

A pair of glasses with a dark frame and light-colored lenses is resting on a piece of white paper. The background is a soft, out-of-focus yellow and orange gradient.

## Data Structures

### Chapter 1

1. Recursion
2. Performance Analysis
- 3. Asymptotic Analysis**
  - Revisit – Step Count
  - Asymptotic Analysis
  - Asymptotic Notations

# Revisit – Step Count

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- Why step count?
- It is to compare the **time complexities** of two programs that compute the same function and also to predict the **growth rate** in run time.
- **Example:** Let's compute the step count for three programs and compare their time complexities.
  1.  $T_{\text{add}}(n)$  – adding two numbers
  2.  $T_{\text{sum}}(n)$  – adding list of numbers
  3.  $T_{\text{mtx}}(n)$  – adding two matrix

# Revisit – Step Count

Program <b>add</b>	step count
<pre>float add(int a, int b) {     return a + b; }</pre>	1

Program <b>sum of list</b>	step count
<pre>float sum(float list[], int n) {     float total = 0;     int i;     for (i=0; i&lt;n; i++)         total += list[i];     return total; }</pre>	1  n + 1 n 1

Program <b>sum of matrix</b>	step count
<pre>void add(int a[][MAX_SIZE], int b[][MAX_SIZE],          int c[][MAX_SIZE], int rows, int cols) {     for(int i=0; i&lt;rows; i++)         for(int j=0; j&lt;cols; j++)             c[i][j] = a[i][j] + b[i][j]; }</pre>	rows + 1 rows * (cols+1) rows * cols

## Revisit – Step Count

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- $T_{add}(n) = 2$
- $T_{sum}(n) = 1 + 2(n + 1) + 2n + 1 = 4n + 4$   
 $= c * n + c'$
- $T_{mtx}(n) = 2\ rows * cols + 2\ rows + 1$   
 $= a * n^2 + b * n + c$

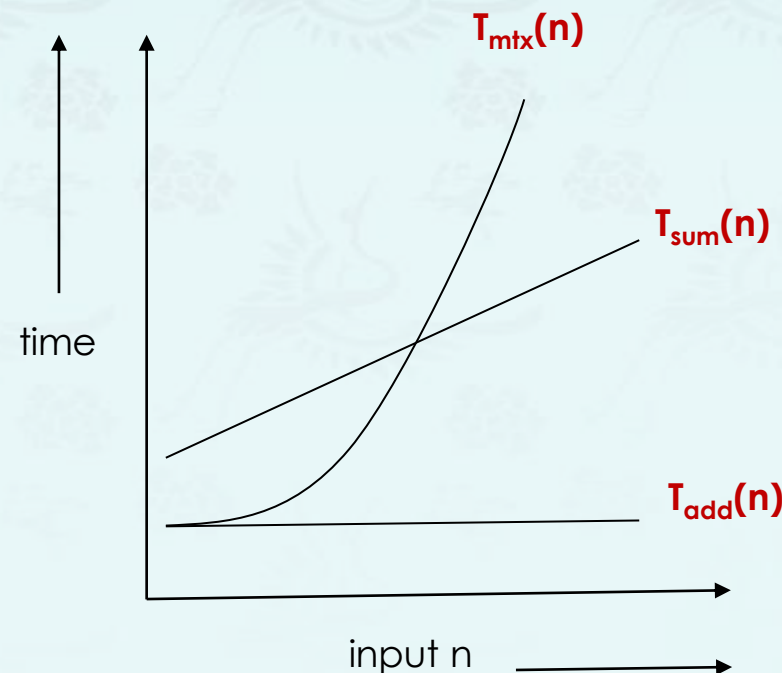
## Revisit – Step Count

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- $T_{add}(n) = 2$   $\rightarrow O(1)$
- $T_{sum}(n) = 1 + 2(n + 1) + 2n + 1 = 4n + 4$   $\rightarrow O(n)$   
 $= c * n + c'$
- $T_{mtx}(n) = 2\ rows * cols + 2\ rows + 1$   $\rightarrow O(n^2)$   
 $= a * n^2 + b * n + c$

# Revisit – Step Count

- $T_{add}(n) = 2 \rightarrow O(1)$
- $T_{sum}(n) = 1 + 2(n + 1) + 2n + 1 = 4n + 4 \rightarrow O(n)$   
 $= c * n + c'$
- $T_{mtx}(n) = 2 rows * cols + 2 rows + 1 \rightarrow O(n^2)$   
 $= a * n^2 + b * n + c$



# Asymptotic Analysis 점근적 분석과 표기법

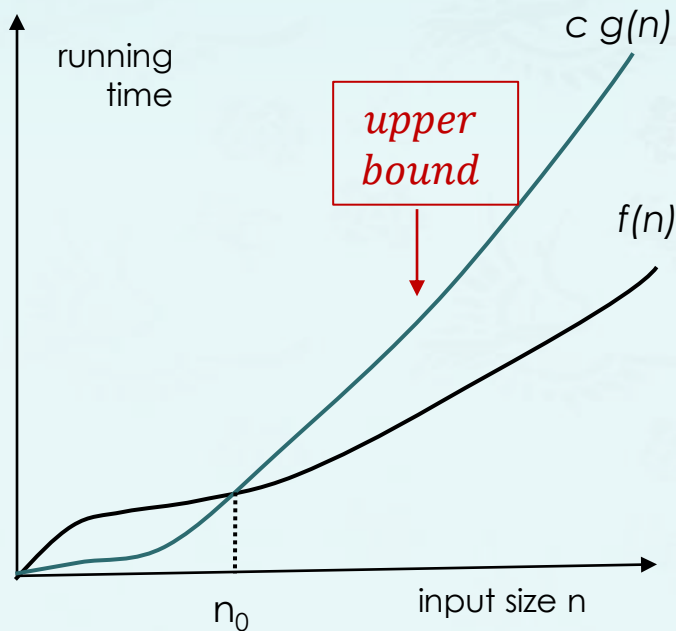
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- The "Big-Oh" Notation:
- Let  $f(n)$  and  $g(n)$  be functions mapping nonnegative integers to real numbers. We say that  **$f(n)$  is  $O(g(n))$**  iff there are positive constants  **$c$**  and  **$n_0$**  such that
  - $f(n) \leq c g(n), \text{ for } n \geq n_0.$
  - Then it is pronounced as " $f(n)$  **is big Oh of**  $g(n)$  or  $f(n) = O(g(n))$ "



# Asymptotic Analysis 점근적 분석과 표기법

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  - **$f(n) \leq c g(n)$ , for  $n \geq n_0$ .**
  - Then it is pronounced as " $f(n)$  **is** big Oh of  $g(n)$  or  **$f(n) = O(g(n))$** "



**Example:** Justify that the function  **$8n - 2$  is  $O(n)$** .  
Given  $f(n) = 8n - 2$ ,  $g(n) = n$ ,  
we need to find  **$c$**  and  **$n_0$**  such that  
 **$8n - 2 \leq c n$**  for every integer  $n \geq n_0$ .

An easy choice among many is  **$c = 8$  and  $n_0 = 1$** .  
Therefore,  **$f(n)$  is  $O(n)$** .

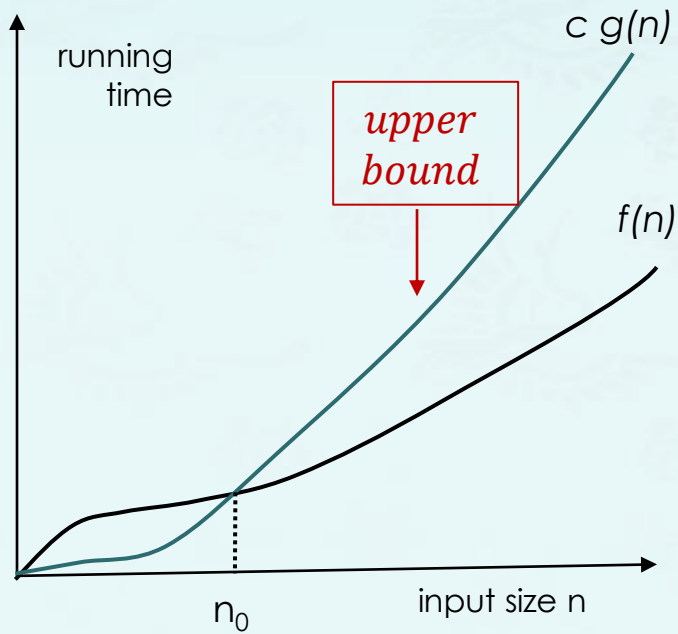
$g(n) = n$



# Asymptotic Analysis 점근적 분석과 표기법

- **Example:** Find  $c$  and  $n_0$  to justify that the function  $7n + 5$  is  $O(n)$ .

$7n + 5$  is  $O(n)$ , we have to find  $c$  and  $n_0$  such that  
 $7n + 5 \leq c n$  for  $n \geq n_0$



# Asymptotic Analysis 점근적 분석과 표기법

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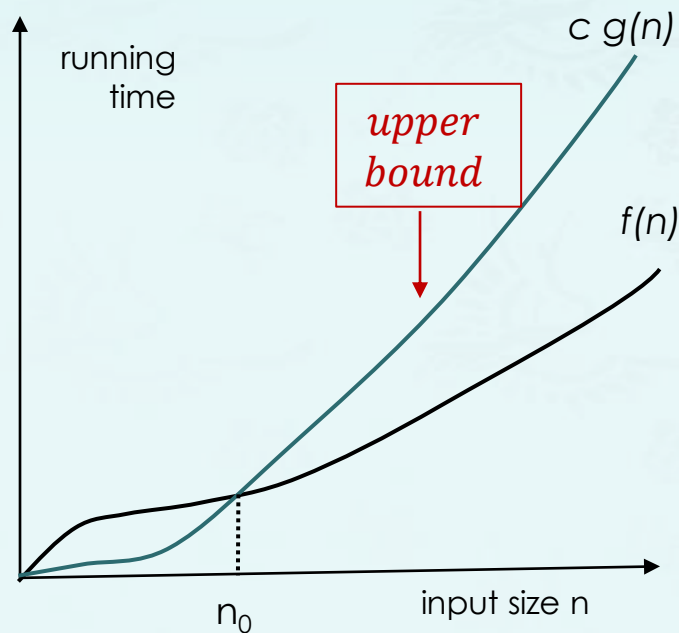
$7n + 5$  is  $O(n)$ , we must find  $c$  and  $n_0$  such that

$$7n + 5 \leq c n \text{ for } n \geq n_0$$

$$7n + 5 \leq 7n + n$$

$$7n + 5 \leq 8n, \text{ for } n \geq n_0 = 5$$

Therefore,  $7n + 5 \leq c n$  for  $c = 8$  and  $n_0 = 5$



# Asymptotic Analysis 점근적 분석과 표기법

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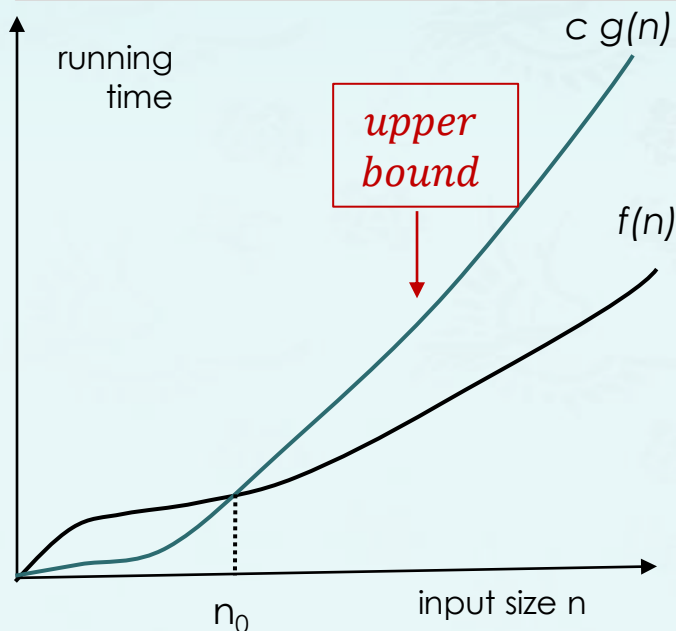
$7n + 5$  is  $O(n)$ , we must find  $c$  and  $n_0$  such that

$$7n + 5 \leq c n \text{ for } n \geq n_0$$

$$7n + 5 \leq 7n + n$$

$$7n + 5 \leq 8n, \text{ for } n \geq n_0 = 5$$

Therefore,  $7n + 5 \leq c n$  for  $c = 8$  and  $n_0 = 5$



$$7n + 5 \leq c n \quad \text{for } n \geq n_0$$

$$7n + 5 \leq 12n \quad \text{for } n \geq n_0 = 1$$

Therefore,  $7n + 5 \leq c n$  for  $c = 12$  and  $n_0 = 1$

# Asymptotic Analysis 점근적 분석과 표기법

- **Example:** Find  $c$  and  $n_0$  to justify that the function  $27n^2 + 16n$  is  $O(n^2)$ .

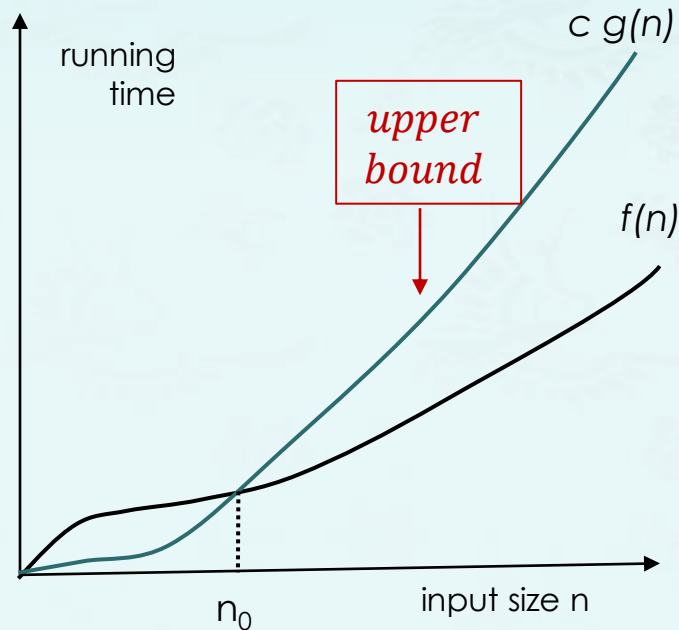
$27n^2 + 16n$  is  $O(n^2)$ , we must find  $c$  and  $n_0$  such that

For  $16n \leq n^2$

$$27n^2 + 16n \leq 27n^2 + n^2$$

$$27n^2 + 16n \leq 28n^2 \text{ for } n \geq n_0 = 16$$

Hence,  $c = 28$  and  $n_0 = 16$ , Therefore,  $f(n) = O(n^2)$ .



$27n^2 + 16n$  is  $O(n^2)$ , we have to find  $c$  and  $n_0$  such that

$$27n^2 + 16n \leq 43n^2$$

$$27n^2 + 16n \leq 43n^2 \text{ for } n \geq n_0 = 1$$

Hence,  $c = 43$  and  $n_0 = 1$ , Therefore,  $f(n) = O(n^2)$ .

# Asymptotic Analysis 점근적 분석과 표기법

- More Examples:

1)  $3n + 2 =$  

2)  $3n + 3 =$  

3)  $100n + 6 =$  

4)  $10n^2 + 4n + 2 =$   5,

5)  $6 * 2^n + n^2 =$  

6)  $3n + 3 =$  

7)  $10n^2 + 4n + 2 =$  

❌ 8)  $3n + 2 \neq O(1)$  as  $3n + 2$  is **not**  $\leq c$  for any  $c$  and all  $n, n \geq n_0$ .

❌ 9)  $10n^2 + 4n + 2 \neq O(n)$

# Asymptotic Analysis 점근적 분석과 표기법

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- Preferred Big-Oh usage:
- Pick the tightest bound. If  $f(N) = 5N$ , then:
  - $f(N) = O(N^5)$
  - $f(N) = O(N^3)$
  - $f(N) = O(N \log N)$
  - **$f(N) = O(N)$**  ← preferred or right!

# Asymptotic Analysis 점근적 분석과 표기법

- **Preferred Big-Oh usage:**
- **Pick the tightest bound.** If  $f(N) = 5N$ , then:
  - $f(N) = O(N^5)$
  - $f(N) = O(N^3)$
  - $f(N) = O(N \log N)$
  - **$f(N) = O(N)$**  ← preferred or right!
- **Ignore constant factors and low order terms:**
  - $f(N) = O(N)$ , not  $f(N) = O(5N)$
  - $f(N) = O(N^3)$ , not  $f(N) = O(N^3 + N^2 + 15)$
- Wrong:  $f(N) \leq O(g(N))$
- Wrong:  $f(N) \geq O(g(N))$
- **Right:**  **$f(N) = O(g(N))$**



# Asymptotic Analysis 점근적 분석과 표기법

- Suppose two algorithms, A and B, solving the same problem have the running time of  $O(n)$  and  $O(n^2)$ , respectively.
- Then algorithm A is **asymptotically better** than algorithm B.

※  $O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(2^n)$

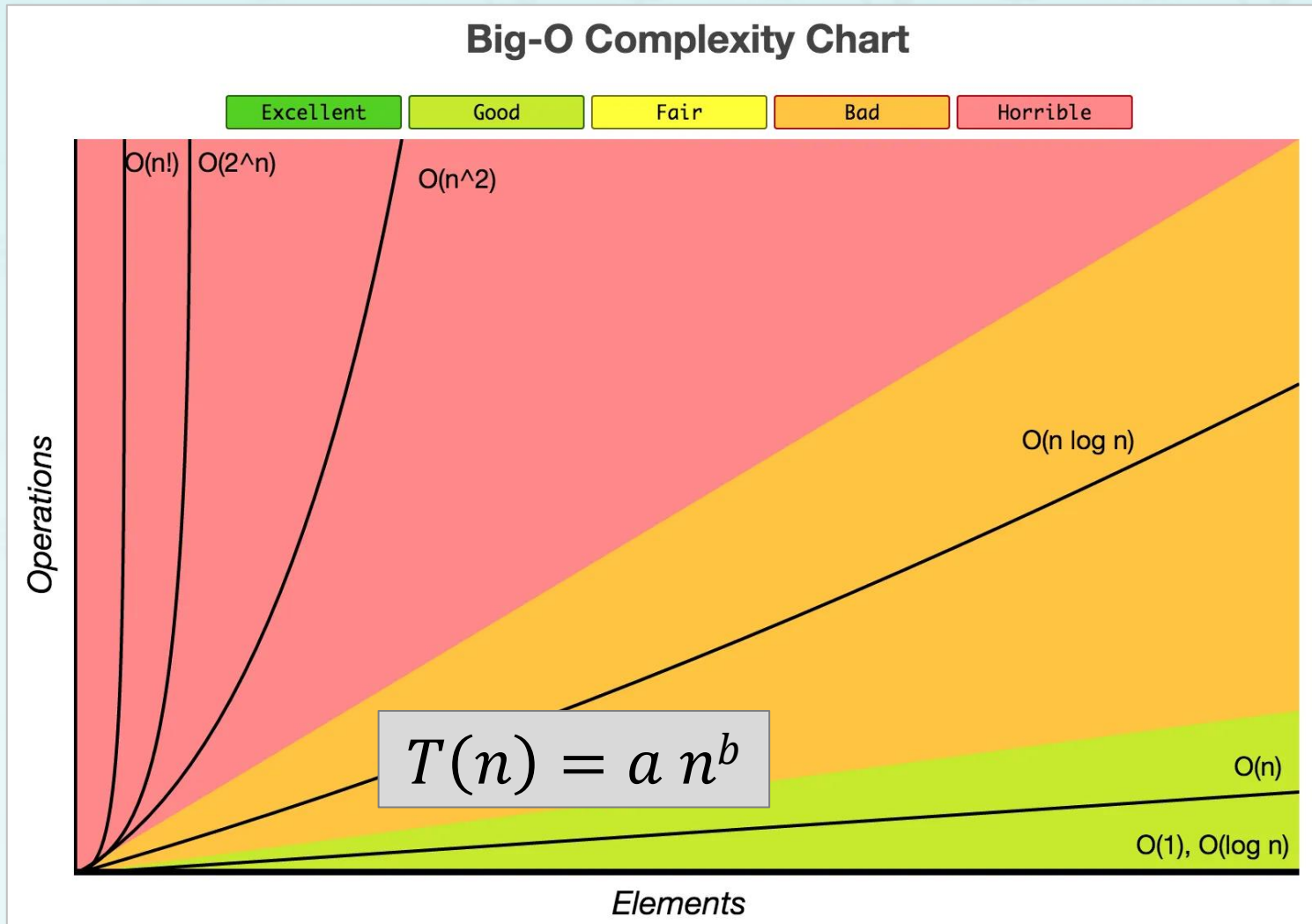
logarithmic   linear   linearithmic   quadratic   cubic   exponential



$$T(n) = a n^b$$

# Asymptotic Analysis 점근적 분석과 표기법

$$O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(2^n)$$



# Asymptotic Analysis 점근적 분석과 표기법

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**[Omega]**  $f(n) = \Omega(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

$$f(n) \geq c g(n), \text{ for } n \geq n_0.$$

# Asymptotic Analysis 점근적 분석과 표기법

**[Omega]**  $f(n) = \Omega(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

$$f(n) \geq c g(n), \text{ for } n \geq n_0.$$

- **Example:** Let's suppose we have

$$f(n) = 5n^2 + 2n + 1$$

$$g(n) = n^2$$

For all  $n \geq 0$ , this  $(2n + 1)$  will be  $\geq 1$ , **if** we have  $c = 5$  and  $n_0 = 0$ .

Then,  $5n^2 \leq f(n)$ , for all  $n \geq 0$

**Therefore**, we can say that the time complexity of  $f(n)$  is  $\Omega(n^2)$ ;

# Asymptotic Analysis 점근적 분석과 표기법

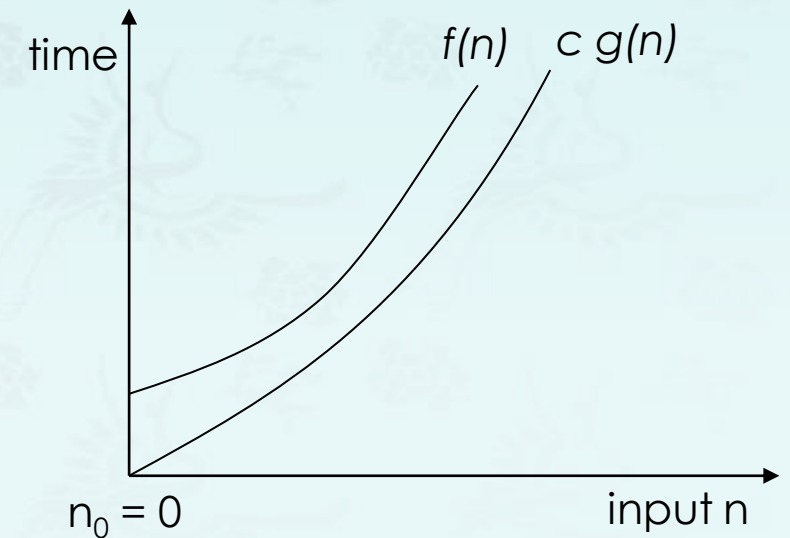
**[Omega]**  $f(n) = \Omega(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

$$f(n) \geq c g(n), \text{ for } n \geq n_0.$$

- **Example:** Let's suppose we have

$$f(n) = 5n^2 + 2n + 1$$

$$g(n) = n^2$$



- **Omega** notation gives us the **lower bound** of the growth rate of a function.

# Asymptotic Analysis 점근적 분석과 표기법

**[Omega]**  $f(n) = \Omega(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

$$f(n) \geq c g(n), \text{ for } n \geq n_0$$

- **More Example:**

1)  $3n + 2 = \Omega(n)$  since  $3n + 2 \geq 3n$  for  $n \geq 1$

2)  $3n + 3 = \Omega(n)$  since  $3n + 3 \geq 3n$  for  $n \geq 1$

3)  $100n + 6 = \Omega(n)$  since  $100n + 6 \geq 100n$  for  $n \geq 1$

4)  $100n^2 + 4n + 2 = \Omega(n^2)$  since  $100n^2 + 4n + 2 \geq n^2$  for  $n \geq 1$

5)  $6 * 2^n + n^2 = \Omega(2^n)$  since  $6 * 2^n + n^2 \geq 2^n$  for  $n \geq 1$

- **Omega** notation gives us the **lower bound** of the growth rate of a function.



# Asymptotic Analysis 점근적 분석과 표기법

**[Theta]**  $f(n) = \Theta(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

- $c_1g(n) \leq f(n) \leq c_2g(n), \text{ for } n \geq n_0.$

- **Example:** Let's suppose we have

$$f(n) = 5n^2 + 2n + 1$$

$$g(n) = n^2$$

- Then, we can choose  $c_1 = 5, c_2 = 8$ , and  $n_0 = 1$ ; and our inequality will hold. Therefore, we can say that the time complexity of

$$f(n) = 5n^2 + 2n + 1 = \Theta(n^2)$$



# Asymptotic Analysis 점근적 분석과 표기법

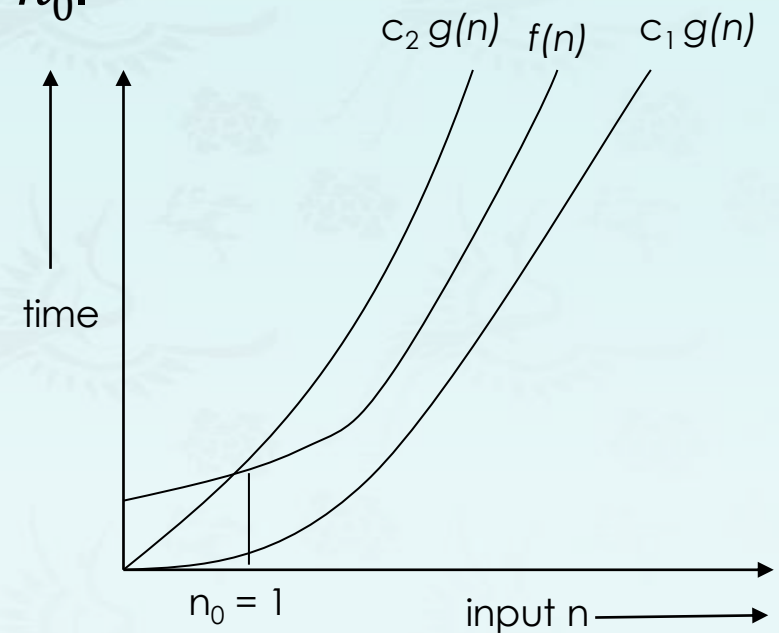
**[Theta]**  $f(n) = \Theta(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

- $c_1 g(n) \leq f(n) \leq c_2 g(n), \text{ for } n \geq n_0.$

- **Example:** Let's suppose we have

$$f(n) = 5n^2 + 2n + 1$$

$$g(n) = n^2$$



- **$\Theta$  notation** best describes or give the best idea about the growth rate of the function because it gives us a **tight bound** unlike  **$O$**  and  **$\Omega$**  which give us **upper bound** and **lower bound**, respectively.

# Asymptotic Analysis 점근적 분석과 표기법

**[Theta]**  $f(n) = \Theta(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

- $c_1g(n) \leq f(n) \leq c_2g(n), \text{ for } n \geq n_0.$

- **More Examples:**

1)  $3n + 2 = \Theta(n)$

since  $3n \leq 3n + 2 \leq 4n$  for all  $n \geq 2, c_1 = 3, c_2 = 4, \text{ and } n_0 = 2$

2)  $3n + 3 = \Theta(n)$

3)  $10n^2 + 4n + 2 = \Theta(n^2)$

4)  $6 * 2^n + n^2 = \Theta(2^n)$

5)  $10 * \log n + 4 = \Theta(\log n)$

# Asymptotic Analysis - Quiz

- Example: Running time estimates - empirical analysis
  - Personal computer executes  $10^9$  compares/second
  - Supercomputer executes  $10^{13}$  compares/second

	Selection sort ( $N^2$ )			Merge sort ( $N \log_2 N$ )		
N	Million	10 million	Billion	Million	10 million	Billion
PC	16.7 min			instant	0.2 sec	
Super Com	0.1 sec			Instant	Instant	Instant

$\log_{10} 2 \cong 0.3$   
86,400sec/day  
instant < 0.1 sec

Use a reasonable or understandable time units.  
Do not say, for example, "3660 days" nor "1220 seconds",  
but 10.0 years or 20.3 min, respectively.

※ **Bottom line:** Good algorithms are better than supercomputers.

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