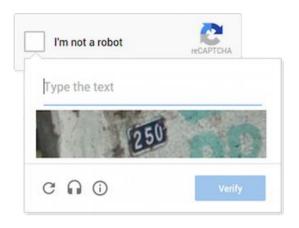
# Using Spatial Transformers for Digit Identification

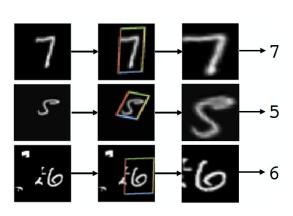
Scott Chow and Robert Cyprus

#### **Motivation**

Task: Identify digits and characters from images Issue:

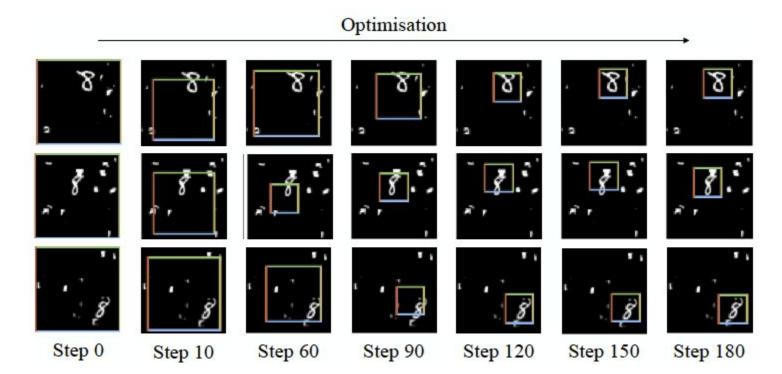
- Words can be rotated or distorted in images.
- Standard Convolutional Neural Nets (CNN) do not deal with these distorted characters.





## Primary Text of Interest

"Spatial Transformer Networks" (2016) by Jaderberg et al. at Google DeepMind,

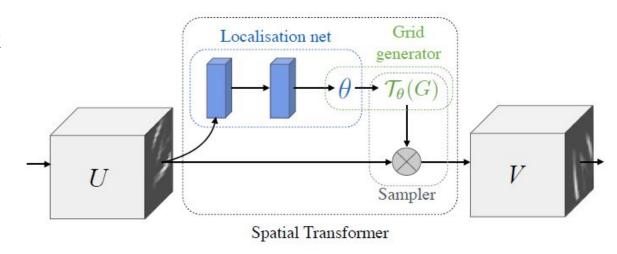


## Introduction to Spatial Transformers

Spatial Transformers "explicitly allows the spatial manipulation within the network."

#### Consists of three parts:

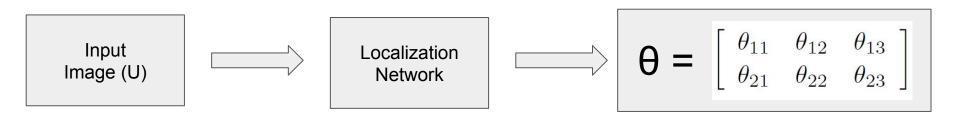
- a) Localization Network
- b) Grid Generator
- c) Sampler



## Spatial Transformers: Localization Network

A function that takes in the input image and outputs the parameters of the transformation to be applied to the feature map.

Usually a fully-connected network or convolutional network.

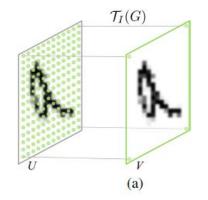


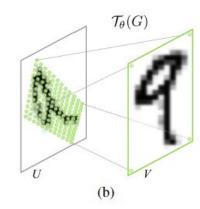
## Spatial Transformers: Grid Generator

We want to create a grid (G) that maps points from input image □ points on output

Using  $\theta$  from Localization Network, compute modified grid,  $T_{\theta}(G)$ 

$$\begin{pmatrix} x_i^s \\ y_i^s \end{pmatrix} = \mathcal{T}_{\theta}(G_i) = \mathbf{A}_{\theta} \begin{pmatrix} x_i^t \\ y_i^t \\ 1 \end{pmatrix} = \begin{bmatrix} \theta_{11} & \theta_{12} & \theta_{13} \\ \theta_{21} & \theta_{22} & \theta_{23} \end{bmatrix} \begin{pmatrix} x_i^t \\ y_i^t \\ 1 \end{pmatrix}$$





Given the input image (U) and the grid of sampling points  $T_{\theta}(G)$ , compute the output image (V) by applying a sampling kernel k

$$V_{i}^{c} = \sum_{n=1}^{H} \sum_{m=1}^{W} U_{nm}^{c} k(x_{i}^{s} - m; \Phi_{x}) k(y_{i}^{s} - n; \Phi_{y}) \ \forall i \in [1 \dots H'W'] \ \forall c \in [1 \dots C]$$

Let's break this equation down...

## STN: Sampler

Given the input image (U) and the grid of sampling points  $T_{\theta}(G)$ , compute the output image (V) by applying a sampling kernel k

 $V_i^c$ 

For the i-th pixel in the output image

Given the input image (U) and the grid of sampling points  $T_{\theta}(G)$ , compute the output image (V) by applying a sampling kernel k

$$V_i^c = \sum_{n=1}^{H} \sum_{m=1}^{W} U_{nm}^c$$

For the i-th pixel in the output image, Sum across each point of the input image

Given the input image (U) and the grid of sampling points  $T_{\theta}(G)$ , compute the output image (V) by applying a sampling kernel k

$$V_i^c = \sum_{n}^{H} \sum_{m}^{W} U_{nm}^c k($$
 )k(

For the i-th pixel in the output image, Sum across each point of the input image **After applying the sampling kernel** *k* 

Given the input image (U) and the grid of sampling points  $T_{\theta}(G)$ , compute the output image (V) by applying a sampling kernel k

$$V_{i}^{c} = \sum_{n=1}^{H} \sum_{m=1}^{W} U_{nm}^{c} k(x_{i}^{s} - m; \Phi_{x}) k(y_{i}^{s} - n; \Phi_{y})$$

For the i-th pixel in the output image, Sum across each point of the input image After applying the sampling kernel *k* 

With kernel parameters:

$$(x_i, y_i) = i$$
-th point in  $T_{\theta}(G)$   
 $(\Phi_x, \Phi_y) = \text{parameters for sampling kernel}$ 

Given the input image (U) and the grid of sampling points  $T_{\theta}(G)$ , compute the output image (V) by applying a sampling kernel k

$$V_{i}^{c} = \sum_{n=1}^{H} \sum_{m=1}^{W} U_{nm}^{c} k(x_{i}^{s} - m; \Phi_{x}) k(y_{i}^{s} - n; \Phi_{y}) \ \forall i \in [1 \dots H'W'] \ \forall c \in [1 \dots C]$$

For the i-th pixel in the output image,
Sum across each point of the input image
Applying the sampling kernel *k*With kernel parameters:

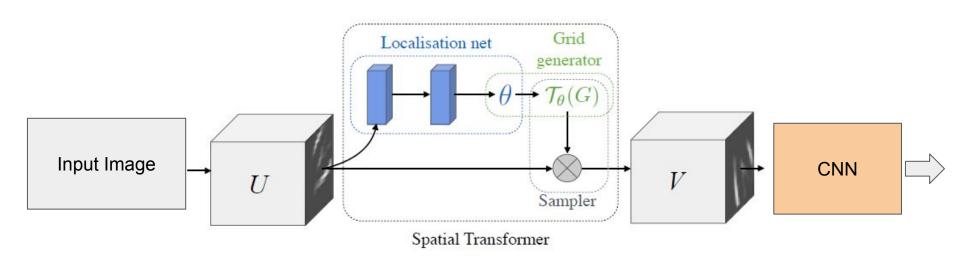
 $(x_i, y_i) = i$ -th point in  $T_{\theta}(G)$  $(\Phi_x, \Phi_y) = \text{parameters for sampling kernel}$ 

 $(\dot{\Phi}_{x'},\dot{\Phi}_{y'})$  = parameters for sampling kernel For all points in the output image (*H'W'*) and all image channels (*C*)

## Incorporating Spatial Transformers into the Pipeline

"Spatial Transformers can be added into Convolutional Neural Network architecture at any point," creating a Spatial Transformer Network (STN)

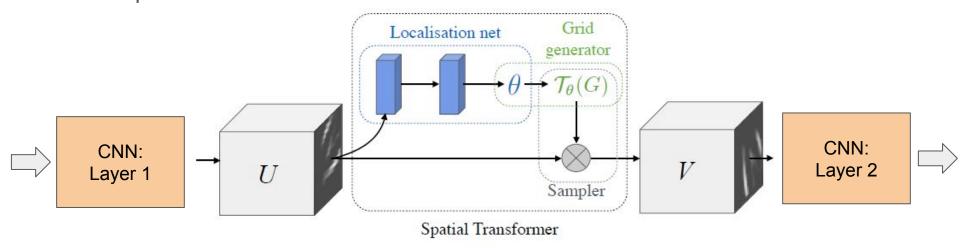
Spatial Transformers learn via Back-propagation, thus very flexible in placement.



## Extension: STNs between CNN Layers

"Spatial Transformers can be added into a Convolutional Neural Network architecture **at any point**," creating a Spatial Transformer Network (STN)

Authors briefly mention that we could add spatial transformers between CNN layers. To be explored...

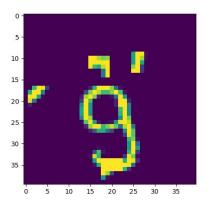


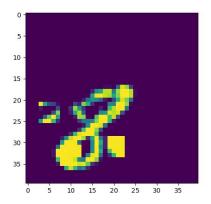
## STN Experiments

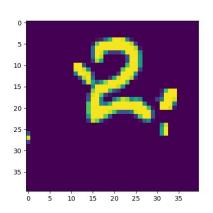
#### Data Set

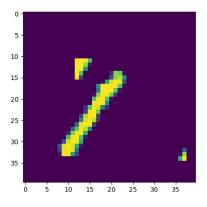
Cluttered MNIST Data Set

Used subset of data: 10000 Training Set /1000 Test Set /1000 Validation Set









#### Hardware / Software Used

- Hardware
  - NVidia GTX 970 Graphics Card (3.5GB)
  - o Intel i5 6600K @ 3.5GHz
- Software
  - o Python 3.5
  - Tensorflow (GPU version)

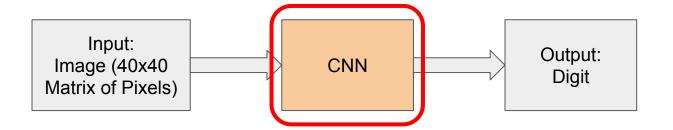
## Three Neural Net Designs

- Image □ CNN □ Digit
  - Classic digit identification methodology
  - Our control test and benchmark for digit identification
- Image □ STN □ CNN □ Digit
  - Used by Google Deepmind
- Image □ STN □ CNN □ STN □ CNN □ Digit
  - One type of our modified Neural Nets
  - We call this MSTN, for Multi-STN

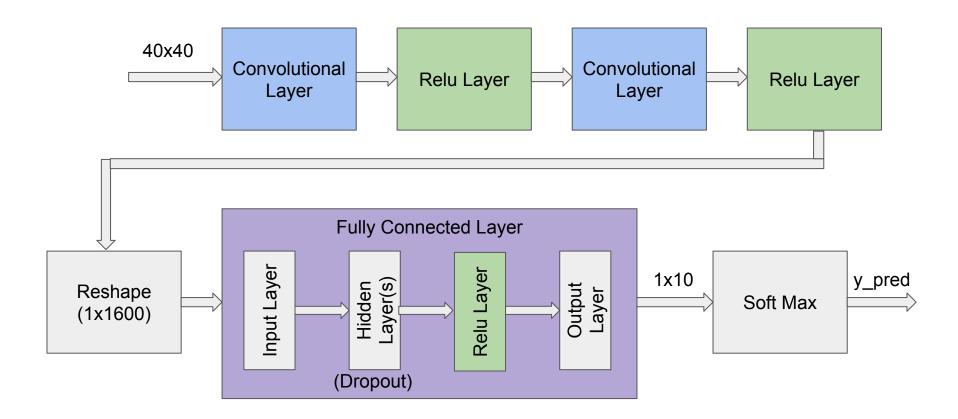
## Standard CNN For Digit Identification



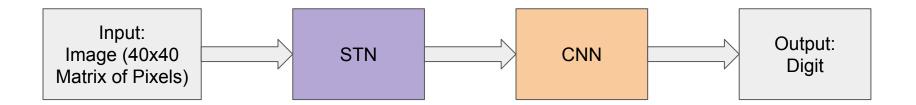
## Standard CNN For Digit Identification



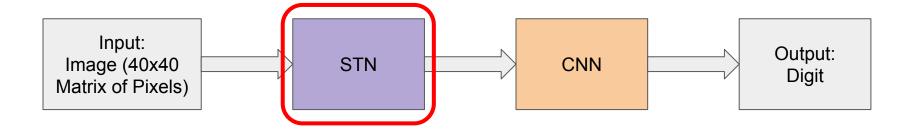
## **CNN** Design



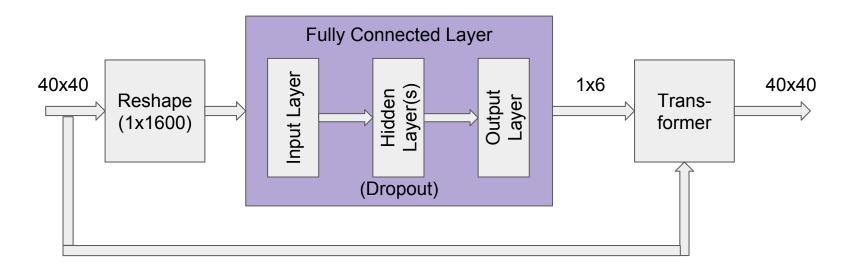
## STN Digit Identification Network



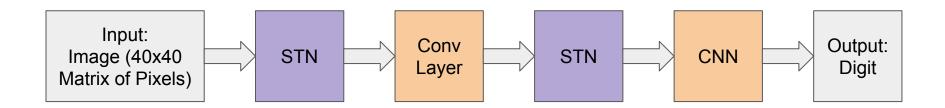
## STN Digit Identification Network



## STN Design



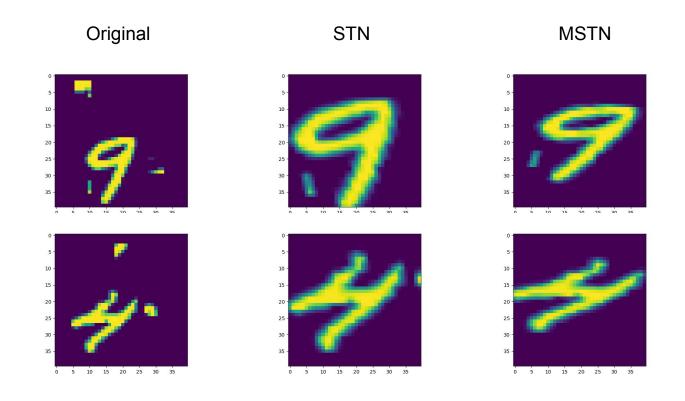
## MSTN Digit Identification Network



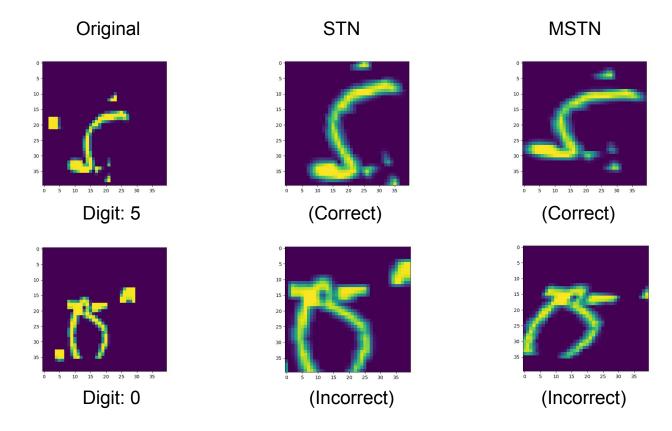
#### Test Process of the Networks

- All 10,000 train images and 1,000 test images from Cluttered MNIST data set were used in each trial
- Each network training had 3 runs of 100, 200, 300, 400, and 500 epochs
- 50 iterations / epoch

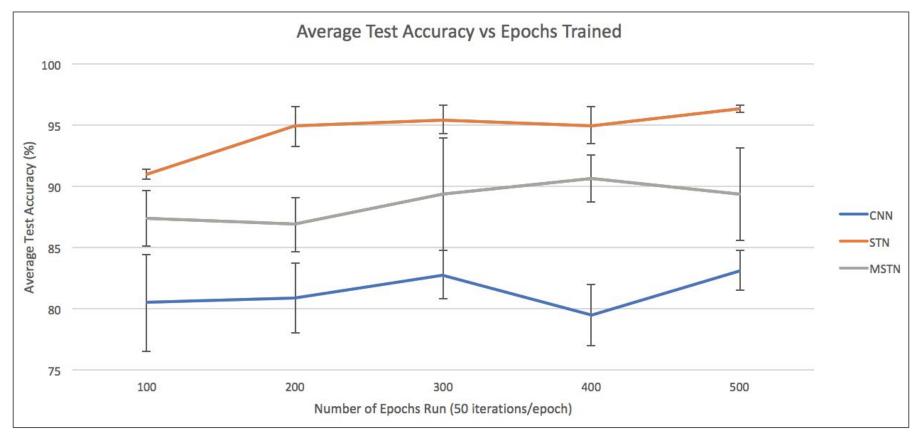
## Sampled STN Results



## Harder Digits to Identify



## Comparison of Network Results



#### **Future Work**

- Train/test our models with a larger data set
- More complex ConvNet Models for STN and main CNN

## Questions?