

## CS 245

- Natural Language

### Propositional Logic

**Logic** is the systematic study of the principles of reasoning and inference. - Used for modeling computer hardware, software, and embedded systems. - Designing systems that can apply reason and inferences (artificial intelligence)

A **proposition** is a declarative sentence that is either **true** or **false**.

### English to Propositional Logic

$\neg p$ : not p, it is not the case of p

$p \wedge q$ : p and q, p but q, not only p but q, p while q, p despite q, p yet q, p although q

$p \vee q$ : p or q, p and/or q, p unless q

$p \implies q$ : if p then q, q if p, p only if q, q when p, p is sufficient for q, q is necessary for p

$p \iff q$ : p if and only if q, p is equivalent to q, p exactly if q, p is necessary and sufficient for q

A logic is formalized for syntax, semantics, and proof procedures.

### Syntax

Atomic propositions are combined into compound propositions, and are analyzed as a set of interrelated propositions.

**Propositions** are represented by formulas which contains a sequence of symbols. An **expression** is a finite sequence of symbols. - Propositional variables - Denoted with lowercase Roman letters - Connectives - Logic operators (NOT, AND, OR) - Punctuation - Brackets

**Binary** connectives (AND, OR, IMPLIES) apply to two things. **Unary** connectives (NOT) are only applied to one.

A **formula** can be built from a set of proposition variables. A formula can be atom, negation, conjunction, disjunction, implication. It should only take on the type of the outermost type.

**Example:**  $(p \wedge q) \implies r$  is an implication.

## Semantics

The **semantics** of a logic describes how to interpret the well-formed formulas of the logic.

A **truth valuation** is a function with the set of all proposition symbols as domain and assigns a truth value (T,F) to each propositional variable.

For example  $t(p) = p^t = T$

Everything can be built from the nand symbol. (see computer boolean)

To prove theorems, mathematical induction is used.

### Principle of Mathematical Induction:

Establish that the base case has a property  $P$ . Show that if the property holds for the base case, the next number also holds the property. Conclude that the property holds for all  $n \in N, n \geq BC$

**Theorem:** Let  $R$  be a property, suppose that

1. for each atomic formula  $p$ , we have  $R(p)$
2. For each formula  $\alpha$ , if  $R(\alpha)$  then  $R(\neg\alpha)$
3. For each pair of formulas  $\alpha$  and  $n$ , and each connective  $*$ , if  $R(\alpha)$  and  $R(n)$ , then  $R((\alpha * n))$ .

This is called **structural induction**.

## Something happened here

### Working with Formulas

A formula  $\alpha$  is a **tautology** if and only if for every truth valuation  $t$ ,  $\alpha^t = T$

A formula  $\alpha$  is a **contradiction** if and only if for every truth valuation  $t$ ,  $\alpha^t = F$ .

Example:  $p \wedge \neg p$

A formula  $\alpha$  is **satisfiable** if and only if there is some truth valuation  $t$ , such that  $\alpha^t = T$

**Note:** A formula is satisfiable if and only if it is not a contradiction.

A **valuation tree** is a shortcut to analyzing what would happen if the entire truth table was filled out.

Two formulas are **equivalent**,  $\alpha \equiv \beta$  if  $\alpha^t = \beta^t$  for every valuation  $t$ .

**Lemma:** Suppose that  $\alpha \equiv \beta$ . Then for any formula  $\gamma$ , and any connective  $*$ , the formula  $\alpha * \gamma$  and  $\beta * \gamma$  are equivalent.

$$\alpha * \gamma \equiv \beta * \gamma *$$

**Example:** Prove  $(p \wedge q) \vee (q \wedge r) \equiv (q \wedge (p \vee r))$

**Proof:**  $= (p \wedge q) \vee (q \wedge r)$

$$= (q \wedge p) \vee (q \wedge r)$$

$$= (q \wedge (p \vee r))$$

**Simplify:**  $p \vee (p \wedge q)$

**Solution:**

$$= (p \wedge T) \vee (p \wedge q)$$

$$= p \wedge (T \vee q)$$

$$= p$$

## Class

Prove  $\neg(((\neg p \vee q) \vee r) \wedge \neg r \wedge p) \vee q$

**Example:** Prove or disprove  $p \equiv p \wedge (q \implies p)$

$$p \wedge (q \implies p)$$

$$p \wedge (q \vee \neg p) \text{ Implication}$$

$$p \wedge (\neg p \vee q) \text{ Commutativity}$$

$$p \text{ Simplification II}$$

$$\text{LHS=RHS}$$

**Example:** Prove or disprove  $p \wedge (\neg(\neg q \wedge \neg p) \vee p) \equiv q$

**Solution:** Find a counter example. Select  $t(p) = F, t(q) = T$ . LHS = F, RHS = T

Alternatively, simplify first if a value cannot be found by inspection.

$$p \wedge ((q \vee p) \vee p)$$

$$p \wedge (q \vee (p \vee p))$$

$$p \wedge (q \vee p)$$

$$p \wedge (p \vee q)$$

$$p$$

## Logical Consequence

Let  $\sigma$  be a set of formulas and let  $\phi$  be a formula. We say that

- $\phi$  is a logical consequence of  $\sigma$  or
- $\sigma$  semantically entails  $\phi$ , or
- in symbols,  $\sigma \models \phi$

if and only if for any truth value  $t$

$$\sigma^t = T \implies \phi^t = T$$

**Example:**

$$\{(p \implies q), q\} \models q$$

**Solution:** Whenever  $\{(p \implies q), q\}$ ,  $q$  must be true. This can be shown from drawing a truth table.

**Example:**  $\{p \implies q, q\} \not\models p$

**Solution:** When  $p = F, q = T$ , and the proposition does not hold.

**Example:**

$$\{(p \implies q), (q \implies r)\} \models (r \implies q)$$

**Solution:** Draw huge truth table.  $p = F, q = F, r = T$  has  $\sigma^t = T$  and  $\phi^t = F$

**Note:** If  $\sigma$  is a contradiction,  $\sigma \models \phi$  will always be true.

## Dealing with Code

If statement fragments can be simplified by drawing a truth table and taking the simplest expressions. The **else** block should be the largest block to avoid writing extra code.

Formulas  $\alpha \implies \beta$  and  $\neg\alpha \vee \beta$  are equivalent. Thus  $\implies$  is said to be **definable** in terms of  $\neg$  and  $\vee$ .

There are sixteen possible binary connectives. (16 because there are 16 way to permute T and F over the truth table containing 4 slots. Two are nullary (ignores the value they connect), and four others are unary (ignore one value but not the other).

A set of connectives is **adequate** iff any  $n$ -ary ( $n \geq 1$ ) connective can be defined in terms of ones in the set.

$(\vee, \wedge, \neg)$  is an adequate set of connectives.

$(\wedge, \neg), (\vee, \neg), (\implies, \neg)$  are adequate sets of connectives.

## Section

A **proof** is a formal demonstration that a statement is true. I

- It must be mechanically checkable. Intuition and insight should not be necessary.
- Any computer could verify its correctness

A proof is generally syntactic, rather than semantic. Permits mechanical checking. Rules chosen for semantic reasons.

A proof consists of a list of formulas. Assumption  $\rightarrow$  inference  $\rightarrow$  inference rules  $\rightarrow$  conclusion.

A set of inference rules defines a **proof system**.

We notate “there is a proof with assumptions  $\sum$  and conclusion  $\phi$ ” by  $\sum \vdash \phi$

An inference rule is written as

$$\frac{a_1 \quad a_2 \cdots a_i}{\beta}$$

This means, suppose each formula in  $\alpha$  already appears in the proof (either assumed or previously inferred), then one may infer the formula  $\beta$

Example  $\frac{\alpha \quad \beta}{\alpha \wedge \beta}$

## Approaches to Proofs

Direct proof. Establish  $\sum \models \phi$ . Give a proof with  $\alpha_1, \alpha_2, \dots, \alpha_n$  as assumptions and obtain  $\phi$  as conclusion.

Refutations (indirect proofs, proof by contradiction)

Establish  $\sum \models \phi$ . Assume  $\neg\phi$  and  $\alpha_1, \alpha_2, \dots, \alpha_n$  and obtain a contradiction as conclusion. This works because any valuation that makes  $\sum$  true must make  $\neg\phi$  false and make  $\phi$  true.

**Resolution** is a refutation system with the following inference rule.

$$\frac{a \vee p \quad \neg p \wedge b}{a \vee b}$$

for any variable  $p$  and formula  $a$  and  $b$ .

Consider the following as special cases

Unit Resolution  $\frac{a \vee p \quad \neg p}{a}$

Contradiction:  $\frac{p \quad \neg p}{\text{Contradiction}}$

## Resolution

To prove  $\{p, q\}_{\text{res}} p \wedge q$ , derive a contradiction from the assumptions  $\{p, q, \neg(p \wedge q)\}$

Conjunctive normal form

- A **literal** is a (propositional) variable or the negation of a variable
- A **clause** is a disjunction of literals (cannot use and)
- A formula is in **conjunctive normal form** if it is a conjunction of clauses.

A formula is in CNF if and only if

- its only connectives are  $\neg, \vee, \wedge$
- $\neg$  applies only to variables
- $\vee$  applies only to subformulas with no occurrence of  $\wedge$ .

To prove  $\phi$  from  $\Sigma$  via resolution refutation

- Convert each formula in  $\Sigma$  to CNF
- Convert  $\neg\phi$  to CNF
- Split the CNF formulas as the  $\wedge$ s, yielding a set of clauses
- From the resulting set of clauses, keep applying the resolution inference rule until
  - The empty clause (contradiction) results ( $\phi$  is a theorem)
  - The rule cannot be applied to give a new formula ( $\phi$  is not a theorem)

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TODO: Resolution (2 classes)

## Predicate Logic | First Order Logic

Propositional logic dealt with logical forms of compound propositions that talked about all objects in a set. Predicate logic deals with *some* object, without enumerating all objects in a set.

Example: Not all birds can fly

$$\neg(\forall x \cdot (B(x) \implies F(x)))$$

## Ingredients

First order logic is expressed with the following ingredients

- A non-empty domain of objects (individuals, sets)
- Names of individuals (also called constants)
- Variable denoting generic objects
- Relation (eg: equal, younger)
- Function (eg: +, mother of)
- Quantifiers
- Propositional connectives

A  $k$ -ary relation is a set of  $k$ -tuples of domain elements.

Example: Binary relation less-than, over a domain  $D$ , is represented by the set

$$\{(x, y) \in D^2 \mid x < y\}$$

**Variables** let us refer to an object without specifying which particular object it is.

In first order logic, functions may be used as arguments to another function.

Example: Every child is younger than its mother.

$$\forall x \cdot \forall y \cdot ((C(x) \wedge M(y, x)) \implies Y(x, y))$$

But this allows  $x$  to have several mothers as long as  $M(y, x)$  is satisfied.

The above example can be rewritten as

$$\forall x \cdot (C(x) \implies Y(x, m(x)))$$

## Syntax

Expressions that have a truth value are **formulas**

Expressions that refer to an object of the domain are called **terms**.

An **atomic formula** is an expression in the form  $P(t_1, \dots, t_n)$  such that  $P$  is an  $n$ -ary function and each  $t_i$  is a term.

$\forall x \cdot \alpha$  is a formula if  $\alpha$  is a formula, and the formula  $\alpha$  is the scope of the quantifier.

## Interpretation

Fix a set  $C$  of constant symbols, function symbols, and relation symbols.

An interpretation  $M$  for the set  $C$  consists of

- A non empty set  $\text{dom}(M)$ , called the domain of  $M$
- For each constant  $c$ , a member  $c^M$  of  $\text{dom}(M)$
- For each function symbol  $f^{(i)}$ , an  $i$ -ary function  $f^M$
- For each relation symbol  $R^{(i)}$ , an  $i$ -ary function relation  $R^M$

An interpretation is also called a **model**.

With an interpretation  $M$ , for each term  $t$  containing no variables, the value of  $t$  under interpretation  $M$ , denoted  $t^M$  is

- If  $t$  is a constant  $c$ ,  $t^M$  is  $c^M$
- If  $t$  is a function, the value  $t^M$  is  $f^M(t_1^M, \dots, t_n^M)$

The value of a term must always be a member of domain  $M$

With an interpretation  $M$ , for each formula  $\alpha$  containing no variables, the value of  $\alpha$  under interpretation  $M$ , denoted  $\alpha^M$  is

- If  $\alpha$  is  $R(t_1, \dots, t_n)$ , then  $\alpha^M$  is  $T$  if  $t_1^M, \dots, t_n^M \in R^M$ . Else it is  $F$
- If  $\alpha$  is  $\neg\beta$  or  $\beta \star \gamma$ , then  $\alpha^M$  is determined the same way as for propositional logic.

**Example:** Consider an interpretation  $M$  with

- Domain:  $N$ , the natural numbers
- $0^M$ : zero
- $f^M$ : successor
- $E^M$ : is even

$$f(0)^M = 1, E(f(0))^M = F, E(f(f(0)))^M = T$$

**NOTE:** There is NO default meaning for relation, function, or constant symbols. Functions must be defined at every point in the domain.

An occurrence of a variable in a formula is **bound** if it lies in the scope of some quantifier of the variable, otherwise it is **free**.

Example: In the formula  $P(x) \wedge \forall x \cdot Q(x)$ , first  $x$  is free and last  $x$  is bound.



## Substitution

The notation  $\alpha[t/x]$  for a variable  $x$ , term  $t$ , and formula  $\alpha$  denotes the formula obtained from  $\alpha$  by replacing each free occurrence of  $x$  with  $t$

**NOTE:** Substitution only affects free variables, not bound occurrences.

## Semantics

In propositional logic, semantics was described in terms of valuations to propositional atoms. FOL includes more ingredients and the semantics must account for them too.

An **environment** is a function that assigns a value in the domain to each variable.

**Example:** With domain  $N$ , we might have environment  $\theta_1$ , given by  $\theta_1(x) = 9$  and  $\theta_1(y) = 2$ .

## Creating a formula

To create a formula, we must specify the interpretation (includes domain, definition of symbols, and constants) and an environment that maps variables

**Example:** Let  $\text{dom}\{J\}$  be the collection of all words over the alphabet  $\{a, b\}$

$0^J = a$ ,  $s^J$  is appending  $a$  to the end of the string, and  $+$  is a concatenation.

$\theta(x) = aba$

Evaluating  $(s(s(0) + s(x)))^{(J, \theta)}$  gives  $aaabaaa$

## Quantified Formulas

For any environment  $\theta$  and domain element  $d$ , the environment  $\theta$  with  $x$  reassigned to  $d$  is denoted as  $\theta[x \rightarrow d]$

In this case,  $x$  is substituted with  $d$  for every quantified occurrence.

Cannot directly say a statement is true for every  $x \in \text{dom}(M)$ , must say  $\alpha^{(M, [x \rightarrow d])}$  for every  $d \in \text{dom}(M)$

**NOTE:** The values of  $(\forall x \cdot \alpha)^{(M, \theta)}$  and  $(\exists x \cdot \alpha)^{(M, \theta)}$  do not depend on the value of  $\theta(x)$  as it only matters for free occurrences.

If  $M \models_{\theta} \alpha$  for every  $\theta$ , then  $M$  **satisfies**  $\alpha$ , denoted  $M \models \alpha$

## Validity and Satisfiability

A first order formula can be either valid, satisfiable, and unsatisfiable. There are no tautologies in predicate logic.

Suppose  $\sigma$  is a set of formulas and  $\alpha$  is a formula.  $\alpha$  is the **logical consequence** of  $\sigma \models \alpha$  iff for any interpretation  $M$  and environment  $\theta$ , we have  $M \models_{\theta} \sigma$  implies  $M \models_{\theta} \alpha$

**Example:** Show that  $\forall x \cdot \neg \gamma \models \neg \exists x \cdot \gamma$

$M \models_{\theta} \forall x \cdot \neg \gamma$ . This means for every  $a \in \text{dom}(M)$ ,  $M \models_{\theta[x \rightarrow a]} \neg \gamma$

By definition, that means for  $a \in \text{dom}(M)$ ,  $M \not\models_{\theta[x \rightarrow a]} \gamma$

This means there is no  $a \in \text{dom}(M)$  that satisfies  $M \models_{\theta[x \rightarrow a]} \gamma$

This is the RHS of the equation as required.

## Proofs in FOL

$\forall$  elimination:  $\frac{\forall x \cdot \alpha}{\alpha[t/x]}$

$\exists$  introduction:  $\frac{\alpha[t/x]}{\exists x \cdot \alpha}$

A variable is **fresh** in a subproof if it occurs nowhere outside the box of the subproof.

If you start with  $y$  fresh and can conclude  $\alpha[y/x]$ , you can conclude  $\forall x \cdot \alpha$

If you start with  $\exists x \cdot a$  and when you introduce  $u$  fresh you can conclude  $\beta$ , then you can actually conclude  $\beta$

**Definition:** First Order Logic with Equality is First-Order Logic with the restriction that the symbol  $=$  must be interpreted as equality on the domain

$$(=)^L = \{(d, d) | d \in \text{dom}(L)\}$$

If  $t = t$ , you can do an equals introduction

If  $t_1 = t_2$  and  $\alpha[t_1/x]$  then you can conclude  $\alpha[t_2/x]$

An **axiom** is a premise that is always taken, it need not be listed explicitly.

EQ1:  $\forall x \cdot x = x$  is an axiom.

EQ2: For each formula  $\alpha$  and variable  $z$

$$\forall x \cdot \forall y \cdot (x = y \implies (\alpha[x/z] \implies \alpha[y/z]))$$

These axioms then imply symmetry and transitivity.

## Arithmetic, Data Structures, and Programs

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### Relations on Lists

If  $x$  is the empty list

$$\forall x \cdot \forall y \cdot (x = e) \implies \neg \text{First}(x, y)$$

$$\forall y \cdot \neg \text{First}(x, y)$$

$x$  is not the empty list

$$\forall x \cdot \forall y \cdot x \neq e \implies x = \text{cons}(y, z)$$

$$\forall y \cdot \forall z \cdot \text{First}(\text{cons}(y, z), y)$$

### Append

$\text{Append}(x, y, z)$  represents appending  $x$  to  $y$  and getting  $z$

How do we write this definition in logic?

Case 1:  $x$  is the empty list.

$$\forall x \cdot \forall y \cdot (x = e \implies \text{Append}(x, y, y))$$

$$\forall y \cdot \text{Append}(e, y, y)$$

Case 2:  $x$  is not the empty list

$$R_{first}(x, v_f) \implies$$

$$R_{rest}(x, v_r) \implies$$

$$\text{Append}(v_r, y, v_a) \implies$$

$$\text{Append}(x, y, \text{cons}(v_f, v_a))$$

Using this simplification

$$a \implies b \implies c \implies d \equiv (a \wedge b \wedge c) \implies d$$

The above statement may be simplified.

$$x \neq e \implies (R_{first}(x, v_f) \wedge R_{rest}(x, v_r) \wedge \text{Append}(v_r, y, v_a) \implies \text{Append}(x, y, \text{cons}(v_f, v_a)))$$

$$\forall x \cdot \forall v_f \cdot \forall v_r \cdot \forall y \cdot \forall v_a \cdot (x \neq e \implies (R_{first}(x, v_f) \wedge R_{rest}(x, v_r) \wedge \text{Append}(v_r, y, v_a) \implies \text{Append}(x, y, \text{cons}(v_f, v_a))))$$

Simplified using BL1 and BL2

$$\forall v_r \cdot \forall y \cdot \forall v_a \cdot \forall v_f \cdot (\text{Append}(v_r, y, v_a) \implies \text{Append}(\text{cons}(v_f, v_r), y, \text{cons}(v_f, v_a)))$$

$$\forall x \cdot \forall y \cdot \forall z \cdot \forall w \cdot (\text{Append}(x, y, z) \implies \text{Append}(\text{cons}(w, x), y, \text{cons}(w, z)))$$

**Example:**  $\text{Append}(x, y)$  is true iff  $x$  is a member of  $y$

Case 1: Empty lists.  $\forall x \cdot \neg \text{Member}(x, e)$

Case 2:  $\forall x \cdot \forall z \cdot (\text{Member}(x, \text{cons}(x, z)))$

$$\forall x \cdot \forall w \cdot \forall z \cdot (\text{Member}(x, z) \iff \text{Member}(x, \text{cons}(w, z)))$$

**Example:** Prove  $\text{Member}(b, < a, b, c >)$

$$\text{Member}(b, \text{cons}(a, \text{cons}(b, \text{cons}(c, e))))$$

$$\text{Member}(b, \text{cons}(b, \text{cons}(c, e)))$$

True

**Example:**  $\neg \text{Member}(b, < a >)$

**Idea:** Start with assuming  $\text{Member}(b, < a >)$  and reach a contradiction. Therefore assumption is false and can conclude  $\neg \text{Member}(b, < a >)$

**Example:**  $\text{Reverse}(x, y)$  is true iff  $y$  is the reverse of list  $x$ .

Case 1:  $\text{Reverse}(e, e)$  is true.  $\forall x \cdot (x \neq e \implies \neg \text{Reverse}(x, e) \wedge \neg \text{Reverse}(e, x))$

Case 2: Reverse of a list is the reverse of the rest of the list appended onto the first element.

$$\forall w \cdot \forall x \cdot \forall y \cdot \forall z \cdot (\text{Reverse}(x, y) \wedge \text{Append}(y, \text{cons}(w, e), z) \implies \text{Reverse}(\text{cons}(w, x), z))$$

$$\text{Reverse}(\text{cons}(b, e), \text{cons}(b, e))$$

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Given terms  $t_1, t_2$ , determine whether there are substitutions for the variables that make the terms the same. Finding such substitution is called **unification** of the terms.