



北京邮电大学
Beijing University of Posts and Telecommunications

Chapter 3 Functions, Sequences, and Relations

函数、序列、和关系

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3.1 Functions 函数

Definition 3.1.1 Let X and Y be sets. A function f from X to Y is a subset of the Cartesian product $X \times Y$ having the property that for each $x \in X$, there is exactly one $y \in Y$ with $(x, y) \in f$.

We sometimes denote a function f from X to Y as $f: X \rightarrow Y$.

- An **ordered pair (有序对)** of elements, written (a, b) .
- If X and Y are sets, we let $X \times Y$ denote the set of all ordered pairs (x, y) where $x \in X$ and $y \in Y$. We call $X \times Y$ the **Cartesian product (笛卡尔积)** of X and Y .



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- The set X is called the **domain** (定义域) of f .
- The set Y is called the **codomain** (陪域) of f .
- The set $\{y \mid (x, y) \in f\}$ is called the **range** (值域) of f .



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a subset of the codomain Y



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For each $x \in X$, there is exactly one $y \in Y$ with $(x, y) \in f$.

This unique value y is denoted $f(x)$. In other words, $f(x) = y$ is another way to write $(x, y) \in f$.



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We sometimes denote a function f from X to Y as $f: X \rightarrow Y$.

Example 3.1.3 The set $f = \{(1, a), (2, b), (3, a)\}$ is a function from $X = \{1, 2, 3\}$ to $Y = \{a, b, c\}$?

Example 3.1.4 The set $f = \{(1, a), (2, a), (3, b)\}$ is a function from $X = \{1, 2, 3, 4\}$ to $Y = \{a, b, c\}$?

Example 3.1.5 The set $f = \{(1, a), (2, b), (3, c), (1, b)\}$ is a function from $X = \{1, 2, 3\}$ to $Y = \{a, b, c\}$?



Arrow Diagram 箭头图

Example 3.1.3 The set $f = \{(1, a), (2, b), (3, a)\}$ is a function from $X = \{1, 2, 3\}$ to $Y = \{a, b, c\}$?

Yes.

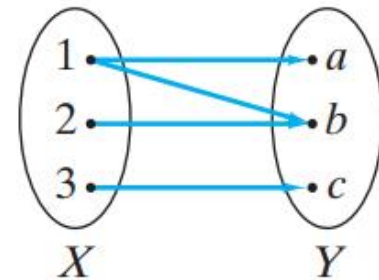
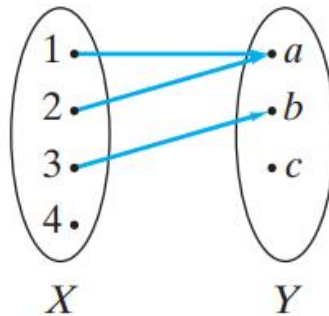
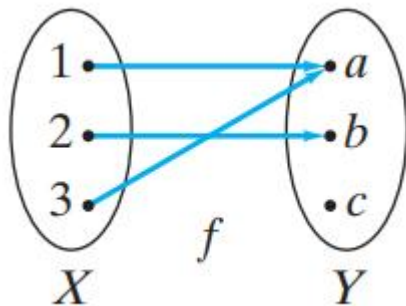
Example 3.1.4 The set $f = \{(1, a), (2, a), (3, b)\}$ is a function from $X = \{1, 2, 3, 4\}$ to $Y = \{a, b, c\}$?

No.

Example 3.1.5 The set $f = \{(1, a), (2, b), (3, c), (1, b)\}$ is a function from $X = \{1, 2, 3\}$ to $Y = \{a, b, c\}$?

No.

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Yes.

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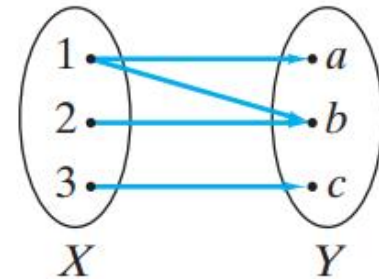
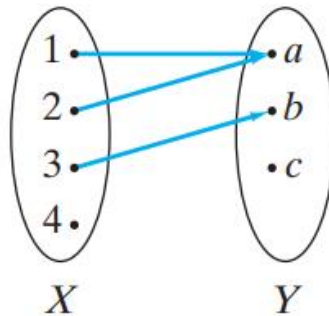
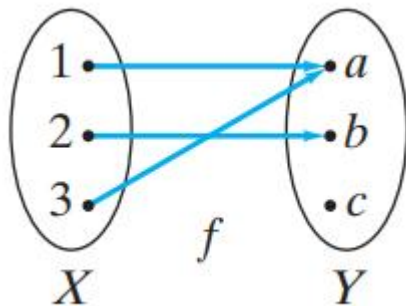
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Example 3.1.5 The set $f = \{(1, a), (2, b), (3, c), (1, b)\}$ is a function from $X = \{1, 2, 3\}$ to $Y = \{a, b, c\}$?

No.

Arrow Diagram 箭头图

A function: There is exactly one arrow from each element in X .



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No.



3.1 Functions 函数

domain

range

$$f(x) = e^x$$

$$f(x) = \log(x)$$

$$f(x) = \sin x$$

$$f(x) = \sqrt{x}$$



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A set may contain any kind of element.

Examples:

$\{1, 2, \text{Jason}\}$

$\{1, 5, \{3.5, 17\}, \text{Jason}\}$



3.1 Functions 函数

$$f(S) = |S|$$

$$f(\text{string}) = \text{length}(\text{string})$$

$$f(\text{student}) = \text{student's ID}$$

$$f(p) = \text{is-prime}(p)$$

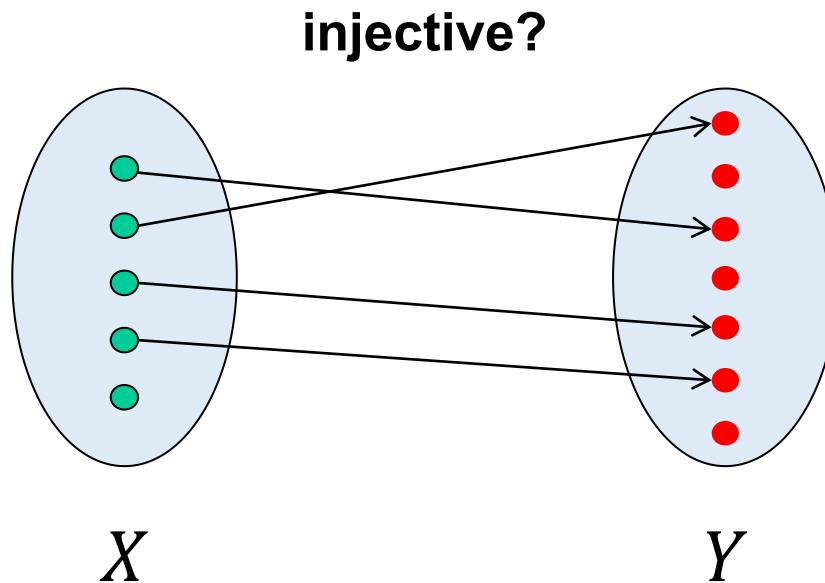


3.1 Functions 函数

Definition 3.1.21 A function f from X to Y is said to be **one-to-one (or injective) (单射的)** if **for all $x_1, x_2 \in X$, if $f(x_1) = f(x_2)$ then $x_1 = x_2$.**

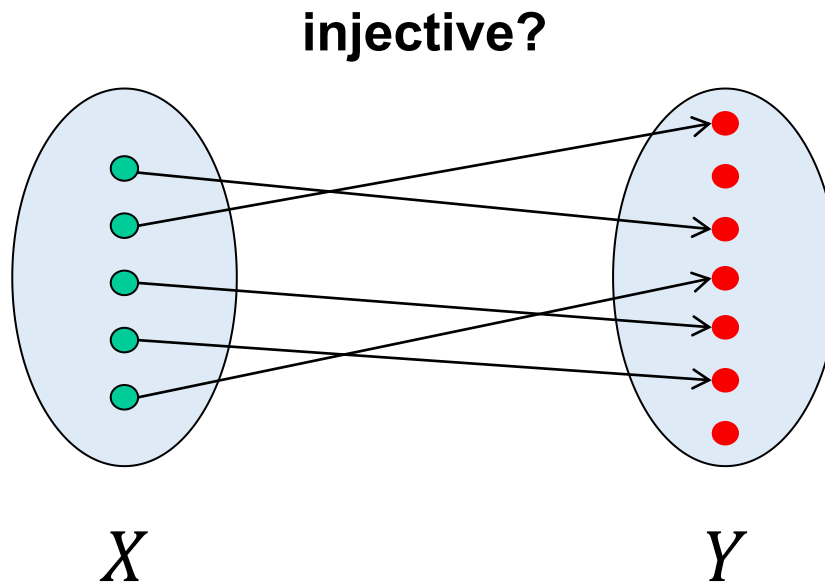
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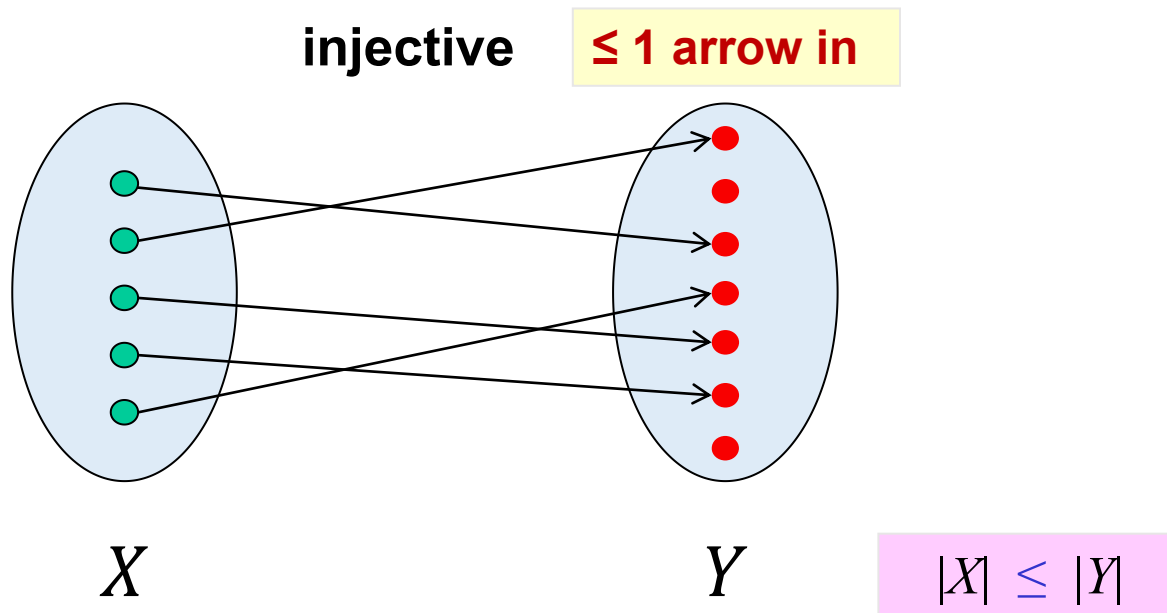
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Example 3.1.23 The set $f = \{(1, b), (3, a), (2, c)\}$ is a one-to-one function from $X = \{1, 2, 3\}$ to $Y = \{a, b, c, d\}$?

Example 3.1.24 The set $f = \{(1, a), (2, b), (3, a)\}$ is a one-to-one function from $X = \{1, 2, 3\}$ to $Y = \{a, b, c\}$?



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Example 3.1.27 Prove that the function $f(n) = 2n + 1$ from the set of positive integers to the set of positive integers is one-to-one.



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Example 3.1.28 Prove that the function $f(n) = 2^n - n^2$ from the set of positive integers to the set of integers is not one-to-one.



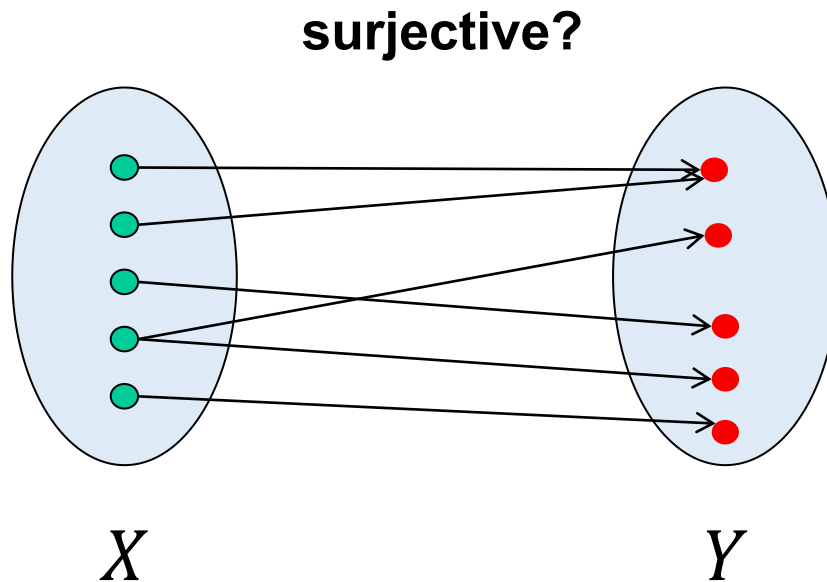
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Definition 3.1.29 A function f from X to Y is said to be **onto** Y (**or surjective**) (**满射的**) if **for every $y \in Y$, there exists $x \in X$ such that $f(x) = y$.**



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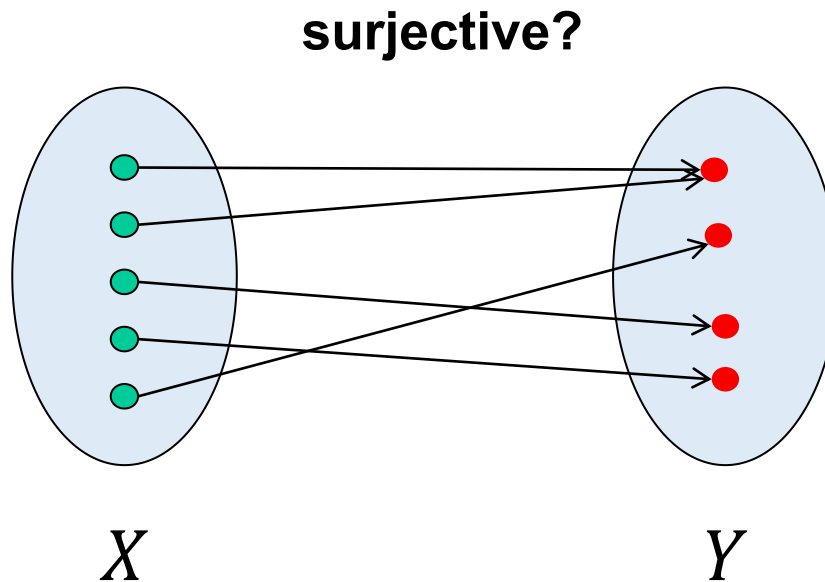
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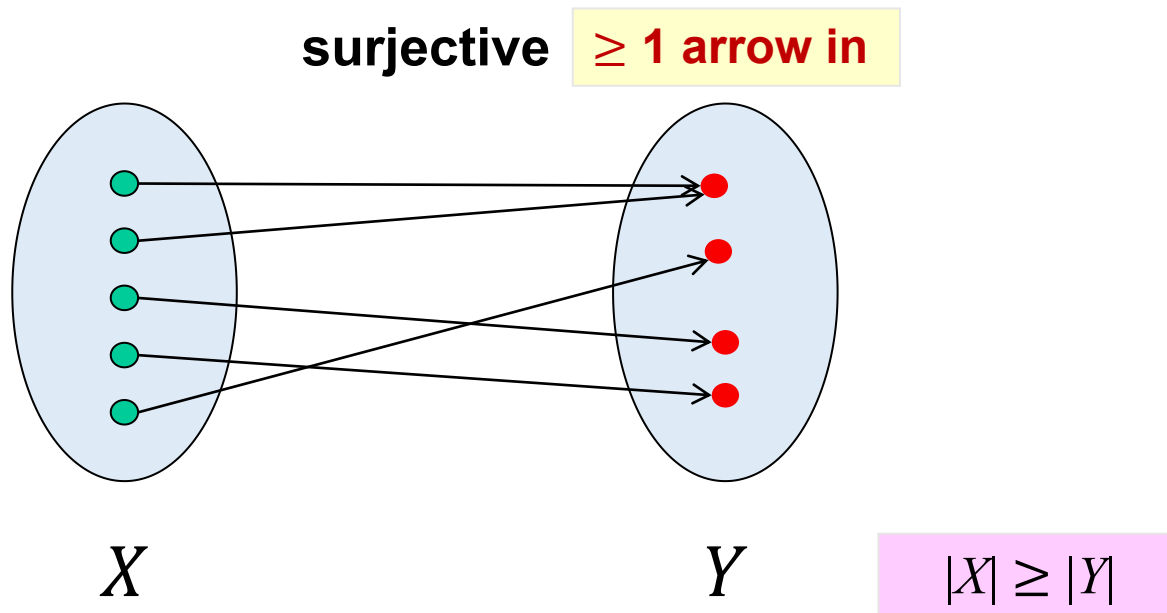
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Example 3.1.30 The set $f = \{(1, a), (2, c), (3, b)\}$ is onto Y from $X = \{1, 2, 3\}$ to $Y = \{a, b, c\}$?

Example 3.1.31 The set $f = \{(1, b), (3, a), (2, c)\}$ is onto Y from $X = \{1, 2, 3\}$ to $Y = \{a, b, c, d\}$?



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Example 3.1.33 Prove that the function $f(x) = \frac{1}{x^2}$ from the set X of nonzero real numbers to the set Y of positive real numbers is onto Y .



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Example 3.1.34 Prove that the function $f(n) = 2n - 1$ from the set X of positive integers to the set Y of positive integers is not onto Y .

A function f from X to Y is not onto Y if for some $y \in Y$, for every $x \in X$, $f(x) \neq y$.

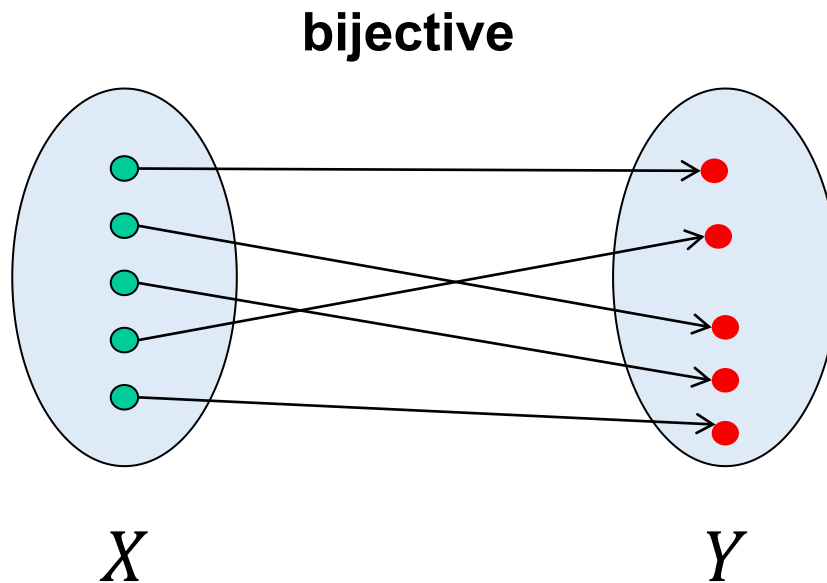


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Definition 3.1.35 A function that is **both one-to-one and onto** is called a **bijection (双射)**.

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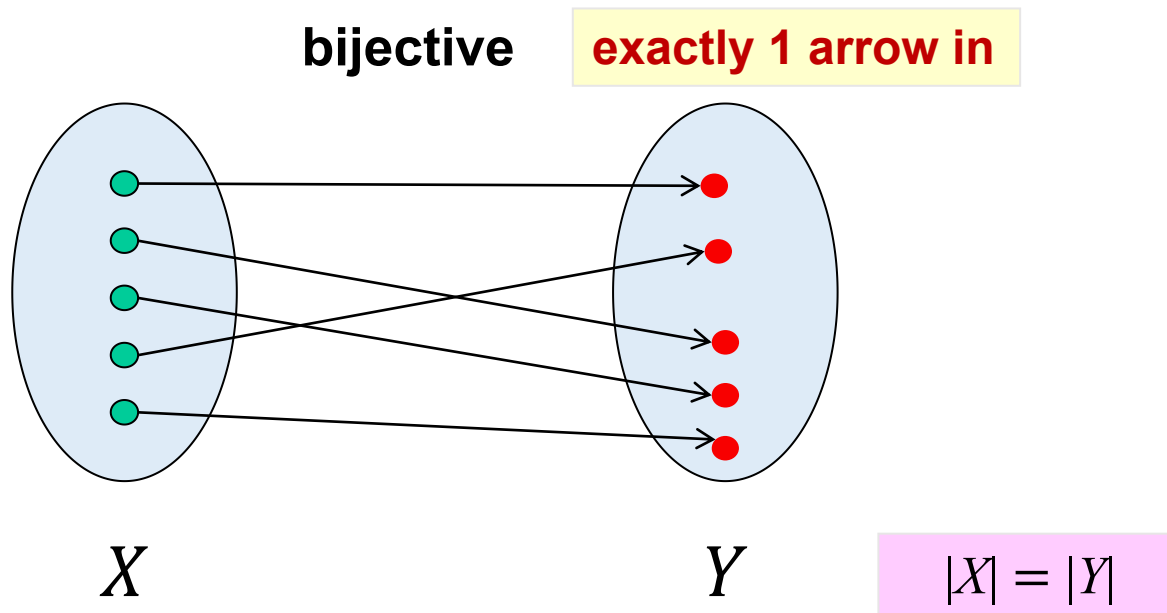
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3.1 Functions 函数

Exercise

Function	Domain	Codomain	Injective?	Surjective?	Bijjective?
$f(x) = \sin(x)$	\mathbf{R}	\mathbf{R}			
$f(x) = 2^x$	\mathbf{R}	\mathbf{R}^+			
$f(x) = x^2$	\mathbf{R}	\mathbf{R}^{nonneg}			
Reverse String	Bit Strings of length n	Bit Strings of length n			



3.1 Functions 函数

Inverse Function (反函数)

Suppose that f is one-to-one, onto function from X to Y . It can be shown that $\{(y, x) \mid (x, y) \in f\}$ is a one-to-one, onto function from Y to X . This new function, denote f^{-1} , is called f **inverse** (逆).



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Example 3.1.38 For function $f = \{(1, a), (2, c), (3, b)\}$, we have

$$f^{-1}=?$$



3.1 Functions 函数

Definition 3.1.41 Let g be a function X to Y and let f be a function from Y to Z . The **composition of f with g** (f 与 g 的复合函数), denoted $f \circ g$, is the function

$$(f \circ g)(x) = f(g(x))$$

from X to Z .

Example 3.1.42 Given $X = \{1, 2, 3\}$, $Y = \{a, b, c\}$, $Z = \{x, y, z\}$.

The function $g = \{(1, a), (2, a), (3, c)\}$ from X to Y .

The function $f = \{(a, y), (b, x), (c, z)\}$ from Y to Z .

The composition function from X to Z is $f \circ g = ?$



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The composition function from X to Z is $f \circ g = \{(1, y), (2, y), (3, z)\}$.

Example 3.1.43 Draw the arrow diagram of the function $f \circ g$.



3.1 Functions 函数

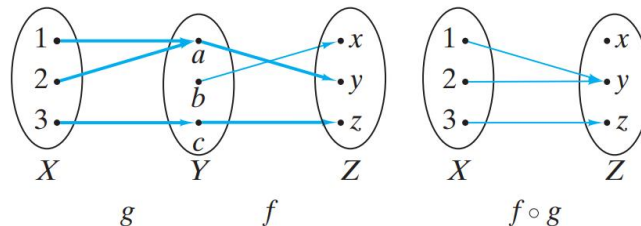
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Given $X = \{1, 2, 3\}$, $Y = \{a, b, c\}$, $Z = \{x, y, z\}$,
The function $g = \{(1, a), (2, a), (3, c)\}$ from X to Y .
The function $f = \{(a, y), (b, x), (c, z)\}$ from Y to Z .
The composition function from X to Z is $f \circ g = \{(1, y), (2, y), (3, z)\}$.

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Example 3.1.44 If $f(x) = \log_3 x$ and $g(x) = x^4$,
then $(f \circ g)(x) = ?$
and $(g \circ f)(x) = ?$



3.1 Functions 函数

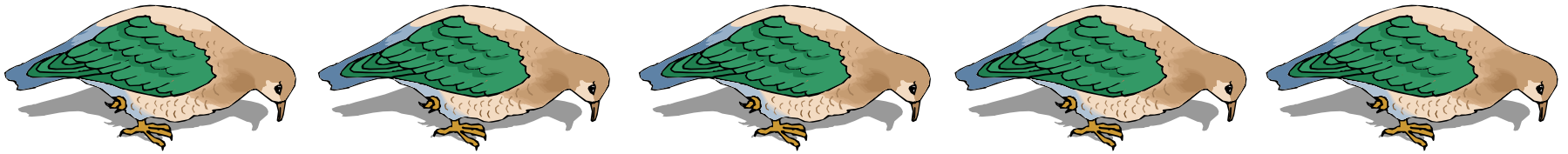
Exercise: $g \circ f$

$f: X \rightarrow Y$	$g: Y \rightarrow Z$	Injective?	Surjective?	Bijjective?
f is injective	g is injective			
f is surjective	g is surjective			
f is injective	g is surjective			
f is surjective	g is injective			
f is bijective	g is bijective			

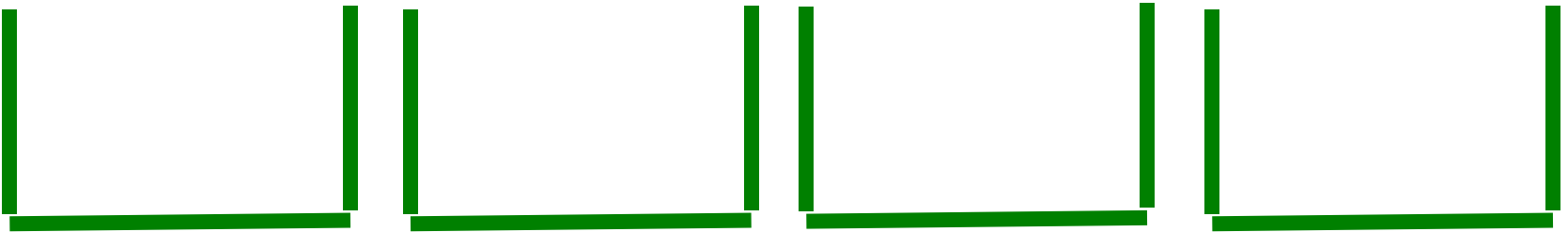


Pigeonhole Principle 鸽巢原理 (in Sec 6.8)

If **more** pigeons



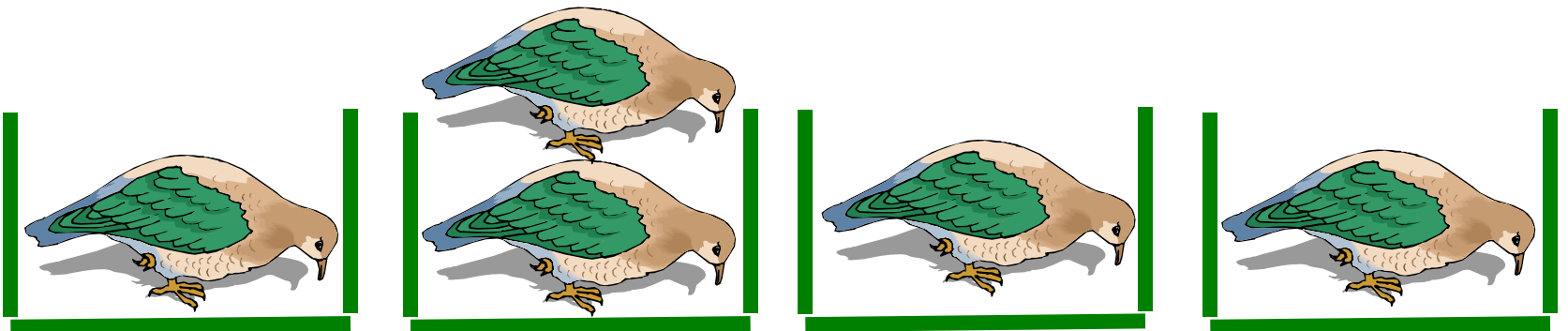
than pigeonholes,





Pigeonhole Principle 鸽巢原理 (in Sec 6.8)

then **some hole** must have at least **two** pigeons!



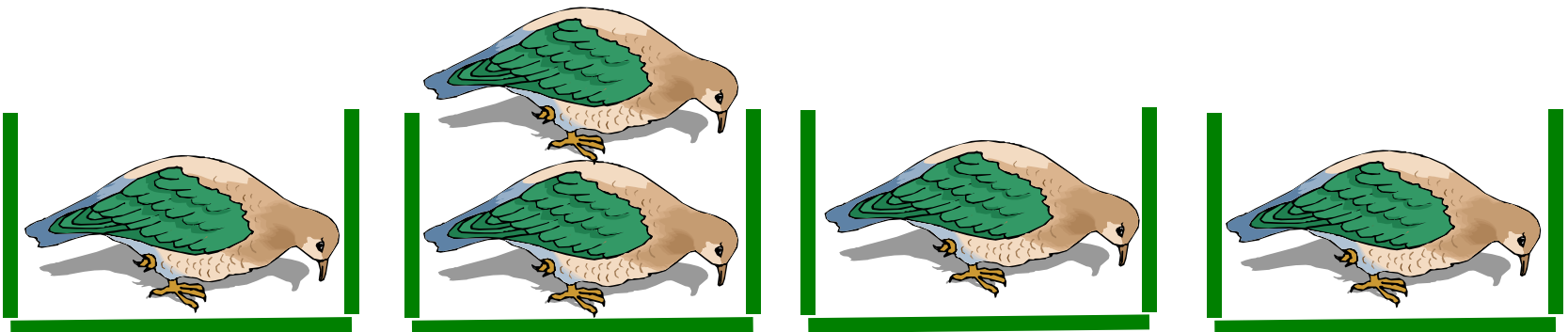


Pigeonhole Principle 鸽巢原理 (in Sec 6.8)

Pigeonhole Principle (First Form)

If n pigeons fly into k pigeonholes and $k < n$, some pigeonhole contains at least two pigeons.

then **some hole** must have at least **two** pigeons!





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Pigeonhole Principle (First Form)

If n pigeons fly into k pigeonholes and $k < n$, some pigeonhole contains at least two pigeons.

Pigeonhole Principle (Second Form)

If f is a function from a finite set X to a finite set Y and $|X| > |Y|$, then ...



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Pigeonhole Principle (Second Form)

If f is a function from a finite set X to a finite set Y and $|X| > |Y|$, then $f(x_1) = f(x_2)$ for some $x_1, x_2 \in X, x_1 \neq x_2$.

A function from a larger set to a smaller set cannot be **injective**.
(There must be at least two elements in the domain that have the same image in the codomain.)



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Example

In a group of 366 people, there must be two people having the same birthday.



3.1 Functions 函数

Definition 3.1.11 If x is an integer and y is a positive integer, we define $x \bmod y$ to be the remainder when x is divided by y .

Example 3.1.12 We have
 $6 \bmod 2 = 0$, $199673 \bmod 2 = 1$.

Example 3.1.14 What day of the week will it be 365 days from Wednesday?



3.1 Functions 函数

Definition 3.1.17 The floor of x , denote $\lfloor x \rfloor$, is the greatest integer less than or equal to x . The ceiling of x , denote $\lceil x \rceil$, is the least integer greater than or equal to x .

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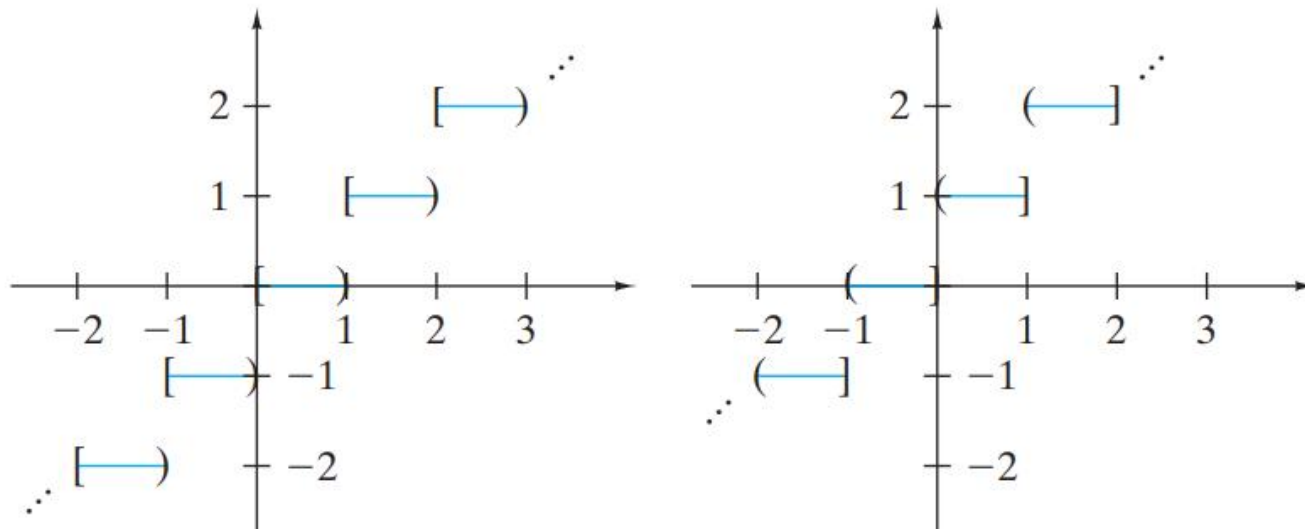


Figure 3.1.7 The graphs of the floor (left graph) and ceiling (right graph) functions.