CIAlign - A highly customisable command line tool to clean, interpret and visualise multiple sequence alignments.

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Keywords: Multiple sequence alignment, alignment quality, Python tool, comparative genomics, transcriptomics, phylogenetics

Abstract

Background

Throughout biology, multiple sequence alignments (MSAs) form the basis of much investigation into biological features and relationships. These alignments are at the heart of many bioinformatics analyses. However, sequences in MSAs are often incomplete or very divergent, which leads to poorly aligned regions or large gaps in alignments. This slows down computation and can impact conclusions without being biologically relevant. Therefore, cleaning the alignment by removing these regions can substantially improve analyses.

Results

We present a comprehensive, user-friendly MSA trimming tool with multiple visualisation options. Our highly customisable command line tool aims to give intervention power to the user by offering various options, and outputs graphical representations of the alignment before and after processing to give the user a clear overview of what has been removed.

The main functionalities of the tool include removing regions of low coverage due to insertions, removing gaps, cropping poorly aligned sequence ends and removing sequences that are too divergent or too short. The thresholds for each function can be specified by the user and parameters can be adjusted to each individual MSA. CIAlign is complementary to existing alignment trimming tools, with an emphasis on solving specific and common alignment problems and on providing transparency to the user.

Conclusion

CIAlign effectively removes poorly aligned regions and sequences from MSAs and provides novel visualisation options. This tool can be used to improve the alignment quality for further analysis and processing. The tool is aimed at anyone who wishes to automatically clean up parts of an MSA and those requiring a new, accessible way for visualising large MSAs.

Introduction

Throughout biology, multiple sequence alignments (MSAs) of DNA, RNA or amino acid sequences are often the basis of investigation into biological features and relationships. Applications of MSAs include, but are not limited to transcriptome analysis, in which transcripts may need to be aligned to genes; RNA structure prediction, in which an MSA improves results significantly compared to predictions based on single sequences; and phylogenetics, where trees are usually created based on MSAs. There are many more applications of MSA at a gene, transcript and genome level involved in a huge variety of traditional and new approaches to genetics and genomics, many of which could benefit from the tool presented here.

An MSA typically represents three or more DNA, RNA or amino acid sequences, which represent partial or complete gene, transcript, protein or genome sequences. These sequences are aligned by inserting gaps between residues to bring more similar residues (either based on simple sequence similarity or an evolutionary model) into the same column, allowing insertions, deletions and differences in sequence length to be taken into account [1, 2]. The first widely used automated method for generating MSAs was CLUSTAL [2] and more recent versions of this tool are still in use today, along with tools such as MUSCLE [3], MAFFT [4], T-Coffee [5] and many more. The majority of tools are based upon various heuristics used to optimise progressive sequence alignment using a dynamic programming based algorithm such as the Needleman-Wunsch algorithm [6]. It has been shown previously that removing divergent regions from an MSA improves the resulting phylogenetic tree [7]. Various tools are available to remove or improve poorly aligned columns, including trimAl [8], Gblocks [7] and various refinement methods incorporated into alignment software [3, 4].

Some tree building software can also take into account certain discrepancies in the alignment, for example RaXML [9] can account for missing data in some columns and check for duplicate sequence names and gap-only columns; similarly GUI based toolkits for molecular biology such as MEGA [10] sometimes have options to delete or ignore columns containing gaps. However, several common issues affect the speed, complexity and reliability of specific downstream analyses but are not addressed by existing tools.

Clean and Interpret Alignments (`CIAlign`) is primarily intended to address four issues which are commonly encountered when working with MSAs. Although these issues are often trivial to solve via editing alignments by eye, manual editing tends to have poor reproducibility and becomes a bottleneck with batch analyses involving large numbers of MSAs. The need to resolve these issues depends on the downstream application of the MSA.

The first issue we intend to address is that it is common for an MSA to contain more gaps towards either end than in the body of the alignment. This problem occurs at both the sequencing and alignment stage. For example, the ends of *de novo* assembled transcripts tend to have lower read coverage [11] and therefore have a higher probability of mis-assembly and therefore mis-alignment. MSAs created using these sequences therefore also have regions of lower reliability towards either end. Similarly, both Sanger sequences and sequences generated with Oxford Nanopore's long read sequencing technology, which are often used directly in MSAs, tend to have lower quality scores at the either the beginning or the end [12, 13, 14]. Automated removal of these regions from MSAs would therefore increase the reliability of downstream analyses. Also, while generating an MSA, terminal gaps complicate analysis, and the weighting of terminal gaps relative to internal gap opening

and gap extension penalties can make a large difference to the resulting alignment [15]. This again leads to regions of ambiguity and therefore gaps towards the ends of the alignment.

Secondly, insertions or other stretches of sequence can be present in a minority of sequences in an MSA, leading to large gaps in the remaining sequences. For example, alignments of sections of bacterial genomes often result in long gaps representing genes which are absent in the majority of species. These gaps can be observed, for example, in multiple genome alignments shown in Tettelin et al. 2005 [16] for *Streptococcus agalactiae* and Hu et al. 2011 [17] for *Burkholderia,* amongst others, which show many genes which are present in only a few genomes. While these regions are of interest in themselves and certainly should not be excluded from all further analysis, they are not relevant for every downstream analysis. For example, a consensus sequence for these bacteria would exclude these regions and their presence would increase the time required for phylogenetic analysis without necessarily adding any additional information. Large gaps in some sequences may also result from missing data, rather than true biological differences and, if this is known to be the case, it is often appropriate to remove these regions before performing phylogenetic analysis [18].

Thirdly, one or a few highly divergent sequences can heavily disrupt the alignment and therefore complicate downstream analysis. It is very common for an MSA to include one or a few outlier sequences which do not align well with the majority of the alignment. One example of this is metagenomic analyses identifying novel sequences in large numbers of datasets. It is common to manually remove phylogenetic outliers which are unlikely to truly represent members of a group of interest (see for example [19–21]) but this is not feasible when processing large numbers of alignments.

Finally, very short partially overlapping sequences cannot always be reliably aligned using standard global alignment algorithms. It is very common to remove these sequences, manually or otherwise, prior to further analysis.

There are also several common issues in alignment visualisation. Large alignments can be difficult to visualise and a small and concise but accurate visualisation can be useful when presenting results, so this has been incorporated into the software. With many alignment trimming tools it can be difficult to track exactly which changes the software has made, so a visual output showing these changes is generated.

Finally, transparency is often an issue with bioinformatics software, with poor reporting of exactly how a file has been processed [22–24]. CIAlign has been developed to process alignments in a transparent manner, to allow the user to clearly and reproducibly report their methodology.

CIAlign is freely available at github.com/KatyBrown/CIAlign.

Materials and Methods

CIAlign is a command line tool implemented in Python 3. It can be installed either via pip3 or from GitHub and is independent of the operating system. It has been designed to enable the user to remove poorly aligned regions and sequences from an MSA, to visualise the MSA (including a markup file showing which regions and sequences have been removed), and to interpret the MSA in several ways. CIAlign works on nucleotide or amino acids alignments and will detect which of these is provided. A log file is generated to show exactly which

sequences and positions have been removed from the alignment and why they were removed. Users can then adjust the software parameters according to their needs.

CIAlign takes as its input any pre-computed MSA in FASTA format containing at least three sequences. Most MSAs created with standard alignment software will be of an appropriate scale, for example single or multi-gene alignments and whole genome alignments for many microbial species. Measurements on the runtime were conducted for MSAs created by randomly drawing equally probable nucleotides and adding gap regions such that each MSA has a certain proportion of gaps. When running CIAlign with all core functions (cleaning functions and creating mini alignments for input, output and the markup) and for fixed gap proportions, the runtime scales quadratically with the size of the MSA, i.e. with n as the number of sequences and m the length of the MSA, the worst case time complexity is $O((nm)2)$. Further runtime measurements were taken for running CIAlign with the core functions on an MSA of constant size with different numbers of gaps. The runtime decreases linearly with increasing numbers of gaps. It should be noted that, besides the size of the MSA and its gap content, the runtime is impacted by which combination of functions is applied. For very long MSAs the size of the final image becomes a limiting factor when creating a sequence logo, as the matplotlib library [25] has restrictions on the number of pixels in one object. We have provided detailed instructions about this limit in the “Guidelines for using CIAlign” on the CIAlign Github.

The path to the alignment file is the only mandatory parameter. Every function is run only if specified in the parameters and many function-specific parameters allow options to be fine-tuned. Using the parameter option *--all* will turn on all the available functions and run them

with the default parameters, unless otherwise specified. Additionally, the user can provide parameters via a configuration file instead of via the command line.

Cleaning Alignments

CIAlign consists of several functions to clean an MSA by removing commonly encountered alignment issues. All of these functions are optional and can be fine-tuned using user parameters. All parameters have default values. The available functions are presented here in the order they are executed by the program. The order can have a direct impact on the results, the functions removing positions that lead to the greatest disruptions in the MSA should be run first as they potentially make removing more positions unnecessary and therefore keep processing to a minimum. For example, divergent sequences often contain many insertions compared to the consensus, so removing these sequences first reduces the number of insertions which need to be removed. Sequences can be made shorter during processing with CIAlign and therefore too short sequences are removed last.

Fig 1 shows a graphical representation of an example toy alignment before (Fig 1A) and after (Fig 1B-1F) using each function individually. The remove gap only function is run by default after every cleaning step, unless otherwise specified by the user.

Remove Divergent

For each column in the alignment, this function finds the most common nucleotide or amino acid and generates a temporary consensus sequence. Each sequence is then compared individually to this consensus sequence. Sequences which match the consensus at a proportion of positions less than a user-defined threshold (default 0.75) are excluded from the

alignment (Fig 1B). It is recommended to run the `make_similarity_matrix` function to calculate pairwise similarity before removing divergent sequences, in order to adjust the parameter value for more or less divergent alignments.

Remove Insertions

In order to define a region as an insertion, an alignment gap must be present in the majority of sequences, flanked by a minimum number of non-gap positions on either side, which can be defined by the user (default 5). The minimum and maximum size of insertion to be removed can also be defined by the user (default 3 and 300 respectively) (Fig 1C).

Crop Ends

Crop ends redefines where each sequence starts and ends, based on the ratio of the numbers of gap and non-gap positions observed up to a given position in the sequence. It then replaces all non-gap positions before and after the redefined start and end, respectively, with gaps. This will be described for redefining the sequence start, however crop ends is also applied to the reverse of the sequence to redefine the sequence end.

The number of gap positions separating every two consecutive non-gap positions is compared to a threshold and if that difference is higher than the threshold, the start of the sequence will be reset to that position. This threshold is defined as a proportion of the total sequence length, excluding gaps, and can be defined by the user (default: 0.05) (Fig 1D, Fig 2).

The user can set a parameter that defines the maximum proportion of the sequence for which to consider the change in gap positions (default: 0.1) and therefore the innermost position at

which the start or end of the sequence may be redefined. It is recommended to set this parameter no higher than 0.1, since even if there are a large number of gap positions beyond this point, this is unlikely to be the result of incomplete sequences (Fig 2).

Remove short sequences

Remove short sequences removes sequences which have less than a specified number of non-gap positions,which can be set by the user (default: 50) (Fig 1E).

Remove gap only columns

Remove gap only removes columns that contain only gaps. These could be introduced by manual editing of the MSA before using CIAlign or by running the functions above (Fig 1F). The main purpose of the function is to clean the gap only columns that are likely to be introduced after running any of the cleaning functions.

Visualisation

There are several ways of visualising the alignment, which both allow the user to interpret the alignment and clearly show which positions and sequences CIAlign has removed. CIAlign can also be used simply to visualise an alignment, without running any of the cleaning functions. All visualisations can be output as publication ready image files.

Mini Alignments

CIAlign provides functionality to generate mini alignments, in which an MSA is visualised using coloured rectangles on a single *x* and *y* axis, with each rectangle representing a single

nucleotide or amino acid (e.g. Fig 1, Figs 3-5). Even for large alignments, this function provides a visualisation that can be easily viewed and interpreted. Many properties of the resulting file (dimensions, DPI, file type) are parameterised. In order to minimise the memory and time required to generate the mini alignments, the matplotlib imshow function [25] for displaying images is used. Briefly, each position in each sequence in the alignment forms a single pixel in an image object and a custom dictionary is used to assign colours. The image object is then stretched to fit the axes.

Sequence Logos

CIAlign can generate traditional sequence logos [26] or sequence logos using rectangles instead of letters to show the information and base / amino acid content at each position, which can increase readability in less conserved regions.

Interpretation

Some additional functions are provided to further interpret the alignment, for example plotting the number of sequences with non-gap residues at each position (the coverage), calculating a pairwise similarity matrix, and generating a consensus sequence with various options.

Given the toy example shown in Fig 1A, running all possible cleaning functions will lead to the markup plot shown in Fig 3A and the result shown in Fig 3B. In the markup plot each removed part is highlighted in a different colour corresponding to the function with which it was removed.

Example Alignments

Four example alignments are provided within the software directory to demonstrate the functionality of CIAlign.

Example 1 is a very short alignment of six sequences which was generated manually by creating arbitrary sequences of nucleotides that would show every cleaning function while being as short as possible. This alignment contains an insertion, gaps at the ends of sequences, a very short sequence and some highly divergent sequences.

Example 2 is a larger alignment based on randomly generated amino acid sequences using RandSeq (a tool from ExPASy [27]) with an average amino acid composition, which were aligned with MAFFT v7.407, under the default settings [4]. The sequences were adjusted manually to reflect an alignment that would fully demonstrate the functionalities of CIAlign. It consists of many sequences that align well, however there are again a few problems: one sequence has a large insertion, one is very short, one is extremely divergent, and some have multiple gaps at the start and at the end.

For Example 3, putative mitochondrial gene cytochrome C oxidase I (COI) sequences were identified by applying TBLASTN v2.9.0 [28] to the human COI sequence (GenBank accession NC_012920.1, positions 5,904–7,445, translated to amino acids), querying against 1,565 transcriptomic datasets from the NCBI transcriptome shotgun assembly (TSA) database [29] under the default settings. 2,855 putative COI transcripts were reverse complemented where required, and those corresponding to the COI gene of the primary host of the TSA dataset were identified using the BOLD online specimen identification engine [30] (accessed 07/10/2019) querying against the species level barcode records. The resulting 232 sequences were then aligned with MAFFT v7.407, under the default settings [4].

For Example 4, 91 sequences were selected from Example 3 to be representative of as many taxonomic families as possible and to exclude families with unclear phylogeny in the literature. These sequences were aligned with MAFFT v7.407 under the default settings and the alignment was refined with 1000 iterations. Robinson-Foulds distances of the resulting trees were calculated using ete3 compare [31].

Results and Discussion

Here an example is presented and the visualisation functions are used to illustrate the functionality of CIAlign. Results will differ when using different parameters and thresholds.

CIAlign was applied to the Example 2 alignment with the following options:

```
python3 CIAlign.py --infile INFILE --outfile_stem OUTFILE_STEM --all
```

Using these settings on the alignment in Fig 4A results in the markup shown in Fig 4B and the output shown in Fig 4C. The markup shows which function has removed each sequence or position. The benefits of CIAlign are clear in this simulation – the single poorly aligned sequence, the large insertion, very short sequences, and gap-only columns have been removed, and the unreliably aligned end segments of the sequences have been cropped. The resulting alignment is significantly shorter, which will speed up and simplify any further analysis. The clear graphical representation makes it easy to see what has been removed, so in the case of over-trimming the user can intervene and adjust functions and parameters.

In order to test CIAlign on real biological sequences, an alignment was generated based on the COI gene commonly used in phylogenetic analysis and DNA barcoding [30]. As CIAlign addresses some common problems encountered when generating an MSA based on *de novo*

assembled transcripts, which tend to have a higher error rates at transcript ends, gaps due to difficult to assemble regions and divergent sequences due to chimeric connections between unrelated regions [11, 32], COI-like transcripts were identified by searching the NCBI transcriptome shotgun assembly database. Aligning these transcripts demonstrated several common problems – multiple insertions, poor alignment at the starts and ends of sequences, and a few divergent sequences resulting in excessive gaps (Fig 5A). This alignment was parsed using the default CIAlign settings except the threshold for removing divergent sequences was reset to 50%, as some of the sequences were from evolutionarily distant species. Under these settings, CIAlign resolved several of the problems with the alignment: the insertions and highly divergent sequences were removed and the poorly aligned regions at the starts and ends of sequences were cropped (Fig 5B). One sequence and 6,029 positions were removed from the alignment and a total of 2,446 positions were cropped from the ends of 112 sequences. The processed alignment is 26.59% of the size of the input alignment. However, a minimal amount of actual sequence data (as opposed to gaps) was removed, with 85.70% of bases remaining.

A subset of this sequence set was selected to demonstrate the functionality of CIAlign in streamlining phylogenetic analysis. 91 COI-like transcripts from different taxonomic families of metazoa were selected from Example 3, incorporated into an MSA and cleaned using CIAlign with the same settings as above (S1 Fig). 1,437 positions were removed from the alignment and a total of 289 positions were cropped from the ends of 17 sequences. The processed alignment is 70.67% of the size of the input alignment and 96.52% of bases remain. Phylogenetic trees were generated for the input alignment and for the alignment processed with CIAlign, using PhyML [33] under the GTR model plus the default settings. For the input

alignment, PhyML used 138 MB of memory and took 532 seconds (on one Intel Core i7-7560U core with 4 GB of RAM, running at 2.40 GHz). For the cleaned alignment, on the same machine, PhyML used 109 MB of memory and took 243 seconds. The tree generated with the input alignment (S1D Fig) had a Robinson-Foulds difference from a “correct” tree (generated manually based on the literature, S1C Fig) of 100.00. The tree generated with the cleaned alignment (S1E Fig) had a Robinson-Foulds difference from the correct tree of 90.00. Therefore the tree based on the CIAlign cleaned alignment was generated more quickly, used less memory, and was more similar to the expected tree.

While the functionality of CIAlign has some overlaps with other software, for example Jalview [34], Gblocks [7] and trimAl [8], the presented software can be seen as complementary to these, with some different features and applications. Jalview is designed for manual curation of alignments, but it is unsuitable for a simple overview of large alignments and does not provide the option of editing automatically, which is useful in large batch applications and ensures reproducibility. Gblocks is designed to choose blocks from an alignment that would be suitable for phylogenetic analysis, which is too restrictive for many other purposes. Some functionalities of trimAl overlap with those of CIAlign; however, trimAl is designed to algorithmically define and remove any poorly aligned regions whereas CIAlign is designed to remove specific MSA issues, as defined by the user, for different downstream applications. For highly divergent alignments, trimAl can be too sensitive and remove useful regions. CIAlign also provides additional visualisation options. Therefore, CIAlign should be seen as a tool that aims to fill in the gaps that exist in currently available software.

Having as many parameters as possible to allow as much user control as possible gives greater flexibility. However, this also means that these parameters should be adjusted, which

requires a good understanding of the cleaning functions and the MSA in question. CIAlign offers default parameters selected to be often applicable based on many example MSAs used during the development of the tool. However, parameter choice highly depends on MSA divergence and the downstream application. To choose appropriate values it is recommended to first run CIAlign with all default parameters and then adjust them based on the results. Since the mini alignments show what has been removed by which functions it is straightforward to identify the effect of each function and any changes to the parameters which may be required.

New features are in progress to be added in the future, such as collapsing very similar sequences, removing divergent columns, and making the colour scheme for the bases or amino acids customisable.

Conclusion

CIAlign is a highly customisable tool which can be used to clean multiple sequence alignments and address several common alignment problems. Due to its multiple user options it can be used for many applications. CIAlign provides clear visual output showing which positions have been removed and for what reason, allowing the user to adjust the parameters accordingly. A number of additional visualisation and interpretation options are provided.

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Figure Legends

Fig 1:

Mini alignments showing the main functionalities of CIAlign based on Example 1. **a** Input alignment before application of CIAlign, generated using the command "`CIAlign --infile example1.fasta --plot_input`". **b** Output alignment showing the functionality of the remove divergent function, generated using the command "`CIAlign --infile example1.fasta --remove_divergent --plot_output`". **c** Output alignment showing the functionality of the remove insertions function, generated using the command "`CIAlign --infile example1.fasta --remove_insertions --plot_output`". **d** Output alignment showing the functionality of the crop ends function, generated using the

command “`CIAlign --infile example1.fasta --crop_ends --plot_output`”. **e** Output alignment showing the functionality of the remove short sequences function, generated using the command ”`CIAlign --infile example1.fasta --remove_short --plot_output`”. **f** Output alignment showing the functionality of the remove gap only function, generated using the command “`CIAlign --infile example1.fasta --plot_output`”. Subplots were generated using the `drawMiniAlignment` function of CIAlign.

Fig 2:

This manually created example illustrates how crop_ends works internally. The length of the sequence shown is 111 including gaps and 80 excluding gaps (1). With a threshold of 10% for the proportion of non-gap positions to consider for change in end positions, 8 positions at the start and at the end, respectively, are being considered (illustrated by red crossbars). For each of these, the number of preceding gaps is calculated (2). Then the change in gap numbers (3) for every two consecutive non-gap positions is compared to the gap number change threshold, which is 5%, i.e. 4 gaps, as a default value. Looking at the change in gap numbers, the last change at each end equal to or bigger than the threshold is coloured in red. This leads to redefining the start and the end of this example sequence to be where the nucleotides are coloured in green.

Fig 3:

Mini alignments and legends showing further functionalities of CIAlign based on Example 1. **a** Alignment showing the functionality of the plot markup function, generated using the

command "`CIAlign --infile example1.fasta --all`". The areas that have been removed are marked up in different colours, each corresponding to a certain function of CIAlign. **b** Output alignment after application of all functions of CIAlign combined, generated using the command "`CIAlign --infile example1.fasta --all`". Subplots were generated using the `drawMiniAlignment` function.

Fig 4:

Mini alignments showing the main functionalities of CIAlign based on Example 2. **a** Input alignment before application of CIAlign, generated using the command "`CIAlign --infile example2.fasta --plot_input`". **b** Alignment markup showing areas that were removed by CIAlign, generated using the command "*CIAlign --infile example2.fasta --all*". **c** Output alignment after application of CIAlign, generated using the command "`CIAlign --infile example2.fasta --all`". Subplots were generated using the `drawMiniAlignment` function.

Fig 5:

Mini alignments showing the main functionalities of CIAlign based on Example 3. **a** Input alignment before application of CIAlign, generated using the command "`CIAlign --infile example3.fasta --plot_input`". **b** Output alignment after application of CIAlign, generated using the command "`CIAlign --infile example3.fasta --all --remove_divergent_minperc 0.5`". Subplots were generated using the `drawMiniAlignment` function.

S1 figure

Mini alignments and phylogenetic trees showing the application of CIAlign to phylogenetic data, based on Example 4, a subset of Example 3. **a** Input alignment before application of CIAlign, generated using the command "*CIAlign --infile example4.fasta --plot_input*". **b** Output alignment after application of CIAlign, generated using the command "*CIAlign --infile example4.fasta --all --remove_divergent_minperc 0.5*". Subplots were generated using the "drawMiniAlignment function. **c** Phylogenetic tree generated manually using the literature to show the current best estimate for the phylogenetic relationships between these 91 families of metazoa. Relationships are based on the literature listed in the S1 References. **d** PhyML phylogenetic tree generated under the GTR model plus default settings on the input alignment before application of CIAlign. **e** PhyML phylogenetic tree generated under the GTR model plus default settings on the cleaned alignment after application of CIAlign. In (c-e) branch colours correspond to the labelled phyla, coloured squares indicate class and bold text indicates order. Common names are shown where available.

Figure 1

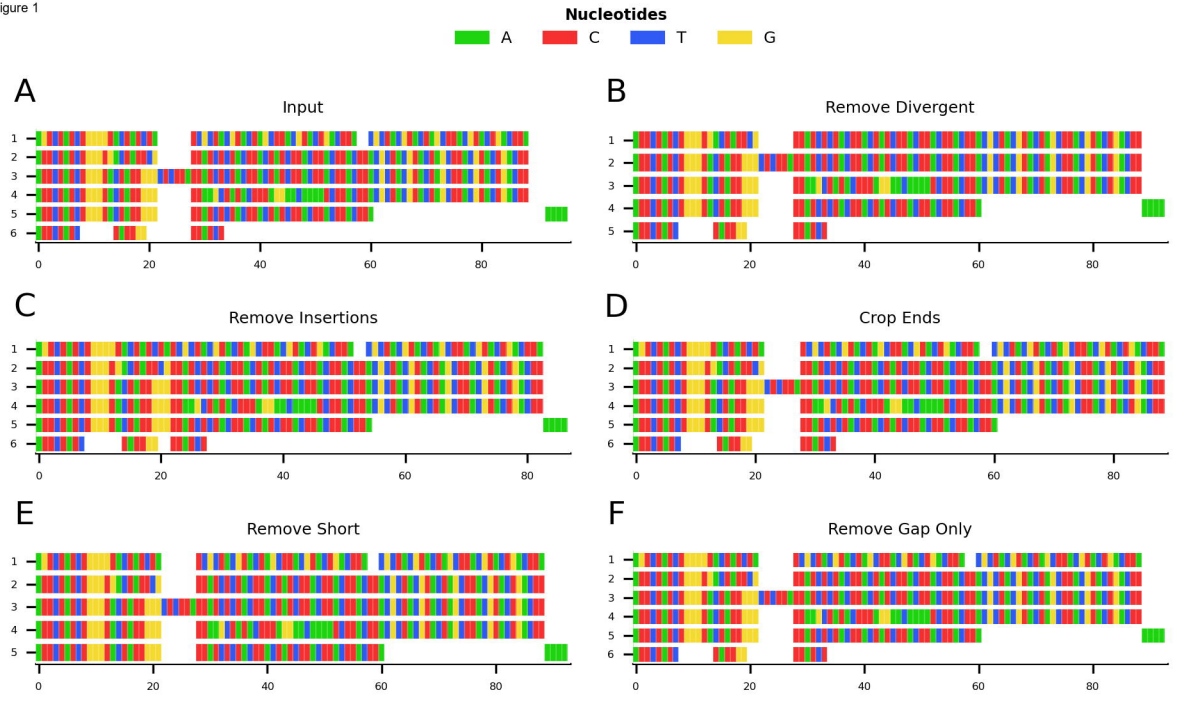
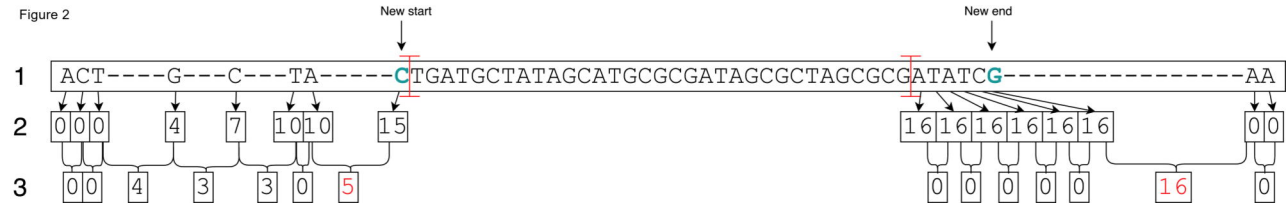

Nucleotides
A
C
T
G
A
Input
B
Remove Divergent
C
Remove Insertions
D
Crop Ends
E
Remove Short
F
Remove Gap Only

Figure 2


New start
New end
1
ACT----G---C---TA-----CTGATGCTATAGCATGCGCGATAGCGCTAGCGCGATATCG----------AA
2
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4
7
10 10
15
16 16 16 16 16 16
0 0
3
0 0
4
3
3
0
5
0 0 0 0 0
16
0

Figure 3

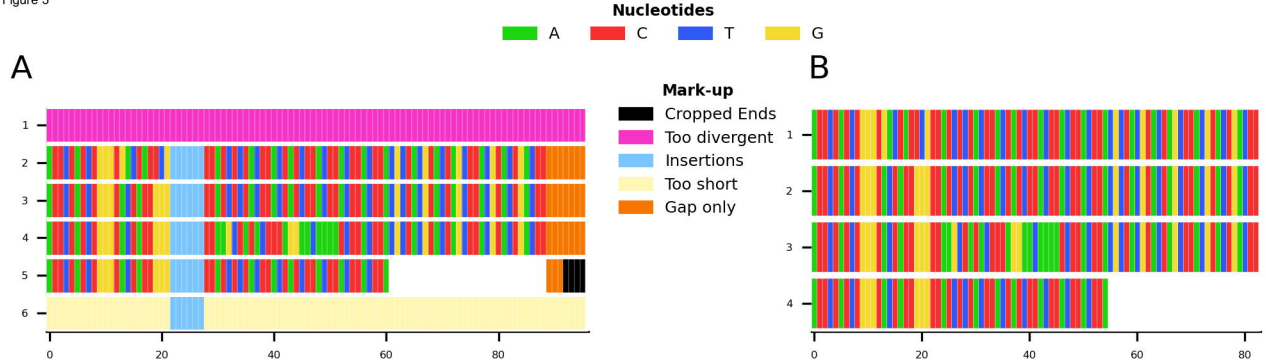

Nucleotides
A
C
T
G
A
Mark-up
Cropped Ends
Too divergent
Insertions
Too short
Gap only
1
2
3
4
5
6
0
20
40
60
80
B
1
2
3
4
0
20
40
60
80

Figure 4

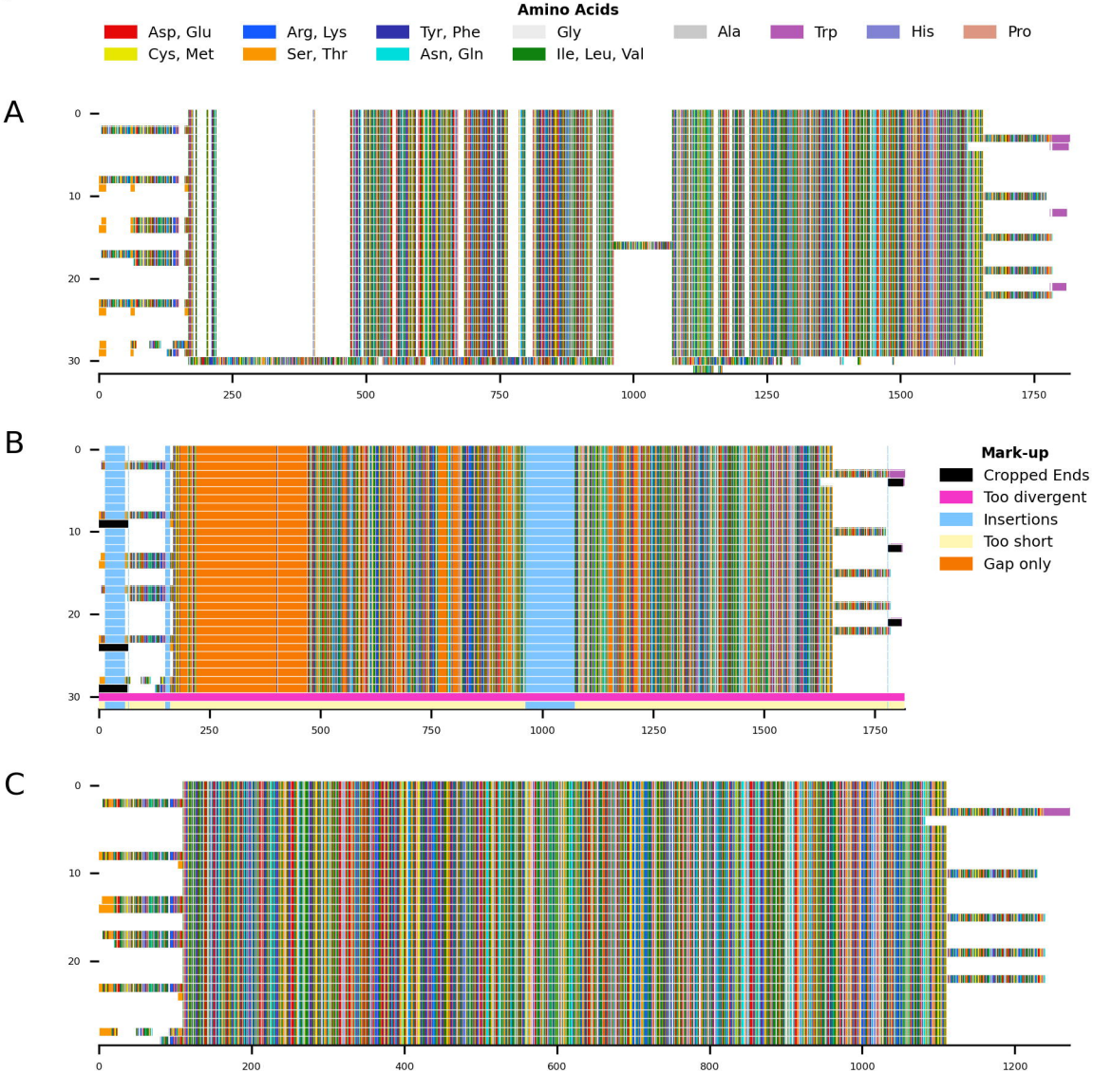
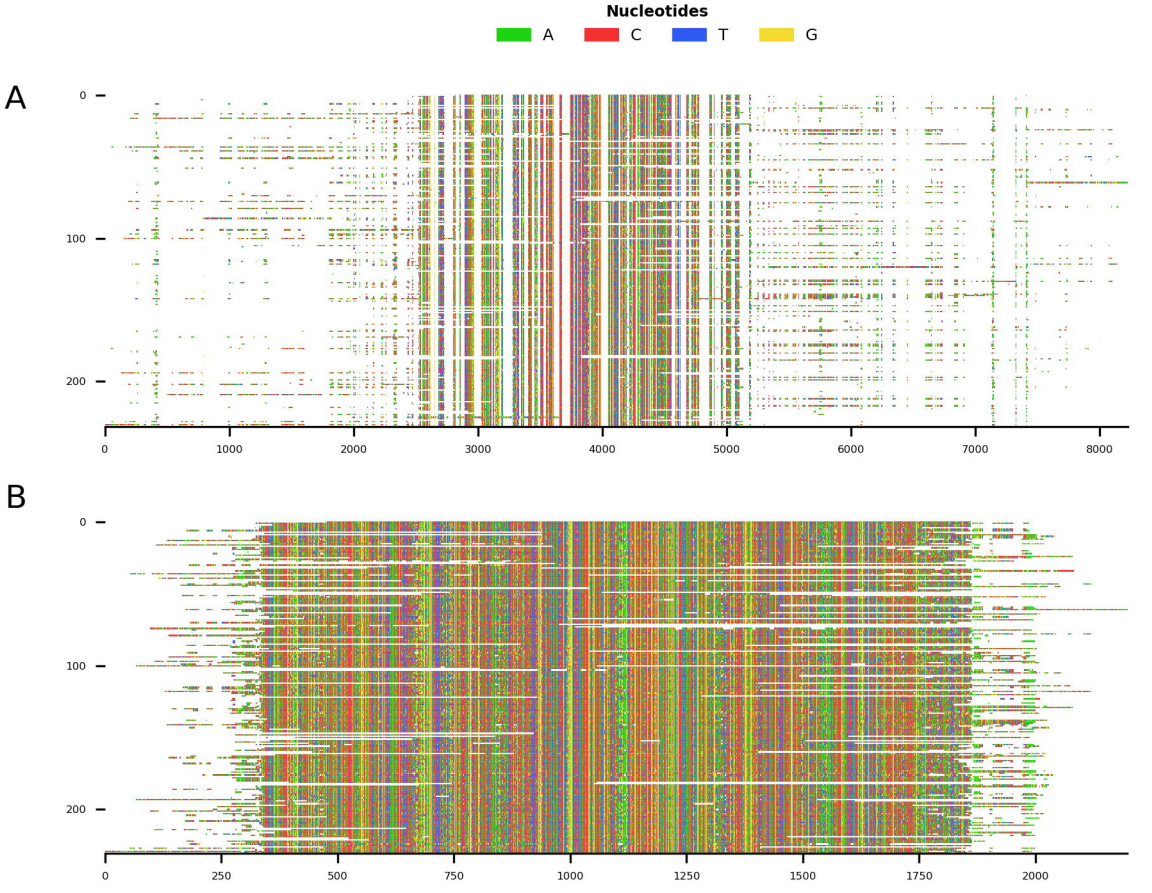

Amino Acids
Asp, Glu
Arg, Lys
Tyr, Phe
Gly
Ala
Trp
His
Pro
Cys, Met
Ser, Thr
Asn, Gln
Ile, Leu, Val
A
B
C
Mark-up
Cropped Ends
Too divergent
Insertions
Too short
Gap only

Figure 5


Nucleotides
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T
G
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3000
4000
5000
6000
7000
8000
B
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100
200
0
250
500
750
1000
1250
1500
1750
2000