

# COMP2602 Computer Networks

## Chapter 2

### Application Layer

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*Computer Networking:  
A Top Down Approach  
Featuring the Internet,  
Jim Kurose, Keith Ross  
Addison-Wesley, 2022*

# Chapter 2: Application Layer

## Our goals:

- ❑ conceptual, implementation aspects of network application protocols
  - transport-layer service models
  - client-server paradigm
  - peer-to-peer paradigm
- ❑ learn about protocols by examining popular application-level protocols
  - HTTP—Hypertext Transfer Protocol
  - FTP
  - SMTP / POP3 / IMAP
  - DNS
- ❑ programming network applications
  - socket API

SMTP—Simple Mail Transfer Protocol, POP3—Post Office Protocol, IMAP—Internet Message Access Protocol

# Network applications: some jargon

**Process:** program running within a host.

- ❑ within same host, two processes communicate using **interprocess communication** (defined by OS).
- ❑ processes running in different hosts communicate with an **application-layer protocol**

**user agent:** interfaces with user "above" and network "below".

- ❑ implements user interface & application-level protocol
  - Web: browser
  - E-mail: mail reader
  - streaming audio/video: media player

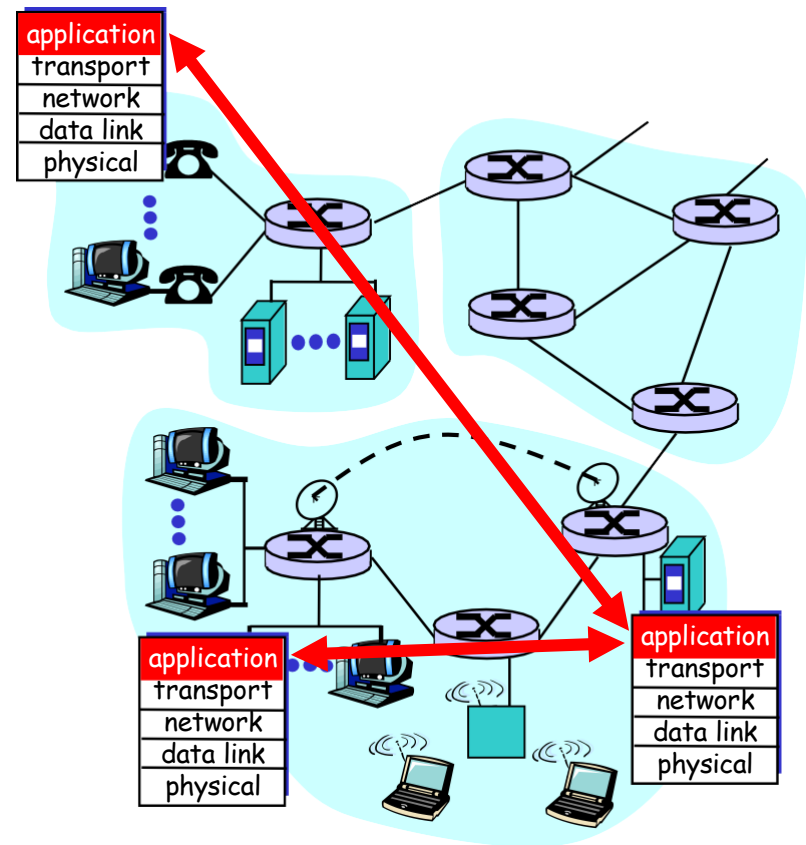
# Applications and application-layer protocols

## Application: communicating, distributed processes

- e.g., e-mail, Web, P2P file sharing, instant messaging
- running in end systems (hosts)
- exchange messages to implement application

## Application-layer protocols

- one "piece" of an app
- define messages exchanged by apps and actions taken
- use communication services provided by lower layer protocols (TCP, UDP)



# App-layer protocol defines

- ❑ Types of messages exchanged, eg, request & response messages
- ❑ Syntax of message types: what fields in messages & how fields are delineated
- ❑ Semantics of the fields, ie, meaning of information in fields
- ❑ Rules for when and how processes send & respond to messages

## Public-domain protocols:

- ❑ defined in RFCs
- ❑ allows for interoperability
- ❑ eg, HTTP, SMTP

## Proprietary protocols:

- ❑ eg, BitTorrent

# Client-server paradigm

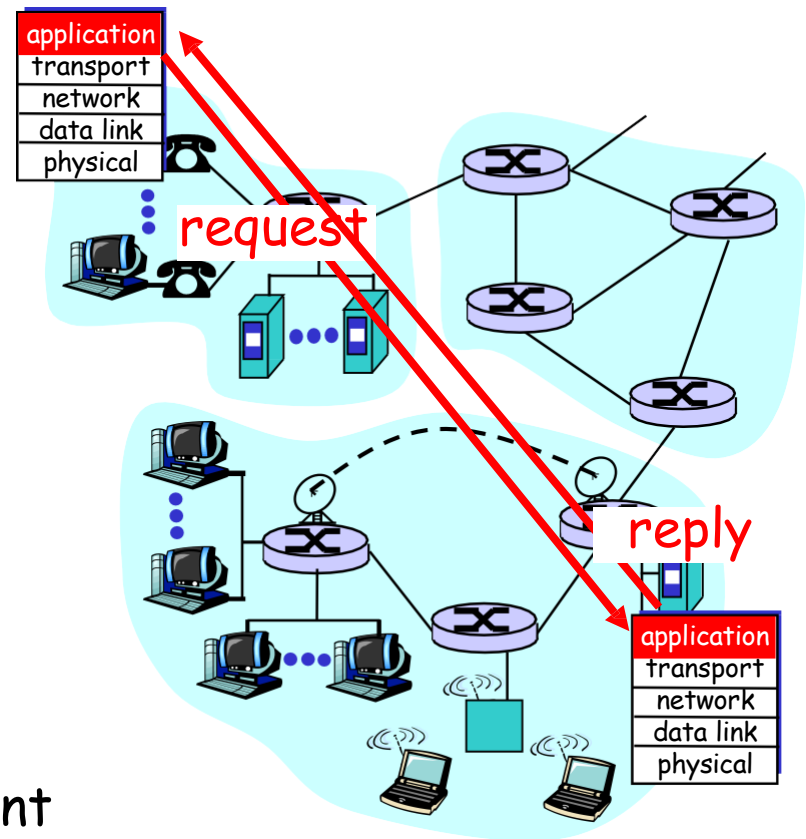
Typical network app has two pieces: *client* and *server*

## Client:

- initiates contact with server ("speaks first")
- typically requests service from server,
- Web: client implemented in browser; e-mail: in mail reader

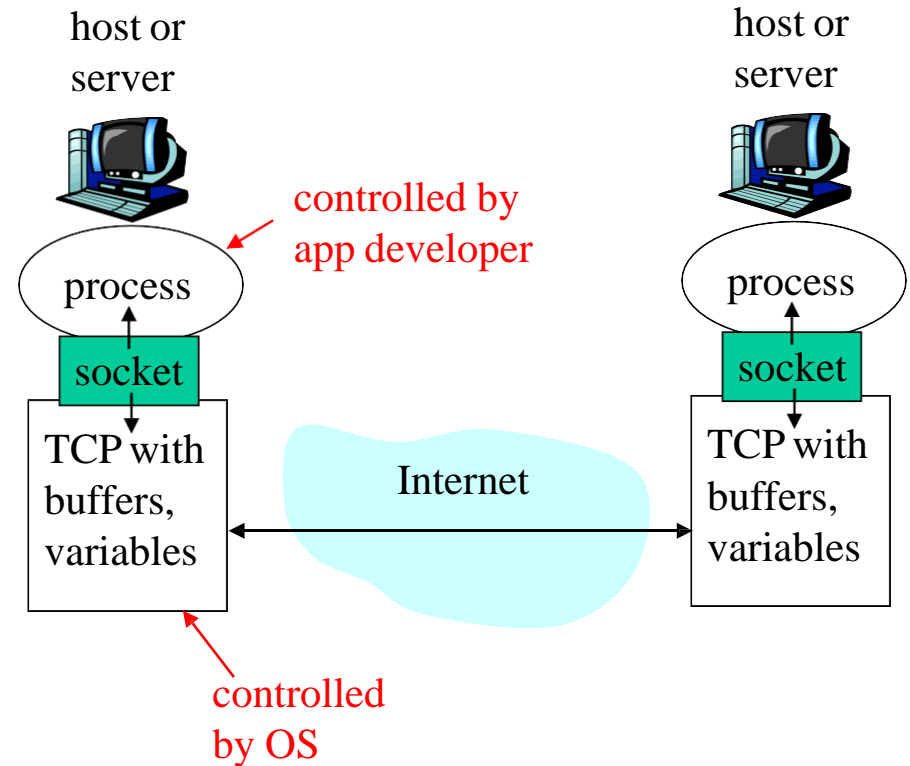
## Server:

- provides requested service to client
- e.g., Web server sends requested Web page, mail server delivers e-mail



# Processes communicating across network

- ❑ process sends/receives messages to/from its socket
- ❑ socket analogous to door
  - sending process shoves message out door
  - sending process assumes transport infrastructure on other side of door which brings message to socket at receiving process
- ❑ API: (1) choice of transport protocol; (2) ability to fix a few parameters (lots more on this later)



# Addressing processes:

- ❑ For a process to receive messages, it must have an identifier
- ❑ Every host has a unique 32-bit IP address
- ❑ **Q:** does the IP address of the host on which the process runs suffice for identifying the process?
- ❑ **Answer:** No, many processes can be running on same host
- ❑ Identifier includes both the IP address and **port numbers** associated with the process on the host.
- ❑ Example port numbers:
  - HTTP server: 80
  - Mail server: 25
- ❑ **More on this later**



# What transport service does an app need?

## Data loss

- ❑ some apps (e.g., audio) can tolerate some loss
- ❑ other apps (e.g., file transfer, telnet) require 100% reliable data transfer

## Timing

- ❑ some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

## Bandwidth

- ❑ some apps (e.g., multimedia) require minimum amount of bandwidth to be "effective"
- ❑ other apps ("elastic apps") make use of whatever bandwidth they get

## Transport service requirements of common apps

Application	Data loss	Bandwidth	Time Sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video: 10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
instant messaging	no loss	elastic	yes and no

# Internet transport protocols services

## TCP service:

- ❑ *connection-oriented*: setup required between client and server processes
- ❑ *reliable transport* between sending and receiving process
- ❑ *flow control*: sender won't overwhelm receiver
- ❑ *congestion control*: throttle sender when network overloaded
- ❑ *does not providing*: timing, minimum bandwidth guarantees

## UDP service:

- ❑ unreliable data transfer between sending and receiving process
- ❑ does not provide: connection setup, reliability, flow control, congestion control, timing, or bandwidth guarantee

Q: why bother? Why is there a UDP?

# Internet apps: application, transport protocols

Application	Application layer protocol	Underlying transport protocol
e-mail	SMTP [RFC 5321]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	HTTP (e.g. YouTube)	TCP
Internet telephony	SIP, RTP or proprietary (e.g., Skype)	UDP or TCP

**Session Initiation Protocol (SIP)** is a signaling **protocol** used for initiating, maintaining, modifying and terminating real-time sessions that involve video, voice, messaging and other communications applications and services between two or more endpoints on IP networks (WhatIs.com). **The Real-Time Transport Protocol (RTP)** is an Internet **protocol** standard that specifies a way for programs to manage the real-time transmission of multimedia data over either unicast or multicast network services. (techtarget)

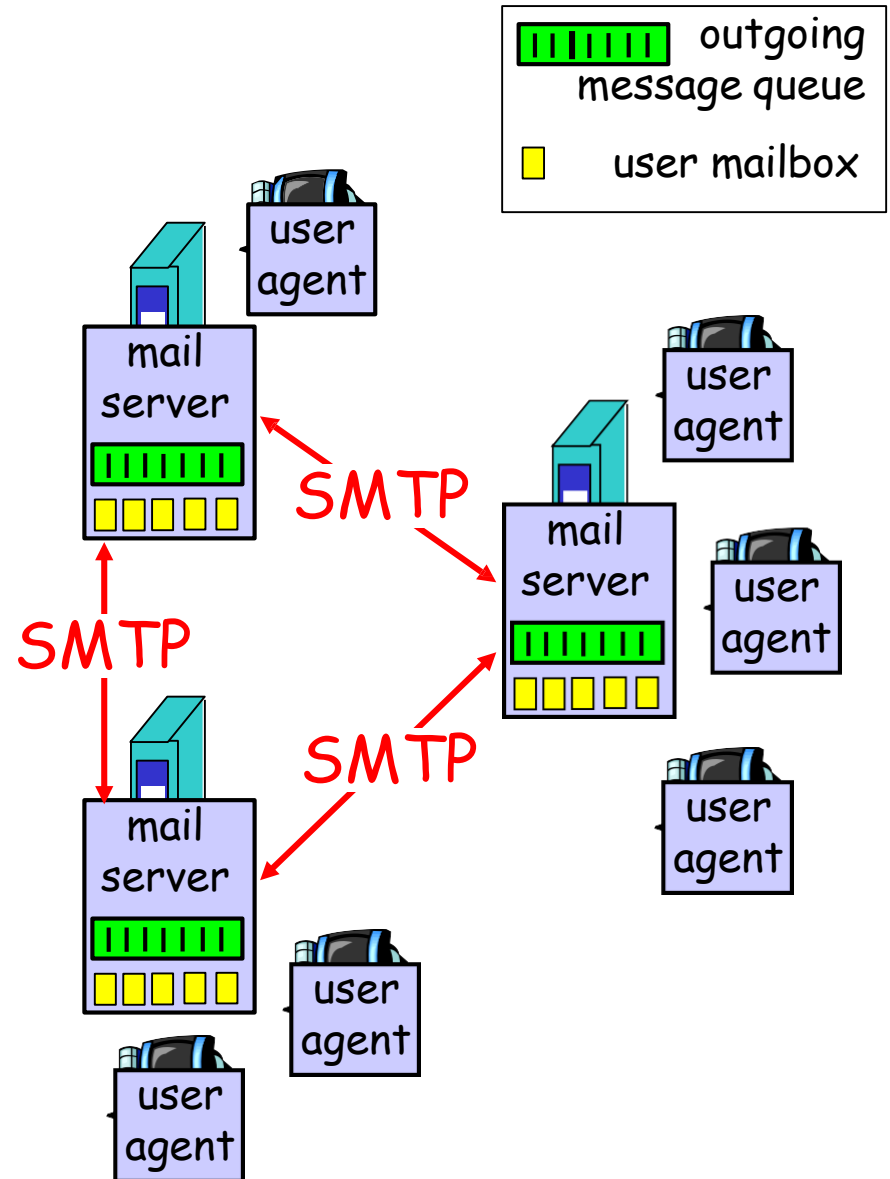
# Electronic Mail

## Three major components:

- ❑ user agents
- ❑ mail servers
- ❑ simple mail transfer protocol: SMTP

## User Agent

- ❑ a.k.a. "mail reader"
- ❑ composing, editing, reading mail messages
- ❑ e.g., Eudora, Outlook, elm
- ❑ outgoing, incoming messages stored on server



# Sample SMTP interaction

At start, telnet to server.

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

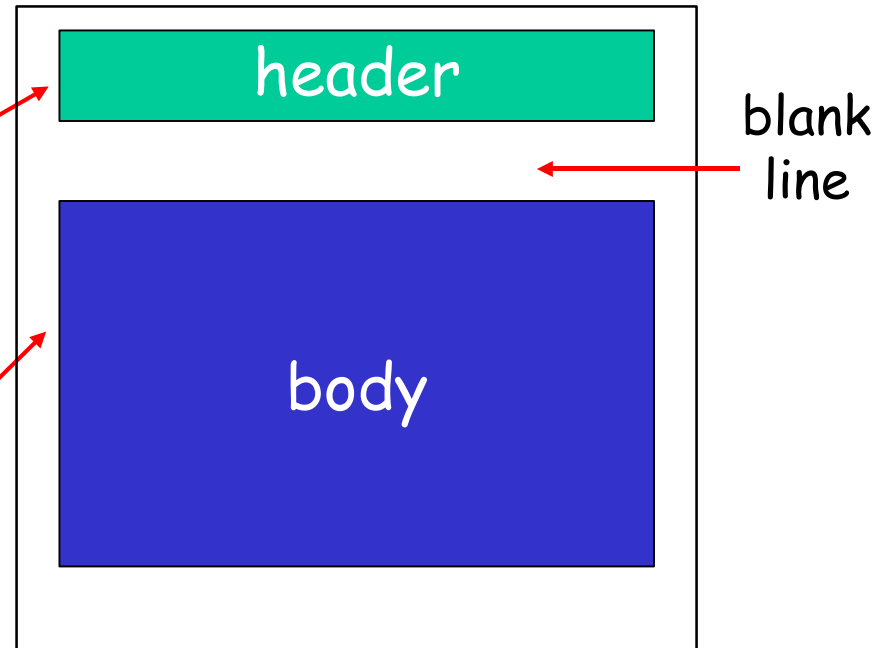
Student: How  
can this be  
programmed?  
Give ideas.

# Mail message format

SMTP: protocol for exchanging email msgs

RFC 822: standard for text message format (so that receiving UA can render the email):

- ❑ header lines, e.g.,
  - To:
  - From:
  - Subject:*different from SMTP commands!*
- ❑ body
  - the "message", ASCII characters only



# Message format: multimedia extensions

- ❑ MIME: multimedia mail extension, RFC 2045, 2056
- ❑ additional lines in msg header declare MIME content type

MIME version

method used  
to encode data

multimedia data  
type, subtype,  
parameter declaration

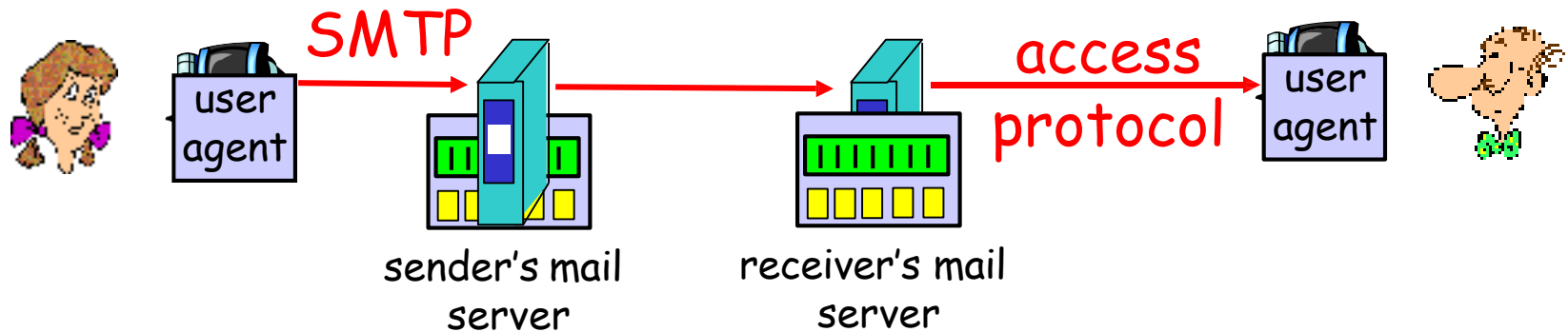
encoded data

```
From: alice@crepes.fr
To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Transfer-Encoding: base64
Content-Type: image/jpeg

base64 encoded data .....
.....
.....base64 encoded data
```



# Mail access protocols



- ❑ SMTP: delivery/storage to receiver's server
- ❑ Mail access protocol: retrieval from server
  - POP: Post Office Protocol [RFC 1939]
    - authorization (agent <-->server) and download
  - IMAP: Internet Mail Access Protocol [RFC 1730]
    - more features (more complex)
    - manipulation of stored msgs on server
  - HTTP: Hotmail , Yahoo! Mail, etc.

# POP3 protocol

## authorization phase

- ❑ client commands:
  - user: declare username
  - pass: password
- ❑ server responses
  - +OK
  - -ERR

```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on
```

## transaction phase, client:

- ❑ list: list message numbers
- ❑ retr: retrieve message by number
- ❑ dele: delete
- ❑ quit

```
C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 2 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

# POP3 (more) and IMAP

## More about POP3

- ❑ Previous example uses “download and delete” mode.
- ❑ Bob cannot re-read e-mail if he changes client
- ❑ “Download-and-keep”: copies of messages on different clients
- ❑ POP3 is stateless across sessions

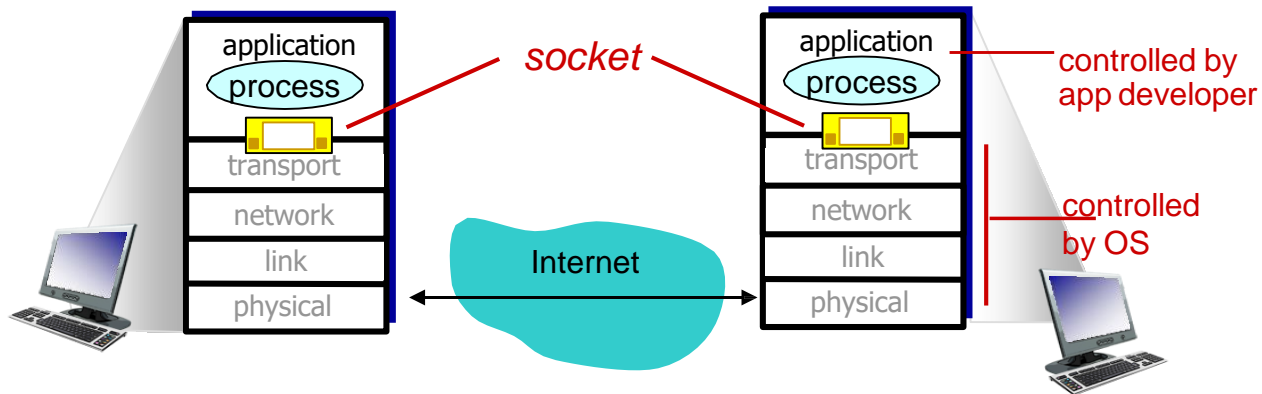
## IMAP

- ❑ Keep all messages in one place: the server
- ❑ Allows user to organize messages in folders
- ❑ IMAP keeps user state across sessions:
  - names of folders and mappings between message IDs and folder name

# Socket programming

*goal:* learn how to build client/server applications that communicate using sockets

*socket:* door between application process and end-end-transport protocol



# Socket programming

*Two socket types for two transport services:*

- *UDP*: unreliable datagram
- *TCP*: reliable, byte stream-oriented

*Application Example:*

1. Client reads a line of characters (data) from its keyboard and sends the data to the server.
2. The server receives the data and converts characters to uppercase.
3. The server sends the modified data to the client.
4. The client receives the modified data and displays the line on its screen.

# Socket programming *with UDP*

UDP: no “connection” between client & server

- ❑ no handshaking before sending data
- ❑ sender explicitly attaches IP destination address and port # to each packet
- ❑ rcvr extracts sender IP address and port# from received packet

UDP: transmitted data may be lost or received out-of-order

Application viewpoint:

- ❑ UDP provides *unreliable* transfer of groups of bytes (“datagrams”) between client and server

# Client/server socket interaction: UDP

datagram  
service  
(SOCK\_DGRAM)

server (running on serverIP)

create socket, port= x:  
**serverSocket =**  
**socket(AF\_INET,SOCK\_DGRAM)**

IP address  
family  
(**AF\_INET**)

read datagram from  
**serverSocket**

write reply to  
**serverSocket**  
specifying  
client address,  
port number

client

create socket:  
**clientSocket =**  
**socket(AF\_INET,SOCK\_DGRAM)**

Create datagram with server IP and  
port=x; send datagram via  
**clientSocket**

read datagram from  
**clientSocket**

close  
**clientSocket**

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## What are Sockets?

Sockets are the endpoints of a bidirectional communications channel. Sockets may communicate within a process, between processes on the same machine, or between processes on different continents.

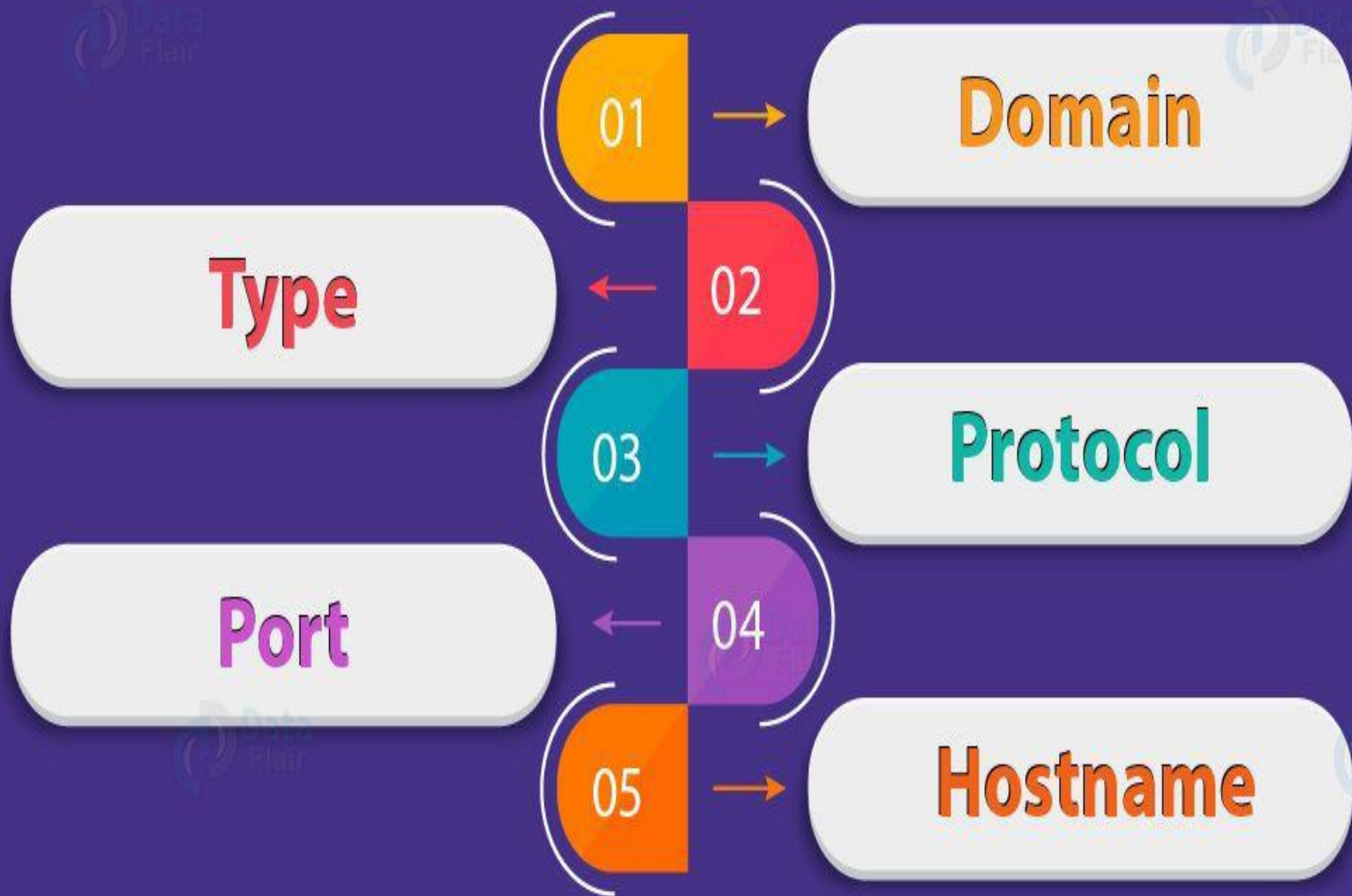
Sockets may be implemented over a number of different channel types: Unix domain sockets, **TCP, UDP**, and so on. The *socket* library provides specific classes for handling the common transports as well as a generic interface for handling the rest.

[https://www.tutorialspoint.com/python/python\\_networking.htm](https://www.tutorialspoint.com/python/python_networking.htm)





# PYTHON SOCKET VOCABULARY



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1

## Domain

The family of protocols that is used as the transport mechanism. These values are constants such as **AF\_INET**, PF\_INET, PF\_UNIX, PF\_X25, and so on.

2

## type

The type of communications between the two endpoints, typically **SOCK\_STREAM** for connection-oriented protocols and **SOCK\_DGRAM** for connectionless protocols.

3

## protocol

Typically zero, this may be used to identify a variant of a protocol within a domain and type.

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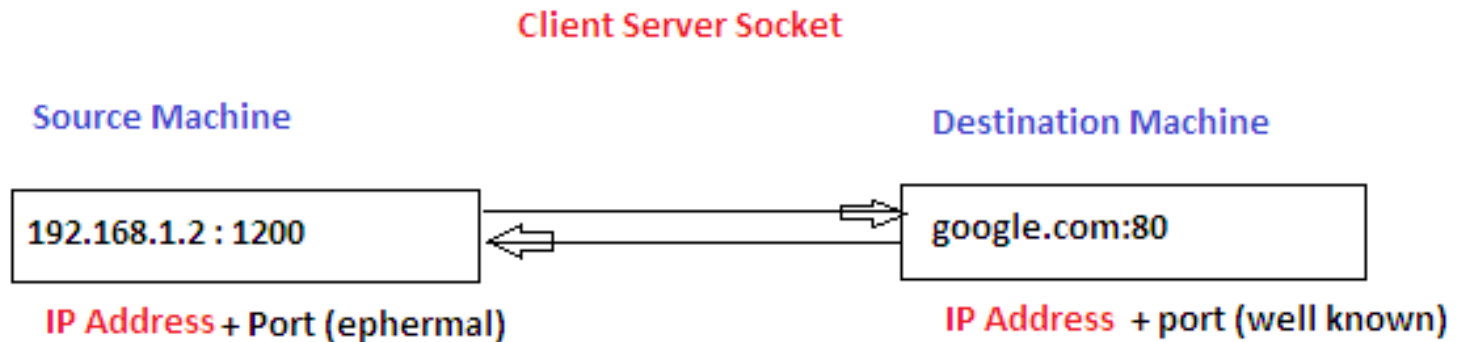
4

**hostname**

The identifier of a network interface

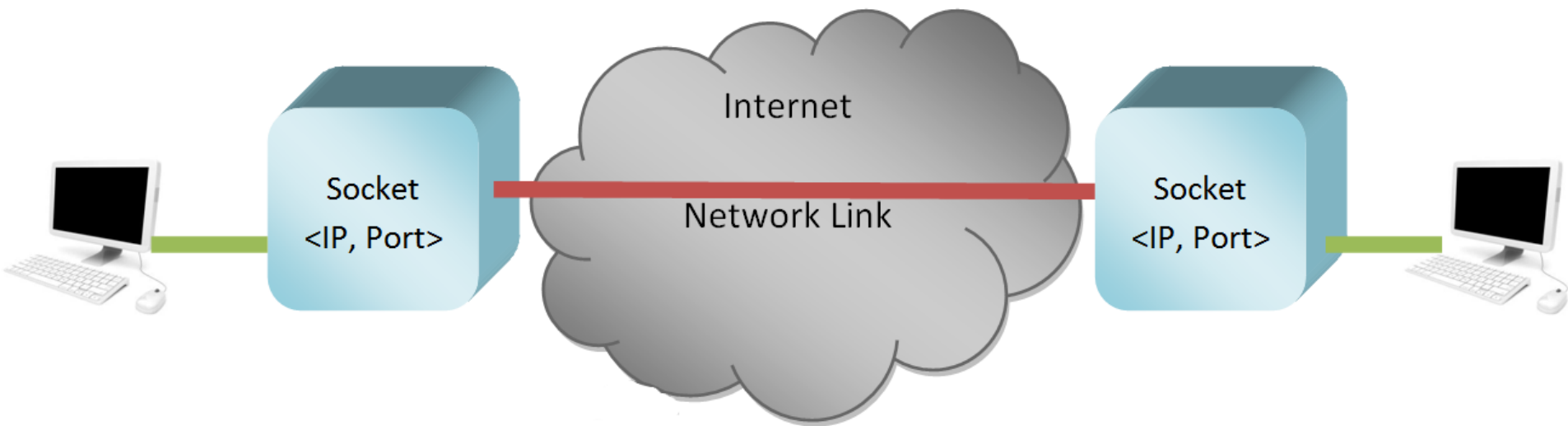
## 5 port

Each server listens for clients calling on one or more ports.



\*ephemeral-lasting for a short time

# Socket



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## The socket Module

To create a socket, you must use the `socket.socket()` function available in socket module, which has the general syntax –

```
s = socket.socket (socket_family, socket_type, protocol=0)
```

Here is the description of the parameters –

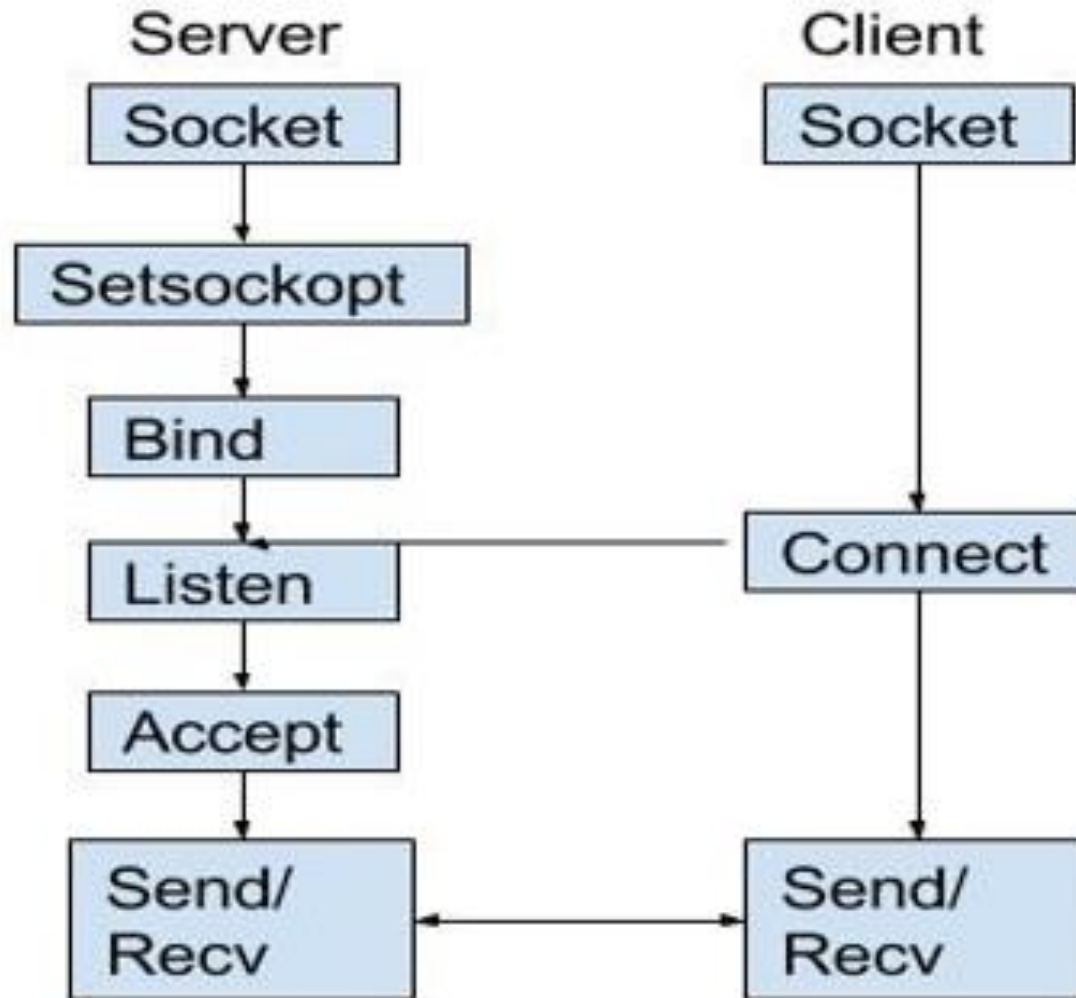
`socket_family` – This is either `AF_UNIX` or `AF_INET`, as explained earlier.

`socket_type` – This is either `SOCK_STREAM` or `SOCK_DGRAM`.

`protocol` – This is usually left out, defaulting to 0.

Once you have socket object, then you can use required functions to create your client or server program. Following is the list of functions required –

# TCP socket diagram



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## Server Socket Methods (MAINLY TCP)

Sr.No.	Method & Description
--------	----------------------

1	
---	--

`s.bind()`

This method binds address (hostname, port number pair) to socket.

2	
---	--

`s.listen()`

This method sets up and start TCP listener.

3	
---	--

`s.accept()`

This passively accept TCP client connection, waiting until connection arrives (blocking).



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## Client Socket Methods

Sr.No.	Method & Description
--------	----------------------

1	
---	--

	<b>s.connect()</b>
--	--------------------

This method actively initiates TCP server connection.

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## General Socket Methods

Sr.No.    Method & Description

1

`s.recv()`

This method receives **TCP message**

2

`s.send()`

This method transmits **TCP message**

3

`s.recvfrom()`

This method receives **UDP message**

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```
4  
s.sendto()
```

This method transmits **UDP message**

```
5  
s.close()
```

This method closes socket

```
6  
socket.gethostname()
```

Returns the hostname.

[https://www.tutorialspoint.com/python/python\\_networking.htm](https://www.tutorialspoint.com/python/python_networking.htm)

# Example app: UDP client

## Documentation

<https://docs.python.org/>

### Python UDPClient

include Python's socket library →

```
from socket import *
serverName = 'localhost'
serverPort = 12000
```

create UDP socket for server →

```
clientSocket = socket(socket.AF_INET,
                      socket.SOCK_DGRAM)
```

get user keyboard input →

```
message = input('Input lowercase sentence:') #string
```

Attach server name, port to message; send into socket →

```
clientSocket.sendto(message, (serverName, serverPort))
```

read reply characters from socket into string →

```
modifiedMessage, serverAddress =
```

print out received string and close socket →

```
clientSocket.recvfrom(2048)
print modifiedMessage
clientSocket.close()
```

address

`input([<prompt>])` If the prompt argument is present, it is written to standard output without a trailing newline. The function then reads a line from input, converts it to a string (stripping a trailing newline), and returns that. `socket.sendto(string, address)` Send data to the socket. The socket should not be connected to a remote socket, since the destination socket is specified by address. `socket.recvfrom(bufsize)` Receive data from the socket. The return value is a pair (string, address) where string is a string representing the data received and address is the address of the socket sending the data.

# Example app: UDP server

## *Python UDPServer*

```
from socket import *
serverPort = 12000
serverSocket = socket(AF_INET, SOCK_DGRAM)
serverSocket.bind(('', serverPort))
print "The server is ready to receive"
while 1:
    message, clientAddress = serverSocket.recvfrom(2048)
    modifiedMessage = message.upper()
    serverSocket.sendto(modifiedMessage, clientAddress)
```

create UDP socket →

bind socket to local port number 12000 →

loop forever →

Read from UDP socket into message, getting client's address (client IP and port)  
send upper case string back to this client →

`socket.bind(address)`. Bind the socket to *address*.

python.org

`socket.recvfrom(bufsize[, flags])`

Receive data from the socket. The return value is a pair (bytes, address) where bytes is a bytes object representing the data received and address is the address of the socket sending the data.

# Socket programming *with TCP*

## *client must contact server*

- ❑ server process must first be running
- ❑ server must have created socket (door) that welcomes client's contact

## *client contacts server by:*

- ❑ Creating TCP socket, specifying IP address, port number of server process
- ❑ *when client creates socket:* client TCP establishes connection to server TCP

- ❑ when contacted by client, *server TCP creates new socket* for server process to communicate with that particular client
  - allows server to talk with multiple clients
  - source port numbers used to distinguish clients (more in Chap 3)

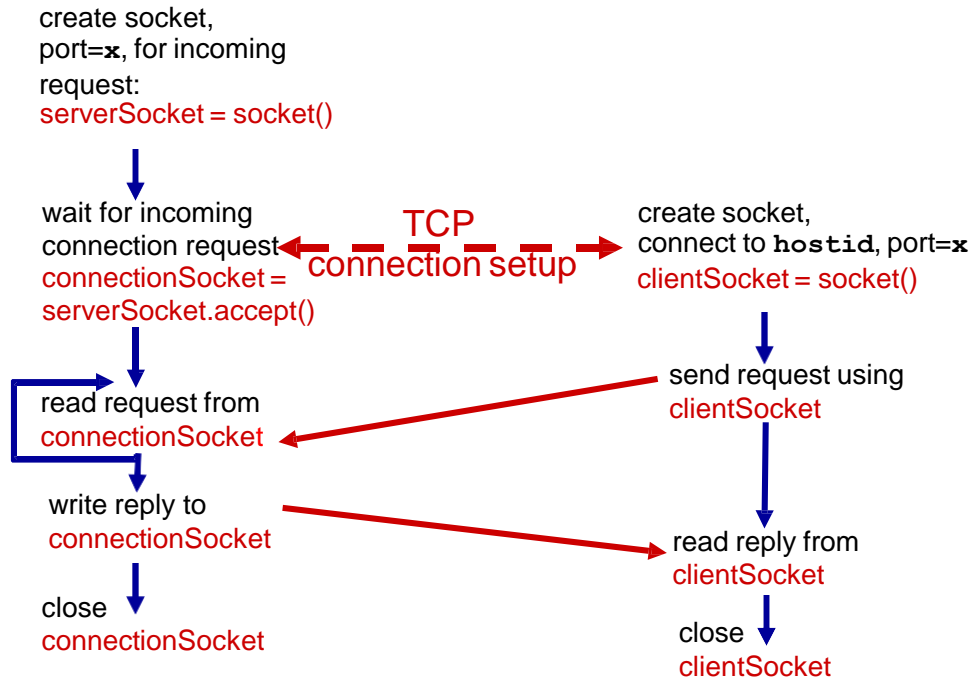
## *application viewpoint:*

TCP provides reliable, in-order byte-stream transfer (“pipe”) between client and server

# Client/server socket interaction: TCP

server (running on `hostid`)

client



## Example app:TCP client

### *Python TCPClient*

create TCP socket for  
server, remote port  
12000

No need to attach server  
name, port

```
from socket import *
serverName = 'servername'
serverPort = 12000
clientSocket = socket(AF_INET, SOCK_STREAM)
clientSocket.connect((serverName, serverPort))
sentence = input('Input lowercase sentence:')
clientSocket.send(sentence)
modifiedSentence = clientSocket.recv(1024)
print 'From Server:', modifiedSentence
clientSocket.close()
```

`socket.recv(bufsize)` Receive data from the socket. The return value is a string representing the data received. The maximum amount of data to be received at once is specified by `bufsize`.



# Example app: TCP server

## *Python TCPServer*

create TCP welcoming  
socket

server begins listening for  
incoming TCP requests

loop forever

server waits on accept()  
for incoming requests, new  
socket created on return

read bytes from socket (but  
not address as in UDP)

close connection to this  
client (but *not* welcoming  
socket)

```
from socket import *
serverPort = 12000
serverSocket = socket(AF_INET, SOCK_STREAM)
serverSocket.bind(('', serverPort))
serverSocket.listen(1)
print 'The server is ready to receive'
while 1:
    connectionSocket, addr = serverSocket.accept()
    sentence = connectionSocket.recv(1024)
    capitalizedSentence = sentence.upper()
    connectionSocket.send(capitalizedSentence)
    connectionSocket.close()
```

listen(1)  
-the length of the  
backlog queue

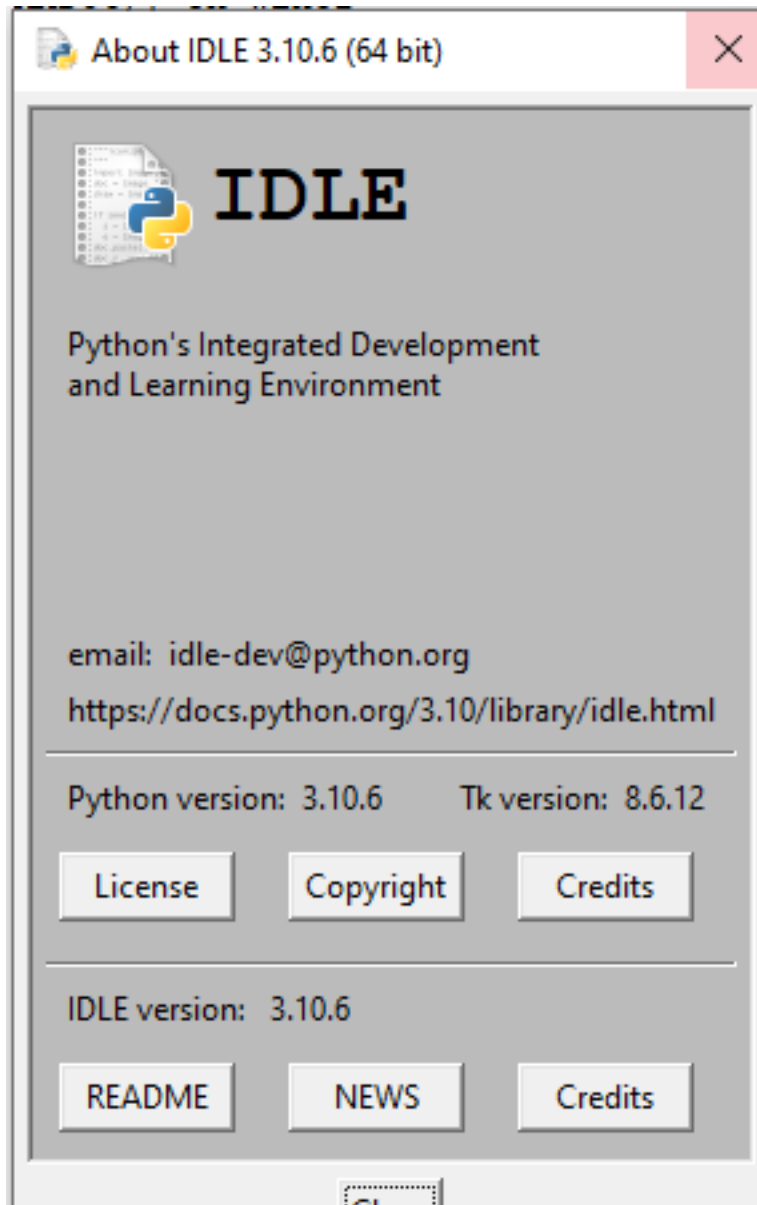
## Other info

- Demo python UDP client/server
- Demo python TCP client/server
- Lecture exercise (networking problem)+solution and demo
- Students to study/research materials and use My Elearning references

### Assignment with extra time

- Tutorials to reinforce concepts
- \*Lecture code to be provided on My Elearning. All students therefore have starting code for client/server programming.
- \*Research is definitely necessary while programming python solutions

## Software



Version may not  
be exact

You need to  
learn to write  
Python network  
Programming  
code