

YANG-MILLS ON RIEMANN SURFACES

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1. PRELIMINARY SETUP

To discuss the Yang-Mills functional, we must first fix some data. The setup will consist of the following ingredients

- (1) A compact manifold M .
- (2) A compact connected Lie group G .
- (3) A principal G -bundle $P \rightarrow M$.

With this data, we have two associated bundles

$$\begin{aligned}\mathrm{Ad} P &:= P \times_G G \\ \mathfrak{g}_P &:= P \times_G \mathfrak{g}\end{aligned}$$

Where the action of G on G is by conjugation, and the action of G on \mathfrak{g} is induced by the differential of conjugation. We note that these bundles both contain additional structure – $\mathrm{Ad} P$ is a bundle of groups (not a principal bundle), and \mathfrak{g}_P is a bundle of Lie algebras. The space of sections $\Gamma(M, \mathrm{Ad} P)$ has a natural group structure given by pointwise multiplication, and is called the ***gauge group*** $\mathcal{G}(P)$. Likewise, the space of sections $\Gamma(M, \mathfrak{g}_P)$ has a natural Lie algebra structure given by the pointwise Lie bracket, and can be naturally identified with the Lie algebra of $\mathcal{G}(P)$. An alternate characterization of these spaces of sections comes from a general characterization of sections of associated bundles

Proposition 1.1. *We have natural correspondences*

$$\begin{aligned}\Gamma(M, \mathrm{Ad} P) &\longleftrightarrow \left\{ f : P \rightarrow G : f(p \cdot g) = g^{-1} f(p) g \right\} \\ \Gamma(M, \mathfrak{g}_P) &\longleftrightarrow \left\{ g : P \rightarrow \mathfrak{g} : g(p \cdot g) = \mathrm{Ad}_{g^{-1}} f(p) \right\}\end{aligned}$$

From the above correspondence we have a group isomorphism $\mathcal{G}(P) \rightarrow \mathrm{Aut}(P)$, where $\mathrm{Aut}(P)$ denotes the group of G -equivariant diffeomorphisms $\varphi : P \rightarrow P$ such that the

following diagram commutes :

$$\begin{array}{ccc} P & \xrightarrow{\varphi} & P \\ & \searrow & \swarrow \\ & M & \end{array}$$

The isomorphism is given by mapping a G -equivariant map $f : P \rightarrow \mathfrak{g}$ to the automorphism $\varphi_f : P \rightarrow P$ defined by $\varphi_f(p) = p \cdot f(p)$. In the case of $\Gamma(M, \mathfrak{g}_P)$, we can extend this to the spaces of \mathfrak{g}_P valued forms. The kernel of the differential of the projection $P \rightarrow M$ gives a subbundle of TP , which has a natural identification with the trivial bundle $\mathfrak{g} = P \times \mathfrak{g}$. Then we can identify the space of sections of $\Lambda^k T^*M \otimes \mathfrak{g}_P$, (i.e. the space $\Omega_M^k(\mathfrak{g}_P)$ of \mathfrak{g}_P valued k -forms with a subspace of the space $\Omega_P^k(\mathfrak{g})$ of \mathfrak{g} -valued k -forms ω on P satisfying :

- (1) $R_g^* \omega = \text{Ad}_{g^{-1}} \omega$, where $R_g : P \rightarrow P$ denotes the right action of $g \in G$.
- (2) $\iota_{\xi} \omega = 0$ for any $\xi \in \mathfrak{g}$, where ι denotes interior multiplication, and we identify ξ with the constant vector field ξ under the identification of the vertical space with \mathfrak{g} .

We have a maps

$$\begin{aligned} \Omega_M^p(\mathfrak{g}_P) \otimes \Omega_M^q(\mathfrak{g}_P) &\rightarrow \Omega_M^{p+q}(\mathfrak{g}_P \otimes \mathfrak{g}_P) \\ (\omega_1 \otimes \xi_1) \otimes (\omega_2 \otimes \xi_2) &\mapsto (\omega_1 \wedge \omega_2) \otimes (\xi_1 \otimes \xi_2) \end{aligned}$$

From now on, we will usually omit the tensor symbol for \mathfrak{g}_P -valued forms in favor of juxtaposition, i.e. we write $\omega \xi$ instead of $\omega \otimes \xi$. Using the Lie bracket, we then get

$$\begin{aligned} \Omega_M^p(\mathfrak{g}_P) \otimes \Omega_M^q(\mathfrak{g}_P) &\rightarrow \Omega_M^{p+q}(\mathfrak{g}_P) \\ \omega \otimes \eta &\mapsto [\omega, \eta] \end{aligned}$$

For any semisimple Lie group G (in particular, for any compact Lie group G), we have an inner product $\langle \cdot, \cdot \rangle : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ that is invariant under the adjoint action (e.g. the Killing form). Fixing one such inner product induces a fiber product on the trivial bundle $P \times \mathfrak{g}$, and invariance guarantees that this descends to a fiber product on \mathfrak{g}_P . This gives us pairings

$$\begin{aligned} \Omega_M^p(\mathfrak{g}_P) \otimes \Omega_M^q(\mathfrak{g}_P) &\rightarrow \Omega_M^{p+q} \\ \omega \otimes \eta &\mapsto \langle \omega, \eta \rangle \end{aligned}$$

We then fix an orientation and Riemannian metric on M , which gives us a Hodge star operator $\star : \Omega_M^p \rightarrow \Omega_M^{n-p}$ and a Riemannian volume form dV_g . The Hodge star extends to \mathfrak{g}_P -valued k -forms, where given $\omega \in \Omega_M^p$ and $\xi \in \Gamma(M, \mathfrak{g}_P)$, we define $\star(\omega \xi) = (\star \omega) \xi$. Then given $\omega_1 \xi_1, \omega_2 \xi_2 \in \Omega_M^p(\mathfrak{g}_P)$, we have

$$\langle \omega_1 \xi_1, \star \omega_2 \xi_2 \rangle = \langle \omega_1, \omega_2 \rangle_g \langle \xi_1, \xi_2 \rangle$$

where $\langle \cdot, \cdot \rangle_g$ denotes the fiber metric on $\Lambda^p T^*M$ induced by g . This gives us an inner product on each $\Omega_M^p(\mathfrak{g}_P)$ defined by

$$(\theta, \varphi) = \int_M \langle \theta, \star \varphi \rangle$$

Which gives us the L_2 norm on $\Omega_M^p(\mathfrak{g}_P)$ with $\|F\|_{L^2}^2 = (F, F)$.

Definition 1.2. A connection on a principal bundle $\pi : P \rightarrow M$ is a choice of G -invariant splitting of the exact sequence of vector bundles over P

$$0 \longrightarrow \underline{\mathfrak{g}} \longrightarrow TP \longrightarrow \pi^*TM \longrightarrow 0$$

i.e. a distribution $H \subset TP$ such that

- (1) $(R_g)_*H_p = H_{p \cdot g}$
- (2) $H \oplus \underline{\mathfrak{g}} = TP$

Equivalently, it is the data of a \mathfrak{g} -valued 1-form $A \in \Omega_P^1(\mathfrak{g})$ satisfying

- (1) $R_g^*A = \text{Ad}_{g^{-1}} A$
- (2) $\iota_{\xi}A = \xi$ for all $\xi \in \mathfrak{g}$.

Note in particular that by a dimension count, we have that $\pi_*|_H : H \rightarrow TM$ is an isomorphism. This implies that given a tangent vector v at x and a point $p \in P$ in the fiber over x , we get a unique horizontal lift $\tilde{v} \in H_p$. For a fixed principal G -bundle $\pi : P \rightarrow M$, let $\mathcal{A}(P)$ denote the space of all connections on P , which is an affine space over $\Omega_M^1(\mathfrak{g}_P)$.

Given any distribution $E \subset TP$, we get a Frobenius tensor $\phi_E : E \otimes E \rightarrow TP/E$ given by $X \otimes Y \rightarrow [X, Y] \bmod E$ where we extend X and Y to local vector fields. The Frobenius tensor should be thought of as the obstruction to the existence of an integral submanifold for the distribution E . In the case of a connection H on a principal bundle $P \rightarrow M$, we can extend to all of TP by first projecting onto H , and have an identification of $TP/H \cong \underline{\mathfrak{g}}$, and the Frobenius tensor is given by $X \otimes Y \mapsto A([X, Y])$, where A is the connection 1-form, and is called the *curvature form* of the connection, and is denoted F_A . In terms of differential forms, we have that for horizontal vectors ξ_1, ξ_2 on TP ,

$$dA(\xi_1, \xi_2) = \xi_1 A(\xi_2) - \xi_2 A(\xi_1) - A([\xi_1, \xi_2])$$

The fact that ξ_1 and ξ_2 are horizontal implies that they are in the kernel of A , which gives us that

$$dA + \frac{1}{2}[A, A] = -F_A^1$$

It can be shown that F_A transforms by the adjoint action under pullback, and vanishes on vertical vectors, so it descends to a \mathfrak{g}_P -valued 2-form on the base manifold M .

Another thing to note is that there is a natural action of the gauge group $\mathcal{G}(P)$ on the space of connections $\mathcal{A}(P)$. Interpreting the elements of $\mathcal{G}(P)$ as bundle automorphisms $\varphi : P \rightarrow P$ and elements of $\mathcal{A}(P)$ as \mathfrak{g} -valued 1-forms A on P , the action is simply pullback, $(\varphi, A) \mapsto \varphi^*A$. To show that this defines an action, we must check that φ^*A satisfies the conditions

- (1) $R_g^*\varphi^*A = \text{Ad}_{g^{-1}}\varphi^*A$
- (2) $\iota_{\xi}\varphi^*A = \xi$ for all $\xi \in \mathfrak{g}$.

¹Our convention for the sign of the curvature is opposite from many other conventions, which usually sets $F_A = dA + \frac{1}{2}[A, A]$

Which are all simple consequences of the G -equivariance of φ and the transformation law for A . For a specific formula, let $\varphi : P \rightarrow P$ be an element of the gauge group, and let $g_\varphi : P \rightarrow G$ be its associated G -equivariant map. Then

$$\varphi^* A = \text{Ad}_{g_\varphi^{-1}} A + g_\varphi^* \theta$$

where $\theta \in \Omega_G^1(\mathfrak{g})$ denotes the *Maurer-Cartan form*

$$\theta_g(v) = (dL_{g^{-1}})_g(v)$$

which satisfies the *Maurer-Cartan equation*

$$d\theta + \frac{1}{2}[\theta, \theta] = 0$$

Proposition 1.3. *Let $A \in \mathcal{A}(P)$ be a connection and $\varphi : P \rightarrow P$ an element of $\mathcal{G}(P)$ with associated G -equivariant map $g_\varphi : P \rightarrow G$. Then*

$$F_{\varphi^* A} = \text{Ad}_{g_\varphi^{-1}} F_A$$

Proof. Using the transformation law for $\varphi^* A$ we compute

$$\begin{aligned} F_{\varphi^* A} &= d(\text{Ad}_{g_\varphi^{-1}} A + g_\varphi^* \theta) + \frac{1}{2}[\text{Ad}_{g_\varphi^{-1}} A + g_\varphi^* \theta, \text{Ad}_{g_\varphi^{-1}} A + g_\varphi^* \theta] \\ &= \text{Ad}_{g_\varphi^{-1}} dA + g_\varphi^* d\theta + \frac{1}{2} \left([\text{Ad}_{g_\varphi^{-1}} A, \text{Ad}_{g_\varphi^{-1}} A] + [\text{Ad}_{g_\varphi^{-1}} A, g_\varphi^* \theta] + [g_\varphi^* \theta, \text{Ad}_{g_\varphi^{-1}} A] + [g_\varphi^* \theta, g_\varphi^* \theta] \right) \\ &= \text{Ad}_{g_\varphi^{-1}} dA + \frac{1}{2}[\text{Ad}_{g_\varphi^{-1}} A, \text{Ad}_{g_\varphi^{-1}} A] \end{aligned}$$

Where we use skew-symmetry and the Maurer-Cartan equation. ■

2. THE YANG-MILLS FUNCTIONAL

With the setup done, we have the ingredients necessary to define the Yang-Mills functional.

Definition 2.1. The *Yang-Mills functional* is the map $L : \mathcal{A}(P) \rightarrow \mathbb{R}$ given by

$$L(A) = \|F_A\|_{L^2}^2 = \int_M \langle F_A, F_A \rangle$$

Remark. The bilinear form $\langle \cdot, \cdot \rangle$ should be thought of as a symplectic form on $\mathcal{A}(P)$, and the mapping $A \mapsto F_A$ should be thought of as the moment map to some action of $\mathcal{G}(P)$. In this context, the Yang-Mills functional is the norm-square of the moment map.

We immediately see that the Yang-Mills equations are invariant under $\mathcal{G}(P)$ in the following sense – if we have any gauge transformation φ with associated map $g_\varphi : P \rightarrow G$, we have that $L(\varphi^* A) = L(A)$, which follows immediately from the invariance of $\langle \cdot, \cdot \rangle$ and the transformation law for curvature.

Our goal now will be to find the Euler-Lagrange equations for the Yang-Mills functional by computing the first and second variations. The connection form A on P induces an exterior covariant derivative on any associated vector bundle $E = P \times_G V$ arising from a

linear representation $\rho : G \rightarrow \mathrm{GL}(V)$. Let $\dot{\rho} : \mathfrak{g} \rightarrow \mathrm{End}(V)$ be the derivative of ρ at the identity. Then the exterior covariant derivative is given by

$$\begin{aligned} d_A : \Omega_M^p(E) &\rightarrow \Omega_M^{p+1}(E) \\ \psi &\mapsto d\psi + \dot{\rho}(A) \wedge \psi \end{aligned}$$

In particular, we get an exterior covariant derivative on \mathfrak{g}_P , which is given by

$$d_A \psi = d\psi + [A, \psi]$$

Using the Hodge star operator, we construct the formal adjoint with respect to the inner product $d_A^* : \Omega_M^p(\mathfrak{g}_P) \rightarrow \Omega_M^{p-1}(\mathfrak{g}_P)$ in the same manner as for classical Hodge theory on a Riemannian manifold. Explicitly, the formula on p -forms is given by

$$d_A^* = (-1)^{n(p+1)+1} \star d_A \star$$

where $n = \dim M$.

For any other connection $A + \eta$ with $\eta \in \Omega_M^1(\mathfrak{g}_P)$, a quick computation yields

$$F_{A+\eta} = F_A + \frac{1}{2}[\eta, \eta] + d_A \eta$$

This allows us to compute the first variation of L .

Proposition 2.2 (The First Variation). *For a local extremum $A \in \mathcal{A}(P)$ of the Yang-Mills functional, we have*

$$d_A \star F_A = 0$$

*The local extremum connection A is then called a **Yang-Mills connection**.*

Proof. Consider a variation $A + t\eta$ with $t \in \mathbb{R}$ and $\eta \in \Omega_M^1(\mathfrak{g}_P)$. We have that the curvature is given by

$$F_{A+t\eta} = F_A + \frac{t^2}{2}[\eta, \eta] + td_A \eta$$

This then gives us

$$\begin{aligned} \|F_{A+t\eta}\|_{L^2} &= \int_M \langle F_{A+t\eta}, F_{A+t\eta} \rangle \\ &= \int_M \langle F_A + \frac{t^2}{2}[\eta, \eta] + td_A \eta, \star(F_A + \frac{t^2}{2}[\eta, \eta] + td_A \eta) \rangle \end{aligned}$$

Expanding this out, we get that the term that is linear in t is

$$\int_M \langle F_A, \star d_A \eta \rangle + \langle d_A \eta, \star F_A \rangle = 2 \langle F_A, d_A \eta \rangle$$

where we use symmetry of (\cdot, \cdot) . Since A is extremal, we have that this term must vanish, giving us that $\langle F_A, d_A \eta \rangle = \langle d_A^* F_A, \eta \rangle = 0$ for every η . Then since we have (up to sign) $d_A^* = \star d_A \star$, and \star is an isomorphism, this implies $d_A \star F_A = 0$. \blacksquare

Proposition 2.3 (The Second Variation). *At a Yang-Mills connection $A \in \mathcal{A}(P)$, we have*

$$d_A^* d_A \eta + \star[\eta, \star F_A] = 0$$

Proof. We differentiate the first variational equation with respect to t , i.e. we compute

$$\left. \frac{d}{dt} \right|_{t=0} d_{A+t\eta}^* F_{A+t\eta}$$

We expand out

$$\begin{aligned} d_{A+t\eta}^* F_{A+t\eta} &= \pm \star d_{A+t\eta} \star F_{A+t\eta} \\ &= \pm \left(\star d_A \star \left(F_A + t d_A \eta + \frac{t^2}{2} [\eta, \eta] \right) + t \star \left[\eta, \star \left(F_A + t d_A \eta + \frac{t^2}{2} [\eta, \eta] \right) \right] \right) \end{aligned}$$

Taking the term linear in t yields

$$\pm (\star d_A \star d_A \eta + \star [\eta, \star F_A])$$

Giving us that at an extremal connection A , we have

$$d_A^* d_A \eta + \star [\eta, \star F_A] = 0$$

■

3. A SYMPLECTIC VIEWPOINT

4. YANG-MILLS OVER A RIEMANN SURFACE

We now restrict our attention to when M is a surface, i.e. a 2 dimensional real manifold. The Hodge star operator maps $\Omega_M^1 \rightarrow \Omega_M^1$, and satisfies $\star^2 = -\text{id}$, which induces an almost complex structure on M , giving us a decomposition $\Omega_M^1(\mathbb{C}) = \Omega_M^{1,0}(\mathbb{C}) \oplus \Omega_M^{0,1}(\mathbb{C})$ into the $\pm i$ eigenspaces of the complexified Hodge star. The operator $\bar{\partial} := \pi^{0,1} \circ d$ (where $\pi^{0,1}$ denotes projection onto $\Omega_M^{0,1}(\mathbb{C})$) satisfies $\bar{\partial}^2 = 0$ since by dimension reasons, $\Omega_M^{0,2}(\mathbb{C}) = 0$, so the induced almost complex structure is integrable by the Newlander-Nirenberg theorem. The same argument with projection onto $\Omega_M^{1,0}(\mathbb{C})$ gives an operator ∂ satisfying $\partial^2 = 0$, and we get a decomposition $d = \partial + \bar{\partial}$. Then given a principal bundle $P \rightarrow M$, We get a similar decomposition for $\Omega_M^1(\mathfrak{g}_P)$ after complexification giving a decomposition $d_A = \partial_A + \bar{\partial}_A$ for any connection $A \in \mathcal{A}(P)$.