



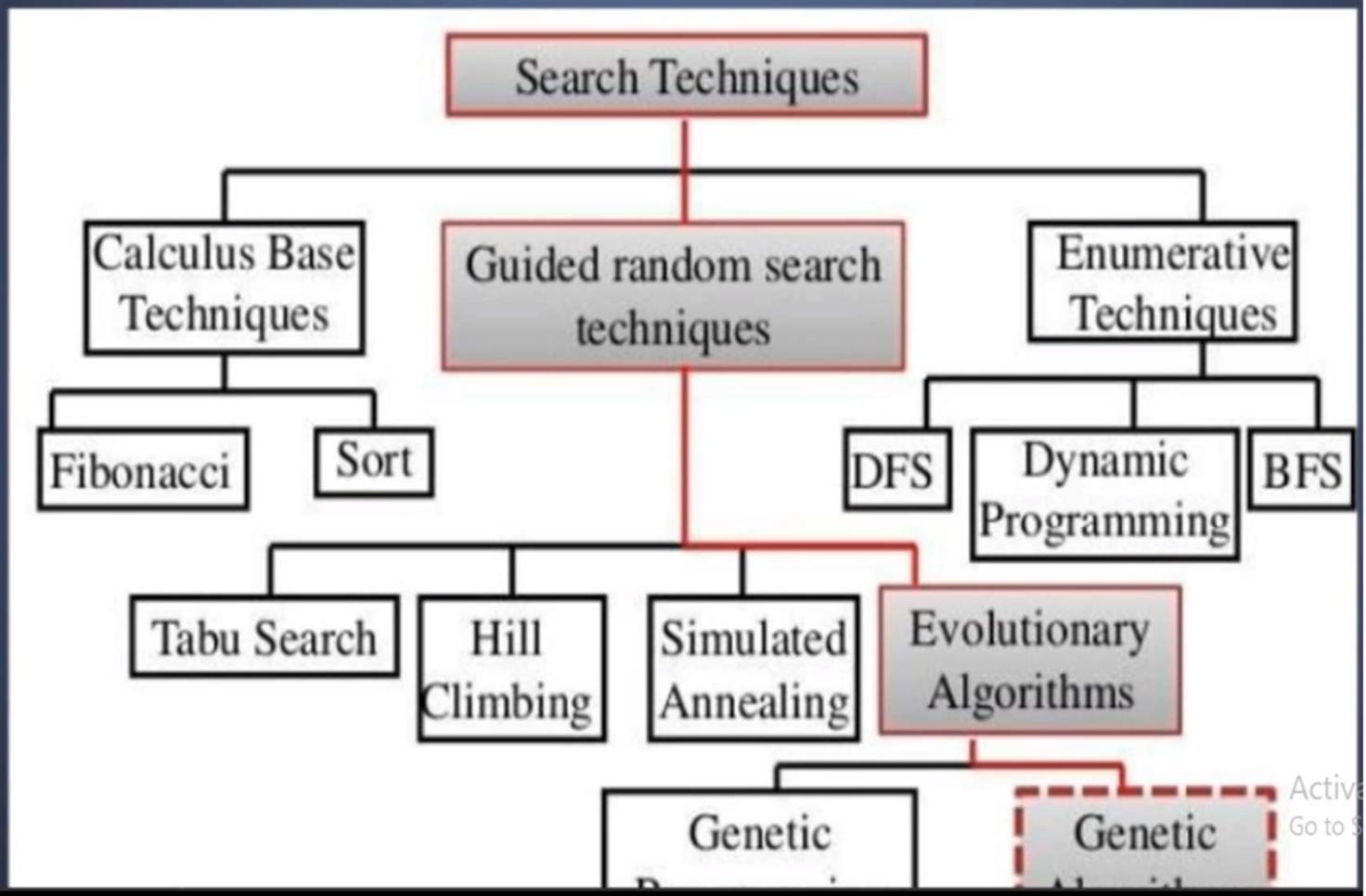
# Genetic Algorithm

...

In the field of artificial intelligence...

**Application : Job Scheduling**

# Search Techniques



# Why Genetic Algorithm?

Widely-used in business, science and  
engineering

Optimization and Search Problems

Scheduling and Timetabling

# Basic Algorithm of Genetic Algorithm

```
randomly initialize population(t)
determine fitness of population(t)
repeat
    select parents from population(t)
    perform crossover on parents creating population(t+1)
    perform mutation of population(t+1)
    determine fitness of population(t+1)
until best individual is good enough
```

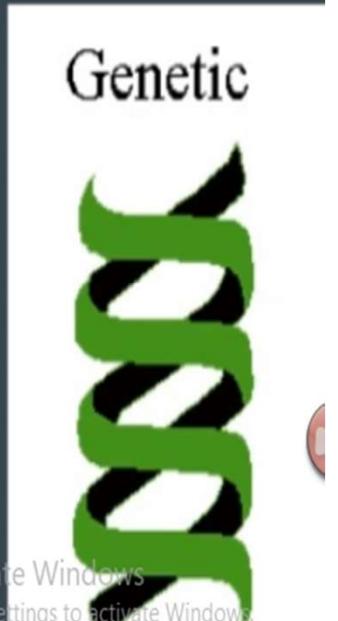


# Outline of the Basic Genetic Algorithm

1. [Start] Generate random population of n chromosomes (suitable solutions for the problem)
2. [Fitness] Evaluate the fitness  $f(x)$  of each chromosome  $x$  in the population
3. [New population] Create a new population by repeating following steps until the new population is complete
  1. *[Selection] Select two parent chromosomes from a population according to their fitness (the better fitness, the bigger chance to be selected)*
  2. *[Crossover] With a crossover probability crossover the parents to form a new children. If no crossover was performed, children is an exact copy of parents.*

# Outline of the Basic Genetic Algorithm

4. [Replace] Use new generated population for a further run of algorithm
5. [Test] If the end condition is satisfied, stop, and return the best solution in current population
6. [Loop] Go to step 2



Genetic

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# List of Genetic Algorithm applications

1. Airlines Revenue Management.
2. Wireless sensor/ad-hoc networks.
3. Audio watermark insertion/detection.
4. Protein folding and protein/ligand docking.
5. Pop music record producer.
6. Financial Mathematics.
7. Finding hardware bugs.

# Initial Population

We start with a population of n random strings. Suppose that length is = 10 and total n = 6

s1 = 1111010101

s2 = 0111000101

s3 = 1110110101

s4 = 0100010011

s5 = 1110111101

$s_6 = 0100110000$

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## Fitness Function: $f()$

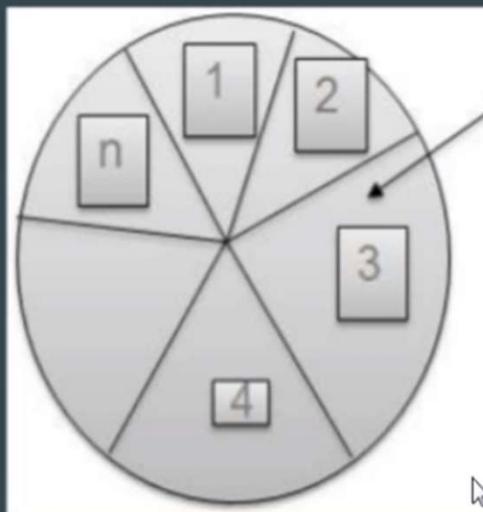
$s_1 = 11\overset{\rightarrow}{1}1010101$	$f(s_1) = 7$
$s_2 = 0111000101$	$f(s_2) = 5$
$s_3 = 1110110101$	$f(s_3) = 7$
$s_4 = 0100010011$	$f(s_4) = 4$
$s_5 = 1110111101$	$f(s_5) = 8$
$s_6 = 0100110000$	$f(s_6) = 3$

**Total = 34**

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# Selection (1)

Next we apply fitness proportionate selection with the roulette wheel method:



Area is  
Proportional to  
fitness value

Individual  $i$  will have a  
probability to be chosen

$$\frac{f(i)}{\sum_i f(i)}$$

We repeat the extraction as many times as the number of individuals

we need to have the same parent population size (6 in our case)

## Selection (2)

Suppose that, after performing selection, we get the following population:

$s1' = 1111010101$  (s1)

$s2' = 1110110101$  (s3)

$s3' = 1110111101$  (s5)

$s4' = 0111000101$  (s2)

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# Basic Algorithm of Genetic Algorithm

randomly initialize population( $t$ )

determine fitness of population( $t$ )

repeat

    select parents from population( $t$ )

**perform crossover on parents creating population( $t+1$ )**

    perform mutation of population( $t+1$ )

    determine fitness of population( $t+1$ )

    until best individual is good enough



# Perform Crossover

## Before Crossover

S1' = 11**11010101**

S2' = 11**10110101**

S5' = 01000**10011**

S6' = 11101**11101**

## After Crossover

S1' = 11**10110101**

S2' = 11**11010101** →

S5'' = 01000**11101**

S6'' = 11101**10011**

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# Basic Algorithm of Genetic Algorithm

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- perform mutation of population( $t+1$ )**

- determine fitness of population( $t+1$ )

- until best individual is good enough



# Mutation

Before applying mutation:	After applying mutation:
$s1'' = 11101\textcolor{red}{1}0101$	$s1''' = 11101\textcolor{red}{0}0101$
$s2'' = 1111\textcolor{red}{0}10101$	$s2''' = 1111\textcolor{red}{1}10100$
$s3'' = 11101\textcolor{red}{1}1101$	$s3''' = 11101\textcolor{red}{0}1111$
$s4'' = 0111000101$	$s4''' = 0111000101$
$s5'' = 0100011101$	$s5''' = 0100011101$
$s6'' = 11101100\textcolor{red}{1}1$	$s6''' = 11101100\textcolor{red}{0}1$

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# Basic Algorithm of Genetic Algorithm

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until best individual is good enough



# Fitness of New Population

## After Applying Mutation

$s1''' = 11101\textcolor{red}{0}0101$

$f(s1''') = 6$

$s2''' = 1111\textcolor{red}{1}10100$

$f(s2''') = 7$

$s3''' = 11101\textcolor{red}{0}1111$

$f(s3''') = 8$

$s4''' = 0111000101$

$f(s4''') = 5$

$s5''' = 0100011101$

$f(s5''') = 5$

$s6''' = 11101100\textcolor{red}{0}1$

$f(s6''') = 6$

Total = 37

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## Example (End)

- In one generation, the total population fitness changed from 34 to 37, thus improved by ~9%.
- At this point, we go through the same process all over again, until a stopping criteria is met.

# Issues

Choosing basic implementation issues:

representation

population size, mutation rate, ...

selection, deletion policies

crossover, mutation operators

Termination Criteria

Performance, scalability

Solution is only as good as the evaluation function

# When to Use a GA

Alternate solutions are too slow or overly complicated

Need an exploratory tool to examine new approaches

Problem is similar to one that has already been successfully solved by using a GA

Want to hybridize with an existing solution

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# **Application :**

# **Job Scheduling**

# Applying Genetic Algorithm

- Represent a solution as a chromosome.  
*-We have already chosen a representation like {1,0,1}.*
- Define a fitness function.  
$$f(solution) = [concat(G_1, G_2, G_3)]_{10}$$
  
$$f(\{1,0,1\}) = (101)_{10} = 5$$
  
*G represents a gene*
- Select a population of the solution and calculate their fitness values.

Solution	Fitness
{0,0,1}	1
{0,1,0}	2
{1,1,0}	6
{1,0,1}	5

For a single set, 4 solutions are obtained

# Applying Reproduction

- Suppose, we randomly generate 500 sets of such solutions.
- Resulting population will have 2000 chromosomes.
- Among the 2000 chromosomes, the one having the maximum fitness value will be having the maximum appearance like as follows:  
  {0,0,1},{0,1,0},{1,1,0},{1,0,1},{1,1,0},{0,1,0},{1,1,0},{1,0,1},...  
  {1,0,1},{0,1,0},{1,1,0},{0,1,0},{0,0,1},{1,1,0},{1,1,0},{0,1,0},...  
  {1,0,1},{1,1,0},....., up to 2000<sup>th</sup> chromosome.

For 500 sets,  $500 \times 4 = 2000$  solutions are obtained

# Job Shop Scheduling problem

- Suppose there are three manufacturing units M1, M2 and M3, each of which is capable of manufacturing a different product
- A schedule could be a set of numbers 20,35,15 where each number indicates the quantity of the concerned product manufactured by M1, M2, and M3 respectively
- The schedule could yield a profit say 40 computed by some equation
- The problem is to optimize (maximize) the profit
- The function used to compute the profit is called the fitness function

## Job Shop Scheduling problem contd..

- The set {20,35,15} forms the chromosome; its members form the genes, Alleles are the values that these genes can assume
- The problem can be simplified in terms of binary numbers by assuming a unit is ON (manufacturing) or OFF (not manufacturing)

## Job Shop Scheduling problem contd..

- A schedule { 1,0,1} yields a chromosome that indicates that M1 and M3 are on and M2 is off

The fitness function could be the binary number formed from the individual genes in the chromosome; for example the schedule {1,0,1} has fitness of (101), or decimal 5

The problem is to find the best schedule to maximize the value of the numbers formed by the genes comprising the chromosome

The solution {1,1,1} could be found using genetic algorithm approach

# **Use Genetic Algorithm to solve Job Scheduling Problem**

# Using GA to solve Job Shop Scheduling problem

1. Represent a solution as a chromosome and find a suitable fitness function

Define the chromosomes like {1,0,1}, {1,1,0} etc., and define the fitness function as

$$f(\text{chromosome}) = (\text{concat}(\text{Gene1}, \text{Gene2}, \text{Gene3}))_{10}$$

2. Choose a population of solutions and initialize it

Let the population size N be 4; let the initialized population be [{0,0,1}, {0,1,0}, {1,1,0}, {1,0,1}]

3. Apply the genetic operators one by one

Using GA to solve Job Shop Scheduling problem contd..

- 4 . Determine the fitness of each solution  $f_i$  and the total fitness of the population  $\sum f_i$  as follows

Compute the normalized fitness or fitness probability  $p_i$ , and the expected count of the solution (number of solutions that could occur)  $E_c$

The computed values are shown in Table 1.

## Using GA to solve Job Shop Scheduling problem contd..

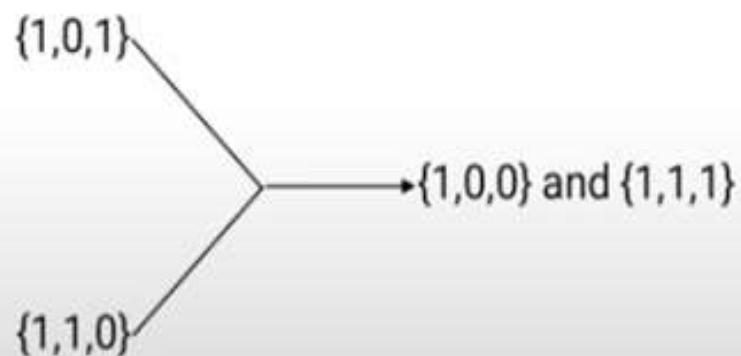
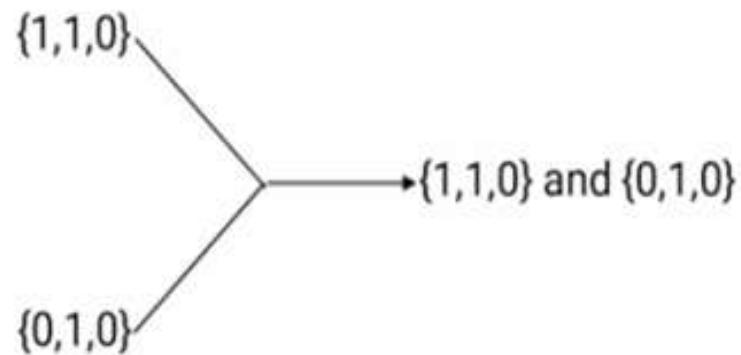
Solution No.	Solution	$f_i = \text{concat}(\text{Gene}_1, \text{Gene}_2, \text{Gene}_3)_{10}$	$\%p_i = f_i / \sum f_i * 100$	$E_c = N * \%p_i$ ( $N=4$ )
1	{0,1,0}	1	7.14	28.6
2	{0,1,0}	2	14.29	57.1
3	{1,1,0}	6	42.86	171.4
4	{1,0,1}	5	35.71	142.9
$\Sigma$		14	100	400
Maximum		6	42.86	171.43

Table 1: Fitness and Expected Count of a generation

Solution	Fitness
{0,0,1}	1
{0,1,0}	2
{1,1,0}	6
{1,0,1}	5

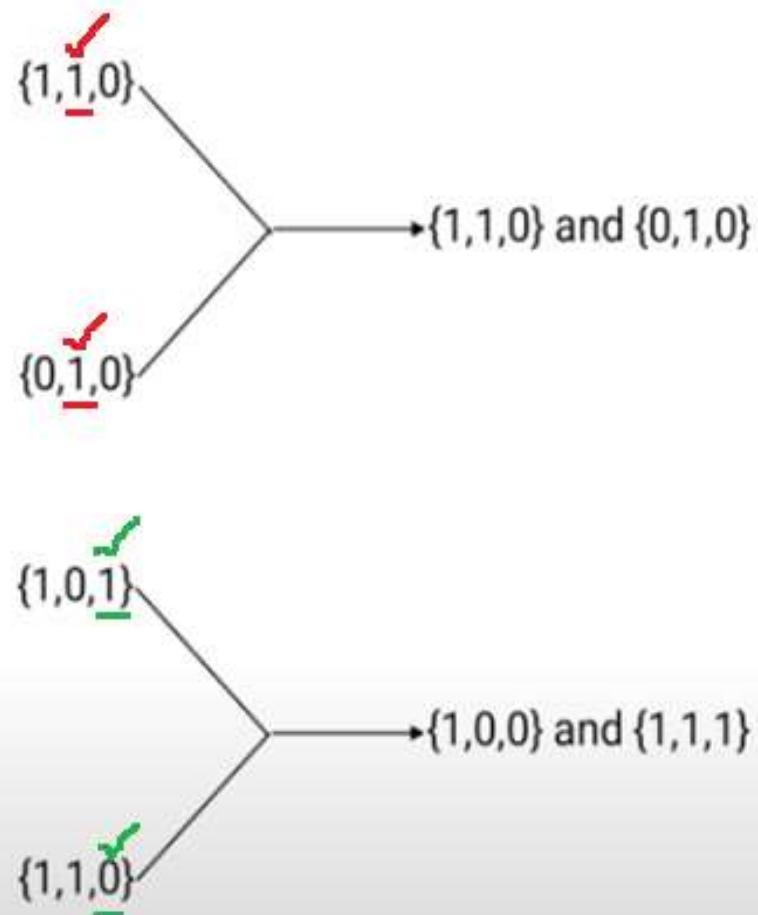
## Applying Crossover

- Let's select two solutions randomly from 2000 chromosomes.
  - {1,1,0} and {0,1,0}
  - {1,0,1} and {1,1,0}
- Randomly choose a crossing site.  
1<sup>st</sup> bit for pair a  
0<sup>th</sup> bit for pair b



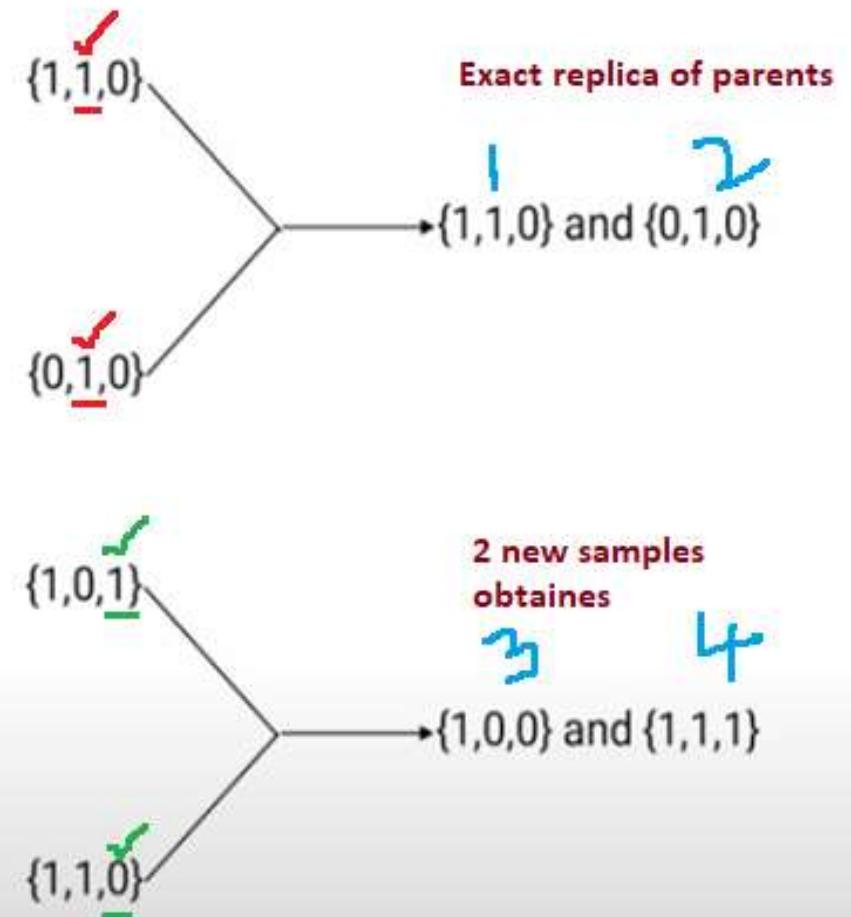
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# Applying Crossover

- Let's select two solutions randomly from 2000 chromosomes.
  - {1,1,0} and {0,1,0}
  - {1,0,1} and {1,1,0}



4 chromosomes are obtained by using Cross Over Operator

Solution	Fitness
{1,1,0}	6
{0,1,0}	2
{1,0,0}	4
{1,1,1}	7

## NOTE: Slide No: 27, WKT,

The problem is to find the best schedule to maximize the value of the numbers formed by the genes comprising the chromosome

The solution {1,1,1} could be found using genetic algorithm approach

Solution	Fitness
{1,1,0}	6
{0,1,0}	2
{1,0,0}	4
{1,1,1}	7

Generated Solution is a better solution which is obtained with Cross Over Operation itself.

It is better than the previously existing solutions.

In the human perspective, it is the optimal solution because we can not have a larger value than {1 1 1} (better solution). So Crossover is successful.

This is the fitness values of the newly generated solutions.

## Applying genetic operators

Reproduction or selection operator is applied on the mating pool and two solutions are selected. There are {1,0,1} and {1,1,0}

(c) Crossover is applied on {1,0,1} and {1,1,0} choosing crossing site 2 and the resultant chromosomes are {1,0,0} and {1,1,1}

(d) Mutation occurs rarely. Mutation flips the value of a randomly selected gene in a chromosome

For example the chromosome {0,1,0} could mutate to any of {1,1,0} or {0,0,0} or {0,1,1}

## Applying genetic operators

Reproduction or selection operator is applied on the mating pool and two solutions are selected. There are  $\{1,0,1\}$  and  $\{1,1,0\}$

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For example the chromosome  $\{0,1,0\}$  could mutate to any of  $\{1,1,0\}$  or  $\{0,0,0\}$  or  $\{0,1,1\}$

# Applying Mutation

- Let's select a chromosome  $\{0,1,0\}$  to be mutated.
- After flipping either of the three bits, we can have the following possible chromosomes:
  - $\{0,1,1\}$
  - $\{0,0,0\}$
  - $\{1,1,0\}$

Solution	Fitness
{0,1,1}	3
{0,0,0}	0
{1,1,0}	6

- Compared to first set(population) of solutions,
- After applying MUTATION, we have not read any better solution.
- So for this set of chromosome {0,1,0}, we can not generate a better solution
- It is resulting in the almost same fitness value
- By the application of Crossover we have reached the optimal solution for the JOB

# Conclusion

Inspired from Nature

Has many areas of Applications

GA is powerful