## 제8장: 딥러닝모형의 성능향상

#### Soyoung Park

Pusan National University Department of Statistics soyoung@pusan.ac.kr

## 딥러닝모형의 모수추정의 문제

- 딥러닝 모형은 수천만개의 모수를 추정해야함
  - 1.

2.

## 모수초기치(kernel initializers)와 활성함수

• 비선형 함수의 도입은 활성함수의 선택과 딥러닝 모수초기치 선택이 vanishing gradient/ exploding gradient 문제를 초래

## 1. Glorot 초기치

• linear, sigmoid, softmax, tanh 등 활성함수에 잘 작동하여 모형의 성능과 모수추정에 상당한 기여

# 2. He 초기치

• ReLu 활성함수

# 2. He 초기치

# 3. LeCun 초기치

## 정규화(Normalization)

- 딥러닝 모형의 수렴을 위해 자주 사용하는 기법
- •
- •

### **Batch Normalization**

### Instance Normalization

### Layer Normalization

#### Layer Normalization for Convolutional Neural Network

If layer normalization is working on the outputs from a convolution layer, the math has to be modified slightly since it does not make sense to group all the elements from distinct channels together and compute the mean and variance. Each channel is considered as an "independent" sample and all the normalization was done for that specific channel only within the sample.

Assume the input tensor has shape [m, H, W, C], for each channel  $c \in \{1, 2, \cdots, C\}$ 

$$\mu_{i,c} = \frac{1}{HW} \sum_{j=1}^{H} \sum_{k=1}^{W} x_{i,j,k,c}$$

$$\sigma_{i,c}^2 = \frac{1}{HW} \sum_{j=1}^{H} \sum_{k=1}^{W} (x_{i,j,k,c} - \mu_{i,c})^2$$

$$\hat{x}_{i,j,k,c} = \frac{x_{i,j,k,c} - \mu_{i,c}}{\sqrt{\sigma_{-}^2 + \epsilon}}$$

Specifically for each channel, we have learnable parameters  $\gamma_c$  and  $\beta_c$ , such that

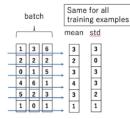
$$y_{i,:,:,c} = \gamma_c \hat{x}_{i,:,:,c} + \beta_c \equiv LN_{\gamma_c,\beta_c}(x_{i,:,:,c})$$

1

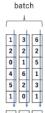
<sup>1</sup>https://leimao.github.io/blog/Layer-Normalization/

#### B-N vs. L-N

#### Batch Normalization



#### Layer Normalization



mean 2 3 3 s

Same for all feature dimensions

-

<sup>&</sup>lt;sup>2</sup>https://blog.naver.com/baek2sm/222176799509

#### Normalization

- Normalization에서 정규화는  $\alpha$ 와  $\beta$ 는 역전파에 의해 추정되고, 평균  $(\mu)$ 와 분산 $(\sigma^2)$ 은 normalization 단위 별로 계산됨
  - B-N에서는 평균과 분산이 batch 단위로 계산됨
  - 즉,
- Validation 또는 test data에 적용시킬때, 어떤 평균과 분산을 사용해야 하는지에 대한 문제 발생
  - 0
  - •

#### Normalization

- 정규화는 vanishing gradient문제를 방지하는 중요한 수단을 가짐
  - 0
  - •

```
♠ fashion mnist.ipynb ☆
 File Edit View Insert Runtime Tools Help
+ Code + Text
 [ ] import tensorflow as tf
      import matplotlib.pvplot as plt
      fashion mnist=tf.keras.datasets.fashion mnist
[ ] (x train, y train sparse),(x test, y test sparse)=fashion mnist.load data()
     print(x train.shape, x test.shape)
      from tensorflow.keras.utils import to categorical, plot model
     y train=to categorical(y train sparse)
     y test=to categorical(y test sparse)
     print(v test.shape)
 x train=x train/255.0
      x test=x test/255.0
      class names=['T-shirt/top', 'Trouser', 'Pulloyer', 'Dress', 'Coat', 'Sandal', 'Shirt', 'Sneaker', 'Bag', 'AnkleBoot']
      plt.figure(figsize=(10,10))
      for i in range(25):
        plt.subplot(5, 5, i+1)
        plt.xticks([])
        plt.yticks([])
        plt.grid(False)
        plt.imshow(x train[i], cmap=plt.cm.binary)
        plt.xlabel(class names[y train sparse[i]])
      plt.show()
```

```
from tensorflow.keras.models import Sequential from tensorflow.keras.layers import Flatten,Dense,Activation,LeakyReLU,PReLU,BatchNormalization model-Sequential() model.add(Flatten(input_shape=[28,28])) model.add(Dense(256, activation='elu', kernel_initializer='he_normal')) model.add(BatchNormalization(momentum=0.9)) model.add(Dense(128, activation='selu', kernel_initializer='lecun_normal')) model.add(Dense(128, activation='selu', kernel_initializer='lecun_normal')) model.add(Dense(10, activation='softmax')) model.summary()
```

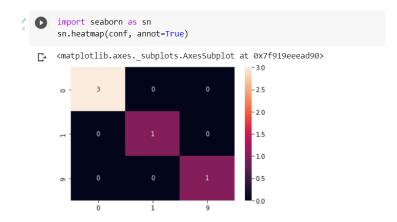
Model: "sequential\_1"

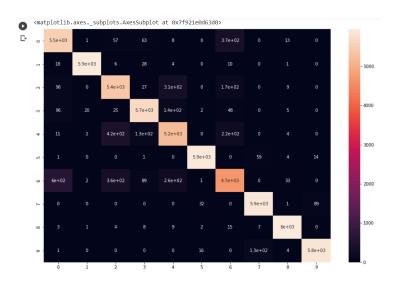
```
Layer (type)
                             Output Shape
                                                        Param #
flatten 1 (Flatten)
                             (None, 784)
dense 1 (Dense)
                             (None, 256)
                                                        200960
batch normalization_1 (Batc (None, 256)
                                                        1024
hNormalization)
dense 2 (Dense)
                             (None, 128)
                                                        32896
batch normalization 2 (Batc (None, 128)
                                                        256
hNormalization)
dense 3 (Dense)
                             (None, 10)
                                                        1290
Total params: 236,426
Trainable params: 235,658
Non-trainable params: 768
```

[ ] model.compile(loss='categorical\_crossentropy',optimizer='nadam',metrics=['accuracy']) results=model.fit(x\_train, y\_train, batch\_size=32, epochs=10, validation\_split=0.1)

```
Epoch 1/10
1688/1688 [============] - 17s 8ms/step - loss: 0.4747 - accuracy: 0.8305 - val loss: 0.3974 - val accuracy: 0.8565
Epoch 2/10
1688/1688 [========] - 13s 8ms/step - loss: 0.3655 - accuracy: 0.8673 - val loss: 0.3781 - val accuracy: 0.8663
Epoch 3/10
Epoch 4/10
1688/1688 [===========] - 13s 8ms/step - loss: 0.3010 - accuracy: 0.8888 - val loss: 0.3273 - val accuracy: 0.8803
Epoch 5/10
1688/1688 [============] - 13s 8ms/step - loss: 0.2800 - accuracy: 0.8964 - val loss: 0.3134 - val accuracy: 0.8882
Epoch 6/10
1688/1688 [============] - 13s 8ms/step - loss: 0.2635 - accuracy: 0.9024 - val loss: 0.3180 - val accuracy: 0.8853
Epoch 7/10
Epoch 8/10
1688/1688 [============] - 13s 8ms/step - loss: 0.2387 - accuracy: 0.9104 - val loss: 0.3086 - val accuracy: 0.8863
Epoch 9/10
Epoch 10/10
1688/1688 [===========] - 13s 8ms/step - loss: 0.2163 - accuracy: 0.9188 - val loss: 0.3413 - val accuracy: 0.8848
```

```
import pandas as pd
[12] pred = model.predict(x train[0:5,])
     pd.DataFrame(pred).round(2)
[27] categorical_test_labels = pd.DataFrame(y_train[0:5,]).idxmax(axis=1)
     categorical pred = pd.DataFrame(pred).idxmax(axis=1)
[34] from sklearn.metrics import confusion matrix, plot confusion matrix
categorical_pred.tolist()
[30] categorical test labels.tolist()
[31] conf matrix = confusion matrix(categorical test labels.tolist(), categorical pred.tolist())
[32] conf = pd.DataFrame(conf matrix, index = [i for i in (0,1,9)], columns=[i for i in (0,1,9)])
    conf
```





21 / 26

### Dropout

- Dropout은 과대적합이 발생했을 때, 규제화하는 방법
- 각 층의 활성함수 이전 또는 이후에 일정비율의 노드를 임의로 제거하고, 학습을 시키는 방법

•

ullet 일반적으로 10 - 50%를 dropout o

#### Dropout

```
from tensorflow.keras.models import Sequential
 from tensorflow.keras.layers import Flatten, Dense, BatchNormalization, Dropout
 model=Sequential()
 model.add(Flatten(input shape=[28,28]))
 model.add(Dropout(0.1))
 model.add(Dense(256, activation='elu', kernel initializer='he normal'))
 model.add(Dropout(0.1))
 model.add(Dense(128, activation='selu', kernel initializer='lecun normal'))
 model.add(Dense(10, activation='softmax'))
 model.summary()
```

#### Model: "sequential 4"

Layer (type)	Output Shape	Param #
flatten_3 (Flatten)	(None, 784)	0
dropout_1 (Dropout)	(None, 784)	0
dense_4 (Dense)	(None, 256)	200960
dropout_2 (Dropout)	(None, 256)	0
dense_5 (Dense)	(None, 128)	32896
dense_6 (Dense)	(None, 10)	1290

Total params: 235,146

23 / 26

model.compile(loss='categorical\_crossentropy',optimizer='nadam',metrics=['accuracy'])
results=model.fit(x\_train, y\_train, batch\_size=32, epochs=10, validation\_split=0.1)

```
F→ Epoch 1/10
  1688/1688 [============== ] - 10s 6ms/step - loss: 0.4023 - accuracy: 0.8533 - val loss: 0.4400 - val accuracy: 0.8315
  Epoch 3/10
  Fnoch 4/10
  Epoch 5/10
  1688/1688 [============== ] - 10s 6ms/step - loss: 0.3291 - accuracy: 0.8778 - val loss: 0.3381 - val accuracy: 0.8783
  Epoch 6/10
  Epoch 7/10
  1688/1688 [============= ] - 10s 6ms/step - loss: 0.3044 - accuracy: 0.8863 - val loss: 0.3166 - val accuracy: 0.8830
  Fnoch 8/10
  Epoch 9/10
  1688/1688 [============== ] - 10s 6ms/step - loss: 0.2841 - accuracy: 0.8928 - val loss: 0.3113 - val accuracy: 0.8902
  Epoch 10/10
  1688/1688 [============== ] - 10s 6ms/step - loss: 0.2802 - accuracy: 0.8936 - val loss: 0.3319 - val accuracy: 0.8803
```

### Regularization

- 과대적합이 발생했을 때, 가장 확실한 방법 중 하나는 규제화 (regularization)를 통한 은닉층의 모수를 줄이는 것
  - •
  - 0

# $L_1$ , $L_2$ 규제화