

# Outline

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- Introduction
- Background
- Distributed Database Design
  - Fragmentation
  - Data distribution
- Database Integration
- Semantic Data Control
- Distributed Query Processing
- Multidatabase Query Processing
- Distributed Transaction Management
- Data Replication
- Parallel Database Systems
- Distributed Object DBMS
- Peer-to-Peer Data Management
- Web Data Management
- Current Issues

# Design Problem

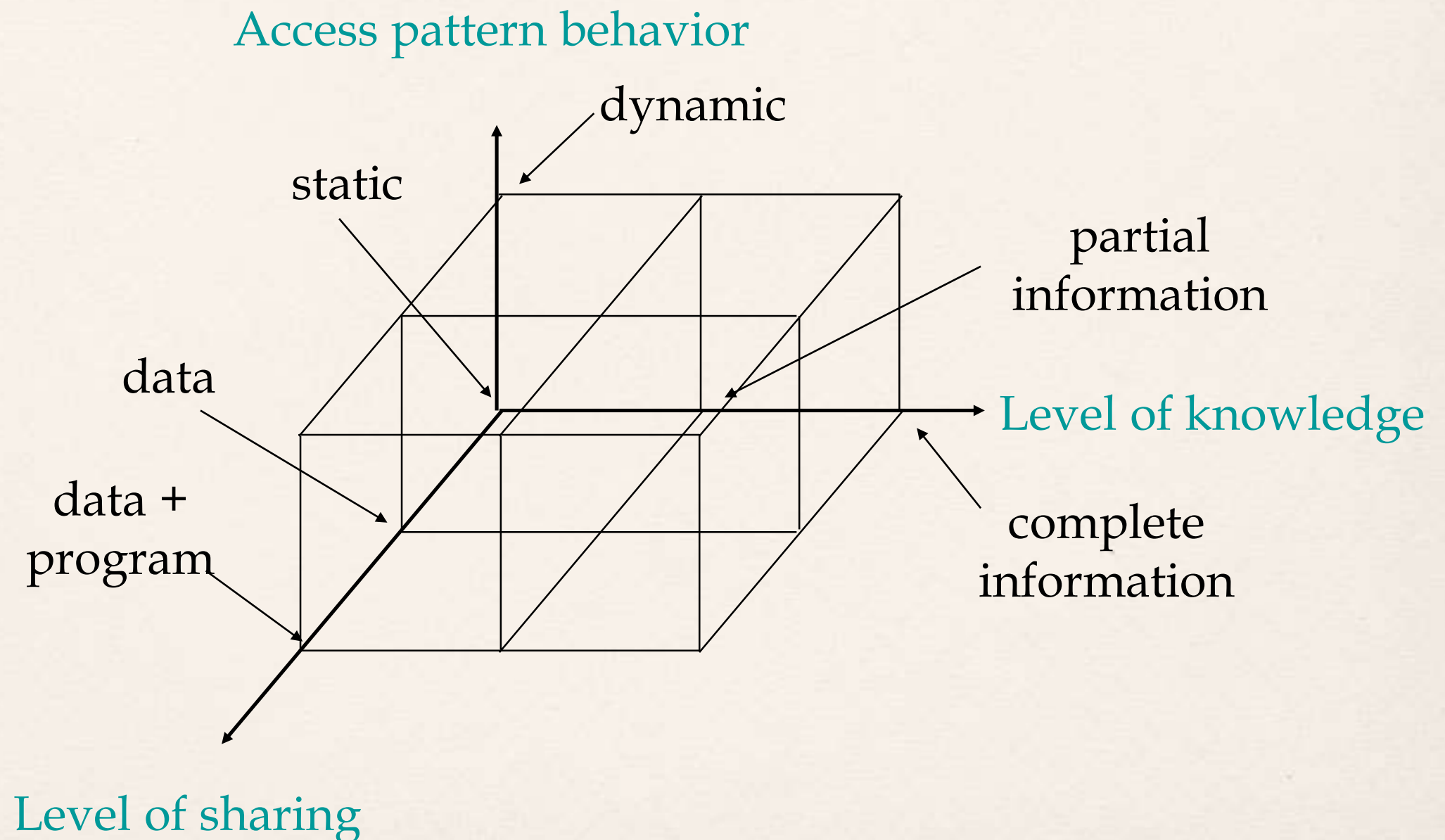
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- In the general setting :

Making decisions about the placement of **data** and **programs** across the sites of a computer network as well as possibly designing the network itself.

- In Distributed DBMS, the placement of applications entails
  - placement of the distributed DBMS software; and
  - placement of the applications that run on the database

# Dimensions of the Problem

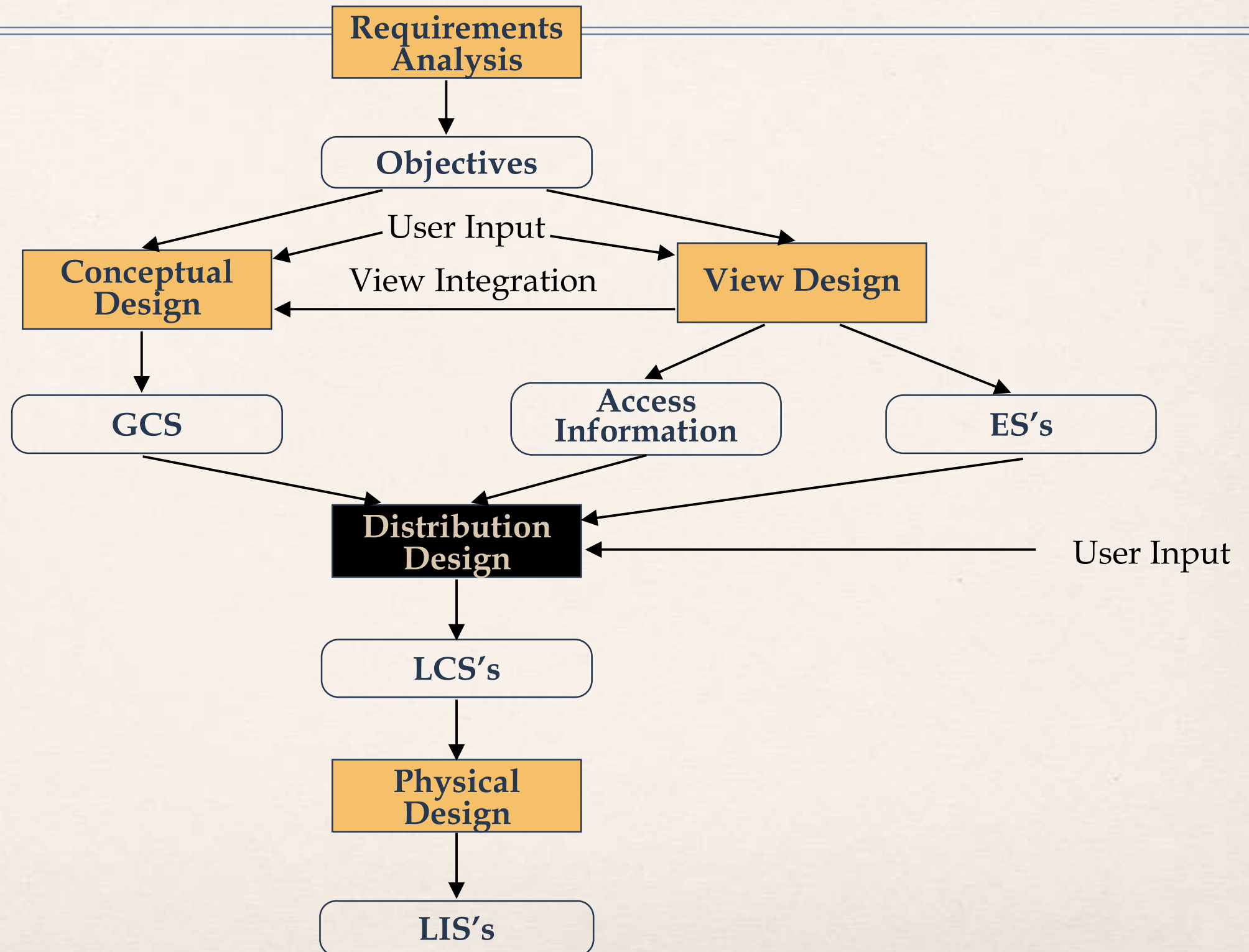


# Distribution Design

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- Top-down
  - mostly in designing systems from scratch
  - mostly in homogeneous systems
- Bottom-up
  - when the databases already exist at a number of sites

# Top-Down Design





# Distribution Design Issues

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- ① Why fragment at all?
- ② How to fragment?
- ③ How much to fragment?
- ④ How to test correctness?
- ⑤ How to allocate?
- ⑥ Information requirements?

# Fragmentation

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- Can't we just distribute relations?
- What is a reasonable unit of distribution?
  - relation
    - ♦ views are subsets of relations → locality
    - ♦ extra communication
  - fragments of relations (sub-relations)
    - ♦ concurrent execution of a number of transactions that access different portions of a relation
    - ♦ views that cannot be defined on a single fragment will require extra processing
    - ♦ semantic data control (especially integrity enforcement) more difficult

# Fragmentation Alternatives – Horizontal

PROJ<sub>1</sub> : projects with budgets less than \$200,000

PROJ<sub>2</sub> : projects with budgets greater than or equal to \$200,000

PROJ

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal
P2	Database Develop.	135000	New York
P3	CAD/CAM	250000	New York
P4	Maintenance	310000	Paris
P5	CAD/CAM	500000	Boston

PROJ<sub>1</sub>

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal
P2	Database Develop.	135000	New York

PROJ<sub>2</sub>

PNO	PNAME	BUDGET	LOC
P3	CAD/CAM	250000	New York
P4	Maintenance	310000	Paris
P5	CAD/CAM	500000	Boston



# Fragmentation Alternatives – Vertical

PROJ<sub>1</sub>: information about project budgets

PROJ<sub>2</sub>: information about project names and locations

PROJ

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal
P2	Database Develop.	135000	New York
P3	CAD/CAM	250000	New York
P4	Maintenance	310000	Paris
P5	CAD/CAM	500000	Boston

PROJ<sub>1</sub>

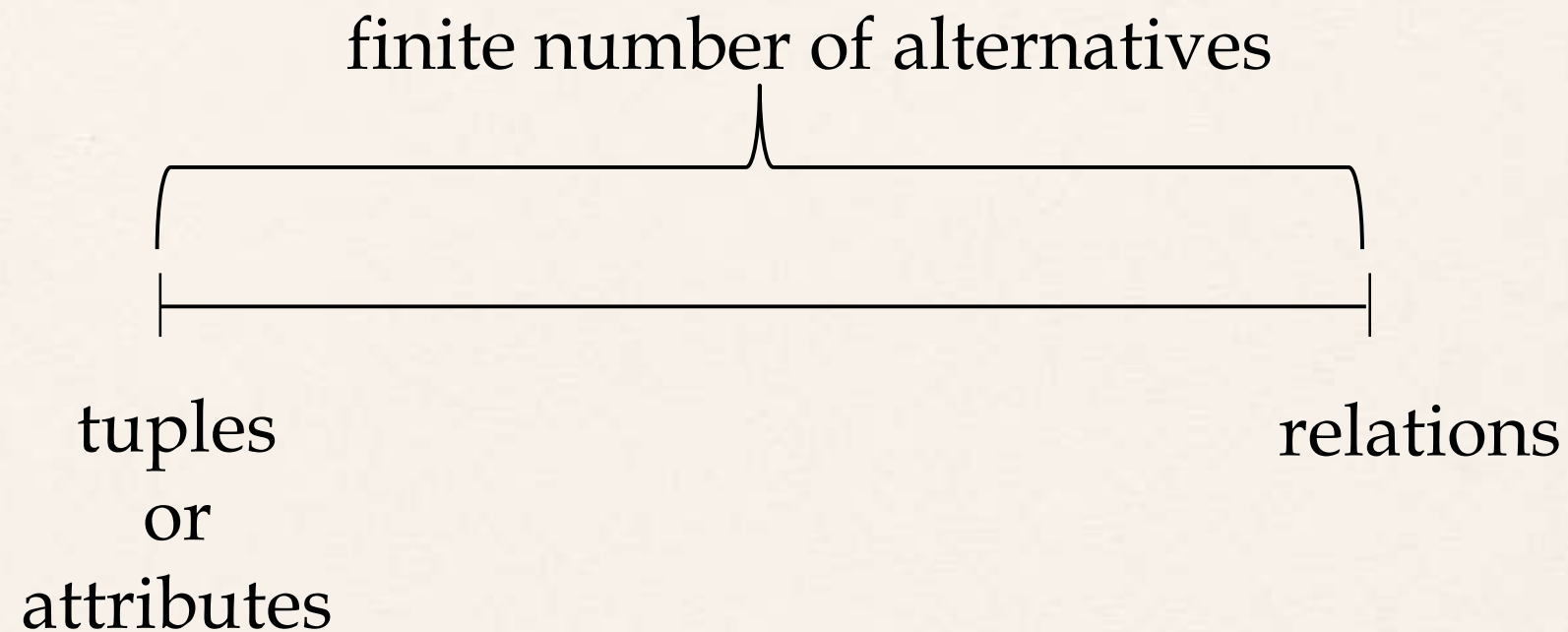
PNO	BUDGET
P1	150000
P2	135000
P3	250000
P4	310000
P5	500000

PROJ<sub>2</sub>

PNO	PNAME	LOC
P1	Instrumentation	Montreal
P2	Database Develop.	New York
P3	CAD/CAM	New York
P4	Maintenance	Paris
P5	CAD/CAM	Boston

# Degree of Fragmentation

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Finding the suitable level of partitioning within this range

# Correctness of Fragmentation

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- Completeness

- Decomposition of relation  $R$  into fragments  $R_1, R_2, \dots, R_n$  is complete if and only if each data item in  $R$  can also be found in some  $R_i$

- Reconstruction

- If relation  $R$  is decomposed into fragments  $R_1, R_2, \dots, R_n$ , then there should exist some relational operator  $\nabla$  such that

$$R = \nabla_{1 \leq i \leq n} R_i$$

- Disjointness

- If relation  $R$  is decomposed into fragments  $R_1, R_2, \dots, R_n$ , and data item  $d_i$  is in  $R_j$ , then  $d_i$  should not be in any other fragment  $R_k$  ( $k \neq j$ ).

# Allocation Alternatives

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- Non-replicated
  - partitioned : each fragment resides at only one site
- Replicated
  - fully replicated : each fragment at each site
  - partially replicated : each fragment at some of the sites
- Rule of thumb:

If  $\frac{\text{read-only queries}}{\text{update queries}} \ll 1$ , replication is advantageous,  
otherwise replication may cause problems



# Comparison of Replication Alternatives

	Full-replication	Partial-replication	Partitioning
QUERY PROCESSING	Easy	← Same Difficulty →	
DIRECTORY MANAGEMENT	Easy or Non-existent	← Same Difficulty →	
CONCURRENCY CONTROL	Moderate	Difficult	Easy
RELIABILITY	Very high	High	Low
REALITY	Possible application	Realistic	Possible application



# Information Requirements

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- Four categories:
  - Database information
  - Application information
  - Communication network information
  - Computer system information

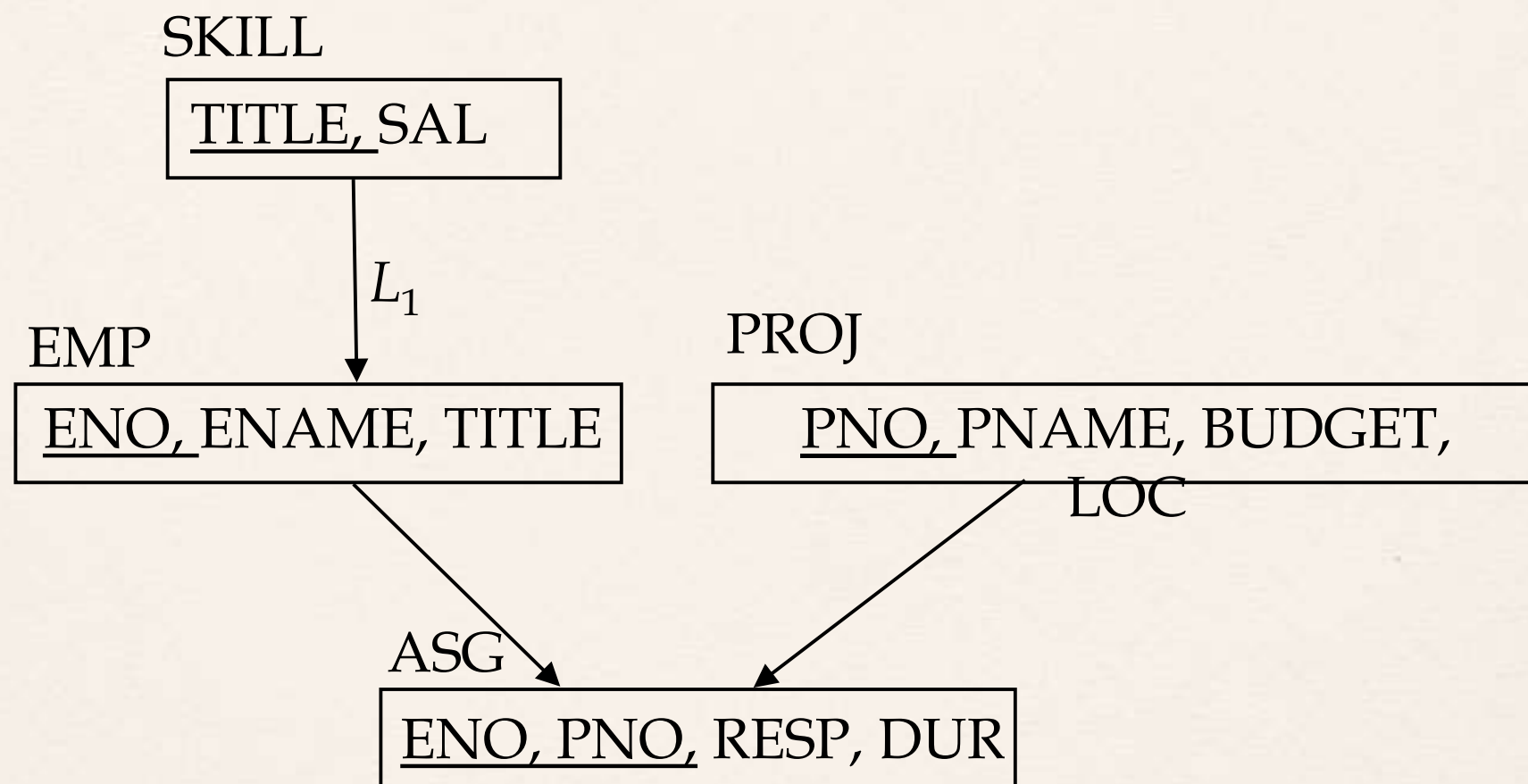
# Fragmentation

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- Horizontal Fragmentation (HF)
  - Primary Horizontal Fragmentation (PHF)
  - Derived Horizontal Fragmentation (DHF)
- Vertical Fragmentation (VF)
- Hybrid Fragmentation (HF)

# PHF – Information Requirements

- Database Information
  - relationship



- cardinality of each relation:  $card(R)$

# PHF - Information Requirements

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- Application Information

→ **simple predicates** : Given  $R[A_1, A_2, \dots, A_n]$ , a simple predicate  $p_j$  is

$$p_j : A_i \theta Value$$

where  $\theta \in \{=, <, \leq, >, \geq, \neq\}$ ,  $Value \in D_i$  and  $D_i$  is the domain of  $A_i$ .

For relation  $R$  we define  $Pr = \{p_1, p_2, \dots, p_m\}$

Example :

PNAME = "Maintenance"

BUDGET  $\leq$  200000

→ **minterm predicates** : Given  $R$  and  $Pr = \{p_1, p_2, \dots, p_m\}$

define  $M = \{m_1, m_2, \dots, m_r\}$  as

$$M = \{ m_i \mid m_i = \bigwedge_{p_j \in Pr} p_j^* \}, 1 \leq j \leq m, 1 \leq i \leq r$$

where  $p_j^* = p_j$  or  $p_j^* = \neg(p_j)$ .

# PHF – Information Requirements

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## Example

$m_1$ : PNAME="Maintenance"  $\wedge$  BUDGET $\leq$ 200000

$m_2$ : **NOT**(PNAME="Maintenance")  $\wedge$  BUDGET $\leq$ 200000

$m_3$ : PNAME= "Maintenance"  $\wedge$  **NOT**(BUDGET $\leq$ 200000)

$m_4$ : **NOT**(PNAME="Maintenance")  $\wedge$  **NOT**(BUDGET $\leq$ 200000)



# PHF – Information Requirements

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- Application Information

- **minterm selectivities:**  $sel(m_i)$

- ♦ The number of tuples of the relation that would be accessed by a user query which is specified according to a given minterm predicate  $m_i$ .

- **access frequencies:**  $acc(q_i)$

- ♦ The frequency with which a user application  $q_i$  accesses data.
    - ♦ Access frequency for a minterm predicate can also be defined.

# Primary Horizontal Fragmentation

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Definition :

$$R_j = \sigma_{F_j}(R), \quad 1 \leq j \leq w$$

where  $F_j$  is a selection formula, which is (preferably) a minterm predicate.

Therefore,

A horizontal fragment  $R_i$  of relation  $R$  consists of all the tuples of  $R$  which satisfy a minterm predicate  $m_i$ .



Given a set of minterm predicates  $M$ , there are as many horizontal fragments of relation  $R$  as there are minterm predicates.

Set of horizontal fragments also referred to as **minterm fragments**.

# PHF – Algorithm

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**Given:** A relation  $R$ , the set of simple predicates  $Pr$

**Output:** The set of fragments of  $R = \{R_1, R_2, \dots, R_w\}$  which obey the fragmentation rules.

Preliminaries :

- $Pr$  should be *complete*
- $Pr$  should be *minimal*

# Completeness of Simple Predicates

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- A set of simple predicates  $Pr$  is said to be *complete* if and only if the accesses to the tuples of the minterm fragments defined on  $Pr$  requires that two tuples of the same minterm fragment have the same probability of being accessed by any application.
- Example :
  - Assume PROJ[PNO,PNAME,BUDGET,LOC] has two applications defined on it.
  - Find the budgets of projects at each location. (1)
  - Find projects with budgets less than \$200000. (2)

# Completeness of Simple Predicates

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According to (1),

$$Pr = \{LOC = \text{"Montreal"}, LOC = \text{"New York"}, LOC = \text{"Paris"}\}$$

which is not complete with respect to (2).

Modify

$$Pr = \{LOC = \text{"Montreal"}, LOC = \text{"New York"}, LOC = \text{"Paris"}, \\ BUDGET \leq 200000, BUDGET > 200000\}$$

which is complete.



# Minimality of Simple Predicates

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- If a predicate influences how fragmentation is performed, (i.e., causes a fragment  $f$  to be further fragmented into, say,  $f_i$  and  $f_j$ ) then there should be at least one application that accesses  $f_i$  and  $f_j$  differently.
- In other words, the simple predicate should be *relevant* in determining a fragmentation.
- If all the predicates of a set  $Pr$  are relevant, then  $Pr$  is *minimal*.

$$\frac{acc(m_i)}{card(f_i)} = \frac{acc(m_j)}{card(f_j)}$$

# Minimality of Simple Predicates

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Example :

$$Pr = \{ \text{LOC} = \text{"Montreal"}, \text{LOC} = \text{"New York"}, \text{LOC} = \text{"Paris"}, \\ \text{BUDGET} \leq 200000, \text{BUDGET} > 200000 \}$$

is minimal (in addition to being complete). However, if we add

$$\text{PNAME} = \text{"Instrumentation"}$$

then  $Pr$  is not minimal.

# COM\_MIN Algorithm

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**Given:** a relation  $R$  and a set of simple predicates  $Pr$

**Output:** a *complete* and *minimal* set of simple predicates  $Pr'$  for  $Pr$

**Rule 1:** a relation or fragment is partitioned into at least two parts which are accessed differently by at least one application.

# COM\_MIN Algorithm

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## ① Initialization :

- find a  $p_i \in Pr$  such that  $p_i$  partitions  $R$  according to *Rule 1*
- set  $Pr' = p_i$  ;  $Pr \leftarrow Pr - \{p_i\}$  ;  $F \leftarrow \{f_i\}$

## ② Iteratively add predicates to $Pr'$ until it is complete

- find a  $p_j \in Pr$  such that  $p_j$  partitions some  $f_k$  defined according to minterm predicate over  $Pr'$  according to *Rule 1*
- set  $Pr' = Pr' \cup \{p_i\}$ ;  $Pr \leftarrow Pr - \{p_i\}$ ;  $F \leftarrow F \cup \{f_i\}$
- if  $\exists p_k \in Pr'$  which is nonrelevant then

$$Pr' \leftarrow Pr - \{p_i\}$$

$$F \leftarrow F - \{f_i\}$$

# PHORIZONTAL Algorithm

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Makes use of COM\_MIN to perform fragmentation.

**Input:** a relation  $R$  and a set of simple predicates  $Pr$

**Output:** a set of minterm predicates  $M$  according to which relation  $R$  is to be fragmented

- 1  $Pr' \leftarrow \text{COM\_MIN}(R, Pr)$
- 2 determine the set  $M$  of minterm predicates
- 3 determine the set  $I$  of implications among  $p_i \in Pr$
- 4 eliminate the contradictory minterms from  $M$



# PHF – Example

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- Two candidate relations : PAY and PROJ.
- Fragmentation of relation PAY
  - Application: Check the salary info and determine raise.
  - Employee records kept at two sites  $\square$  application run at two sites
  - Simple predicates
$$p_1 : \text{SAL} \leq 30000$$
$$p_2 : \text{SAL} > 30000$$
$$Pr = \{p_1, p_2\} \text{ which is complete and minimal } Pr' = Pr$$
  - Minterm predicates
$$m_1 : (\text{SAL} \leq 30000)$$
$$m_2 : \mathbf{NOT}(\text{SAL} \leq 30000) = (\text{SAL} > 30000)$$

# PHF – Example

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PAY<sub>1</sub>

TITLE	SAL
Mech. Eng.	27000
Programmer	24000

PAY<sub>2</sub>

TITLE	SAL
Elect. Eng.	40000
Syst. Anal.	34000

# PHF – Example

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- Fragmentation of relation PROJ
  - Applications:
    - ♦ Find the name and budget of projects given their no.
      - ✓ Issued at three sites
    - ♦ Access project information according to budget
      - ✓ one site accesses  $\leq 200000$  other accesses  $> 200000$
  - Simple predicates
  - For application (1)
    - $p_1 : \text{LOC} = \text{"Montreal"}$
    - $p_2 : \text{LOC} = \text{"New York"}$
    - $p_3 : \text{LOC} = \text{"Paris"}$
  - For application (2)
    - $p_4 : \text{BUDGET} \leq 200000$
    - $p_5 : \text{BUDGET} > 200000$
  - $Pr = Pr' = \{p_1, p_2, p_3, p_4, p_5\}$

# PHF – Example

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- Fragmentation of relation PROJ continued
  - Minterm fragments left after elimination
$$m_1 : (\text{LOC} = \text{"Montreal"}) \wedge (\text{BUDGET} \leq 200000)$$
$$m_2 : (\text{LOC} = \text{"Montreal"}) \wedge (\text{BUDGET} > 200000)$$
$$m_3 : (\text{LOC} = \text{"New York"}) \wedge (\text{BUDGET} \leq 200000)$$
$$m_4 : (\text{LOC} = \text{"New York"}) \wedge (\text{BUDGET} > 200000)$$
$$m_5 : (\text{LOC} = \text{"Paris"}) \wedge (\text{BUDGET} \leq 200000)$$
$$m_6 : (\text{LOC} = \text{"Paris"}) \wedge (\text{BUDGET} > 200000)$$

# PHF – Example

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PROJ<sub>1</sub>

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal

PROJ<sub>2</sub>

PNO	PNAME	BUDGET	LOC
P2	Database Develop.	135000	New York

PROJ<sub>4</sub>

PNO	PNAME	BUDGET	LOC
P3	CAD/CAM	250000	New York

PROJ<sub>6</sub>

PNO	PNAME	BUDGET	LOC
P4	Maintenance	310000	Paris



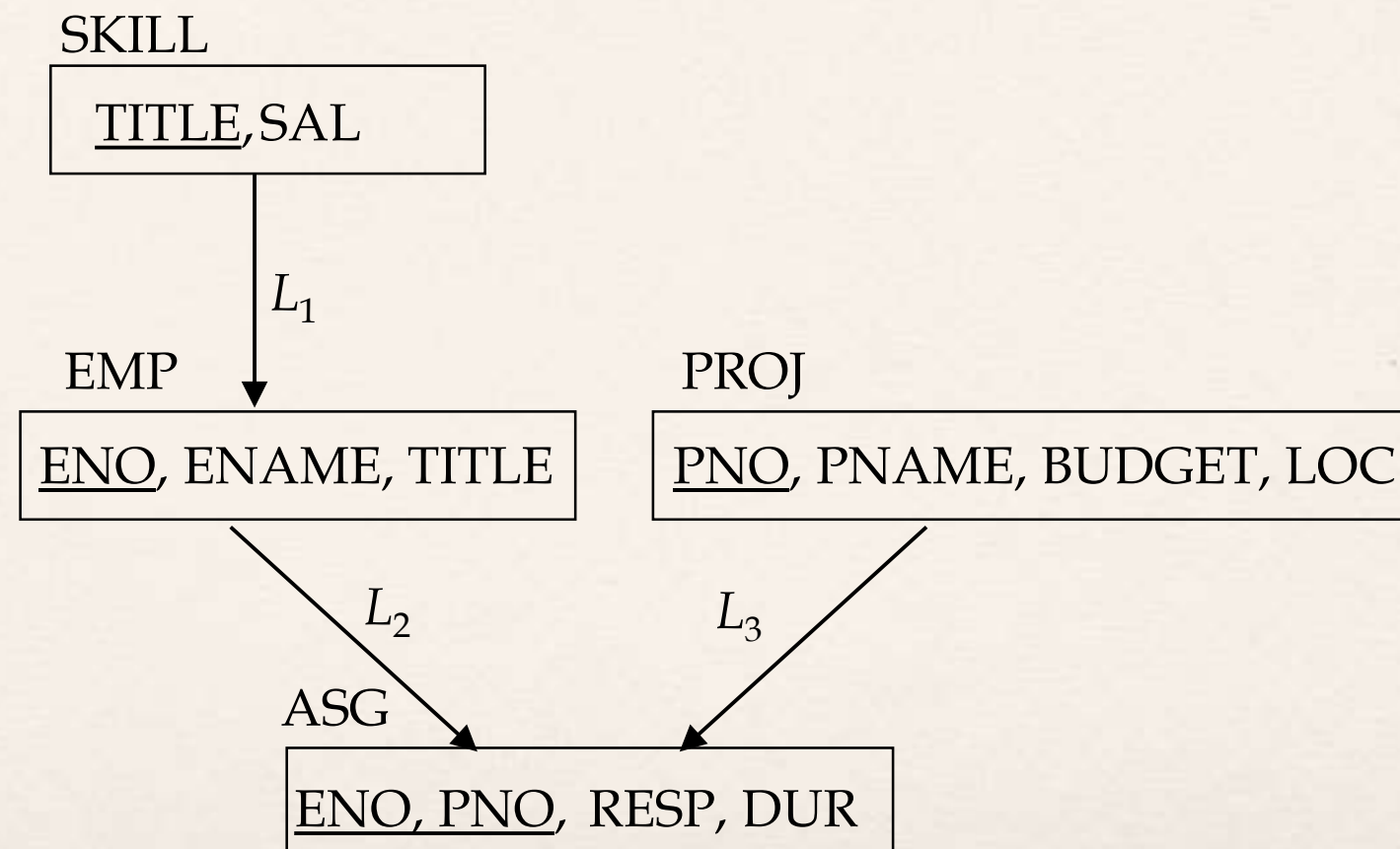
# PHF – Correctness

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- Completeness
  - Since  $Pr'$  is complete and minimal, the selection predicates are complete
- Reconstruction
  - If relation  $R$  is fragmented into  $F_R = \{R_1, R_2, \dots, R_r\}$ 
$$R = \bigcup_{\forall R_i \in F_R} R_i$$
- Disjointness
  - Minterm predicates that form the basis of fragmentation should be mutually exclusive.

# Derived Horizontal Fragmentation

- Defined on a member relation of a link according to a selection operation specified on its owner.
  - Each link is an equijoin.
  - Equijoin can be implemented by means of semijoins.



# DHF – Definition

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Given a link  $L$  where  $owner(L)=S$  and  $member(L)=R$ , the derived horizontal fragments of  $R$  are defined as

$$R_i = R \bowtie_F S_i, 1 \leq i \leq w$$

where  $w$  is the maximum number of fragments that will be defined on  $R$  and

$$S_i = \sigma_{F_i}(S)$$

where  $F_i$  is the formula according to which the primary horizontal fragment  $S_i$  is defined.

# DHF – Example

Given link  $L_1$  where  $\text{owner}(L_1)=\text{SKILL}$  and  $\text{member}(L_1)=\text{EMP}$

$$\text{EMP}_1 = \text{EMP} \bowtie \text{SKILL}_1$$

$$\text{EMP}_2 = \text{EMP} \bowtie \text{SKILL}_2$$

where

$$\text{SKILL}_1 = \sigma_{\text{SAL} \leq 30000}(\text{SKILL})$$

$$\text{SKILL}_2 = \sigma_{\text{SAL} > 30000}(\text{SKILL})$$

$\text{EMP}_1$

ENO	ENAME	TITLE
E3	A. Lee	Mech. Eng.
E4	J. Miller	Programmer
E7	R. Davis	Mech. Eng.

$\text{EMP}_2$

ENO	ENAME	TITLE
E1	J. Doe	Elect. Eng.
E2	M. Smith	Syst. Anal.
E5	B. Casey	Syst. Anal.
E6	L. Chu	Elect. Eng.
E8	J. Jones	Syst. Anal.

# DHF – Correctness

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- Completeness
  - Referential integrity
  - Let  $R$  be the member relation of a link whose owner is relation  $S$  which is fragmented as  $F_S = \{S_1, S_2, \dots, S_n\}$ . Furthermore, let  $A$  be the join attribute between  $R$  and  $S$ . Then, for each tuple  $t$  of  $R$ , there should be a tuple  $t'$  of  $S$  such that
$$t[A] = t' [A]$$
- Reconstruction
  - Same as primary horizontal fragmentation.
- Disjointness
  - Simple join graphs between the owner and the member fragments.



# Vertical Fragmentation

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- Has been studied within the centralized context
  - design methodology
  - physical clustering
- More difficult than horizontal, because more alternatives exist.

Two approaches :

- grouping
  - ♦ attributes to fragments
- splitting
  - ♦ relation to fragments

# Vertical Fragmentation

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- Overlapping fragments
  - grouping
- Non-overlapping fragments
  - splitting

We do not consider the replicated key attributes to be overlapping.

Advantage:

Easier to enforce functional dependencies  
(for integrity checking etc.)

# VF – Information Requirements

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- Application Information

- Attribute affinities

- ♦ a measure that indicates how closely related the attributes are
    - ♦ This is obtained from more primitive usage data

- Attribute usage values

- ♦ Given a set of queries  $Q = \{q_1, q_2, \dots, q_q\}$  that will run on the relation  $R[A_1, A_2, \dots, A_n]$ ,

$$use(q_i, A_j) = \begin{cases} 1 & \text{if attribute } A_j \text{ is referenced by query } q_i \\ 0 & \text{otherwise} \end{cases}$$

$use(q_i, \bullet)$  can be defined accordingly

# VF – Definition of $use(q_i, A_j)$

Consider the following 4 queries for relation PROJ

$q_1$ : **SELECT** BUDGET  
**FROM** PROJ  
**WHERE** PNO=Value

$q_2$ : **SELECT** PNAME,BUDGET  
**FROM** PROJ

$q_3$ : **SELECT** PNAME  
**FROM** PROJ  
**WHERE** LOC=Value

$q_4$ : **SELECT** SUM(BUDGET)  
**FROM** PROJ  
**WHERE** LOC=Value

Let  $A_1 = \text{PNO}$ ,  $A_2 = \text{PNAME}$ ,  $A_3 = \text{BUDGET}$ ,  $A_4 = \text{LOC}$

	$A_1$	$A_2$	$A_3$	$A_4$
$q_1$	1	0	1	0
$q_2$	0	1	1	0
$q_3$	0	1	0	1
$q_4$	0	0	1	1

# VF – Affinity Measure $aff(A_i, A_j)$

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The **attribute affinity measure** between two attributes  $A_i$  and  $A_j$  of a relation  $R[A_1, A_2, \dots, A_n]$  with respect to the set of applications  $Q = (q_1, q_2, \dots, q_q)$  is defined as follows :

$$aff(A_i, A_j) = \sum_{\text{all queries that access } A_i \text{ and } A_j} (\text{query access})$$

$$\text{query access} = \sum_{\text{all sites}} \text{access frequency of a query} * \frac{\text{access}}{\text{execution}}$$



# VF – Calculation of $aff(A_i, A_j)$

Assume each query in the previous example accesses the attributes once during each execution.

Also assume the access frequencies

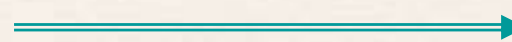


	$S_1$	$S_2$	$S_3$
$q_1$	15	20	10
$q_2$	5	0	0
$q_3$	25	25	25
$q_4$	3	0	0

Then

$$\begin{aligned} aff(A_1, A_3) &= 15*1 + 20*1 + 10*1 \\ &= 45 \end{aligned}$$

and the attribute affinity matrix  $AA$  is



	$A_1$	$A_2$	$A_3$	$A_4$
$A_1$	45	0	45	0
$A_2$	0	80	5	75
$A_3$	45	5	53	3
$A_4$	0	75	3	78

# VF – Clustering Algorithm

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- Take the attribute affinity matrix  $AA$  and reorganize the attribute orders to form clusters where the attributes in each cluster demonstrate high affinity to one another.
- Bond Energy Algorithm (BEA) has been used for clustering of entities. BEA finds an ordering of entities (in our case attributes) such that the global affinity measure is maximized.

$$AM = \sum_i \sum_j \text{(affinity of } A_i \text{ and } A_j \text{ with their neighbors)}$$

# Bond Energy Algorithm

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**Input:** The  $AA$  matrix

**Output:** The clustered affinity matrix  $CA$  which is a perturbation of  $AA$

- ① *Initialization*: Place and fix one of the columns of  $AA$  in  $CA$ .
- ② *Iteration*: Place the remaining  $n-i$  columns in the remaining  $i+1$  positions in the  $CA$  matrix. For each column, choose the placement that makes the most contribution to the global affinity measure.
- ③ *Row order*: Order the rows according to the column ordering.

# Bond Energy Algorithm

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“Best” placement? Define contribution of a placement:

$$cont(A_i, A_k, A_j) = 2bond(A_i, A_k) + 2bond(A_k, A_l) - 2bond(A_i, A_j)$$

where

$$bond(A_x, A_y) = \sum_{z=1}^n aff(A_z, A_x) aff(A_z, A_y)$$



# BEA – Example

Consider the following AA matrix and the corresponding CA matrix where  $A_1$  and  $A_2$  have been placed. Place  $A_3$ :

$$AA = \begin{matrix} & \begin{matrix} A_1 & A_2 & A_3 & A_4 \end{matrix} \\ \begin{matrix} A_1 \\ A_2 \\ A_3 \\ A_4 \end{matrix} & \begin{bmatrix} 45 & 0 & 5 & 0 \\ 0 & 80 & 5 & 75 \\ 45 & 5 & 53 & 3 \\ 0 & 75 & 3 & 78 \end{bmatrix} \end{matrix} \quad CA = \begin{matrix} & \begin{matrix} A_1 & A_2 \end{matrix} \\ \begin{matrix} A_1 \\ A_2 \\ A_3 \\ A_4 \end{matrix} & \begin{bmatrix} 45 & 0 \\ 0 & 80 \\ 45 & 5 \\ 0 & 75 \end{bmatrix} \end{matrix}$$

Ordering (0-3-1) :

$$\begin{aligned} cont(A_0, A_3, A_1) &= 2bond(A_0, A_3) + 2bond(A_3, A_1) - 2bond(A_0, A_1) \\ &= 2 * 0 + 2 * 4410 - 2 * 0 = 8820 \end{aligned}$$

Ordering (1-3-2) :

$$\begin{aligned} cont(A_1, A_3, A_2) &= 2bond(A_1, A_3) + 2bond(A_3, A_2) - 2bond(A_1, A_2) \\ &= 2 * 4410 + 2 * 890 - 2 * 225 = 10150 \end{aligned}$$

Ordering (2-3-4) :

$$cont(A_2, A_3, A_4) = 1780$$



# BEA – Example

- Therefore, the CA matrix has the form

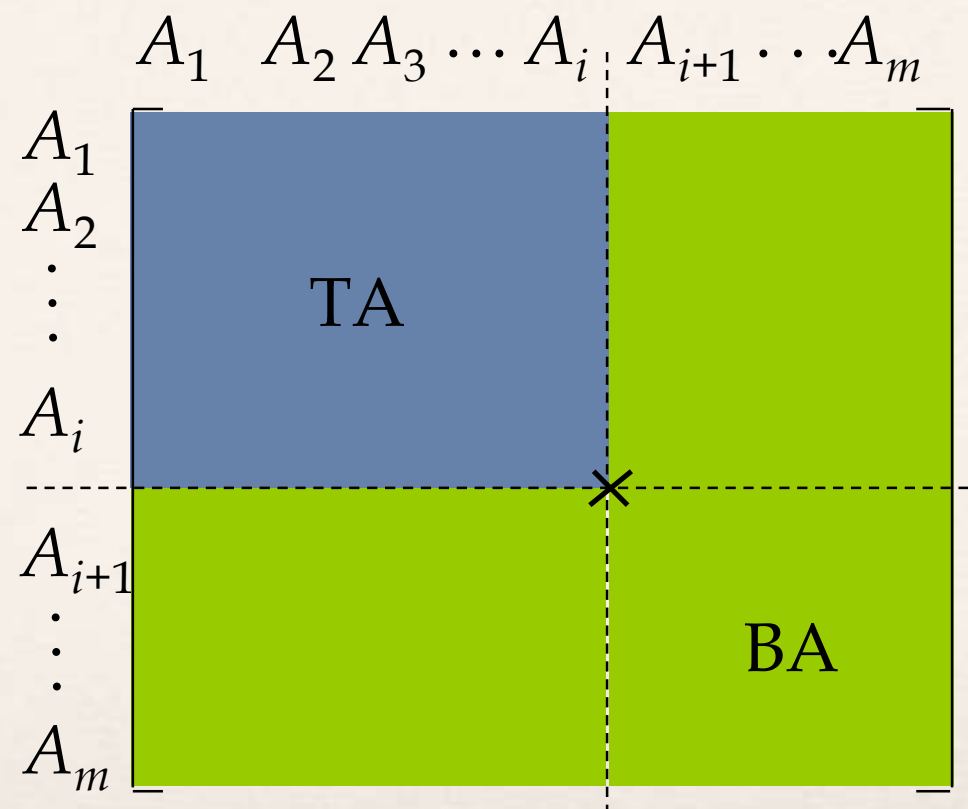
$$\begin{array}{c} A_1 \quad A_3 \quad A_2 \\ \left[ \begin{array}{ccc} 45 & 45 & 0 \\ 0 & 5 & 80 \\ 45 & 53 & 5 \\ 0 & 3 & 75 \end{array} \right] \end{array}$$

- When  $A_4$  is placed, the final form of the CA matrix (after row organization) is

$$\begin{array}{c} A_1 \quad A_3 \quad A_2 \quad A_4 \\ \begin{array}{c} A_1 \\ A_3 \\ A_2 \\ A_4 \end{array} \left[ \begin{array}{cccc} 45 & 45 & 0 & 0 \\ 45 & 53 & 5 & 3 \\ 0 & 5 & 80 & 75 \\ 0 & 3 & 75 & 78 \end{array} \right] \end{array}$$

# VF – Algorithm

How can you divide a set of clustered attributes  $\{A_1, A_2, \dots, A_n\}$  into two (or more) sets  $\{A_1, A_2, \dots, A_i\}$  and  $\{A_{i+1}, \dots, A_n\}$  such that there are no (or minimal) applications that access both (or more than one) of the sets.



# VF – ALgorithm

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Define

$TQ$  = set of applications that access only  $TA$

$BQ$  = set of applications that access only  $BA$

$OQ$  = set of applications that access both  $TA$  and  $BA$

and

$CTQ$  = total number of accesses to attributes by applications that access only  $TA$

$CBQ$  = total number of accesses to attributes by applications that access only  $BA$

$COQ$  = total number of accesses to attributes by applications that access both  $TA$  and  $BA$

Then find the point along the diagonal that maximizes

$$CTQ * CBQ - COQ^2$$

# VF – Algorithm

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Two problems :

- Cluster forming in the middle of the CA matrix
  - Shift a row up and a column left and apply the algorithm to find the “best” partitioning point
  - Do this for all possible shifts
  - Cost  $O(m^2)$
- More than two clusters
  - $m$ -way partitioning
  - try  $1, 2, \dots, m-1$  split points along diagonal and try to find the best point for each of these
  - Cost  $O(2^m)$

# VF – Correctness

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A relation  $R$ , defined over attribute set  $A$  and key  $K$ , generates the vertical partitioning  $F_R = \{R_1, R_2, \dots, R_r\}$ .

- Completeness

- The following should be true for  $A$ :

$$A = \bigcup A_{R_i}$$

- Reconstruction

- Reconstruction can be achieved by

$$R = \bowtie_K R_i \quad \forall R_i \in F_R$$

- Disjointness

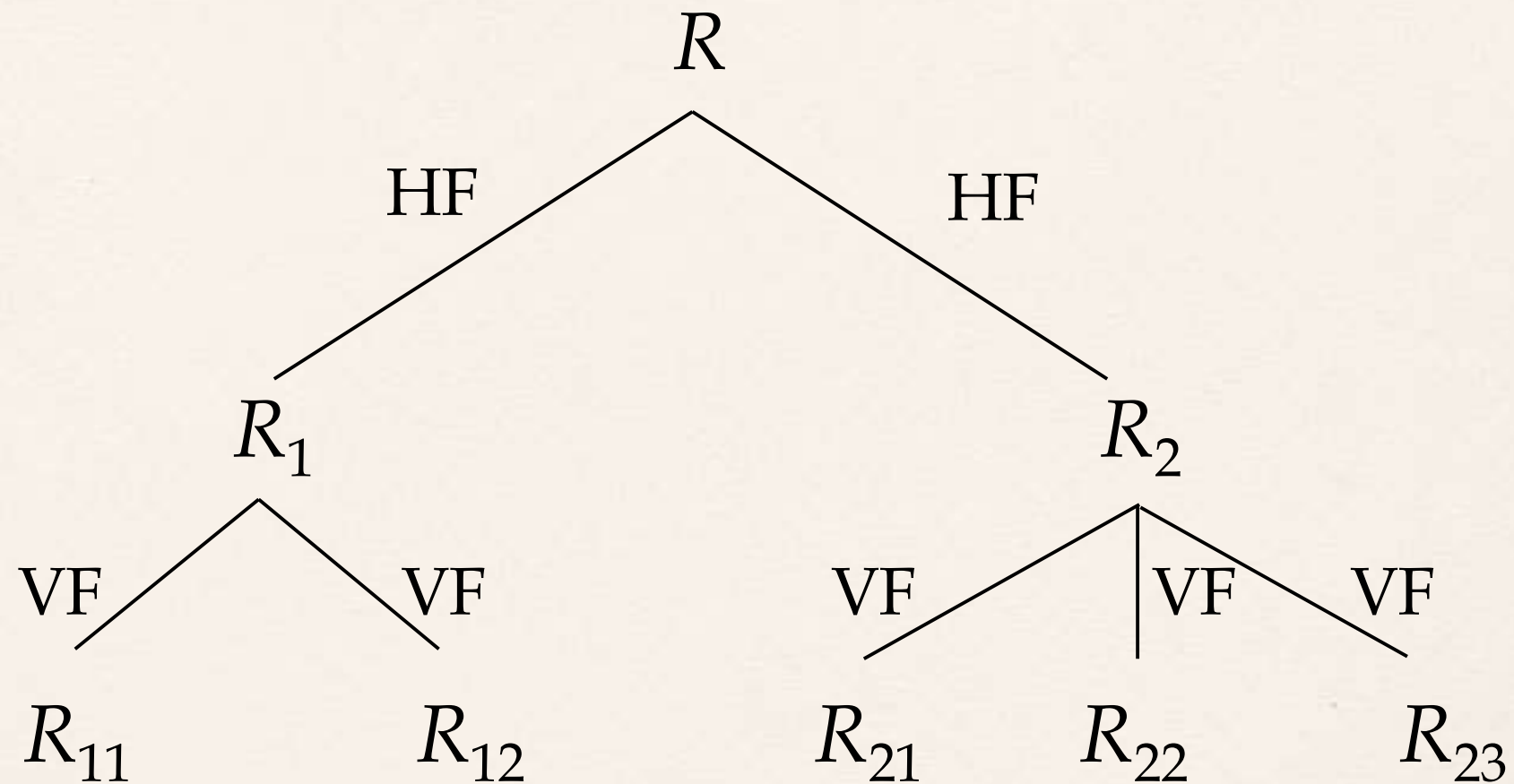
- TID's are not considered to be overlapping since they are maintained by the system

- Duplicated keys are not considered to be overlapping



# Hybrid Fragmentation

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# Fragment Allocation

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- Problem Statement

Given

$F = \{F_1, F_2, \dots, F_n\}$  fragments

$S = \{S_1, S_2, \dots, S_m\}$  network sites

$Q = \{q_1, q_2, \dots, q_q\}$  applications

Find the "optimal" distribution of  $F$  to  $S$ .

- Optimality

- Minimal cost

- ♦ Communication + storage + processing (read & update)
- ♦ Cost in terms of time (usually)

- Performance

Response time and/or throughput

- Constraints

- ♦ Per site constraints (storage & processing)

# Information Requirements

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- Database information
  - selectivity of fragments
  - size of a fragment
- Application information
  - access types and numbers
  - access localities
- Communication network information
  - unit cost of storing data at a site
  - unit cost of processing at a site
- Computer system information
  - bandwidth
  - latency
  - communication overhead

# Allocation

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## File Allocation (FAP) vs Database Allocation (DAP):

- Fragments are not individual files
  - ♦ relationships have to be maintained
- Access to databases is more complicated
  - ♦ remote file access model not applicable
  - ♦ relationship between allocation and query processing
- Cost of integrity enforcement should be considered
- Cost of concurrency control should be considered



# Allocation – Information Requirements

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- Database Information
  - selectivity of fragments
  - size of a fragment
- Application Information
  - number of read accesses of a query to a fragment
  - number of update accesses of query to a fragment
  - A matrix indicating which queries updates which fragments
  - A similar matrix for retrievals
  - originating site of each query
- Site Information
  - unit cost of storing data at a site
  - unit cost of processing at a site
- Network Information
  - communication cost/frame between two sites
  - frame size



# Allocation Model

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## General Form

min(Total Cost)

subject to

response time constraint

storage constraint

processing constraint

## Decision Variable

$$x_{ij} = \begin{cases} 1 & \text{if fragment } F_i \text{ is stored at site } S_j \\ 0 & \text{otherwise} \end{cases}$$

# Allocation Model

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- Total Cost

$$\sum_{\text{all queries}} \text{query processing cost} + \sum_{\text{all sites}} \sum_{\text{all fragments}} \text{cost of storing a fragment at a site}$$

- Storage Cost (of fragment  $F_j$  at  $S_k$ )

$$(\text{unit storage cost at } S_k) * (\text{size of } F_j) * x_{jk}$$

- Query Processing Cost (for one query)

processing component + transmission component

# Allocation Model

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- Query Processing Cost

Processing component

access cost + integrity enforcement cost + concurrency control cost

→ Access cost

$$\sum_{\text{all sites}} \sum_{\text{all fragments}} (\text{no. of update accesses} + \text{no. of read accesses}) * x_{ij} * \text{local processing cost at a site}$$

→ Integrity enforcement and concurrency control costs

♦ Can be similarly calculated

# Allocation Model

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- Query Processing Cost

## Transmission component

cost of processing updates + cost of processing retrievals

### → Cost of updates

$$\sum_{\text{all sites}} \sum_{\text{all fragments}} \text{update message cost} + \sum_{\text{all sites}} \sum_{\text{all fragments}} \text{acknowledgment cost}$$

### → Retrieval Cost

$$\sum_{\text{all fragments}} \min_{\text{all sites}} (\text{cost of retrieval command} + \text{cost of sending back the result})$$

# Allocation Model

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- Constraints

- Response Time

execution time of query  $\leq$  max. allowable response time for that query

- Storage Constraint (for a site)

$$\sum_{\text{all fragments}} \text{storage requirement of a fragment at that site} \leq \text{storage capacity at that site}$$

- Processing constraint (for a site)

$$\sum_{\text{all queries}} \text{processing load of a query at that site} \leq \text{processing capacity of that site}$$



# Allocation Model

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- Solution Methods
  - FAP is NP-complete
  - DAP also NP-complete
- Heuristics based on
  - single commodity warehouse location (for FAP)
  - knapsack problem
  - branch and bound techniques
  - network flow

# Allocation Model

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- Attempts to reduce the solution space
  - assume all candidate partitionings known; select the “best” partitioning
  - ignore replication at first
  - sliding window on fragments