SYNTAX AND SEMANTICS OF MɪɴɪOO

P. COUSOT

We consider the syntax and small-step operational semantics of an extremely simple object-oriented language `MiniOO`.

1. Syntax

$\mathtt{p}, \ldots, \mathtt{x}, \ldots$	$\in$	Var	Variables
$\mathtt{f}, \ldots$	$\in$	Field	Fields ($\mathtt{val} \in$ Field is reserved)[1]
e	$\in$	Exp	Expressions
e	$::=$	$\mathtt{f}$	<mark>Field expression</mark>
	$\mid$	$\mathtt{1} \mid e - e \mid \ldots$	Arithmetic expression[2]
	$\mid$	$\mathtt{null} \mid \mathtt{x} \mid e.e$	Location expression[3]
	$\mid$	$\mathtt{proc\ y:}C$	Recursive procedure expression
b	$\in$	Bool	Boolean expressions[4]
b	$::=$	$\mathtt{true} \mid \mathtt{false} \mid e \mathtt{\ ==\ } e \mid e \mathtt{\ <\ } e \mid \ldots$	
C	$\in$	Cmd	Commands
C	$::=$	<mark>$\mathtt{var\ x;}C$</mark>	Variable declaration
	$\mid$	$e(e)$	Recursive procedure call
	$\mid$	$\mathtt{malloc(x)}$	Dynamic object allocation
	$\mid$	$\mathtt{x\ =\ } e$	Variable assignment[5]
	$\mid$	$e.e \mathtt{\ =\ } e$	Field assignment
	$\mid$	$\mathtt{skip} \mid \{C;C\} \mid \mathtt{while\ } b\ C \mid \mathtt{if\ } b\ C \mathtt{\ else\ } C$	Sequential control
	$\mid$	$\{C \,\|\|\, C\} \mid \mathtt{atom}(C)$	Parallelism

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The language leaves out static types, inheritance, etc. Scoping rules ensuring that identifiers are used only in the lexical scope of their declaration bloc are left implicit in this context-free grammar (and will be specified by the static semantics of Sect. 3).

2. EXAMPLES

Example 1 (Static scoping)**.** *The program*

```
var r; var h; h=1; var p; p = proc y:  r = y+h; var h; h=2; p(4);
```

is equivalent to [6]

```
var r; var h₁; h₁=1; var p; p = proc y:  r = y+h₁; var h₂; h₂=2;
p(4);
```

and therefore returns $r = 5$*, not 6.*

Example 2 (Recursive procedure)**.** *A program example (omitting parentheses) is*

```
var p; p = proc y:if y < 1 then p = 1 else p(y - 1); p(1)
```

which creates a procedure p *taking an integer parameter and recursively terminating by assigning 1 to* p *so that the call* $p(1)$ *assigns 1 to* p*.*

Example 3 (Object creation)**.** *In the program*

```
var x; malloc(x);
x.c = 0;
x.f = proc y:if y < 1 then x.r = x.c else x.f(y - 1);
x.f(2)
```

an object x *is created with fields* r *implicitly initialized to null,* c *initialized to 0, and* f *initialized to a procedure taking an integer parameter and recursively terminating by assigning* c *to* r *so that the call* $x.f(2)$ *assigns 0 to* r*.*

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[1] Fields must be distinguished from Variables. In the language this can be achieved in different ways. The simplest solution is to have a different syntax for Var (e.g. start with an upper case) and Field (e.g. start with a lower case) so that we can assume that $\mathsf{Var} \cap \mathsf{Field} = \emptyset$. Another solution is to have the same syntax for Var and Field with some way to make the distinction each time an identifier of $\mathsf{Var} \cup \mathsf{Field}$ is used. For example, a rule might be that all identifiers not appearing after a `var` or `proc` are Field identifiers. Another rule would be that Field identifiers are those identifiers appearing somewhere in the program after a dot, in which case they cannot be a variable or a procedure. Another solution is to allow the use of the same identifier for variables, fields, and procedures, which one is meant depending on the context of use. In case of ambiguity, one can decide on a priority, e.g. first procedure, then variable, else field.

[2] The substraction - is left associative so $e_1 - e_2 - e_3 = ((e_1 - e_2) - e_3)$. The only arithmetic operator that must be implemented is the difference of integer expressions $e_1 - e_2$. Optionally, arithmetic operators can be implemented.

[3] The field selection . is left associative so $e_1.e_2.e_3 = ((e_1.e_2).e_3)$.

[4] The only Boolean operator that must be implemented is $e_1 < e_2$ to compare arithmetic expressions, Optionally, other comparison and logic operators can be implemented.

[5] The field selection . has the highest priority, the substraction - has a medium priority and the assignment = has the lowest priority so `z = x.f - y` is `z = ((x.f) - y)`.

[6] We take some liberty with the language grammar and omit parentheses. Formally, we should write `var r; var h; {h = 1; {var p; p = proc y:  r = y + h; var h; {h = 2; p(4)}}}`.

3. Static semantics checking static scoping rules

The static semantics defines the context conditions that cannot be defined by a context-free grammar (in the form of an attribute grammar).

The fields and variables are assumed to be distinguished by the lexer (e.g. variables are identifiers starting by an upper-case letter while fields are identifiers starting by a lower-case letter)[7].

The visible variables $e.V$ are the set of declared variables that can be used in an expression e.

The error $e.E$ is true if and only if a variable is used in expression e while not declared in its scope. Similarly for boolean expressions and commands.

3.1. Static semantics of expressions

$$
\begin{aligned}
e \quad ::= \quad &\texttt{f} & &e.E = \textit{false} \\
| \quad &\texttt{1} & &e.E = \textit{false} \\
| \quad &e_1 \ \texttt{-} \ e_2 & &e.E = e_1.E \vee e_2.E \\
& & &e_1.V = e.V \\
& & &e_2.V = e.V \\
| \quad &\texttt{null} & &e.E = \textit{false} \\
| \quad &\texttt{x} & &e.E = (\texttt{x} \notin e.V) \\
| \quad &e_1.e_2 & &e.E = e_1.E \vee e_2.E \\
& & &e_1.V = e.V \\
& & &e_2.V = e.V \\
| \quad &\texttt{proc y:}C & &e.E = C.E \\
& & &C.V = e.V \cup \{\texttt{y}\}
\end{aligned}
$$

3.2. Static semantics of Boolean expressions

$$
\begin{aligned}
b \quad ::= \quad &\texttt{true} \mid \texttt{false} & &b.E = \textit{false} \\
| \quad &e_1 \ \texttt{==} \ e_2 \mid e \ \texttt{<} \ e \mid \ldots & &b.E = e_1.E \vee e_2.E \\
& & &e_1.V = b.V \\
& & &e_2.V = b.V
\end{aligned}
$$

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[7] The static semantics could also be changed to distinguish between variables and fields according to their use.

3.3. Static semantics of commands

$$
\begin{aligned}
C \quad ::= \quad & \texttt{var x}; C_1 && C.E = C_1.E \\
& && C_1.V = C.V \cup \{\texttt{x}\} \\
\mid \quad & e_1(e_2) && C.E = e_1.E \vee e_2.E \\
& && e_1.V = e_2.V = C.V \\
\mid \quad & \texttt{malloc(x)} && C.E = (\texttt{x} \notin C.V) \\
\mid \quad & \texttt{x = } e && C.E = (\texttt{x} \notin C.V) \vee e.E \\
& && e.V = C.V \\
\mid \quad & e_1.e_2 \texttt{ = } e_3 && C.E = e_1.E \vee e_2.E \vee e_3.E \\
\mid \quad & && e_1.V = e_2.V = e_3.V = C.V \\
\mid \quad & \texttt{skip} && C.E = \textit{false} \\
\mid \quad & \{C_1; C_2\} && C.E = C_1.E \vee C_2.E \\
& && C_1.V = C_2.V = C.V \\
\mid \quad & \texttt{while } b \ C_1 && C.E = b.E \vee C_1.E \\
& && b.V = C_1.V = C.V \\
\mid \quad & \texttt{if } b \ C_1 \texttt{ else } C_2 && C.E = b.E \vee C_1.E \vee C_2.E \\
& && b.V = C_1.V = C_2.V = C.V \\
\mid \quad & \{C_1 \mid\mid\mid C_2\} && C.E = C_1.E \vee C_2.E \\
& && C_1.V = C_2.V = C.V \\
\mid \quad & \texttt{atom}(C_1) && C.E = C_1.E \\
& && C_1.V = C.V
\end{aligned}
$$

4. Transitional semantics with static scoping

The *semantics* of a language defines for each (syntactically correct) program of the language a formal description of the possible executions of the program. The *transitional semantics* of a program defines a *transition relation* $\Rightarrow$ (corresponding to a possible program computation step) between *configurations* (describing the current state of the computation).

4.1. Semantic domains

In order to define the set *Conf* of configurations (describing the current state of computations), we need

$$
\begin{array}{rcll}
i & \in & Int & \text{(Machine) integers} \\
b & \in & \{\mathit{true}, \mathit{false}, \mathit{error}\} & \text{Booleans} \\
l & \in & \mathit{Objects} \quad (\text{where } \mathit{null} \notin \mathit{Objects}) & \text{Objects} \\
\ell & \in & \mathit{Loc} \triangleq \mathit{Objects} \cup \{\mathit{null}\} & \text{Locations} \\
\nu = \underline{clo}\langle \mathsf{x},\, C,\, \xi \rangle & \in & \mathit{Clo} \triangleq \underline{clo}(\mathsf{Var} \times \mathsf{Cmd} \times \mathit{Stack}) & \text{Closures} \\
v & \in & \mathit{Val} \triangleq \mathsf{Field} \cup \mathit{Int} \cup \mathit{Loc} \cup \mathit{Clo} & \text{Values} \\
t & \in & \mathit{Tva} \triangleq \mathit{Val} \cup \{\mathit{error}\} & \text{Tainted values[8]} \\
\rho & \in & \mathit{Env} \triangleq \mathsf{Var} \nrightarrow \mathit{Objects} & \text{Environments} \\
\varphi = \underline{decl}\langle \rho \rangle / & \in & \mathit{Frame} \triangleq \underline{decl}(\mathit{Env}) \cup & \text{Frames} \\
\quad \underline{call}\langle \rho,\, \xi \rangle & & \underline{call}(\mathit{Env} \times \mathit{Stack}) & \\
\xi & \in & \mathit{Stack} \triangleq (\mathit{Frame})^\star & \text{Stacks} \\
h & \in & \mathit{Heap} \triangleq \mathit{Objects} \times \mathsf{Field} \nrightarrow \mathit{Tva} & \text{Heap} \\
\sigma = \langle \xi,\, h \rangle & \in & \mathit{State} \triangleq \mathit{Stack} \times \mathit{Heap} & \text{States} \\
C & \in & \mathit{Ctrl} \;\; ::= \;\; \mathtt{var\ x};\mathit{Ctrl} \mid e(e) \mid \mathtt{malloc(x)} \mid \mathtt{x = } e \mid & \text{Control} \\
& & e.e\ \mathtt{=}\ e \mid \mathtt{skip} \mid \{\mathit{Ctrl}; \mathit{Ctrl}\} \mid \mathtt{while}\ b\ \mathit{Ctrl} \mid & \\
& & \mathtt{if}\ b\ \mathit{Ctrl}\ \mathtt{else}\ \mathit{Ctrl} \mid \{\mathit{Ctrl} \parallel\mid \mathit{Ctrl}\} \mid \mathtt{atom}(\mathit{Ctrl}) \mid & \\
& & \underline{block}(\mathit{Ctrl}) & \\
\Gamma = \langle C,\, \sigma \rangle / \sigma & \in & \mathit{Conf} \triangleq (\mathit{Ctrl} \times \mathit{State}) \cup \mathit{State} \cup \{\mathit{error}\} & \text{Configurations}
\end{array}
$$

- An object l is a non-*null* location on the heap where the value and procedure fields of the object are stored.
- A location ℓ is a non-empty object location l or empty *null*.
- A closure $\nu = \underline{clo}\langle \mathsf{x}, C, \xi \rangle$ records a procedure value that is the formal parameter x, the procedure body C, and the stack ξ providing, according to the lexical scoping rules, the object locations of the global variables appearing in the procedure body C, if any.
- The values are fields, integers, object locations, and procedure closures. Booleans are not values since they cannot be stored in variables or fields of objects.
- The tainted values are either a value or *error* resulting from a runtime error.
- The environments ρ are partial functions mapping the variables of a block that are visible according to the static scoping rules to the location of their value on the heap. The lifetime of the value of the variable can be longer than that of the variable (which is limited to the declaration bloc).
- A frame $\varphi = \underline{decl}\langle \rho \rangle$ records a variable location in the environment ρ. A frame $\varphi = \underline{call}\langle \rho, \xi \rangle$ records a formal parameter location in environment ρ and records the calling stack ξ (while the procedure body is being evaluated in the declaration stack for globals).

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[8] The special value *error* is returned when computations go wrong.

- A stack ξ records the location of all visible variables in the lexical scope of enclosing blocks and formal parameters declared in called procedures. The notation $Stack \triangleq (Frame)^\star$ defines the stack as a sequence of 0 or more *Frame*s.
- The heap h stores the value of the fields of objects as well as the value of variables (in a reserved field `val`).
- The state $\sigma = \langle \xi, h \rangle$ is made up of a stack ξ (recording the heap location of values of visible variables and parameters of procedures currently being called) and a heap h mapping these locations to values of variables, parameters, and allocated objects.
- The control state is a command C or $block(C)$ to remember that the stack must be popped when execution of block C terminates.

  It follows from the definition of the small step semantics that the control state of a program is finite. It contains all the commands of the program plus $block(C')$ for all possible control states C' of command C in variable declarations `var x;`C or procedures `proc x:`C, and $\{C';$`while` $b\ C\}$ for all control states C' of command C in program loops `while` $b\ C$. Thus control states can easily be represented by labels/program points.
- A configuration is either $\Gamma = \langle C, \sigma \rangle$ recording that in state σ, the control C remains to be executed or $\Gamma = \sigma$ for a final state σ of the program where execution is terminated. So in $\Gamma = \langle C, \sigma \rangle$, C is the control state and σ is the memory state while in the second case $\Gamma = \sigma$, the control state is empty since execution is finished.

4.2. Operations on semantic domains

4.2.1. *Operations on sequences*

- A (finite) sequence $\xi \in S^*$ of elements of a set S is either
  - the empty sequence ε, or
  - the concatenation $\xi \cdot s$ of a sequence ξ with an element $s \in S$ (such that $\varepsilon \cdot s = s$ is a one element sequence)
- A sequence ξ of elements $\xi_1, \xi_2, \ldots, \xi_n$, $n \geqslant 0$ is written $\xi_1 \cdot \xi_2 \cdot \cdots \cdot \xi_n$, or $\xi = \xi_1 \xi_2 \ldots \xi_n$ for brevity. When $n = 0$, $\xi = \varepsilon$ is the empty sequence.

4.2.2. *Operations on partial functions*

- For a partial function $f \in \mathcal{X} \nrightarrow \mathcal{Y}$[9], we let $dom(f) \in 2^{\mathcal{X}}$ be its domain[10].
- The empty function $\emptyset \in \mathcal{X} \nrightarrow \mathcal{Y}$ has an empty domain $dom(\emptyset) = \emptyset$. It is undefined for all its arguments.
- For $x, y \in \mathcal{X}$ and $v \in \mathcal{Y}$, we have

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[9] $\mathcal{X} \nrightarrow \mathcal{Y} \triangleq \{f \in 2^{\mathcal{X} \times \mathcal{Y}} \mid \forall x \in \mathcal{X} : \forall y, y' \in \mathcal{Y} : (\langle x, y \rangle \in f \wedge \langle x, y' \rangle \in f) \implies (y = y')\}$ is the set of all partial functions from $\mathcal{X}$ into $\mathcal{Y}$. We write $f \in \mathcal{X} \mapsto \mathcal{Y}$ when f is total, that is $f(x)$ is well-defined for all $x \in \mathcal{X}$, formally $\forall x \in \mathcal{X} : \exists y \in \mathcal{Y} : \langle x, y \rangle \in f$.

[10] $2^{\mathcal{X}}$ also denoted $\wp(\mathcal{X})$ is the set of all subsets of the set $\mathcal{X}$. It can be encoded as a map from $\mathcal{X}$ into the Booleans $2 = \{0.1\}$ hence the notation $2^{\mathcal{X}}$ when $\mathcal{Y}^{\mathcal{X}}$ denotes the set of total functions from $\mathcal{X}$ into $\mathcal{Y}$, which we write $\mathcal{X} \mapsto \mathcal{Y}$.

$$
\begin{aligned}
dom(f[x \mapsto v]) &\triangleq dom(f) \cup \{x\}, \\
f[x \mapsto v](x) &\triangleq v \\
f[x \mapsto v](y) &\triangleq f(y) \qquad\qquad \text{when } y \in dom(f) \setminus \{x\}.
\end{aligned}
$$

- In particular $\emptyset[x \mapsto v] \triangleq \{\langle x, v \rangle\}$ has domain $\{x\}$.
- These notations are used both for environments $\rho \in Env$ and heaps $h \in Heap$. The semantics definition is designed in order to prevent using $f(x)$ when $x \notin dom(f)$.

4.2.3. *Operations on stacks*

- The stacks $\xi \in Stack$ have syntax $\xi ::= \epsilon \mid \xi \cdot \varphi$ that is $\varphi_1 \ldots \varphi_n$ where $n \geqslant 0$ and $n = 0$ means that the stack is empty. New frames φ are pushed on the right of the stack ξ to get $\xi \cdot \varphi$.
- The empty stack is $\epsilon \in Stack$ corresponds to an empty initial environment (no variable/procedure has been declared).
- Otherwise a stack has the form $\xi \cdot \varphi$ where φ is the current frame and ξ corresponds to previous (recursive) procedure calls.
- The stack domain is $dom \in Stack \mapsto 2^{\mathsf{Var}}$ such that $dom(\epsilon) \triangleq \emptyset$ and $dom(\xi \cdot \varphi) \triangleq dom(\xi) \cup dom(\varphi)$ where $dom(\underline{decl}\langle \rho \rangle) \triangleq dom(\rho)$ and $dom(\underline{call}\langle \rho, \xi \rangle) \triangleq dom(\rho)$.
  So $dom(\xi)$ provides locations of all variables, procedures and parameter visible in the lexical scope.

4.2.4. *Location of a variable in a stack and a frame*

- The location of a variable $\mathbf{x}$ in a stack ξ is undefined whenever $\mathbf{x} \notin dom(\xi)$ (but this is impossible in the semantics because of the lexical scope rules).
- When $\mathbf{x} \in dom(\xi)$ then we recursively define the location $\xi(\mathbf{x})$ of a variable $\mathbf{x}$ in a stack ξ as $(\xi \cdot \varphi)(\mathbf{x}) = \varphi(\mathbf{x})$ when $\mathbf{x} \in dom(\varphi)$ and $\xi(\mathbf{x})$ otherwise.
- Moreover $\underline{decl}\langle \rho \rangle(\mathbf{x}) \triangleq \rho(\mathbf{x})$ and $\underline{call}\langle \rho, \xi \rangle(\mathbf{x}) \triangleq \rho(\mathbf{x})$.

4.2.5. *Value of a variable in a state*

- To obtain the value of a variable $\mathbf{x} \in \mathsf{Var}$ in a state $\langle \xi, h \rangle$,
  - the stack ξ provides its location $l = \xi(\mathbf{x}) \in Loc$ (which cannot be *null*[11]), and
  - the heap provides its value $h(\langle l, \mathtt{val} \rangle) = v, v \in Val$ (which is mutable).

4.2.6. *Allocated heap locations*

- It is easy to prove by structural induction on commands that for all states $\langle \xi, h \rangle$, the locations created on the heap are $loc(h)$ defined as

$$
\begin{aligned}
loc &\in Heap \mapsto 2^{Objects} \\
loc(h) &\triangleq = \{l \mid \exists \mathtt{f} \in \mathsf{Field} : \langle l, \mathtt{f} \rangle \in dom(h)\}
\end{aligned}
$$

---

[11] since $Env \triangleq \mathsf{Var} \nrightarrow Objects$.

4.3. **Operational semantics**

- The small-step structural operational semantics [5] of commands specifies the transition relation $\Rightarrow \in 2^{Conf \times Conf}$.
- $\langle \Gamma, \Gamma' \rangle \in \Rightarrow$ (written $\Gamma \Rightarrow \Gamma'$ for brevity) means that if a program execution reaches configuration Γ then the next computation step *may* reach configuration Γ'.
- We say *may* since there might be several possible next configurations Γ'. For sure all possible ones are in $\{\Gamma' \mid \Gamma \Rightarrow \Gamma'\}$.
- This set of possible successors of configuration Γ may be empty, in which case Γ is a <mark>blocking state</mark>, without possible successor $\forall \Gamma' \in Conf : \Gamma \not\Rightarrow \Gamma'$. When reaching such a blocking state. if ever, execution stops.

4.3.1. *Initial configurations*

- The initial configuration for a command C is

$$\langle C, \langle \epsilon, \emptyset \rangle \rangle$$

meaning that the command command C must be executed with an initial empty stack ϵ and an initial empty heap $\emptyset$.
- If libraries are used their global variables and procedures must be included in the initial stack and heap.

4.3.2. *Variable declaration*

- The declaration of a new variable x in a block pushes a new environment $\emptyset[x \mapsto l]$ with a fresh non-*null* location l for x and assigns the initial value *null* in the field val of this new location l on the heap h (which is extended with this new location l).
- Recall from Sect. 4.2.6, that $loc(h)$ is the set of locations already allocated on the heap h.

$$
\begin{aligned}
&\langle \text{var } x; C, \langle \xi, h \rangle \rangle \\
\Rightarrow \quad &let \;\; l \notin loc(h) \cup \{null\} \\
&and \;\; \xi' = \;\; \xi \cdot \underline{decl}\langle \emptyset[x \mapsto l] \rangle \\
&and \;\; h' = \;\; h[\langle l, \text{val} \rangle \mapsto null] \;\; in \\
&\langle \underline{block}(C), \langle \xi', h' \rangle \rangle
\end{aligned}
$$

- Whenever a variable x is used in the program in a state $\langle \xi, h \rangle$, it is assumed that scoping rules have been statically checked to ensure that an environment for this variable x does exist on the stack ξ providing a location $\xi(x)$ on the heap h.
- By induction on the program syntax, one can prove that the operational semantics is defined so that the value of the variable x does exist on the heap and is $h(\langle \xi(x), \text{val} \rangle)$.

4.3.3. *Execution of a block*

- The execution of $\underline{block}(C)$ is similar to the execution of command C, except that the <mark>frame that was pulled on top of the stack before starting C must be pulled out at the end of the execution of C.</mark>

- At the end of the block, the frame φ created for that block is popped off the stack. The lifetime of the location of x on the heap is unlimited and so values assigned to x may live longer on the heap than the lexical scope of x.

$$\frac{\langle C, \sigma \rangle \Rightarrow \langle C', \sigma' \rangle}{\langle \underline{block}(C), \sigma \rangle \Rightarrow \langle \underline{block}(C'), \sigma' \rangle}$$

$$\frac{\langle C, \langle \xi \cdot \varphi, h \rangle \rangle \Rightarrow \langle \xi' \cdot \underline{decl}\langle \rho' \rangle, h' \rangle}{\langle \underline{block}(C), \langle \xi \cdot \varphi, h \rangle \rangle \Rightarrow \langle \xi', h' \rangle}$$

4.3.4. *Expressions*

The evaluation of an expression $e \in \mathsf{Exp}$ in a state σ returns the tainted value of e so $eval[\![e]\!] \in State \mapsto Tva$.

$$eval[\![\mathtt{f}]\!]\langle \xi, h \rangle \triangleq \mathtt{f} \qquad\qquad\qquad \text{Fields}$$

$$eval[\![\mathtt{1}]\!]\langle \xi, h \rangle \triangleq 1 \qquad\qquad\qquad \text{Tainted arithmetics}$$

$$
\begin{aligned}
eval[\![e_1 \ - \ e_2]\!]\langle \xi, h \rangle \triangleq \ & let \quad v_1 \ = \ eval[\![e_1]\!]\langle \xi, h \rangle \\
& and \ v_2 \ = \ eval[\![e_2]\!]\langle \xi, h \rangle \ in \\
& \quad if \ \ v_1 \in Int \land v_2 \in Int \\
& \quad\quad then \ v_1 - v_2 \\
& \quad\quad else \ error
\end{aligned}
$$

$$eval[\![\mathtt{null}]\!]\langle \xi, h \rangle \triangleq null \qquad\qquad \text{Tainted locations}$$

$$eval[\![\mathtt{x}]\!]\langle \xi, h \rangle \triangleq h(\langle \xi(\mathtt{x}), \mathtt{val} \rangle)$$

$$
\begin{aligned}
eval[\![e\,.\,e']\!]\langle \xi, h \rangle \triangleq \ & let \quad l \ = \ eval[\![e]\!]\langle \xi, h \rangle \\
& and \ \mathtt{f} \ = \ eval[\![e']\!]\langle \xi, h \rangle \ in \\
& \quad if \ l \in loc(h) \land \mathtt{f} \in \mathsf{Field} \land \langle l, \mathtt{f} \rangle \in dom(h) \ then \\
& \quad\quad h(\langle l, \mathtt{f} \rangle) \\
& \quad else \ error
\end{aligned}
$$

$$eval[\![\mathtt{proc\ x}{:}C]\!]\langle \xi, h \rangle \triangleq \underline{clo}\langle \mathtt{x}, C, \xi \rangle \qquad \text{Procedure closures}$$

- In the above definition of $eval[\![\mathtt{x}]\!]\langle \xi, h \rangle$, $h(\langle \xi(\mathtt{x}), \mathtt{val} \rangle)$, is well defined by lexical scope rules (so that the declaration of x has assigned a possibly *null* tainted value to the location $\xi(\mathtt{x})$ of x).
- However typing x.f may not ensure that the tainted value of x is a valid heap location when accessing the field f, so a runtime check is necessary.
- The value of a procedure is a closure.
- The closure records the formal parameter x, the procedure body C, and the stack ξ at declaration time to record the lexicographic binding of the global variables in the procedure body.

- For recursive calls, the closure stack must contain a variable or field which value is the closure.

4.3.5. *Boolean expressions*

- The evaluation of a Boolean expression $b \in \mathsf{Bool}$ in a state σ returns a Boolean $bool[\![b]\!]\sigma \in \{true, false, error\}$ and has no side-effects (the state is not modified).
- Runtime type checking avoids errors (such as comparing an integer with a location or a procedure).

$$
\begin{aligned}
bool[\![\texttt{true}]\!]\sigma \;&\triangleq\; true \\
bool[\![\texttt{false}]\!]\sigma \;&\triangleq\; false \\
bool[\![e_1 \; \texttt{==} \; e_2]\!]\langle \xi, h \rangle \;&\triangleq\; let\; v_1 \;=\; eval[\![e_1]\!]\langle \xi, h \rangle \;and\; v_2 \;=\; eval[\![e_2]\!]\langle \xi, h \rangle \\
&\qquad in\; if\; (v_1 \in Int \wedge v_2 \in Int) \vee (v_1 \in Loc \wedge v_2 \in Loc) \\
&\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad \vee (v_1 \in Clo \wedge v_2 \in Clo) \\
&\qquad\quad then\; v_1 = v_2 \\
&\qquad\quad else\; error \\
bool[\![e_1 \; \texttt{<} \; e_2]\!]\langle \xi, h \rangle \;&\triangleq\; let\; v_1 \;=\; eval[\![e_1]\!]\langle \xi, h \rangle \;and\; v_2 \;=\; eval[\![e_2]\!]\langle \xi, h \rangle \\
&\qquad in\; if\; (v_1 \in Int \wedge v_2 \in Int) \\
&\qquad\quad then\; v_1 < v_2 \\
&\qquad\quad else\; error
\end{aligned}
$$

4.3.6. *Recursive procedure call*

- In a recursive procedure call $e(e')$, the closure value $\underline{clo}\langle \texttt{z}, C, \xi' \rangle$ of e is recovered on the heap.
- The procedure body C is evaluated in the context of the declaration stack ξ' for global variables on which the formal parameter $\texttt{z}$ is pushed and initialized at a new location l with the value of the actual parameter e'.

$$
\begin{aligned}
&\langle e(e'), \; \langle \xi, h \rangle \rangle \\
\Rightarrow\; &let\; v \;=\; eval[\![e]\!]\langle \xi, h \rangle \; in \\
&match\; v\; with \\
&\quad |\; \underline{clo}\langle \texttt{x}, C, \xi' \rangle \;\rightarrow\; let\; l \notin loc(h) \cup \{null\} \\
&\qquad\qquad\qquad\qquad\qquad and\; \xi'' \;=\; \xi' \cdot \underline{call}\langle \emptyset[\texttt{x} \mapsto l], \; \xi \rangle \\
&\qquad\qquad\qquad\qquad\qquad and\; h' \;=\; h[\langle l, \texttt{val} \rangle \mapsto eval[\![e']\!]\langle \xi, h \rangle] \; in \\
&\qquad\qquad\qquad\qquad\qquad \langle \underline{block}(C), \; \langle \xi'', h' \rangle \rangle \\
&\quad |\; \_ \;\rightarrow\; error
\end{aligned}
$$

- After evaluation of the body with global variables evaluated in the declaration environment ξ', the calling environment ξ is restituted.

(1)
$$\frac{\langle C,\ \langle \xi' \cdot \varphi,\ h \rangle \rangle \Rightarrow \langle \xi'' \cdot \underline{call}\langle \rho',\ \xi \rangle,\ h' \rangle}{\langle \underline{block}(C),\ \langle \xi' \cdot \varphi,\ h \rangle \rangle \Rightarrow \langle \xi,\ h' \rangle}$$

4.3.7. *Assignment*

In the following
- the fact that $\xi(\mathtt{x})$ exists follows from the language scoping rule, while
- the fact that $\xi(\mathtt{x})$ is an existing heap location so that $h(\langle \xi(\mathtt{x}),\ \mathtt{val} \rangle)$ is well-defined follows from the definition of the operational semantics (whenever the scope of a variable is entered, its new location is allocated on the heap).

$$
\begin{aligned}
\langle \mathtt{x}\ =\ e,\ \langle \xi,\ h \rangle \rangle \Rightarrow\ &match\ eval[\![e]\!]\langle \xi,\ h \rangle\ with \\
&|\ error\ \rightarrow\ error \\
&|\ v\ \rightarrow\ \langle \xi,\ h[\langle \xi(\mathtt{x}),\ \mathtt{val} \rangle \mapsto v] \rangle
\end{aligned}
$$

$$
\begin{aligned}
\langle e.e'\ =\ e'',\ \langle \xi,\ h \rangle \rangle \Rightarrow\ &let\quad l\ =\ eval[\![e]\!]\langle \xi,\ h \rangle \\
&and\ \mathtt{f}\ =\ eval[\![e']\!]\langle \xi,\ h \rangle\ in \\
&\quad if\ l \notin loc(h) \vee \mathtt{f} \notin \mathsf{Field} \vee (l,\ \mathtt{f}) \notin dom(h)\ then\ error \\
&\quad else\ let\ v''\ =\ eval[\![e'']\!]\langle \xi,\ h \rangle\ in \\
&\qquad \langle \xi,\ h[\langle l,\ \mathtt{f} \rangle \mapsto v''] \rangle
\end{aligned}
$$

4.3.8. *Dynamic allocation*

$$
\begin{aligned}
&\langle \mathtt{malloc(x)},\ \langle \xi,\ h \rangle \rangle \\
\Rightarrow\quad &let\quad l \notin loc(h) \cup \{null\} \\
&and\ h'\ =\ h[\langle \xi(\mathtt{x}),\ \mathtt{val} \rangle \mapsto l] \cup I(l)\ in \\
&\quad \langle \xi,\ h' \rangle \\
&where\quad I(l) \triangleq \{\langle \langle l,\ \mathtt{f} \rangle,\ null \rangle \mid \mathtt{f} \in \mathsf{Field}\}
\end{aligned}
$$

- A new heap location l is assigned to variable $\mathtt{x}$ with all fields $\mathtt{f}$ initially *null*.
- In practice only some fields will exist (which must all appear in the program text) and need to be initialized to *null*
- In the field assignment of Sect. 4.3.7, the existence of the field is checked before accessing it although this is redundant thanks to the above initialization.

4.3.9. *Sequential control*

$$\langle \texttt{skip},\ \sigma \rangle \Rightarrow \sigma$$

$$\langle \texttt{if}\ b\ C_1\ \texttt{else}\ C_2,\ \sigma \rangle \Rightarrow \langle C_1,\ \sigma \rangle, \quad bool[\![b]\!]\sigma = true$$
$$\langle \texttt{if}\ b\ C_1\ \texttt{else}\ C_2,\ \sigma \rangle \Rightarrow \langle C_2,\ \sigma \rangle, \quad bool[\![b]\!]\sigma = false$$

$$\langle \texttt{while}\ b\ C_1,\ \sigma \rangle \Rightarrow \langle C_1;\texttt{while}\ b\ C_1,\ \sigma \rangle, \quad bool[\![b]\!]\sigma = true$$
$$\langle \texttt{while}\ b\ C_1,\ \sigma \rangle \Rightarrow \sigma, \quad bool[\![b]\!]\sigma = false$$

$$\frac{\langle C_1,\ \sigma \rangle \Rightarrow \langle C_1',\ \sigma' \rangle}{\langle C_1;C_2,\ \sigma \rangle \Rightarrow \langle C_1';C_2,\ \sigma' \rangle}$$
$$\frac{\langle C_1,\ \sigma \rangle \Rightarrow \sigma'}{\langle C_1;C_2,\ \sigma \rangle \Rightarrow \langle C_2,\ \sigma' \rangle}$$

4.3.10. *Parallelism*

A computation step in $C_1 \,|\!|\!|\, C_2$ is a computation step in C_1 or in C_2.

$$\frac{\langle C_1,\ \sigma \rangle \Rightarrow \langle C_1',\ \sigma' \rangle}{\langle C_1 \,|\!|\!|\, C_2,\ \sigma \rangle \Rightarrow \langle C_1' \,|\!|\!|\, C_2,\ \sigma' \rangle}$$
$$\frac{\langle C_1,\ \sigma \rangle \Rightarrow \sigma'}{\langle C_1 \,|\!|\!|\, C_2,\ \sigma \rangle \Rightarrow \langle C_2,\ \sigma' \rangle}$$
$$\frac{\langle C_2,\ \sigma \rangle \Rightarrow \langle C_2',\ \sigma' \rangle}{\langle C_1 \,|\!|\!|\, C_2,\ \sigma \rangle \Rightarrow \langle C_1 \,|\!|\!|\, C_2',\ \sigma' \rangle}$$
$$\frac{\langle C_2,\ \sigma \rangle \Rightarrow \sigma'}{\langle C_1 \,|\!|\!|\, C_2,\ \sigma \rangle \Rightarrow \langle C_1,\ \sigma' \rangle}$$

There is <u>no</u> fairness hypothesis, meaning that C_1 or C_2 may run for ever while C_2 or C_1 remains idle for ever.

Note that all processes in MiniOO share the same stack (while the classic semantics extends the current stack (for global variables) wit a new lock stack for each process (for their local variables). With a <u>*block*</u> exit, one process may pull a frame off the stack that belongs to the another process. In particular, if two parallel processes declare the same variables then their interleaved executions will access and modify only one of these variables created last on top of the stack until one process terminates, in which case the other process will go on with the other variable whether it is its own variable or not.

4.3.11. *Atomicity*

- We let $\Rightarrow^\star$ be the transitive closure of $\Rightarrow$ right-restricted to a state (that is a final configuration).
- Otherwise stated $\Gamma \Rightarrow^\star \sigma$ if and only if $\exists \Gamma_1, \Gamma_2, \ldots, \Gamma_n \in \textit{Conf} : n \geqslant 1 \wedge \Gamma_1 = \Gamma \wedge \Gamma_1 \Rightarrow \Gamma_2 \wedge \ldots \wedge \Gamma_{n-1} \Rightarrow \Gamma_n \wedge \Gamma_n \Rightarrow \sigma$ (where $\Gamma_1 \Rightarrow \Gamma_2 \wedge \ldots \wedge \Gamma_{n-1} \Rightarrow \Gamma_n$ is true for $n = 1$).

- $\Rightarrow^\star$ can be defined by the rules

$$\frac{\langle C,\ \sigma\rangle \Rightarrow \sigma'}{\langle C,\ \sigma\rangle \Rightarrow^\star \sigma'}$$

$$\frac{\langle C,\ \sigma\rangle \Rightarrow \langle C',\ \sigma'\rangle, \quad \langle C',\ \sigma'\rangle \Rightarrow^\star \sigma''}{\langle C,\ \sigma\rangle \Rightarrow^\star \sigma''}$$

- Atomicity $\mathtt{atom}(C)$ forces the command C to be executed up to termination (if ever).
- No other parallel process, if any, can interact with the execution of C (*e.g.* by simultaneously modifying the heap) while executing $\mathtt{atom}(C)$.

$$\frac{\langle C,\ \sigma\rangle \Rightarrow^\star \sigma'}{\langle \mathtt{atom}(C),\ \sigma\rangle \Rightarrow \sigma'}$$

For example {x = 0; x = x+1; x= x+1 ⫴ x = 0} will terminate with x $= 0, 1$, or 2 while {x = 0; atom(x = x+1; x= x+1) ⫴ x = 0} will terminate with x $= 0$ or 2.

4.4. Examples

The execution trace of the program

```
var p; p = proc y:if y < 1 then p = 1 else p(y - 1); p(1)
```

is

$\langle$var p; p = proc y:if y < 1 then p = 1 else p(y - 1); p(1), $\langle\epsilon,\ \emptyset\rangle\rangle$

⟨Initial state, Sect. 4.3.1⟩

$\Rightarrow \langle\underline{block}($p = proc y:if y < 1 then p = 1 else p(y - 1); p(1)$),\ \langle\xi_1,\ h_1\rangle\rangle$

⟨Variable declaration, Sect. 4.3.2⟩

⟨where $\xi_1 \triangleq \epsilon \cdot \underline{decl}\langle\emptyset[\mathtt{p} \mapsto l_1]\rangle$ and $h_1 \triangleq \emptyset[\langle l_1,\ \mathtt{val}\rangle \mapsto null]$⟩

$\Rightarrow \langle\underline{block}($p(1)$),\ \langle\xi_1,\ h_2\rangle\rangle$ ⟨Assignment, Sect. 4.3.7⟩

⟨where $h_2 \triangleq \emptyset[\langle l_1,\ \mathtt{val}\rangle \mapsto \langle\underline{clo}\langle$y, if y < 1 then p = 1 else p(y - 1)$, \xi_1\rangle,\ \emptyset\rangle]$⟩

$\Rightarrow \langle\underline{block}(\underline{block}($if y < 1 then p = 1 else p(y - 1)$)),\ \langle\xi_2,\ h_3\rangle\rangle$

⟨Procedure call, Sect. 4.3.6⟩

⟨where $\xi_2 \triangleq \xi_1 \cdot \underline{call}\langle\emptyset[\mathtt{y} \mapsto l_2],\ \xi_1\rangle$ and $h_3 \triangleq h_2[\langle l_2,\ \mathtt{val}\rangle \mapsto 1]$⟩

$\Rightarrow \langle\underline{block}(\underline{block}($p(y - 1)$)),\ \langle\xi_2,\ h_3\rangle\rangle$ ⟨Conditional, Sect. 4.3.9⟩

$\Rightarrow \langle\underline{block}(\underline{block}(\underline{block}($if y < 1 then p = 1 else p(y - 1)$))),\ \langle\xi_3,\ h_4\rangle\rangle$

⟨Recursive procedure call, Sect. 4.3.6⟩

⟨where $\xi_3 \triangleq \xi_2 \cdot \underline{call}\langle\emptyset[\mathtt{y} \mapsto l_3],\ \xi_2\rangle$ and $h_4 \triangleq h_3[\langle l_3,\ \mathtt{val}\rangle \mapsto 0]$⟩

$\Rightarrow \langle\underline{block}(\underline{block}(\underline{block}($p = 1$))),\ \langle\xi_3,\ h_4\rangle\rangle$ ⟨Conditional, Sect. 4.3.9⟩

$\Rightarrow \langle\epsilon,\ h_5\rangle$ ⟨Assignment, Sect. 4.3.7 ⟩

$\wr$where $h_5 \triangleq h_4[\langle l_1, \text{val}\rangle \mapsto 1]$ since $\langle \text{p = 1}, \langle \xi_3, h_4\rangle\rangle \Rightarrow \langle \xi_3, h_5\rangle$ so $\langle \underline{block}(\text{p = 1}),$ $\langle \xi_3, h_4\rangle\rangle \Rightarrow \langle \xi_2, h_5\rangle$ hence $\langle \underline{block}(\underline{block}(\text{p = 1})), \langle \xi_3, h_4\rangle\rangle \Rightarrow \langle \xi_1, h_5\rangle$ proving $\langle \underline{block}(\underline{block}(\underline{block}(\text{p = 1}))), \langle \xi_3, h_4\rangle\rangle \Rightarrow \langle \epsilon, h_5\rangle$ by the stack popping of the block exit rule in Sect. 4.3.6 $\wr$

One possible execution trace of the parallel program
$$\{\text{var x; x = 1} \;|||\; \text{var x; x = 2}\}$$
is

$\langle\{\text{var x; x = 1} \;|||\; \text{var x; x = 2}\}, \langle \epsilon, \emptyset\rangle\rangle$ $\wr$Initial state, Sect. 4.3.1$\wr$

$\overset{1}{\Rightarrow} \langle\{\underline{block}(\text{x = 1}) \;|||\; \text{var x; x = 2}\}, \langle \xi_1, h_1\rangle\rangle$ $\wr$Variable declaration, Sect. 4.3.2$\wr$

$\wr$where $\xi_1 \triangleq \epsilon \cdot \underline{decl}\langle \emptyset[\text{x} \mapsto l_1]\rangle$ and $h_1 \triangleq \emptyset[\langle l_1, \text{val}\rangle \mapsto null]\wr$

$\overset{2}{\Rightarrow} \langle\{\underline{block}(\text{x = 1}) \;|||\; \underline{block}(\text{x = 2})\}, \langle \xi_2, h_2\rangle\rangle$ $\wr$Variable declaration, Sect. 4.3.2$\wr$

$\wr$where $\xi_2 \triangleq \epsilon \cdot \underline{decl}\langle \emptyset[\text{x} \mapsto l_1]\rangle \cdot \underline{decl}\langle \emptyset[\text{x} \mapsto l_2]\rangle$ and $h_2 \triangleq \emptyset[\langle l_1, \text{val}\rangle \mapsto null][\langle l_2, \text{val}\rangle \mapsto null]\wr$

$\overset{1}{\Rightarrow} \langle \underline{block}(\text{x = 2}), \langle \xi_3, h_3\rangle\rangle$ $\wr$Assignment, Sect. 4.3.7 and block exit 4.3.3 $\wr$

$\wr$where $\xi_3 \triangleq \epsilon \cdot \underline{decl}\langle \emptyset[\text{x} \mapsto l_1]\rangle$ and $h_2 \triangleq \emptyset[\langle l_1, \text{val}\rangle \mapsto null][\langle l_2, \text{val}\rangle \mapsto 1]\wr$

$\overset{e}{\Rightarrow} \langle \epsilon, h_4\rangle$ $\wr$Assignment, Sect. 4.3.7 and block exit 4.3.3 $\wr$

$\wr$where $h_4 \triangleq \emptyset[\langle l_1, \text{val}\rangle \mapsto 2][\langle l_2, \text{val}\rangle \mapsto 1]\wr$

so that the local variable of one process is assigned and pulled off the stack by the other process.

5. TRACE SEMANTICS

- A (partial) execution of a program C in initial state σ is a sequence of configurations $\Gamma_1\Gamma_2\ldots\Gamma_n$ such that $\Gamma_1 = \langle C, \sigma\rangle$ and for all $i = 1, \ldots, n-1$, $\Gamma_i \Rightarrow \Gamma_{i+1}$.
- The semantics of the program is the set $[\![C]\!]I$ of all such executions starting from initial states $\sigma \in I$, $I \in 2^{State}$.

Definition 1. *The trace semantics of a command C is*
$$[\![C]\!] \quad \in \quad 2^{State} \mapsto 2^{Conf^\star}$$
$$[\![C]\!]I \quad \triangleq \quad \{\Gamma_1\Gamma_2\ldots\Gamma_n \mid \Gamma_1 = \langle C, \sigma\rangle \wedge \sigma \in I \wedge \forall i = 1, \ldots, n-1 : \Gamma_i \Rightarrow \Gamma_{i+1}\}$$

Lemma 1.
$$[\![C]\!]I \quad = \quad lfp_{\emptyset}^{\subseteq} F[\![C]\!]I$$
where $F[\![C]\!]I \quad \triangleq \quad \boldsymbol{\lambda}\mathcal{X} \cdot \big\{\langle C, \sigma\rangle \mid \sigma \in I\big\} \cup \big\{\Gamma_1\ldots\Gamma_{n-1}\Gamma_n \mid \Gamma_1\ldots\Gamma_{n-1} \in \mathcal{X} \wedge \Gamma_{n-1} \Rightarrow \Gamma_n\big\}$

Proof. $F[\![C]\!]I$ is continuous so the result is proved by calculating the fixpoint iterates. $\square$

This can be generalized to include infinite traces describing the non-terminating executions [4].

6. Absence of Runtime Errors

The objective is to prove statically or check dynamically the absence of errors at runtime.

Definition 2 (Runtime error)**.** *Execution of program C in initial states I leads to a runtime error if and only if there exists an execution of the form $\Gamma_1\Gamma_2\ldots\Gamma_n$ in $[\![C]\!]I$ such that for all $\Gamma' \in (Ctrl \times State) \cup \{error\} : \Gamma_n \nRightarrow \Gamma'$ (i.e. Γ_n is a non-terminal blocking state).*

- The problem of absence of runtime errors is undecidable
- Static analyzers like Astrée [1] based on abstract interpretation [2, 3] can provide sound solutions (no error is ever forgotten) but incomplete (some potential errors may not be actual errors due to the imprecision of the analysis).

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P. COUSOT

The syntax is the same.

Section 3 on "Static semantics checking static scoping rules" disappears, undeclared variables will be discovered during runtime.

6.1. **Semantic domains**

The semantic domains in Section 4.1 are unchanged except that there is no more $\underline{block}(\mathit{Ctrl})$ and the closures and calls no longer needs to record where their global variables have been allocated, since during evaluation of the body the last encountered declaration or parameter will be used and this might change at each call.

$$
\begin{array}{rcllll}
\nu = \underline{clo}\langle \mathsf{x},\, C \rangle & \in & \mathit{Clo} & \triangleq & \underline{clo}(\mathsf{Var} \times \mathsf{Cmd}) & \text{Closures} \\
\varphi = \underline{decl}\langle \rho \rangle / & \in & \mathit{Frame} & \triangleq & \underline{decl}(\mathit{Env}) \cup & \text{Frames} \\
\quad \underline{call}\langle \rho,\, \xi \rangle & & & & \underline{call}(\mathit{Env})
\end{array}
$$

Observe that there is no more any difference between $\underline{decl}$ and $\underline{call}$ which could have been merged in a single entity.

Moreover, the *Stack* is used differently since it now records the last variable and parameter declarations encountered during execution. Each declaration or parameter encountered during execution is pushed on the stack, and hides the previous frames, if any. So the stack is newer pulled out (and the hidden variables on the stack could be eliminated for efficiency).

6.1.1. *Initial configurations*

The initial configuration in Section 4.3.1 is the same.

---

[11]*Date*: Wednesday 14th September, 2022, 18:36

6.1.2. *Variable declaration*

A variable declaration is pushed on the stack. It will stay there forever (unless hidden by a later declaration/parameter with the same identifier) and so there is no need for a $\underline{block}(C)$ (used for pulling declarations out of the stack in static scoping).

$$\langle \texttt{var x}; C, \langle \xi, h \rangle \rangle$$
$$\Rightarrow \quad let \ l \notin loc(h) \cup \{null\}$$
$$and \ \xi' = \ \xi \cdot \underline{decl}\langle \emptyset[\texttt{x} \mapsto l] \rangle$$
$$and \ h' = \ h[\langle l, \texttt{val} \rangle \mapsto null] \ in$$
$$\langle C, \langle \xi', h' \rangle \rangle$$

Notice that the variable remains on the stack on exit of the block so its lifetime is larger than that of the blockbody.

Moreover, since there is no more block, Section 4.3.3 on the "execution of a block" disappears.

6.1.3. *Expressions*

Section 4.3.4 on the evaluation of expressions is almost the same, except for variables since there is no more guarantee that the variable or parameter has been declared. If not, this is an error.

$$eval[\![\texttt{x}]\!]\langle \xi, h \rangle \ \triangleq \ if \ \texttt{x} \in dom(\xi) \ then$$
$$h(\langle \xi(\texttt{x}), \texttt{val} \rangle)$$
$$else \ error$$

For closures there is no need to keep the stack at call time for global variables.

$$eval[\![\texttt{proc x}:C]\!]\langle \xi, h \rangle \ \triangleq \ \underline{clo}\langle \texttt{x}, C \rangle$$

6.1.4. *Boolean expressions*

The evaluation of boolean expressions is unchanged, except for the above changes in expressions.

6.1.5. *Recursive procedure call*

The procedure call in Section 4.3.6 becomes

$$\langle e(e'), \langle \xi, h \rangle \rangle$$
$$\Rightarrow \quad let \ v = \ eval[\![e]\!]\langle \xi, h \rangle \ in$$
$$match \ v \ with$$
$$| \ \underline{clo}\langle \texttt{x}, C \rangle \ \rightarrow \ let \ l \notin loc(h) \cup \{null\}$$
$$and \ \xi' = \ \xi \cdot \underline{call}(\emptyset[\texttt{x} \mapsto l])$$
$$and \ h' = \ h[\langle l, \texttt{val} \rangle \mapsto eval[\![e']\!]\langle \xi, h \rangle] \ in$$
$$\langle C, \langle \xi', h' \rangle \rangle$$
$$| \_ \ \rightarrow \ error$$

The parameter is pushed on the stack (thus hiding previous declarations/parameters with the same name encountered during execution), the actual parameter is evaluated and assigned on the heap to the formal parameter. It is therefore a call by value. Then, the block of the procedure body is executed.

Notice that the parameter remains on the stack on exit of the procedure so its lifetime is larger than that of the procedure body. The rule 1 is suppressed since the formal parameter goes on existing on procedure exit.

6.1.6. *Assignment*

The assignment in Section 4.3.7 must now check for the existence of the assigned variable since this is no longer guaranteed by the scoping rules.

$$\langle \texttt{x = } e,\ \langle \xi,\ h \rangle \rangle \Rightarrow \textit{if } \texttt{x} \notin \textit{dom}(\xi) \textit{ then error}$$
$$\textit{else match eval}[\![e]\!] \langle \xi,\ h \rangle \textit{ with}$$
$$\mid \textit{error} \ \rightarrow \ \textit{error}$$
$$\mid v \ \rightarrow \ \langle \xi,\ h[\langle \xi(\texttt{x}),\ \texttt{val} \rangle \mapsto v] \rangle$$

The handling of fields is unchanged.

6.1.7. *Dynamic allocation*

The only difference with Section 4.3.8 is that the existence of variable $\texttt{x}$ which is no longer guaranteed by the scoping rules must be checked at runtime.

$$\langle \texttt{malloc(x)},\ \langle \xi,\ h \rangle \rangle$$
$$\Rightarrow \quad \textit{if } \texttt{x} \notin \textit{dom}(\xi) \textit{ then error}$$
$$\textit{else let } l \notin \textit{loc}(h) \cup \{\textit{null}\}$$
$$\textit{and} \quad h' = \ h[\langle \xi(\texttt{x}),\ \texttt{val} \rangle \mapsto l] \cup I(l) \textit{ in}$$
$$\langle \xi,\ h' \rangle$$
$$\textit{where} \quad I(l) \triangleq \{\langle \langle l,\ \texttt{f} \rangle,\ \textit{null} \rangle \mid \texttt{f} \in \textsf{Field}\}$$

6.1.8. *Control*

All other rules for sequential control, parallelism, and atomicity do not involve any variables so are kept as they are.

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