# Virtual Memory

Unit-III

Lecture -2

### Session Objectives

- To describe the benefits of a virtual memory system
- To explain the concepts of demand paging, page-replacement algorithms, and allocation of page frames
- To discuss the principle of the working-set model
- To examine the relationship between shared memory and memory-mapped files
- To explore how kernel memory is managed

#### Session Outcomes

At the end of this session, participants will be able to

- Discuss Demand Paging, Copy-on-Write
- Discuss Page Replacement, Allocation of Frames
- Discuss Thrashing, Memory-Mapped Files

# Agenda

- Background
- ② Demand Paging
- Allocation of Frames
- Thrashing
- 5 Allocating Kernel Memory

#### Presentation Outline

- Background
- 2 Demand Paging
- 3 Allocation of Frames
- 4 Thrashing
- 5 Allocating Kernel Memory



### Background

- Code needs to be in memory to execute, but entire program rarely used
- Entire program code not needed at same time
- Program no longer constrained by limits of physical memory
- Program that takes less memory while running > more programs run at the same time
- Increased CPU utilization and throughput with no increase in response time or turnaround time

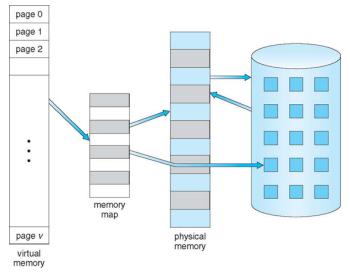
### Background

- Virtual memory separation of user logical memory from physical memory
  - Only part of the program needs to be in memory for execution
  - Logical address space can therefore be much larger than physical address space
  - Allows address spaces to be shared by several processes
  - Allows for more efficient process creation
  - More programs running concurrently

### Background

- Virtual address space logical view of how process is stored in memory
- Usually start at address 0, contiguous addresses until end of space
- Meanwhile, physical memory organized in page frames
- MMU must map logical to physical
- Virtual memory can be implemented via:
  - Demand paging
  - Demand segmentation

# Virtual Memory That is Larger Than Physical Memory

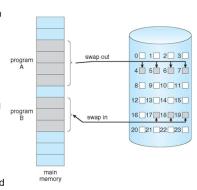


#### Presentation Outline

- Background
- ② Demand Paging
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## **Demand Paging**

- Could bring entire process into memory at load time
- Or bring a page into memory only when it is needed
  - Less I/O needed, no unnecessary I/O
  - Less memory needed
  - Faster response
  - More users
- Similar to paging system with swapping (diagram on right)
- Page is needed ⇒ reference to it
  - □ invalid reference ⇒ abort
    - □ not-in-memory ⇒ bring to memory
- Lazy swapper never swaps a page into memory unless page will be needed
  - Swapper that deals with pages is a



#### **Basic Concepts**

- With swapping, pager guesses which pages will be used before swapping out again
- Instead, pager brings in only those pages into memory
- How to determine that set of pages?
- Need new MMU functionality to implement demand paging
- If pages needed are already memory resident
- No difference from non demand-paging
- If page needed and not memory resident
  - Need to detect and load the page into memory from storage
  - Without changing program behavior
  - Without programmer needing to change code



#### Valid-Invalid Bit

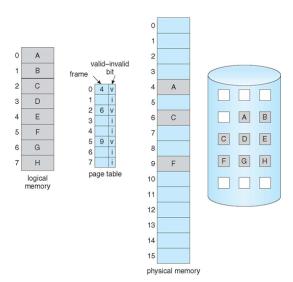
- With each page table entry a valid–invalid bit is associated (v ⇒ in-memory – memory resident, i ⇒ not-in-memory)
- Initially valid-invalid bit is set to i on all entries
- Example of a page table snapshot:



page table

□ During MMU address translation, if valid–invalid bit in page table entry is i ⇒ page fault

## Page Table When Some Pages Are Not in Main Memory



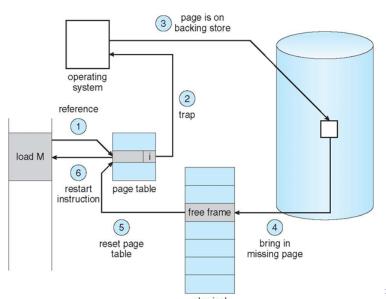
### Page Fault

 If there is a reference to a page, first reference to that page will trap to operating system:
page fault

ullet Operating system looks at another table to decide: Invalid reference --> abort Just not in memory

- Find free frame
- Swap page into frame via scheduled disk operation
- Reset tables to indicate page now in memory Set validation bit = v
- Restart the instruction that caused the page fault

# Steps in Handling a Page Fault



### Aspects of Demand Paging

- Extreme case start process with no pages in memory
  - OS sets instruction pointer to first instruction of process, non-memory-resident — > page fault
  - And for every other process pages on first access
  - Pure demand paging
- Actually, a given instruction could access multiple pages— > multiple page faults
- Hardware support needed for demand paging
  - Page table with valid / invalid bit
  - Secondary memory (swap device with swap space)
  - Instruction restart



### Performance of Demand Paging

- Stages in Demand Paging (worse case)
- Trap to the operating system
- 2. Save the user registers and process state
- 3. Determine that the interrupt was a page fault
- 4. Check that the page reference was legal and determine the location of the page on the disk
- Issue a read from the disk to a free frame:
  - Wait in a queue for this device until the read request is serviced
  - Wait for the device seek and/or latency time
  - 3. Begin the transfer of the page to a free frame
- While waiting, allocate the CPU to some other user
- Receive an interrupt from the disk I/O subsystem (I/O completed)
- Save the registers and process state for the other user
- 9. Determine that the interrupt was from the disk
- 10. Correct the page table and other tables to show page is now in memory
- 11. Wait for the CPU to be allocated to this process again
- Restore the user registers, process state, and new page table, and then resume the interrupted instruction



### Performance of Demand Paging

- Three major activities
  - Service the interrupt careful coding means just several hundred instructions needed
  - Read the page lots of time
  - Restart the process again just a small amount of time
- Page Fault Rate 0 ≤ p ≤ 1

  - $\Box$  if p = 1, every reference is a fault
- Effective Access Time (EAT)

EAT = 
$$(1 - p)$$
 x memory access

- + p (page fault overhead
  - + swap page out
  - + swap page in )

### Demand Paging Example

- Memory access time = 200 nanoseconds
- Average page-fault service time = 8 milliseconds

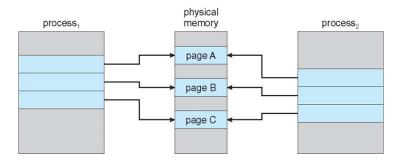
EAT = 
$$(1 - p) \times 200 + p$$
 (8 milliseconds)  
=  $(1 - p \times 200 + p \times 8,000,000$   
=  $200 + p \times 7,999,800$ 

☐ If one access out of 1,000 causes a page fault, then EAT = 8.2 microseconds.

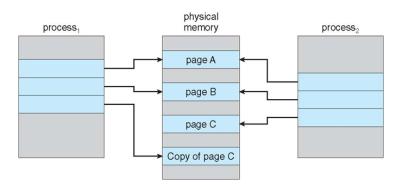
#### Copy-on-Write

- Copy-on-Write (COW) allows both parent and child processes to initially share the same pages in memory
  - If either process modifies a shared page, only then is the page copied
- COW allows more efficient process creation as only modified pages are copied
- In general, free pages are allocated from a pool of zero-fill-on-demand pages
  - Pool should always have free frames for fast demand page execution
    - Don't want to have to free a frame as well as other processing on page fault

## Before Process 1 Modifies Page C



## After Process 1 Modifies Page C



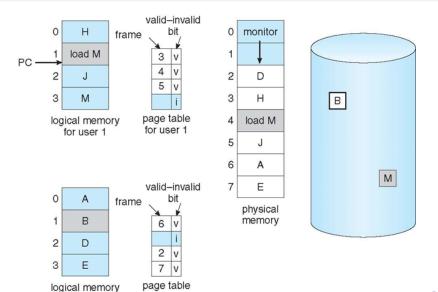
#### What Happens if There is no Free Frame?

- Used up by process pages
- Also in demand from the kernel, I/O buffers, etc
- How much to allocate to each?
- Page replacement find some page in memory, but not really in use, page it out
- Algorithm terminate? swap out? replace the page?
- Performance want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times

#### Page Replacement

- Prevent over-allocation of memory by modifying page-fault service routine to include page replacement
- Use modify (dirty) bit to reduce overhead of page transfers only modified pages are written to disk
- Page replacement completes separation between logical memory and physical memory large virtual memory can be provided on a smaller physical memory

### Need For Page Replacement



for user 2

for user 2

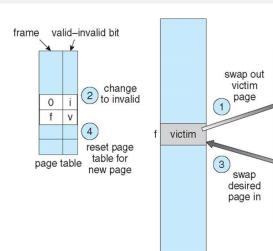
#### Basic Page Replacement

- Find the location of the desired page on disk
- Find a free frame:
  - If there is a free frame, use it
  - If there is no free frame, use a page replacement algorithm to select a **victim frame** 
    - Write victim frame to disk if dirty
- Bring the desired page into the (newly) free frame; update the page and frame tables
- 4. Continue the process by restarting the instruction that caused the trap

Note now potentially 2 page transfers for page fault - increasing EAT



### Page Replacement





physical memory

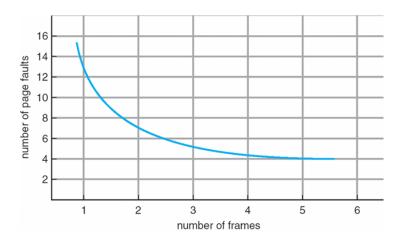
### Page and Frame Replacement Algorithms

- □ Frame-allocation algorithm determines
  - How many frames to give each process
  - Which frames to replace
- Page-replacement algorithm
  - Want lowest page-fault rate on both first access and re-access
- Evaluate algorithm by running it on a particular string of memory references (reference string) and computing the number of page faults on that string
  - String is just page numbers, not full addresses
  - Repeated access to the same page does not cause a page fault
  - Results depend on number of frames available
- In all our examples, the reference string of referenced page numbers is

7,0,1,2,0,3,0,4,2,3,0,3,0,3,2,1,2,0,1,7,0,1



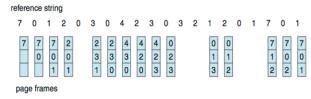
### Graph of Page Faults Versus The Number of Frames





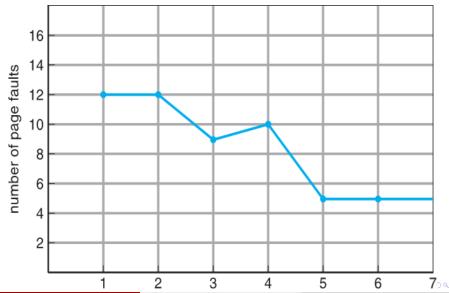
# First-In-First-Out (FIFO) Algorithm

- Reference string: 7,0,1,2,0,3,0,4,2,3,0,3,0,3,2,1,2,0,1,7,0,1
- □ 3 frames (3 pages can be in memory at a time per process)



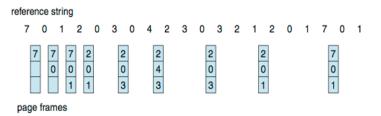
- 15 page faults
- Can vary by reference string: consider 1,2,3,4,1,2,5,1,2,3,4,5
  - Adding more frames can cause more page faults!
    - Belady's Anomaly
- How to track ages of pages?
  - Just use a FIFO queue

# FIFO Illustrating Beladys Anomaly



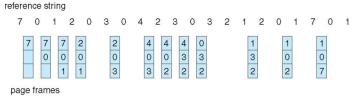
### Optimal Algorithm

- Replace page that will not be used for longest period of time
  - 9 is optimal for the example
- How do you know this?
  - Can't read the future
- Used for measuring how well your algorithm performs



### Least Recently Used (LRU) Algorithm

- Use past knowledge rather than future
- Replace page that has not been used in the most amount of time
- Associate time of last use with each page



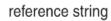
- 12 faults better than FIFO but worse than OPT
- Generally good algorithm and frequently used

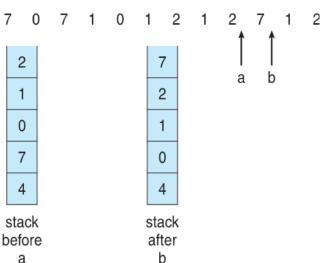


### LRU Algorithm

- Counter implementation
  - Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
  - When a page needs to be changed, look at the counters to find smallest value
    - Search through table needed
- Stack implementation
  - Keep a stack of page numbers in a double link form:
  - Page referenced:
    - move it to the top
    - requires 6 pointers to be changed
  - But each update more expensive
  - No search for replacement
- LRU and OPT are cases of stack algorithms that don't have Belady's Anomaly

# Use Of A Stack to Record Most Recent Page References

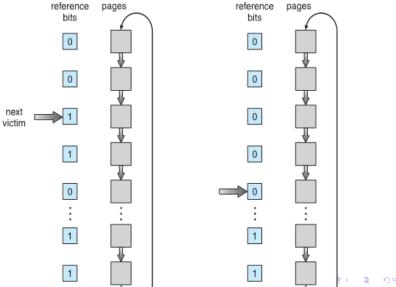




# LRU Approximation Algorithms

- LRU needs special hardware and still slow
- Reference bit
  - With each page associate a bit, initially = 0
  - When page is referenced bit set to 1
  - Replace any with reference bit = 0 (if one exists)
    - We do not know the order, however
- □ Second-chance algorithm
  - Generally FIFO, plus hardware-provided reference bit
  - Clock replacement
  - If page to be replaced has
    - ▶ Reference bit = 0 -> replace it
    - reference bit = 1 then:
      - set reference bit 0, leave page in memory

# Second-Chance (clock) Page-Replacement Algorithm



## **Enhanced Second-Chance Algorithm**

- Improve algorithm by using reference bit and modify bit (if available) in concert
- Take ordered pair (reference, modify)
- 1. (0, 0) neither recently used not modified best page to replace
- (0, 1) not recently used but modified not quite as good, must write out before replacement
- 3. (1, 0) recently used but clean probably will be used again soon
- 4. (1, 1) recently used and modified probably will be used again soon and need to write out before replacement
- When page replacement called for, use the clock scheme but use the four classes replace page in lowest non-empty class
  - Might need to search circular queue several times



## Counting Algorithms

- Keep a counter of the number of references that have been made to each page
- Not common
- Least Frequently Used (LFU) Algorithm: replaces page with smallest count
- Most Frequently Used (MFU) Algorithm: based on the argument that the page with the
- smallest count was probably just brought in and has yet to be used

## Page-Buffering Algorithms

- Keep a pool of free frames, always
  - Then frame available when needed, not found at fault time
  - Read page into free frame and select victim to evict and add to free pool
  - When convenient, evict victim
- Possibly, keep list of modified pages
  - When backing store otherwise idle, write pages there and set to non-dirty
- Possibly, keep free frame contents intact and note what is in them
  - If referenced again before reused, no need to load contents again from disk
  - Generally useful to reduce penalty if wrong victim frame selected

#### Allocation of Frames

- Each process needs minimum number of frames
- Two major allocation schemes
  - fixed allocation
  - priority allocation

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#### Fixed Allocation

- Equal allocation For example, if there are 100 frames (after allocating frames for the OS) and 5 processes, give each process 20 frames
  - Keep some as free frame buffer pool
- Proportional allocation Allocate according to the size of process
  - Dynamic as degree of multiprogramming, process sizes change

$$-s_i = \text{size of process } p_i$$

$$-s_i =$$
size of process  $p_i$ 

$$-S = \sum s_i$$

$$-m = total number of frames$$

$$= a_i = \text{allocation for } p_i = \frac{s_i}{S} \times m$$

$$m = 64$$

$$s_1 = 10$$

$$s_2 = 127$$

$$a_1 = \frac{10}{137} \times 62 \approx 4$$

$$a_2 = \frac{127}{137} \times 62 \approx 57$$

## **Priority Allocation**

- Use a proportional allocation scheme using priorities rather than size
- If process Pi generates a page fault,
  - select for replacement one of its frames
  - select for replacement a frame from a process with lower priority number

### Global vs. Local Allocation

- Global replacement process selects a replacement frame from the set of all frames;
- one process can take a frame from another
- Local replacement each process selects from only its own set of allocated frames

### Presentation Outline

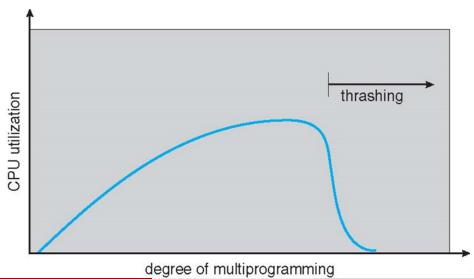
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## **Thrashing**

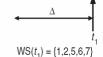
- If a process does not have "enough" pages, the page-fault rate is very high
  - Page fault to get page
  - Replace existing frame
  - But quickly need replaced frame back
  - This leads to:
    - Low CPU utilization
    - Operating system thinking that it needs to increase the degree of multiprogramming
    - Another process added to the system
- Thrashing = a process is busy swapping pages in and out

# **Thrashing**



# Working-Set Model

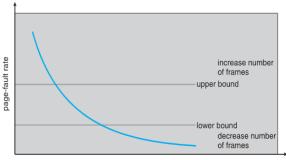
- $\Delta \equiv$  working-set window  $\equiv$  a fixed number of page references Example: 10,000 instructions
- $WSS_i$  (working set of Process  $P_i$ ) = total number of pages referenced in the most recent  $\Delta$  (varies in time)
  - if  $\Delta$  too small will not encompass entire locality
  - if  $\Delta$  too large will encompass several localities
  - if  $\Delta = \infty \Rightarrow$  will encompass entire program
- $D = \sum WSS_i \equiv \text{total demand frames}$ 
  - Approximation of locality
- if D > m (total number of available frames)  $\Rightarrow$  Thrashing
- Policy if D > m, then suspend or swap out one of the processes page reference table





## Page-Fault Frequency

- More direct approach than WSS
- Establish "acceptable" page-fault frequency (PFF) rate and use local replacement policy
  - If actual rate too low, process loses frame
  - If actual rate too high, process gains frame



number of frames

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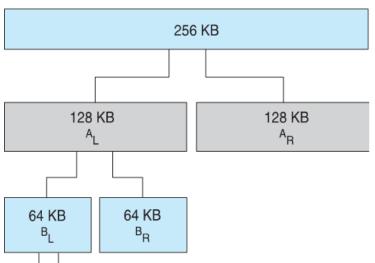
## **Buddy System**

- Allocates memory from fixed-size segment consisting of physicallycontiguous pages
- Memory allocated using power-of-2 allocator
  - Satisfies requests in units sized as power of 2
  - Request rounded up to next highest power of 2
  - When smaller allocation needed than is available, current chunk split into two buddies of next-lower power of 2
    - Continue until appropriate sized chunk available
- □ For example, assume 256KB chunk available, kernel requests 21KB
  - □ Split into A<sub>L and</sub> A<sub>R</sub> of 128KB each
    - One further divided into B<sub>I</sub> and B<sub>R</sub> of 64KB
      - One further into C<sub>L</sub> and C<sub>R</sub> of 32KB each one used to satisfy request
- Advantage quickly coalesce unused chunks into larger chunk
- Disadvantage fragmentation



## **Buddy System Allocator**

### physically contiguous pages

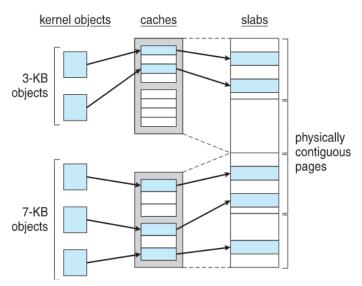


#### Slab Allocator

#### Alternate strategy

- Slab is one or more physically contiguous pages
- Cache consists of one or more slabs
- Single cache for each unique kernel data structure
- Each cache filled with objects instantiations of the data structure
- When cache created, filled with objects marked as free
- When structures stored, objects marked as used
- Benefits include no fragmentation

### Slab Allocation



## Summary

- Virtual memory is a technique that enables us to map a large logical address space onto a smaller physical memory.
- Virtual memory is commonly implemented by demand paging
- Can use demand paging to reduce the number of frames allocated to a process.
- Various page-replacement algorithms are discussed
- A frame-allocation policy is needed.

# Test Your Understanding

- Because of virtual memory, the memory can be shared among
  - a) processes
  - b) threads
  - c) instructions
  - d) none of the mentioned
- The pager concerns with the—
  - a) individual page of a process
  - b) entire process
  - c) entire thread
  - d) first page of a process
- Effective access time is directly proportional to——
  - a) page-fault rate
  - b) hit ratio
  - c) memory access time
  - d) none of the mentioned