# To What Extent can the Penrose Process Serve as a Viable Mechanism for Energy Extraction in the Context of Real, Observed Astrophysical Black Holes?

Word count: 3662

#### 1 Introduction

The pursuit of sustainable and abundant energy sources has long been a driving force behind scientific and technological innovation. As humanity continues to expand its frontiers—first to the Moon, then to Mars, and eventually toward deeper space—our need for long-duration, high-efficiency energy systems becomes more pressing. In particular, the challenge of powering spacecraft over vast interplanetary and interstellar distances has prompted scientists to reevaluate conventional energy models and investigate novel, space-specific alternatives.

# 1.1 Current Energy Sources for Spacecrafts

Currently, the main energy sources that propel spacecraft are divided into three categories: solar, nuclear, and chemical. Each comes with its own advantages and limitations depending on mission type, duration, and distance from the Sun.

Solar power is by far the most common energy source for satellites and inner solar system missions. It uses solar panels to convert sunlight into electricity, offering the benefits of being renewable, relatively lightweight, and long-lasting. Spacecraft fueled by solar power include Magellan, Mars Observer, Deep Space 1, Topex/Poseidon, the Hubble Space Telescope, and most other Earth orbiters (National Aeronautics and Space Administration, nd). However, scholars have noted that in zones with extremely weak sunlight, such as the outer solar system or interstellar space, alternative energy sources become essential (Oman, 2003).

The second type of energy source is known as radioisotope thermoelectric generators (RTGs), which convert heat from radioactive decay (usually plutonium-238) into electricity through the use of simple thermocouples. RTGs are well-suited for missions involving autonomous, long-duration operations in the most extreme environments (National Aeronautics and Space Administration, nd)—places where solar power is impractical, such as travel beyond the asteroid belt, within radiation-intensive regions near Jupiter or the Sun, and extended missions on permanently shadowed planetary surfaces (Singh, 2004). However, RTGs come with significant limitations: the energy output is low, the thermoelectric conversion process is inherently inefficient, and the safe handling of radioactive material poses serious engineering and regulatory challenges.

The third and most traditional type of spacecraft energy is chemical propulsion, which burns fuel for high thrust. Chemical energy

is still the primary choice during launch and for major trajectory changes, where large, impulsive forces are required. Despite its widespread use, chemical propulsion is unsustainable for long-term interplanetary or interstellar travel, as it suffers from low specific energy and requires spacecraft to carry prohibitively large amounts of fuel.

# 1.2 Alternative Energy Sources: Black Hole Energy Extraction

Therefore, while current energy technologies can support limited missions within the solar system, there is a growing need to explore high-efficiency, space-based alternatives that can power spacecraft over interstellar timescales. Among the most extreme possibilities is the concept introduced by Roger Penrose: harnessing rotational energy from spinning (Kerr) black holes (Penrose and Floyd, 1971). The Penrose process offers a theoretically clean mechanism for extracting energy directly from a rotating black hole by exploiting frame-dragging effects within the ergosphere.

While the theoretical foundation of the Penrose process is wellestablished in general relativity, important questions remain regarding its astrophysical relevance and practical viability. This study explores the overarching question: To what extent can the Penrose process serve as a viable mechanism for energy extraction in the context of real, observed astrophysical black holes?

To answer this, we examine three key aspects of the problem:

- (i) **Astrophysical yield:** What is the total amount of rotational energy that can theoretically be extracted from known Kerr black holes?
- (ii) **Local efficiency:** How efficient is the Penrose process when considering realistic constraints, such as the split location within the ergosphere?
- (iii) **Comparative viability:** How does black hole energy extraction compare to other high-efficiency sources like nuclear fission and fusion?

This study aims to address these questions through a twofold approach. First, we calculate the theoretical energy yield from nine observed Kerr black holes using their spin parameters and masses. Second, we calculate the efficiency of the Penrose process as a function of the particle splitting radius within the ergosphere and visualize it with Python. In doing so, this research not only con-

tributes to the theoretical discussion on black hole energetics, but also provides insights on practical implementation that may guide future considerations of black holes as potential power sources for deep-space exploration.

#### 2 Literature Review

In *Interstellar* (Nolan, Christopher and Nolan, Jonathan, 2014), the spacecraft Endurance, low on fuel, executes a daring maneuver. Described in the film as a slingshot, the Endurance plunges perilously close to Gargantua (a black hole)'s event horizon before escaping with increased energy. This mechanism of extracting energy from black holes is known as the Penrose process. Far from being mere science fiction, the Penrose process and related mechanisms—such as the Blandford-Znajek process—are serious subjects of theoretical investigation that offer the possibility of harnessing black holes as energy sources.



**Figure 1:** *Interstellar* (Nolan, Christopher and Nolan, Jonathan, 2014)

This literature review surveys research on black hole energy extraction, exploring its theoretical underpinnings, proposals that seek to render it feasible or even implementable, and its potential challenges.

#### 2.1 Theoretical Foundations of Energy Extraction from Black Holes

A black hole forms when a sufficiently dense mass warps spacetime to the point that nothing—not even light—can escape (Wald, 1984). Once an object crosses the event horizon, it is no longer retrievable.

There are two types of black holes. The type most people are familiar with is the stationary black hole—one with no spin. The structure of a stationary black hole consists only of an event horizon, and no object can be retrieved once it passes through it. However, there are also rotating black holes, which have a different structure than stationary ones, making it possible for us to exploit this structure to extract energy.

The physicist Roger Penrose was the first to realize that, despite stationary black holes being "funnels of no return," it is possible to extract energy from rotating black holes by exploiting their "ergosphere," a special region outside their event horizon.

The blue region, bounded by the outer event horizon and the outer ergosurface, is the ergosphere (labeled as the ergoregion in this diagram). The ergosphere has a special property that allows for objects to possess negative energy (Penrose and Floyd, 1971). The following paragraphs explain why in detail.

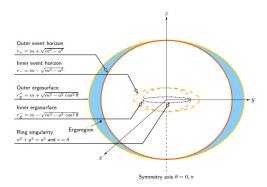


Figure 2: The Structure of a Kerr Black Hole (Visser, 2007)

#### 2.1.1 Definition of Energy in Relativity

In classical physics, energy is often thought of as a simple sum of kinetic and potential energy. However, in the framework of general relativity, the concept of energy is more subtle and depends on how an object moves through curved spacetime.

Instead of treating energy and momentum separately, relativity unifies them into a single four-dimensional quantity known as the four-momentum, denoted as

$$p^{\mu} = (p^0, p^1, p^2, p^3). \tag{1}$$

Here,  $p^0$  represents the energy of the object, while  $(p^1, p^2, p^3)$  represent the components of spatial momentum. Unlike in Newtonian mechanics, where energy and momentum are distinct, relativity treats them as different aspects of the same underlying entity, depending on the observer's frame of reference.

In everyday experience, energy is conserved because the laws of physics do not change over time. This idea extends to general relativity through the concept of a *Killing vector field*, which represents a fundamental symmetry of spacetime. In a stationary spacetime—where the gravitational field does not change over time—there exists a special Killing vector associated with time translation:

$$\xi^{\mu} = \frac{\partial}{\partial t}.\tag{2}$$

Physically, this means that the way time is measured remains consistent throughout the spacetime, allowing us to define a meaningful notion of energy.

# 2.1.2 Energy in Curved Spacetime

To determine an object's energy in curved spacetime, we take the scalar product between its four-momentum and the Killing vector associated with time:

$$E = g_{\mu\nu} p^{\mu} \xi^{\nu}. \tag{3}$$

Here,  $g_{\mu\nu}$ , otherwise known as the metric, is a "ruler" that tells us how to combine the object's motion (represented by the four-momentum) with the shape of spacetime (represented by the Killing vector) to compute energy. Intuitively, this formula tells us that energy is not an absolute quantity but depends on how the

object moves relative to the structure of spacetime. In a flat region of space, this simply reduces to the usual definition of energy. However, near massive objects like black holes, where spacetime is curved, the way energy is defined can lead to fascinating consequences—such as the ability to extract energy from a rotating black hole via the Penrose process.

#### 2.1.3 Negative Energy in the Ergosphere

This section aims to explain why energy can be negative in the ergosphere. In the presence of a rotating black hole, spacetime itself is dragged along with the rotation due to an effect known as *framedragging*. This effect is most pronounced in the *ergosphere*, a region outside the event horizon where no observer can remain stationary relative to distant stars. Inside this region, any object must co-rotate with the black hole in some manner; that is, it cannot remain at a fixed spatial coordinate relative to an asymptotic observer.

Outside the ergosphere,  $\xi^{\mu}$  is always timelike, ensuring that energy measured in this way is positive. However, within the ergosphere,  $\xi^{\mu}$  becomes spacelike, meaning that it is possible for E to become negative if a particle moves in a sufficiently retrograde direction relative to the black hole's rotation. In other words, the spacetime fabric inside the ergosphere becomes so warped that the direction usually associated with time (timelike) is distorted into a spacelike direction, allowing for an observer at infinity to measure negative energy.

### 2.1.3.1 Physical Interpretation of Negative Energy

A negative-energy particle does not imply an object with negative mass or an exotic substance. Instead, it means that from the perspective of an observer at infinity, the particle is moving in such a way that it has *less* energy than a zero-energy particle at rest far away. This is possible because of the way spacetime is twisted inside the ergosphere.

#### 2.1.4 Implications for Energy Extraction: The Penrose Process

This effect enables the *Penrose process*, where a particle entering the ergosphere can split into two fragments: one with positive energy that escapes to infinity, and one with negative energy that falls into the black hole. Since the total energy before and after the split must be conserved, the escaping fragment can have more energy than the original particle, effectively extracting rotational energy from the black hole.

Consider the scenario in which an object with energy  $E_0$  splits into two objects with energy  $E_1$  and  $E_2$  (Penrose and Floyd, 1971), then they must necessarily have:

$$E_0 = E_1 + E_2 (4)$$

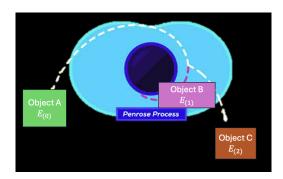
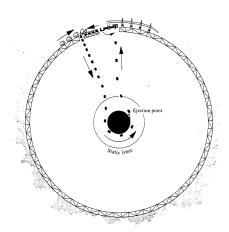


Figure 3: The Penrose Process

If  $E_0$ ,  $E_1$  and  $E_2$  are all positive, then  $E_1$  and  $E_2$  must be less than  $E_0$ . However, if  $E_1 < 0$ , then  $E_2 = E_0 - E_1 > E_0$ . If we manage to capture the object with  $E_2$ , then we will have gained energy, the amount of which, measured from the frame of reference of an observer at infinity, is  $E_2 - E_0$ .

#### 2.2 The Misner, Thorne, and Wheeler Model

Misner, Thorne, and Wheeler (Misner et al., 1973) proposed a conceptual model for utilizing the Penrose process for energy extraction (Figure 4).



**Figure 4:** Figure 3: Misner, Thorne, and Wheeler Model (Misner et al., 1973)

In their scenario, an advanced civilization could send objects into the ergoregion to split, allowing the returning portion to gain energy. This energy could be harvested via a flywheel mechanism, converting kinetic energy into usable electricity. While theoretically sound, this model has not been extensively analyzed for real astrophysical black holes. Therefore, it is reasonable to assume that if, by some mechanism, a spacecraft can send an object into the ergosphere and use a flywheel to recapture the returning part, it could harvest energy from the black hole to generate electricity.

#### 2.3 Contrasting Views

While the Penrose process is firmly grounded in theoretical principles—and elaborated in the Misner, Thorne, and Wheeler model, and popularized by the film *Interstellar*—some scholars question its practical feasibility as a method of energy extraction.

Two primary concerns have been raised (Nagasawa, 2011). First,

Nagasawa argues that black hole energy extraction is impractical for powering Earth, primarily due to the immense difficulty of reaching a black hole. This concern is underscored by the fact that the nearest black hole to Earth, Gaia-BH1, is approximately 1,560 light-years away (NOIRLab, 2022). The vast distance between Earth and black holes makes the Penrose process an infeasible method for terrestrial energy generation.

While this argument is valid in the context of Earth-based energy production, it does not apply to the scenario of fueling spacecraft, where the spacecraft is much closer to a black hole and can feasibly extract energy from it.

Nagasawa's second objection addresses the absence of existing infrastructure or patents capable of converting the returning object's kinetic energy into usable electricity. This challenge is mitigated by the model proposed by Misner, Thorne, and Wheeler, in which the flywheel serves as an effective mechanism for energy conversion.

Nevertheless, it is important to recognize that Nagasawa raises legitimate concerns about the feasibility of black hole energy extraction. Building such a device on a spacecraft would undoubtedly be an expensive endeavor, prompting the question: does the potential energy gained (extractable energy from black holes) and the efficiency of the process justify the enormous cost of the Penrose process (required energy input)? This remains a research gap that this paper aims to address.

# 3 Methodology

To gain a better understanding of energy extraction from black holes, we evaluate two aspects of the problem: the total energy that a black hole reservoir can theoretically yield, and the efficiency of the energy extraction process.

# 3.1 Total Extractable Energy

To explore the total extractable energy from a rotating black hole, we employ Christodoulou and Ruffini 1971 's formula that describes the energy component of rotating black holes:

$$M^2 = M_{irr}^2 + \frac{1}{4} \frac{J^2}{M_{irr}^2} \ge M_{irr}^2.$$
 (5)

where  $M^2$  is the total mass-energy of the black hole,  $M_{irr}^2$  the irreducible mass contribution, and  $\frac{1}{4}\frac{J^2}{M_{irr}^2}$  is the rotational energy contribution, which is the part we can extract. This equation shows that as long as we know the mass and spin of a rotating black hole, we can figure out the maximum theoretical yield of energy from the given black hole. This equation simplifies to:

$$1 - \frac{M_{irr}}{M} = 1 - \frac{1}{M} \sqrt{\frac{1}{2} \left( M^2 + (M^4 - J^2)^{1/2} \right)}$$
 (6)

which we can apply to realistic black holes, whose mass and spin measurements are acquired from astrophysical surveys.

The use of the Christodoulou and Ruffini formula is a well-established and sound approach to evaluating the total extractable energy from rotating black holes. This methodology allows for both theoretical analysis and practical application to real-world astrophysical data, providing a robust framework for the investigation of energy extraction from black holes.

#### 3.2 Efficiency of Energy Extraction

While understanding the maximum energy yield of realistic black holes is crucial for evaluating their capacity as long term energy reservoirs, it is also important for us to investigate the efficiency of the energy extraction so as to understand how much energy we gain from executing one cycle of the Penrose process. Specifically, we are interested in finding the best spot for splitting in order to maximize our energy efficiency.

Here, we define energy efficiency as the ratio between harvested energy and expended energy. In other words:

$$\eta = \frac{E_{harvested}}{E_{expended}} \tag{7}$$

The value of  $E_{harvested}$  is the energy of the returning object and  $E_{expended}$  is the energy of the original object we send in. Our modeling of the scenario is based on the Misner, Thorne, Wheeler model provided in the Literature Review section.

To calculate the relationship between the location of the separation and the efficiency of the extraction of energy, we employ the method proposed by (Chandrasekhar, 1998), which we briefly summarize in the following paragraphs.

We consider the Penrose process in Kerr spacetime, restricted to the equatorial plane ( $\theta=\pi/2$ ) to simplify calculation, where an object falling from infinity splits at radius r into two objects. One escapes to infinity, and the other falls into the black hole. We adopt natural units (G=c=M=1).

The incoming particle from infinity has:

$$E^{(0)} = 1, \quad L_z^{(0)} = \alpha^{(0)} E^{(0)}.$$
 (8)

It splits into two photons: - One falls into the black hole:  $(E^{(1)},L_z^{(1)})$  - One escapes to infinity:  $(E^{(2)},L_z^{(2)})$  We assume:

$$E^{(1)} + E^{(2)} = E^{(0)} = 1, \quad L_{z}^{(1)} + L_{z}^{(2)} = L_{z}^{(0)}.$$
 (9)

Each photon's angular momentum is proportional to its energy:

$$L_z^{(i)} = \beta^{(i)} E^{(i)}, \text{ where } i = 0, 1, 2.$$
 (10)

Substituting into the conservation equations:

$$\beta^{(1)}E^{(1)} + \beta^{(2)}E^{(2)} = \beta^{(0)}E^{(0)} = \beta^{(0)}. \tag{11}$$

Solving the system yields:

$$E^{(1)} = \frac{\beta^{(0)} - \beta^{(2)}}{\beta^{(1)} - \beta^{(2)}}, \quad E^{(2)} = \frac{\beta^{(1)} - \beta^{(0)}}{\beta^{(1)} - \beta^{(2)}}.$$
 (12)

Using the full Kerr solution, the parameters  $\alpha^{(i)}$  are:

$$\beta^{(1)} = \frac{-2a + r\sqrt{\Delta}}{r - 2}, \quad \beta^{(2)} = \frac{-2a - r\sqrt{\Delta}}{r - 2}, \quad \beta^{(0)} = \frac{-2a + \sqrt{2r\Delta}}{r - 2},$$
(13)

where the metric function is:

$$\Delta = r^2 - 2r + a^2. \tag{14}$$

#### **Final Simplified Energies**

In the limit of massless particles and using symmetries of the equatorial plane, we derive:

$$E^{(1)} = \frac{1}{2} \left( \sqrt{\frac{2}{r}} - 1 \right), \quad E^{(2)} = \frac{1}{2} \left( \sqrt{\frac{2}{r}} + 1 \right).$$
 (15)

### **Penrose Energy Gain**

The energy gained by the escaping photon, i.e., energy extracted from the black hole, is:

$$\Delta E = E^{(2)} - 1 = -E^{(1)} = \frac{1}{2} \left( \sqrt{\frac{2}{r}} - 1 \right). \tag{16}$$

which we can then use to calculate the maximum efficiency, as well as investigate the relationship between the location of splitting and the resulting energy gain.

While it is possible to evaluate energy efficiency by simulating a hypothetical scenario in Python—setting initial conditions and iterating the particle's trajectory along its path—we chose not to use this method, as the results depend heavily on the specific initial conditions and parameters selected. Instead, using mathematical equations to analyze efficiency offers a more general and comprehensive understanding.

# 4 Findings and Analysis

#### 4.1 Total Extractable Energy from Realistic Black Holes

After obtaining the observed mass and spin values of nine rotating black holes from astrophysical surveys, we employed Equation (6) to calculate their total extractable energy. The results are remarkable.

To contextualize these results, we compare them to global human electricity consumption. In 2022, the world consumed approximately 24,398 terawatt-hours (TWh) of electricity (International Energy Agency, 2022), or roughly  $8.78\times10^{19}$  joules per year. Even the least efficient black hole in our dataset, A0620–00, could theoretically sustain human electricity usage for about  $2.70\times10^{34}$  years—orders of magnitude longer than the current age of the universe  $(1.37\times10^{10}$  years).

These findings suggest that rotating black holes are extraordinary energy reservoirs, capable of supplying far more power than current space-based technologies like solar panels, RTGs, or chemical propulsion. However, focusing solely on maximum extractable energy oversimplifies the practical challenge. Real-world applications depend just as much on the efficiency of the energy-harvesting process.

# 4.2 Efficiency of the Energy Extraction Process

Using the equation derived in the Methodology section, we verify that the maximum efficiency occurs as  $r \rightarrow r_+ = 1$  (for an extremal Kerr black hole, a = 1):

$$\Delta E_{\text{max}} = \frac{1}{2}(\sqrt{2} - 1) \approx 0.207$$
 (17)

In other words, the Penrose process has a maximum theoretical efficiency of 20.7%, achieved when the object is split just outside the event horizon. We also observe that almost no energy is extracted when the object is near the outer edge of the ergosphere. The extractable energy increases as the split location approaches the horizon. This trend is shown in the following graph:

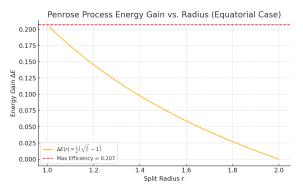
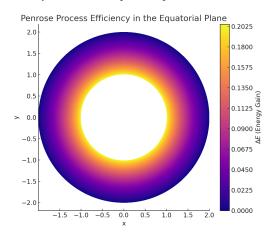


Figure 5: Relationship Between Splitting Location and Energy Efficiency

Additionally, we include a heat map to visualize how Penrose process efficiency varies in the equatorial plane:



**Figure 6:** Heat Map of Penrose Process Efficiency in the Equatorial Plane

Our investigation confirms that the optimal splitting point for maximum energy gain is located just outside the event horizon. However, approaching this region is extremely challenging due to the intense gravitational pull. Future work could extend this analysis by incorporating the full Kerr metric and exploring efficiency variations with respect to  $\theta$  and  $\phi$ , leading to a more complete 2D or 3D efficiency map.

To contextualize Penrose efficiency, we compare it to two of the most prominent Earth-based energy sources: nuclear fission and fusion.

For a typical Uranium-235 fission reaction (Mulligan, 1980):

$$^{235}\text{U} + n \rightarrow ^{141}\text{Ba} + ^{92}\text{Kr} + 3n + \text{Energy}$$

Source	$a^{\mathbf{CF}}$	$\mathbf{M}\left[M_{\odot}\right]$	η	Total Extractable Energy (J)
GRS 1915+105	$> 0.95^a$ (1)	$14.0 \pm 4.4$ (2)	19%	$4.76 \times 10^{47}$
Cygnus X-1	$0.9985^{+0.0005}_{-0.0148}$ (3)	$14.8 \pm 1.0$ (4)	27%	$7.15 \times 10^{47}$
LMC X-1	$0.92^{+0.05}_{-0.07}$ (5)	$10.91 \pm 1.54$ (6)	17%	$3.32 \times 10^{47}$
4U 1543-47	$0.80 \pm 0.10^a$ (7)	$9.4 \pm 1.0  (8, 9)$	11%	$1.85 \times 10^{47}$
GRO J1655-40	$0.70 \pm 0.10^a$ (7)	$6.30 \pm 0.27$ (10)	7%	$7.89 \times 10^{46}$
XTE J1550-564	$0.34^{+0.20}_{-0.28}$ (11)	$9.10 \pm 0.61$ (12)	1.5%	$4.76 \times 10^{47}$
M33 X-7	$0.84 \pm 0.05^{j}$ (13)	$15.65 \pm 1.45$ (14)	12%	$2.44 \times 10^{46}$
LMC X-3	$0.25^{+0.13}_{-0.16}$ (15)	$7.0 \pm 0.6 \ (16)$	0.8%	$1.00 \times 10^{46}$
A0620-00	$0.12 \pm 0.19$ (17)	$6.61 \pm 0.25 \ (18)$	0.2%	$2.37 \times 10^{45}$

**Table 1.** Table of black hole spin parameters ( $a^{CF}$  = spin from the continuum-fitting method), masses, calculated  $\eta$  values, and total extractable energy. Data from: (1) (McClintock et al., 2006), (2) (Harlaftis and Greiner, 2004), (3) (Gou et al., 2011), (4) (Orosz et al., 2011a), (5) (Gou et al., 2009), (6) (Orosz et al., 2009), (7) (Shafee et al., 2006), (8) (Orosz et al., 1998), (9) (Orosz, 2003), (10) (Greene et al., 2001), (11) (Steiner et al., 2011), (12) (Orosz et al., 2011b), (13) (Liu et al., 2008), (14) (Orosz et al., 2007), (15) (Steiner et al., 2014), (16) (Orosz et al., 2014), (17) (Gou et al., 2010), (18) (Cantrell et al., 2010)

The efficiency, calculated via mass defect, is:

$$\begin{split} & Efficiency = \frac{Mass\ Defect}{Initial\ Mass} \\ & = \frac{0.1860\,u}{236.05\,u} \approx 7.88 \times 10^{-4} \end{split}$$

By comparison, the Penrose process at maximum efficiency yields:

$$\Delta E_{\text{max}} = \frac{1}{2}(\sqrt{2} - 1) \approx 0.207$$

This is over two orders of magnitude higher than fission. For fusion, consider a Deuterium–Tritium reaction (Wesson and Campbell, 2011):

$$^{2}\text{H} + ^{3}\text{H} \rightarrow ^{4}\text{He} + n + \text{Energy}$$

Its efficiency is:

$$Efficiency = \frac{0.01888\,u}{5.03\,u} \approx 3.75\times 10^{-3}$$

Even fusion, which surpasses fission in efficiency, still falls short of the Penrose process by nearly two orders of magnitude.

Furthermore, while fusion remains technologically challenging—requiring extreme confinement and temperatures—black hole energy extraction, at least theoretically, involves a single interaction with spacetime curvature. From a purely energetic standpoint, black holes may represent a more promising long-term energy reservoir than any current terrestrial technology.

However, two limitations not addressed in this study should be acknowledged:

- 1. Conversion Losses During Energy Transfer. The process of converting the returning object's mechanical energy into usable electricity (e.g., via a flywheel) is far from perfectly efficient. Significant losses may occur, and current technology does not yet provide a way to quantify or optimize such large-scale mechanical-to-electrical conversion in a relativistic setting.
- **2. Battery Storage Constraints.** After energy is converted, it must be stored onboard, typically in high-capacity batteries. Just as solar missions are limited by battery capacity, so too would any Penrose-based system be limited by the amount of energy that can be stored and transported. This imposes an upper bound on how much of the extracted energy could be used in practice.

#### 5 Conclusion

This study set out to evaluate the theoretical viability and practical feasibility of extracting rotational energy from astrophysical black holes using the Penrose process. Building upon established theoretical frameworks, we assessed both the total energy yield from observed black holes and the efficiency of individual energy extraction events.

Our findings confirm that rotating black holes are immense energy reservoirs. Even the least energetic black hole in our sample—A0620–00—possesses enough extractable energy to power global electricity consumption for trillions of years. This underscores the long-term potential of black holes as high-capacity cosmic batteries.

Beyond the scale of extractable energy, our finding also highlights the local efficiency of single-cycle energy extraction events. We demonstrate that the maximum theoretical efficiency— $\Delta E_{\rm max} = \frac{1}{2}(\sqrt{2}-1)\approx 0.207$ —occurs when the splitting event happens just outside the event horizon in the equatorial plane of a maximally spinning Kerr black hole. This 20.7% efficiency surpasses that of nuclear fission and fusion by over an order of magnitude:

- Nuclear fission of  $^{235}$ U:  $\sim 0.08\%$
- Deuterium-Tritium fusion:  $\sim 0.38\%$
- Penrose process (theoretical max):  $\sim 20.7\%$

In practical terms, this means that black hole energy extraction could offer vastly greater energy returns per unit mass than any known terrestrial process. Unlike fusion—which requires extremely high temperatures, pressure, and sustained confinement—the Penrose process relies only on gravitational interaction with curved spacetime.

Nevertheless, significant engineering and physical challenges remain. While the theoretical mechanism is sound, several real-world limitations were not accounted for in this study:

- (i) **Conversion Efficiency:** The flywheel or other mechanical system used to convert kinetic energy into electricity is assumed to be ideal. In reality, such conversion would entail significant losses.
- (ii) **Energy Storage:** Onboard storage systems impose an upper limit on the amount of extracted energy.
- (iii) **Navigational Precision:** Approaching the event horizon to perform an efficient Penrose split presents extreme gravitational gradients, complicating maneuvering and control.

Despite these challenges, this study makes several novel contribu-

tions. First, it offers one of the first side-by-side comparisons between realistic black hole reservoirs and current global energy demands. Second, it provides an analysis of Penrose efficiency as a function of the splitting radius, complete with visualizations and quantitative insights. Finally, it positions black hole energy extraction not as science fiction, but as a legitimate subject for future study in high-energy astrophysics and interstellar propulsion.

#### Future research should extend this work by:

- Modeling off-equatorial splits  $(\theta \neq \frac{\pi}{2})$  using full Kerr geodesics,
- Including flywheel system dynamics and efficiency loss modeling,
- Developing mission architectures for energy harvesting in black hole orbit,
- Investigating relativistic storage and data transmission from extreme-gravity environments.

In conclusion, while practical deployment remains far off, the theoretical foundation for extracting black hole rotational energy is both robust and promising. As humanity begins to dream of deeper space exploration, energy solutions that once seemed esoteric may become essential—and black holes could emerge not as cosmic endpoints, but as engines of civilization.

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