

Classical Face Recognition Under Real-World Variations

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1. Motivation and Problem Definition

Face recognition is a fundamental problem in computer vision with applications in security, identity verification, and human - computer interaction.

In this project, we focus on appearance-based face recognition techniques developed prior to deep learning. These methods rely on explicit feature extraction and linear subspace modeling.

The objective of this project is to compare three classical face recognition methods:

- Eigenfaces (PCA-based)[5]
- Fisherfaces (LDA-based)[2]
- Local Binary Pattern Histograms (LBPH) [1]

and to analyze their robustness under realistic image degradations such as illumination changes, noise, blur, and partial occlusions.

Problem statement: Given a labeled face image dataset, how do different classical face recognition algorithms perform under varying acquisition conditions, and what do their successes and failures reveal about global versus local visual representations?

2. Related Work

The Eigenfaces method introduced by Turk and Pentland applies Principal Component Analysis (PCA) to project face images into a low-dimensional subspace that captures the main modes of variation. While effective in controlled settings, Eigenfaces are highly sensitive to illumination changes.

Fisherfaces extend this approach by using Linear Discriminant Analysis (LDA) to maximize class separability, leading to improved robustness under varying lighting conditions, provided that sufficient training samples are available.

Local Binary Pattern Histograms (LBPH) represent faces using local texture descriptors computed over small neighborhoods. This local representation has been shown to be more robust to lighting variations and partial occlusions, at the expense of increased sensitivity to noise.

3. Methodology

3.1. Algorithms

We will implement and evaluate the following methods:

- **Eigenfaces:** PCA-based dimensionality reduction followed by nearest-neighbor classification.
- **Fisherfaces:** LDA applied after PCA to improve class discrimination.
- **LBPH:** Local Binary Pattern feature extraction with histogram-based comparison.

3.2. Datasets

We conduct our experiments on two standard face recognition datasets that are widely used in the evaluation of classical appearance-based methods.

ORL (AT&T) Face Dataset. The ORL face dataset [4] contains images of 40 individuals, with 10 grayscale images per subject. The images exhibit moderate variations in facial expression, pose, and the presence of accessories such as glasses. Due to its controlled nature and limited variability, this dataset is well suited for analyzing baseline performance and the impact of training set size on recognition accuracy.

Yale Face Dataset. The Yale face dataset [3] includes frontal face images captured under systematically varying illumination conditions. This dataset is particularly challenging for global appearance-based methods and is therefore well suited for studying robustness to lighting changes. It provides a classical benchmark for evaluating the effectiveness of discriminative subspace methods and local texture-based representations.

Custom In-the-Wild Face Dataset. In addition to standard benchmarks, we collect a small custom face dataset composed of images of the project members acquired in unconstrained, real-world conditions. The images exhibit significant variability in illumination, background, pose, facial expression, image quality, and partial occlusions. Although

limited in size, this dataset provides a qualitative stress test for classical face recognition methods and highlights their limitations outside controlled acquisition settings. It complements the ORL and Yale datasets by exposing failure modes that arise in more realistic scenarios.

4. Evaluation

The proposed methods are evaluated using a combination of quantitative and qualitative analyses, with an emphasis on robustness and interpretability rather than raw recognition performance.

Experimental Protocol. For each dataset, we vary the number of training images per subject in order to study sample efficiency and sensitivity to limited supervision. Test images are kept fixed across experiments to ensure fair comparison between methods. When applicable, results are averaged over multiple random training splits.

To assess robustness, controlled degradations are applied to the test images only. These include illumination changes, additive Gaussian noise, image blur, and partial occlusions. Each degradation is applied at increasing levels of severity, yielding robustness curves that characterize performance decay under progressively more challenging conditions.

Evaluation Metrics. Performance is primarily measured using recognition accuracy, complemented by confusion matrices to analyze class-specific failure patterns. Robustness curves plot recognition accuracy as a function of degradation strength, providing a compact visualization of stability under adverse conditions.

Qualitative Analysis. Beyond quantitative metrics, we perform qualitative analysis by visualizing learned Eigenfaces and Fisherfaces, as well as representative success and failure cases. These visualizations help interpret what variations are captured by global subspace methods (illumination, identity, or noise) and contrast them with the locality-driven behavior of LBP-based descriptors, thereby explaining observed performance trends.

Reference Comparison with Deep Learning Methods.

For reference, we also include a comparison with a lightweight deep learning-based face recognition model. This comparison is not intended to achieve state-of-the-art performance, but to provide contextual insight into the gap between classical appearance-based methods and modern learned representations, particularly under unconstrained acquisition conditions. The deep learning model is evaluated using the same experimental protocol and test sets when possible, and its results are reported solely as a point of reference.

5. Expected Contributions

The project aims to deliver:

- A reproducible experimental comparison of classical face recognition methods
- An analysis of global versus local visual representations
- Clean, well-documented code and experimental protocols

References

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