# Q1. QLoRA: Efficient Finetuning of Quantized LLMs 1. What is QLoRA and how does it differ from standard LoRA?

#### What is QLoRA?

QLoRA, or Quantized Low-Rank Adapters, is an advanced technique that enhances the efficiency of fine-tuning large language models (LLMs) by utilizing quantization methods. It builds upon the foundational principles of Low-Rank Adapters (LoRA) but incorporates additional strategies to reduce memory usage while maintaining high fidelity in model performance.

#### **Key Features of QLoRA**

#### **Quantization Techniques:**

QLoRA employs 4-bit NormalFloat (NF4) quantization and double quantization techniques. These methods allow for effective fine-tuning with significantly reduced memory requirements while preserving the necessary precision for learning.

#### **Paged Optimizers:**

This approach includes paged optimizers that help manage memory usage during training, which is particularly important for preventing out-of-memory errors that can occur when fine-tuning large models.

#### Performance:

QLoRA has demonstrated the ability to match the performance of traditional 16-bit LoRA fine-tuning. Specifically, it has been shown to achieve similar accuracy on benchmarks while using considerably less memory .

Differences Between QLoRA and Standard LoRA

#### **Data Representation:**

Standard LoRA typically uses 16-bit floating-point representations for parameters, while QLoRA utilizes a combination of a low-precision storage type (4-bit NF4) and a higher precision computation type (usually BFloat16). This allows QLoRA to perform matrix multiplications in 16-bit while storing weights in a more compact form .

#### **Memory Efficiency:**

QLoRA's quantization techniques significantly reduce the memory footprint required for fine-tuning compared to standard LoRA. In practical applications, this means that QLoRA can operate effectively on hardware with limited memory resources, making it more accessible for researchers and practitioners .

#### **Performance Metrics:**

Studies have shown that QLoRA can replicate the performance of both full-model fine-tuning and standard LoRA fine-tuning across various benchmarks, indicating that it does not compromise on model accuracy despite its lower memory requirements.

#### Conclusion

QLoRA represents a significant advancement over standard LoRA by integrating quantization techniques that enhance memory efficiency while maintaining high model performance. This

makes it a valuable tool for fine-tuning large language models in resource-constrained environments.

### 2.Explain the role and benefits of the NormalFloat4 (NF4) quantization format

#### Role and Benefits of the NormalFloat4 (NF4) Quantization Format

#### Role in QLoRA:

- NF4 (NormalFloat4) is a custom 4-bit quantization format used in QLoRA to compress pretrained language model weights.
- It enables memory-efficient fine-tuning by quantizing the base model weights and training only lightweight adapter layers (LoRA).
- Designed specifically for normally distributed weight values commonly seen in large language models.

#### Why NF4?

- Unlike INT4 or FP4, which apply uniform quantization, NF4 uses a non-uniform format that allocates more representation capacity near zero.
- This matches the distribution of neural network weights and leads to better preservation of model accuracy after quantization.

#### **Key Benefits:**

- **Higher accuracy:** Outperforms other 4-bit formats (like INT4 and FP4) on downstream tasks.
- **Memory savings:** Reduces memory footprint dramatically, making it possible to fine-tune large models (e.g., 65B) on a single 48GB GPU.
- **Efficient representation:** More accurately captures weight distributions, especially around zero.
- No accuracy trade-off: Maintains full 16-bit fine-tuning performance when paired with LoRA.

• Hardware-friendly: Can be efficiently implemented in modern GPU kernels.

**In short:** NF4 allows highly compressed models without compromising performance, unlocking the ability to train large LLMs on limited hardware.

3. What is "double quantization" and why is it useful?

**Double quantization** refers to quantizing not only the model weights (using NF4), but also the **quantization constants themselves** (typically with 8-bit precision).

#### Why it's useful:

- Further reduces memory usage up to 3× savings over single quantization.
- Maintains model accuracy with no noticeable degradation.
- Enables fine-tuning of large models (like 65B) within limited GPU memory.

**In short:** It's a memory optimization technique that allows large-scale training with minimal performance loss.

### 4. How do paged optimizers help in memory management during training?

**Paged optimizers** use **unified memory** to offload optimizer states between GPU and CPU memory as needed.

#### Benefits:

- Prevent out-of-memory (OOM) errors, especially during gradient checkpointing or long-sequence training.
- Enable fine-tuning of **very large models (up to 65B)** on GPUs with limited memory (e.g., 48GB).
- Maintain training stability without manual memory management.

**In short:** Paged optimizers dynamically manage memory, allowing efficient large-model training on constrained hardware

5. Why is QLoRA significant in enabling large model finetuning on limited hardware?

## 5. Why is QLoRA significant in enabling large model finetuning on limited hardware?

QLoRA enables fine-tuning of large language models (up to 65B parameters) on standard GPUs (e.g., 24–48GB) by:

- Using 4-bit quantization (NF4) to reduce memory usage drastically.
- Freezing the base model and training only low-rank adapter layers (LoRA).
- Employing paged optimizers to avoid GPU memory overflow.
- Retaining performance comparable to full 16-bit fine-tuning.

**In short:** QLoRA makes large-scale model fine-tuning practical and affordable for researchers without high-end infrastructure

### 6.Suggest one possible improvement or variation to the QLoRA method. Why might it help?

**Improvement:** Use **mixed-precision quantization**, apply 8-bit quantization to sensitive layers (e.g., attention heads, embeddings) while keeping others in 4-bit (NF4).

#### Why it might help:

- Preserves critical model performance where 4-bit may lose precision.
- Offers a balance between accuracy and memory efficiency.
- Could improve stability on complex downstream tasks or domain-specific finetuning.

**In short:** Mixed precision may retain more model expressiveness without sacrificing the memory savings that make QLoRA attractive

## Q2.PokerGPT: Lightweight Solver for Multi-Player Poker 1.Describe the overall pipeline of PokerGPT from raw data to trained model.

#### 1. Data Collection

- Collected real-world multi-player poker game logs (e.g., from PokerStars).
- Extracted complete game states, including player actions, hole cards, community cards, and outcomes.

#### 2. Data Preprocessing & Prompt Engineering

- Filtered hands with complete public and private information.
- Formatted each hand into a structured natural language prompt, capturing the entire decision context (e.g., position, stack, pot size, hand, history).
- Assigned rewards using win rates and hand outcomes to score decisions.

#### 3. Supervised Fine-tuning (SFT)

- Fine-tuned a base LLM (OPT-1.3B) on high-quality prompts using supervised learning.
- Prioritized samples from high win-rate players to bias training toward expert behavior.

#### 4. Reward Model Training

- Trained a separate reward model to rank responses based on quality (e.g., expected win rate or rationality).
- 5. RLHF (Reinforcement Learning with Human Feedback)
  - Applied PPO to align the model's decisions with high-reward behaviors.
  - Reward model guided optimization toward strategic and effective decision-making.

Summary: PokerGPT transforms raw poker logs into structured prompts, trains an LLM using expert examples, and refines it using RLHF for high-quality multi-player poker performance.

### 2. What makes PokerGPT different from traditional poker solvers like CFR or DeepStack?

PokerGPT uses a language model-based approach, making it fundamentally different from traditional solvers:

| Feature               | Traditional Solvers (CFR, DeepStack)                | PokerGPT                          |
|-----------------------|-----------------------------------------------------|-----------------------------------|
| Core Method           | Game-theoretic algorithms (e.g., CFR, search trees) | Language modeling + RLHF          |
| Data Input            | Structured game state encoding                      | Natural language prompts          |
| Player Support        | Typically 2-player (heads-up)                       | Easily handles multi-player games |
| Computational<br>Cost | Very high (e.g., TBs of RAM, massive CPUs)          | Runs on single GPU (e.g., 3090)   |
| Interactivity         | Not interactive or human-readable                   | Chat-style interface possible     |
| Adaptability          | Rigid, handcrafted models                           | Learns from real gameplay data    |

In short: PokerGPT is more scalable, flexible, and user-friendly, making it practical for real-world, multi-player poker training and play.

### 3. Why is RLHF used in PokerGPT and how does it affect decision-making quality?

Why RLHF is used:

- PokerGPT first learns from supervised data (e.g., past hands), but that only teaches imitation.
- RLHF (Reinforcement Learning with Human Feedback) helps align the model's decisions with high-quality strategies by optimizing for outcome-based rewards.

• A trained reward model scores actions based on effectiveness (e.g., win rate), and PPO fine-tunes the model toward high-reward choices.

#### Effect on decision-making quality:

- Encourages more strategically sound, risk-aware, and context-sensitive decisions.
- Helps PokerGPT go beyond memorized plays to reason through novel hands.
- Improves robustness and reduces poor or erratic moves that SFT alone may not catch.

In short: RLHF transforms PokerGPT from a pattern imitator into a reward-optimized strategic agent.

### 4. What are the advantages of using LLMs for multiplayer poker games?

- Scalability: Easily handles more than two players, unlike traditional solvers limited to heads-up formats.
- Lower compute requirements: Trained and run on a single GPU—no need for massive search trees or simulations.
- Natural input/output: Accepts and produces human-readable text, making it suitable for interactive and educational tools.
- Real-world adaptability: Learns directly from real game logs, adapting to a wide range of strategies and styles.
- Explainability: Can provide reasoning for decisions if prompted, helping players learn strategy.
- Faster inference: Provides decisions quickly without simulating thousands of game states.

In short: LLMs make multiplayer poker modeling more efficient, flexible, and user-friendly compared to traditional solvers.

### 5. What challenges might arise when scaling PokerGPT to more complex games like Omaha?

- State explosion: Omaha deals 4 hole cards per player, leading to vastly more possible hands and action sequences.
- Prompt length limits: Encoding full game context becomes harder due to increased card and action complexity, possibly exceeding LLM token limits.
- Data sparsity: Real, high-quality gameplay data for Omaha is less common and harder to structure.
- Hand evaluation difficulty: Determining hand strength and equity in Omaha is more computationally intensive, which could confuse or mislead the model.
- Strategy complexity: Bluffing, drawing hands, and multi-way pots are harder to model accurately in Omaha than in Texas Hold'em.

In short: Omaha's added complexity strains both the model's input capacity and its ability to learn reliable strategies.

### 6.Suggest one possible extension or improvement to PokerGPT and explain its benefit.

Extension: Integrate a lightweight hand strength evaluator or Monte Carlo simulator alongside the LLM.

#### Why it helps:

- Enhances the model's ability to make probability-aware decisions, especially in complex situations like multi-way pots or draws.
- Compensates for LLMs' weakness in precise numerical reasoning and probabilistic estimation.
- Enables hybrid reasoning—language model for strategic context + numeric module for hand equity.

In short: Adding an external evaluator would ground PokerGPT's decisions in math, improving both accuracy and realism.

### Q3. GRPO (Group Relative PPO) - DeepSeekMath 7B

### 1. What is the main idea behind GRPO and how does it differ from traditional PPO?

Main idea of GRPO (Group Relative Policy Optimization):

- GRPO removes the need for a value network by using relative rewards within a group of sampled responses.
- It compares each output's reward to the mean reward of its group, calculating advantages using z-score normalization.

**Key differences from traditional PPO:** 

| Feature                  | PPO                                      | GRPO                                        |
|--------------------------|------------------------------------------|---------------------------------------------|
| Value Estimation         | Uses a trained value (critic)<br>network | No value network—uses group-based baseline  |
| Advantage<br>Calculation | Based on predicted values (e.g., GAE)    | Based on z-score of reward in sampled group |
| Optimization<br>Target   | Absolute reward + KL penalty             | Relative reward + direct KL in loss         |
| Efficiency               | Requires training an extra model         | Lightweight and more memory-efficient       |

In short: GRPO simplifies RLHF by replacing absolute value modeling with relative, in-group comparisons—improving stability and reducing compute.

### 2.How does GRPO eliminate the need for a separate value network?

GRPO eliminates the value network by using group-based relative rewards instead of estimating absolute values.

- For each input, it samples a group of outputs and computes their rewards.
- It then calculates the mean and standard deviation of these rewards.
- Each output's advantage is computed as a z-score:
- This z-score acts as the advantage, guiding the policy update—no critic network needed.

$$A_i = rac{r_i - \mathrm{mean}(r)}{\mathrm{std}(r)}$$

In short: GRPO sidesteps value prediction by using statistical comparisons within sampled groups to drive learning.

### 3. What is the significance of using z-score normalization for reward signals?

Z-score normalization standardizes rewards within each sampled group by centering them around the mean and scaling by standard deviation.

#### Why it matters:

- Makes rewards comparable across groups and batches.
- Focuses optimization on relative quality, not absolute values.
- Improves training stability, especially when reward distributions are skewed or noisy.
- Prevents large or small rewards from dominating updates unfairly.

In short: Z-score normalization helps GRPO learn robustly from relative differences, avoiding issues tied to raw reward scales.

### 4. Why might GRPO be better suited for math reasoning tasks than PPO?

- No value model needed: PPO struggles with training a stable value function in math tasks where rewards are sparse or delayed (e.g., only at final answer).
- Step-wise reward flexibility: GRPO can be applied to step-level supervision by comparing outputs within a group—even without intermediate reward signals.
- Relative comparison fits math tasks: In math, quality often lies in relative correctness (e.g., closer to correct logic), which GRPO directly optimizes.
- Simpler and more stable: GRPO avoids value model instability and simplifies training.

In short: GRPO's group-based, relative reward mechanism is better aligned with the sparse and structured nature of math reasoning tasks.

### 5. What are potential weaknesses of GRPO when all sampled outputs are poor?

- Relative rewards become misleading: Even a bad output may get a high z-score if others are worse, reinforcing poor behavior.
- Lack of absolute feedback: Without an external baseline or absolute threshold,
  GRPO can't detect that all outputs are suboptimal.
- Slower convergence: Training may stall or drift if poor-quality samples dominate early stages.
- Overfitting to noise: In low-reward groups, z-score variance can amplify random fluctuations, leading to unstable updates.

In short: GRPO may falsely reward weak outputs if the entire group lacks quality, harming learning efficiency.

### 6.Suggest a modification to GRPO to make it more robust to low-quality output groups.

Modification: Combine relative z-scores with absolute reward thresholds during advantage

$$ilde{A}_i = \lambda \cdot \operatorname{z-score}(r_i) + (1 - \lambda) \cdot \operatorname{normalized}(r_i)$$
 computation.

Example:

#### Where:

- z-score(r\_i) captures relative performance,
- normalized(r\_i) adds an anchor from absolute reward,
- $\lambda \in [0,1]$  balances the two.

#### Benefits:

- Penalizes uniformly poor output groups using the absolute score,
- Prevents reinforcement of bad strategies,
- Adds stability, especially during early or noisy training phases.

In short: Mixing absolute and relative signals ensures GRPO learns meaningfully—even when all outputs are weak.