curve connecting  $p$  and  $q$ , with constant speed  $v$ . We define the variation of a curve  $\gamma_0$  as:

$$V(t, s) = \gamma_0(t) + s\psi(t),$$

where  $-\epsilon \leq s \leq \epsilon$ ,  $\psi$  is a smooth function with  $\psi(0) = \psi(1) = 0$ .

Thus,  $V(0, s) = p$ ,  $V(1, s) = q$ , and  $L(s) = L(V(\cdot, s)) = \int_0^1 \|\partial_t V(t, s)\| dt$ .

We compute  $L'(0)$  as follows:

$$\begin{aligned} L'(0) &= \left. \frac{d}{ds} \right|_{s=0} \int_0^1 \|\partial_t V(t, s)\| dt \\ &= \int_0^1 \left. \frac{\partial}{\partial s} \right|_{s=0} \|\partial_t V(t, s)\| dt \\ &= \int_0^1 \left. \frac{\frac{\partial}{\partial s} \langle \partial_t V(t, s), \partial_t V(t, s) \rangle^{1/2}}{\|\partial_t V(t, s)\|} \right|_{s=0} dt \\ &= \int_0^1 \frac{1}{2} \langle \partial_t V(t, s), \partial_t V(t, s) \rangle^{-1/2} \cdot 2 \left\langle \frac{\partial^2 V}{\partial s \partial t}, \partial_t V(t, s) \right\rangle \Big|_{s=0} dt \\ &= \int_0^1 \left. \frac{\langle \frac{\partial^2 V}{\partial s \partial t}, \partial_t V(t, s) \rangle}{\|\partial_t V(t, s)\|} \right|_{s=0} dt \\ &= \int_0^1 v^{-1} \langle \psi'(t), \gamma_0'(t) \rangle dt \\ &= v^{-1} \langle \psi(t), \gamma_0'(t) \rangle \Big|_0^1 - v^{-1} \int_0^1 \langle \psi(t), \gamma_0''(t) \rangle dt \\ &= -v^{-1} \int_0^1 \langle \psi(t), \gamma_0''(t) \rangle dt, \end{aligned}$$

where we used the fact that  $\psi(0) = \psi(1) = 0$  to eliminate the boundary terms.

Thus, combining these results, we find that the variation  $L'(0)$  vanishes when  $\gamma_0$  is a straight line connecting  $p$  and  $q$ , since in this case  $\gamma_0''(t) = 0$  for all  $t$ . This implies that the shortest path connecting  $p$  and  $q$  in the distance structure  $d_0^\#$  is realized by the Euclidean norm  $\|p - q\|$ .

Finally, since  $d_0$  also corresponds to the Euclidean distance, it follows that  $d_0$  and  $d_0^\#$  coincide on  $(\mathbb{R}^n, d_0)$ .  $\square$

### 3 Lecture 3

#### 3.1 Length Space

**Definition (Length Space):** A metric space  $(X, d)$  is called a **length space** if  $d^\# = d$ .

**Theorem 3.1.**  $(\mathbb{R}^n, d_0)$  is a length space. In other words, for all  $p, q \in \mathbb{R}^n$ , we have  $d_0(p, q) = d_0^\#(p, q)$ . Moreover, the shortest curve connecting  $p$  and  $q$  is the line segment  $pq$ .

**Remark:**

$$\begin{aligned} d_0(p, q) &\leq d_0^\#(p, q) \leq \inf\{\ell(\gamma) \mid \gamma \text{ is a smooth curve connecting } p, q\} = d_0(p, q) \\ &\Rightarrow d_0(p, q) = d(p, q). \end{aligned}$$

#### 3.2 Isometry

**Definition (Isometry):** A function  $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is called an **isometry** if  $F$  preserves distances:

$$d_0(F(x), F(y)) = d_0(x, y), \quad \forall x, y \in \mathbb{R}^n.$$

**Lemma 3.1.** If  $F(x) = Ax + b$ , where  $A \in O(n)$  and  $b \in \mathbb{R}^n$ , then  $F$  is an isometry.

*Proof.*

$$\begin{aligned} [d_0(F(x), F(y))]^2 &= \|Ax - Ay\|^2 \\ &= \langle A(x - y), A(x - y) \rangle \\ &= \langle A^T A(x - y), x - y \rangle \\ &= \|x - y\|^2 = [d_0(x, y)]^2. \end{aligned}$$

Thus,  $d_0(F(x), F(y)) = d_0(x, y)$ .  $\square$

**Lemma 3.2.** 1. The set of all Euclidean isometries is a group with respect to composition, denoted by  $\text{Isom}(\mathbb{R}^n)$

2. The set of all affine mappings  $x \rightarrow Ax + b$ , where  $A \in O(n)$  and  $b \in \mathbb{R}^n$ , is also a group with respect to composition.

**Definition (Isometry):** More generally, given two metric spaces  $(X, d_X)$  and  $(Y, d_Y)$ , a function  $F : X \rightarrow Y$  is called an *isometry* if:

1. It is an **isometric embedding**:

$$d_Y(F(p), F(q)) = d_X(p, q), \quad \forall p, q \in X.$$

2.  $F$  is subjective.

**Lemma 3.3.** *The following are equivalent:*

1.  $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is an isometry and  $F(0) = 0$ .
2.  $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$  preserves inner products  $\langle \cdot, \cdot \rangle$ .

*Proof.* We prove (2)  $\Rightarrow$  (1) here.

$$\begin{aligned} [d_0(F(x), F(y))]^2 &= \|F(x) - F(y)\|^2 \\ &= \|F(x)\|^2 + \|F(y)\|^2 - 2\langle F(x), F(y) \rangle. \end{aligned}$$

Also, by

$$\|F(0)\|^2 = \langle F(0), F(0) \rangle = \langle 0, 0 \rangle = 0,$$

we get

$$\begin{aligned} \|F(x)\|^2 &= [d_0(F(x), F(0))]^2 = \langle F(x), F(0) \rangle = \|x\|^2, \\ \|F(y)\|^2 &= \|y\|^2, \end{aligned}$$

Since

$$\langle F(x), F(y) \rangle = \langle x, y \rangle,$$

we prove that

$$d_0(F(x), F(y)) = d_0(x, y).$$

□

**Proposition 3.1.** *If  $\{e_j\}_{j=1}^n$  is an orthonormal basis of  $\mathbb{R}^n$  and  $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is an isometry satisfying:*

1.  $F(0) = 0$ .
2.  $F(e_j) = e_j, \quad \forall 1 \leq j \leq n$ .

*Then  $F$  must be the identity.*

*Proof.* For any  $x = \sum_{j=1}^n x_j e_j$ , we want to show:

$$\langle F(x), e_j \rangle = \langle x, e_j \rangle, \quad \forall 1 \leq j \leq n.$$

By Lemma 3.3,  $F$  preserves inner products:

$$\langle F(x), e_j \rangle = \langle F(x), F(e_j) \rangle = \langle x, e_j \rangle.$$

□

**Theorem 3.2.** *The following are equivalent:*

1.  $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is an isometry.
2.  $F$  is an affine mapping, where  $F(x) = Ax + b$ , where  $A \in O(n)$  and  $b \in \mathbb{R}^n$



*Proof.* We prove (1)  $\Rightarrow$  (2) here since (2)  $\Rightarrow$  (1) is proved by Lemma 3.1.

Define  $b = F(0) \in \mathbb{R}^n$  and set  $\hat{F}(x) = F(x) - b$  so that  $\hat{F}(0) = 0$ . Let  $\{e_j\}_{j=1}^n$  be an orthonormal basis. Define:  $\hat{e}_j = \hat{F}(e_j)$ ,  $\forall 1 \leq j \leq n$ .

Since  $\{\hat{e}_j\}$  is an orthonormal basis, there exists a unique  $\hat{G} \in O(n)$  such that:

$$\hat{G}(\hat{e}_j) = e_j, \quad \forall 1 \leq j \leq n.$$

Thus, the mapping  $\hat{G} \circ \hat{F} : \mathbb{R}^n \rightarrow \mathbb{R}^n$  preserves the origin and satisfies:

$$\hat{G} \circ \hat{F}(e_j) = e_j \quad \forall 1 \leq j \leq n,$$

which implies that

$$\hat{G} \circ \hat{F} = \text{Id}$$

Therefore,  $F(x) = \hat{G}^{-1}(x) + b$ . □

### 3.3 Local Geometry of Euclidean Curves

**Definition (Regular):** A smooth curve  $\gamma : [0, 1] \rightarrow \mathbb{R}^n$  has a **regular** parameterization if:

$$\gamma'(t) \neq 0.$$

Let  $\gamma : (0, l) \rightarrow \mathbb{R}^n$  be parameterized by arc length:

$$\|\gamma'(s)\| = 1, \quad \forall s \in (0, l).$$

We denote  $T(s) = \gamma'(s)$ ,  $N(s) \equiv \{\text{Unit vector in the direction of } \gamma''(s)\}$ .

**Definition (Curvature):** The **curvature** of a curve is:

$$\kappa(s) = \|T'(s)\| = \|\gamma''(s)\|, \text{ where } \gamma''(s) = \kappa(s)N(s).$$

**Example:**

The curve  $\gamma(s) = (\cos(s), \sin(s))$  has a constant curvature  $\kappa = 1$ .

## 4 Lecture 4

**Lemma 4.1.** A regular curve  $\gamma : [0, 1] \rightarrow \mathbb{R}^n$  with  $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $F \in \text{Isom}(\mathbb{R}^n)$ , we have

$$\forall t_0 \in [0, 1] \quad \left\| \frac{d}{dt} \Big|_{t=t_0} (F \circ \gamma) \right\| = \left\| \frac{d}{dt} \Big|_{t=t_0} \gamma \right\|$$

*Proof.* Let  $\bar{\gamma} = F \circ \gamma$ , we compute

$$\frac{d\bar{\gamma}}{dt} \Big|_{t=t_0} = \text{DF} \left( \frac{d\gamma}{dt} \Big|_{t=t_0} \right), \text{ where DF is the Jacobian matrix of } F,$$

given by

$$DF = \begin{bmatrix} \frac{\partial f_1}{\partial t_1} & \cdots & \frac{\partial f_1}{\partial t_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial t_1} & \cdots & \frac{\partial f_n}{\partial t_n} \end{bmatrix}$$

Then

$$LHS = \|\text{DF}(\gamma')\| = \|A\gamma'\| = \|\gamma'\| = RHS,$$

where  $F(x) = Ax + b$ ,  $A \in O(n)$ ,  $b \in \mathbb{R}^n$ . □

Note that Lemma 4.1 actually tells us that isometry doesn't change the curvature in  $\mathbb{R}^n$ .

**Lemma 4.2.** *Let  $\gamma : [0, l] \rightarrow \mathbb{R}^n$  has an arc-length parameter  $s$ , with an isometry  $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $F \in \text{Isom}(\mathbb{R}^n)$ , then*

$$\forall 0 \leq s \leq l \quad \kappa(\tilde{\gamma}(s)) = \kappa(\gamma(s)), \quad \text{where } \tilde{\gamma} = F \circ \gamma$$

*Proof.*

$$\kappa(\tilde{\gamma}(s)) = \left\| \frac{d}{ds} \frac{d}{ds} (F \circ \gamma) \right\| = \left\| \frac{d}{ds} \bar{T}(s) \right\| = \left\| \frac{d}{ds} (\text{DF}(\gamma'(s))) \right\| = \|A\gamma''(s)\| = \|\gamma''(s)\|$$

□

Let  $\gamma : [0, 1] \rightarrow \mathbb{R}^n$  be a regular curve, then  $\gamma(s)$  can be written as  $\gamma(t(s))$ . Thus,

$$\frac{d\gamma}{ds} = \left( \frac{ds}{dt} \right) \frac{d\gamma}{dt} = \frac{1}{\|\gamma'(t)\|} \frac{d\gamma}{dt}.$$

We note that

$$s(t) = \int_0^t \|\gamma'(\tau)\| d\tau \Rightarrow \frac{ds}{dt} = \|\gamma'(t)\|.$$

Also,

$$\frac{d^2\gamma}{ds^2} = \frac{d}{ds} \left( \frac{\gamma'(t)}{\|\gamma'(t)\|} \right) = \frac{d}{dt} \left( \frac{\gamma'(t)}{\|\gamma'(t)\|} \right) \frac{dt}{ds} = \frac{1}{\|\gamma'(t)\|} \frac{d}{dt} \left( \frac{\gamma'(t)}{\|\gamma'(t)\|} \right),$$

which implies that

$$\kappa(\gamma(s)) = \frac{\left\| \frac{d}{dt} \left( \frac{\gamma'(t)}{\|\gamma'(t)\|} \right) \right\|}{\|\gamma'(t)\|}$$

#### 4.1 Regular Curves in $\mathbb{R}^3$

Let  $\gamma : [0, 1] \rightarrow \mathbb{R}^3$  be a regular curve, having an arc-length parameter  $s$ . As mentioned above, we denote  $T(s) = \gamma'(s)$ ,  $\frac{dT}{ds} = \|T'(s)\| = \|\gamma''(s)\|$ , where  $\gamma''(s) = \kappa(s)N(s)$ .

**Definition (Wedge product):** The **Wedge product** between vector  $u = (u_1, u_2, u_3)$  and  $v = (v_1, v_2, v_3)$  is given by :

$$u \wedge v = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ u_1 & u_2 & u_3 \\ v_1 & v_2 & v_3 \end{vmatrix}$$

We denote that  $\beta(s) = T(s) \wedge N(s)$ . Since  $\|\gamma'(s)\| = 1$  by definition, then

$$0 \equiv \frac{d}{ds} \langle \gamma', \gamma' \rangle = 2 \langle \gamma'', \gamma' \rangle,$$

which implies that  $\gamma'' \perp \gamma'$ . Here  $N \perp T$ .

We can naturally give out that  $\{T, N, \beta\}$  is an orthogonal basis on  $\mathbb{R}^3$ . Moreover,

$$T \wedge N = \beta, N \wedge \beta = T, \beta \wedge T = N.$$

This is known as **Frenet Frame**.

**Theorem 4.1.** Let  $\{T, N, \beta\}$  be the Frenet frame at  $\gamma(s)$ , then

$$\begin{cases} \frac{dT}{ds} = \kappa N \\ \frac{dN}{ds} = -\tau\beta - \kappa T \\ \frac{d\beta}{ds} = \tau N \end{cases}$$

Here  $\tau$  is called the torsion of  $\gamma$ , given by  $\beta'(s) = \tau(s)N(s)$ . Moreover, it can be written as

$$\begin{bmatrix} T \\ N \\ \beta \end{bmatrix}' = \Phi \begin{bmatrix} T \\ N \\ \beta \end{bmatrix},$$

where

$$\Phi = \begin{bmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & -\tau \\ 0 & \tau & 0 \end{bmatrix}.$$

*Proof.* Firstly,  $\frac{dT}{ds} = \kappa N$  is proved by definition. Since  $\langle N, N \rangle = 1$ , we have

$$0 \equiv \frac{d}{ds} \langle N, N \rangle = 2 \langle \frac{dN}{ds}, N \rangle \Rightarrow \frac{dN}{ds} \perp N \Rightarrow \frac{dN}{ds} \in \text{Span}\{\beta, T\}.$$

Since  $\beta = T \wedge N$ , then

$$\frac{d\beta}{ds} = T'(s) \wedge N(s) + T(s) \wedge N'(s) = T(s) \wedge N'(s) \Rightarrow \frac{d\beta}{ds} \perp T \Rightarrow \frac{d\beta}{ds} \in \text{Span}\{\beta, N\}.$$

Also,

$$0 \equiv \frac{d}{ds} \langle \beta, \beta \rangle = 2 \langle \frac{d\beta}{ds}, \beta \rangle \Rightarrow \frac{d\beta}{ds} \perp \beta$$

Thus,

$$\frac{d\beta}{ds} \parallel N,$$

and we write  $\beta'(s) = \tau(s)N(s)$ .

Additionally,

$$\frac{dN}{ds} = \frac{d\beta}{ds} \wedge T + \beta \wedge \frac{dT}{ds} = \tau N \wedge T + \beta \wedge \kappa N = -\tau\beta - \kappa T.$$

□

## 5 Lecture 5

### 5.1 Multi-variable Calculus: A quick review

Consider the following functions:

$$f : \mathbb{R}^m \rightarrow \mathbb{R}^n \quad \gamma : [0, 1] \rightarrow \mathbb{R}^n$$

we have

1.  $F \circ \gamma : [0, 1] \rightarrow \mathbb{R}^n$
2.  $DF : \mathbb{R}^m \rightarrow \mathbb{R}^n$  (Jacobi matrix)

Additionally, given a simple example with  $f : \mathbb{R} \rightarrow \mathbb{R}$ , its differential is given by  $df = f'(x)dx$ , and  $f(x)$  can be written as

$$f(x) = f(x_0) + f'(x_0)(x - x_0) + O(|x - x_0|^2).$$

Consider a regular curve  $\gamma(t) = (t, f(t))$ ,  $\gamma'(t) = (1, f'(t))$ , we can quickly write out the tangent line at  $(t_0, f(t_0))$ :

- Passing through  $(t_0, f(t_0))$
- Velocity at  $t_0$  is  $(1, f'(t_0))$

And the length is given by

$$L(t) = (t, f'(t_0)(t - t_0) + f(t_0)).$$

## 5.2 Fundamental Theorem of Regular Curves

**Theorem 5.1.** *Let  $A(s)$  be an  $(n \times n)$ -matrix, and each entry is continuous on interval  $I \subset \mathbb{R}$ . Consider the system:*

$$\frac{d}{ds} \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{pmatrix} = A(s) \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{pmatrix}, \quad s \in I.$$

Then,

1. *Given any  $(v_1, \dots, v_n) \in \mathbb{R}^n$ , there exists a unique solution*

$$V(s) = (V_1(s), \dots, V_n(s))$$

*such that*

$$V(0) = (v_1, \dots, v_n)$$

2. *The set  $S$  of all solutions of the equation above is a vector space of  $n$  dimensions, so that*

$$\Phi : \mathbb{R}^n \rightarrow S, \quad (v_1, \dots, v_n) \mapsto (V_1(s), \dots, V_n(s))$$

*is an isomorphism.*

**Theorem 5.2.** *Given two continuous functions  $\kappa(s)$ ,  $\tau(s)$ ,  $s \in I$ , then there exists a unique regular curve*

$$\gamma : I \rightarrow \mathbb{R}^3,$$

*(up to a Euclidean isometry) such that  $\gamma(s)$  has curvature  $\kappa(s)$  and torsion  $\tau(s)$ .*

*Proof.* Let  $\gamma(s)$  be a regular curve parameterized by arc-length  $s$ , meaning that  $\|\gamma'(s)\| = 1$ . The Frenet frame consists of  $\{T, N, \beta\}$ , which satisfy the Frenet equations:

$$\begin{bmatrix} T \\ N \\ \beta \end{bmatrix}' = \Phi \begin{bmatrix} T \\ N \\ \beta \end{bmatrix},$$

where

$$\Phi = \begin{bmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & -\tau \\ 0 & \tau & 0 \end{bmatrix}.$$

Given  $\kappa(s)$  and  $\tau(s)$ , we need to construct a frame  $\{T, N, \beta\}$  satisfying the Frenet system. This system is a first-order linear ODE for the orthonormal frame:

$$\frac{d}{ds}Y(s) = A(s)Y(s), \quad \text{where } Y(s) = \begin{bmatrix} T(s) \\ N(s) \\ \beta(s) \end{bmatrix}, \quad A(s) = \begin{bmatrix} 0 & \kappa(s) & 0 \\ -\kappa(s) & 0 & \tau(s) \\ 0 & -\tau(s) & 0 \end{bmatrix}.$$

By the existence and uniqueness theorem for linear ODEs, for any given initial orthonormal frame  $(T_0, N_0, \beta_0)$  at  $s_0$ , there exists a unique solution  $(T(s), N(s), \beta(s))$  satisfying this system.

Since  $T(s) = \gamma'(s)$ , the curve  $\gamma(s)$  itself can be recovered by integrating:

$$\gamma(s) = \gamma(s_0) + \int_{s_0}^s T(u) du.$$

Thus, given an initial point  $\gamma(s_0)$  and an initial Frenet frame  $(T_0, N_0, \beta_0)$ , we can uniquely reconstruct the curve  $\gamma(s)$ .

Suppose there exist two different curves  $\gamma(s)$  and  $\bar{\gamma}(s)$  with the same curvature  $\kappa(s)$  and torsion  $\tau(s)$ . The system governed by  $A(s)$  has a total of 9 degrees of freedom. However, specifying the initial position  $\gamma(s_0)$  reduces the freedom by 3 dimensions, the unit tangent vector  $T(s_0)$  by 2 dimensions, and the principal normal vector  $N(s_0)$  by 1 dimension. Consequently, a unique Euclidean isometry  $\varphi : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  exists such that

$$\varphi(\gamma(s_0)) = \bar{\gamma}(s_0), \quad \varphi(T_0, N_0, \beta_0) = (\bar{T}_0, \bar{N}_0, \bar{\beta}_0).$$

This implies that any two solutions differing only by a Euclidean transformation must be identical up to an isometry.

Thus, we have proven that for given functions  $\kappa(s)$  and  $\tau(s)$ , there exists a unique regular curve up to a Euclidean isometry.  $\square$