

Geometry of Drinfeld Modular Forms

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Notation

q - a power of an odd prime.

K - the function field of some smooth, connected, projective curve over a field of characteristic q , e.g. \mathbb{P}^1

Classical Setting	Function Field
\mathbb{Z}	$A \stackrel{\text{def}}{=} \mathbb{F}_q[T]$
\mathbb{Q}	$K \stackrel{\text{def}}{=} \mathbb{F}_q(T)$
\mathbb{R}	$K_\infty \stackrel{\text{def}}{=} \mathbb{F}_q\left(\left(\frac{1}{T}\right)\right)$
\mathbb{C}	$C \stackrel{\text{def}}{=} \widehat{K_\infty}$
$\mathcal{H} = \{a + bi \in \mathbb{C} : b > 0\}$	$\Omega \stackrel{\text{def}}{=} C - K_\infty$
$\mathrm{SL}_2(\mathbb{Z}) \setminus \mathcal{H}$	$\mathrm{GL}_2(A) \setminus \Omega$
$\begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \frac{az+b}{cz+d}$	

The classical thing we want to analogize

Let $\Gamma \leq \mathrm{PSL}_2(\mathbb{R})$ be a Fuchsian group with finite coarea. Let $\mathcal{X}(\Gamma)$ denote the stacky curve over \mathbb{C} which is the algebraization of the compactified orbifold quotient $X = \Gamma \backslash \mathcal{H}^{(*)}$. We know (e.g. [VZB22, Chapter 6])

$$M(\Gamma) \stackrel{\text{def}}{:=} \bigoplus_{k \geq 0} M_k(\Gamma) \xrightarrow{\sim} \bigoplus_{k \geq 0} H^0(\mathcal{X}_\Gamma, \Omega_{\mathcal{X}_\Gamma}^1(\Delta)^{\otimes k/2}) \stackrel{\text{def}}{=} R(\mathcal{X}_\Gamma; \Delta),$$
$$f \mapsto fdz^{\otimes k/2}$$

[Gek86, page 13]:

in § 4. It would be desirable to have a description by generators and relations, where the generating modular forms should have an elementary interpretation by means of Drinfeld modules. In § 5, the genera of

Why Stacks? What are Stacks?

Modular forms are **always** sections of a line bundle.

However,

$$H^0(X, L^{\otimes k}) \neq M_k(\Gamma) \quad \text{and} \quad R(X; L) \neq M(\Gamma),$$

where

$$\begin{cases} X & = \text{moduli scheme,} \\ L & = \text{appropriate line bundle,} \\ M & = \text{vector space of modular forms.} \end{cases}$$

So, **what are stacks?**

1-category	2-category
functor / pre-sheaf	fibred category
separated pre-sheaf	pre-stack
sheaf	stack
algebraic space / scheme	algebraic stack
variety	algebraic stack of finite type over a field

So, what are stacks?

Definition

A **stacky curve** over an algebraically closed field \mathbb{K} is:

- a smooth, integral, proper, scheme X of dimension 1, together with
- a finite number of closed points P_1, \dots, P_r called **stacky points** with stabilizer orders $e_1, \dots, e_r \in \mathbb{Z}_{\geq 2}$.

Example ([Lau96, Corollary 1.4.3])

The moduli space \mathcal{M}_A^2 of rank 2 Drinfeld modules with no level structure is known to be a Deligne-Mumford algebraic stack of finite type over \mathbb{F}_p .

Stacky Curves 101

Let \mathcal{X} denote a stacky curve with **signature** $\sigma = (g; e_1, \dots, e_r)$. We say that $D \in \text{Div}(\mathcal{X})$ has

$$\deg(D) = \lfloor D \rfloor = \left\lfloor \sum_i a_i P_i \right\rfloor \stackrel{\text{def}}{=} \sum_i \lfloor a_i \rfloor \pi(P_i),$$

where $\pi : \mathcal{X} \rightarrow X$ is the coarse space morphism. The **(log) canonical ring of $(\mathcal{X}; \Delta)$** is

$$R(\mathcal{X}; \Delta) = \bigoplus_{d \geq 0} H^0(\mathcal{X}, d(K_{\mathcal{X}} + \Delta)),$$

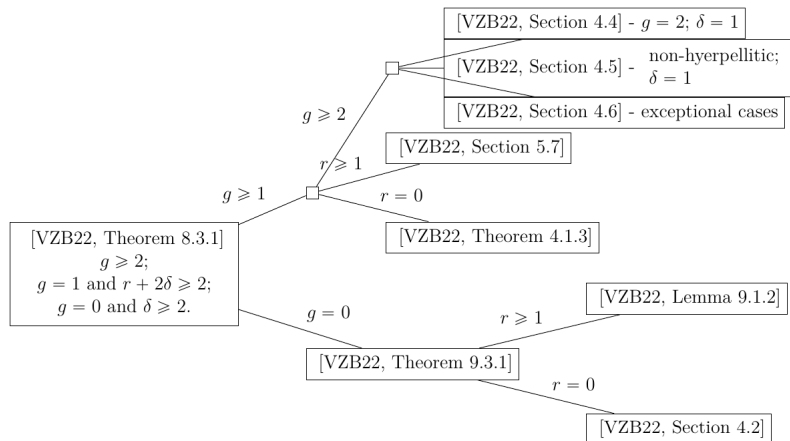
where

$$K_{\mathcal{X}} \sim K_X + \left(\sum_{i=1}^r \frac{1}{e_i} P_i \right),$$

is a **canonical divisor** of \mathcal{X} and $\Delta = \sum_j Q_j \in \text{Div}(\mathcal{X})$ is a **log divisor**.

Computing the Canonical Ring of a Stacky Curve

[VZB22] gives an inductive presentation of $R(\mathcal{X})$ for \mathcal{X} with $\sigma = (g; e_1, \dots, e_r)$ in terms of $R(\mathcal{X}')$ with $\sigma' = (g; e_1, \dots, e_{r-1})$:



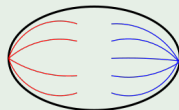
Example (Goss and Gekeler's famous $GL_2(A)$ -forms)

- g of weight $q - 1$ and type 0,
- Δ of weight $q^2 - 1$ and type 0,
- h of weight $q + 1$ and type 1.

$$\bigoplus_{k \geq 0} M_{k,0}(GL_2(A)) = C[g, \Delta] \quad \text{and} \quad \bigoplus_{\substack{k \geq 0 \\ l \pmod{q-1}}} M_{k,l}(GL_2(A)) = C[g, h].$$

Example (Stacky j -line)

$\mathcal{X}_{GL_2(A)} \cong \mathbb{P}^1(q-1, q+1)$ is a **football** (see e.g. [VZB22, 5.3.14]):



But, $R(\mathcal{X}_{GL_2(A)}) \neq C[g, h].$

What goes “Wrong” in Function Fields

Among other resources, we have:

$$\begin{cases} [\text{Gek01}] & \text{for signatures of Drinfeld modular curves, and} \\ [\text{VZB22}] & \text{for computing canonical rings of stacky curves.} \end{cases}$$

So, **where's our modular forms = sections of a line bundle?**

We will consider:

- weight and type of Drinfeld modular forms;
- exponentials and u -series;
- special congruence groups $\Gamma \leq \text{GL}_2(A)$;
- elliptic points and cusps of Drinfeld modular curves;
- GAGA for rigid analytic stacks.

Drinfeld Modular Forms

Definition

Let $\Gamma \leq \mathrm{GL}_2(A)$ be a congruence subgroup. A **modular form** of **weight** $k \in \mathbb{Z}_{\geq 0}$ and **type** $l \in \mathbb{Z}/((q-1)\mathbb{Z})$ is a map $f : \Omega \rightarrow \mathbb{C}$ such that

1. f is holomorphic on Ω and at the cusps of Γ ;
2. $f(\gamma z) = \det(\gamma)^{-l}(cz + d)^k f(z)$ for all $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$.

Lemma ([Gek88, Remark (5.8.i)])

If $M_{k,l}(\Gamma) \neq 0$, then $k \equiv 2l \pmod{q-1}$.

Proof.

If f is non-zero modular for Γ of weight k and type l then

$$f\left(\begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} z\right) = f\left(\frac{\alpha z}{\alpha}\right) = f(z) = \alpha^k \alpha^{-2l} f(z).$$



“Fourier series” for Drinfeld Modular Forms

Definition

We define a **parameter at infinity**

$$u(z) \stackrel{\text{def}}{=} \frac{1}{e_{\bar{\pi}A}(\bar{\pi}z)} = \frac{1}{\bar{\pi}e_A(z)} = \bar{\pi}^{-1} \sum_{a \in A} \frac{1}{z + a}.$$

Recall:

- $u(\alpha z) = \alpha^{-1} u(z)$ for any $\alpha \in \mathbb{F}_q^\times$.
- u -series coefficients for a Drinfeld modular form uniquely determine the form.

Lemma

$$\frac{de_A(z)}{dz} = 1 \Rightarrow \frac{du}{u^2} = -\bar{\pi}dz, \text{ i.e. the differential } dz \text{ has a double pole at } \infty.$$

Drinfeld modular forms are *sensitive to determinants*, so consider some “friendlier” modular forms for Breuer and Böckle’s special congruence subgroups:

[Bre16] Let $\Gamma_2 \stackrel{\text{def}}{=} \{\gamma \in \Gamma : \det(\gamma) \in (\det \Gamma)^2\}$.
(Suppose $\det \Gamma = (\mathbb{F}_q^\times)^2$.)

[Böckle] Let $\Gamma_1 \stackrel{\text{def}}{=} \{\gamma \in \Gamma : \det(\gamma) = 1\}$. Suppose Γ' is such that $\Gamma_1 \leq \Gamma' \leq \Gamma$.

The subgroups Γ_2 and Γ' may be thought of as the inverse image under $\det : \mathrm{GL}_2(A) \rightarrow \mathbb{F}_q^\times$ of some subgroup of \mathbb{F}_q^\times .

Cusps and Elliptic Points

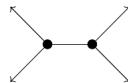
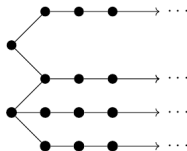
Let $\Gamma \leq \mathrm{GL}_2(A)$ be a congruence subgroup. Let $X_\Gamma^{\mathrm{an}} = \Gamma \backslash (\Omega \cup \mathbb{P}^1(K))$.

Definition

A **cusp** of X_Γ^{an} is a representative for some orbit $\Gamma \backslash \mathbb{P}^1(K)$. A point $e \in X_\Gamma^{\mathrm{an}}$ is an **elliptic point** for Γ if $\mathrm{Stab}_\Gamma(e)$ is strictly larger than: $\mathbb{F}_q^\times \cong \left\{ \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} : \alpha \in \mathbb{F}_q^\times \right\}$.

Example (with thanks to Mihran)

Suppose $x \neq y \in A$ have $\deg(x) = 1 = \deg(y)$. Consider $\Gamma_0(xy) \backslash \mathcal{T}$:

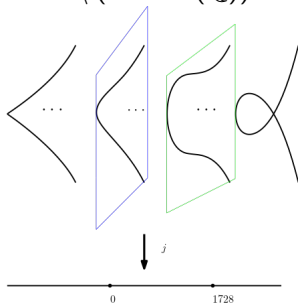


The half-line $\mathrm{GL}_2(A) \backslash \mathcal{T}$ (or $\mathrm{SL}_2(A) \backslash \mathcal{T}$) [GN95] computes $\Gamma_0(xy) \backslash \mathcal{T}$ “layer by layer”

We can “read off” that $\mathcal{X}_{\Gamma_0(xy)}$ has 4 cusps.

Cusps are Elliptic Points

Let $\Gamma^1 \leq \mathrm{SL}_2(\mathbb{Z})$. Consider a cartoon of $\Gamma^1 \setminus (\mathcal{H} \cup \mathbb{P}^1(\mathbb{Q}))$:



$$\Gamma^1 \setminus \mathbb{P}^1(\mathbb{Q}) \leftrightarrow \left(\begin{array}{c} \text{singular} \\ \text{elliptic curves} \end{array} \right),$$

but only elliptic curves with $j = 0$ or 1728 have extra automorphisms.

Let $\Gamma \leq \mathrm{GL}_2(A)$. Consider the moduli $\mathcal{X}_\Gamma = [X_\Gamma / Z(\mathrm{GL}_2(A))]$:

$$\mathrm{Aut}(\varphi) \cong \mathbb{F}_q^\times \quad // \mathbb{F}_q^\times;$$

$$\mathrm{Aut}(\varphi_{(j=0)}) \cong \mathbb{F}_{q^2}^\times \quad // \mathbb{F}_q^\times;$$

$$\mathrm{Aut}(\varphi_{(j=\infty)}) \cong \left\{ \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \right\} \quad // \mathbb{F}_q^\times;$$

so cusps on a stacky Drinfeld modular curve are elliptic points!

Elliptic Points on Stacky Curves

Example (Classical j -line)

- $X(1) = \mathrm{SL}_2(\mathbb{Z}) \backslash (\mathcal{H} \cup \mathbb{P}^1(\mathbb{Q}))$ - the “usual” j -line $\mathbb{P}^1(\mathbb{C})$
- $\overline{\mathcal{M}}_{1,1}$ - DM stack representing the moduli of stable elliptic curves

$\overline{\mathcal{M}}_{1,1}$ is a μ_2 -gerbe over
 $\mathcal{X}(1) = [X(1)/Z(\mathrm{SL}_2(\mathbb{Z}))]$, i.e.
 $\mathcal{X}(1)$ is a rigidification $\overline{\mathcal{M}}_{1,1} // \mu_2$:

$$\overline{\mathcal{M}}_{1,1} \xrightarrow{\pi} \mathcal{X}(1) \rightarrow X(1)$$

$$\mathbb{P}^1(4,6) \xrightarrow{\pi} \mathbb{P}^1(2,3) \rightarrow \mathbb{P}^1(\mathbb{C}) .$$

Example (Drinfeld j -line)

- $X(1) = \mathrm{GL}_2(A) \backslash (\Omega \cup \mathbb{P}^1(K))$ - the “usual” j -line $\mathbb{P}^1(C)$
- \mathcal{M}_A^2 - DM stack representing the moduli of rank 2 Drinfeld modules with no level structure

\mathcal{M}_A^2 is a μ_{q-1} -gerbe over
 $\mathcal{X}(1) = [X(1)/Z(\mathrm{GL}_2(A))]$, i.e.
 $\mathcal{X}(1)$ is a rigidification $\mathcal{M}_A^2 // \mu_{q-1}$:

$$\mathcal{M}_A^2 \xrightarrow{\pi} \mathcal{X}(1) \rightarrow X(1)$$

$$\begin{aligned} \mathbb{P}^1((q-1)^2, q^2-1) &\xrightarrow{\pi} \\ &\rightarrow \mathbb{P}^1(q-1, q+1) \rightarrow \mathbb{P}^1(C) . \end{aligned}$$

Theorem

Let A be a k -affinoid algebra, for k some non-archimedean field.

([PY16, Lemma 7.2]) Let \mathcal{X} be an algebraic stack locally of finite presentation over $\mathrm{Spec}(A)$. Suppose that for $\mathcal{F} \in \mathcal{O}_{\mathcal{X}} - \mathrm{Mod}$ we have

$$\mathcal{F} \cong \varinjlim_{\tau \geq -n} \mathcal{F}.$$

Then the **analytification functor** $(-)^{\mathrm{an}}$ commutes with this limit.

([PY16, Theorems 7.4 and 7.5]) Let \mathcal{X} be a proper algebraic stack over $\mathrm{Spec}(A)$. The analytification functor on coherent sheaves induces an equivalence of categories

$$\mathrm{Coh}(\mathcal{X}) \xrightarrow{\cong} \mathrm{Coh}(\mathcal{X}^{\mathrm{an}}).$$

Geometry of Drinfeld Modular Forms (1/3)

Let q be odd;

Let $\Gamma \leq \mathrm{GL}_2(A)$;

Let $\Gamma_2 = \{\gamma \in \Gamma : \det(\gamma) \in (\mathbb{F}_q^\times)^2\}$.

Consider the cover of modular curves

$$\begin{array}{c} \mathcal{X}_{\Gamma_2} \\ \downarrow \\ \mathcal{X}_{\Gamma} \end{array}$$

When we compute the log canonical ring $R(\mathcal{X}_{\Gamma_2}; 2\Delta)$ we get the following result.

Theorem ([Fra23, 6.1])

There is an isomorphism of graded rings

$$M(\Gamma_2) \cong R(\mathcal{X}_{\Gamma_2}; \Omega_{\mathcal{X}_{\Gamma_2}}^1(2\Delta)),$$

given by isomorphisms

$$M_{k,l}(\Gamma_2) \rightarrow H^0(\mathcal{X}_{\Gamma_2}, \Omega_{\mathcal{X}_{\Gamma_2}}^1(2\Delta)^{\otimes k/2})$$

of form $f \mapsto f(dz)^{\otimes k/2}$, where $k \equiv 2l \pmod{q-1}$.

Geometry of Drinfeld Modular Forms (2/3)

Let q be odd;

Let $\Gamma \leq \mathrm{GL}_2(A)$;

Let $\Gamma_2 = \{\gamma \in \Gamma : \det(\gamma) \in (\mathbb{F}_q^\times)^2\}$.

Consider the cover of modular curves

$$\begin{array}{c} \mathcal{X}_{\Gamma_2} \\ \downarrow \\ \mathcal{X}_{\Gamma} \end{array}$$

When we compare the modular forms for Γ and Γ_2 we find the following.

Theorem ([Fra23, 6.2])

We have $M(\Gamma) \cong M(\Gamma_2)$, with

$$M_{k,l}(\Gamma_2) = M_{k,l_1}(\Gamma) \oplus M_{k,l_2}(\Gamma)$$

on each component, where l_1, l_2 are the solutions to $k \equiv 2l \pmod{q-1}$.

Geometry of Drinfeld Modular Forms (3/3)

Let q be odd;

Let $\Gamma \leq \mathrm{GL}_2(A)$;

Let $\Gamma_1 = \{\gamma \in \Gamma : \det(\gamma) = 1\}$.

Suppose that $\Gamma_1 \leq \Gamma' \leq \Gamma$.

Consider the cover of modular curves

$$\begin{array}{c} \mathcal{X}_{\Gamma'} \\ \downarrow \\ \mathcal{X}_{\Gamma} \end{array}$$

When we compare the modular forms for Γ and Γ' we find the following generalization of [Fra23, Theorem 6.2].






Theorem ([Fra23, 6.12])

We have $M(\Gamma) \cong M(\Gamma')$, and each component $M_{k,l}(\Gamma')$ is some direct sum of components $M_{k,l'}(\Gamma)$ for some nontrivial l' .

Thank you!

Further details available at [arXiv:2310.19623](https://arxiv.org/abs/2310.19623)

or in my thesis, which is available upon request.

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