

Solutions to Homework 6

CS 430 Introduction To Algorithms
Spring Semester, 2013

1. (a) Let $T(h)$ be the minimum number of nodes in a height balanced tree of height h . We proceed by induction. For the base cases note that $T(1) \geq T(0) \geq 1$, thus $T(1) \geq F_1$ and $T(0) \geq F_0$. Now assume that $T(h') \geq F_{h'}$ for all $h' < h$. The root node in an AVL-tree of height h will have two children: one with height $h-1$, and the other with height at least $h-2$. The minimum number of nodes in an AVL-tree of height h can therefore be bounded in terms of $T(h-1)$ and $T(h-2)$, $T(h) \geq T(h-1) + T(h-2)$. By induction hypothesis, this implies $T(h) \geq F_{h-1} + F_{h-2} = F_h$. And we have the fact that $F_h \geq 1.6^h$, so $n \geq 1.6^h$, $h = O(\lg n)$.
(b) The procedure BALANCE(x) is as follows.

Algorithm 1: BALANCE(x)

```
if height(x.left) - height(x.right) ≤ 1 then
    return x ;
else if height(x.left) < height(x.right) then
    y ← x.right ;
    if y.left < y.right then
        return left-rotate(x) ;
    else
        right-rotate(y);
        return left-rotate(x);
else
    y ← x.left ;
    if y.right < y.left then
        return right-rotate(x) ;
    else
        left-rotate(y) ;
        return right-rotate(x) ;
```

- (c) The procedure AVL-INSERT(x, z) is as follows.
 - (d) Since only pointers are exchanged in rotation so it takes only $O(1)$ time on rotation. The height of AVL-tree with n nodes is $O(\lg n)$. AVL-insert takes $O(\lg n)$ time to insert and balancing can take almost $O(\lg n)$ time. So AVL insert takes $O(\lg n)$ time.
2. As the expected number of nodes for each red-black tree is n/m for n keys and m hash values, the expected height of each tree is $O(\lg(n/m))$. Since it takes time $O(1)$ to compute a hash value, the expected time for successful and unsuccessful search on the red-black tree is $O(1 + \lg(n/m))$.

Algorithm 2: AVL-INSERT(x, z)

```
if  $x = nil$  then
    height[z]  $\leftarrow$  0
    return z
if  $key[z] \leq key[x]$  then
    y  $\leftarrow$  AVL-INSERT(left[x], z)
    left[x]  $\leftarrow$  y
else
    y  $\leftarrow$  AVL-INSERT(right[x], z)
    right[x]  $\leftarrow$  y
parent[y]  $\leftarrow$  x
height[x]  $\leftarrow$  height[y] + 1
x  $\leftarrow$  BALANCE(x)
return x
```

3. Suppose that

$$\begin{aligned}h_1(k) + jh_2(k) &= h_1(k) + ih_2(k) \pmod{m} \\(j - i)h_2(k) &= 0 \pmod{m} \\(j - i)h_2(k) &= c \cdot m \text{ where } c \text{ is some integer}\end{aligned}$$

If $h_2(k)$ and m are not relatively prime, then the above equation holds. Thus the hash function repeats at the i -th and the j -th step, and hence the hashing function will not generate m different locations.