

Clifford Algebras and Spin Geometry
Senior Honors Thesis: supervised by Professor Daniel Freed

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The mathematician does not study pure mathematics because it is useful; he studies it because he delights in it and he delights in it because it is beautiful.

– **Henri Poincaré**



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Introduction



Every morning in the early part of October 1843, on my coming down to breakfast, your brother William Edwin and yourself used to ask me: "Well, Papa, can you multiply triples?" Where to I was always obliged to reply, with a sad shake of the head, "No, I can only add and subtract them."

– William Rowan Hamilton



On October 16, 1843, William Rowan Hamilton famously carved the equations

$$i^2 = j^2 = k^2 = ijk = -1$$

into Broom Bridge, marking his discovery of the quaternions with an act of mathematical vandalism. It was well known at the time that the algebraic operations on the complex numbers \mathbb{C} encoded a great deal of the geometry of the plane \mathbb{R}^2 – addition corresponded to vector translation, and the multiplication of complex numbers corresponded to scalings and rotations. After fruitlessly attempting to construct an analogous algebraic structure on \mathbb{R}^3 , Hamilton came to the realization that he needed an additional dimension to encode the rotations of \mathbb{R}^3 , thereby constructing the quaternions \mathbb{H} .

Later in the 19th century, William Clifford further generalized the algebras \mathbb{C} and \mathbb{H} to higher dimensions when he constructed his geometric algebras, which now bear his name. Clifford's general construction created an \mathbb{R} -algebra that encoded the geometry of a vector space V equipped with a nondegenerate quadratic form $Q : V \rightarrow \mathbb{R}$. Clifford algebras would prove to play a central part in the development of geometry, topology, and physics in the 20th century.

Mathematicians quickly found uses for Clifford algebras. In the early 20th century, mathematicians were puzzled by an anomaly regarding linear representations of the special orthogonal groups SO_n . When studying the representations of the Lie algebras \mathfrak{so}_n , they realized that some of the Lie algebra representations failed to exponentiate to linear representations of the group SO_n , and instead gave rise to projective representations. The root of the problem was that the special orthogonal groups are not simply connected – for $n > 2$, the fundamental group $\pi_1(SO_n)$ is isomorphic to $\mathbb{Z}/2\mathbb{Z}$ ¹, indicating the existence of a simply connected double cover which is the source of the missing representations. At this point, Clifford algebras came to the rescue, and these double covers were realized as subgroups of the multiplicative groups of Clifford algebras. These groups were dubbed the Spin groups, and the mysterious missing representations were named the Spin representations.

In 1928, Paul Dirac was developing a relativistic theory of the electron when he realized he needed a first order differential operator that squared to the Laplacian. No first order operator with scalar coefficients was able to satisfy this, but Dirac realized the the problem could be ameliorated with the use of matrices, and constructed his gamma matrices. Remarkably, the relations

$$\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2\eta^{\mu\nu} I$$

¹This can be illustrated in 3 dimensions with the well-known belt trick, in which a 360 degree rotation of a belt buckle leaves the belt twisted

satisfied by Dirac's gamma matrices are exactly the defining relations for the Clifford algebra for Minkowski spacetime $\mathbb{R}^{1,3}$. Using these matrices, Dirac derived his famous equation

$$(i\gamma^\mu \partial_\mu - m)\psi = 0$$

where ψ was an element of the Spin representation of the group $\text{Spin}_{1,3}$. Spin groups and Clifford algebras would prove to be vital elements in the development of quantum field theory.

Not to be outdone by the physicists, mathematicians also made extensive use of Clifford algebras and Spin groups to produce astounding results in geometry and topology. Through the work of many prominent mathematicians such as Michael Atiyah, Isadore Singer, and Raoul Bott, Clifford algebras and Spin groups saw remarkable applications in fields such as K -theory, homotopy theory, and gauge theory, producing numerous seminal theorems like the Atiyah-Singer index theorem and the Bott periodicity theorem. In particular, Atiyah and Singer generalized Dirac's differential operator to manifolds equipped with a Spin structure – the Dirac operator. The methods and tools developed would prove to be fundamental to the rapid advancement in the fields of geometry and topology at the time, and remain important to this day.

In this thesis we aim to explore and develop some of the theory of Clifford algebras, Spin and Pin groups, and Spin/Pin structures on manifolds. In particular, we plan to construct and classify Clifford algebras and their modules, and transport these constructions to the nonlinear world of manifolds. We will develop some of the general theory for Spin and Pin structures on manifolds, and will give several examples.

Clifford Algebras and Spin Groups



No one fully understands spinors. Their algebra is formally understood, but their geometrical significance is mysterious. In some sense they describe the “square root” of geometry and, just as understanding the concept of $\sqrt{-1}$ took centuries, the same might be true of spinors.

– Sir Michael Atiyah



1. Clifford Algebras

DEFINITION 1.1. Let V be a real finite dimensional vector space with a nondegenerate symmetric bilinear form $b : V \times V \rightarrow \mathbb{R}$. Then the **Clifford Algebra** of V is the data of a unital associative \mathbb{R} -algebra $\text{Cliff}(V, b)$ and a linear map $i : V \rightarrow \text{Cliff}(V, b)$ satisfying the following universal property: Given any linear map $\varphi : V \rightarrow A$ of V into any unital associative \mathbb{R} -algebra A satisfying $\varphi(v)^2 = b(v, v)$, there exists a unique algebra homomorphism $\tilde{\varphi} : \text{Cliff}(V, b) \rightarrow A$ such that the following diagram commutes:

$$\begin{array}{ccc} V & & \\ i \downarrow & \searrow \varphi & \\ \text{Cliff}(V, b) & \xrightarrow{\tilde{\varphi}} & A \end{array}$$



This universal property uniquely characterizes the Clifford algebra $\text{Cliff}(V, b)$ up to unique isomorphism.

THEOREM 1.2. *The Clifford algebra is unique up to unique isomorphism, i.e. given another unital associative algebra C equipped with a linear map $j : V \rightarrow C$ satisfying the universal property, there exists a unique algebra isomorphism $\varphi : \text{Cliff}(V, b) \rightarrow C$.*

PROOF. Given such an algebra C with a map $j : V \rightarrow C$, the map j satisfies the Clifford relation $j(v)^2 = b(v, v)$, so it induces a unique map $\varphi : \text{Cliff}(V, b) \rightarrow C$. The map $i : V \rightarrow \text{Cliff}(V, b)$ also satisfies the Clifford relation, so it induces a map $\psi : C \rightarrow \text{Cliff}(V, b)$. We claim that these maps are inverses. To do so, we show the compositions $\varphi \circ \psi$ and $\psi \circ \varphi$ are identity. Using the universal property of the Clifford algebra once more, the map i induces a unique map $\text{Cliff}(V, b) \rightarrow \text{Cliff}(V, b)$ such that

$$\begin{array}{ccc} V & & \\ i \downarrow & \searrow i & \\ \text{Cliff}(V, b) & \longrightarrow & \text{Cliff}(V, b) \end{array}$$

commutes. The identity map makes this diagram commute, so by uniqueness, this is the induced map. The map $\psi \circ \varphi$ also makes this diagram commute, so it must be identity by uniqueness. An identical proof shows that $\varphi \circ \psi$ is the identity map id_C . ■

Explicitly, $\text{Cliff}(V, b)$ is realized as a quotient of the tensor algebra

$$\mathcal{T}(V) = \bigoplus_{n \in \mathbb{Z}^{\geq 0}} V^{\otimes n}$$

where we quotient by the left ideal generated by elements of the form $v \otimes v - b(v, v)$, and the linear map $i : V \rightarrow \mathcal{T}(V)/(v \otimes v - b(v, v))$ is given by the inclusion $V \hookrightarrow \mathcal{T}(V)$ followed by the quotient map. We identify V with its image $i(V)$ as a subspace of $\text{Cliff}(V, b)$.

THEOREM 1.3. *The Clifford algebra is functorial in the following way: Given vector spaces V and V' equipped with nondegenerate symmetric bilinear forms b and b' respectively and a linear map $T : V \rightarrow V'$ such that $b(v, w) = b'(Tv, Tw)$ for all $v, w \in V$, there exists a unique algebra homomorphism $T_* : \text{Cliff}(V, b) \rightarrow \text{Cliff}(V', b')$ such that*

$$\begin{array}{ccc} V & \xrightarrow{T} & V' \\ i \downarrow & & \downarrow i' \\ \text{Cliff}(V, b) & \xrightarrow{T_*} & \text{Cliff}(V', b') \end{array}$$

commutes, where i and i' are the inclusions of V and V' into their respective Clifford algebras.

PROOF. We use the realization of $\text{Cliff}(V, b)$ as the quotient

$$\text{Cliff}(V, b) = \mathcal{T}(V)/(v \otimes v - b(v, v))$$

The map T induces a map $\tilde{T} : \mathcal{T}(V) \rightarrow \mathcal{T}(V')$ where the action on homogeneous elements is given by

$$\tilde{T}(v_1 \otimes \cdots \otimes v_k) = Tv_1 \otimes \cdots \otimes Tv_k$$

The fact that $b(v, w) = b'(Tv, Tw)$ implies that \tilde{T} maps the ideal $(v \otimes v - b(v, v))$ to the ideal $(v' \otimes v' - b'(v', v'))$, so the map \tilde{T} descends to the quotient, giving a map $T_* : \text{Cliff}(V, b) \rightarrow \text{Cliff}(V', b')$. The fact that the diagram commutes follows from the fact that the maps i and i' are the inclusions of V and V' into their respective tensor algebras, followed by the quotient maps into $\text{Cliff}(V, b)$ and $\text{Cliff}(V', b')$ respectively. ■

DEFINITION 1.4. Define the bilinear form $b : \mathbb{R}^{p+q} \times \mathbb{R}^{p+q} \rightarrow \mathbb{R}$ by

$$b(v, w) = \sum_{i=1}^p v^i w^i - \sum_{i=p+1}^{p+q} v^i w^i$$

where the v^i and w^i are the components of v and w with respect to the standard basis on \mathbb{R}^{p+q} . We denote the vector space \mathbb{R}^{p+q} equipped with this bilinear form as $\mathbb{R}^{p,q}$. ♦

Let V be a vector space equipped with a nondegenerate bilinear form b and fix a basis for V . Then the bilinear form b is given by a symmetric invertible matrix B , which is conjugate to a diagonal matrix where all the diagonal entries are either 1 or -1 . If after conjugation, B has p positive entries and q negative entries, we say b has signature (p, q) . Any bilinear form b of signature (p, q) admits a basis $\{e_i\}$ satisfying

- (1) For $1 \leq i \leq p$, we have $b(e_i, e_i) = 1$
- (2) For $p+1 \leq j \leq p+q$, we have $b(e_j, e_j) = -1$
- (3) For $i \neq j$, we have $b(e_i, e_j) = 0$

Any such basis then determines an isomorphism $(V, b) \rightarrow \mathbb{R}^{p,q}$. and we call such a basis an **orthogonal basis** for (V, b) . In addition, we get a basis for $\text{Cliff}(V, b)$ given by

$$\{e_{i_1} e_{i_2} \cdots e_{i_k} : 0 \leq k \leq n, 1 \leq i_j \leq n\}$$

where we interpret the product of 0 basis vectors to be the unit element 1. This then implies the dimension of $\text{Cliff}(V, b)$ as a vector space is $2^{\dim V}$. This basis also determines an isomorphism $\text{Cliff}(V, b) \rightarrow \text{Cliff}_{p,q}(\mathbb{R})$, where $\text{Cliff}_{p,q}(\mathbb{R})$ is the Clifford algebra for $\mathbb{R}^{p,q}$. Given $v, w \in V$, we write v and w in these bases as $v^i e_i$ and $w^j e_j$ (using Einstein summation convention), and derive the useful relation

$$\begin{aligned} vw + wv &= v^i w^j e_i e_j + v^j w^i e_j e_i \\ &= v^i w^i (e_i^2 + e_i^2) \\ &= 2b(v, w) \end{aligned}$$

where we use the fact that the e_i are orthogonal to deduce that $e_i e_j = -e_j e_i$ if $i \neq j$.

DEFINITION 1.5. ¹ Let V be a vector space. A $\mathbb{Z}/2\mathbb{Z}$ **grading** on V is a direct sum decomposition $V = V^0 \oplus V^1$. Elements of V^0 are said to be *even* and elements of V^1 are said to be *odd*. Elements of the even and odd subspaces are said to be *homogeneous*. Given an homogeneous element $v \in V$, define its *parity*, denoted $|v|$ by

$$|v| = \begin{cases} 0 & v \in V^0 \\ 1 & v \in V^1 \end{cases}$$

Equivalently, it is the data of a linear map $\varepsilon : V \rightarrow V$ such that ε acts by identity on a subspace V^0 of V and negative identity on a complementary subspace V^1 , which gives the direct sum decomposition of V as the ± 1 eigenspaces of ε . The map ε is called the **grading operator**. ❖

DEFINITION 1.6. A $\mathbb{Z}/2\mathbb{Z}$ **graded algebra** A over \mathbb{R} (often called a superalgebra) is an \mathbb{R} -algebra A equipped with a grading $A = A^0 \oplus A^1$ such that the multiplication respects the grading, i.e. given homogeneous elements $a, b \in A$, Their product ab is an element of $A^{|a|+|b|}$ where the addition is done mod 2. ❖

EXAMPLE 1.7.

- (1) Any \mathbb{R} -algebra A can be made into a graded algebra where we let $A^0 = A$ and $A^1 = 0$.
- (2) The exterior algebra $\Lambda^\bullet V$ of a vector space V is a $\mathbb{Z}/2\mathbb{Z}$ graded algebra (in fact, it has a \mathbb{Z} grading as well), where the grading is $\Lambda^\bullet V = \Lambda^{\text{even}} V \oplus \Lambda^{\text{odd}} V$ where $\Lambda^{\text{even}} V$ is the subspace spanned by even products of vectors and $\Lambda^{\text{odd}} V$ is the subspace spanned by odd products of vectors.
- (3) Let $V = V^0 \oplus V^1$ be a $\mathbb{Z}/2\mathbb{Z}$ graded vector space. Then the algebra of endomorphisms $\text{End } V$ can be endowed with the structure of a graded algebra, where the even subspace consists of linear maps preserving the decomposition $V^0 \oplus V^1$, and the odd subspace consists of linear maps T reversing the decomposition, i.e. $T(V^i) = V^{i+1 \bmod 2}$. In a ordered basis where the first elements are all even and the last elements are all odd, the even elements of $\text{End } V$ are block diagonal, while the odd elements are block off-diagonal. ◀

For the most part, the algebras we work with will be $\mathbb{Z}/2\mathbb{Z}$ graded, so the term “graded” may be used in lieu of “ $\mathbb{Z}/2\mathbb{Z}$ graded.” In the case of ambiguity, we will specify the grading. The Clifford algebra $\text{Cliff}(V, b)$ is naturally a $\mathbb{Z}/2\mathbb{Z}$ graded algebra. Fix a basis $\{e_i\}$ for V . We then define the grading

$$\text{Cliff}(V, b) = \text{Cliff}^0(V, b) \oplus \text{Cliff}^1(V, b)$$

Where $\text{Cliff}^0(V, b)$ is the \mathbb{R} -span of all even products of basis vectors, and $\text{Cliff}^1(V, b)$ is the \mathbb{R} -span of all odd products of basis vectors. Since the product of even elements is again even, the subspace $\text{Cliff}^0(V, b)$ forms a subalgebra, and is called the *even subalgebra*. There is an extremely nice relationship between a Clifford algebra and its even subalgebra.

THEOREM 1.8. The even subalgebra $\text{Cliff}_{p,q}^0(\mathbb{R})$ is isomorphic to both $\text{Cliff}_{q,p-1}$ and $\text{Cliff}_{p,q-1}$ as ungraded algebras (as long as $p-1 > 0$ or $q-1 > 0$.)

PROOF. Fix a basis $\{e_1^+, \dots, e_p^+, e_1^-, \dots, e_q^-\}$ for $\mathbb{R}^{p,q}$, where $(e_i^+)^2 = 1$ and $(e_i^-)^2 = -1$. We then compute

$$(e_i^+ e_j^+)^2 = -(e_i^+)^2 (e_j^+)^2 = -1$$

$$(e_i^- e_j^-)^2 = -(e_i^-)^2 (e_j^-)^2 = -1$$

$$(e_i^+ e_j^-)^2 = -(e_i^+)^2 (e_j^-)^2 = 1$$

$$(e_i^- e_j^+)^2 = -(e_i^-)^2 (e_j^+)^2 = 1$$

Assume $q \neq 0$. Then a generating set for $\text{Cliff}_{p,q}^0(\mathbb{R})$ is

$$\{e_1^- e_j^+ : 1 \leq j \leq p\} \cup \{e_1^- e_k^- : 2 \leq k \leq q\}$$

¹It is common in the literature to refer to $\mathbb{Z}/2\mathbb{Z}$ graded vector spaces as super vector spaces. The “super” prefix often refers to a $\mathbb{Z}/2\mathbb{Z}$ grading on the relevant object.

All the elements in the first set square to 1, and all the elements in the second set square to -1 . We then get an isomorphism $\text{Cliff}_{p,q}^0(\mathbb{R}) \rightarrow \text{Cliff}_{p,q-1}$ via the mappings

$$\begin{aligned} e_1^- e_j^+ &\mapsto e_j^+ \\ e_1^- e_k^- &\mapsto e_{k-1}^- \end{aligned}$$

In the case where $p \neq 0$, we have that an equally good generating set for $\text{Cliff}_{p,q}^0(\mathbb{R})$ is

$$\{e_1^+ e_j^+ : 2 \leq j \leq p\} \cup \{e_1^+ e_i^- : 1 \leq i \leq q\}$$

Where the elements in the first set square to -1 and the elements of the second set square to 1. Then the mappings

$$\begin{aligned} e_1^+ e_j^+ &\mapsto e_{j-1}^- \\ e_1^+ e_j^- &\mapsto e_j^+ \end{aligned}$$

gives the isomorphism $\text{Cliff}_{p,q}^0(\mathbb{R}) \rightarrow \text{Cliff}_{q,p-1}$. ■

Given two \mathbb{R} -algebras A and B , we can form their tensor product $A \otimes B$, which has $A \otimes B$ as the underlying vector space, and the multiplication is defined as

$$(a \otimes b)(c \otimes d) = ac \otimes bd$$

In the case that both A and B are $\mathbb{Z}/2\mathbb{Z}$ graded algebras, we have an alternate version of the tensor product, where the underlying vector space is also $A \otimes B$. The grading on the tensor product is the decomposition

$$A \otimes B = (A^0 \otimes B^0 \oplus A^1 \otimes A^1) \oplus (A^0 \otimes B^1 \oplus A^1 \otimes B^0)$$

and the multiplication of homogeneous elements is given by

$$(a \otimes b)(c \otimes d) = (-1)^{|b||c|}(ac \otimes bd)$$

We see that in the multiplication, we are formally commuting the elements of b and c , and we want to introduce a sign whenever elements are moved past each other. This is the *Koszul sign rule*. Another concept that needs a slight modification in the graded case is the opposite algebra. In the normal case, given an \mathbb{R} -algebra A , the *opposite algebra* is the algebra A^{op} with the same underlying vector space, but the multiplication in A^{op} is given by $a * b = ba$, where ba is the multiplication in A . In doing so, we are formally commuting a and b , so in the graded situation, we invoke the Koszul sign rule when defining multiplication in the opposite algebra, and define the multiplication of homogeneous elements in A^{op} to be $a * b = (-1)^{|a||b|}ba$.

One remarkable fact is that Clifford algebras are closed under the graded tensor product, i.e. the graded tensor products of two Clifford algebras is another Clifford algebra. Likewise, the graded opposite algebra of a Clifford algebra is again a Clifford algebra. For the remainder of this section, we will let \otimes denote the graded tensor product, and the superscript op will denote the graded opposite algebra.

THEOREM 1.9. $\text{Cliff}_{p+t,q+s}(\mathbb{R}) \cong \text{Cliff}_{p,q}(\mathbb{R}) \otimes \text{Cliff}_{t,s}(\mathbb{R})$

PROOF. To give a map $\varphi : \text{Cliff}_{p+t,q+s}(\mathbb{R}) \rightarrow \text{Cliff}_{p,q}(\mathbb{R}) \otimes \text{Cliff}_{t,s}(\mathbb{R})$, it is sufficient to specify its action on $\mathbb{R}^{p+t,q+s}$ and to check that the Clifford relations hold. Let $\{b_1^+, \dots, b_{p+t}^+, b_1^-, \dots, b_{q+s}^-\}$ denote the standard orthogonal basis for $\mathbb{R}^{p+t,q+s}$ where $(b_i^+)^2 = 1$ and $(b_i^-)^2 = -1$. We then define the bases $\{e_i^\pm\}$ and $\{f_i^\pm\}$ analogously for $\mathbb{R}^{p,q}$ and $\mathbb{R}^{t,s}$ respectively. Then define φ by

$$\begin{aligned} \varphi(b_i^+) &= \begin{cases} e_i^+ \otimes 1 & 1 \leq i \leq p \\ 1 \otimes f_i^+ & p+1 \leq i \leq p+t \end{cases} \\ \varphi(b_i^-) &= \begin{cases} e_i^- \otimes 1 & 1 \leq i \leq q \\ 1 \otimes f_i^- & q+1 \leq i \leq q+s \end{cases} \end{aligned}$$

This map is injective on generators, so if we show that this satisfies the Clifford relations, then the map given by extending the map to all of $\text{Cliff}_{p+t,q+s}(\mathbb{R})$ will be an isomorphism by a dimension count. Showing the Clifford relations amounts to showing

- (1) $\varphi(b_i^+)^2 = 1$
- (2) $\varphi(b_i^-)^2 = -1$
- (3) The images of any pair of distinct basis vectors anticommute.

The first two are relations are clear from how we defined φ . To show that the images of distinct basis vectors anticommute, there are several cases to consider. Given b_i^+ and b_j^+ where $1 \leq i, j \leq p$, they anticommute, because e_i^+ and e_j^+ anticommute. In the case where $1 \leq i \leq p$ and $p+1 \leq j \leq p+t$, we compute

$$\begin{aligned} \varphi(b_i^+)\varphi(b_j^+) + \varphi(b_j^+)\varphi(b_i^+) &= (e_i^+ \otimes 1)(1 \otimes f_j^+) + (1 \otimes f_j^+)(e_i^+ \otimes 1) \\ &= e_i^+ \otimes f_j^+ - e_i^+ \otimes f_j^+ \end{aligned}$$

where we use the Koszul sign rule for the second term, noting that f_j^+ and e_i^+ are both odd elements. The proof that the images of the b_i^- anticommute with each other, as well as the proof that the images of the b_i^+ and b_i^- anticommute are exactly the same. ■

THEOREM 1.10. *The graded opposite algebra $\text{Cliff}_{p,q}^{\text{op}}(\mathbb{R})$ is isomorphic to $\text{Cliff}_{q,p}(\mathbb{R})$.*

PROOF. Fix an orthogonal basis $\{e_i^\pm\}$ for $\mathbb{R}^{p,q}$, where $(e_i^\pm)^2 = \pm 1$. We note that since the e_i^\pm are odd elements, they square to ∓ 1 in the opposite algebra. Indeed, the mapping $e_i^\pm \rightarrow e_i^\mp$ defines the isomorphism $\text{Cliff}_{p,q}^{\text{op}} \rightarrow \text{Cliff}_{q,p}$. ■

Because of these theorems, once we compute a few of the lower dimensional Clifford algebras, we will have enough data to fully classify all Clifford algebras over \mathbb{R} .

EXAMPLE 1.11 (Some low dimensional examples).

- (1) The Clifford algebra $\text{Cliff}_{0,0}(\mathbb{R})$ is isomorphic to \mathbb{R} .
- (2) As ungraded algebras, the Clifford algebra $\text{Cliff}_{0,1}(\mathbb{R})$ is isomorphic to \mathbb{C} , where the isomorphism is given by $e_1 \mapsto i$.
- (3) As ungraded algebras, $\text{Cliff}_{0,2}(\mathbb{R})$ is isomorphic to the quaternions \mathbb{H} , where the isomorphism is given by $e_1 \mapsto i$ and $e_2 \mapsto j$.
- (4) As graded algebras, $\text{Cliff}_{1,1}(\mathbb{R})$ is isomorphic to $\text{End}(\mathbb{R}^{1|1})$, where $\mathbb{R}^{1|1}$ denotes the $\mathbb{Z}/2\mathbb{Z}$ graded vector space $\mathbb{R} \oplus \mathbb{R}$. The isomorphism is given by

$$e_1^+ \mapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad e_1^- \mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

- (5) As ungraded algebras $\text{Cliff}_{1,0}(\mathbb{R})$ is isomorphic to the product algebra $\mathbb{R} \times \mathbb{R}$, where $e_1 \mapsto (1, -1)$.
- (6) As ungraded algebras, $\text{Cliff}_{2,0}(\mathbb{R})$ is isomorphic to the algebra $M_2\mathbb{R}$ of 2×2 matrices with coefficients in \mathbb{R} . The isomorphism is given by

$$e_1 \mapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad e_2 \mapsto \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

◀

To classify all Clifford algebras as ungraded algebras, it suffices to know the following table:

7	$M_8\mathbb{C}$	$M_8\mathbb{H}$	$M_8\mathbb{H} \times M_8\mathbb{H}$	$M_{16}\mathbb{H}$	$M_{32}\mathbb{C}$	$M_{64}\mathbb{R}$	$M_{64}\mathbb{R} \times M_{64}\mathbb{R}$	$M_{128}\mathbb{R}$
6	$M_4\mathbb{H}$	$M_4\mathbb{H} \times M_4\mathbb{H}$	$M_8\mathbb{H}$	$M_{16}\mathbb{C}$	$M_{32}\mathbb{R}$	$M_{32}\mathbb{R} \times M_{32}\mathbb{R}$	$M_{64}\mathbb{R}$	$M_{64}\mathbb{C}$
5	$M_2\mathbb{H} \times M_2\mathbb{H}$	$M_4\mathbb{H}$	$M_8\mathbb{C}$	$M_{16}\mathbb{R}$	$M_{16}\mathbb{R} \times M_{16}\mathbb{R}$	$M_{32}\mathbb{R}$	$M_{32}\mathbb{C}$	$M_{32}\mathbb{H}$
4	$M_2\mathbb{H}$	$M_4\mathbb{C}$	$M_8\mathbb{R}$	$M_8\mathbb{R} \times M_8\mathbb{R}$	$M_{16}\mathbb{R}$	$M_{16}\mathbb{C}$	$M_{16}\mathbb{H}$	$M_{16}\mathbb{H} \times M_{16}\mathbb{H}$
3	$M_2\mathbb{C}$	$M_4\mathbb{R}$	$M_4\mathbb{R} \times M_4\mathbb{R}$	$M_8\mathbb{R}$	$M_8\mathbb{C}$	$M_8\mathbb{H}$	$M_8\mathbb{H} \times M_8\mathbb{H}$	$M_{16}\mathbb{H}$
2	$M_2\mathbb{R}$	$M_2\mathbb{R} \times M_2\mathbb{R}$	$M_4\mathbb{R}$	$M_4\mathbb{C}$	$M_4\mathbb{H}$	$M_4\mathbb{H} \times M_4\mathbb{H}$	$M_8\mathbb{H}$	$M_{16}\mathbb{C}$
1	$\mathbb{R} \times \mathbb{R}$	$M_2\mathbb{R}$	$M_2\mathbb{C}$	$M_2\mathbb{H}$	$M_2\mathbb{H} \times M_2\mathbb{H}$	$M_4\mathbb{H}$	$M_8\mathbb{C}$	$M_{16}\mathbb{R}$
0	\mathbb{R}	\mathbb{C}	\mathbb{H}	$\mathbb{H} \times \mathbb{H}$	$M_2\mathbb{H}$	$M_4\mathbb{C}$	$M_8\mathbb{R}$	$M_8\mathbb{R} \times M_8\mathbb{R}$
p \ q	0	1	2	3	4	5	6	7

To read the table, the bottom left entry is $\text{Cliff}_{0,0} \cong \mathbb{R}$, and moving to the right increments the signature from (p, q) to $(p, q+1)$, and moving up increments the signature (p, q) to $(p+1, q)$. Any other Clifford algebra can be obtained from an algebra on this table by tensoring with $M_{16}\mathbb{R}$, since incrementing the signature by 8 (by adding to either p or q) results in tensoring with $M_{16}\mathbb{R}$.

2. Clifford Modules

DEFINITION 2.1. A (left) **Clifford module** for the Clifford algebra $\text{Cliff}_{p,q}(\mathbb{R})$ is a module for $\text{Cliff}_{p,q}(\mathbb{R})$ in the usual sense i.e. a real vector space V equipped with an algebra action $\bullet : \text{Cliff}_{p,q}(\mathbb{R}) \times V \rightarrow V$ satisfying the following properties

- (1) Every element of $\text{Cliff}_{p,q}(\mathbb{R})$ acts linearly on V .
- (2) $(AB) \cdot v = A \cdot (B \cdot v)$ for all $v \in V$.
- (3) $(A + B) \cdot v = A \cdot v + B \cdot v$ for all $v \in V$.

Equivalently, it is the data of a real vector space V and an algebra homomorphism $\text{Cliff}_{p,q}(\mathbb{R}) \rightarrow \text{End}(V)$. \blacklozenge

DEFINITION 2.2. A Clifford module is **irreducible** if there exist no proper nontrivial submodules. \blacklozenge

From the classification of Clifford algebras, all the Clifford algebras are either matrix algebras $M_n\mathbb{F}$, where $\mathbb{F} = \mathbb{R}, \mathbb{C}$, or \mathbb{H} , or products $M_n\mathbb{F} \times M_n\mathbb{F}$ of two copies of the same matrix algebra. This is sufficient to conclude that Clifford algebras are semisimple, so all Clifford modules will be direct sums of irreducible modules. Therefore, classifying all Clifford modules reduces to classifying the irreducible Clifford modules.

THEOREM 2.3. Let $\mathbb{F} = \mathbb{R}, \mathbb{C}$, or \mathbb{H} . Then any nontrivial irreducible module for $M_n\mathbb{F}$ is isomorphic to \mathbb{F}^n with the standard action.

PROOF. We first note that $M_n\mathbb{F}$ acts transitively on \mathbb{F}^n , which implies that it is irreducible. We then must show that \mathbb{F}^n is, up to isomorphism, the only irreducible $M_n\mathbb{F}$ module. The matrix algebra $M_n\mathbb{F}$ admits an increasing chain of left ideals

$$0 = I_0 \subset I_1 \subset \dots \subset I_n = M_n\mathbb{F}$$

where I_k is the set of matrices where only the first k columns are nonzero. These ideals have the property that the quotient I_k/I_{k-1} is isomorphic to \mathbb{F}^n as a left $M_n\mathbb{F}$ module. Then let M be some nontrivial irreducible $M_n\mathbb{F}$ module, and fix $m \in M$. Then the orbit $M_n\mathbb{F} \cdot m$ of m under the algebra action is a nonzero submodule, so it must be all of M . Then the map $\varphi : M_n\mathbb{F} \rightarrow M$ given by $A \mapsto A \cdot m$ is a surjective map of left $M_n\mathbb{F}$ modules. Then there must exist some smallest k such that $\varphi(I_k)$ is nonzero, and by construction, $\varphi|_{I_k}$ factors through the quotient I_k/I_{k-1} , which is isomorphic to \mathbb{F}^n with the standard action. Then since \mathbb{F}^n is irreducible, this gives us a nontrivial map between irreducible modules, which is an isomorphism by Schur's Lemma. \blacksquare

THEOREM 2.4. Any nontrivial irreducible module for $M_n\mathbb{F} \times M_n\mathbb{F}$ is isomorphic to either \mathbb{F}^n where the left factor acts in the usual way, and the right factor acts by 0, or \mathbb{F}^n where the left factor acts by 0 and the right factor acts in the usual way.

PROOF. Let R denote \mathbb{F}^n where the right factor acts nontrivially, and let L denote \mathbb{F}^n where the left factor acts nontrivially. Both L and R are irreducible since $M_n\mathbb{F} \times M_n\mathbb{F}$ acts transitively on them. To show that they are the only irreducible modules up to isomorphism, we use a similar technique as above. Let I_k denote the chain of increasing ideals in $M_n\mathbb{F}$, as we used above. Then $M_n\mathbb{F} \times M_n\mathbb{F}$ admits a chain of increasing left ideals J_k

$$0 = J_0 \subset I_1 \times \{0\} \subset \dots \subset M_n\mathbb{F} \times \{0\} \subset M_n\mathbb{F} \times I_1 \subset \dots \subset M_n\mathbb{F} \times M_n\mathbb{F} = J_{2n}$$

We note that for $1 \leq k \leq n$, we have that J_k/J_{k-1} is isomorphic to L , and for $n+1 \leq k \leq 2n$, we have that J_k/J_{k-1} is isomorphic to R . Then given a nontrivial irreducible module M and a nonzero element m , we get a surjective map $\varphi : M_n\mathbb{F} \times M_n\mathbb{F} \rightarrow M$ where $A \mapsto A \cdot m$. Like before, there exists some smallest k such that $\varphi(J_k)$ is nonzero, which then factors through to an isomorphism $J_k/J_{k-1} \rightarrow M$, so M is either isomorphic to R or L . \blacksquare

This then gives a full classification of the irreducible ungraded Clifford modules.

Since the Clifford algebras are $\mathbb{Z}/2\mathbb{Z}$ graded, we have an analogous notion of a graded Clifford module.

DEFINITION 2.5. Let $A = A^0 \oplus A^1$ be a $\mathbb{Z}/2\mathbb{Z}$ graded algebra. Then a $\mathbb{Z}/2\mathbb{Z}$ **graded module** for A is a module $M = M^0 \oplus M^1$ for A such that $A^i \cdot M^j \subset M^{i+j \bmod 2}$. \blacklozenge

A *graded Clifford module* is then a $\mathbb{Z}/2\mathbb{Z}$ graded module for a Clifford algebra $\text{Cliff}_{p,q}(\mathbb{R})$. We already have all the ingredients we need to classify all of the graded Clifford modules : we have a complete classification of the ungraded Clifford modules, and we have the relationship between $\text{Cliff}_{p,q}(\mathbb{R})$ and its even subalgebra $\text{Cliff}_{p,q}^0(\mathbb{R})$.

THEOREM 2.6. *There is a bijective correspondence*

$$\left\{ \text{Ungraded Clifford modules over } \text{Cliff}_{p,q}^0(\mathbb{R}) \right\} \longleftrightarrow \left\{ \text{Graded Clifford modules over } \text{Cliff}_{p,q}(\mathbb{R}) \right\}$$

PROOF. We provide maps in both directions. Let $M = M^0 \oplus M^1$ be a graded module for $\text{Cliff}_{p,q}(\mathbb{R})$. The action of $\text{Cliff}_{p,q}^0(\mathbb{R})$ preserves the even subspace M^0 , which gives M^0 the structure of an ungraded $\text{Cliff}_{p,q}^0(\mathbb{R})$ module. Let r denote the mapping $M \mapsto M^0$.

In the other direction, let V be an ungraded module over $\text{Cliff}_{p,q}^0(\mathbb{R})$. Then let t denote the mapping $V \mapsto V' = \text{Cliff}_{p,q}(\mathbb{R}) \otimes_{\text{Cliff}_{p,q}^0(\mathbb{R})} V$. Where we treat $\text{Cliff}_{p,q}(\mathbb{R})$ as a left module under multiplication by elements of $\text{Cliff}_{p,q}^0(\mathbb{R})$. We then endow V' the structure of a $\text{Cliff}_{p,q}(\mathbb{R})$ module, where $\text{Cliff}_{p,q}(\mathbb{R})$ acts on the $\text{Cliff}_{p,q}(\mathbb{R})$ factor by left multiplication. We further impose the grading on V' where

$$V' = (\text{Cliff}_{p,q}^0(\mathbb{R}) \otimes_{\text{Cliff}_{p,q}^0(\mathbb{R})} V) \oplus (\text{Cliff}_{p,q}^1(\mathbb{R}) \otimes_{\text{Cliff}_{p,q}^0(\mathbb{R})} V)$$

We then claim that these two maps are inverses, which we will verify by showing that the compositions $r \circ t$ and $t \circ r$ are identity. We first show that $r \circ t$ is identity. Let V be an ungraded module over $\text{Cliff}_{p,q}^0(\mathbb{R})$. Then the even subalgebra of $t(V) = \text{Cliff}_{p,q} \otimes_{\text{Cliff}_{p,q}^0(\mathbb{R})} V$ is $\text{Cliff}_{p,q}^0(\mathbb{R}) \otimes_{\text{Cliff}_{p,q}^0(\mathbb{R})} V$, which is naturally isomorphic to V itself. In the other direction, let $M = M^0 \oplus M^1$ be a module for $\text{Cliff}_{p,q}(\mathbb{R})$. Showing that $t \circ r$ is the identity then amounts to showing that $\text{Cliff}_{p,q}(\mathbb{R}) \otimes_{\text{Cliff}_{p,q}^0(\mathbb{R})} M^0$ is isomorphic to M . The desired isomorphism is explicitly determined by the mapping $a \otimes m \mapsto a \cdot m$. ■

From this theorem, understanding graded Clifford modules is equivalent to understanding the ungraded modules, since the even subalgebra of a Clifford algebra is isomorphic as ungraded algebras with a smaller Clifford algebra. Putting everything together gives us the complete classification of graded Clifford modules.

Given a ring homomorphism $\varphi : A \rightarrow B$, this induces a pullback map ${}_A\text{Mod} \rightarrow {}_B\text{Mod}$ of left modules. Given a B module M , we get an A module via φ by defining the ring action on the underlying abelian group of M to be $a \cdot m = \varphi(a)m$, where the right hand side is the ring action from B . This pullback map reveals a beautiful periodicity among the Clifford algebras and their modules, which is one of the many forms of **Bott periodicity**. The Clifford modules over a fixed Clifford algebra $\text{Cliff}_{p,q}(\mathbb{R})$ form a commutative monoid under the direct sum, which we denote $\mathcal{M}_{p,q}$. The inclusion $\mathbb{R}^{p,q} \hookrightarrow \mathbb{R}^{p,q+1}$ induces an inclusion $\text{Cliff}_{p,q}(\mathbb{R}) \hookrightarrow \text{Cliff}_{p,q+1}(\mathbb{R})$, which then induces a monoid homomorphism $\mathcal{M}_{p,q+1} \rightarrow \mathcal{M}_{p,q}$. Likewise, the inclusion $\mathbb{R}^{p,q} \hookrightarrow \mathbb{R}^{p+1,q}$ induces a monoid homomorphism $\mathcal{M}_{p+1,q} \rightarrow \mathcal{M}_{p,q}$. Since these monoid maps are induced by inclusions, they represent the restriction of Clifford modules. We can then compute the cokernels of these restrictions, which computes the degree to which Clifford modules of $\text{Cliff}_{p,q}(\mathbb{R})$ fail to extend to Clifford modules over $\text{Cliff}_{p+1,q}(\mathbb{R})$ and $\text{Cliff}_{p,q+1}(\mathbb{R})$. To compute these cokernels, it suffices to compute it for the table, since tensoring with $M_{16}\mathbb{R}$ will result in the pattern in the chessboard to repeat. From the Clifford chessboard, we observe that the inclusions of $\text{Cliff}_{p,q}(\mathbb{R})$ into $\text{Cliff}_{p+1,q}(\mathbb{R})$ and $\text{Cliff}_{p,q+1}(\mathbb{R})$ all fall into one of the following four cases.

- (1) $M_n\mathbb{F} \hookrightarrow M_n\mathbb{F}'$ where $\mathbb{F} = \mathbb{R}$ or \mathbb{C} , and $\mathbb{F}' = \mathbb{C}$ or \mathbb{H} is the division algebra of twice the dimension of \mathbb{F} as a vector space over \mathbb{R} .
- (2) $M_n\mathbb{F} \times M_n\mathbb{F} \hookrightarrow M_{2n}\mathbb{F}$.
- (3) $M_n\mathbb{F}' \hookrightarrow M_{2n}\mathbb{F}$, where \mathbb{F} and \mathbb{F}' are defined as above.
- (4) $M_n\mathbb{F} \hookrightarrow M_n\mathbb{F} \times M_n\mathbb{F}$.

Using semisimplicity of the Clifford algebras, along with our classification of the ungraded Clifford modules, we then compute the cokernels for all of these cases.

- (1) In this case, the irreducible module for $M_n \mathbb{F}'$ is $(\mathbb{F}')^n$, which is twice the dimension of \mathbb{F}^n as an \mathbb{R} vector space. Then since both $M_n \mathbb{F}$ and $M_n \mathbb{F}'$ admit only a single irreducible module, the monoid homomorphism is a map $\mathbb{Z}^{\geq 0} \rightarrow \mathbb{Z}^{\geq 0}$ where $1 \mapsto 2$. The cokernel is then the group $\mathbb{Z}/2\mathbb{Z}$.
- (2) The algebra $M_n \mathbb{F} \times M_n \mathbb{F}$ injects into $M_{2n} \mathbb{F}$ as block matrices of the form

$$\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$$

with $A, B \in M_n \mathbb{F}$. From this, we see that the irreducible module \mathbb{F}^{2n} decomposes into a direct sum of the two irreducible modules for $M_n \mathbb{F} \times M_n \mathbb{F}$. The monoid homomorphism $\mathbb{Z}^{\geq 0} \rightarrow \mathbb{Z}^{\geq 0} \times \mathbb{Z}^{\geq 0}$ is then given by $1 \mapsto (1, 1)$, and the cokernel is the group \mathbb{Z} .

- (3) In this case, the irreducible modules $(\mathbb{F}')^n$ and \mathbb{F}^{2n} are the same dimension as vector spaces over \mathbb{R} , so the monoid homomorphism is given by $1 \mapsto 1$, so the cokernel is the trivial group 0.
- (4) In this case, both irreducible modules for the product $M_n \mathbb{F} \times M_n \mathbb{F}$ are the same dimension as the irreducible module for $M_n \mathbb{F}$, so the monoid homomorphism $\mathbb{Z}^{\geq 0} \times \mathbb{Z}^{\geq 0} \rightarrow \mathbb{Z}^{\geq 0}$ is given by $(1, 0) \mapsto 1$ and $(0, 1) \mapsto 1$, so the cokernel is again the trivial group 0.

With these cokernels in hand, we can fill in the table

7	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	
6	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	
5	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	
4	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	
3	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	
2	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	
1	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	
0	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ \mathbb{Z}/2\mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	$\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{smallmatrix}$	$\begin{smallmatrix} \mathbb{Z} \\ \mathbb{Z} \end{smallmatrix}$	
p	q	0	1	2	3	4	5	6	7

where the top left corner of each box is the cokernel for the monoid homomorphism induced by the inclusion $\text{Cliff}_{p,q}(\mathbb{R}) \hookrightarrow \text{Cliff}_{p+1,q}(\mathbb{R})$, and the bottom right corner is the cokernel for the monoid homomorphism induced by the inclusion $\text{Cliff}_{p,q}(\mathbb{R}) \hookrightarrow \text{Cliff}_{p,q+1}(\mathbb{R})$. There is an analogous table for the graded modules, where everything is shifted right one square. The periodicity patterns in this table are one of the many forms of **Bott periodicity**, which has wide ranging implications in homotopy theory and K -theory. The sequence

$$\mathbb{Z}/2\mathbb{Z} \quad \mathbb{Z}/2\mathbb{Z} \quad 0 \quad \mathbb{Z} \quad 0 \quad 0 \quad 0 \quad \mathbb{Z}$$

is affectionately called the **Bott song**.

3. The Pin and Spin Groups

The group of invertible elements in $\text{Cliff}_{p,q}(\mathbb{R})$, denoted $\text{Cliff}_{p,q}^\times(\mathbb{R})$ contains a group $\text{Pin}_{p,q}$, which is a double cover of the group $O_{p,q}$ of matrices preserving the standard bilinear form $\langle \cdot, \cdot \rangle$ on $\mathbb{R}^{p,q}$. Inside of $\text{Pin}_{p,q}$, there exists a subgroup $\text{Spin}_{p,q} \subset \text{Pin}_{p,q}$, which double covers the group $SO_{p,q}$, which consists of the subgroup of $O_{p,q}$ where all the elements have determinant equal to 1.

DEFINITION 3.1. The **Pin group** $\text{Pin}_{p,q}$ is the subgroup of $\text{Cliff}_{p,q}^\times(\mathbb{R})$ generated by the set

$$\left\{ v \in \mathbb{R}^{p,q} : v^2 = \pm 1 \right\}$$

The **Spin group** $\text{Spin}_{p,q}$ is the subgroup of $\text{Pin}_{p,q}$ generated by even products of basis vectors, i.e.

$$\text{Spin}_{p,q} = \text{Pin}_{p,q} \cap \text{Cliff}_{p,q}^0(\mathbb{R})$$

In the case that the bilinear form is definite, we let $\text{Pin}_n^+ = \text{Pin}_{n,0}$ and $\text{Pin}_n^- = \text{Pin}_{0,n}$. There is no such distinction for the Spin groups in definite signatures. \blacklozenge

THEOREM 3.2. $\text{Spin}_{0,n} \cong \text{Spin}_{n,0}$.

PROOF. Recall that we have an isomorphism $\text{Cliff}_{p,q}^{\text{op}} \rightarrow \text{Cliff}_{q,p}$ where $e_i^\pm \mapsto e_i^\mp$. In addition, the even subalgebra $\text{Cliff}_{q,p}^0$ is isomorphic to the (ungraded) opposite algebra of the even subalgebra $\text{Cliff}_{p,q}^0$. Therefore, the Spin group $\text{Spin}_{q,p} \subset \text{Cliff}_{q,p}$ is isomorphic to the opposite group $\text{Spin}_{p,q}^{\text{op}}$. We then know that every group is isomorphic to its opposite group via the map $g \mapsto g^{-1}$, giving us the desired isomorphism. \blacksquare

In particular, this implies that the Spin groups in definite signatures are isomorphic, so we will henceforth denote them as Spin_n . To show that the Pin and Spin groups cover $O_{p,q}$ and $\text{Spin}_{p,q}$, we make a short digression. Given a vector $v \in \mathbb{R}^{p,q}$, we can define a reflection map $R_v : \mathbb{R}^{p,q} \rightarrow \mathbb{R}^{p,q}$ given by $R_v(w) = w - 2\langle v, w \rangle v$, which will reflect across the hyperplane v^\perp .

THEOREM 3.3 (Cartan-Dieudonné). Any orthogonal transformation $A \in O_{p,q}$ is the composition of at most $p + q$ hyperplane reflections, where we interpret the identity map as the composition of 0 reflections.

PROOF. We prove this by induction on $n = p + q$. The case $n = 1$ is trivial, since $O_1 = \{\pm 1\}$. Then given $A \in O_{p,q}$, fix some nonzero $v \in \mathbb{R}^{p,q}$. Then define $R : \mathbb{R}^{p,q} \rightarrow \mathbb{R}^{p,q}$ by

$$R(w) = w - 2 \frac{\langle Av - v, w \rangle}{\langle Av - v, Av - v \rangle} (Av - v)$$

Then R is a reflection about the hyperplane orthogonal to $Av - v$, and will interchange v and Av . Therefore, RA is an orthogonal transformation fixing v . Since RA is orthogonal, it will also fix the orthogonal complement v^\perp , so it will restrict to an orthogonal transformation on v^\perp . The orthogonal complement v^\perp is 1 dimension lower than $\mathbb{R}^{p,q}$, and restricting the bilinear form to v^\perp , we know by the inductive hypothesis that $RA|_{v^\perp}$ can be written as at most $n - 1$ hyperplane reflections in v^\perp . Since RA fixes v , we can extend all of these transformations to a hyperplane reflection on all of $\mathbb{R}^{p,q}$, by taking the span of each hyperplane with v , giving us that RA is a composition of at most $n - 1$ reflections. Finally, composing RA with R gives us that A can be written as a composition of at most n hyperplane reflections. \blacksquare

The Cartan-Dieudonné theorem will be the central piece for showing that the Pin and Spin groups are double covers of the orthogonal groups.

THEOREM 3.4. There exist 2-to-1 group homomorphisms $\text{Pin}_{p,q} \rightarrow O_{p,q}$ and $\text{Spin}_{p,q} \rightarrow SO_{p,q}$, i.e. there exist short exact sequences of groups

$$0 \longrightarrow \{\pm 1\} \longrightarrow \text{Pin}_{p,q} \longrightarrow O_{p,q} \longrightarrow 0$$

$$0 \longrightarrow \{\pm 1\} \longrightarrow \text{Spin}_{p,q} \longrightarrow SO_{p,q} \longrightarrow 0$$

PROOF. We first consider the case of $\text{Pin}_{p,q}$. To do this, we need to construct a group action where $\text{Pin}_{p,q}$ acts on $\mathbb{R}^{p,q}$ by orthogonal transformations. We note that for a vector $v \in \mathbb{R}^{p,q}$ (identifying $\mathbb{R}^{p,q}$ as a subspace of $\text{Cliff}_{p,q}(\mathbb{R})$), satisfying $\langle v, v \rangle = \pm 1$, we have that $v^{-1} = \pm v$. Then given $g \in \text{Pin}_{p,q}$, and $v \in \mathbb{R}^{p,q}$, we claim that the left action

$$g \cdot v = -gv g^{-1}$$

defines the group action we desire. To show this, we must show that this indeed maps $\mathbb{R}^{p,q}$ back into itself, and that the group elements act by orthogonal transformations. It suffices to check this on the generating set of elements v with $\langle v, v \rangle = \pm 1$. First assume that $\langle v, v \rangle = 1$. Then given $w \in \mathbb{R}^{p,q}$, we compute

$$\begin{aligned} -v w v^{-1} &= -v w v \\ &= (w v - 2\langle v, w \rangle v) v \\ &= w - 2\langle v, w \rangle v \end{aligned}$$

Which is hyperplane reflection about the orthogonal complement of v . In the case that $\langle v, v \rangle = -1$, we compute

$$\begin{aligned} -v w v^{-1} &= -v w (-v) \\ &= (2\langle -v, w \rangle + w v)(-v) \\ &= w - 2\langle -v, w \rangle(-v) \end{aligned}$$

which is hyperplane reflection about the orthogonal complement of $-v^\perp$, which is the same as the orthogonal complement of v^\perp . Therefore, $\text{Pin}_{p,q}$ acts by orthogonal transformations, giving us a homomorphism $\text{Pin}_{p,q} \rightarrow O_{p,q}$. This map is surjective by the Cartan-Dieudonné theorem, and it can be verified that the kernel is $\{\pm 1\}$. ■

We also have the complex Pin and Spin groups, denoted $\text{Pin}_n \mathbb{C}$ and $\text{Spin}_n \mathbb{C}$, which double cover the complex orthogonal groups $O_n \mathbb{C}$ and $SO_n \mathbb{C}$ respectively.

Two simple examples of spin groups occur in dimensions 2 and 3. Since $SO_2 \cong \mathbb{T}$, where

$$\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$$

we have that $\text{Spin}_2 \cong \mathbb{T}$, where the covering map is given by $z \mapsto z^2$. In the case of SO_3 , we consider the unit quaternions, which form a Lie group isomorphic to the group SU_2 . Then given $q \in SU_2$, we define the map $\varphi_q : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ where $\varphi_q(v) = qv\bar{q}$, where \bar{q} is the quaternionic conjugate of q (e.g. $\overline{a + bi + cj + dk} = a - bi - cj - dk$), and $v = v^i e_i$ is identified with $v^1 i + v^2 j + v^3 k \in \mathbb{H}$. The mapping $q \mapsto \varphi_q$ then gives a double cover $SU_2 \rightarrow SO_3$. In particular, SU_2 is diffeomorphic to the sphere S^3 , so the double covering realizes SO_3 as the quotient of S^3 by the antipodal map, giving us that SO_3 is diffeomorphic to \mathbb{RP}^3 .

Many examples of low dimensional Spin groups arise from investigating the relationship between a 4 dimensional complex vector space V and its second exterior power $\Lambda^2 V$. Fix a volume form $\mu \in \Lambda^4 V^*$. This then induces a symmetric, nondegenerate bilinear form $\langle \cdot, \cdot \rangle$ on $\Lambda^2 V$ by

$$\langle \alpha, \beta \rangle = \langle \alpha \wedge \beta, \mu \rangle$$

where $\langle \alpha \wedge \beta, \mu \rangle$ denotes the natural pairing of the vector space $\Lambda^4 V$ with its dual $\Lambda^4 V^*$. Fix a basis $\{e_i\}$ for V where $\mu(e_1 \wedge e_2 \wedge e_3 \wedge e_4) = 1$. In this basis, we see that the group of transformations $\text{Aut}(V, \mu)$ perserving μ is isomorphic to the group $SL_4 \mathbb{C}$. In addition, each map $T \in \text{Aut}(V, \mu)$ induces a map $\Lambda^2 T : \Lambda^2 V \rightarrow \Lambda^2 V$, which is determined by the formula $\Lambda^2 T(v \wedge w) = Tv \wedge Tw$. For any $T \in \text{Aut}(V, \mu)$, the induced map $\Lambda^2 T$ preserves the bilinear form on $\Lambda^2 V$, so the mapping $T \mapsto \Lambda^2 T$ determines a group homomorphism $\text{Aut}(V, \mu) \rightarrow \text{Aut}(\Lambda^2 V, \langle \cdot, \cdot \rangle)$, where $\text{Aut}(\Lambda^2 V, \langle \cdot, \cdot \rangle)$ denotes the group of linear automorphisms perserving the bilinear form. The kernel of this map is $\{\pm \text{id}_V\}$, and fixing an orthogonal basis for $\langle \cdot, \cdot \rangle$ gives us that this map is a double cover $SL_4 \mathbb{C} \rightarrow SO_6 \mathbb{C}$, so $SL_4 \mathbb{C}$ is isomorphic to the complex spin group $\text{Spin}_4 \mathbb{C}$.

If we then fix a hermitian inner product $h : V \times V \rightarrow \mathbb{C}$, we can consider the automorphisms $\text{Aut}(V, \mu, h)$ perserving h and μ , which is isomorphic to the group SU_4 . The bilinear form h induces a hermitian inner product (which we also denote h) on $\Lambda^2 V$ defined by

$$h(v_1 \wedge v_2, v_3 \wedge v_4) = \det \begin{pmatrix} h(v_1, v_3) & h(v_1, v_4) \\ h(v_2, v_3) & h(v_2, v_4) \end{pmatrix}$$

Then if $T \in \text{Aut}(V, \mu, h)$, $\Lambda^2 T$ preserves the bilinear form $\langle \cdot, \cdot \rangle$ induced by μ as well as the hermitian inner product induced by h . The group that preserves both of these structures is isomorphic to $SO_6 \mathbb{C} \cap U_6$, which is $SO_6 \mathbb{R}$. This gives us that $SU_4 \cong \text{Spin}_6$.

In general, one can play the game of fixing additional structure on V (e.g. a real structure, quaternionic structure, symplectic form) and look for the induced structure on $\Lambda^2 V$. This then gives a map from automorphisms of V perserving this additional structure to automorphisms of $\Lambda^2 V$ perserving the induced

structure. Playing this game then determines several other low dimensional Spin groups.

$$\begin{array}{lll} \text{Spin}_5\mathbb{C} \cong Sp_4\mathbb{C} & \text{Spin}_4 \cong Sp(4) & \text{Spin}_4\mathbb{C} \cong SL_2\mathbb{C} \times SL_2\mathbb{C} \\ \text{Spin}_{1,3} \cong SL_2\mathbb{C} & \text{Spin}_{1,2} \cong SL_2\mathbb{R} & \text{Spin}_{1,5} \cong SL_2\mathbb{H} \end{array}$$

Where $Sp_4\mathbb{C}$ denotes the group of 4×4 matrices preserving a symplectic form, $Sp(4) = Sp_4\mathbb{C} \cap U_4$, and $SL_2\mathbb{H}$ denotes the automorphisms of a 2 dimensional quaternionic vector space with determinant 1 when regarded as 4×4 complex matrices.

DEFINITION 3.5. Given a Pin group $\text{Pin}_{p,q}$, the **Pinor representations** are representations of $\text{Pin}_{p,q}$ that arise from an irreducible Clifford module M (i.e. the action of $\text{Pin}_{p,q}$ can be extended to an action of $\text{Cliff}_{p,q}(\mathbb{R})$). The **Spinor representations** are defined analogously for the group $\text{Spin}_{p,q}$. \diamond

From the classification of Clifford modules, we get a classification of all the Pinor representations. From the relationship between a Clifford algebra and its even subalgebra, we also get a complete classification of all the Spinor representations.

4. Projective Spin Representations

Using the isomorphisms of the Clifford algebras with matrix algebras or products of matrix algebras along with the identification of the even subalgebra with another Clifford algebra, we have a complete classification of the irreducible modules over the even subalgebras $\text{Cliff}_n^0(\mathbb{R})$. Restricting to the Spin group $\text{Spin}_n \subset \text{Cliff}_n^0(\mathbb{R})$, this gives us the Spin representations. These Spin representations define projective representations of the group SO_n .

PROPOSITION 4.1. Let G be a group, and V a finite dimensional irreducible representation of G . Then every element of the center acts by scalars, i.e. for $g \in Z(G)$, there exists a scalar λ_g such that for all v in V , we have

$$g \cdot v = \lambda_g v$$

PROOF. Let $g \in Z(G)$. Then for every $h \in G$ and $v \in V$, we have that

$$h \cdot (g \cdot v) = g \cdot (h \cdot v)$$

Therefore, the action of g defines a G -equivariant map $V \rightarrow V$, which by Schur's Lemma must necessarily be a scalar multiple of the identity. \blacksquare

Given a Spin representation S , we have that the elements ± 1 act by scalars. Then since SO_n is the quotient of Spin_n by the subgroup $\{\pm 1\}$ of the center, we get a projective representation of SO_n on the projectivization $\mathbb{P}S$ in the following way: Given an element $A \in SO_n$, we have that A lifts to two elements $\{\pm \tilde{A}\} \subset \text{Spin}_n$ which differ by -1 . Since -1 acts by a scalar on S , these elements determine the same action on $\mathbb{P}S$, giving us a well defined action of SO_n on $\mathbb{P}S$.

The Spin representation S is not realized canonically, while the projective Spin representation $\mathbb{P}S$ is canonical. Given an isomorphism $\varphi : V \rightarrow W$, this induces a unique algebra isomorphism $\text{End } V \rightarrow \text{End } W$ where $A \in \text{End } V$ is mapped to $\varphi \circ A \circ \varphi^{-1}$. However, the converse is not true.

PROPOSITION 4.2. Let $\varphi : \text{End } V \rightarrow \text{End } W$ be an algebra isomorphism. Then there does not exist a unique isomorphism $\psi : V \rightarrow W$ inducing φ .

To prove this, we need a lemma.

LEMMA 4.3. The group of algebra automorphisms $\text{Aut}(M_n\mathbb{F})$ is isomorphic to the projective general linear group $PGL_n\mathbb{F} = GL_n\mathbb{F}/Z(GL_n\mathbb{F})$.

PROOF. Let $\alpha : M_n\mathbb{F} \rightarrow M_n\mathbb{F}$ be an algebra automorphism. We know that $M_n\mathbb{F}$ admits a single irreducible module M up to isomorphism, which is \mathbb{F}^n with the standard action. Then α defines another module M^α , which is the same underlying vector space as M with the algebra action given by $T \cdot v = \alpha(T)v$, where the right hand side is the action of $\alpha(T)$ on the module M . Since α is an algebra automorphism, $M_n\mathbb{F}$ acts transitively on M^α , so it is also an irreducible module, which must be isomorphic to M . Therefore, there exists a module isomorphism $A : M \rightarrow M^\alpha$. Since M and M^α are the same underlying vector space, A is

also a linear isomorphism $A : M \rightarrow M$, thought of as a vector space instead of a module. Then since A is a module homomorphism, we have that for any $T \in M_n\mathbb{F}$,

$$A \circ T = \alpha(T) \circ A \implies A \circ T \circ A^{-1} = \alpha(T)$$

so α is given by conjugation by $A \in GL(M)$. In a basis, this tells us that the map $GL_n\mathbb{F} \rightarrow \text{Aut}(M_n\mathbb{F})$ given by conjugation is surjective, and the kernel of this map is the center of $GL_n\mathbb{F}$, so by the first isomorphism theorem, we have that $\text{Aut}(M_n\mathbb{F}) \cong PGL_n\mathbb{F}$. ■

PROOF OF PROPOSITION. Fix bases for V and W . These bases then induces isomorphisms $M_n\mathbb{F} \rightarrow V$ and $M_n\mathbb{F} \rightarrow W$. In these bases, the algebra isomorphism φ is given by an automorphism $M_n\mathbb{F} \rightarrow M_n\mathbb{F}$, and the question now translates to asking whether this automorphism induces an isomorphism $\mathbb{F}^n \rightarrow \mathbb{F}^n$. From the lemma, we know this is false – an automorphism of $M_n\mathbb{F}$ only determines an element of $PGL_n\mathbb{F}$, so it is induced by an entire family of automorphisms differing by $Z(GL_n\mathbb{F})$. ■

However, an isomorphism $\varphi : \text{End } V \rightarrow \text{End } W$ does induce an isomorphism $\mathbb{P}V \rightarrow \mathbb{P}W$ of projective spaces. To see, this we make an identification between 1 dimensional subspaces of V with maximal left ideals of $\text{End } V$.

PROPOSITION 4.4. *There is a bijection*

$$\{\text{Maximal left ideals of } \text{End } V\} \longleftrightarrow \mathbb{P}V$$

PROOF. Given a line $L \in \mathbb{P}V$, the **annihilator** of L is the set

$$\text{Ann}(L) = \{M \in \text{End } V : M(L) = 0\}$$

In fact, $\text{Ann}(L)$ is a left ideal in $\text{End } V$, since given $A \in \text{End } V$ and $M \in \text{Ann}(L)$, L lies in the kernel of $A \circ M$. We claim that $\text{Ann}(L)$ is maximal. Suppose $\text{Ann}(L) \subset I$ is properly contained in a left ideal I . Fix an ordered basis for V in which the first basis element is a nonzero element of L , then elements of $\text{Ann}(L)$ are represented in this basis by matrices with all zeroes in the first column. Then since $\text{Ann}(L)$ is properly contained in I , there exists some $M \in I$ such that $M \notin \text{Ann}(L)$, which implies that as a matrix, the first column of M is nonzero. Then pick a matrix $A \in \text{Ann}(L)$ in which the nonzero columns complete the first column into a basis for \mathbb{R}^n . Then $A + M$ is an invertible element of $\text{End } V$, so I must be all of $\text{End } V$. Therefore $\text{Ann}(L)$ is maximal. To show that the mapping $L \mapsto \text{Ann}(L)$ is a bijection, we produce an inverse. Let $I \subset \text{End } V$ be a maximal ideal. Then we claim that the subspace

$$\mathbb{V}(I) = \bigcap_{M \in I} \ker M$$

is a 1 dimensional subspace of V . We note that $\mathbb{V}(I)$ cannot be trivial, since this would imply that I would contain an invertible element, contradicting that it is a proper ideal. We also see that it cannot be higher than 2 dimensional, since otherwise, I would be contained in the annihilator of a proper nontrivial subspace of $\mathbb{V}(I)$, contradicting maximality of I . We then claim that these two mappings are inverses. We certainly have that $\text{Ann}(\mathbb{V}(I)) \supset I$, so by maximality, this must be I . In addition it is clear that $\mathbb{V}(\text{Ann}(L)) = L$ by the definition of $\mathbb{V}(I)$ and the annihilator. Therefore, these mappings are inverses. ■

Therefore, given an algebra isomorphism $\varphi : \text{End } V \rightarrow \text{End } W$, this induces a map $\mathbb{P}V \rightarrow \mathbb{P}W$ since the image of a maximal left ideal under an isomorphism is again a maximal left ideal. In addition, the induced map is a bijection, since it has an inverse given by the induced map of φ^{-1} . In addition, the group of units $GL(V)$ acts on $\mathbb{P}V$ by right multiplication – given a maximal ideal I and $A \in GL(V)$, the ideal $I \cdot A$ is also a maximal left ideal.

This gives us a canonical realization of the Spin representations. In the case that the even subalgebra is isomorphic to a matrix algebra $M_n\mathbb{F}$, the projective Spin representation is restriction of the action of $\text{Cliff}_n^0(\mathbb{R})$ on maximal left ideals of $\text{Cliff}_n^0(\mathbb{F})$. In the case that the even subalgebra is isomorphic to a product $M_n\mathbb{F} \times M_n\mathbb{F}$, the irreducible modules identify the subalgebras L and R isomorphic to $M_n\mathbb{F} \times \{0\}$ and $\{0\} \times M_n\mathbb{F}$ by singling out the maximal subalgebra that acts nontrivially. Looking at the maximal left ideals of these subalgebras then identifies the two projective Spin representations. In addition, since -1 acts trivially on left ideals, these projective Spin representations descend to the quotient $\text{Spin}_n / \{\pm 1\} \cong SO_n$, giving us the projective representations of SO_n .

Spin Structures on Manifolds



Not all the geometrical structures are “equal”. It would seem that the Riemannian and complex structures, with their contacts with other fields of mathematics and with their richness in results, should occupy a central position in differential geometry. A unifying idea is the notion of a G -structure, which is the modern version of an equivalence problem first emphasized and exploited in its various special cases by Élie Cartan.

– *Shiing-Shen Chern*



1. Fiber Bundles

DEFINITION 1.1. Let M and F be smooth manifolds. Then a **fiber bundle** over M with model fiber F is a the data of a smooth manifold E with a smooth map $\pi : E \rightarrow M$ such that for every point $p \in M$, there is a neighborhood $U \subset M$ containing p such that there exists an diffeomorphism $\varphi : \pi^{-1}(U) \rightarrow U \times F$ such that the diagram

$$\begin{array}{ccc} \pi^{-1}(U) & \xrightarrow{\varphi} & U \times F \\ & \searrow \pi \quad \swarrow p_U & \\ & U & \end{array}$$

commutes, where p_U denotes projection onto the first factor. The map φ is called a **local trivialization** of the fiber bundle $\pi : E \rightarrow M$. ◆

Given a fiber bundle $\pi : E \rightarrow M$ we often denote the fiber $\pi^{-1}(p)$ by E_p .

DEFINITION 1.2. let $\pi : E \rightarrow M$ and $p : L \rightarrow M$ be fiber bundles with model fiber F over M . A **bundle homomorphism** is a smooth map $\varphi : E \rightarrow L$ such that the following diagram commutes

$$\begin{array}{ccc} E & \xrightarrow{\varphi} & L \\ & \searrow \pi \quad \swarrow p & \\ & M & \end{array}$$



An important property of fiber bundles is that they pull back.

DEFINITION 1.3. Let $\pi : E \rightarrow M$ be a fiber bundle with model fiber F and let $f : X \rightarrow M$ be a smooth map. Then the **pullback** of E by f is the data of a smooth manifold

$$f^*E = \left\{ (x, p) : x \in X, p \in \pi^{-1}(f(x)) \right\}$$

along with the projection $p : f^*E \rightarrow M$ given by $(x, p) \mapsto x$, giving $f^*E \rightarrow X$ the structure of a fiber bundle over X with model fiber F . The bundle f^*E also comes equipped with a natural map $\alpha : f^*E \rightarrow E$ where

$\alpha(x, p) = p$. Pullbacks give rise to the commutative diagram

$$\begin{array}{ccc} f^*E & \xrightarrow{\alpha} & E \\ p \downarrow & & \downarrow \pi \\ X & \xrightarrow{f} & M \end{array}$$

and are an instance of a general construction called the **fibered product**. ❖

DEFINITION 1.4. Let $\pi : E \rightarrow M$ be a fiber bundle. A **local section** of $\pi : E \rightarrow M$ is a smooth map $\sigma : U \rightarrow E$ of an open set $U \subset M$ such that $\pi \circ \sigma = \text{id}_U$. If $U = M$, the section is called a **global section**. Equivalently, it is the smooth assignment of an element in E_p to each point $p \in U$. We denote the set of sections of $\pi : E \rightarrow M$ over a set U as $\Gamma_U(E)$. ❖

A fiber bundle is a very general construction in which the fibers F do not necessarily admit extra structure. An important special case of a fiber bundle is a vector bundle, where the fibers are vector spaces.

DEFINITION 1.5. Let M be a smooth manifold. A **vector bundle** over M is fiber bundle $\pi : E \rightarrow M$ with model fiber \mathbb{R}^n (or \mathbb{C}^n) such that the local trivializations $\varphi : \pi^{-1}(U) \rightarrow U \times \mathbb{R}^n$ (or \mathbb{C}^n) restrict to linear isomorphisms on the fibers, i.e. for all $p \in U$, the restriction $\varphi|_{\pi^{-1}(p)} : \pi^{-1}(p) \rightarrow \{p\} \times \mathbb{R}^n$ (or \mathbb{C}^n) is an isomorphism. The dimension n of the fibers is called the **rank** of the vector bundle. A **vector bundle homomorphism** $\varphi : E \rightarrow L$ is a bundle homomorphism with the added stipulation that the restrictions to the fibers $\varphi|_{E_x}$ are linear maps. ❖

EXAMPLE 1.6.

- (1) Given a smooth manifold M , the tangent bundle $TM = \coprod_{p \in M} T_p M$ is a vector bundle, where the projection $\pi : TM \rightarrow M$ is the mapping $(p, v) \mapsto p$. The rank of TM is the dimension of M . Local coordinates on (x^i) on $U \subset M$ induce maps $\pi^{-1}(U) \rightarrow U \times \mathbb{R}^n$, where $(p, v) \mapsto (x^1(p), \dots, x^n(p), v^1, \dots, v^n)$, where the v^i are the components of v with respect to the coordinate bases ∂_i . These maps define a basis for a topology on TM , which we then give a smooth structure by declaring the projection $\pi : TM \rightarrow M$ to be smooth. In addition, these maps define local trivializations for TM , showing that it is a vector bundle.
- (2) A point in \mathbb{RP}^n is a line ℓ in \mathbb{R}^{n+1} . The **tautological bundle** over \mathbb{RP}^n is the bundle where the fiber over ℓ is the subspace ℓ itself. An analogous construction can be done for Grassmannians $\text{Gr}_k(V)$, which parameterize k -dimensional subspaces of a vector space V . ◀

DEFINITION 1.7. A **Lie group** is a smooth manifold G with a group structure such that the multiplication map $(g, h) \mapsto gh$ and the inversion map $g \mapsto g^{-1}$ are smooth. ❖

Almost all of the groups we have discussed previously are Lie groups.

EXAMPLE 1.8.

- (1) The orthogonal groups O_n and special orthogonal groups SO_n are Lie groups.
- (2) The unitary groups U_n and special unitary groups SU_n are Lie groups. ◀

Another important class of fiber bundles are principal bundles. Given a Lie group G , the fibers of a principal G -bundle are G -torsors – manifolds with a smooth free and transitive right (or left) G -action. Given a G torsor M and a point p in M , there is a diffeomorphism $G \rightarrow M$, where $g \mapsto p \cdot g$. A G -torsor can be thought of as G without a distinguished identity element.

DEFINITION 1.9. Let G be a Lie group, and M a smooth manifold. A **principal G -bundle** over M is the data of

- (1) A smooth manifold P with a map $\pi : P \rightarrow M$.
- (2) A smooth right G -action on P that preserves the fibers of π and is free and transitive on the fibers of π .
- (3) For every point $p \in M$, a neighborhood $U \subset M$ containing p and a G -equivariant diffeomorphism $\varphi : \pi^{-1}(U) \rightarrow U \times G$ (where the right action on $U \times G$ is right multiplication on the second factor)

such that we get the commutative diagram

$$\begin{array}{ccc} \pi^{-1}(U) & \xrightarrow{\varphi} & U \times G \\ & \searrow \pi \quad \swarrow p_U & \\ & U & \end{array}$$

where p_U denotes projection onto the first factor.

A **principal bundle homomorphism** is a bundle homomorphism $\varphi : P \rightarrow Q$ that is G -equivariant. \diamond

EXAMPLE 1.10. Given a smooth manifold M and a point $p \in M$, a basis of the tangent space is a linear isomorphism $b : \mathbb{R}^n \rightarrow T_p M$. The group $GL_n \mathbb{R}$ acts freely and transitively on the set of bases \mathcal{B}_p on the right by $b \cdot g = b \circ g$. Then the **frame bundle** of M , denoted $\mathcal{B}(M)$ is the disjoint union

$$\mathcal{B}(M) = \coprod_{p \in M} \mathcal{B}_p$$

where π is the projection map $(p, b) \mapsto p$. Like with the tangent bundle, we use local coordinates on M to give maps $\pi^{-1}(U) \rightarrow U \times GL_n \mathbb{R}$. These maps can then be used to define a topology and smooth structure, and form local trivializations of $\mathcal{B}(M)$, giving it the structure of a principal $GL_n \mathbb{R}$ -bundle over M . \blacktriangleleft

EXAMPLE 1.11. Given a smooth manifold M , a Riemannian metric g induces an inner product g_p on each tangent space $T_p M$, where g_p denotes the metric g evaluated at p . Then the set of orthonormal bases of $T_p M$ is the set of all linear isometries $(\mathbb{R}^n, \langle \cdot, \cdot \rangle) \rightarrow (M, g_p)$ where $\langle \cdot, \cdot \rangle$ is the standard inner product on \mathbb{R}^n . Then taking the disjoint union over all points $p \in M$ of orthonormal bases for the tangent spaces $T_p M$ forms the **orthonormal frame bundle** $\mathcal{B}_O(M)$, which is a principal O_n bundle. \blacktriangleleft

DEFINITION 1.12. Let $\pi : P \rightarrow M$ be a principal G -bundle over M , and let F be a smooth manifold with a smooth left G action. Then the **associated fiber bundle**, denoted $P \times_G F$, is the set

$$P \times_G F = P \times G / (p, g) \sim (p \cdot h, h^{-1}g)$$

Since the group action on P preserves the fibers, the projection $p_1 : P \times F \rightarrow P$ composed with the projection $\pi : P \rightarrow M$ descends to the quotient, giving us a projection map $\Phi : P \times_G F \rightarrow M$. \diamond

The first thing to check is that $P \times_G F$ is a fiber bundle, justifying the name.

PROPOSITION 1.13. Let $\pi : P \rightarrow M$ be a principal G -bundle and F a manifold with a left G action. then the associated bundle $\Phi : P \times_G F \rightarrow M$ is a fiber bundle with model fiber F .

PROOF. We wish to provide local trivializations $\Phi^{-1}(U) \rightarrow U \times F$ for the associated bundle. Fix a local trivialization $\psi : \pi^{-1}(U) \rightarrow U \times G$. Then ψ is of the form $\psi(p) = (\pi(p), \tilde{\psi}(p))$ for some $\tilde{\psi} : \pi^{-1}(U) \rightarrow G$, satisfying $\tilde{\psi}(p \cdot g) = \tilde{\psi}(p)g$. Define

$$\begin{aligned} \varphi : \Phi^{-1}(U) &\rightarrow U \times F \\ [p, f] &\mapsto (\pi(p), \tilde{\psi}(p) \cdot f) \end{aligned}$$

We first note that this is well defined on equivalence classes, since

$$[p \cdot g, g^{-1} \cdot f] \mapsto (\pi(p \cdot g), \tilde{\psi}(p \cdot g) \cdot g^{-1} \cdot f) = (\pi(p), \tilde{\psi}(p) \cdot f)$$

So φ is well defined. We also note that $\Phi([p, f]) = \pi(p)$ by how Φ was defined, so φ is a local trivialization provided it is a homeomorphism. To show this, we construct an inverse. Define $\alpha : U \times F \rightarrow \Phi^{-1}(U)$ by $\alpha(u, f) = [\psi^{-1}(u, e), f]$, where e denotes the identity element of G . We then claim that α is the inverse. We compute

$$\begin{aligned} (\varphi \circ \alpha)(u, f) &= \varphi[\psi^{-1}(u, e), f] \\ &= (u, e \cdot f) \\ &= (u, f) \end{aligned}$$

In the other direction, we compute

$$\begin{aligned} (\alpha \circ \varphi)[p, f] &= \alpha(\pi(p), \tilde{\psi}(p)) \\ &= [\psi^{-1}(\pi(p), e), f] \\ &= [p, f] \end{aligned}$$

Therefore, φ is a local trivialization, giving us that $\Phi : P \times_G F \rightarrow M$ is a fiber bundle with model fiber F . ■

There is a correspondence between sections of an associated bundle and G -equivariant maps $P \rightarrow F$.

PROPOSITION 1.14. *Let $\pi : P \rightarrow M$ be a principal G -bundle. Then there is a bijection*

$$\{G\text{-equivariant maps } P \rightarrow F\} \longleftrightarrow \Gamma_M(P \times_G F)$$

PROOF. Since F has a left G -action, we use it to define a right G -action by $f \cdot g = g^{-1} \cdot f$. Then what we mean by a G -equivariant map is a map $\varphi : P \rightarrow F$ such that

$$\varphi(p \cdot g) = g^{-1} \cdot \varphi(p)$$

We then wish to use G -equivariant map φ to produce a section $\tilde{\varphi} : M \rightarrow P \times_G F$ of the associated bundle. For a point $x \in M$, pick any $p \in \pi^{-1}(x)$ in the fiber. Then define

$$\tilde{\varphi}(x) = [p, \varphi(p)]$$

where $[p, \varphi(p)]$ denotes the equivalence class of $(p, \varphi(p))$ in $P \times_G F$. We first claim that this map is well defined, i.e. it is independent of our choice of point $p \in \pi^{-1}(x)$. We know that G acts freely and transitively on $\pi^{-1}(x)$, so all points in the fiber are of the form $p \cdot g$ for a unique $g \in G$. Then we have that for any $g \in G$

$$(p \cdot g, \varphi(p \cdot g)) = (p \cdot g, g^{-1} \cdot \varphi(p)) \sim (p, \varphi(p))$$

where we use the G -equivariance of φ and the definition of the equivalence relation on the associated bundle. In addition, this induced map is a section of $P \times_G F \rightarrow M$, since the image is represented by an element of $P \times F$ with an element of the fiber $\pi^{-1}(x)$ in the first factor.

Conversely, given a section $\sigma : M \rightarrow P \times_G F$, we wish to produce a G -equivariant map $P \rightarrow F$. Given such a section, and a point $x \in M$, we have that $\sigma(x) = [p, f]$ for some $p \in P$ and $f \in F$. Then define $\tilde{\sigma} : P \rightarrow F$ such that $\tilde{\sigma}(p) = f$, and $\tilde{\sigma}(p \cdot g) = g^{-1} \cdot f$. Since G acts freely and transitively on the fibers, this specifies the map on every point of P . In addition, $\tilde{\sigma}$ is G -equivariant by construction. Then the maps

$$\{G\text{-equivariant maps } P \rightarrow F\} \longleftrightarrow \Gamma_M(P \times_G F)$$

we provided are easily verified to be inverses to each other, giving us the correspondence. ■

In some sense, the geometry of the associated fiber bundle $P \times_G F$ is controlled by the group G , as G determines a distinguished group of symmetries of the fiber F .

EXAMPLE 1.15 (The tangent bundle as an associated bundle). Given a manifold M , we can take the frame bundle $\pi : \mathcal{B}(M) \rightarrow M$, which is a principal $GL_n \mathbb{R}$ bundle. The group $GL_n \mathbb{R}$ acts linearly on \mathbb{R}^n in the standard way, giving us an associated vector bundle $\mathcal{B}(M) \times_{GL_n \mathbb{R}} \mathbb{R}^n$. We claim that this bundle is isomorphic to the tangent bundle TM , i.e. there exists an diffeomorphism $\varphi : \mathcal{B}(M) \times_{GL_n \mathbb{R}} \mathbb{R}^n \rightarrow TM$ that restricts to linear isomorphisms on the fibers and the diagram

$$\begin{array}{ccc} \mathcal{B}(M) \times_{GL_n \mathbb{R}} \mathbb{R}^n & \xrightarrow{\varphi} & TM \\ & \searrow & \swarrow \\ & M & \end{array}$$

commutes, where the maps to M are the bundle projections. Recall that elements of $\mathcal{B}(M) \times_{GL_n \mathbb{R}} \mathbb{R}^n$ are represented by pairs (b, v) , where $b : \mathbb{R}^n \rightarrow T_{\pi(b)} M$ is a linear isomorphism, and v is a vector in \mathbb{R}^n . Then define φ by

$$\varphi[b, v] = (\pi(b), b(v))$$

This is well defined, since $\varphi[b \circ g, g^{-1}(v)] = (\pi(b \circ g), (b \circ g)(g^{-1}(v))) = (\pi(b), b(v))$. This is an isomorphism, where the inverse mapping maps $(p, v) \in TM$ to (b, \tilde{v}) where b is any basis of $T_p M$ and \tilde{v} is the

coordinate representation of v in the basis b . In this example, we see that the associated bundle identifies the same vector under different coordinate transformations, which defines the symmetries of TM . ◀

In general, given a rank n vector bundle $E \rightarrow M$, we can construct the frame bundle $\mathcal{B}(E)$ for E , where the fiber over $x \in M$ is the $GL_n\mathbb{R}$ -torsor of bases for the fiber E_x . We can then recover E by taking the associated bundle $\mathcal{B}(E) \times_{GL_n\mathbb{R}} \mathbb{R}^n$, so the process of taking frames and constructing associated bundles are inverses of each other.

DEFINITION 1.16. Let $\pi : P \rightarrow M$ be a principal G -bundle, and $\rho : H \rightarrow G$ a group homomorphism. The map ρ gives G a left H action where $h \cdot p = \rho(h) \cdot p$. A **reduction of structure group** is the data of a principal H bundle $Q \rightarrow M$ and an H -equivariant bundle homomorphism $F : Q \rightarrow P$. ♦

The map $F : Q \rightarrow P$ induces a map $\tilde{F} : Q \times_H G \rightarrow P$, where we map the equivalence class $[q, g]$ to $F(q)g$. This is well defined on equivalence classes since

$$(q \cdot h, \rho(h)^{-1}g) \mapsto F(q \cdot h)\rho(h)^{-1}g = F(q)\rho(h)\rho(h)^{-1}g = F(q)g$$

EXAMPLE 1.17 (Reduction from $GL_n\mathbb{R}$ to O_n). Let M be a smooth manifold, and $\pi : \mathcal{B}(M) \rightarrow M$ its bundle of frames. The inclusion map $\iota : O_n \hookrightarrow GL_n\mathbb{R}$ gives an action of O_n on $\mathcal{B}(M)$, where given $b \in \mathcal{B}(M)$ and $T \in O_n$, $b \cdot T = b \cdot \iota(T)$. We then take the quotient by this O_n action, giving us a quotient map $q : \mathcal{B}(M)/O_n$. Since the inclusion is injective, the O_n action is free on $\mathcal{B}(M)$, so this gives $q : \mathcal{B}(M) \rightarrow \mathcal{B}(M)/O_n$ the structure of a O_n bundle. In addition, the action of O_n preserves the fibers of $\pi : \mathcal{B}(M) \rightarrow M$, so π descends to the quotient, so $\mathcal{B}(M)/O_n \rightarrow M$ is a fiber bundle with model fiber $GL_n\mathbb{R}/O_n$. Since $GL_n\mathbb{R}$ deformation retracts onto O_n via the Gram-Schmidt algorithm, $GL_n\mathbb{R}/O_n$ is contractible, so the fiber bundle $\mathcal{B}(M)/O_n \rightarrow M$ admits global sections. Then given a section $\sigma : M \rightarrow \mathcal{B}(M)/O_n$, this gives an O_n bundle over M via the pullback $\sigma^*\mathcal{B}(M)$. In addition, we get a O_n -equivariant map $\sigma^*\mathcal{B}(M) \rightarrow \mathcal{B}(M)$ given by $(p, b) \mapsto (p, \iota(b))$. The bundle $\sigma^*\mathcal{B}(M)$ can be thought of as the bundle of orthonormal frames with respect to some Riemannian metric on M , and the fact that $\mathcal{B}(M)/O_n$ admits sections corresponds to the fact that every manifold admits a Riemannian metric. ◀

Principal bundles can be thought of as a generalization of covering spaces. For discrete groups G , the data of a principal G -bundle $P \rightarrow M$ is the data of a (possibly disconnected) $|G|$ -fold cover of M with deck transformation group isomorphic to G . Discreteness of G allows for a very complete description of all principal G -bundles over a given manifold M .

DEFINITION 1.18. Let (M, x_0) be a pointed topological space (in our case, usually a manifold), i.e. a space M with a choice of distinguished basepoint $x_0 \in M$. Then a **pointed principal G -bundle** over M is the data of a principal G -bundle $\pi : P \rightarrow M$, along with a choice of basepoint $p_0 \in \pi^{-1}(x_0)$. We denote this as $\pi : (P, p_0) \rightarrow (M, x_0)$. We denote the set of pointed principal G -bundles over (M, x_0) as $\text{Bun}_G(M, x_0)$. ♦

We now recall some properties of covering spaces. A pointed covering space $\pi : (\tilde{M}, \tilde{x}_0) \rightarrow (M, x_0)$ has the **path lifting** property, i.e. given a path $\gamma : I \rightarrow M$ with $\gamma(0) = x_0$, there exists a unique path $\tilde{\gamma} : I \rightarrow \tilde{M}$ with $\tilde{\gamma}(0) = \tilde{x}_0$ such that $\pi \circ \tilde{\gamma} = \gamma$. In addition, the covering space has the **homotopy lifting property**. Given paths $\gamma_1, \gamma_2 : I \rightarrow M$ with $\gamma_i(0) = x_0$ and a homotopy $F : I \times I \rightarrow M$ from γ_1 to γ_2 , there exists a unique homotopy $\tilde{F} : I \times I \rightarrow \tilde{M}$ between $\tilde{\gamma}_1$ and $\tilde{\gamma}_2$. These two facts define an action of $\pi_1(M, x_0)$ on the fiber $\pi^{-1}(x_0)$ where given a homotopy class $[\gamma]$ of loops, the action of $[\gamma]$ on $p \in \pi^{-1}(x_0)$ is the endpoint of $\tilde{\gamma}(1)$ of the lifted loop $\tilde{\gamma}$. The unique path lifting and homotopy lifting properties guarantees that this defines a group action, called the **holonomy** of the covering space. In the special case that our covering space is a principal G -bundle with a discrete G , the point $\tilde{\gamma}(1)$ is equal to $\tilde{x}_0 \cdot g$ for a unique group element $g \in G$, so the holonomy action determines a group homomorphism $\pi_1(M, x_0) \rightarrow G$. In fact, the holonomy completely determines the bundle.

THEOREM 1.19. For a discrete group G and a connected manifold M , there is a bijection

$$\text{Bun}_G(M, x_0) \longleftrightarrow \text{Hom}(\pi_1(M, x_0), G)$$

PROOF. We once more provide maps in both directions. Every pointed principal G -bundle $(P, p_0) \rightarrow (M, x_0)$ determines a group homomorphism $\pi_1(M, x_0) \rightarrow G$ by the holonomy action. In the other direction, given a group homomorphism $\varphi : \pi_1(M, x_0) \rightarrow G$, we want to construct a pointed principal G bundle $(P, p_0) \rightarrow (M, x_0)$ with holonomy φ . Every pointed manifold (M, x_0) has a **universal cover** $(\tilde{M}, \tilde{x}_0) \rightarrow$

(M, x_0) , which has a free and transitive action by $\pi_1(M, x_0)$, making it a pointed principal $\pi_1(M, x_0)$ bundle over (M, x_0) . In addition, the homomorphism $\varphi : \pi_1(M, x_0) \rightarrow G$ determines a left action of $\pi_1(M, x_0)$ on G by $[\gamma] \cdot g = \varphi[\gamma]g$. This allows us to define the associated bundle

$$P = \tilde{M} \times_{\pi_1(M, x_0)} G = \tilde{M} \times G / (x, g) \sim (x \cdot [\gamma], \varphi[\gamma]^{-1}g)$$

where our distinguished basepoint $p_0 \in P$ is $p_0 = [\tilde{x}_0, e]$. This is a fiber bundle with model fiber G , and the right action of G on $\tilde{M} \times G$ descends to a free and transitive action on the quotient, making it a principal G -bundle. We then check the holonomy action of a loop $[\gamma] \in \pi_1(M, x_0)$. We compute for p in the fiber over x_0

$$p \cdot [\gamma] = [\tilde{x}_0 \cdot [\gamma], h] \sim [x_0, \varphi[\gamma] \cdot h]$$

So the holonomy is φ , giving us the desired correspondence. ■

The set $\text{Bun}_G(M, x_0)$ comes equipped with an action of G , where the action of $g \in G$ on a pointed bundle $(P, p_0) \rightarrow (M, x_0)$ is by permuting basepoints, i.e. $(P, p_0) \cdot g = (P, p_0 \cdot g)$, where the covering map is left untouched. Quotienting by this group action gives us the identification of $\text{Bun}_G(M, x_0)/G$ with isomorphism classes of principal G -bundles $P \rightarrow M$, where the isomorphisms are without basepoints. From the perspective of pointed bundles $(P, p_0) \rightarrow (M, x_0)$ as homomorphisms $\varphi : \pi_1(M, x_0) \rightarrow G$, the group action of G on $\text{Bun}_G(M, x_0)$ is an action by conjugation. Given $(P, p_0) \rightarrow (M, x_0)$ with holonomy $\varphi : \pi_1(M, x_0) \rightarrow G$, we want to know the holonomy φ_g of the bundle $(P, p_0 \cdot g) \rightarrow (M, x_0)$. Given a loop γ based at x_0 , let $\tilde{\gamma}$ denote its lift to (P, p_0) by uniqueness of path lifting, the lift of γ to $(P, p_0 \cdot g)$ is the path $\tilde{\gamma}_g(t) = \tilde{\gamma}(t) \cdot g$. Then

$$\begin{aligned} \gamma_g(1) &= \tilde{\gamma}(1) \cdot g \\ &= p_0 \cdot \varphi[\gamma] \cdot g \\ &= p_0 \cdot g \cdot g^{-1} \cdot \varphi[\gamma] \cdot g \end{aligned}$$

So $\varphi_g = g^{-1} \cdot \varphi \cdot g$.

2. Dirac Operators in \mathbb{R}^n

One important application of Clifford algebras and Spin groups comes from physics. In the process of developing a relativistic equation for the electron, Paul Dirac saw the need for a first order differential operator D such that D squared to the Laplace operator¹.

$$\Delta = - \sum_i \frac{\partial^2}{\partial (x^i)^2}$$

If D were to be first order, it would have to be written as

$$D = a^i \frac{\partial}{\partial x^i}$$

for some coefficients a^i . However, it is clear that choosing scalar coefficients for the a^i will not suffice. For example, in \mathbb{R}^2 with the standard coordinates x and y , any first order operator $D = a^1 \partial_x + a^2 \partial_y$ satisfies

$$D^2 = \left(a^1 \frac{\partial}{\partial x} + a^2 \frac{\partial}{\partial y} \right)^2 = (a^1)^2 \frac{\partial^2}{\partial x^2} + a^1 a^2 \frac{\partial^2}{\partial x \partial y} + a^2 a^1 \frac{\partial^2}{\partial y \partial x} + (a^2)^2 \frac{\partial^2}{\partial y^2}$$

From this equation, we see that the a^i must square to -1 , and we must also have that $a^1 a^2 + a^2 a^1 = 0$ in order for the mixed partial terms to vanish. This is not possible if the a^i are scalars (in either \mathbb{R} or \mathbb{C}). However, the required relations are exactly the relations between orthogonal basis vectors in the Clifford algebra $\text{Cliff}_{0,n}(\mathbb{R})$!

¹Our reasoning for choosing this sign convention for Δ is twofold – one reason is that the spectrum of Δ is positive with this choice of sign, and the second is that this definition coincides with a generalized Laplace operator on a Riemannian manifold.

DEFINITION 2.1. Let $\{e_i\}$ be the standard basis for \mathbb{R}^n , $\{e^i\}$ ² its dual basis, and x^1, \dots, x^n the standard coordinates on \mathbb{R}^n . The *Dirac operator* on \mathbb{R}^n is the first order differential operator

$$D = e^i \frac{\partial}{\partial x^i}$$

❖

It is not clear from the definition what function space D should act on. The partial derivative operators make sense for any vector valued function, but multiplication by e^i does not make sense on an arbitrary vector space – it must be a Clifford module. Therefore, D is an operator $D : C^\infty(\mathbb{R}^n, M) \rightarrow C^\infty(\mathbb{R}^n, M)$ on smooth functions from \mathbb{R}^n to a Clifford module M . In fuller generality, functions from $\mathbb{R}^n \rightarrow M$ are equivalent to smooth sections of $\mathbb{R}^n \times M \rightarrow \mathbb{R}^n$, which is a bundle of Clifford modules over \mathbb{R}^n . This viewpoint generalizes more naturally, and the Dirac operator defined on a general Riemannian manifold is an operator on sections of some bundle.

The Dirac operators in dimensions 1, 2, and 4 exhibit some extremely interesting behavior, corresponding to the appearances of \mathbb{C} and \mathbb{H} when \mathbb{R}^n is given a negative definite bilinear form. In 1 dimension, the Clifford algebra $\text{Cliff}_{0,1}(\mathbb{R})$ admits the ordered basis $(1, e^1)$, which then gives a basis for $C^\infty(\mathbb{R}, \text{Cliff}_{0,1}(\mathbb{R}))$ as a $C^\infty(\mathbb{R})$ module where for any $f : \mathbb{R} \rightarrow \text{Cliff}_{0,1}(\mathbb{R})$, we have the decomposition $f(x) = u(x) + e^1 v(x)$, giving a column vector representation

$$f = \begin{pmatrix} u \\ v \end{pmatrix}$$

The Dirac operator is $e^1 \partial_x$, which is represented in matrix form as

$$D = \begin{pmatrix} 0 & -\partial_x \\ \partial_x & 0 \end{pmatrix}$$

Note that the matrix is block off-diagonal. Since we picked the ordered basis $(1, e^1)$ for $\text{Cliff}_{0,1}(\mathbb{R})$, whose elements are even and odd respectively. The action of the top right block is the action on the even subspace, and the action of the bottom left block is the action on the odd subspace. This shows that D is an odd operator, as it reverses the grading.

In 2 dimensions, $\text{Cliff}_{0,2}(\mathbb{R})$ has the ordered basis $(1, e^1 e^2, e_1, e_2)$, where we choose the ordering by the parity of the elements. Again, we can write any function $f : \mathbb{R}^2 \rightarrow \text{Cliff}_{0,2}(\mathbb{R})$ in terms of this basis as

$$f(x, y) = f_0(x, y) + e^1 e^2 f_{12}(x, y) + e^1 f_1(x, y) + e^2 f_2(x, y)$$

where the component functions are all elements of $C^\infty(\mathbb{R}^2)$. In this basis, the action of the Dirac operator $D = e^1 \partial_x + e^2 \partial_y$ is represented by the matrix of differential operators

$$D = \begin{pmatrix} 0 & 0 & -\partial_x & -\partial_y \\ 0 & 0 & -\partial_y & \partial_x \\ \partial_x & \partial_y & 0 & 0 \\ \partial_y & -\partial_x & 0 & 0 \end{pmatrix}$$

Which is actually a familiar differential operator in disguise. We make the identification of $\text{Cliff}_{0,2}(\mathbb{R})$ with the quaternions \mathbb{H} , which have a direct sum decomposition $\mathbb{H} = \mathbb{C} \oplus \mathbb{C}$, giving a $\mathbb{Z}/2\mathbb{Z}$ -grading. The mappings $e_1 e_2 \mapsto i$ and $e_2 \mapsto i$ determine vector space isomorphisms of the even and odd subspaces of $\text{Cliff}_{0,2}(\mathbb{R})$ with \mathbb{C} , giving an isomorphism of $\text{Cliff}_{0,2}(\mathbb{R})$ with $\mathbb{H} = \mathbb{C} \oplus \mathbb{C}$. The operator in the top right block is then exactly twice the differential operator

$$-\frac{\partial}{\partial \bar{z}} = -\frac{1}{2} \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right)$$

on functions $\mathbb{R}^2 \rightarrow \mathbb{C}$, and the operator in the bottom block is the twice the operator

$$\frac{\partial}{\partial \bar{z}} = \frac{1}{2} \left(\frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$$

²The choice of using the dual basis elements e^i instead of the e_i is so that D behaves tensorially with respect to coordinate change.

So D is more compactly represented under this identification as

$$D = \begin{pmatrix} 0 & 2\partial_z \\ 2\partial_{\bar{z}} & 0 \end{pmatrix}$$

In 4 dimensions, the irreducible module over $\text{Cliff}_{0,3} \cong M_2\mathbb{H}$ is \mathbb{H}^2 . This has a direct sum decomposition as $\mathbb{H}^2 = \mathbb{H} \oplus \mathbb{H}$, allowing us to represent functions $f : \mathbb{R}^4 \rightarrow \mathbb{H}^2$ as pairs of functions $\mathbb{R}^4 \rightarrow \mathbb{H}$, which are the maps into the even and odd subspaces of $\mathbb{H}^2 = \mathbb{H} \oplus \mathbb{H}$. The quaternions admit operators similar to the operators ∂_z and $\partial_{\bar{z}}$, which are

$$\begin{aligned} \frac{\partial}{\partial q} &= \frac{1}{4} \left(\frac{\partial}{\partial x^1} - i \frac{\partial}{\partial x^2} - j \frac{\partial}{\partial x^3} - k \frac{\partial}{\partial x^4} \right) \\ \frac{\partial}{\partial \bar{q}} &= \frac{1}{4} \left(\frac{\partial}{\partial x^1} + i \frac{\partial}{\partial x^2} + j \frac{\partial}{\partial x^3} + k \frac{\partial}{\partial x^4} \right) \end{aligned}$$

Using these identifications, the Dirac operator is compactly represented as

$$D = \begin{pmatrix} 0 & -4\partial_q \\ 4\partial_{\bar{q}} & 0 \end{pmatrix}$$

which encodes quaternionic analogues of the Cauchy-Riemann equations.

3. Spin Structures

Every manifold M admits a Riemannian metric, so there always exists a reduction of structure group from $GL_n\mathbb{R}$ to O_n . If M is orientable, over each point $p \in M$, we have a preferred set of orthonormal bases of T_pM , which correspond to a choice of component of the O_n -torsor of orthonormal frames of T_pM . Doing this for each point $p \in M$, this determines a subbundle P of $\mathcal{B}_O(M)$. In addition, each fiber has a free and transitive action of SO_n via the inclusion $SO_n \hookrightarrow O_n$, giving it the structure of a principal SO_n -bundle over M . In addition, the inclusion $P \hookrightarrow \mathcal{B}_O(M)$ is SO_n -equivariant, so it defines a reduction of structure group from O_n to SO_n . We denote $P = \mathcal{B}_{SO}(M)$, and call it the **bundle of oriented orthonormal frames**.

A **Spin structure** on M is a further reduction of structure group to Spin_n with respect to the double cover $\text{Spin}_n \rightarrow SO_n$, i.e. the data of a principal Spin_n bundle $P \rightarrow M$, along with a Spin_n -equivariant map $P \rightarrow \mathcal{B}_{SO}(M)$, where $\mathcal{B}_{SO}(M)$ denotes the bundle of positively oriented orthonormal frames and Spin_n acts on $\mathcal{B}_{SO}(M)$ through the double cover $\text{Spin}_n \rightarrow SO_n$. In particular, this map is a double cover, and fiber-wise is the double covering $\text{Spin}_n \rightarrow SO_n$. Depending on the value n , there exist either one or two Spin representations for Spin_n . Let S denote the direct sum all of all the Spin representations for Spin_n , i.e. S is equal to the single Spin representation in the case that Spin_n only admits one representation, and $S = S^+ \oplus S^-$ in the case that Spin_n admits two inequivalent Spin representations S^+ and S^- . Then given a Spin structure on M with a principal Spin_n bundle P , the **spinor bundle** over M is the associated vector bundle $P \times_{\text{Spin}_n} S$, which can be thought of as a Spin analogue to the tangent bundle of M .

EXAMPLE 3.1 (Spin structures on S^1). The group Spin_1 is equal to $\{\pm 1\}$, so a Spin structure on S^1 is a double cover $\pi : P \rightarrow S^1$ along with a Spin_1 -equivariant map $P \rightarrow \mathcal{B}_{SO_1}(S^1)$. Since $SO_1 = 1$, $\mathcal{B}_{SO_1}(S^1)$ is the trivial bundle $S^1 \times \{1\}$. Therefore, specifying a Spin_1 -equivariant map $P \rightarrow S^1 \times \{1\}$ is no additional data, since we are forced to map all of the fiber $\pi^{-1}(x)$ to $(x, 1)$ for any $x \in S^1$. Consequently, all double covers give rise to a reduction of structure group to Spin_1 . There are only two double covers of S^1 up to isomorphism. One of them is the disconnected double cover, which is the disjoint union $S^1 \amalg S^1$, which we denote as $\pi_1 : P_1 \rightarrow S^1$. The other is the connected double cover, which the circle covering itself via the map $z \mapsto z^2$, which we denote as $\pi_2 : P_2 \rightarrow S^1$. For convenience, we parameterize P_2 with angles $\theta \in [0, 4\pi)$, so the covering map is given by $\theta \mapsto e^{i\theta}$. The Spin representation is the sign representation on \mathbb{R} , where -1 acts by multiplication by -1 , and the complexifying gives us an action on \mathbb{C} where -1 acts by multiplication by -1 , giving us two spinor bundles $P_1 \times_{\text{Spin}_1} \mathbb{C}$ and $P_2 \times_{\text{Spin}_1} \mathbb{C}$.

In the first case, the associated bundle is a trivial bundle. Using the identification with Spin_1 -equivariant maps $P_1 \rightarrow \mathbb{C}$ with sections of the associated bundle, it suffices to find such a map to produce a global section of $\pi_1 : P_1 \rightarrow S^1$. Write P_1 as the disjoint union $S_1 \amalg S_1$, with the circles parameterized by angles $\theta, \varphi \in [0, 2\pi)$. The action of -1 is given by $\theta \mapsto \varphi$ and $\varphi \mapsto \theta$. Then the mappings $\theta \mapsto e^{i\theta}$ and $\varphi \mapsto e^{i\varphi}$

define a Spin_1 -equivariant map $P_1 \rightarrow \mathbb{C}$, giving us a trivialization of the associated bundle $P_1 \times_{\text{Spin}_1} \mathbb{C}$. In addition, we see that sections of $P_1 \times_{\text{Spin}_1} \mathbb{C}$ are equivalent data to maps $S^1 \rightarrow \mathbb{C}$, since once we map one of the components of P_1 into \mathbb{C} , this entirely determines how we need to map the other component in order to remain Spin_1 -equivariant. This further allows us to identify sections of the spinor bundle with 2π -periodic functions $\mathbb{R} \rightarrow \mathbb{C}$.

In the second case, the bundle is also trivial! We again construct a trivialization for the associated bundle by providing a Spin_1 -equivariant map $\sigma : P_2 \rightarrow \mathbb{C}$. Using the parameterization of P_2 with angles $\theta \in [0, 4\pi)$, the Spin_1 action on P_2 is given by $-1 \cdot \theta = \theta + 2\pi \bmod 4\pi$. Then define σ by $\sigma(\theta) = e^{i\theta/2}$. This map is Spin_1 -equivariant, so it produces a trivialization of the spinor bundle $P_2 \times_{\text{Spin}_1} \mathbb{C}$. In addition, we see that sections of the spinor bundle correspond to 2π -antiperiodic functions, i.e. functions $\psi : \mathbb{R} \rightarrow \mathbb{C}$ such that $f(\theta) = -f(\theta + 2\pi)$, using the fact that we parameterized P_2 with angles from $[0, 4\pi)$. Another way to write a 2π -antiperiodic map ψ is as a product $\psi(\theta) = e^{i\theta/2}f(\theta)$ for a 2π -periodic function f , which is the representation of the section ψ with respect to the trivialization σ defined above.

The two different spin structures produced two isomorphic vector bundles, but there is still a way to distinguish between the two – their Dirac operators. The Clifford algebra $\text{Cliff}_{0,1}(\mathbb{R})$ is isomorphic to \mathbb{C} as an \mathbb{R} -algebra via the mappings $1 \mapsto 1$, and $e_1 \mapsto i$, so the Dirac operator on \mathbb{R} can also be written as $i \frac{d}{dt}$. We then use our identifications of sections of the spinor bundles with functions $\mathbb{R} \rightarrow \mathbb{C}$ to investigate the Dirac operators on each bundle. In the case of the disconnected double cover P_1 , we have the identifications of sections of $P_1 \times_{\text{Spin}_1} \mathbb{C}$ with 2π -periodic functions $\mathbb{R} \rightarrow \mathbb{C}$. Then given a section ψ , we can identify it as a function $\mathbb{R} \rightarrow \mathbb{C}$, and use the Dirac operator in \mathbb{R} , which will again be a 2π -periodic function, giving us another section, giving us that the Dirac operator D_1 on sections of $P_1 \times_{\text{Spin}_1} \mathbb{C}$ is just $i \frac{d}{d\theta}$. For the connected double cover, we used the global section associated to $\sigma(\theta) = e^{i\theta/2}$ to identify sections of $P_2 \times_{\text{Spin}_1} \mathbb{C}$ as products $\psi(\theta) = e^{i\theta/2}f(\theta)$ for a 2π -periodic function $f : \mathbb{R} \rightarrow \mathbb{C}$. Then applying the Dirac operator from \mathbb{R} to this function, we get

$$\begin{aligned} D\psi(\theta) &= i \frac{d}{d\theta} \left(e^{i\theta/2} f(\theta) \right) \\ &= e^{i\theta/2} \frac{\partial f}{\partial \theta} - \frac{1}{2} e^{i\theta/2} \end{aligned}$$

So in the local trivialization $\sigma(\theta) = e^{i\theta/2}$, the operator D_2 operates on 2π -periodic functions, just like D_1 , and is given by $D_2 = i\partial_\theta - \frac{1}{2}$. In particular, the first operator D_1 has integer spectrum, and the spectrum of D_2 is the spectrum of D_1 shifted by $\frac{1}{2}$, which allows us to distinguish to two Spin structures on S^1 by their Dirac operators. \blacktriangleleft

Given an oriented manifold M , we get a reduction of structure group of the frame bundle $\mathcal{B}(M)$ to SO_n , giving us a principal SO_n bundle $\mathcal{B}_{SO}(M)$. If M has a nonempty boundary, this induces an orientation on ∂M in the following way: by first reducing the structure group to O_n , we get a Riemannian metric g on M . Then given a point $p \in \partial M$, the tangent space of the boundary $T_p \partial M$ is a codimension 1 subspace of $T_p M$. The Riemannian metric g allows us to pick a distinguished complementary subspace to $T_p \partial M$ – the orthogonal complement $(T_p \partial M)^\perp$. From this subspace, we have a distinguished choice of vector – the outward normal vector. In appropriate coordinates, the inclusion of the tangent space $T_p \partial M$ is given locally by the inclusion

$$\begin{aligned} \mathbb{R}^{n-1} &\hookrightarrow \mathbb{R}^n \\ (x^1, \dots, x^{n-1}) &\mapsto (0, x^1, \dots, x^{n-1}) \end{aligned}$$

and the outward normal is the unit length vector with a positive first component. This defines a vector field N along ∂M , where the value N_p of N at the point p is the outward normal vector in $T_p M$. On the boundary ∂M , we restrict the frame bundle $\mathcal{B}_{SO}(M)$ to ∂M by pulling back by the inclusion $\partial M \hookrightarrow M$, giving us the restricted bundle $\mathcal{B}_{SO}(M)|_{\partial M}$, which is a principal SO_n -bundle over ∂M . An element $b \in \mathcal{B}_{SO}(M)$ is an orientation preserving linear isometry $(\mathbb{R}, \langle \cdot, \cdot \rangle) \rightarrow (T_p M, g_p)$, and using the normal vector, we define a subbundle $\mathcal{B}_{SO}(\partial M) \subset \mathcal{B}_{SO}(M)|_{\partial M}$ by

$$\mathcal{B}_{SO}(\partial M) = \{ (p, b) \in \mathcal{B}_{SO}(M)|_{\partial M} : b(e_1) = N_p \}$$

where e_1 denotes the first standard basis vector of \mathbb{R}^n , and π is the bundle projection. We then get an SO_{n-1} action on $\mathcal{B}_{SO}(\partial M)$, where we include $SO_{n-1} \hookrightarrow SO_n$ as matrices of the form

$$\begin{pmatrix} 1 & 0 \\ 0 & A \end{pmatrix}$$

where $A \in SO_{n-1}$. This then acts on each fiber of $\mathcal{B}_{SO}(\partial M)$ by precomposition. This action is free and transitive, so this gives $\mathcal{B}_{SO}(\partial M)$ the structure of a principal SO_{n-1} -bundle over ∂M . In addition, this bundle comes with a natural map to $\mathcal{B}_O(\partial M)$, which is just the inclusion map, so it is a reduction of structure group of O_{n-1} to SO_{n-1} . In this way, we see that an orientation on M determines an orientation on the boundary. The preceding discussion is summarized in the diagram

$$\begin{array}{ccccc} \mathcal{B}_{SO}(\partial M) & \hookrightarrow & \mathcal{B}_{SO}(M)|_{\partial M} & \hookrightarrow & \mathcal{B}_{SO}(M) \\ & \searrow & \downarrow & & \downarrow \\ & & \partial M & \hookrightarrow & M \end{array}$$

In a similar fashion, a Spin structure on M will also induce a Spin structure on ∂M , though the process is slightly more involved. Given a Spin manifold M , it comes equipped with a principal Spin_n -bundle $\mathcal{B}_{\text{Spin}}(M)$ along with a Spin_n -equivariant map $\mathcal{B}_{\text{Spin}}(M) \rightarrow \mathcal{B}_{SO}(M)$, where the Spin action on $\mathcal{B}_{SO}(M)$ is induced by the double cover $\text{Spin}_n \rightarrow SO_n$. Just as before, we pullback both $\mathcal{B}_{SO}(M)$ and $\mathcal{B}_{\text{Spin}}(M)$ along the inclusion $\partial M \hookrightarrow M$. In addition, we construct the SO_{n-1} -bundle $\mathcal{B}_{SO}(\partial M)$, which gives us the diagram

$$\begin{array}{ccccc} & & \mathcal{B}_{\text{Spin}}(M)|_{\partial M} & \hookrightarrow & \mathcal{B}_{\text{Spin}}(M) \\ & & \downarrow & & \downarrow \\ \mathcal{B}_{SO}(\partial M) & \hookrightarrow & \mathcal{B}_{SO}(M)|_{\partial M} & \hookrightarrow & \mathcal{B}_{SO}(M) \\ & \searrow & \downarrow & & \downarrow \\ & & \partial M & \hookrightarrow & M \end{array}$$

This diagram tells us the exact ingredients we need to construct the Spin_{n-1} -bundle over ∂M – it must be the pullback of $\mathcal{B}_{\text{Spin}}(M)|_{\partial M}$ along the inclusion $\mathcal{B}_{SO}(\partial M) \hookrightarrow \mathcal{B}_{SO}(M)|_{\partial M}$, so it fits into the commutative square

$$\begin{array}{ccc} \mathcal{B}_{\text{Spin}}(\partial M) & \hookrightarrow & \mathcal{B}_{\text{Spin}}(M)|_{\partial M} \\ \downarrow & & \downarrow \\ \mathcal{B}_{SO}(\partial M) & \hookrightarrow & \mathcal{B}_{SO}(M)|_{\partial M} \end{array}$$

In addition, it comes equipped with a map $p : \mathcal{B}_{\text{Spin}}(\partial M) \rightarrow \partial M$ by composing the map $\mathcal{B}_{\text{Spin}}(\partial M) \rightarrow \mathcal{B}_{SO}(\partial M)$ with the projection $\pi : \mathcal{B}_{SO}(\partial M) \rightarrow \partial M$. However, it is not immediately clear that the pullback bundle, (which we will suggestively denote by $\mathcal{B}_{\text{Spin}}(\partial M)$) is indeed a principal Spin_{n-1} -bundle over ∂M . We have a SO_{n-1} action on $\mathcal{B}_{SO}(\partial M) \subset \mathcal{B}_{SO}(M)|_{\partial M}$ via the subgroup of matrices of the form

$$\left\{ \begin{pmatrix} 1 & 0 \\ 0 & A \end{pmatrix} : A \in SO_{n-1} \right\}$$

The preimage of this subgroup under the double covering $\text{Spin}_n \rightarrow SO_n$ is a subgroup isomorphic to Spin_{n-1} , giving us an action of Spin_{n-1} on $\mathcal{B}_{\text{Spin}}(M)|_{\partial M}$ by restriction. In addition, this action preserves the preimage of $\mathcal{B}_{SO}(M)|_{\partial M}$ under the double covering $\mathcal{B}_{\text{Spin}}(M)|_{\partial M} \rightarrow \mathcal{B}_{SO}(M)|_{\partial M}$, which is exactly the pullback $\mathcal{B}_{\text{Spin}}(\partial M)$. Then since the action of Spin_n is free, the restricted action of Spin_{n-1} on $\mathcal{B}_{\text{Spin}}(\partial M) \subset \mathcal{B}_{\text{Spin}}(M)|_{\partial M}$ is free, and is transitive by construction. Therefore, $\mathcal{B}_{\text{Spin}}(\partial M)$ is the principal Spin_{n-1} -bundle

over ∂M that we desire. All in all, the construction is summarized by the diagram

$$\begin{array}{ccccc}
 \mathcal{B}_{\text{Spin}}(\partial M) & \hookrightarrow & \mathcal{B}_{\text{Spin}}(M)|_{\partial M} & \hookrightarrow & \mathcal{B}_{\text{Spin}}(M) \\
 \downarrow & & \downarrow & & \downarrow \\
 \mathcal{B}_{SO}(\partial M) & \hookrightarrow & \mathcal{B}_{SO}(M)|_{\partial M} & \hookrightarrow & \mathcal{B}_{SO}(M) \\
 & \searrow & \downarrow & & \downarrow \\
 & & \partial M & \hookrightarrow & M
 \end{array}$$

EXAMPLE 3.2 (The induced Spin structure on ∂D^2). Let $D^2 = \{v \in \mathbb{R}^2 : |v| \leq 1\}$ be the 2-disk, equipped with the Riemannian metric inherited from \mathbb{R}^2 . We have that $\partial D^2 = S^1$. Since D^2 is contractible, both $\mathcal{B}_{SO}(D^2)$ and $\mathcal{B}_{\text{Spin}}(D^2)$ are trivial bundles, so their restrictions onto ∂D^2 are also trivial. In addition, we have that $\text{Spin}_2 \cong SO_2$, and the covering map is given by $g \mapsto g^2$. Using the orientation on D^2 inherited from \mathbb{R}^2 , we need to construct the induced orientation on ∂D^2 . To do so, we parameterize ∂D^2 by angles $\theta \in [0, 2\pi)$. Then the outward normal at each point $\theta \in \partial D^2$ is the vector $(\cos \theta, \sin \theta)$, where we use the canonical identification of $T_p D^2$ with \mathbb{R}^2 . Then the bundle $\mathcal{B}_{SO}(\partial D^2) \subset \mathcal{B}_{SO}(D^2)|_{\partial D^2}$ where the fiber over $\theta \in \partial D^2$ is the linear map b_θ given by the matrix

$$b_\theta = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

Then pulling back $\mathcal{B}_{\text{Spin}}(D^2)|_{\partial D^2}$ by the inclusion $\mathcal{B}_{SO}(\partial D^2) \hookrightarrow \mathcal{B}_{SO}(D^2)|_{\partial D^2}$, we have that

$$\mathcal{B}_{\text{Spin}}(\partial D^2) = \{(\theta, g) : g^2 = b_\theta\}$$

Explicitly, this means that the fiber over a point θ is the two point set

$$\left\{ \begin{pmatrix} \cos \frac{\theta}{2} & -\sin \frac{\theta}{2} \\ \sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix}, \begin{pmatrix} -\cos \frac{\theta}{2} & \sin \frac{\theta}{2} \\ -\sin \frac{\theta}{2} & -\cos \frac{\theta}{2} \end{pmatrix} \right\}$$

which tells us that the Spin_1 -bundle $\mathcal{B}_{\text{Spin}}(\partial D^2)$ is the connected double cover given by $g \mapsto g^2$. \blacktriangleleft

4. Pin_n^\pm Structures

The same general construction for Spin structures works for Pin_n^\pm as well.

DEFINITION 4.1. Let M be a smooth manifold. Then a Pin_n^\pm **structure** on M is the data of a principal Pin_n^\pm -bundle $P \rightarrow M$, along with a Pin_n^\pm -equivariant map $P \rightarrow \mathcal{B}_O(M)$, where the Pin_n^\pm action on $\mathcal{B}_O(M)$ is given by the double cover $\text{Pin}_n^\pm \rightarrow O_n$. \blacklozenge

Just like with Spin, a Pin_n^\pm structure on M induces a Pin_{n-1}^\pm on the boundary using the outward unit normal, which gives the analogous diagram

$$\begin{array}{ccccc}
 \mathcal{B}_{\text{Pin}^\pm}(\partial M) & \hookrightarrow & \mathcal{B}_{\text{Pin}^\pm}(M)|_{\partial M} & \hookrightarrow & \mathcal{B}_{\text{Pin}^\pm}(M) \\
 \downarrow & & \downarrow & & \downarrow \\
 \mathcal{B}_O(\partial M) & \hookrightarrow & \mathcal{B}_O(M)|_{\partial M} & \hookrightarrow & \mathcal{B}_O(M) \\
 & \searrow & \downarrow & & \downarrow \\
 & & \partial M & \hookrightarrow & M
 \end{array}$$

EXAMPLE 4.2 (Pin structures on S^1). There are two problems to discuss here, since Pin_1^+ and Pin_1^- are different groups, namely

$$\text{Pin}_1^+ \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

$$\text{Pin}_1^- \cong \mathbb{Z}/4\mathbb{Z}$$

We do the Pin_1^+ case first. In this case, the Clifford algebra $\text{Cliff}_{1,0}(\mathbb{R})$ is isomorphic to $\mathbb{R} \times \mathbb{R}$, where the isomorphism is determined by $e_1 \mapsto (-1, 1)$. There are two irreducible modules corresponding to projection onto one of the factors, so the Pinor representations are the one dimensional real representations \mathbb{P}^+ and \mathbb{P}^- , where the action of e_1 on \mathbb{P}^\pm is by ± 1 . Let $\mathbb{P} = \mathbb{P}^+ \oplus \mathbb{P}^-$. We then take inventory of all the principal Pin_1^+ -bundles over S^1 . From before, we know that these are classified by homomorphisms $\pi_1(S^1, \theta_0) \rightarrow \text{Pin}_1^+$ up to conjugation. Since Pin_1^+ is abelian, the conjugation action is trivial, so principal Pin_1^+ -bundles are classified by homomorphisms $\pi_1(S^1, \theta_0) \rightarrow \text{Pin}_1^+$. There are 4 such homomorphisms, which are all determined by the image of a generator α of $\pi_1(S^1, \theta_0)$, since the fundamental group is infinite cyclic. The bundle corresponding to the map $\alpha \mapsto 1$ has 4 connected components, each mapped onto diffeomorphically onto S^1 , and the action of Pin_1^+ permutes these 4 components. All the Pin_1^+ bundles are diffeomorphic to two disjoint circles that both cover the circle via the map $z \mapsto z^2$, and are differentiated by the action of Pin_1^+ .

- (1) For the bundle corresponding to the homomorphism mapping $\alpha \mapsto -1$, the element -1 acts by rotating both circles by π , and the element e_1 exchanges the two circles.
- (2) For the bundle corresponding to $\alpha \mapsto e_1$, we then have that e_1 rotates both circles by π , and -1 exchanges the two circles.
- (3) For the bundle corresponding to $\alpha \mapsto -e_1$, the element $-e_1$ acts by rotation by π , and the element -1 exchanges the two circles.

We denote all the bundles P_g , where g is the image of α under the corresponding homomorphism. We then must determine which bundles admit Pin_1^+ -equivariant maps $P_g \rightarrow \mathcal{B}_O(S^1)$, which is a pair of disjoint circles permuted by $O_1 = \{\pm 1\}$. Under the double covering, the elements ± 1 act trivially, while the elements $\pm e_1$ act by -1 .

- (1) The bundle $P_1 \rightarrow S^1$ admits a Pin_1^+ -equivariant map $P_1 \rightarrow \mathcal{B}_O(S^1)$. After mapping one of the components diffeomorphically onto one of the components of $\mathcal{B}_O(S^1)$, we know how to map the other 3 components to make the map Pin_1^+ -equivariant.
- (2) The bundle $P_{-1} \rightarrow S^1$ can also define a Pin_1^+ structure on S^1 . Since -1 rotates both circles by π , we map each component to a component of $\mathcal{B}_O(S^1)$ via the double cover $z \mapsto z^2$.
- (3) The bundle $P_{e_1} \rightarrow S^1$ cannot determine a Pin_1^+ structure. The action of e_1 rotates both components of P_{e_1} by π , but permutes the components of $\mathcal{B}_O(S^1)$. Any Pin_1^+ -equivariant map $P_{e_1} \rightarrow \mathcal{B}_O(S^1)$ must map one component of P_{e_1} onto two components of $\mathcal{B}_O(S^1)$, which is impossible.
- (4) The bundle $P_{-e_1} \rightarrow S^1$ also cannot determine a Pin_1^+ structure by the same reason that P_{e_1} cannot.

One thing to note is that an orientation of S^1 and a Pin_1^+ structure on S^1 determine a Spin structure. A choice of component of $\mathcal{B}_O(S^1)$ determines an orientation, and taking the preimage of that component under the maps $P_{\pm 1} \rightarrow \mathcal{B}_O(S^1)$, we recover a Spin structure. In particular, the bundle P_1 corresponds to the Spin structure with Dirac operator $i\partial_\theta$ and the bundle P_{-1} corresponds to the Spin structure with Dirac operator $i\partial_\theta - \frac{1}{2}$. Indeed, the associated bundles $P_{\pm 1} \times_{\text{Pin}_1^+} \mathbb{P}$ have the same Dirac operators.

For the case of Pin_1^- , there are again 4 different principal Pin_1^- bundles, classified by homomorphisms $\pi_1(S^1, \theta_0) \rightarrow \text{Pin}_1^-$. As before, we let α denote a generator for $\pi_1(S^1, \theta_0)$, and let $P_g \rightarrow S^1$ denote the principal Pin_1^- bundle corresponding to the homomorphism mapping $\alpha \mapsto g$.

- (1) The bundle $P_1 \rightarrow S^1$ has 4 components cyclically permuted by the action of e_1 .
- (2) The bundle $P_{-1} \rightarrow S^1$ has 2 components, both double covering S^1 . the element -1 acts by rotation by π on both factors, and the action of e_1 permutes the two components.
- (3) The bundle $P_{e_1} \rightarrow S^1$ corresponds to the 4-fold covering $S^1 \rightarrow S^1$ given by $z \mapsto z^4$. The action of e_1 acts by rotation by $\pi/2$.
- (4) The bundle $P_{-e_1} \rightarrow S^1$ also corresponds to a 4-fold covering, where the action of $-e_1$ is given by rotation by $\pi/2$.

Again, we need to figure out which bundles can determine Pin_1^- structures on S^1 .

- (1) The bundle P_1 admits a map $P_1 \rightarrow \mathcal{B}_O(S^1)$. After mapping any component diffeomorphically onto a component of $\mathcal{B}_O(S^1)$, the rest of the map is determined.

- (2) The bundle P_{-1} admits a map $P_{-1} \rightarrow \mathcal{B}_O(S^1)$, where each component maps onto a component of $\mathcal{B}_O(S^1)$ via the double cover $z \mapsto z^2$.
- (3) This bundle cannot determine a Pin_1^- structure, since there cannot exist a continuous surjective map from a connected space to a disconnected space.
- (4) This bundle cannot determine a Pin_1^- structure by the same reasoning as above.

Again, we see that the Pin_1^- structures on S^1 correspond to Spin structures once we fix an orientation, and the associated bundles to these Pin_1^- -bundles will have the same Dirac operator. \blacktriangleleft

On the circle, we observed that a Pin^\pm structure and an orientation are equivalent data to a Spin structure. This is true in general via the same construction. A Pin^\pm structure is the data of a principal Pin_n^\pm -bundle $\mathcal{B}_{\text{Pin}^\pm}(M) \rightarrow M$ along with a Pin_n^\pm -equivariant map $\mathcal{B}_{\text{Pin}^\pm}(M) \rightarrow \mathcal{B}_O(M)$. An orientation determines a subset $\mathcal{B}_{SO}(M) \subset \mathcal{B}_O(M)$ that is a principal SO_n -bundle over M , and taking the preimage of $\mathcal{B}_{SO}(M)$ under the map $\mathcal{B}_{\text{Pin}^\pm}(M) \rightarrow \mathcal{B}_O(M)$ determines the desired Spin structure. Unlike Spin structures, Pin^\pm structures do not require an orientation, and can be defined on nonorientable manifolds.

EXAMPLE 4.3 (Pin^\pm structures on the Möbius band). Let M denote the Möbius band, which is the quotient space

$$M = [-1, 1] \times [-1, 1] / (-1, x) \sim (1, -x)$$

The square $S = [-1, 1] \times [-1, 1]$ inherits a Riemannian metric from \mathbb{R}^2 , and the orthonormal frame bundle $\mathcal{B}_O(S)$ is isomorphic to the product $S \times O_2$. This descends to M after we specify gluing data for the identified edges of the square. The identification of the edges reverses the direction in the second factor, which corresponds to a transformation by the matrix

$$A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Giving us that the orthonormal frame bundle $\mathcal{B}_O(M)$ of M induced by the metric on S is isomorphic to

$$S \times O_2 / (-1, x, b) \sim (1, -x, A \cdot b)$$

We do the Pin_2^+ case first. Inside of $\text{Cliff}_{2,0} \cong M_2\mathbb{R}$, the group Pin_2^+ is topologically two disjoint circles. The identity component is

$$\text{Spin}_2 = \{\cos \theta + \sin \theta e_1 e_2 : \theta \in \mathbb{R}\}$$

and the second component is the subset $e_1 \cdot \text{Spin}_2$. The square S admits a single principal Pin_2^+ -bundle, which is the trivial bundle $S \times \text{Pin}_2^+$, and any principal Pin_2^+ -bundle over M will come by specifying gluing data of $S \times \text{Pin}_2^+$ on the identified edges of S . More explicitly, any principal Pin_2^+ -bundle over M will arise as a quotient

$$S \times \text{Pin}_2^+ / (-1, x, g) \sim (1, -x, \psi(g))$$

Where $\psi : \text{Pin}_2^+ \rightarrow \text{Pin}_2^+$ is a Pin_2^+ -equivariant diffeomorphism. From this characterization, we can determine which maps ψ determine a principal Pin_2^+ -bundle $P \rightarrow M$ that admit a Pin_2^+ -equivariant map $P \rightarrow \mathcal{B}_O(M)$. For points that are not identified, i.e. points on S with first component not equal to ± 1 , we can specify $P \rightarrow \mathcal{B}_O(M)$ fiberwise by the standard double cover $\text{Pin}_2^+ \rightarrow O_2$. On the identified edges, in order for the map to be Pin_2^+ -equivariant, we require that the image of the equivalence class $[-1, x, g] \in P$ to be $[-1, x, \rho(g)]$, where $\rho : \text{Pin}_2^+ \rightarrow O_2$ is the double cover. However, we have that $[-1, x, g] = [1, -x, \psi(g)]$ and $[-1, x, \rho(g)] = [1, -x, A \cdot \rho(g)]$, so we need $\psi(g)$ to be one of the preimages of $A \cdot \rho(g)$ under the double cover in order for this to be well defined. Since A is an orthogonal transformation, it has two preimages under the double cover, which is $\rho^{-1}(A) = \pm e_1$. Therefore, ψ must be left multiplication by either $\pm e_1$, giving us two Pin^+ structures on M .

We then want to determine the induced Pin_1^+ structure on the boundary. Using the standard coordinates on S inherited from \mathbb{R}^2 , the outward normal on the top edge of the square points upwards, while the outward normal points downwards on the bottom edge of the square. Therefore, the fiber of a point on the top edge under $\mathcal{B}_O(M) \rightarrow M$ is the two point set

$$\left\{ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right\}$$

and the fiber over a point on the bottom edge is

$$\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} \right\}$$

and on the endpoints, we have the identifications

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \sim \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \sim \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$$

We then need to compute the preimages of the matrices under the double cover $\rho : \text{Pin}_2^+ \rightarrow O_2$ to find the fiber under $\mathcal{B}_{\text{Pin}^+}(M) \rightarrow M$. We compute

$$\begin{aligned} \rho^{-1} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (e_1 + e_2) \right\} \\ \rho^{-1} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (-1 + e_1 e_2) \right\} \\ \rho^{-1} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (1 + e_1 e_2) \right\} \\ \rho^{-1} \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (-e_1 + e_2) \right\} \end{aligned}$$

Depending on our choice of $\pm e_1$ for the gluing map at the end points, we get different identifications on the endpoints of the top edge and the endpoints on the bottom edge, but for either we choose, the resulting bundle over $\partial M \cong S^1$ has four connected components, where each of the 4 elements in the fibers of the top edge of the square pair with one of the elements in the fibers of the bottom edge, giving 4 cycles. If we orient the boundary of M , this gives us the nonbounding Spin structure corresponding to the disconnected double cover of S^1 .

We now do the case of Pin_2^- . Most of the discussion with Pin_2^+ carries over to Pin_2^- , up until the point where we compute the preimages of the matrices under double cover. Let $\varphi : \text{Pin}_2^- \rightarrow O_2$ be the double cover. We compute the preimages

$$\begin{aligned} \varphi^{-1} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (e_1 + e_2) \right\} \\ \varphi^{-1} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (1 + e_1 e_2) \right\} \\ \varphi^{-1} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (-1 + e_1 e_2) \right\} \\ \varphi^{-1} \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} &= \left\{ \pm \frac{\sqrt{2}}{2} (-e_1 + e_2) \right\} \end{aligned}$$

This defines a Pin_2^- structure on ∂M that has two connected components. To see this, we glue the fiber over top right corner with the fiber over the bottom left corner via left multiplication by e_1 , giving the identifications

$$\begin{aligned} \pm \frac{\sqrt{2}}{2} (e_1 + e_2) &\sim \pm \frac{\sqrt{2}}{2} (-1 + e_1 e_2) \\ \pm \frac{\sqrt{2}}{2} (1 + e_1 e_2) &\sim \mp \frac{\sqrt{2}}{2} (-e_1 + e_2) \end{aligned}$$

The identifications of the fiber over the bottom right corner with the fiber over the top left corner are

$$\begin{aligned}\pm \frac{\sqrt{2}}{2}(-1 + e_1 e_2) &\sim \mp \frac{\sqrt{2}}{2}(e_1 + e_2) \\ \pm \frac{\sqrt{2}}{2}(-e_1 + e_2) &\sim \pm \frac{\sqrt{2}}{2}(1 + e_1 e_2)\end{aligned}$$

The two flipped signs connects two of the components of the fiber together, giving two components instead of the four components in the case with Pin_2^+ , so after fixing an orientation of ∂M , we get the Spin structure corresponding to the bounding Spin structure, i.e. the Spin structure associated to the connected double cover of S^1 . \blacktriangleleft

To every smooth manifold M , there is an associated oriented manifold called the orientation double cover, which is a principal $\mathbb{Z}/2\mathbb{Z}$ -bundle encoding information about the orientability of M .

DEFINITION 4.4. Let M be a smooth manifold. Then the **orientation double cover** is the set

$$\tilde{M} = \{(p, o) : p \in M, o \text{ is an orientation of } T_p M\}$$

This comes with a natural map $\tilde{M} \rightarrow M$ mapping $(p, o) \mapsto p$, and a natural $\mathbb{Z}/2\mathbb{Z}$ action where $(p, o) \mapsto (p, -o)$. Local coordinates on M induce local coordinates on \tilde{M} , which we can use to define the topology and smooth structure. Under this topology and smooth structure, the map $\tilde{M} \rightarrow M$ is a smooth double covering. \blacklozenge

The double cover is a local diffeomorphism, so its differential induces identifications of tangent spaces $T_{(p,o)}\tilde{M} \rightarrow T_p M$, which allows us to define a canonical orientation on \tilde{M} where we orient $T_{(p,o)}\tilde{M}$ with the orientation o . The orientation double cover detects orientability of M in the following way

THEOREM 4.5.

- (1) If M is orientable, \tilde{M} is diffeomorphic the disconnected double cover $M \sqcup M$.
- (2) If M is not orientable, \tilde{M} is connected. Furthermore, \tilde{M} is unique in the following way: Given another double cover $\hat{M} \rightarrow M$ of an oriented manifold \hat{M} onto M , there is a unique orientation preserving diffeomorphism $\varphi : \hat{M} \rightarrow \tilde{M}$ such that

$$\begin{array}{ccc} \hat{M} & \xrightarrow{\varphi} & \tilde{M} \\ & \searrow & \swarrow \\ & M & \end{array}$$

commutes.

In particular, given an unorientable manifold M , any oriented double cover of M is isomorphic orientation double cover.

EXAMPLE 4.6. The cylinder $S^1 \times I$ can be realized as the orientation double cover of the Möbius band M . Let $f : S^1 \times I \rightarrow S^1 \times I$ be the map flipping the cylinder. Then the quotient space $S^1 \times I / (x \sim f(x))$ is diffeomorphic to the Möbius band, and the quotient map is the double cover. \blacktriangleleft

THEOREM 4.7. A Pin^\pm structure on a manifold induces a Spin structure on the orientation double cover.

PROOF. Let $\pi : \tilde{M} \rightarrow M$ denote the orientation double cover. Fix a Riemannian metric g on M . This induces a Riemannian metric on \tilde{M} , which is the pullback metric π^*g . In addition, the orthonormal frame bundle $\mathcal{B}_O(\tilde{M})$ with respect to the metric π^*g is isomorphic to the pullback bundle $\pi^*\mathcal{B}_O(M)$. Pulling back $\mathcal{B}_{\text{Pin}^\pm}(M)$ along the map $\pi^*\mathcal{B}_O(\tilde{M})$, we get the a Pin_n^\pm -bundle $\mathcal{B}_{\text{Pin}^\pm}$ over \tilde{M} with a map to $\pi^*\mathcal{B}_O(M) \cong \mathcal{B}_O(\tilde{M})$, so it defines a Pin^\pm structure on \tilde{M} , giving us the diagram

$$\begin{array}{ccc} \mathcal{B}_{\text{Pin}^\pm}(\tilde{M}) & \longrightarrow & \mathcal{B}_{\text{Pin}^\pm}(M) \\ \downarrow & & \downarrow \\ \pi^*\mathcal{B}_O(M) & \longrightarrow & \mathcal{B}_O(M) \\ \downarrow & & \downarrow \\ \tilde{M} & \xrightarrow{\pi} & M \end{array}$$

Then since \tilde{M} is oriented, and an orientation along with a Pin^\pm structure determines a Spin structure, we get a Spin structure on \tilde{M} . ■

Dirac Operators on Manifolds



Mathematics is a part of physics. Physics is an experimental science, a part of natural science. Mathematics is the part of physics where experiments are cheap.

– **V.I. Arnold**



1. Connections

We previously defined the Dirac operator D on \mathbb{R}^n and explored some instances of D in low dimensions. The constructions implicitly used the Riemannian geometry on \mathbb{R}^n , along with its associated Spin structure. To explore the Dirac operator in more generality on manifolds, we need to develop some of the Riemannian geometry in the nonlinear world of manifolds.