

CONTEXT FREE GRAMMAR

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AP/CSE

LEARNING OBJECTIVE

- To Understand the need of formal languages, and grammars (K3)
 - To Understand context free grammars

INTRODUCTION

- Context-free grammar is a 4-tuple $G = (N, T, \underline{P}, S)$ where
 - T is a finite set of **terminal** symbols
 - N is a finite set of **nonterminals**
 - P is a finite set of **productions** of the form
 $\alpha \rightarrow \beta$
where $\alpha \in \underline{N}$ and $\beta \in (N \cup T)^*$
 - S $\in N$ is a designated **start symbol**

$$\frac{A \rightarrow \alpha}{\downarrow \underline{N}} (N \cup T)^*$$

EXAMPLE

- $G = (\{\underline{E}\}, \{+, -, *, /, (,), \text{id}\}, P, E)$,
where P consists of

$$\underline{E} \rightarrow E + E$$

$$E \rightarrow E - E$$

$$E \rightarrow E * E$$

$$E \rightarrow E / E$$

$$E \rightarrow \underline{-} E$$

$$E \rightarrow \underline{(} E \underline{)}$$

$$E \rightarrow \underline{\text{id}}$$

NOTATIONAL CONVENTIONS USED

- Terminals
 $a, b, c, \dots \in T$
specific terminals: **0**, **1**, **id**, **+**
- Nonterminals
 $A, B, C, \dots \in N$
specific nonterminals: *expr*, *term*, *stmt*
- Grammar symbols
 $X, Y, Z \in (N \cup T)$
- Strings of terminals
 $u, v, w, x, y, z \in T^*$
- Strings of grammar symbols
 $\alpha, \beta, \gamma \in (N \cup T)^*$

CONTEXT FREE LANGUAGE

- The language generated by CFG G is defined as :
 - $L(\underline{G}) = \{w \mid w \text{ is in } \underline{T}^* \text{ and } S \xRightarrow{*} w\}$. CFL
 - That is a string is in $L(G)$ if
 - The string consists of terminals only
 - The string has to be derived from S only
- L is a Context Free Language (CFL), if it is $L(G)$ for some CFG G .

APPLICATIONS OF CFG

- To design a Parser, a CFG is needed.
- The DTD (Document type Definitions) is a CFG whose language is a class of related documents.

EXAMPLE

- Construct a grammar for $L = \{0^n 1^{2n} / n \geq 1\}$

L=? $L = \{011, 001111, 00011111, \dots\} \leftarrow$ $n \geq 1$
 $\neq 0$

G=? $S \rightarrow 0S11 / 011$

- Construct a grammar for $L = \{w / |w| \text{ is odd}\}$ over $\{0,1\}$

L=? $L = \{0, 1, 000, 010, 101, \dots\}$

G=?
$$\left. \begin{array}{l} S \rightarrow 0A / 1A \\ A \rightarrow 0S / 1S / \epsilon \end{array} \right\}$$

EXAMPLE

- Construct a grammar to generate the set of all strings over $\Sigma=\{a,b\}$ ending in a

L=? $L=\{a, ba, aa, baa, bba, aba, \dots\}$

G=? ~~$S \rightarrow a | b$~~ ~~$S \rightarrow Sa$~~ $S \rightarrow \underline{b}$ $S \rightarrow \underline{aS | bs | a}$

- Construct a grammar for $L=\{w/ |w| \text{ is odd and its middle symbol is } 0\}$ over $\{0,1\}$

L=? $L=\{0, 000, 001, 101, \dots\}$

G=? ~~$S \rightarrow A0B$~~ ~~$A \rightarrow 1A | 0A | \epsilon$~~ $S \rightarrow \underline{A0B}$ $A \rightarrow \underline{00B} | 01A$

$S \rightarrow S0S$ $S \rightarrow 0 | 0S0 | 0S1 | 1S0 | 1S1$

EXAMPLE

- What is the language generated by

$S \rightarrow aSb$

$S \rightarrow ab$

$L = ?$ $L = \{(ab)^n \mid n \geq 1\}$ $L = \{ab, aabb, aaabbb, \dots\}$

$L = \{a^n b^n \mid n \geq 1\}$

- Construct a grammar for $L = \{w \mid w \text{ starts and ends with same symbol}\}$ over $\{0,1\}$

$L = ?$

$L = \{0, 1, 00, 11, 010, 101, 1001, \dots\}$

$G = ?$

$S \rightarrow 0 \mid 1 \mid 0S0 \mid 1S1$
 ~~$S \rightarrow 0S1 \mid 1S0$~~

$\begin{matrix} 101 \\ 1S1 \\ 101 \end{matrix}$

$S \Rightarrow 1S1$
 $\Rightarrow 101$

$S \rightarrow 0A0 \mid 1A1 \mid 0 \mid 1$
 $A \rightarrow 1A \mid 0A \mid \epsilon$

EXAMPLE

- $L = \{(ab)^n / n \geq 1\} \cup \{(ba)^n / n \geq 1\}$

L=?

G=?

$S \rightarrow A / B$

$A \rightarrow \dots$
(1)

$B \rightarrow \dots$
(2)

EXAMPLE

- Construct grammar for set of all palindromes over $\Sigma=\{0,1\}$

L=?

G=?

DERIVATIONS

- $G = (\{E\}, \{+, -, *, /, (,), \text{id}\}, P, E)$,
where P consists of

$$E \rightarrow E + E$$

$$E \rightarrow E - E$$

$$E \rightarrow E * E$$

$$E \rightarrow E / E$$

$$E \rightarrow - E$$

$$E \rightarrow (E)$$

$$E \rightarrow \text{id}$$

$\boxed{\text{id} * (\text{id} + \text{id})} \leftarrow$

$\text{id} * \text{id}$

$\text{id} * \text{id}$

$$\begin{aligned} E &\Rightarrow E * E \\ &\Rightarrow \text{id} * E \quad (E \rightarrow \text{id}) \\ &\Rightarrow \underline{\text{id} * \text{id}} \quad (E \rightarrow \text{id}) \end{aligned}$$

DERIVATIONS

- $E \Rightarrow E+E$
- $E+E$ derives from E
 - we can replace E by $E+E$
 - to be able to do this, we have to have a production rule $E \rightarrow E+E$ in our grammar.

$$\begin{array}{l} \underline{E} \Rightarrow \underline{E+E} \\ \Rightarrow \underline{id+E} \\ \Rightarrow id+id \end{array} \quad \left. \begin{array}{l} \text{---} \\ E \rightarrow id \end{array} \right\}$$

- A sequence of replacements of non-terminal symbols is called a **derivation** of $id+id$ from E .

DERIVATIONS

- $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$ (α_n derives from α_1 or α_1 derives α_n)

\Rightarrow : derives in one step

\Rightarrow^* : derives in zero or more steps

\Rightarrow^+ : derives in one or more steps

DERIVATIONS

- A **left-most derivation** of a sentential form is one in which rules transforming the left-most non-terminal are always applied.
- $\xRightarrow{\text{lm}}$: leftmost derivation
- A **right-most derivation** of a sentential form is one in which rules transforming the right-most non-terminal are always applied
- $\xRightarrow{\text{rm}}$: rightmost derivation

LM AND RM DERIVATIONS

- Leftmost Derivation

- id+id*id

$$\begin{aligned} E &\xRightarrow{lm} E+E \\ &\xRightarrow{lm} id+E \quad (1) \\ &\xRightarrow{lm} id+E*E \\ &\xRightarrow{lm} id+id*E \\ &\xRightarrow{lm} id+id*id \end{aligned}$$



- Rightmost Derivation

- id+id*id

$$\begin{aligned} E &\xRightarrow{rm} E+E \\ &\xRightarrow{rm} E+E*E \quad (1) \\ &\xRightarrow{rm} E+E*id \\ &\xRightarrow{rm} E+id*id \\ &\xRightarrow{rm} id+id*id \end{aligned}$$

2 → LMD
2 → RMD
2 → PT

AG

LM AND RM DERIVATIONS

- Leftmost Derivation
- $id+id*id$

$$\begin{aligned} E &\xRightarrow{lm} E * E \\ &\xRightarrow{lm} E + E * E \quad (2) \\ &\xRightarrow{lm} id + E * E \\ &\xRightarrow{lm} id + id * E \\ &\xRightarrow{lm} id + id * id \end{aligned}$$



- Rightmost Derivation
- $id+id*id$

$$\begin{aligned} E &\xRightarrow{rm} E * E \\ &\xRightarrow{rm} E * id \quad (2) \\ &\xRightarrow{rm} E + E * id \\ &\xRightarrow{rm} E + id * id \\ &\xRightarrow{rm} id + id * id \end{aligned}$$

PARSE TREE

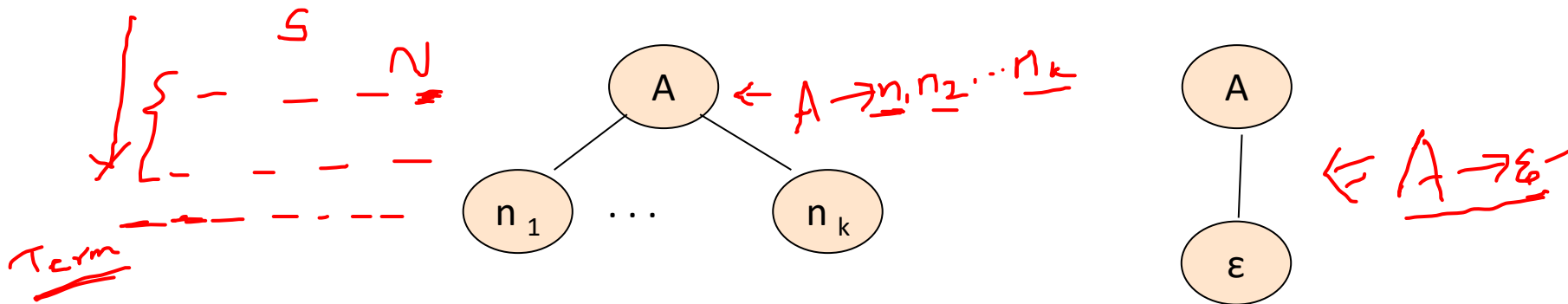
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LEARNING OBJECTIVE

- To understand parse tree and ambiguous grammar (K3)

PARSE TREE

- Let $G=(N, T, P, S)$ be a CFG.
- A tree is a derivation (or) parse tree for G if :
 - Every node has a label which is a non-terminal (or) terminal (or) ϵ , (i.e.) $N \cup T \cup \{\epsilon\}$.
 - The label of the root is S (Start symbol)
 - The internal nodes must be in N (non - terminal) labeled as A .
 - If A is a label for a node and nodes n_1, n_2, \dots, n_k are the sons of node n , in order from the left, then $A \rightarrow n_1 n_2 \dots n_k$ must be a production in P .
 - If a node has label ϵ , then the vertex is a leaf and is the only son of its father.



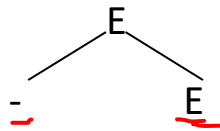
DERIVATION TREE/ PARSE TREE

$E \rightarrow E + E$
 $E \rightarrow E * E$
 $E \rightarrow - E$
 $E \rightarrow (E)$
 $E \rightarrow id$

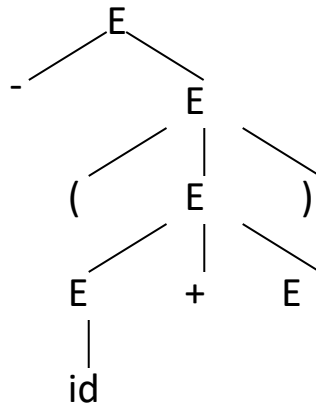
$E \xRightarrow{lm} -E$
 $\xRightarrow{lm} -(E)$
 $\xRightarrow{lm} -(E+E)$
 $\xRightarrow{lm} -(id+E)$
 $\xRightarrow{lm} -(id+id)$

- Inner nodes of a parse tree are non-terminal symbols.
- The leaves of a parse tree are terminal symbols.
- A parse tree can be seen as a graphical representation of a derivation.

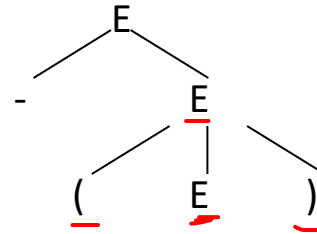
$E \Rightarrow -E$



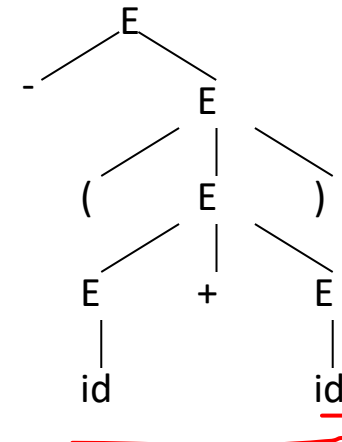
$\Rightarrow -(id+E)$



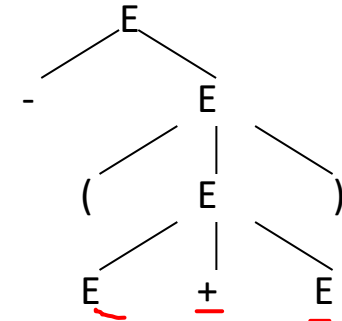
$\Rightarrow -(E)$



$\Rightarrow -(id+id)$



$\Rightarrow -(E+E)$



AMBIGUOUS GRAMMAR

- A grammar G is ambiguous if there is a word $w \in L(G)$ having at least two different parse trees
- CFG is ambiguous \Leftrightarrow any of following equivalent statements:
 - \exists string w with more than one derivation trees.
 - \exists string w with more than one leftmost derivations.
 - \exists string w with more than one rightmost derivations.

AMBIGUOUS GRAMMAR

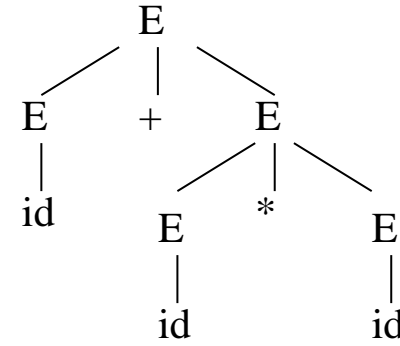
$$E \xRightarrow{lm} E + E$$

$$\xRightarrow{lm} id + E$$

$$\xRightarrow{lm} id + E * E$$

$$\xRightarrow{lm} id + id * E$$

$$\xRightarrow{lm} id + id * id$$



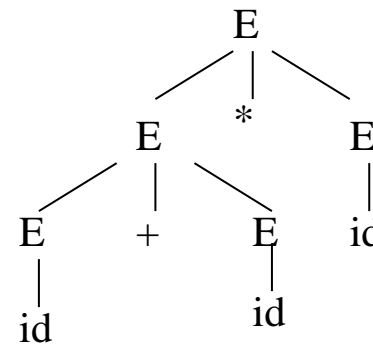
$$E \xRightarrow{lm} E * E$$

$$\xRightarrow{lm} E + E * E$$

$$\xRightarrow{lm} id + E * E$$

$$\xRightarrow{lm} id + id * E$$

$$\xRightarrow{lm} id + id * id$$



EXAMPLE

$S \rightarrow Sbs/a$

ababab

AG

EXAMPLE

$S \rightarrow 0B \mid 1A$

$A \rightarrow 0 \mid 0S \mid 1AA$

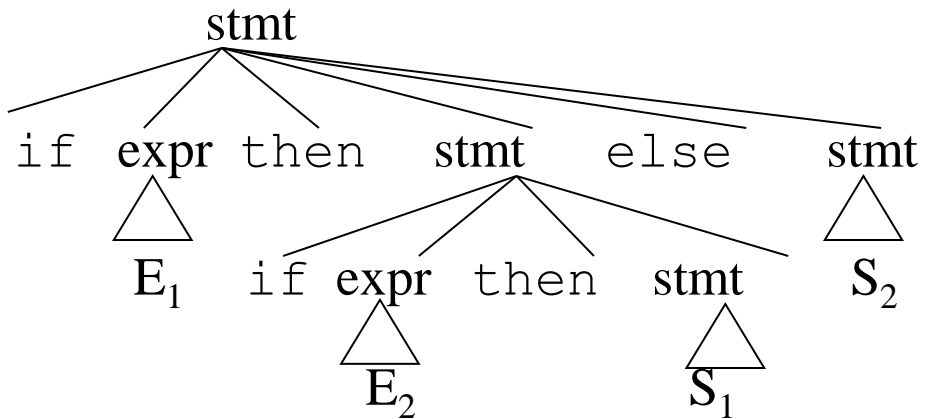
$B \rightarrow 1 \mid 1S \mid 0BB$

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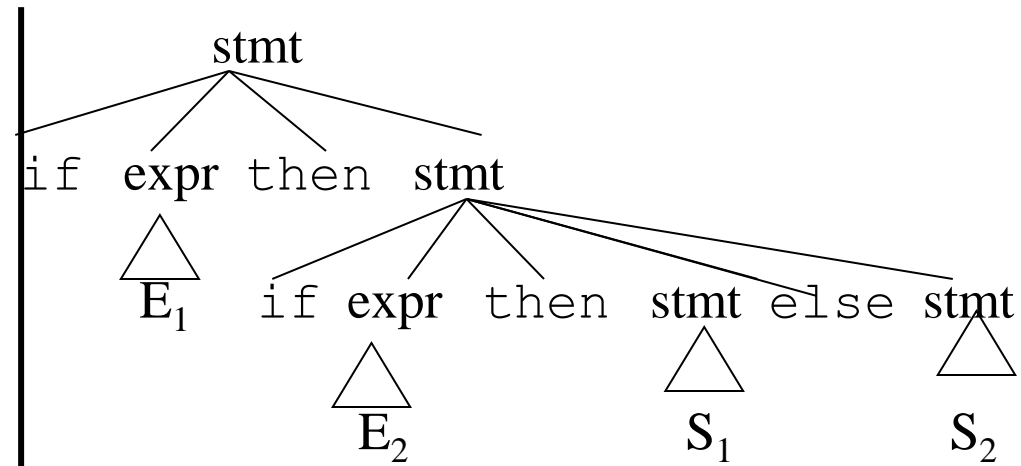
AMBIGUOUS GRAMMAR

$\text{stmt} \rightarrow \text{if expr then stmt} \mid$
 $\text{if expr then stmt else stmt} \mid \text{otherstmts}$

$\text{if } E_1 \text{ then if } E_2 \text{ then } S_1 \text{ else } S_2$



1



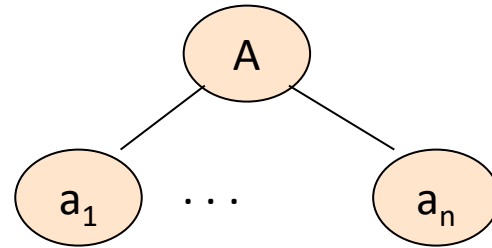
2

RELATIONSHIP BETWEEN DERIVATION AND DERIVATION TREES

- Let $G = (N, \Sigma, P, S)$ be a context free grammar (CFG). Then $S \Rightarrow^* \alpha$ if and only if there is a derivation tree for G which yield α .
- We'll prove for any A in N , $A \Rightarrow^* \alpha$ if and only if there is a A - tree which yields α :
 - Part 1
 - If there is a parse tree with root labeled A and yield α , then $A \Rightarrow^* \alpha$.
 - Part 2
 - If $A \Rightarrow^* \alpha$, then there is a parse tree with root A and yield α .

PROOF – PART 1

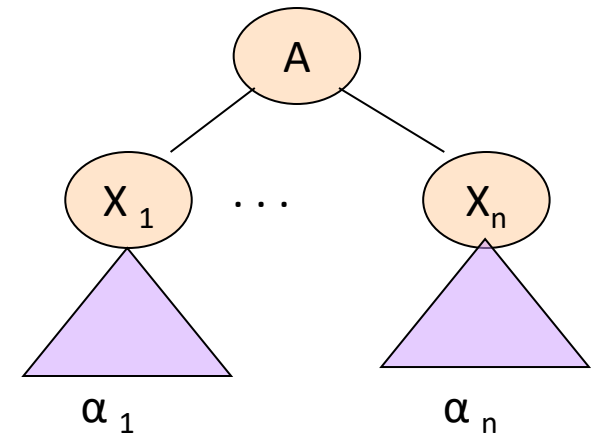
- Induction on the *height* (length of the longest path from the root) of the tree.
- **Basis:** height 1. Tree looks like



- $A \rightarrow \alpha_1 \dots \alpha_n$ must be a production.
- Thus, $A \Rightarrow \alpha_1 \dots \alpha_n$.

PART 1

- Induction
- Assume (1) for trees of height >1
- By IH, $X_i \Rightarrow^* \alpha_i$.
 - Note: if X_i is a terminal, then $X_i = \alpha_i$.
- Thus, $A \Rightarrow X_1 \dots X_n$
 - $\Rightarrow^* \alpha_1 X_2 \dots X_n$
 - $\Rightarrow^* \alpha_1 \alpha_2 X_3 \dots X_n$
 - $\Rightarrow^* \dots$
 - $\Rightarrow^* \alpha_1 \dots \alpha_n$.

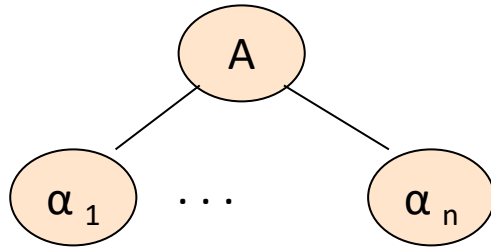


PART 2

- Given a derivation of a terminal string α , we need to prove the existence of a parse tree.
- The proof is an induction on the length of the derivation.

PART 2

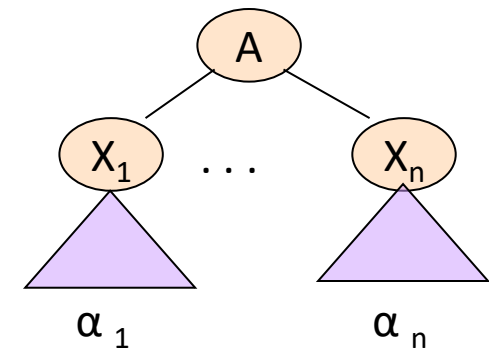
- Basis
- If $A \Rightarrow^* \alpha_1 \dots \alpha_n$ by a one-step derivation, then there must be a parse tree $(A \rightarrow \alpha_1 \dots \alpha_n)$



- Induction
- Assume (2) for derivations of fewer than $k > 1$ steps, and let $A \Rightarrow^* \alpha$ be a k -step derivation.
- First step is $A \Rightarrow X_1 \dots X_n$.
- **Key point:** w can be divided so the first portion is derived from X_1 , the next is derived from X_2 , and so on.
 - If X_i is a terminal, then $\alpha_i = X_i$.

PART 2

- That is, $X_i \Rightarrow^* \alpha_i$ for all i such that X_i is a variable.
 - And the derivation takes fewer than k steps.
- By the IH, if X_i is a variable, then there is a parse tree with root X_i and yield α_i .
- Thus, there is a parse tree



SUMMARY

- Discussion about context free grammar
- Language of CFG
- Derivations from a grammar for a string/word
- Parse tree for a string/word
- Ambiguous grammar

TEST YOUR KNOWLEDGE

- What the does the given CFG defines?
 $S \rightarrow aSbS \mid bSaS \mid e$ and w denotes terminal
a) w^r
b) wSw
c) Equal number of a 's and b 's
d) None of the mentioned
- A grammar $G=(V, T, P, S)$ is _____ if every production taken one of the two forms:
 $B \rightarrow aC$
 $B \rightarrow a$
a) Ambiguous
b) Regular
c) Non Regular
d) None of the mentioned

LEARNING OUTCOME

On successful completion of this topic, the student will be able to:

- Understand the need of formal languages, and grammars (K3)
 - Understand context free grammars

REFERENCE

- Hopcroft J.E., Motwani R. and Ullman J.D, “Introduction to Automata Theory, Languages and Computations”, Second Edition, Pearson Education, 2008