

# Brain Tumor Detection using Federated Learning

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## **C S E 1 9 0 8 - C a p s t o n e P r o j e c t - P r o j e c t R e v i e w 2**

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# INTRODUCTION

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Federated learning (FL) is a cutting-edge machine learning paradigm that enables collaborative model training across multiple institutions without sharing sensitive data. This approach addresses significant privacy and governance challenges in healthcare by allowing local data to remain within institutional firewalls while still achieving high-performance models comparable to centralized data pooling methods.

In the context of any medical condition detection, FL offers promising applications in analyzing electronic health records, medical imaging, and signal data. It enhances the accuracy of disease diagnosis, supports personalized medicine, and facilitates real-time predictions. By leveraging FL, our project aims to develop robust models for detecting medical conditions while respecting patient privacy and data security.

# LITERATURE REVIEW

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Research Paper	Problem Addressed	Key Results	Dataset Used
Asymptotic Analysis of FL Under ETC	Communication-efficient FL with event-triggered SGD	Matches optimal convergence rates, flexible step sizes/triggers	
LIFL: Lightweight Serverless Platform for FL	Efficient serverless FL with hierarchical aggregation	2.7× faster, 5× less CPU than serverless; 1.6× faster than serverful	FEMNIST
Event-Driven Online VFL	Online VFL with event-driven activation and DLR	More stable, lower costs, sub-linear regret	i-MNIST, SUSY, HIGGS
Horizontal Federated Learning	Introduces HFL concepts and architectures	Summarizes privacy and efficiency advances from prior works	83% accuracy in classifying activities
FL: Strategies for Improving Comm. Efficiency	Communication-efficient FL techniques	Reduces communication by 100x with minor accuracy loss	CIFAR-10, Reddit Posts

# LITERATURE REVIEW

Research Paper	Problem Addressed	Proposed Solution	Dataset
Federated Learning in Health care Using Structured Medical Data	How FL can leverage structured medical data (e.g., EHRs) for multicenter clinical studies while preserving privacy and overcoming data-sharing challenges	Reviewed 23 studies; FL improves performance over local models (e.g., COVID-19 mortality prediction by Vaid et al. showed substantial gains); comparable to centralized models in some cases (e.g., Hansen et al.'s larynx cancer study); challenges include data heterogeneity and interpretability	MIMIC, Mount Sinai Health System EHRs, multi-institute EHRs
Federated Learning for Healthcare: A Comprehensive Review	How FL enables privacy-preserving deep learning in healthcare, addressing data security and collaboration across centers	Compared FL algorithms: FedAvg (82.74% server accuracy), FedPer (95.05% client accuracy), FedMA (77.91% server accuracy), Secret Sharing (faster, 85.35% server accuracy), Homomorphic Encryption (98% accurate, HIPAA/GDPR compliant); privacy preserved effectively	Human Activity Recognition dataset, TCGA
Federated learning-based AI approaches in smart healthcare: concepts, taxonomies, challenges and open issues	How FL and AI can enhance smart healthcare (e.g., IoMT, EHR management) while addressing privacy, security, and scalability issues	No experimental results; synthesizes FL-AI benefits: enhances privacy, reduces communication costs, balances accuracy-utility; identifies challenges like security, data heterogeneity; proposes future research directions (e.g., XAI integration)	IoMT, N-BalIoT
Privacy-Preserving Deep Learning: A Federated Learning Approach	Privacy issues in centralized deep learning models	FL framework combining differential privacy and secure multi-party computation	
Federated Learning for Healthcare: Systematic Review and Benchmarking	Challenges in deploying FL in healthcare	Systematic review and benchmarking of FL applications in healthcare	BraTS, COVID-19

# LITERATURE REVIEW

Research Paper	Problem Addressed	Key Results	Dataset Used
Federated Learning for Healthcare Domain - Pipeline, Applications and Challenges	How FL can be applied in healthcare to train models on distributed data while preserving privacy, and what challenges arise	FL effectively addresses privacy (e.g., GDPR, HIPAA compliance), outperforms centralized learning in privacy-sensitive cases; challenges include non-IID data, privacy risks, and computational costs	BraTS, EHRs, CIFAR-10
Federated Machine Learning in Healthcare: A Systematic Review on Clinical Applications and Technical Architecture	Investigating FL’s clinical applications, technical robustness, and barriers to real-world healthcare adoption	Only 5.2% of 612 studies are real-life applications; FL is robust across data types (41.7% imaging) and models (76.3% neural networks); barriers include privacy breaches, infrastructure, and explainability	MRI/CT, tumor segmentation, COVID-19
Federated Learning: Overview, Strategies, Applications, Tools and Future Direction	Overview of FL’s principles, strategies, and applications across domains (e.g., healthcare, IoT), with focus on privacy	FL strategies (e.g., FedProx, Scaffold) improve convergence on heterogeneous data; healthcare applications (e.g., EHR predictions) gain up to 10% accuracy; security risks persist but are mitigated by techniques	CIFAR-10(Strategic comparison), EHRs(Mortality, Disease prediction)
Vertical Federated Learning: Concepts, Advances	Comprehensive VFL review and VFLow framework	Synthesizes efficiency, effectiveness, privacy advances; highlights challenges	MIMIC-III, Avazu

# SCOPE AND PROBLEM STATEMENT

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The problem lies in the privacy concerns associated with centralized machine learning approaches for medical condition detection, which require aggregating sensitive patient data. To address this, we aim to develop a federated learning framework that enhances model accuracy while preserving patient privacy.

The scope of this project involves designing a federated learning system to train robust models for detecting specific medical conditions using decentralized data from multiple healthcare institutions. The focus will be on conditions like diabetes and cardiovascular diseases, using data types such as electronic health records and medical imaging. Key deliverables include a functional federated learning platform, performance evaluation, and best practices documentation.



# RESEARCH CHALLENGES

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- **Non-IID Data Handling:** Federated Learning (FL) systems often struggle with non-IID (independent and identically distributed) data, where the data distribution varies significantly across different clients. This can lead to difficulties in model convergence and reduced accuracy in detecting medical conditions effectively.
- **Resource Constraints on Edge Devices:** The implementation of FL on resource-constrained edge devices presents challenges in terms of computational power, memory, and energy consumption. Ensuring efficient processing while maintaining real-time performance is a significant challenge.
- **Model Personalization:** Achieving a balance between a generalized global model and client-specific personalized models is challenging. The heterogeneity in data across different environments requires models that are both accurate globally and effective locally.

# RESEARCH OBJECTIVE

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The primary objective of this research is to compare and evaluate the performance of machine learning models trained in traditional centralized environments with those trained using various federated learning (FL) algorithms for medical condition detection. Specifically, this study aims to assess the accuracy, efficiency, and privacy preservation of FL models in detecting specific medical conditions, such as diabetes or cardiovascular diseases, using diverse data types like electronic health records and medical imaging. The goal is to determine whether FL can achieve comparable or superior performance to traditional centralized approaches while maintaining enhanced data privacy and security. Additionally, the study will explore the challenges and opportunities associated with implementing FL in real-world healthcare settings.



# M E T H O D O L O G Y

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The objective of this project is to develop a FedAvg framework for detecting Brain Tumors.

FedAvg addresses key challenges such as handling non-IID data and optimizing resource usage on edge devices.

The framework aims to ensure model accuracy and convergence despite the variability in data distributions and resource constraints.

By overcoming these challenges, the system will provide an effective, for enhancing detection and recognition in real-world settings.

# METHODOLOGY

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In federated learning, the objective is to collaboratively train a global model  $w$  across a network of decentralized devices (clients) without aggregating the raw data on a central server.

The key steps included in the federated averaging process:

- Global Model Initialization
- Local Model Training
- Aggregation of Model Parameters on the Server
- Updating the Global Model



# MODEL

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The model is a multi-layer neural network designed for classification, starting with an input layer of 784 features, followed by five dense hidden layers with progressively fewer units (256, 128, 64, 32, 16). Each dense layer employs ReLU activation, L2 regularization to prevent overfitting, and batch normalization to stabilize training. Dropout layers are also included to improve generalization. The final output layer has 14 units with softmax activation, providing probabilities across 14 classes for activity classification.

# RESULTS AND DISCUSSION

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The BrnTmr framework was evaluated across a federated learning environment to test its capacity for detecting Brain Tumors in a distributed manner, without centralizing sensitive data. In this study, the model was trained on data from 05 client devices (simulating surveillance nodes) over 20 training rounds. Performance was measured using key metrics, namely sparse categorical accuracy and loss, to observe improvements in prediction accuracy and model refinement over multiple rounds.

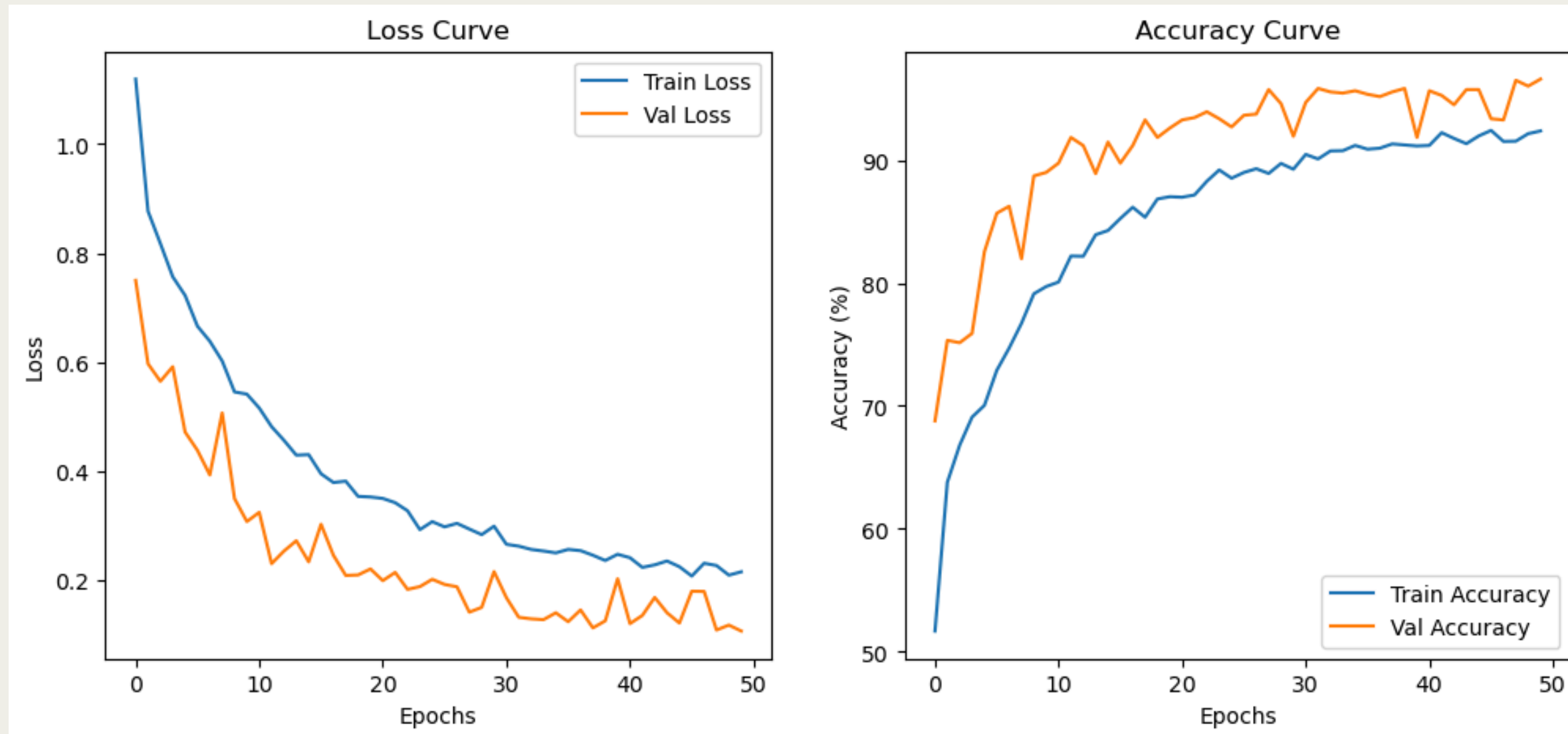
## Performance Observed:

- Accuracy
- Loss
- Confusion Matrix
- Classification Reports

# RESULTS AND DISCUSSION

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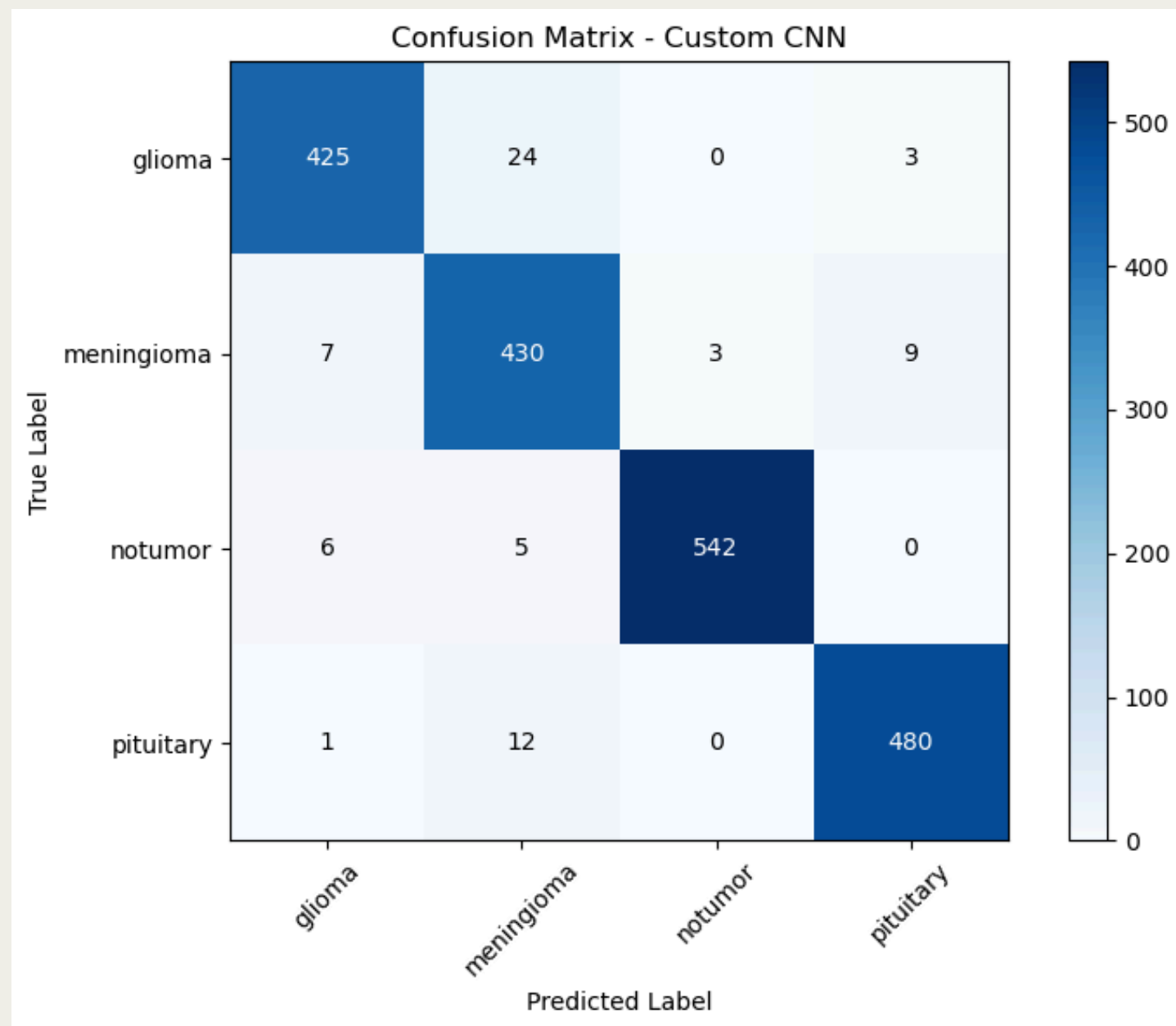
## Accuracy & Loss Curve CNN





# RESULTS AND DISCUSSION

## Confusion Matrix CNN

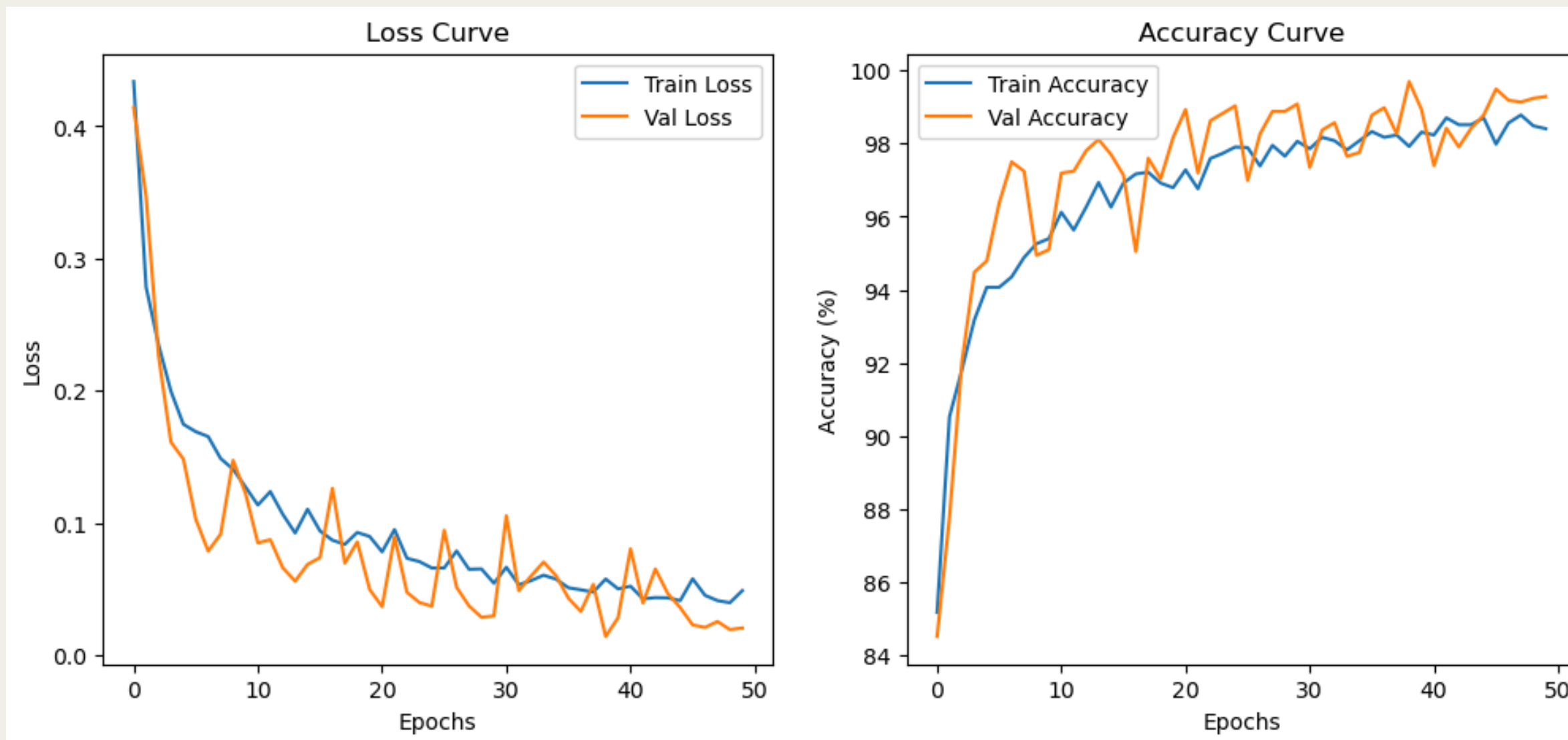




# RESULTS AND DISCUSSION

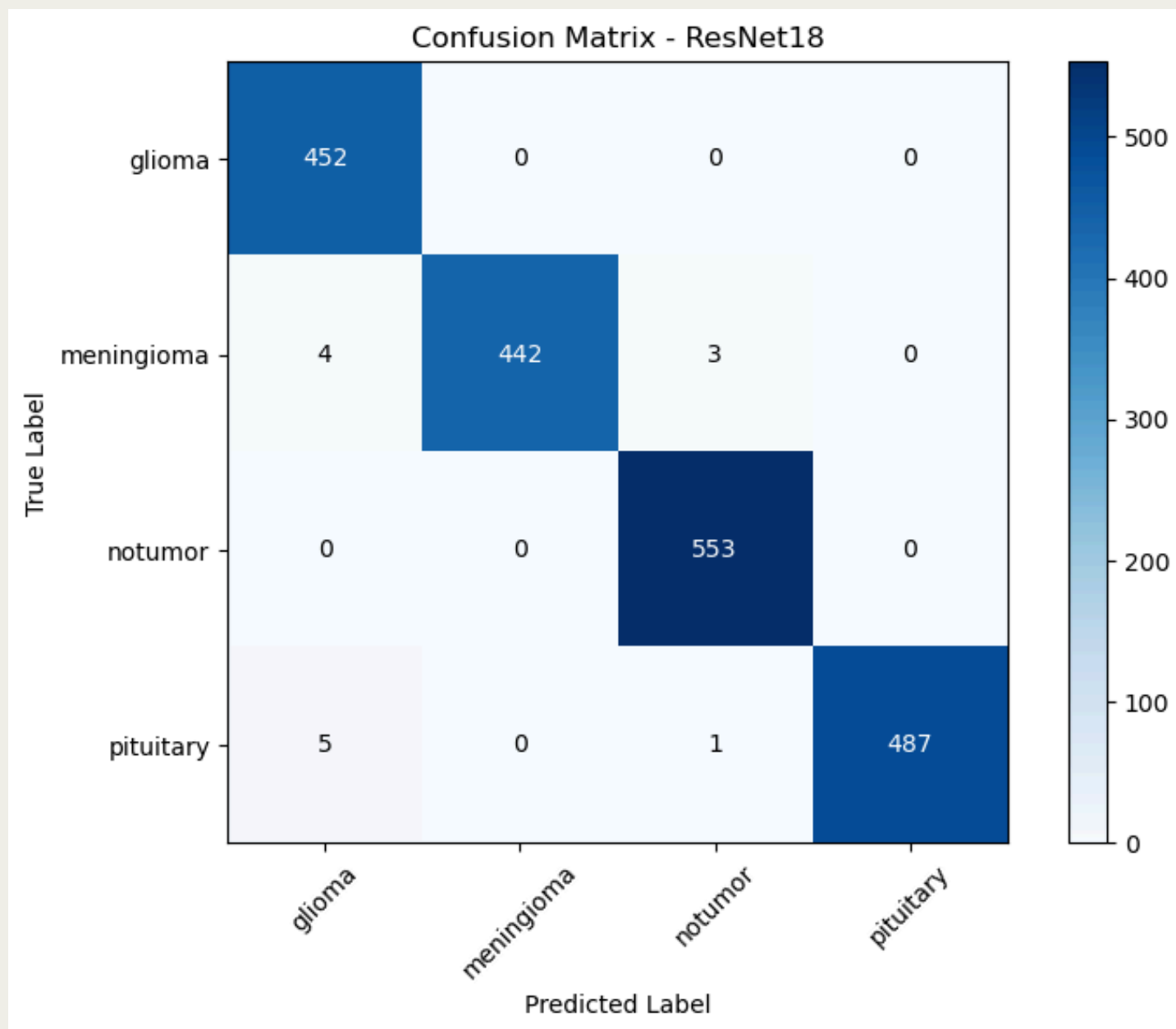
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## Accuracy and Loss Curve ResNet18



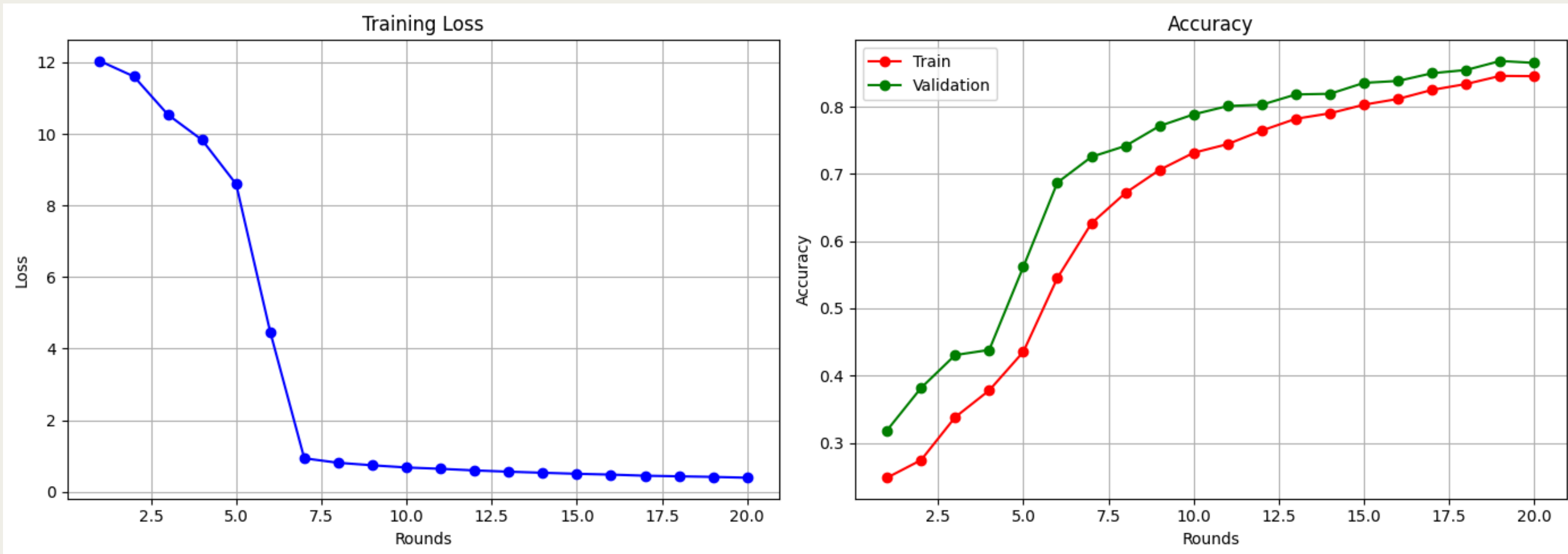
# RESULTS AND DISCUSSION

## Confusion Matrix ResNet18



# RESULTS AND DISCUSSION

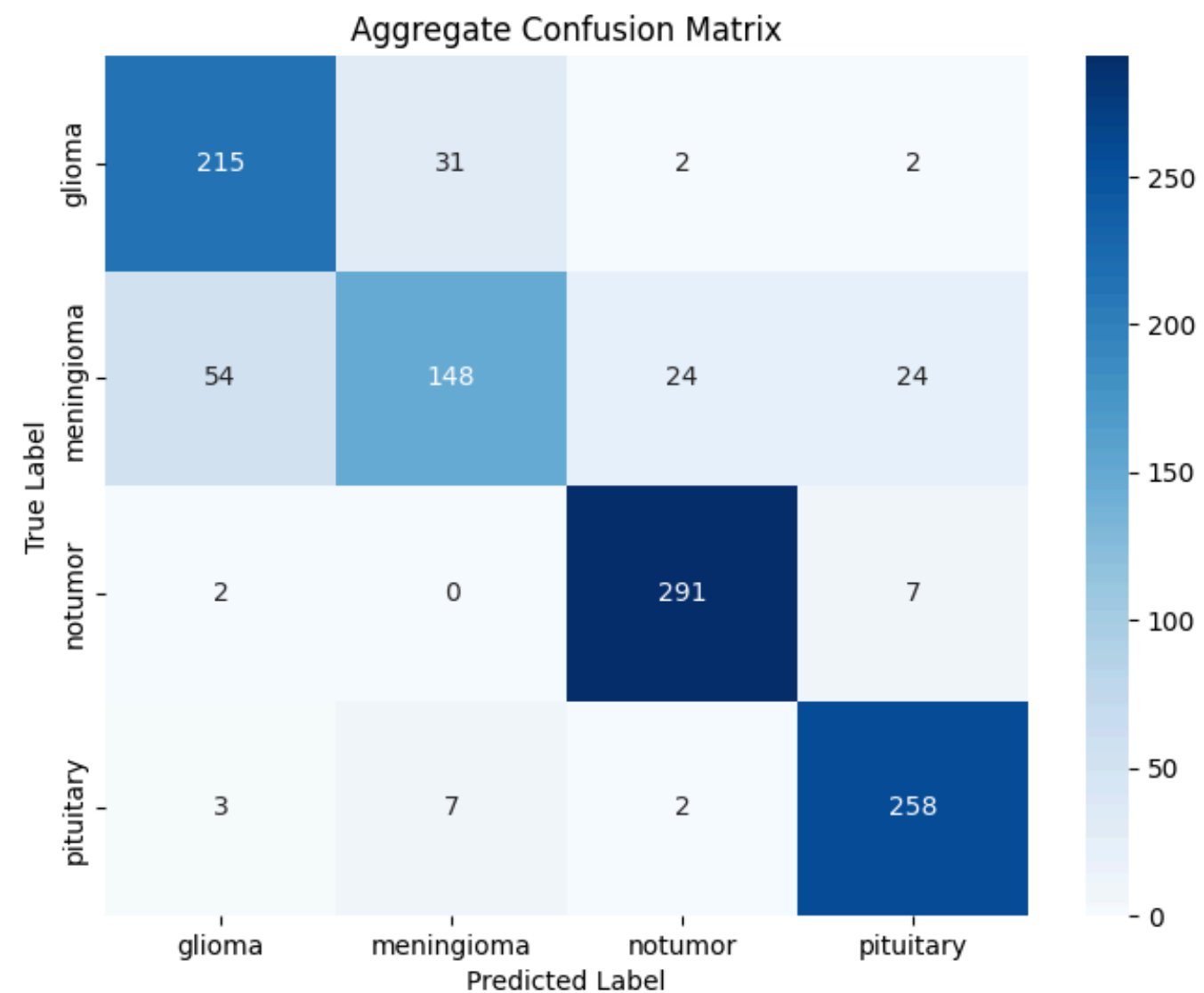
## Accuracy and Loss Curve: Federated Learning





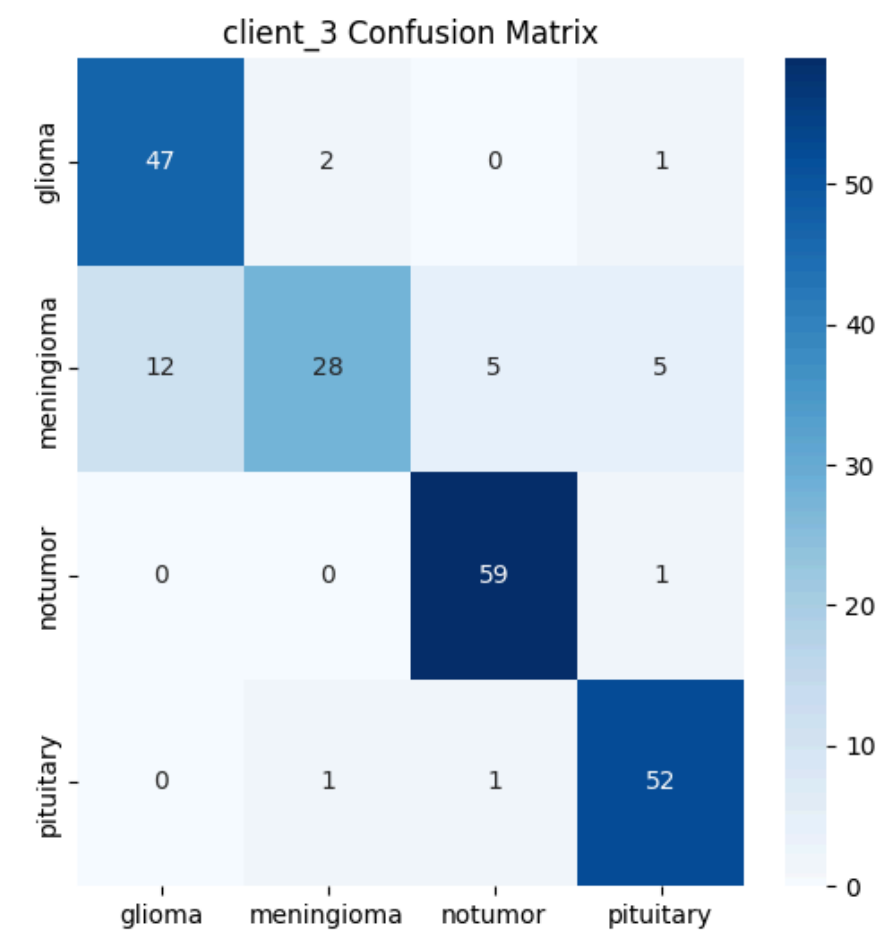
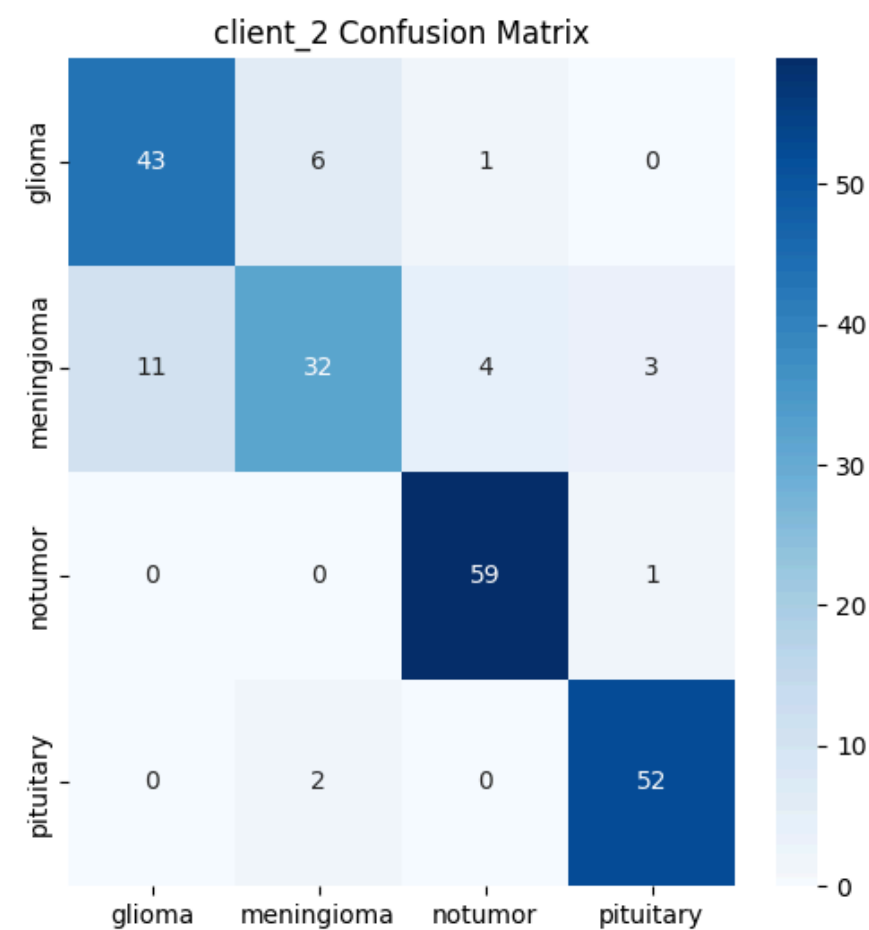
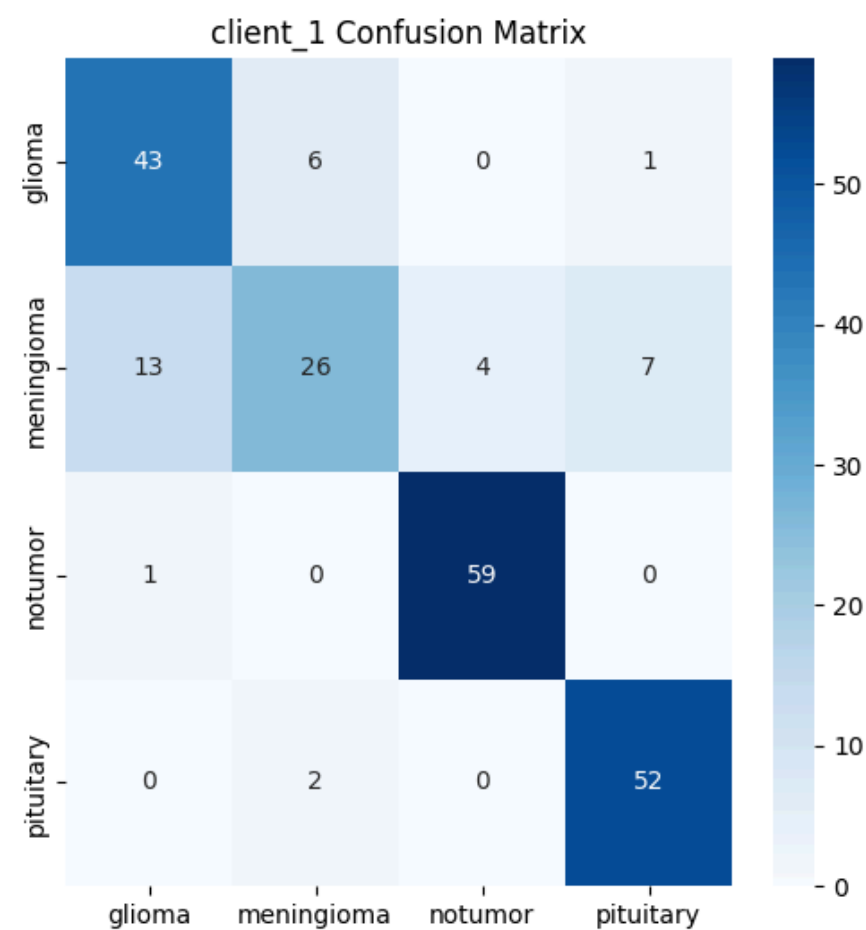
# RESULTS AND DISCUSSION

## Aggregated Confusion Matrix



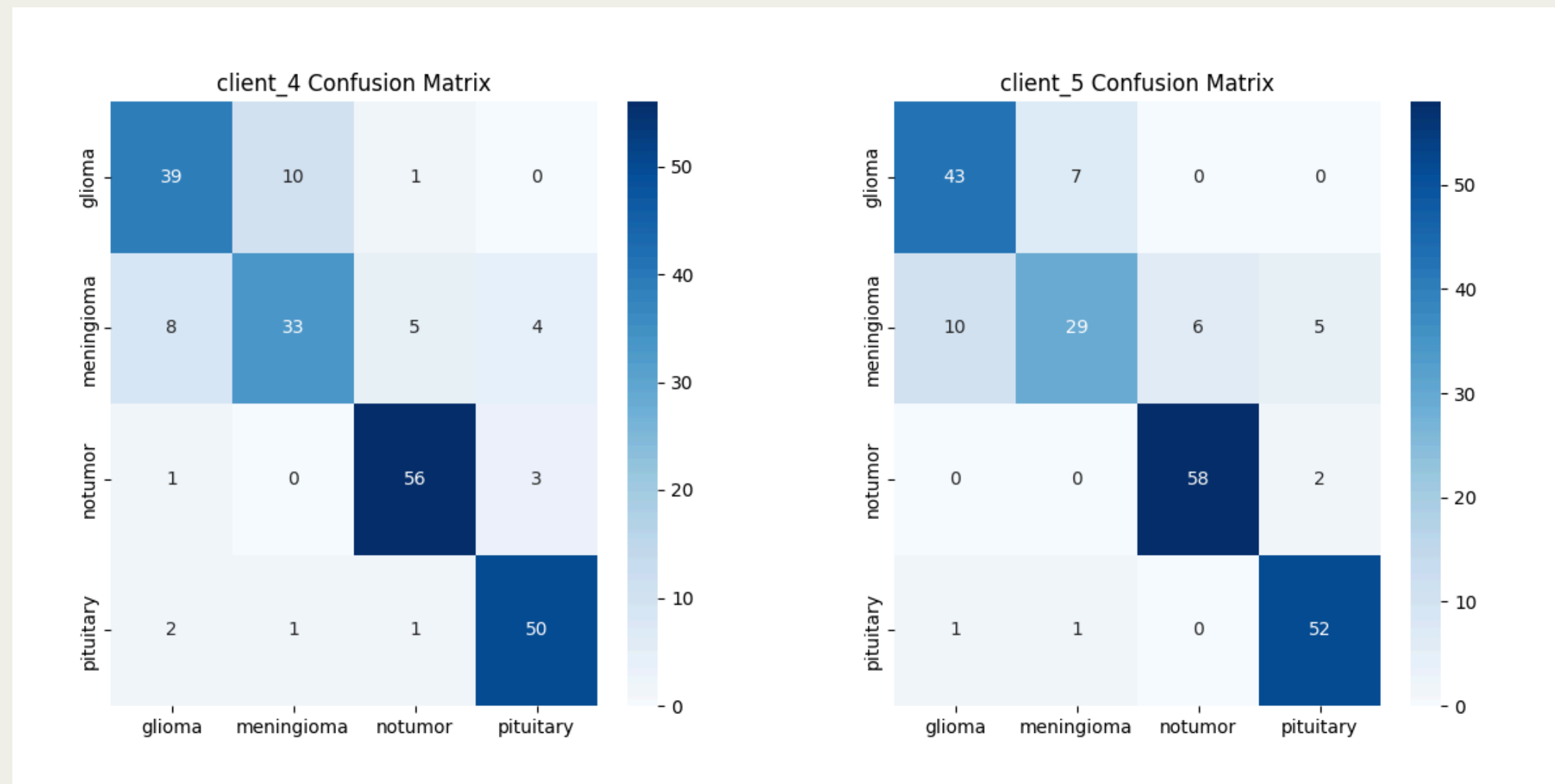
# RESULTS AND DISCUSSION

## Individual Clients Confusion Matrix



# RESULTS AND DISCUSSION

## Individual Clients Confusion Matrix





# CONCLUSION

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BrnTmr demonstrates the effectiveness of FedAvg for decreasing the data communication cost, decreasing computational costs and real-time suspicious activity detection. By decentralizing model training, it achieves promising accuracy results without sharing raw data, reducing communication costs and enhancing resilience. The model offers a scalable, privacy-respecting solution for public safety, adaptable to diverse and resource-limited environments.

# **LIMITATIONS AND FUTURE WORKS**

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- Future enhancements for BrnTmr include improving non-IID data handling, enhancing communication efficiency for real time training and detection, leveraging edge computing, and incorporating federated meta-learning. Further, integrating anomaly detection, differential privacy, real-time optimization, real-world testing, and explainable AI will strengthen model's adaptability, scalability, and trustworthiness for real-world surveillance applications.



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# GUIDE APPROVAL MAIL SNAPSHOT

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