# TensorFlow Tutorial #03 Convolutional Neural Network

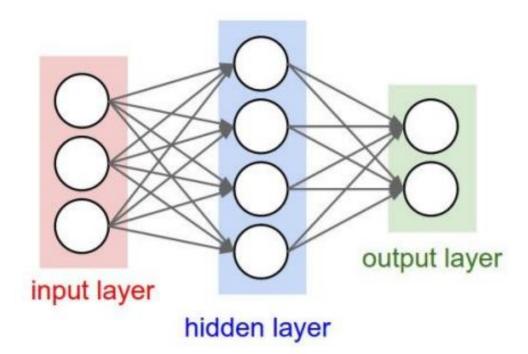
Seoung Wug Oh, Ph.D. Student Computational Intelligence and Photography Lab. Yonsei University

#### **Neural Network**

- For now
  - Linear function for logit:  $logit = W \times x + b$

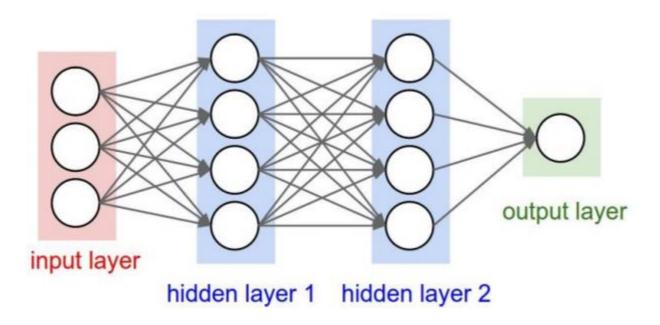
#### **Neural Network**

- For now
  - Linear function for logit:  $logit = W \times x + b$
- 2-layer Neural Network
  - $logit = W_2 \times \sigma(W_1 \times x + b_1) + b_2$



### Multi-Layer Perceptron

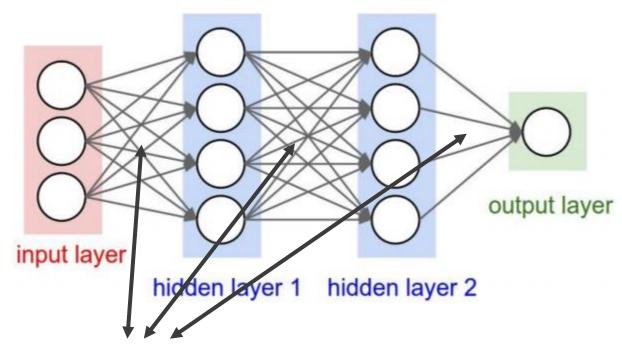
- 3-layer Neural Network
  - $logit = W_3 \times \sigma(W_2 \times \sigma(W_1 \times x + b_1) + b_2) + b_3$



Often called Multi-Layer Perceptron (MLP)

#### **Neural Network**

- 3-layer Neural Network
  - $logit = W_3 \times \sigma(W_2 \times \sigma(W_1 \times x + b_1) + b_2) + b_3$



Fully-connected or or Dense 'Layer'

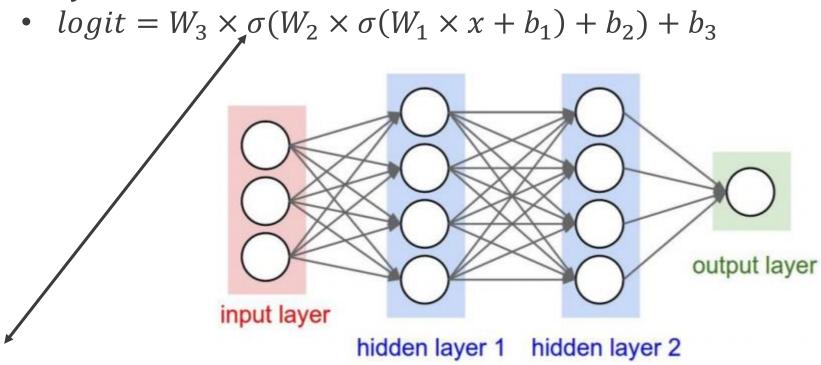
- Layer: weights (variable) + operation
  - This function defines new variables W, b
  - And also define operation tf.matmul

- With tf.variable\_scope('layer1'):
  - Set prefix to variable name
  - Variable name: 'W' -> 'layer1/W'

- tf.get\_variable():
  - Gets an existing variable with these parameters or create a new one.
  - Support initializer

- Weight initialization
  - Xavier initialization
     tf.contrib.layers.xavier\_initializer(uniform=False)
  - He's initialization
     tf.contrib.layers.variance\_scaling\_initializer(factor=2.
     0, mode='FAN IN', uniform=False)

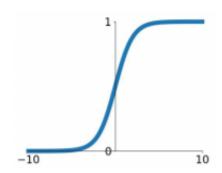
3-layer Neural Network



 $\sigma$ :
Activation function
Or non-linearity function

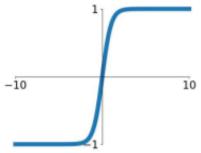
### **Sigmoid**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



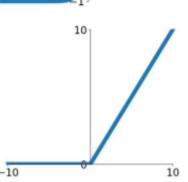
#### tanh

tanh(x)



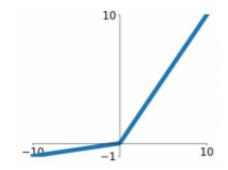
#### ReLU

 $\max(0, x)$ 



### Leaky ReLU

 $\max(0.1x, x)$ 

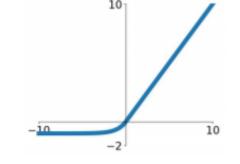


#### **Maxout**

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

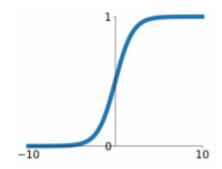
#### **ELU**

$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



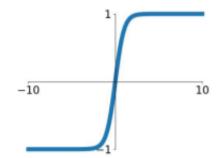
### **Sigmoid**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



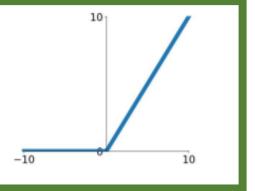
#### tanh

tanh(x)



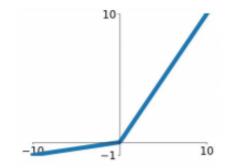
#### ReLU

 $\max(0, x)$ 



### Leaky ReLU

 $\max(0.1x, x)$ 

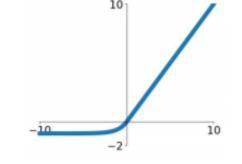


#### **Maxout**

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

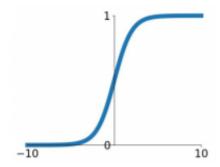
#### **ELU**

$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



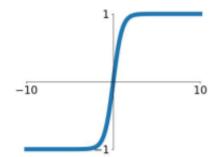
### **Sigmoid**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



#### tanh

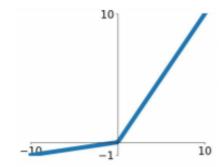
tanh(x)



tf.nn.relu(x)

#### Leaky ReLU

 $\max(0.1x, x)$ 

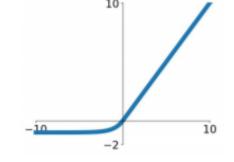


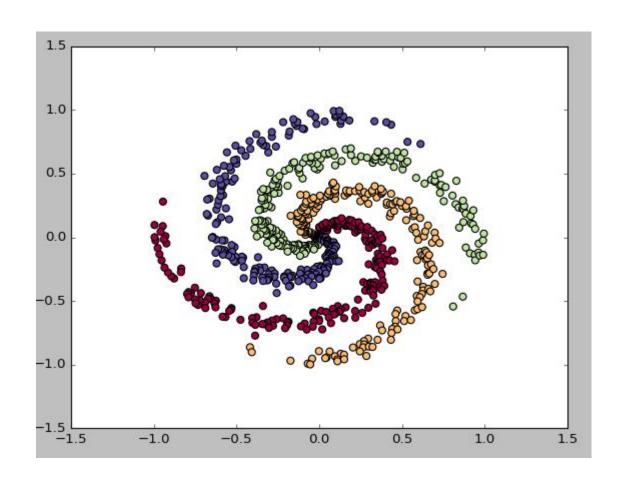
#### **Maxout**

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

#### **ELU**

$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$

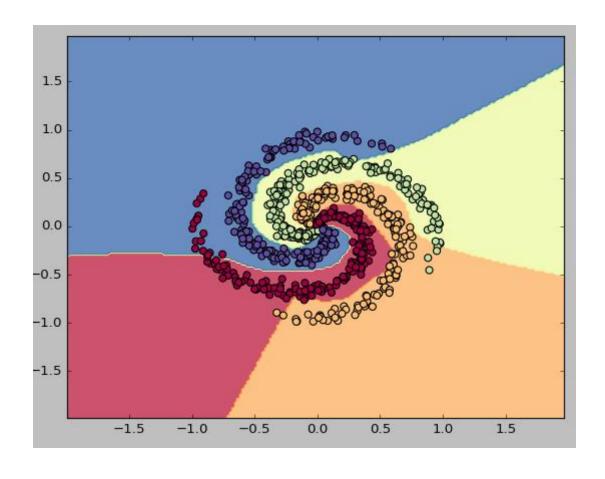




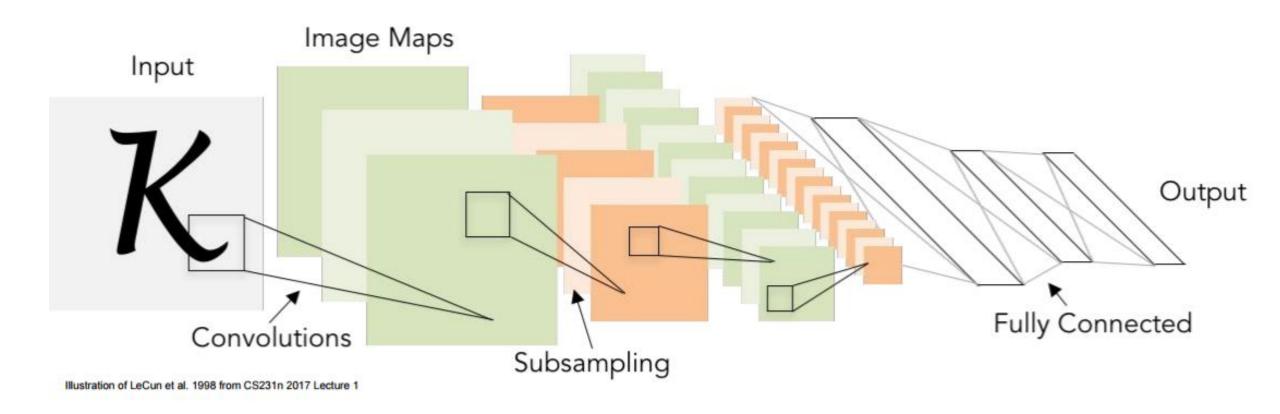
```
# Place holders
x = tf.placeholder(tf.float32, [None, 2]) # 2 dimensional input
y = tf.placeholder(tf.float32, [None, 4]) # 4 classes
# Construct MLP with two hidden layer
h = Dense(x, [2,64], 'ih')
h = tf.nn.relu(h)
h = Dense(h, [64, 64], 'hh')
h = tf.nn.relu(h)
logit = Dense(h, [64,4], 'hl')
pred = tf.nn.softmax(logit) # Softmax
# Directly compute loss from logit (to ensure stability and avoid overflow)
cost = tf.reduce mean(tf.nn.softmax cross entropy with logits(logits=logit, labels=y))
# Define optimizer and train op
train op = tf.train.GradientDescentOptimizer(learning rate).minimize(cost)
```

#### Result

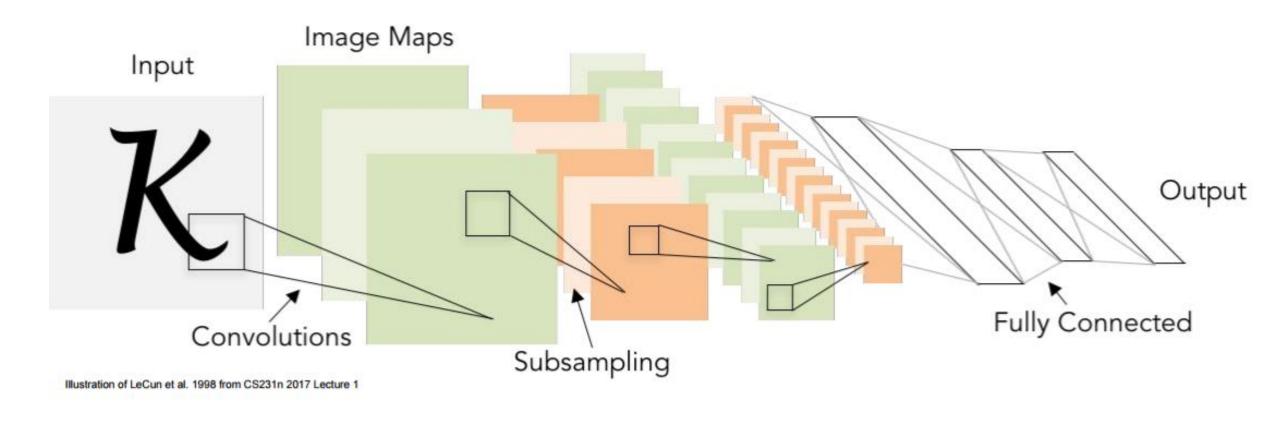
Non-linear decision boundary



#### **Convolutional Neural Networks**



#### **Convolutional Neural Networks**



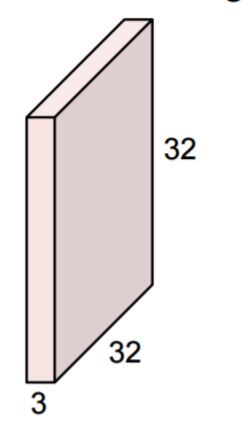
Convolutional Layer

Pooling Layer

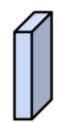
Flatten

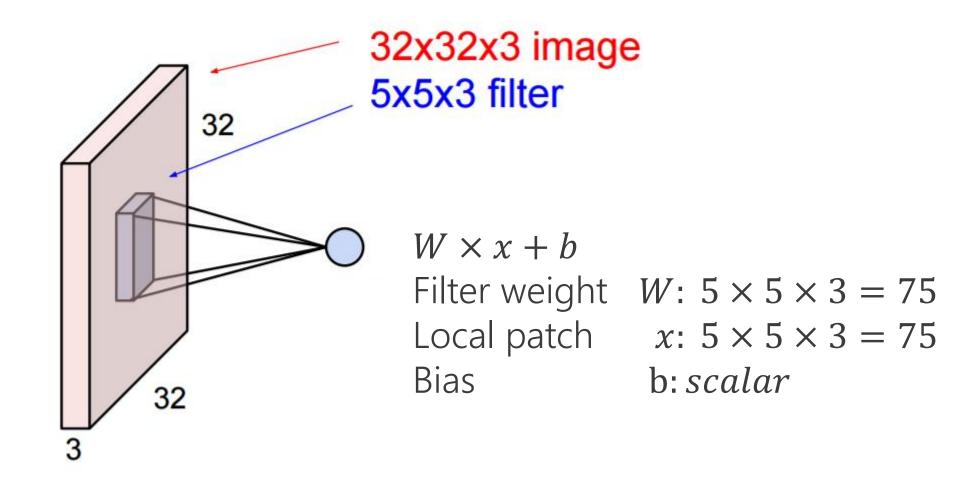
Fully connected Layer

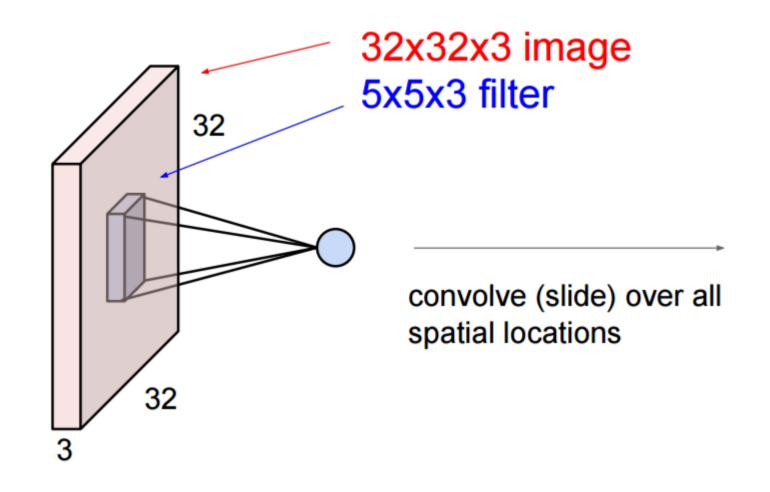
32x32x3 image



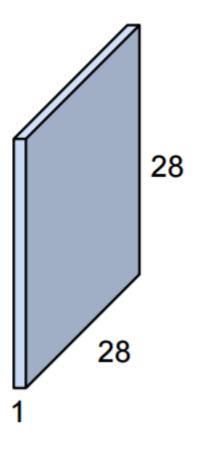
5x5x3 filter

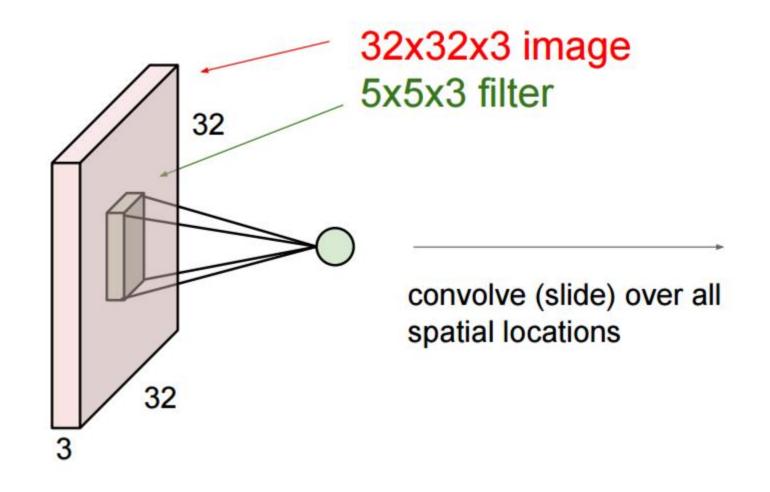




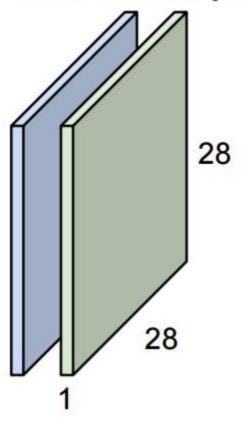


#### activation map

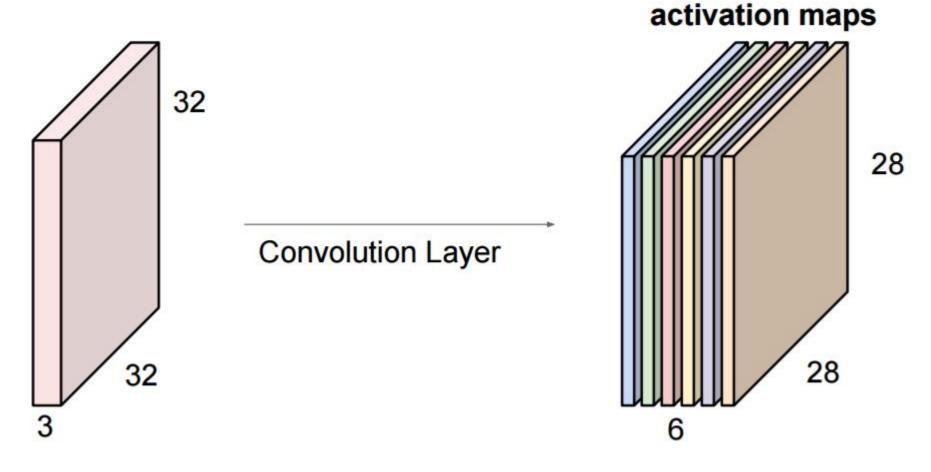




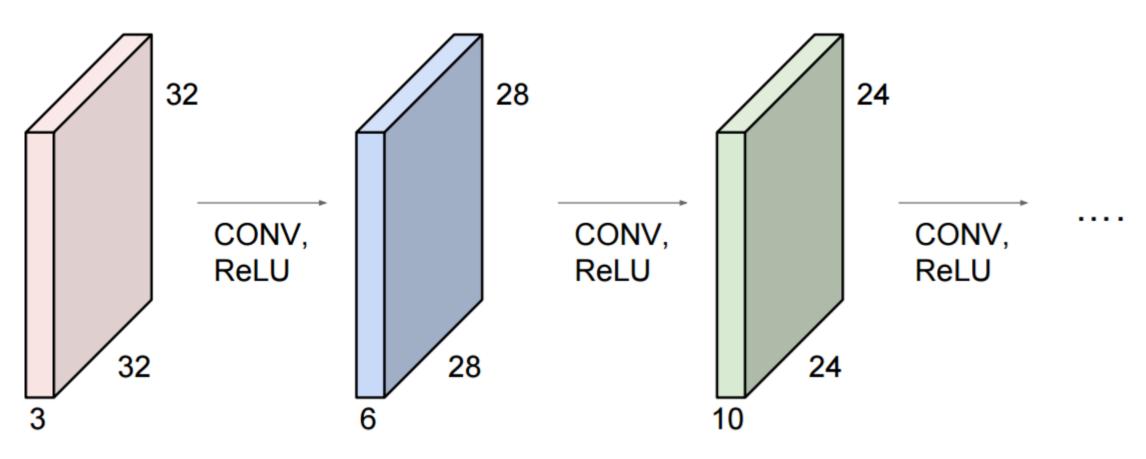
#### activation maps



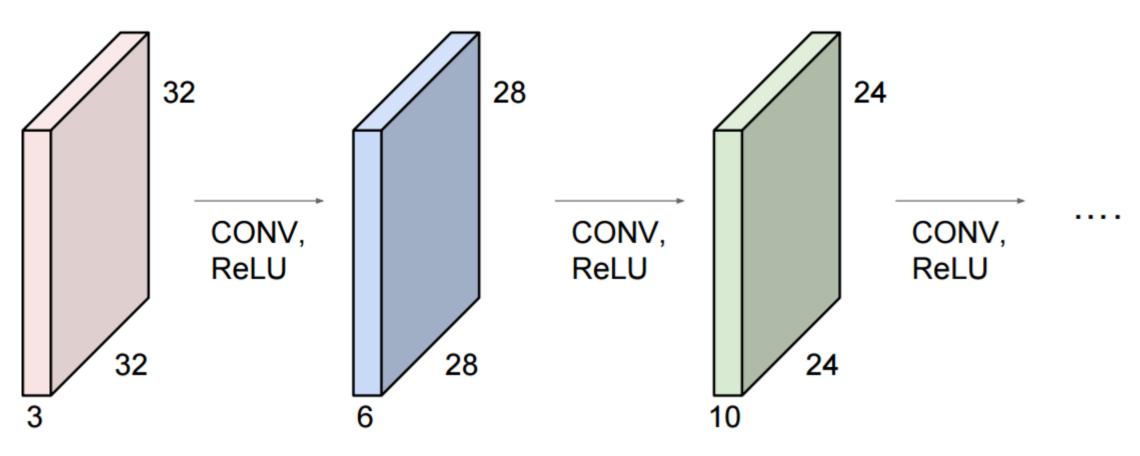
• If we have six 5x5x3 filters.



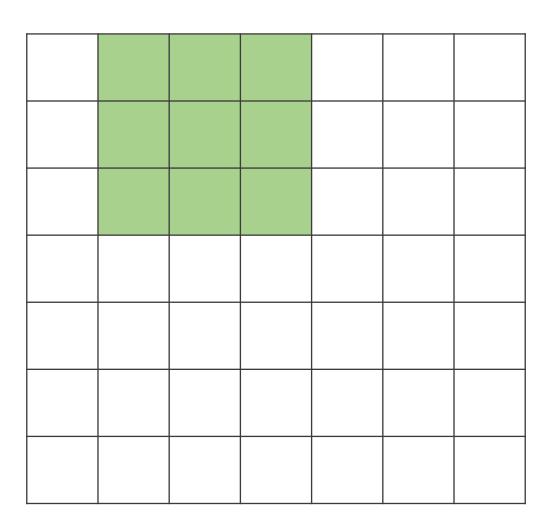
• This convolution layer have weight shape = [5,5,3,6].

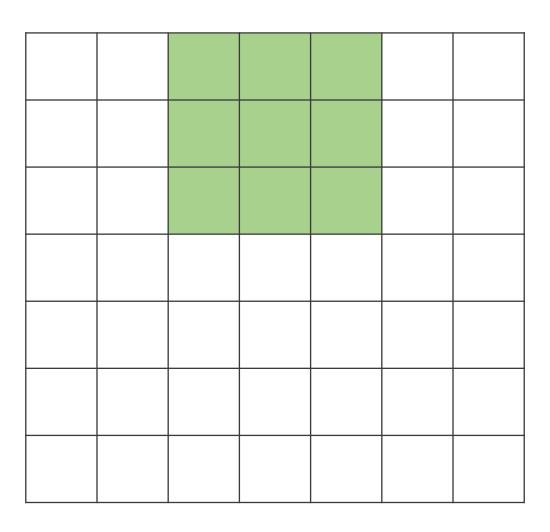


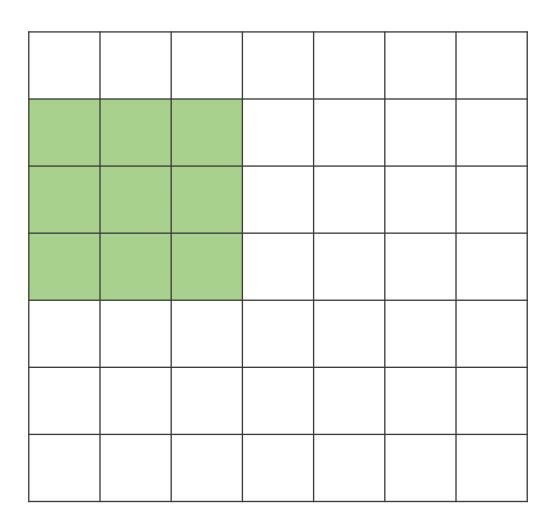
weight shape = [5,5,3,6] weight shape = ?

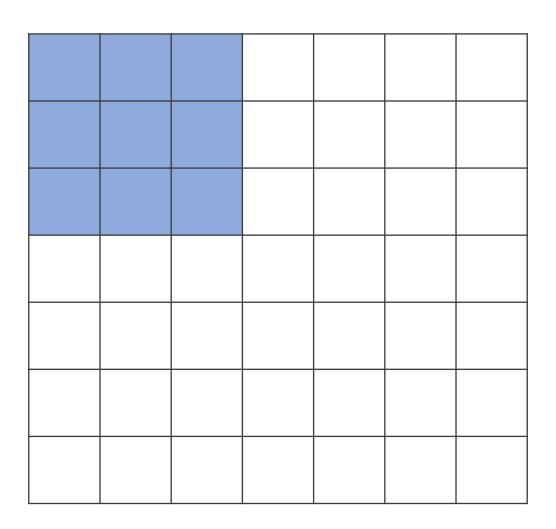


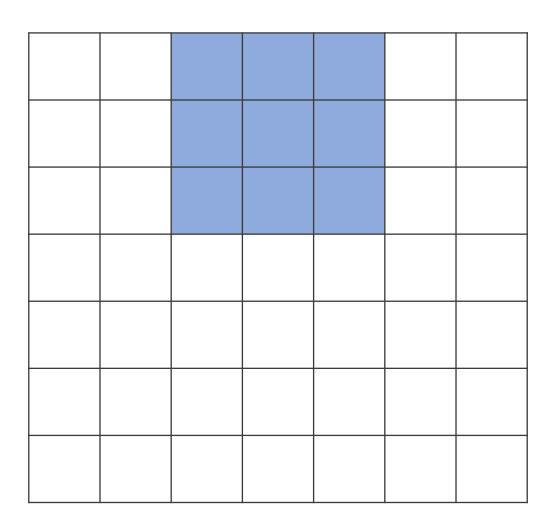
weight shape = [5,5,3,6] weight shape = [5,5,6,10]

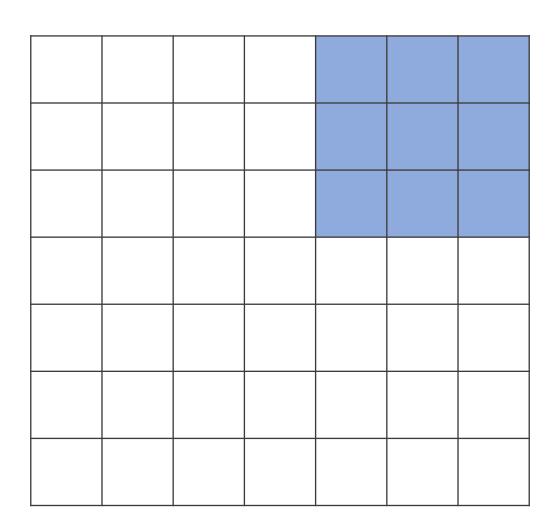




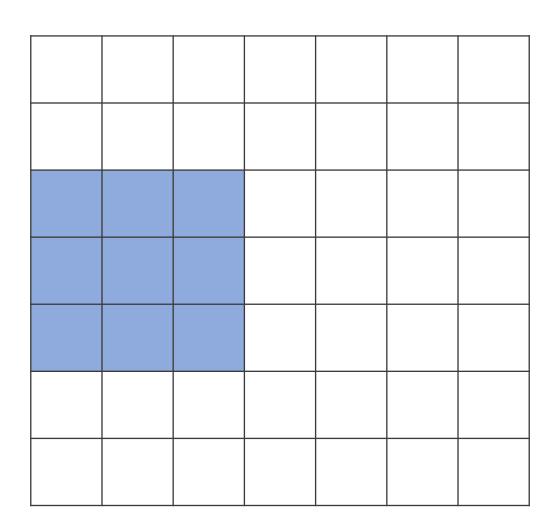








Stride = 2



Padding = 'SAME'

Zero padding to make the output size same as the input

7

7

Padding = 'SAME'

Zero padding to make the output size same as the input

9

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

9

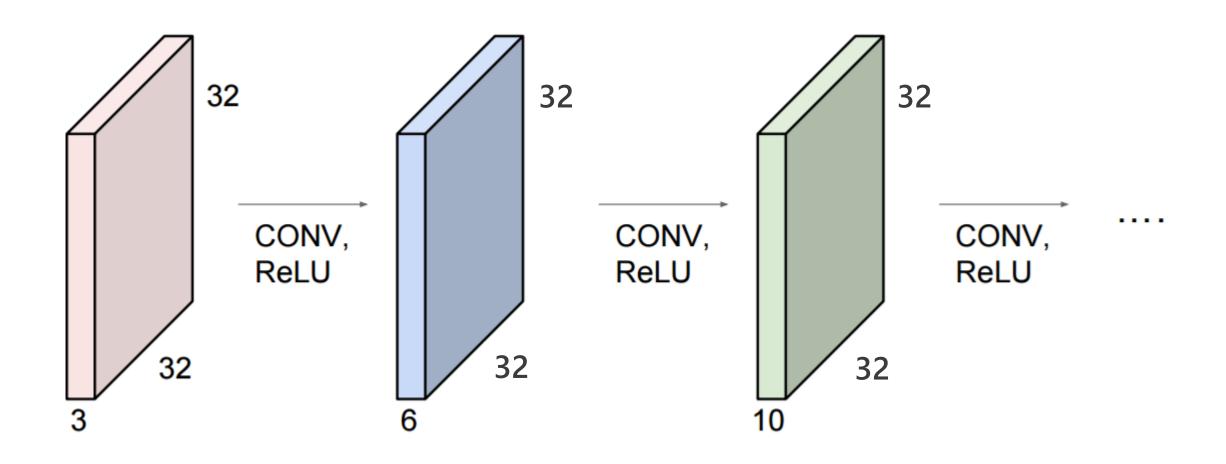
Padding = 'SAME'

Zero padding to make the output size same as the input

9

		7					0	0	0	0	0	0	0	0	0
							0								0
					3		0								0
							0								0
7				3		9 *	0								0
							0								0
							0								0
							0								0
							0	0	0	0	0	0	0	0	0

With padding, we don't need to care about spatial size.



# 2D Convolution Layer in TF

Convolution operation

#### tf.nn.conv2d

```
conv2d(
   input,
   filter,
   strides,
   padding,
   use_cudnn_on_gpu=None,
   data_format=None,
   name=None
Input shape = [batch, in_height, in_width, in_channels].

filter shape = [filter_height, filter_width, in_channels, out_channels]

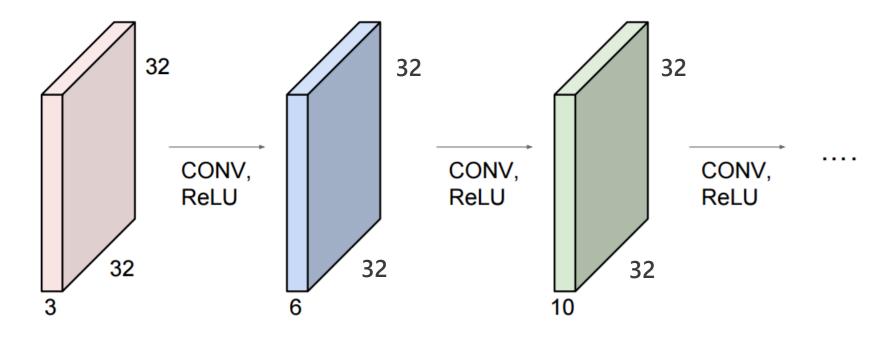
Strides = [1, vertical_stride, horizontal_stride, 1].

Padding = {'SAME', 'VALID'}
```

### 2D Convolution Layer in TF

- Convolutional Layer
  - Create filter weight W and bias b
  - Define tf.nn.conv2d operation between input and weights

#### 2D Convolution Layer in TF



#### Usage

```
h = Conv2D(x, [5,5,3,6], [1,1,1,1], 'SAME', 'conv1')
h = tf.nn.relu(h)
h = Conv2D(h, [5,5,6,10], [1,1,1,1], 'SAME', 'conv2')
h = tf.nn.relu(h)
...
```

- Spatially subsample feature (activation)
  - Max pooling
    - Kernel size = 2, Stride = 2, padding='SAME'

7	2	-4	2	
6	-7	9	3	
3	-3	-4	2	
-5	5	6	10	

- Spatially subsample feature (activation)
  - Max pooling
    - Kernel size = 2, Stride = 2, padding='SAME'

7	2	-4	2	
6	-7	9	3	
3	-3	-4	2	
-5	5	6	10	

- Spatially subsample feature (activation)
  - Max pooling
    - Kernel size = 2, Stride = 2, padding='SAME'

7	2	-4	2
6	-7	9	3
3	-3	-4	2
-5	5	6	10



7	9
5	

- Spatially subsample feature (activation)
  - Max pooling
    - Kernel size = 2, Stride = 2, padding='SAME'

7	2	-4	2	
6	-7	9	3	
3	-3	-4	2	
-5	5	6	10	



7	9
5	10

- Spatially subsample feature (activation)
  - Max pooling
  - Average pooling
  - Stride convolution
- Pooing in TensorFlow
  - tf.nn.max\_pool
  - tf.nn.avg\_pool
  - Strided convolution
    - Conv2D(x, [5,5,3,6], [1,2,2,1], 'SAME', 'conv1')

#### tf.nn.max\_pool

```
max_pool(
    value,
    ksize,
    strides,
    padding,
    data_format='NHWC',
    name=None
)
```

```
value shape = [batch, height, width, channels]
ksize (kernel size) shape = [1, kernel_height, kernel_width, 1]
strides = [1, vertical_stride, vertical_stride, 1]
padding: {'VALID', 'SAME'}
```

#### Usage

```
h = tf.nn.max_pool(h, [1,2,2,1], [1,2,2,1], 'SAME')
```

### **Example: Digit recognition**

- Problem
  - Given a 2D image, recognize digit
- Dataset: MNIST hand written digit dataset
  - x = 2D image with shape [28, 28, 1]
  - y = label

```
01234567899
01234567899
0123456789
0123456789
```

#### Data Loader for MNIST

```
# Import MNIST data
from tensorflow.examples.tutorials.mnist import input_data
mnist = input_data.read_data_sets("./data/", one_hot=True)
```

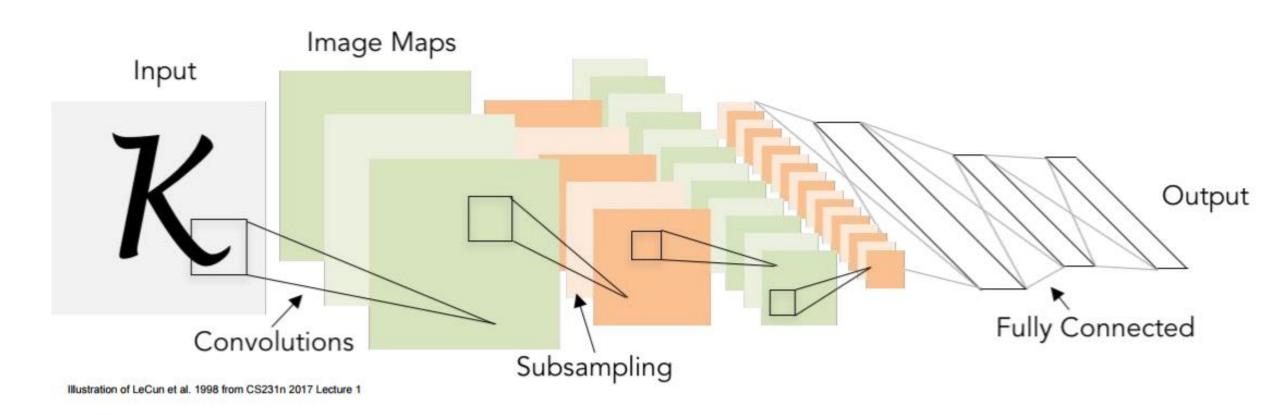
- TensorFlow provide data loader for MNIST ©
  - Automatically download and extract MNIST data
  - one\_hot vector: like [0,0,0,0,1,0,0,0,0,0]

- Input is now 4D tensor
  - Image with shape 28x28x1
  - The number of channels is 1 (grayscale image)

- Stacking some convolutional layers
  - Conv -> ReLU -> Pooling
  - Consider change of tensor shape!

```
# Place holders
x = tf.placeholder(tf.float32, [None, 28, 28, 1]) # mnist data image of shape [28, 28, 1]
y = tf.placeholder(tf.float32, [None, 10]) # 0-9 digits recognition => 10 classes
# Construct CNN
h = Conv2D(x, [3,3,1,4], [1,1,1,1], 'SAME', 'conv1') # shape: [Batch,28,28,4]
h = tf.nn.relu(h)
h = tf.nn.max\_pool(h, [1,2,2,1], [1,2,2,1], 'SAME') # shape: [Batch,14,14,4]
h = Conv2D(h, [3,3,4,8], [1,1,1,1], 'SAME', 'conv2') # shape: [Batch,14,14,8]
h = tf.nn.relu(h)
h = tf.nn.max\_pool(h, [1,2,2,1], [1,2,2,1], 'SAME') # shape: [Batch,7,7,8]
h = tf.reshape(h, [-1,7*7*8]) # flatten [Batch,7,7,8] -> [Batch,7*7*8]
logit = Dense(h, [7*7*8,10], 'fc1')
```

- Place fully-connected layer
  - Flatten 4D tensor to 2D Matrix using tf.reshape operation
  - Connect fully-connected (Dense) layer



```
h = tf.reshape(h, [-1,7*7*8]) # flatten [Batch,7,7,8] -> [Batch,7*7*8]
logit = Dense(h, [7*7*8,10], 'fc1')

pred = tf.nn.softmax(logit) # Softmax

# Directly compute loss from logit (to ensure stability and avoid overflow)
cost = tf.reduce_mean(tf.nn.sigmoid_cross_entropy_with_logits(logits=logit, labels=y))

# Define optimizer and train_op
train_op = tf.train.GradientDescentOptimizer(learning_rate).minimize(cost)
```

Remaining parts are same as softmax regression

#### **Training Loop**

```
# Open a Session
with tf.Session() as sess:
    sess.run(tf.global variables initializer())
   # Training cycle
    for epoch in range(training_epochs):
        avg cost = 0.
        total_batch = int(mnist.train.num_examples/batch_size)
        # Loop over all batches
        for i in range(total batch):
            batch xs, batch ys = mnist.train.next batch(batch size)
            batch xs = np.reshape(batch xs, [batch size, 28, 28, 1])
            # Run optimization op (backprop) and cost op (to get loss value)
            _, c = sess.run([train_op, cost], feed_dict={x: batch_xs, y: batch_ys})
            # Compute average loss
            avg cost += c / total batch
        # Display logs per epoch step
        if (epoch+1) % display_step == 0:
            print("Epoch:", '%04d' % (epoch+1), "cost=", "{:.9f}".format(avg cost))
```

#### **Training Loop**

```
# Open a Session
with tf.Session() as sess:
    sess.run(tf.global variables initializer())
    # Training cycle
    for epoch in range(training_epochs):
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            batch xs = np.reshape(batch xs, [batch size, 28, 28, 1])
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            _, c = sess.run([train_op, cost], feed_dict={x: batch_xs, y: batch_ys})
            # Compute average loss
            avg cost += c / total batch
        # Display logs per epoch step
        if (epoch+1) % display step == 0:
            print("Epoch:", '%04d' % (epoch+1), "cost=", "{:.9f}".format(avg cost))
```

### **Testing**

- Argmax for prediction
  - choose one class with maximum probability
- Accuracy is the percentage of prediction that is correct

#### Result

```
Epoch: 0001 cost= 0.210149454
```

Epoch: 0002 cost= 0.088126932

Epoch: 0003 cost= 0.072253242

Epoch: 0004 cost= 0.061968127

Epoch: 0005 cost= 0.054706751

Optimization Finished!

Accuracy: 0.9415

Advanced, Recent, and Practical techniques for Deep Convolutional Neural Network:

### Train deeper CNN faster

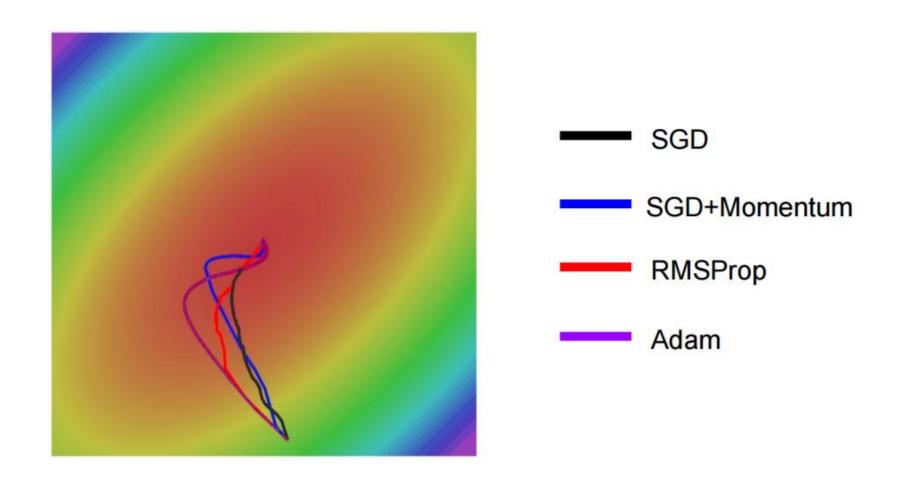
- Use more efficient Optimizer
  - Adam
- Do Data preprocessing
- Batch normalization
- Skip connection
  - Residual Network

#### Use more efficient Optimizer

- There are a lot of optimization methods that is better than simple SGD
- To use other optimizer, simply replace train\_op with
  - SGD + momentum tf.train.MomentumOptimizer(learning\_rate, momentum).minimize(cost)
  - RMSProp [Hinton] tf.train.RMSPropOptimizer(learning\_rate).minimize(cost)
  - Adam [Kingma, 2014]
     tf.train.AdamOptimizer(learning\_rate).minimize(cost)

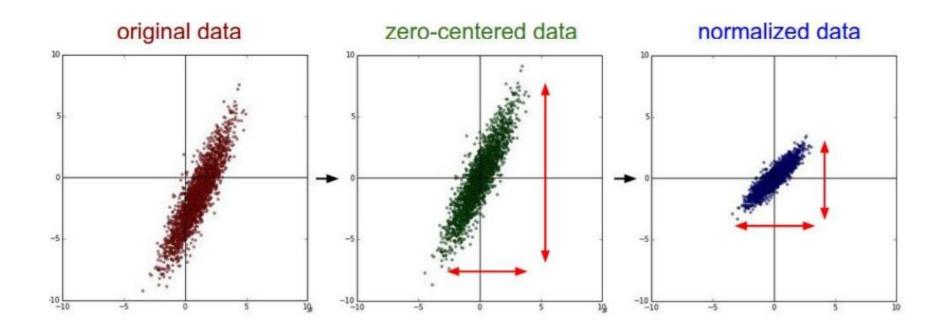
# Use more efficient Optimizer

Adam is a good default choice in most cases



### Do data preprocessing

• Especially, the model is simple (e.g. linear)



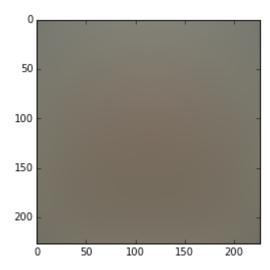
```
# Normalize features (zero mean, unit variance)
X_train = (X_train - np.mean(X_train, axis=0)) / np.std(X_train, axis=0)
X_test = (X_test - np.mean(X_test, axis=0)) / np.std(X_test, axis=0)
```

### Do data preprocessing

- For image data, zero center the image
  - Option 1)
     Subtract mean image

Option 2)
 Subtract mean RGB values

Mean image for ImageNet data

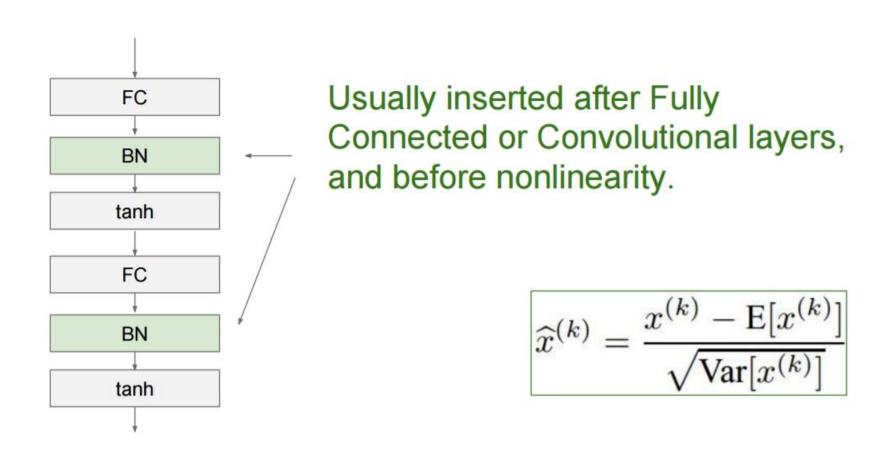


Mean RGB value for ImageNet data

= [123.68, 116.78, 0103.94]

## Batch Normalization [loffe and Szegedy, 2015]

Normalize activation map using batch statistics (mean, variance)

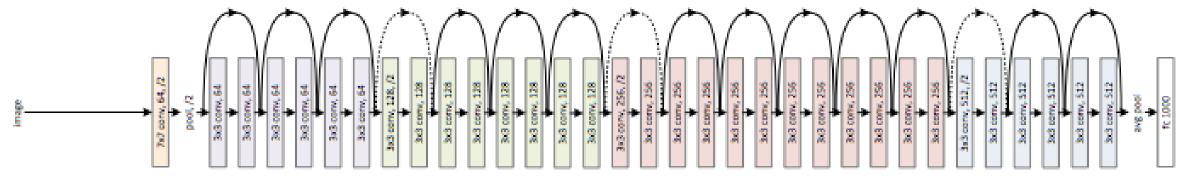


# Batch Normalization [loffe and Szegedy, 2015]

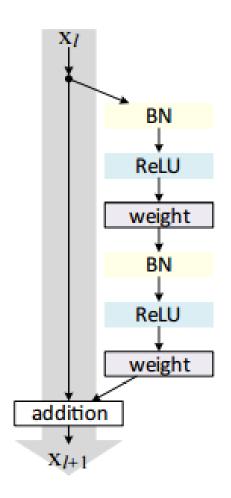
```
def BatchNorm(input, is_train, decay=0.999, name='BatchNorm'):
     from tensorflow.python.training import moving averages
     from tensorflow.python.ops import control flow ops
     axis = list(range(len(input.get shape()) - 1))
     fdim = input.get shape()[-1:]
     with tf.variable scope(name):
         beta = tf.get variable('beta', fdim, initializer=tf.constant initializer(value=0.0))
         gamma = tf.get variable('gamma', fdim, initializer=tf.constant initializer(value=1.0))
         moving mean = tf.get variable('moving mean', fdim, initializer=tf.constant initializer(value=0.0), trainable=False)
         moving variance = tf.get variable('moving variance', fdim, initializer=tf.constant initializer(value=0.0), trainable=False)
         def mean var with update():
             batch mean, batch variance = tf.nn.moments(input, axis)
             update_moving_mean = moving_averages.assign_moving_average(moving_mean, batch_mean, decay, zero_debias=True)
             update_moving_variance = moving_averages.assign_moving_average(moving_variance, batch_variance, decay, zero_debias=True)
             with tf.control dependencies([update moving mean, update moving variance]):
                 return tf.identity(batch mean), tf.identity(batch variance)
             mean, variance = control flow ops.cond(is train, mean var with update, lambda: (moving mean, moving variance))
         return tf.nn.batch normalization(input, mean, variance, beta, gamma, 1e-3)
```

# **Skip Connection**

Residual Network [He, 2016]



#### **Skip Connection**



Residual Block in TensorFlow

```
s = X
x = BatchNorm(x, is_train, name='bn1')
x = tf.nn.relu(x)
x = Conv2D(x, [3,3,256,256], [1,1,1,1], 'SAME', name='conv1')
x = BatchNorm(x, is_train, name='bn2')
x = tf.nn.relu(x)
x = Conv2D(x, [3,3,256,256], [1,1,1,1], 'SAME', name='conv2')
x += s
```

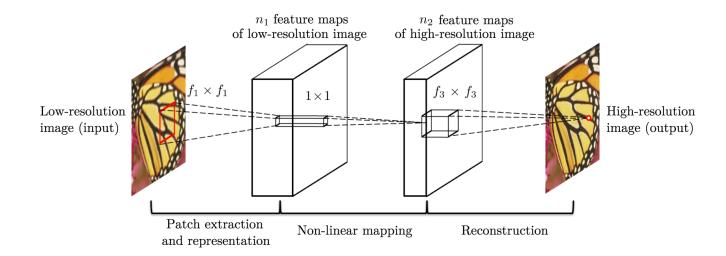
# Practice: MNIST digit recognition

We've explained and provided TF codes Now, build your own model for MNIST digit recognition.

Tune hyper parameters for the best model

- Network structure
- Optimizer / Learning rate
- Filter size / Filter number
- Etc...
- Consider training deep model using CPU can takes forever...
  - Design efficient network

- Problem
  - Given a low-res image, recover a high-res image
- Dataset
  - MNIST, again.
- SRCNN [Dong, 2014]



```
# Place holders
H = tf.placeholder(tf.float32, [None,28,28,1]) # Input image
L = tf.image.resize_bicubic(H, [7,7]) # Downsample input inside graph
L = tf.image.resize_nearest_neighbor(L, [28,28]) # and upsample back
```

- Place holder for high-resolution input
  - No need for digit labels
  - High resolution image is labels
- Generate low-resolution image within TF graph
  - tf.image.resize functions

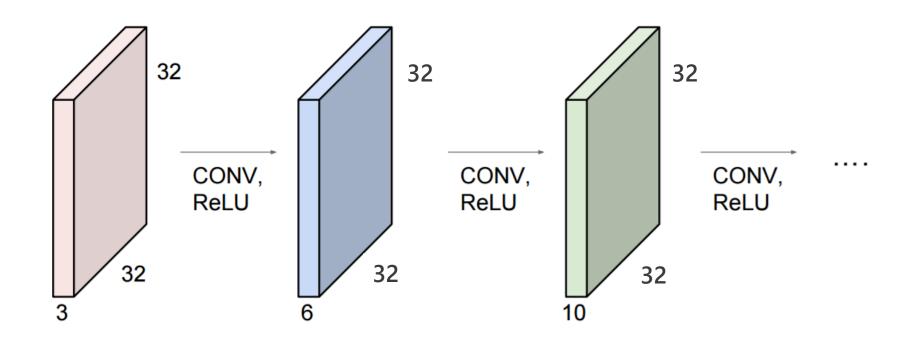
```
# Place holders
H = tf.placeholder(tf.float32, [None,28,28,1]) # Input image
L = tf.image.resize_bicubic(H, [7,7]) # Downsample input inside graph
L = tf.image.resize_nearest_neighbor(L, [28,28]) # and upsample back

# Construct CNN
h = Conv2D(L, [3,3,1,8], [1,1,1,1], 'SAME', 'conv1') # shape: [Batch,28,28,16]
h = tf.nn.relu(h)

h = Conv2D(h, [3,3,8,8], [1,1,1,1], 'SAME', 'conv2') # shape: [Batch,28,28,16]
h = tf.nn.relu(h)

pred = Conv2D(h, [3,3,8,1], [1,1,1,1], 'SAME', 'conv3') # shape: [Batch,28,28,1]
```

- Stack some convolution layers
  - Prediction is also an image [28,28,1]



```
# Place holders
H = tf.placeholder(tf.float32, [None,28,28,1]) # Input image
L = tf.image.resize_bicubic(H, [7,7]) # Downsample input inside graph
L = tf.image.resize nearest neighbor(L, [28,28]) # and upsample back
# Construct CNN
h = Conv2D(L, [3,3,1,8], [1,1,1,1], 'SAME', 'conv1') # shape: [Batch, 28, 28, 16]
h = tf.nn.relu(h)
h = Conv2D(h, [3,3,8,8], [1,1,1,1], 'SAME', 'conv2') # shape: [Batch,28,28,16]
h = tf.nn.relu(h)
pred = Conv2D(h, [3,3,8,1], [1,1,1,1], 'SAME', 'conv3') # shape: [Batch, 28, 28, 1]
# L2 (Euclidean) distance as cost function
cost = tf.reduce mean((pred - H)**2)
# Define optimizer and train op
train op = tf.train.AdamOptimizer(learning rate).minimize(cost)
```

#### Results



#### Acknowledgement

- Stanford CS231n
  - http://cs231n.stanford.edu/
  - http://cs231n.github.io/
- Andrew Ng's ML course
  - https://www.coursera.org/learn/machine-learning
- 모두를 위한 머신러닝/딥러닝 강의
  - https://hunkim.github.io/ml/