

(G, X)-STRUCTURES

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1. THE STATEMENT OF LOCAL RIGIDITY

Before discussing (G, X) -structures, we first state the result we plan on working towards, which is local rigidity for semisimple Lie groups. Fix a semisimple Lie group G and $\Gamma \subset G$ an irreducible lattice. Heuristically, Γ being irreducible means that Γ is not locally a product of lattices. More explicitly, it is defined as follows: in the case that G is simply connected, G being semisimple implies that it is a product $G = \prod_i G_i$ of simple Lie groups G_i . Then $\Gamma \subset G$ being irreducible simply means that it is not a product $\Gamma = \prod_i \Gamma_i$ of lattices $\Gamma_i \subset G_i$. When G isn't simply connected, Γ being irreducible means that the preimage of Γ under the universal covering $\tilde{G} \rightarrow G$ is irreducible. Then let $\mathcal{R}(\Gamma, G)$ denote the space of maps $\Gamma \rightarrow G$ with the topology of pointwise convergence.

Definition 1.1. A lattice Γ is *locally rigid* if there is a neighborhood U of the inclusion map $\Gamma \hookrightarrow G$ in $\mathcal{R}(\Gamma, G)$ such that any other homomorphism $\Gamma \rightarrow G$ contained in U is conjugate to the inclusion.

Our goal will be to prove the following statement (at least for the rank 1 case)

Theorem 1.2. *Under some conditions on G and Γ , if $\rho \in \mathcal{R}(\Gamma, G)$ is sufficiently close to the inclusion $\Gamma \hookrightarrow G$, then $\rho(\Gamma)$ is a lattice in G and $\rho|_{\Gamma}$ is an isomorphism, i.e. Γ is locally rigid.*

The proof of this will use the notion of (G, X) structures, where G is the semisimple Lie group, and X is a symmetric space.

2. (G, X)-STRUCTURES

Fix once and for all a manifold X with a transitive action of a Lie group G by real analytic homeomorphisms. The idea of a (G, X) structure is to use X as a "model geometry," from which we can construct other manifolds that locally "look like" X , and have symmetries that "look like the action" of G .

Definition 2.1. A (G, X) -*manifold* is a manifold M that admits an open cover $\{U_i\}$ with charts $\varphi_i : U_i \rightarrow X$ (i.e. diffeomorphisms onto their images) such that the transition functions $\varphi_i \circ \varphi_j^{-1} : \varphi_j(U_j \cap U_i) \rightarrow \varphi_i(U_i)$ are given by restrictions of the actions of group elements $g_{ij} \in G$. Two such charts will be called *compatible*. Given a smooth manifold M , a (G, X) -structure on M is a choice of *atlas*, which is a maximal set of compatible charts $\{\varphi_i : U_i \rightarrow X\}$ giving M the structure of a (G, X) -manifold.

The discussion of the (G, X) -structure on a manifold M involves fiber bundles and connections on them.

Definition 2.2. Let M and F be smooth manifolds. A *fiber bundle* with model fiber F over M is a smooth manifold E equipped with a submersion $\pi : E \rightarrow M$ such that

- (1) The fibers $E_p := \pi^{-1}(p)$ are diffeomorphic to F
- (2) For every point p , there exists a **local trivialization** of E , i.e. a diffeomorphism $\varphi : \pi^{-1}(U) \rightarrow U \times F$ for a neighborhood U of p such that the following diagram commutes:

$$\begin{array}{ccc} \pi^{-1}(U) & \xrightarrow{\varphi} & U \times F \\ & \searrow \pi \quad \swarrow & \\ & U & \end{array}$$

where the map $U \times F \rightarrow U$ is projection onto the first factor.

We refer to E as the **total space** and M as the **base space**.

For this discussion, we will be concerned with fiber bundles with model fiber X , so "fiber bundle" without further modifiers will mean "fiber bundle with model fiber X ." Our goal will be to relate the (G, X) -structure on a manifold M to a fiber bundle equipped with the data of a connection. Given any fiber bundle $\pi : E \rightarrow M$, we can find an open cover $\{U_i\}$ of M such that the fiber bundle $E|_{U_i} := \pi^{-1}(U_i)$ admits local trivializations $\varphi_i : E|_{U_i} \rightarrow U_i \times X$. If we consider the maps $\varphi_i \circ \varphi_j^{-1}$, then we have that they are given in components by the mapping

$$(u, x) \mapsto (u, [\psi_{ij}(u)](x))$$

for **transition functions** $\psi_{ij} : U_i \cap U_j \rightarrow \text{Diff}(X)$. We can recover the original bundle $E \rightarrow M$ by gluing these bundles together using the transition functions ψ_{ij} , which is well defined because they agree in triple intersections and satisfy a cocycle condition.

In our situation, we have a distinguished group of symmetries of X coming from the action of G , which can be interpreted as a group homomorphism $G \rightarrow \text{Diff}(X)$, so the fiber bundle $E \rightarrow M$ we want to construct from the (G, X) -structure on M should have transition functions valued in G . Furthermore, we will impose the condition that the transition functions ψ_{ij} are *constant*, so the second components of the maps $\varphi_i \circ \varphi_j^{-1}$ are given by the action of a single group element $g_{ij} \in G$. We also want a notion of compatibility with the charts of the (G, X) -structure. Specifically, we want the domains U_i of the local trivializations to be the domains of charts $U_i \rightarrow X$, so we must be able to trivialize the bundle E over the charts in the atlas defining the (G, X) -structure. Given a chart $\kappa : U \rightarrow X$ such that E is trivialized over U , i.e. there is a local trivialization $\varphi_i : E|_{U_i} \rightarrow U_i \times X$. Then we get a section $s : U \rightarrow E|_{U_i}$, where $(\varphi_i \circ s)(p) = (p, \kappa(p))$, which embeds U in $E|_{U_i}$, and is given as the graph of κ under this choice of trivialization. Our next restriction is to require that two compatible charts $\kappa : U \rightarrow X$ and $\kappa' : U' \rightarrow X$ with intersecting domains have sections $s : U \rightarrow E|_U$ and $s' : U' \rightarrow E|_{U'}$ that agree on $U \cap U'$. Putting everything together, given a (G, X) -manifold M , we can construct a fiber bundle $E \rightarrow M$ where a point of E is an equivalence class $[p, \kappa, x]$ where p is a point of M , $\kappa : U \rightarrow X$ is a chart containing p , and x is a point of X , where $[p, \kappa, x] = [p', \kappa', x']$ if $p = p'$ and there exists a group element $g \in G$ such that $\kappa = g \circ \kappa'$ and $x' = gx$ on some neighborhood containing p .

For those in the know, the requirement that the transition functions are constant suggests that the fiber bundles we constructed is analogous to a **local system**, which has an identification with vector bundles equipped with a flat connection. A similar story will

hold here. To discuss this, we will use Ehresmann's notion of a connection on a fiber bundle, which generalizes the notion for vector bundles to general fiber bundles.

Let $\pi : E \rightarrow M$ be a fiber bundle. This determines a rank n (where $n := \dim X$) distribution $V \subset TE$ (i.e. a rank n vector subbundle of $TE \rightarrow E$.) called the **vertical distribution**, where the fiber V_e over $e \in E$ is $\ker d\pi_e$. Geometrically, one can identify V_e as the tangent vectors in $T_e E$ that are tangent to the fiber $E_{\pi(e)}$.

Definition 2.3. A **connection** on a fiber bundle $E \rightarrow M$ is a choice of complementary subbundle to V , i.e. a distribution $H \subset TE$ such that $TP = V \oplus H$. The distribution H is also referred to as the **horizontal distribution**.

The horizontal distribution should be thought of as distinguished "manifold directions" on E , which is complementary to the "fiber directions" specified by the vertical distribution. By a dimension count, we get that $d\pi_e|_{H_e} : H_e \rightarrow T_{\pi(e)}M$ is an isomorphism, so given $e \in E$, every tangent vector $v \in T_{\pi(e)}M$ has a unique **horizontal lift** to a tangent vector $\tilde{v} \in H_e \subset T_e E$. This allows us to uniquely lift paths on M going through $\pi(e)$ to a path passing through e by taking horizontal lifts of velocity vectors, giving us a vector field, and then taking the integral curve passing through e . Using path lifting, we can then define the **holonomy** of a connection. Fix a point $p \in M$, and let γ be a loop based at p . Then for a point $e \in E_p$, we can lift γ to a path $\tilde{\gamma}$ based starting at e , whose endpoint is another point $e' \in E_p$. Doing this for all points $e \in E_p$, we obtain a diffeomorphism $E_p \rightarrow E_p$ (for the inverse, do the same construction with the reversed loop) called the **holonomy** of loop γ .

We then want to restrict our attention to a specific subset of connections that are compatible with the action of G on X .

Definition 2.4. Let M be a smooth manifold (not necessarily with a (G, X) -structure). A (G, X) -**connection** on M is the data of

- (1) A fiber bundle $E \rightarrow M$ with model fiber X .
- (2) A section $s : M \rightarrow E$.
- (3) A connection on E such that the holonomy about any closed curve γ is given by the action of an element of G .

A (G, X) -connection is **flat** if the horizontal distribution is integrable (e.g. closed under Lie brackets), equivalently, if any contractible closed curve has trivial holonomy. For convenience, we might say "let $E \rightarrow M$ be a (G, X) -connection", and leave the section and connection implicit.

From our definition, we conveniently get the following:

Proposition 2.5. Let M be a (G, X) -manifold, and $E \rightarrow M$ the fiber bundle we constructed above using the (G, X) -structure. Then E can be equipped with a connection that gives it the structure of a flat (G, X) -connection.

Proof. In the construction we made earlier, we got a distinguished section $s : M \rightarrow E$ given locally by taking charts $\kappa : U \rightarrow X$, and embedding $U \rightarrow E$ by taking the graph of κ with respect to a local trivialization of E over U . Explicitly, using the description of points of E

as equivalence classes of the form $[m, \kappa, x]$ with $m \in M$, $\kappa : U \rightarrow X$ a chart, and $x \in X$, the section s is given by

$$s(m) = [m, \kappa, \kappa(m)]$$

for any choice of chart κ about m . Furthermore, we have a foliation of E by leaves diffeomorphic to M , where a leaf of the foliation is given by the points $\{[m, \kappa, x] : m \in M\}$. This gives rise to a horizontal distribution H by taking the space H_e at a point $e \in E$ to be the tangent space of the leaf passing through e , and H is integrable since it admits integral submanifolds, namely the leaves of the foliation. Therefore, H is a flat connection. The final thing we need to check is that the holonomy is given by the action of G , and this follows from the equivalence relation we used to define E , namely identifying points that were related by the action of G . Having identified all of the pieces, this gives E the structure of a flat (G, X) -connection. ■

The punchline is that the converse is also true!

Proposition 2.6. *Let M be manifold equipped with a flat (G, X) -connection $E \rightarrow M$. Then M can be equipped with the structure of a (G, X) -manifold such that $E \rightarrow M$ is the bundle coming from the construction described above.*

Proof. The proof of this should be familiar to those familiar with principal bundles and local systems. Given a flat (G, X) -connection $E \rightarrow M$, we have that the holonomy of a loop γ is determined by its homotopy class, since nullhomotopic maps have trivial holonomy. Therefore, the map taking a homotopy class $[\gamma] \in \pi_1(M, m_0)$ its holonomy $g \in G$ defines a group homomorphism $\pi_1(M, m_0) \rightarrow G$, which then determines an action of $\pi_1(M, m_0)$ on X . Then if we let \tilde{M} denote the universal cover of M , we can take the associated bundle

$$\tilde{M} \times_{\pi_1(M)} X = (\tilde{M} \times X) / \pi_1(M)$$

where the action on the product is the diagonal action. The associated bundle is a fiber bundle with model fiber X . Furthermore, it comes equipped with a natural connection, where the horizontal distribution is obtained by taking the image of $T\tilde{M} \subset T(\tilde{M} \times X)$ under the differential of the quotient map $\tilde{M} \times X \rightarrow \tilde{M} \times_{\pi_1(M)} X$. Furthermore, this connection is easily seen to be flat, since $T\tilde{M} \subset T(\tilde{M} \times X)$ is integrable, integrability of a distribution is a local property, and the fact that the quotient map is a covering map. The holonomy of this connection then corresponds exactly with the original homomorphism $\pi_1(M) \rightarrow G$, up to conjugation on G . Finally, one can show that the bundle $\tilde{M} \times_{\pi_1(M)} X$ with the connection defined above is isomorphic to the (G, X) -connection $E \rightarrow M$, which allows us to identify a section $s : M \rightarrow \tilde{M} \times_{\pi_1(M)} X$ corresponding to the section $M \rightarrow E$. From this, we want to recover an atlas of charts on M , which we can do by taking an evenly covered neighborhood of a point $m_0 \in M$, which necessarily trivializes the associated bundle $\tilde{M} \times_{\pi_1(M)} X$, and then taking the second component of the section s with respect to this trivialization to obtain a chart about m_0 . ■