# Perfect secrecy

#### perfectly secret

 Perfectly secret :Encryption schemes that are provably secure even against an adversary with unbounded computational power.

#### Probability review

 Random variable (r.v.): variable that takes on (discrete) values with certain probabilities

- Probability distribution for a r.v. specifies the probabilities with which the variable takes on each possible value
  - Each probability must be between 0 and 1
  - The probabilities must sum to 1

#### Probability review

- Event: a particular occurrence in some experiment
  - Pr[E]: probability of event E

- Conditional probability: probability that one event occurs, given that some other event occurred
  - $Pr[A \mid B] = Pr[A \text{ and } B]/Pr[B]$
- Two random variables X, Y are independent if for all x, y: Pr[X=x | Y=y] = Pr[X=x]

#### Probability review

Law of total probability: say E<sub>1</sub>, ..., E<sub>n</sub> are a partition of all possibilities. Then for any A:

 $Pr[A] = \Sigma_i Pr[A \text{ and } E_i] = \Sigma_i Pr[A \mid E_i] \cdot Pr[E_i]$ 

#### **Notation**

K(key space) – set of all possible keys

 C(ciphertext space) – set of all possible ciphertexts

- Let M be the random variable denoting the value of the message
  - M ranges over  $\mathcal{M}$
  - Context dependent!
  - Reflects the likelihood of different messages being sent, given the attacker's prior knowledge
  - E.g., Pr[M = "attack today"] = 0.7Pr[M = "don't attack"] = 0.3

- Let K be a random variable denoting the key
  - K ranges over K

- Fix some encryption scheme (Gen, Enc, Dec)
  - Gen defines a probability distribution for K:
    Pr[K = k] = Pr[Gen outputs key k]

- Random variables M and K are independent
  - Require that parties don't pick the key based on the message, or the message based on the key

- Fix some encryption scheme (Gen, Enc, Dec), and some distribution for M
- Consider the following (randomized) experiment:
  - 1. Generate a key k using Gen
  - 2. Choose a message m, according to the given distribution
  - 3. Compute  $c \leftarrow Enc_k(m)$
- This defines a distribution on the ciphertext!
- Let C be a random variable denoting the value of the ciphertext in this experiment

#### Example 1

- Consider the shift cipher
  - So for all  $k \in \{0, ..., 25\}$ , Pr[K = k] = 1/26
- Say Pr[M = 'a'] = 0.7, Pr[M = 'z'] = 0.3
- What is Pr[C = 'b'] ?
  - Either M = 'a' and K = 1, or M = 'z' and K = 2
  - $\Pr[C='b'] = \Pr[M='a'] \cdot \Pr[K=1] + \Pr[M='z'] \cdot \Pr[K=2]$   $= 0.7 \cdot (1/26) + 0.3 \cdot (1/26)$  = 1/26

#### Example 1

We can calculate conditional probabilities as well. For example, what is the probability that the message a was encrypted, given that we observe ciphertext B? Using Bayes' Theorem (Theorem A.8) we have

$$\begin{split} \Pr[M = \mathtt{a} \mid C = \mathtt{B}] &= \frac{\Pr[C = \mathtt{B} \mid M = \mathtt{a}] \cdot \Pr[M = \mathtt{a}]}{\Pr[C = \mathtt{B}]} \\ &= \frac{\Pr[C = \mathtt{B} \mid M = \mathtt{a}] \cdot 0.7}{1/26}. \end{split}$$

Note that  $\Pr[C = B \mid M = a] = 1/26$ , since if M = a then the only way C = B can occur is if K = 1 (which occurs with probability 1/26). We conclude that  $\Pr[M = a \mid C = B] = 0.7$ .

#### Example 2

Consider the shift cipher, and the distribution on M given by

Consider the shift cipher again, but with the following distribution over  $\mathcal{M}$ :

$$Pr[M = kim] = 0.5, Pr[M = ann] = 0.2, Pr[M = boo] = 0.3.$$

What is the probability that C = DQQ? The only way this ciphertext can occur is if M = ann and K = 3, or M = boo and K = 2, which happens with probability  $0.2 \cdot 1/26 + 0.3 \cdot 1/26 = 1/52$ .

We can also compute the probability that ann was encrypted, conditioned on observing the ciphertext DQQ? A calculation as above using Bayes' Theorem gives  $\Pr[M = \text{ann} \mid C = \text{DQQ}] = 0.4$ .

### Perfect secrecy (informal)

 "Regardless of any prior information the attacker has about the plaintext, the ciphertext should leak no additional information about the plaintext"

a scheme to be perfectly secret, observing this ciphertext should have no effect on the adversary's knowledge regarding the actual message that was sent; in other words, the a posteriori probability that some message  $m \in \mathcal{M}$  was sent, conditioned on the ciphertext that was observed, should be no different from the a priori probability that m would be sent. This means that the ciphertext reveals nothing about the underlying plaintext, and the adversary learns absolutely nothing about the plaintext that was encrypted. Formally:

#### Perfect secrecy (informal)

 Attacker's information about the plaintext = attacker-known distribution of M

 Perfect secrecy means that observing the ciphertext should not change the attacker's knowledge about the distribution of M

#### Perfect secrecy (formal)

Encryption scheme (Gen, Enc, Dec) with message space M and ciphertext space C is perfectly secret if for every distribution over M, every m ∈ M, and every c ∈ C with Pr[C=c] > 0, it holds that

$$Pr[M = m \mid C = c] = Pr[M = m].$$

 I.e., the distribution of M does not change conditioned on observing the ciphertext

#### **Equivalent formulation**

the distribution of the ciphertext does not depend on the plaintext, i.e., for any two messages  $m,m^0 \in M$  the distribution of the ciphertext when m is encrypted should be identical to the distribution of the ciphertext when  $m^0$  is encrypted. That is, for every  $m,m^0 \in M$ , and every  $c \in C$ , we have

$$\Pr[\mathsf{Enc}_K(m) = c] = \Pr[\mathsf{Enc}_K(m^0) = c]$$

it is impossible to distinguish an encryption of m from an encryption of m0, since the distributions of the ciphertext are the same in each case.

#### Perfect secrecy

• An encryption scheme (Gen,Enc,Dec) with message space M is perfectly secret if and only if Equation below holds for every  $m,m^0 \in M$  and every  $c \in C$ .

$$\Pr[\mathsf{Enc}_K(m) = c] = \Pr[\mathsf{Enc}_K(m^0) = c]$$

• for any scheme, any distribution on M, any  $m ext{ } ext{!} ex$ 

$$\begin{split} \Pr[C = c \mid M = m] &= \Pr[\mathsf{Enc}_K(M) = c \mid M = m] \\ &= \Pr[\mathsf{Enc}_K(m) = c \mid M = m] \\ &= \Pr[\mathsf{Enc}_K(m) = c], \end{split}$$

$$\Pr[M = m \mid C = c] \cdot \Pr[C = c] = \Pr[C = c \mid M = m] \cdot \Pr[M = m]. (2.3)$$

#### Perfect secrecy

$$\Pr[M = m \mid C = c] \cdot \Pr[C = c] = \Pr[C = c \mid M = m] \cdot \Pr[M = m].$$

$$\begin{aligned} \Pr[\mathsf{Enc}_K(m) = c] &= \Pr[C = c \mid M = m] \\ &= \Pr[C = c] \\ &= \Pr[C = c \mid M = m'] = \Pr[\mathsf{Enc}_K(m') = c] \end{aligned}$$

# Perfect (adversarial) indistinguishability

- Adversary passively observing a ciphertext and then trying to guess which of two possible messages was encrypted.
- An adversary A first specifies two arbitrary messages m0,m1 ∈ M.
- A key k is generated using Gen.
- One of the two messages specified by A is chosen (each with probability 1/2) and encrypted using k; the resulting ciphertext is given to A.
- A outputs a "guess" as to which of the two messages was encrypted; A succeeds if it guesses correctly

## Perfectly indistinguishable

#### The adversarial indistinguishability experiment $PrivK_{A,\Pi}^{eav}$ :

- 1. The adversary A outputs a pair of messages  $m_0, m_1 \in \mathcal{M}$ .
- 2. A key k is generated using Gen, and a uniform bit  $b \in \{0, 1\}$  is chosen. Ciphertext  $c \leftarrow \operatorname{Enc}_k(m_b)$  is computed and given to A. We refer to c as the challenge ciphertext.
- 3.  $\mathcal{A}$  outputs a bit b'.
- 4. The output of the experiment is defined to be 1 if b' = b, and 0 otherwise. We write  $\mathsf{PrivK}_{\mathcal{A},\Pi}^{\mathsf{eav}} = 1$  if the output of the experiment is 1 and in this case we say that  $\mathcal{A}$  succeeds.

it is trivial for A to succeed with probability 1/2 by outputting a random guess

#### Perfectly indistinguishable

• Encryption scheme  $\Pi$  = (Gen,Enc,Dec) with message space M is perfectly indistinguishable if for every A it holds that

$$\Pr\left[\mathsf{PrivK}^{\mathsf{eav}}_{\mathcal{A},\Pi} = 1\right] = \frac{1}{2} \,.$$

- Encryption scheme  $\Pi$  is perfectly secret if and only if it is perfectly indistinguishable.
- Vigen`ere cipher is not perfectly indistinguishable

#### One-time pad

- Patented in 1917 by Vernam
  - Recent historical research indicates it was invented (at least) 35 years earlier

Proven perfectly secret by Shannon (1949)

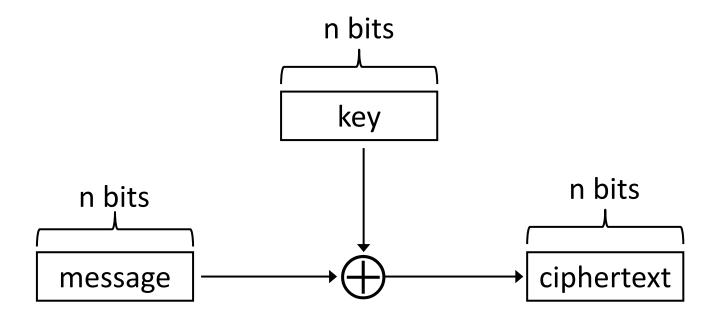
#### One-time pad

- Let  $\mathcal{M} = \{0,1\}^n$
- Gen: choose a uniform key  $k \in \{0,1\}^n$
- $Enc_k(m) = k \oplus m$
- $Dec_k(c) = k \oplus c$

• Correctness:

$$Dec_k(Enc_k(m)) = k \oplus (k \oplus m)$$
  
=  $(k \oplus k) \oplus m = m$ 

#### One-time pad



#### Perfect secrecy of one-time pad

• The one-time pad encryption scheme is perfectly secret.

Fix an integer  $\ell > 0$ . The message space  $\mathcal{M}$ , key space  $\mathcal{K}$ , and ciphertext space  $\mathcal{C}$  are all equal to  $\{0,1\}^{\ell}$  (the set of all binary strings of length  $\ell$ ).

- Gen: the key-generation algorithm chooses a key from  $\mathcal{K} = \{0, 1\}^{\ell}$  according to the uniform distribution (i.e., each of the  $2^{\ell}$  strings in the space is chosen as the key with probability exactly  $2^{-\ell}$ ).
- Enc: given a key  $k \in \{0,1\}^{\ell}$  and a message  $m \in \{0,1\}^{\ell}$ , the encryption algorithm outputs the ciphertext  $c := k \oplus m$ .
- Dec: given a key  $k \in \{0,1\}^{\ell}$  and a ciphertext  $c \in \{0,1\}^{\ell}$ , the decryption algorithm outputs the message  $m := k \oplus c$ .

## Secrecy of the one-time pad

**PROOF** We first compute  $\Pr[C = c \mid M = m]$  for arbitrary  $c \in \mathcal{C}$  and  $m \in \mathcal{M}$  with  $\Pr[M = m] > 0$ . For the one-time pad, we have

$$Pr[C = c \mid M = m] = Pr[K \oplus m = c \mid M = m]$$
$$= Pr[K = m \oplus c \mid M = m]$$
$$= 2^{-\ell},$$

Using the above result, we see that for any  $c \in C$  we have

$$\Pr[C = c] = \sum_{m \in \mathcal{M}} \Pr[C = c \mid M = m] \cdot \Pr[M = m]$$
$$= 2^{-\ell} \cdot \sum_{m \in \mathcal{M}} \Pr[M = m]$$
$$= 2^{-\ell},$$

### Secrecy of the one-time pad

where the sum is over  $m \in \mathcal{M}$  with  $\Pr[M = m] \neq 0$ . Bayes' Theorem gives:

$$\Pr[M = m \mid C = c] = \frac{\Pr[C = c \mid M = m] \cdot \Pr[M = m]}{\Pr[C = c]}$$
$$= \frac{2^{-\ell} \cdot \Pr[M = m]}{2^{-\ell}}$$
$$= \Pr[M = m].$$

We conclude that the one-time pad is perfectly secret.

#### Drawbacks of one time pad

- The key is as long as the message
- Is only secure if used once
- It is easy to see that encrypting more than one message with the same key leaks a lot of information.

$$c \oplus c^0 = (m \oplus k) \oplus (m^0 \oplus k) = m \oplus m^0$$

#### Limitations of Perfect Secrecy

 that any perfectly secret encryption scheme must have a key space that is at least as large as the message space

**THEOREM 2.11** If (Gen, Enc, Dec) is a perfectly secret encryption scheme with message space  $\mathcal{M}$  and key space  $\mathcal{K}$ , then  $|\mathcal{K}| \geq |\mathcal{M}|$ .

#### Shannon's Theorem

**THEOREM 2.12 (Shannon's theorem)** Let (Gen, Enc, Dec) be an encryption scheme with message space  $\mathcal{M}$ , for which  $|\mathcal{M}| = |\mathcal{K}| = |\mathcal{C}|$ . The scheme is perfectly secret if and only if:

- 1. Every key  $k \in \mathcal{K}$  is chosen with (equal) probability  $1/|\mathcal{K}|$  by Gen.
- 2. For every  $m \in \mathcal{M}$  and every  $c \in \mathcal{C}$ , there is a unique key  $k \in \mathcal{K}$  such that  $\operatorname{Enc}_k(m)$  outputs c.

#### Summary

#### Discussed

- Probabilities
- Perfect Secrecy
- One-Time pad
- Shannon's Theorem on perfect secrecy