

Application: 0000000008

Jesse Bamba - jbamba@triton.uog.edu

2021 Pesticide Safety Education Funds Management Program (PSEFMP) Grant (Copy)

Summary

ID: 0000000008

Last submitted: Nov 6 2020 01:43 PM (PGT)

Institutional and Contact Information

Completed - Sep 15 2020

Institutional and Contact Information

Institutional and Contact Information

Institutional Information

Institution	University of Guam
State/Territory	Guam
Project/Program Title	2021 Pesticide Safety Education Funds Management Program (PSEFMP)

Principal Investigator (PI) and/or PSEP Coordinator Contact Information

Name	Jesse Bamba
Title	Extension Associate III
Address	303n University Drive UOG Station
City	Mangilao
State/Territory	Guam
Zip Code	96913
Phone	671-735-2091
Email	jbamba@triton.uog.edu

Is the PI listed above, some one other than the PSEP Coordinator?

If yes, please select yes and provide the contact information for the PSEP Coordinator.

If no, please select no and proceed to the next section of the application.

No

Sub-award Work

Completed - Nov 6 2020

Sub-award Work

Project/Program Goals and Evaluation

Goals

Choose one (1) goal/learning objective from the list below that efforts funded by this grant will address. Remember that goals are action oriented and measurable. Goals are an anticipated projection and depending on circumstances and pressing issues may change throughout the course of the funding cycle. Please modify as needed to suit your program.

Increase PSEP partnership and collaboration efforts

Evaluation

How do you plan to evaluate the efforts and deliverable(s) developed with these funds? Please choose one:

Number of educational materials requested

Deliverable(s)

In this section please choose at least one (1) deliverable to be used in your program such as a fact sheet, a brochure, or Powerpoint presentation. Applicants can choose to enhance a product they already have or create something new.

Topic Area

Other

Specify Other

Updated psep material

Deliverable

Please choose one:

	Deliverable to develop and/or enhance	Description
Brochure	✕	
Fact Sheet	✕	
Poster	✕	
PowerPoint	✕	
Webinars/Presentation	✕	
Video	✕	
Manual	✕	
Online Tool	✕	
Mobile Tool	✕	
Practice Exams	✕	
Face-to-face Training	✕	
Instructions and/or Handout	✕	
Recordkeeping Tool	✕	
Other	✓	<ol style="list-style-type: none"> 1. Draft of Updated fruit and vegetable guide. 2. NPSEC travel for photo and video shots, including 360 video for virtual reality by the NPSEC multi-media team 3. NPSEC production of training resources based on the research and design work conducted in 2020 with a continuous review process by territory PSEPs and stakeholders 4. Design the modular suite of training resources and identify how best to utilize these resources remotely, in-person or in combination (resources for

		<p>introductory or foundational information followed by in-person hands-on training)</p> <p>5. Develop Train-the-Trainer resources to ensure training consistency and pedagogy that is culturally appropriate</p> <p>6. Develop branding, ‘packaging’, evaluations and assessments to pilot the resources</p> <p>7. Produce a Swimming Pool Pest Management Training Manual for pesticide applicators</p>
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Collaboration

Would you be willing to collaborate with other PSEPs?

Yes

Please identify other PSEPs that you would like to collaborate with.

NPSEC
American Samoa
CNMI
Hawaii

Will you be submitting a multi-PSEP shared deliverable on the Box.com site?

Please be sure to describe in detail the above to justify a multi-PSEP shared deliverable. (A shared deliverable should be a significant product).

1. NPSEC travel for photo and video shots, including 360 video for virtual reality by the NPSEC multi-media team 2. NPSEC production of training resources based on the research and design work conducted in 2020 with a continuous review process by territory PSEPs and stakeholders 3. Design the modular suite of training resources and identify how best to utilize these resources remotely, in-person or in combination (resources for introductory or foundational information followed by in-person hands-on training) 4. Develop Train-the-Trainer resources to ensure training consistency and pedagogy that is culturally appropriate 5. Develop branding, 'packaging', evaluations and assessments to pilot the resources 6. Produce a Swimming Pool Pest Management Training Manual for pesticide applicators

Budget

Completed - Nov 6 2020

Budget

Each PSEP will be eligible to apply for a base amount of \$16,625.00 USD plus 5% of the funds have been divided proportionately based on the percentage of the number of certified applicators in each state or territory. The number of certified applicators in a state or territory will be based on the most recent figures of certified applicators as supplied by the EPA. Total amount available to the state or territory will be listed below. **(PLEASE DO NOT USE COMMAS IN YOUR FIGURES)**

Select your State/Territory

Guam

Personel Costs

Salary	6000
Fringe Benefits	1560
TOTAL PERSONNEL COSTS	7560

Direct Costs

Equipment	0
Supplies	1065
Travel	0
Other Direct Costs	8000
TOTAL DIRECT COSTS	9065

TOTAL INDIRECT COSTS (Indirect costs should be based on your pre-negotiated federal rate. Your institution has the option to reduce or waive the indirect cost rate.)

\$ 0

Maximum \$16,625

<u>GRAND TOTAL</u>	16625
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Budget Justifications - The budget justification is a categorical description of the proposed costs. Generally, it explains staffing and supply/service consumption patterns, the methods used to estimate/calculate (including escalation or inflation factors) and other details such as lists of items that make up the total costs for a category. The budget justification should address each of the major cost categories (salaries, fringe benefits, equipment, travel, supplies, other direct costs and indirect costs). Participant support costs are not allowed. These include costs for items as such as stipends or subsistence allowances, travel allowances, and registration fees paid to or on behalf of non-employee participants for training.

Please provide detailed justifications for the proposed budget.

Personnel Cost

Salary and fringe benefits- Part time employee (TBH) is needed to assist with maintaining and improving the deliverables for the PSEP.

The estimated hourly rate for the personnel is \$20.00 per hour and we will need the person for 300 hours per year

Direct Cost

Supplies- Computer/ipad, Copies of updated pesticide guide, thumb drives, and other office supplies.

Other Direct Cost -

National Pesticide education Center Service agreement (UOG and NPSEC).

NPSEC agrees to the following:

1. NPSEC travel for photo and video shots, including 360 video for virtual reality by the NPSEC multi-media team
2. NPSEC production of training resources based on the research and design work conducted in 2020 with a continuous review process by territory PSEPs and stakeholders
3. Design the modular suite of training resources and identify how best to utilize these resources remotely, in-person or in combination (resources for introductory or foundational information followed by in-person hands-on training)
4. Develop Train-the-Trainer resources to ensure training consistency and pedagogy that is culturally appropriate
5. Develop branding, 'packaging', evaluations and assessments to pilot the resources
6. Produce a Swimming Pool Pest Management Training Manual for pesticide applicators

Office of Sponsored Programs Information

Completed - Sep 15 2020

Office of Sponsored Programs Information

In this section applicants should provide contact information for their institution's Office of Sponsored Programs.

Office of Sponsored Programs Contact Information

Name:	Lee S. Yudin, PhD
Title:	Dean Director of the College of Natural & Applied Sciences
Address:	UOG Station Mangilao Guam 96923
Phone:	671-735-2002
Email:	lyudin@triton.uog.edu
Website:	www.uog.edu

Audit Information

Please provide the date of the last A133 Audit

September 30, 2018

Please attach a copy of the last A133 Audit here

[UOG_comp18_Final.pdf](#)

Filename: UOG_comp18_Final.pdf **Size:** 174.3 kB

PSEP Program Commitments

PSEFMP Sub-awardee Program Commitments

PSEFMP Sub-award Requirements

I agree to report on the progress of my project every six (6) months using this online system. Reports will be due on July 31, 2021 and January 31, 2022. Failure to submit these report will put the sub-awardee in breach of the sub-award agreement.

Agree

I agree to submit the deliverable(s) selected in this application upon completion of the 2021 PSEFMP funding sub-award period. Failure to submit the deliverable(s) will put the sub-awardee in breach of the sub-award agreement.

Agree

Subrecipients must attend a minimum of one of the following 1-hour training webinars as part of this program for reporting system training and professional development. Anticipated dates are: January 31, 2021 at 3 pm EST; May 6, 2021 at 1 pm EST; September 30, 2021 at 3 pm EST and December 2, 2021 at 3 pm EST.

I agree to attend one of these programs

Agree

Sub-awardees are encouraged to participate in one of the following optional professional development opportunities.

Please choose one:

Attend a Regional Pesticide Safety Education Program (PSEP) workshop

Upload Signed Subaward Agreement

Completed - Oct 2 2020

In order to complete the subaward, please download the entire application. To download the complete application, please go to the beginning screen of the application. On the right side of the screen, click on the three dot icon and select download. This will download the entire application. If you want to download only a specific section, simply go to that specific section and click the three dot icon.

You must download, sign and upload the [Subaward Agreement](#) in order to submit your application.

PSEP Subaward Agreement 2020 signed

Filename: PSEP_Subaward_Agreement_2020_signed.pdf **Size:** 56.4 kB



Issues • Innovation • Impact

+ A Part of the Cooperative Extension System

Subaward Agreement

SUBAWARDEE INFORMATION:

See Subawardee Information Section of the application

EXTENSION INFORMATION:

eXtension Foundation
c/o Bryan Cave LLP
One Kansas City Place
1200 Main Street, Suite 3800
Kansas City, MO 64105-2122

Contact name: Beverly Coberly

Title: Chief Operating Officer

Telephone: _____

Email: contracts@extension.org

eXtension Foundation ("eXtension") is a Missouri nonprofit corporation and an IRC § 501(c)(3) public charity.

PRIME AWARD INFORMATION:

See Exhibits A and B.

SUBAWARD INFORMATION:

See the Subaward Information, Budget, and PSEP Commitments sections of the application for details regarding the subaward ("Subaward") being made under the Prime Award and work to be performed by Subawardee ("Work"). Cost reimbursement will be made by eXtension as an advanced lump sum payment, unless your institution has been debarred or has an unresolved material finding contained in its most recent single audit report.

LICENSED WORK PRODUCT:

See the Licensed Work Product section of the application for any Work Product to be licensed by eXtension pursuant to Section 6.2 of the attached Terms and Conditions

TERM/TERMINATION:

The effective date of this Agreement

January 1, 2021 (01/01/2021)

The termination date of this Agreement

December 31, 2021 (12/31/2021)

Please refer to Section 3 and 4 of the attached Terms and Conditions for Term/Termination guidelines

AGREEMENT:

eXtension agrees to award the Subaward to Subawardee and engage Subawardee to perform the Work, and Subawardee agrees to accept the Subaward and perform the Work, in accordance with this Subaward Agreement (including any attached documents) and the attached Terms and Conditions (together, "Agreement").

SIGNATURES:

By signing below, Subawardee and eXtension each acknowledges that it has read and understands, and agrees to be legally bound by, this Agreement.

SUBAWARDEE

By: 

Name: Dr. Lee Yudin

Title: Dean/Director College of Natural & Applied Sciences

Date: September 28, 2020

EXTENSION FOUNDATION

By: _____

Name: _____

Title: _____

Date: _____

**UNIVERSITY OF GUAM
(A COMPONENT UNIT OF THE
GOVERNMENT OF GUAM)**

**INDEPENDENT AUDITORS' REPORTS ON
INTERNAL CONTROL AND ON COMPLIANCE**

YEAR ENDED SEPTEMBER 30, 2018

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH
GOVERNMENT AUDITING STANDARDS**

The Board of Regents
University of Guam:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the University of Guam (the University) and its discretely presented component unit as of and for the year ended September 30, 2018, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, and have issued our report thereon dated May 2, 2019.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

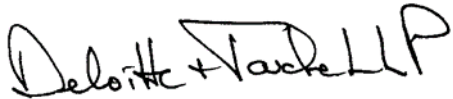
Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in black ink that reads "Deloitte + Tuck LLP". The signature is written in a cursive, stylized font.

May 2, 2019

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

The Board of Regents
University of Guam:

Report on Compliance for Each Major Federal Program

We have audited the University of Guam's (the University's) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended September 30, 2018. The University's major federal programs are identified in the summary of auditors' results section of the accompanying Schedule of Findings and Questioned Costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Opinion on Each Major Federal Program

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended September 30, 2018.

Report on Internal Control Over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

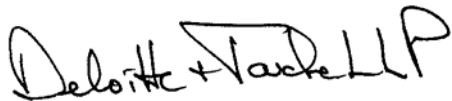
A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of the University of Guam and its discretely presented component unit as of and for the year ended September 30, 2018, and the related notes to the financial statements, which collectively comprise the University's basic financial statements. We issued our report thereon dated May 2, 2019, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule of Expenditures of Federal Awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

A handwritten signature in black ink that reads "Deloitte + Tuck LLP". The signature is stylized, with the "D" being large and the "LLP" being written in a more compact, cursive-like font.

May 2, 2019

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)

Summary Schedule of Expenditures of Federal Awards, by Grantor
Year Ended September 30, 2018

<u>Federal Grantor Agency</u>	<u>Expenditures</u>
U.S. Department of Agriculture	\$ 4,558,589
U.S. Department of Commerce	841,929
U.S. Department of Defense	1,527,277
U.S. Department of the Interior	492,514
U.S. Department of Justice	68,333
National Archives and Records Administration	326,403
Institute of Museum and Library	16,833
National Science Foundation	1,317,612
U.S. Small Business Administration	555,104
U.S. Environmental Protection Agency	76,414
U.S. Department of Education	15,550,968
U.S. Department of Health and Human Services	3,522,534
Corporation for National and Community Service	67,367
U.S. Department of Homeland Security	433,025
Grand Total	<u>\$ 29,354,902</u>

Reconciliation to the basic financial statements:

Operating revenues - Federal grants and contracts	\$ 30,902,851
Indirect cost allocation in operating expenses	(1,392,571)
Program income in operating expenses	(6,500)
Miscellaneous cost in operating expenses	<u>(148,878)</u>
	<u>\$ 29,354,902</u>

See accompanying notes to Schedule of Expenditures of Federal Awards.

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)

Schedule of Expenditures of Federal Awards
Year Ended September 30, 2018

Federal CFDA #	Federal Grantor/Pass-Through Grantor/Program or Cluster Total	Pass-Through Entity ID Number	Expenditures	Amount Passed Through to Subrecipients	Research and Development Cluster
Direct from U.S. Department of Agriculture:					
10.025	Plant and Animal Disease, Pest Control, and Animal Care		\$ 412,771	\$	\$ 412,771
10.202	Cooperative Forestry Research		152,814		152,814
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act		1,529,709		1,529,709
10.304	Homeland Security Agricultural		13,241		13,241
10.308	Resident Instruction Grants for Insular Area Activities		168,536		168,536
10.322	Distance Education Grants for Institutions of Higher Education in Insular Areas		50,589		-
10.328	National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program		2,818		-
10.329	Crop Protection and Pest Management Competitive Grants Program		25,241		-
10.500	Cooperative Extension Service		1,245,811		-
10.652	Forestry Research		233,308		233,308
10.664	Cooperative Forestry Assistance		42,075		42,075
10.675	Urban and Community Forestry Program		66,491		66,491
10.680	Forest Health Protection		107,100		103,512
10.868	Rural Energy for America Program		10,069		10,069
	Subtotal Direct Programs		4,060,573	-	2,732,526
Pass-through from Government of Guam Department of Agriculture:					
10.170	Specialty Crop Block Grant Program - Farm Bill	none identified	179,490		179,490
Pass-through from Government of Guam Department of Public Health and Social Services:					
SNAP Cluster:					
10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	14-1700-009	183,871		-
	SNAP Cluster Subtotal		183,871	-	-
Pass-through from Utah State University:					
10.215	Sustainable Agriculture Research and Education	07-298-3455	36,448		36,448
Pass-through from Utah State University:					
10.215	Sustainable Agriculture Research and Education	87-474-9364	24,524		-
Pass-through from Kansas State University:					
10.500	Cooperative Extension Service	S17076	22,500		-
Pass-through from University of Hawaii:					
10.912	Environmental Quality Incentives Program	69-3A75-17-54	51,183		51,183
	Subtotal Pass-Through Programs		498,016	-	267,121
	U.S. Department of Agriculture Total		\$ 4,558,589	\$ -	\$ 2,999,647

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)

Schedule of Expenditures of Federal Awards, Continued
Year Ended September 30, 2018

Federal CFDA #	Federal Grantor/Pass-Through Grantor/Program or Cluster Total	Pass-Through Entity ID Number	Expenditures	Amount Passed Through to Subrecipients	Research and Development Cluster
Direct from U.S. Department of Commerce:					
11.008	NOAA Mission-Related Education Awards		\$ 24,901	\$ -	\$ -
11.417	Sea Grant Support		339,439		339,439
11.427	Fisheries Development and Utilization Research and Development		50,460		50,460
11.482	Coral Reef Conservation Program		77,435		77,435
	Subtotal Direct Programs		492,235	-	467,334
Pass-through from the Research Corporation of the University of Hawaii:					
11.012	Integrated Ocean Observing System	Z10129876	6,646		6,646
11.432	Special Oceanic and Atmospheric Projects		26,649		26,649
Pass-through from Government of Guam Bureau of Statistics and Planning:					
11.419	Coastal Zone Management Administration Awards	C150601450	13,919		13,919
11.482	Coral Reef Conservation Program	W14090003	10,029		10,029
Pass-through from The Micronesia Conservation Trust:					
11.482	Coral Reef Conservation Program	MCT/NOAAAC2/Y2/2015/01	52,645		52,645
Pass-through from Government of Guam Bureau of Statistics and Planning:					
11.482	Coral Reef Conservation Program	NA13NOS4820012	235,030		235,030
Pass-through from University of Hawaii:					
11.482	Coral Reef Conservation Program	MCT-NOAACA2X/2015/01	4,776		4,776
	Subtotal Pass-Through Programs		349,694	-	349,694
	U.S. Department of Commerce Total		\$ 841,929	\$ -	\$ 817,028
Direct from the U.S. Department of Defense:					
12.002	Procurement Technical Assistance For Business Firms		\$ 301,889	\$ -	\$ -
12.300	Basic and Applied Scientific Research		1,139,358		1,139,358
12.600	Community Investment		86,030		-
	U.S. Department of Defense Total		\$ 1,527,277	\$ -	\$ 1,139,358

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)

Schedule of Expenditures of Federal Awards, Continued
Year Ended September 30, 2018

Federal CFDA #	Federal Grantor/Pass-Through Grantor/Program or Cluster Total	Pass-Through Entity ID Number	Expenditures	Amount Passed Through to Subrecipients	Research and Development Cluster
	Direct from U.S. Department of the Interior:				
15.605	Sport Fish Restoration		\$ (77,932)		\$ (77,932)
15.650	Research Grants (Generic)		6,410		6,410
15.657	Endangered Species Conservation Recovery Implementation Funds		93,501		93,501
15.805	Assistance to State Water Resources Research Institutes		223,524		223,524
15.875	Economic, Social, and Political Development of the Territories		80,653		-
15.945	Cooperative Research and Training Programs Resources of the National Park System		47,052		47,052
15.946	Cultural Resources Management		-		-
	Subtotal Direct Programs		373,208	-	292,555
	Pass-through from the Research Corporation of the University of Hawaii:	Various			
15.820	National Climate Change and Wildlife Science Center		60,732		60,732
	Pass-through from Government of Guam Bureau of Statistics and Planning:	W16-0900-008			
15.875	Economic, Social, and Political Development of the Territories		58,574		26,876
	Subtotal Pass-Through Programs		119,306	-	87,608
	U.S. Department of the Interior Total		\$ 492,514	\$ -	\$ 380,163
	Direct from the U.S. Department of Justice:				
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus		\$ 68,333	\$ -	\$ -
	U.S. Department of Justice Total		\$ 68,333	\$ -	\$ -

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)
Schedule of Expenditures of Federal Awards, Continued
Year Ended September 30, 2018

Federal CFDA #	Federal Grantor/Pass-Through Grantor/Program or Cluster Total	Pass-Through Entity ID Number	Expenditures	Amount Passed Through to Subrecipients	Research and Development Cluster
	Direct from National Aeronautics and Space Administration:				
43.008	Education		\$ 324,312	\$ -	\$ 324,312
	Pass-through from University of Hawaii:	80NSSC17M0028			
43.008	Education		\$ 2,091	-	2,091
	Subtotal Pass-Through Programs		2,091	-	2,091
	National Archives and Records Administration Total		\$ 326,403	\$ -	\$ 326,403
	Direct from Institute of Museum and Library Services:				
45.301	Museums for America		\$ 16,833	\$ -	\$ -
	Institute of Museum and Library Services Total		\$ 16,833	\$ -	\$ -
	Direct from National Science Foundation:				
47.050	Geosciences		\$ 6,743	\$ -	\$ 6,743
47.070	Computer and Information Science and Engineering		62,549	-	-
47.074	Biological Sciences		27,210	-	27,210
47.076	Education and Human Resources		110,968	-	110,968
47.083	Office of Integrative Activities		1,058,499	-	1,058,499
	Subtotal Direct Programs		1,265,969	-	1,203,420
	Pass-through from University of Hawaii:	HI 120009			
47.074	Biological Sciences		5,413	-	5,413
47.076	Education and Human Resources		46,230	-	46,230
	Subtotal Pass-Through Programs		51,643	-	51,643
	National Science Foundation Total		\$ 1,317,612	\$ -	\$ 1,255,063
	Direct from Small Business Administration:				
59.037	Small Business Development Centers		\$ 555,104	\$ 108,009	\$ -
	U.S. Small Business Administration Total		\$ 555,104	\$ 108,009	\$ -
	Pass-through from Government of Guam Guam Environmental Protection Agency:	85-503-2046			
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support		\$ 76,414	\$ -	\$ -
	Subtotal Pass-Through Programs		76,414	-	-
	U.S. Environmental Protection Agency Total		\$ 76,414	\$ -	\$ -

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)
Schedule of Expenditures of Federal Awards, Continued
Year Ended September 30, 2018

Federal CFDA #	Federal Grantor/Pass-Through Grantor/Program or Cluster Total	Pass-Through Entity ID Number	Expenditures	Amount Passed Through to Subrecipients	Research and Development Cluster
Direct from U.S. Department of Education:					
84.032	Federal Family Education Loans (Agency Loans)		\$ 93,467	\$	-
	Student Financial Assistance Cluster:				
84.007	Federal Supplemental Educational Opportunity Grants (FSEOG)		133,275		-
84.033	Federal Work-Study Program		392,552		-
84.063	Federal Pell Grant Program		7,681,928		-
84.268	Federal Direct Student Loans		6,062,195		-
84.379	Teacher Education Assistance for College and Higher Education Grants		94,334		-
	Student Financial Assistance Cluster Subtotal		14,364,284	-	-
	TRIO Cluster:				
84.042	TRIO Student Support Services		298,179		-
84.044	TRIO Talent Search		350,552		-
84.047	TRIO Upward Bound		424,243		-
	TRIO Cluster Subtotal		1,072,974	-	-
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities		20,243		-
84.326	Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities		-		-
	Subtotal Direct Programs		20,243	-	-
	U.S. Department of Education Total		\$ 15,550,968	\$ -	\$ -

UNIVERSITY OF GUAM
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Schedule of Expenditures of Federal Awards, Continued
Year Ended September 30, 2018

Federal CFDA #	Federal Grantor/Pass-Through Grantor/Program or Cluster Total	Pass-Through Entity ID Number	Expenditures	Amount Passed Through to Subrecipients	Research and Development Cluster
Direct from U.S. Department of Health and Human Services:					
93.107	Area Health Education Centers Point of Service Maintenance and □ Enhancement Awards		\$ 302,332	\$ 232,991	\$ -
93.251	Universal Newborn Hearing Screening		326,759	12,737	-
93.307	Minority Health and Health Disparities Research		543,965		543,965
93.314	Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program		157,916		157,916
93.397	Cancer Centers Support Grants		926,264		926,264
93.424	NON-ACA/PPHFBuiding Capacity of the Public Health System to Improve Population Health through National X		4,000		-
93.464	ACL Assistive Technology		78,053		-
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service		552,120		-
	Subtotal Direct Programs		2,891,409	245,728	1,628,145
Pass-through from Government of Guam Department of Public Health and Social Services:		various			
93.043	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services		36,960		-
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances		-		-
93.110	Maternal and Child Health Federal Consolidated Programs		10,000		-
93.243	Substance Abuse and Mental Health Services-Projects of Regional and National Significance		162,407		-
93.424	NON-ACA/PPHFBuiding Capacity of the Public Health System to Improve Population Health through National X		15,379		-
93.505	Maternal, Infant, and Early Childhood Home Visiting Cluster: Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program		121,956		-
	Maternal, Infant, and Early Childhood Home Visiting Cluster Subtotal		346,702	-	-
Pass-through from Pennsylvania State University:		various			
93.310	Trans-NIH Research Support		173,979		173,979
Pass-through from University of Hawaii:		2U54CA143728-06A1			
93.397	Maternal and Child Health Federal Consolidated Programs		26,249		26,249
Pass-through from the Research Corps of the University of Hawaii:		NU58DP006312-01-00			
93.898	Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations		84,195		84,195
	Subtotal Pass-Through Programs		631,125	-	284,423
U.S. Department of Health and Human Services Total			\$ 3,522,534	\$ 245,728	\$ 1,912,568
Corporation for National and Community Service:					
Pass-through from Government of Guam Department of Labor: AmeriCorps		17AFHGU001001	\$ 67,367	\$ -	\$ -
Corporation for National and Community Service Total			\$ 67,367	\$ -	\$ -
Pass-through from Government of Guam Department of Homeland Security:		W170280-002			
97.047	Pre-Disaster Mitigation		\$ 433,025	\$ -	\$ -
	Subtotal Pass-Through Programs		433,025	-	-
U.S. Department of Homeland Security Total			\$ 433,025	\$ -	\$ -
Grand Total			\$ 29,354,902	\$ 353,737	\$ 8,830,230

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)

Notes to Schedule of Expenditures of Federal Awards
Year Ended September 30, 2018

(1) Scope of Audit

The University of Guam (the University) is a component unit of the Government of Guam created by Public Law 13-194, "The Higher Education Act," which became effective on November 3, 1976, as an autonomous agency of the Government of Guam. Only the financial statements of the University are included within the scope of the Single Audit.

(2) Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards (the Schedule) includes the federal award activity of the University under programs of the Federal government for the year ended September 30, 2018. The information in this Schedule is presented in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of the University, it is not intended to and does not present the financial position, changes in net position or cash flows of the University.

(3) Summary of Significant Accounting Policies

Basis of Accounting

Expenditures reported on the Schedule are reported on the accrual basis of accounting, consistent with the manner in which the University maintains its accounting records. All expenses and capital outlays are reported as expenditures. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Pass-through entity identifying numbers are presented where available. The University has not elected to use the 10% de minimis indirect cost rate allowed under the Uniform Guidance.

The University recognizes contributions from the federal government when qualifying expenditures are incurred.

The University participates in the Federal Direct Student Loan program. The value of loans presented in the Schedule of Expenditures of Federal Awards is equivalent to the amount of new loans during the year.

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)

Schedule of Findings and Questioned Costs
Year Ended September 30, 2018

Section I - Summary of Auditors' Results

Financial Statements

- | | |
|---|---------------|
| 1. Type of report the auditor issued on whether the financial statements audited were prepared in accordance with GAAP: | Unmodified |
| Internal control over financial reporting: | |
| 2. Material weakness(es) identified? | No |
| 3. Significant deficiency(ies) identified? | None reported |
| 4. Noncompliance material to financial statements noted? | No |

Federal Awards

- | | |
|---|---|
| Internal control over major federal programs: | |
| 5. Material weakness(es) identified? | No |
| 6. Significant deficiency(ies) identified? | None reported |
| 7. Type of auditors' report issued on compliance for major federal programs: | Unmodified |
| 8. Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)? | No |
| 9. Identification of major federal programs: | |
| <u>CFDA Numbers</u> | <u>Name of Federal Program or Cluster</u> |
| Various | Research and Development Cluster |
| Various | Student Financial Assistance Cluster |
| 93.251 | Universal Newborn Hearing Screening |
| 10. Dollar threshold used to distinguish between type A and type B programs: | \$ 880,647 |
| 11. Auditee qualified as low-risk auditee? | Yes |

Section II – Financial Statement Findings

No matters were reported.

Section III – Federal Award Findings and Questioned Costs

No matters were reported.

UNIVERSITY OF GUAM
(A Component Unit of the Government of Guam)

Schedule of Prior Year Findings and Questioned Costs
Year Ended September 30, 2018

Please see pages 16 and 17 for the Schedule of Prior Year Findings and Questioned Costs.