

# Homework 1

Matthieu Boyer

29 octobre 2024

## 1 Question 1

### 1.1 Question 1(a)

Initially, we have  $d^* = 0$ . Moreover, we always have  $d^* \geq 0$  and an increase of  $d^*$  is caused by a relabeling. Thus,  $d^*$  can only increase  $2n^2$  times (the maximum number of relabelings) and decrease as many times.

There are thus at most  $4n^2$  phases.

### 1.2 Question 1(b)

- Relabeling  $v$  causes  $\bar{d}(v)$  to increase but cannot cause  $\bar{d}(w)$  to increase if  $w \neq v$ .

Thus, relabeling a node increases  $\Phi$  by at most  $\frac{n}{K}$ .

- A saturating push creates at most one new active node.

Thus, a saturating push increases  $\Phi$  by at most  $\frac{n}{K}$ .

- A nonsaturating push across the edge  $(u, v)$  deactivates node  $u$  and might activate node  $v$ . Then we have  $\bar{d}(v) \leq \bar{d}(u)$ , and hence a nonsaturating push does not increase  $\Phi$ .

During heavy phases, we execute  $\rho > K$  nonsaturating pushes. Since  $d^*$  is constant during the phase, all  $\rho$  nonsaturating pushes must be from nodes at level  $d^*$ .

Indeed, we choose nodes from the highest level, thus  $d^*$ .

The phase terminates either when all nodes in level  $d^*$  are deactivated or when relabeling moves a node to level  $d^* + 1$ .

Level  $d^*$  thus contains  $\rho > K$  nodes (either active or inactive) throughout the phase.

Hence, each nonsaturating push decreases  $\Phi$  by at least one, since  $\bar{d}(v) \leq \bar{d}(u) - 1$  for  $(u, v)$  with  $|\{w \mid d(w) = d(u)\}| \geq K$ .

Finally, a heavy phase of non saturating push will decrease  $\Phi$  by at least  $\rho > K$ .

For light phases, the bound is easier : the number of nonsaturating pushes is bounded  $K$ .

### 1.3 Question 1(c)

The total increase of  $\Phi$  is bounded by  $\frac{(2n^2+2nm)n}{K}$  and so the total decrease cannot be more than that (since  $\Phi \geq 0$ ). Therefore, the number of nonsaturating push cannot be more than  $\frac{2n^3+2n^2m}{K}$ . The number of non saturating pushes in both phases, is then bounded by :

$$\frac{2n^3 + 2n^2m}{K} + 4n^2K$$

since  $4n^2$  is the number of phases (and thus more than the number of light phases).

Finally, since  $n = \mathcal{O}(m)$  (the graph being connex  $m \geq n - 1$  and  $n \leq m + 1$ ), taking  $K = \sqrt{m}$  we get a complexity in  $\mathcal{O}(n^2\sqrt{m})$ .

## 2 Question 2

We will use Ford-Fulkerson's theorem on an appropriate graph to prove this property. Let us write  $U = \{a_1, \dots, a_n\}$ . We define a set of vertices  $V$  by :

$$V = \{s, \bar{S}_1, \dots, \bar{S}_k, \bar{a}_1, \dots, \bar{a}_n, \tilde{a}_1, \dots, \tilde{a}_n, \bar{T}_1, \dots, \bar{T}_n, t\}$$

That is, we have 4 families of vertices that we will connect :

1.  $\mathcal{S} = \{\bar{S}_j \forall j \leq k\}$
2.  $\bar{\mathcal{R}} = \{\bar{a}_i \forall i \leq n\}$
3.  $\tilde{\mathcal{R}} = \{\tilde{a}_i \forall i \leq n\}$
4.  $\mathcal{T} = \{\bar{T}_j \forall j \leq k\}$

Then, we add edges with a capacity function  $c : E \rightarrow \mathbb{R}^+ \cup \{+\infty\}$  and a minimum flow function  $l : E \rightarrow \mathbb{R}^+ :$

- An edge  $(s, \bar{S}_i)$  with capacity 1 for each  $j \in \llbracket 1, k \rrbracket$ .
- An edge  $(s, \tilde{a}_i)$  with capacity 0 for each  $i \in \llbracket 1, n \rrbracket$ .
- An edge  $(\bar{S}_j, \bar{a}_i)$  with infinite capacity for  $i, j$  such that  $a_i \in S_j$ .
- An edge  $(\bar{a}_i, \tilde{a}_i)$  with capacity 1 for each  $i \in \llbracket 1, n \rrbracket$ .
- An edge  $(\tilde{a}_i, t)$  with capacity 0 for each  $i \in \llbracket 1, n \rrbracket$ .
- An edge  $(\tilde{a}_i, \bar{T}_j)$  with infinite capacity for  $i, j$  such that  $a_i \in T_j$ .
- An edge  $(\bar{T}_j, t)$  with capacity 1 for each  $j \in \llbracket 1, k \rrbracket$ .
- An edge  $(s, t)$  with infinite capacity and an edge  $(t, s)$  with minimum flow  $k$  and infinite capacity.

Then having a feasible flow on this graph is equivalent to having a common SDR for  $S$  and  $T$ , since necessarily we have 1 flow going in each  $S_i$  (from the fact that  $\sum_{v \in \delta^-(s)} f(v, s) = f(t, s) \geq c(t, s) \geq k$ ) and thus need to choose an edge from  $S_i$  to a certain  $\tilde{a}_j$  (such that  $a_j \in S_i$ ) and that means  $\tilde{a}_j$  leaves its flow to a  $T_l$  such that  $a_j \in T_l$ .

Then, by Ford-Fulkerson's max-flow-min-cut theorem, this is possible if and only if, for all subset  $A$  of the vertices, we have the following inequality :

$$c(A, A^c) = \sum_{e \in E \cap (A \times A^c)} c(e) \geq \sum_{e \in E \cap (A^c \times A)} l(e) = l(A^c, A) \quad (1)$$

Here, we have multiple cases to more easily find a closed form for this inequality from the capacity and minimal flows :

1. Either both  $s$  and  $t$  are in  $A$  and then :

$$\begin{aligned} c(A, A^c) &= c(s, \mathcal{S} \cap A^c) + c(\mathcal{S} \cap A, \bar{\mathcal{R}} \cap A^c) + c(\bar{\mathcal{R}} \cap A, \tilde{\mathcal{R}} \cap A^c) + c(\tilde{\mathcal{R}} \cap A, \mathcal{T} \cap A^c) \\ l(A^c, A) &= l(\bar{\mathcal{R}} \cap A^c, \tilde{\mathcal{R}} \cap A) = 0 \end{aligned}$$

2. Either both  $s$  and  $t$  are in  $A^c$  and then :

$$\begin{aligned} c(A, A^c) &= c(\mathcal{S} \cap A, \bar{\mathcal{R}} \cap A^c) + c(\bar{\mathcal{R}} \cap A, \tilde{\mathcal{R}} \cap A^c) + c(\tilde{\mathcal{R}} \cap A, \mathcal{T} \cap A^c) + c(\mathcal{T} \cap A, t) \\ l(A^c, A) &= l(\bar{\mathcal{R}} \cap A^c, \tilde{\mathcal{R}} \cap A) = 0 \end{aligned}$$

Both of these cases are symmetrical.

3. If  $s \in A^{\mathcal{G}}, t \in A$  then  $c(A, A^{\mathcal{G}}) \geq c(t, s) = \infty$  so the inequality is always verified.
4. Finally, if  $s \in A$  and  $t \in A^{\mathcal{G}}$ , we have :

$$\begin{aligned} c(A, A^{\mathcal{G}}) &= c(s, \mathcal{S} \cap A^{\mathcal{G}}) + c(\mathcal{S} \cap A, \bar{R} \cap A^{\mathcal{G}}) + c(\bar{R} \cap A, \tilde{R} \cap A^{\mathcal{G}}) + c(\tilde{R} \cap A, \mathcal{T} \cap A^{\mathcal{G}}) + c(\mathcal{T} \cap A, t) \\ l(A^{\mathcal{G}}, A) &= l(\bar{R} \cap A^{\mathcal{G}}, \tilde{R} \cap A) + k = k \end{aligned}$$

However, the inequalities of the first two case are less strong than the equality of the last case since :

$$\begin{aligned} c(\mathcal{T} \cap A, t) &= |\mathcal{T} \cap A| \leq k \\ c(s, \mathcal{S} \cap A^{\mathcal{G}}) &= |\mathcal{S} \cap A^{\mathcal{G}}| \leq k \end{aligned}$$

Therefore, the inequality 1 reduces to :

$$\begin{aligned} |\mathcal{S} \cap A^{\mathcal{G}}| + c(\mathcal{S} \cap A, \bar{R} \cap A^{\mathcal{G}}) + c(\bar{R} \cap A, \tilde{R} \cap A^{\mathcal{G}}) + c(\tilde{R} \cap A, \mathcal{T} \cap A^{\mathcal{G}}) + |\mathcal{T} \cap A| &\geq k \\ |\mathcal{T} \cap A^{\mathcal{G}}| + |\mathcal{S} \cap A| &\leq k + c(\mathcal{S} \cap A, \bar{R} \cap A^{\mathcal{G}}) + c(\bar{R} \cap A, \tilde{R} \cap A^{\mathcal{G}}) + c(\tilde{R} \cap A, \mathcal{T} \cap A^{\mathcal{G}}) \end{aligned}$$

So there is a feasible flow if the above inequality stands for all  $A \subseteq V$ . This inequality is always true if either  $(\mathcal{S} \cap A, \bar{R} \cap A^{\mathcal{G}})$  or  $(\tilde{R} \cap A, \mathcal{T} \cap A^{\mathcal{G}})$  is not empty. If this is not true, then we know the outgoing edges of any node in  $\mathcal{S} \cap A$  go to nodes in  $\bar{R} \cap A$  and that all ingoing edges to nodes in  $\mathcal{T} \cap A^{\mathcal{G}}$  come from nodes in  $\tilde{R} \cap A^{\mathcal{G}}$ . We then see that the right side is at its lowest point when taking  $\bar{R} \cap A = \delta^+(\mathcal{S} \cap A)$  and  $\tilde{R} \cap A^{\mathcal{G}} = \delta^-(\mathcal{T} \cap A^{\mathcal{G}})$ . Then, we get :

$$|\mathcal{S} \cap A| + |\mathcal{T} \cap A^{\mathcal{G}}| \leq n + c(\delta^+(\mathcal{S} \cap A), \delta^-(\mathcal{T} \cap A^{\mathcal{G}}))$$

This being true for all  $A \subseteq V$ , we can replace  $\mathcal{S} \cap A$  for any subset  $X$  of  $\mathcal{S}$  or even  $X \subseteq \llbracket 1, k \rrbracket$ , and similarly we replace  $\mathcal{T} \cap A^{\mathcal{G}}$  by any subset  $Y$  of  $\llbracket 1, k \rrbracket$ . Then, the equation becomes :

$$|X| + |Y| \leq k + \sum_{I(X) \cap I(Y)} 1$$

which is exactly the result :

**Théorème 2.1** There is a common set of distinct representatives for  $S = \{S_1, \dots, S_k\}$  and  $T = \{T_1, \dots, T_k\}$  over a same ground set  $U$  if and only if, for every  $X, Y \subseteq \llbracket 1, k \rrbracket$  the following inequality is verified :

$$|X| + |Y| \leq k + |I(X) \cap I(Y)|$$

### 3 Question 3

We will first prove that all edges in  $M_1$  are in  $E''$ . Since by duality we know that one can get a minimum *integral* vertex cover from a maximum *cardinality* matching on a non weighted graph (which is the case of  $G'$  since all edges have the same positive non-zero weight), we know that a minimum integral vertex cover on  $G'$  will have exactly one vertex per edge of  $M_1$  and edges for unconnected vertices in  $G'$ . Thus,  $E''$  will contain all edges of  $M_1$  plus the edges of  $G$  of weight 2 that are adjacent to an edge of  $M_1$  and the edges of  $G$  of weight 1 that are not touched by the vertex cover, that is, edges that are at least one edge away from  $M_1$ .

Let  $M$  be a matching in  $G$ . Since  $M_1$  is of maximum cardinality,  $M$  cannot have more than  $|M_1|$  edges of weight 2. Moreover, when constructing  $M_2$  by augmenting in  $G''$ , we maximise the matching size while respecting the vertex

cover constraints and thus the matching constraints. We have thus a number as large as possible of edges of weight 1. Therefore,  $M$  cannot have more edges than  $M_2$  and thus  $w(M) \leq w(M_2)$ .

## 4 Question 4

First, we remind the Tutte-Berge's minimax formula on a network  $\mathcal{G} = (V, E)$  in the formulation we will be using :

$$\max_{M \text{ matching}} |M| = \frac{1}{2} \min_{U \subseteq V} (|U| - \text{oc}(\mathcal{G} \setminus U) + |V|) \quad (2)$$

where  $\text{oc}(H)$  is the number of connex components of  $H$  with an odd number of vertices (which will be denoted as *odd components*). We know then that a graph  $\mathcal{G}$  has a perfect matching if and only if there is a matching of size  $\frac{|V|}{2}$ , or, plugging it into the formula, if and only if the suppression of any subset  $U$  creates at most  $|U|$  odd components, i.e., the following condition is true :

$$\forall U \subseteq V, \text{oc}(\mathcal{G} \setminus U) \leq |U| \quad (3)$$

Now, if the following condition is verified for our graph  $G = (A \sqcup B, E)$  where  $|A| = |B| = n = \frac{|V|}{2}$  :

$$\forall X \subseteq A, |\Gamma(X)| \geq |X| \quad (4)$$

Consider the graph  $H$  built from  $G$  by adding edges to make  $B$  a clique (complete graph), then if  $H$  has a perfect matching, since edges from vertices in  $A$  considered in  $H$  must go to vertices in  $B$  considered as vertices in  $H$ , then  $G$  has a perfect matching. We will now show that if  $G$  satisfies 4 then  $H$  has a perfect matching, meaning  $G$  has a perfect matching. To do so, we will show that  $H$  verifies 3. Suppose there is a certain  $U$  such that  $\text{oc}(H \setminus U) > |U|$ . Since  $B$  seen in  $H$  forms a clique, there is a certain component  $C$  of  $H \setminus U$  which contains all of  $B \setminus U$ , and the other components are  $k$  singletons from  $A \setminus U$ . There are then two cases : either  $|C|$  is odd and  $\text{oc}(H \setminus U) = k + 1$  or  $|C|$  is even and  $\text{oc}(H \setminus U) = k$ . Since we know that  $G$  verifies 4, if we let :

$$S = \{x \in A \mid \Gamma(x) \subseteq B \cap U\}$$

we have

$$k = |S| \leq |B \cap U| \leq |U|$$

and thus  $\text{oc}(H \setminus U) \leq |U| + 1$ . However since  $|H| = 2n$  is even,  $\text{oc}(H \setminus T)$  has the same parity as  $|T|$ . Thus  $k \leq |U|$  or  $\text{oc}(H \setminus U) \leq |U|$  for any  $U \subseteq V$ . *Finally H has a perfect matching per 3 and thus G has a perfect matching.*