Chapter 6 Counting Methods and Pigeonhole Principle 计数方法与鸽巢原理

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Counting in Games



How many different configurations for a Rubik's cube?



How many different chess positions after n moves?



How many weighings to find the one counterfeit among 12 coins?

The menu for Kay's Quick Lunch is shown as follows. It features two appetizers, three main courses, and four beverages.

APPETIZERS		
Nachos		
Salad		
MAIN COURSES		
Hamburger3.25		
Cheeseburger 3.65		
Fish Filet		
BEVERAGES		
<i>Tea</i>		
Milk		
<i>Cola</i>		
<i>Root Beer</i>		

How many different dinners consist of one main course and one beverage?

How many different dinners consist of one appetizer, one main course and one beverage?

Multiplication Principle 乘法原理

If an activity can be constructed in t successive steps and step 1 can be done in n_1 ways, step 2 can then be done in n_2 ways, ..., and step t can be done in n_t ways, then the number of different possible activities is $n_1 n_2 \dots n_t$.

We multiply together the numbers of ways of doing each step when an activity is constructed in successive steps.

Example 6.1.3

(a) How many strings of length 4 can be formed using the letters ABCDE if repetitions are not allowed?

(b) How many strings of part (a) begin with the letter B?

(c) How many strings of part (a) do not begin with the letter B?

Example 6.1.3

(a) How many strings of length 4 can be formed using the letters ABCDE if repetitions are not allowed? 120

(b) How many strings of part (a) begin with the letter B? 24

(c) How many strings of part (a) do not begin with the letter B? 96

Exercise Let X be an n-element set and Y be an m-element set.

- (1) How many elements in the power set of X?
- (2) How many ordered pairs (A, B) satisfy $A \subseteq B \subseteq X$?
- (3) How many relations are there from *X* to *Y* ?
- (4) How many functions are there from *X* to *Y*?
- (5) How many one-to-one functions are there from *X* to *Y* ?

Exercise Let *X* be an *n*-element set and *Y* be an *m*-element set.

(1) How many elements in the power set of X?

The set of all subsets (proper or not) of a set X, denoted $\mathcal{P}(X)$, is called the **power set** (\mathbb{R} \mathfrak{p}) of X.

Exercise Let X be an n-element set and Y be an m-element set.

(2) How many ordered pairs (A, B) satisfy $A \subseteq B \subseteq X$?

- An ordered pair (有序对) of elements, written (a, b).
- If X and Y are sets, we let $X \times Y$ denote the set of all ordered pairs (x, y) where $x \in X$ and $y \in Y$. We call $X \times Y$ the **Cartesian product (笛卡尔积)** of X and Y.

Exercise Let *X* be an *n*-element set and *Y* be an *m*-element set.

(3) How many relations are there from *X* to *Y* ?

Exercise Let X be an n-element set and Y be an m-element set.

(4) How many functions are there from *X* to *Y*?

Definition 3.1.1 Let X and Y be sets. A function f from X to Y is a subset of the Cartesian product $X \times Y$ having the property that for each $x \in X$, there is exactly one $y \in Y$ with $(x, y) \in f$.

Exercise Let X be an n-element set and Y be an m-element set.

(5) How many one-to-one functions are there from *X* to *Y*?

Definition 3.1.21 A function f from X to Y is said to be **one-to-one (or injective) (单射的)** if **for all** $x_1, x_2 \in X$, if $f(x_1) = f(x_2)$ then $x_1 = x_2$

Exercise Let *X* be an *n*-element set and *Y* be an *m*-element set.

- (1) How many elements in the power set of X?
- (2) How many ordered pairs (A, B) satisfy $A \subseteq B \subseteq X$?
- (3) How many relations are there from X to Y?
- (4) How many functions are there from X to Y? m^n
- (5) How many one-to-one functions are there from X to Y? m(m-1)(m-2)...(m-n+1)

Example 6.1.9 How many eight-bit strings begin either 101 or 111?

Exercise 1: Counting Passwords

How many passwords satisfy the following requirements?

- between 6 & 8 characters long
- starts with a letter
- case sensitive
- other characters: digits or letters

Exercise 1: Counting Passwords

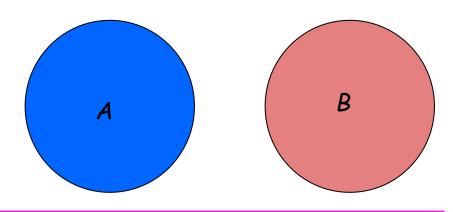
How many passwords satisfy the following requirements?

- between 6 & 8 characters long
- starts with a letter
- case sensitive
- other characters: digits or letters

$$L ::= \{a, b, ..., z, A, B, ..., Z\}$$

$$D ::= \{0, 1, ..., 9\}$$

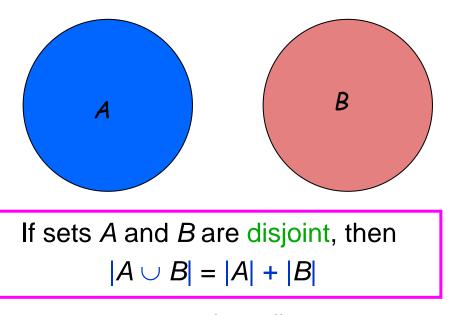
Addition Principle 加法原理



If sets A and B are disjoint, then

$$|A \cup B| = |A| + |B|$$

Addition Principle 加法原理



- Class has 43 women, 54 men, so total enrollment = 43 + 54 = 97
- 26 lower case letters, 26 upper case letters, and 10 digits, so total characters = 26+26+10 = 62

Addition Principle 加法原理

Suppose that $X_1, ..., X_t$ are sets and that the ith set X_i has n_i elements. If $\{X_1, ..., X_t\}$ is a pairwise disjoint family (i.e., if $i \neq j, X_i \cap X_j = \emptyset$), the number of possible elements that can be selected from X_1 or X_2 or ... or X_t is $n_1 + n_2 + ... + n_t$.

(Equivalently, the union $X_1 \cup X_2 \cup ... \cup X_t$ contains $n_1 + n_2 + ... + n_t$ elements.)

We add the numbers of each subset when the elements being counted can be decomposed into pairwise disjoint subset.

APPETIZERS		
Nachos	.2.15	
Salad	1.90	
MAIN COURSES		
Hamburger	.3.25	
Cheeseburger	3.65	
Fish Filet	.3.15	
BEVERAGES		
Tea	70	
Milk	85	
Cola	75	
Root Beer	75	
BEVERAGES Tea Milk Cola	70	

Example 6.1.10 In how many ways can we select two books from different subjects among five distinct computer science books, three distinct mathematics books, and two distinct art books?

Exercise 2: At Least One Seven

How many # 4-digit numbers with at least one 7?

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How many # 4-digit numbers with at least one 7?

Method 1:

count by 1st occurrence of 7:

$$7xxx + 07xx + 007x + 0007$$

$$10^3 + 9 \cdot 10^2 + 9^2 \cdot 10 + 9^3 = 3439$$

Method 2:

[4-digit numbers with at least one 7]

=|4-digit numbers| |those with no 7s|

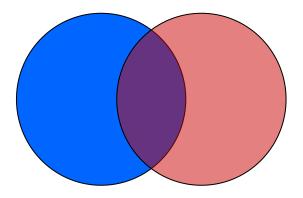
 $= 10^4 - 9^4 = 3439$

Example 6.1.11 A six-person committee composed of Alice, Ben, Connie, Dolph, Egbert, and Francisco is to select a chairperson, secretary, and treasurer.

- (a) In how many ways can this be done?
- (b) In how many ways can this be done if either Alice or Ben must be chairperson?
- (c) In how many ways can this be done if Egbert must hold one of the offices?
- (d) In how many ways can this be done if both Dolph and Francisco must hold office?

Theorem 6.1.13 Inclusion-Exclusion Principle for Two Sets 容斥原理 If X and Y are finite sets, then

$$|X \cup Y| = |X| + |Y| - |X \cap Y|.$$



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Proof

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$$|X \cup Y| = |X| + |Y| - |X \cap Y|.$$

Example 6.1.14 A committee composed of Alice, Ben, Connie, Dolph, Egbert, and Francisco is to select a chairperson, secretary, and treasurer. How many selections are there in which either Alice or Dolph or both are officers?

Theorem 6.1.13 Inclusion-Exclusion Principle for Two Sets 容斥原理 If X and Y are finite sets, then

$$|X \cup Y| = |X| + |Y| - |X \cap Y|.$$

Exercise Count the number of eight-bit strings that start 10 or end 011 or both.

Definition 6.2.1 A permutation (排列) of n distinct elements $x_1, ..., x_n$ is an ordering of the n elements $x_1, ..., x_n$.

Example 6.2.2 There are six permutation of three elements. If the elements are dnoted A, B, \ldots, C the six permutations are ?

Definition 6.2.1 A permutation (排列) of n distinct elements $x_1, ..., x_n$ is an ordering of the n elements $x_1, ..., x_n$.

Theorem 6.2.3 There are n! permutations of n elements.

Proof

- There are n choices for the first element.
- For each of these, there are n-1 remaining choices for the second element.
- For every combination of the first two elements, there are n-2 ways to choose the third element, and so forth.
- Thus, there are a total of $n \cdot (n-1) \cdot (n-2) \cdot \cdots \cdot 3 \cdot 2 \cdot 1 = n!$ permutations of an n-element set.

Definition 6.2.1 A permutation (排列) of n distinct elements $x_1, ..., x_n$ is an ordering of the n elements $x_1, ..., x_n$.

Example 6.2.5 How many permutations of the letters ABCDEF contains the substring DEF?

Definition 6.2.1 A permutation (排列) of n distinct elements $x_1, ..., x_n$ is an ordering of the n elements $x_1, ..., x_n$.

Example 6.2.6 How many permutations of the letters ABCDEF contain the letters DEF togerther in any oder?

Definition 6.2.1 A permutation (排列) of n distinct elements $x_1, ..., x_n$ is an ordering of the n elements $x_1, ..., x_n$.

Example 6.2.7 In how many ways can six persons be seated around a circular table? If a seating is obtained from another seating by having everyone move n seats clockwise, the seatings are considered identical.

Definition 6.2.1 A permutation (排列) of n distinct elements $x_1, ..., x_n$ is an ordering of the n elements $x_1, ..., x_n$.

Definition 6.2.8 An r-permutation (r排列) of n (distinct) elements $x_1, ..., x_n$ is an ordering of an r-element subset of $\{x_1, ..., x_n\}$. The number of r-permutations of set of n distinct elements is denoted P(n, r).

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Example 6.2.9 Examples of 2-permutations of a, b, c are ab, ba, and ca.

$$P(n,n) = n!$$

Theorem 6.2.10 The number of r-permutations of a set of n distinct objects is

$$P(n,r) = n(n-1)(n-2)...(n-r+1)$$

$$= \frac{n!}{(n-r)!}$$
 $r \le n$.

Proof

- There are n choices for the first element.
- For each of these, there are n-1 remaining choices for the second element.
- There are n-r+1 remaining choices for the last element.
- Thus, there are a total of $n \cdot (n-1) \cdot (n-2) \cdot \cdots (n-r+1)$ to choose r element.

Example 6.2.13 In how many ways can seven distinct Martians and five distinct Jovians wait in line if no two Jovians stand together?

Definition 6.2.14 Given a set $X = \{x_1, ..., x_n\}$ containing n (distinct) elements, (a) An r-combination (r组合) of X is an unordered selection of r-elements of X (i.e., an r-element subset of X).

(b) The number of r-combinations of a set of n distinct elements is denoted C(n,r) or $\binom{n}{r}$.

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Example 6.2.15 A group of five students, Mary, Boris, Rosa, Ahmad, and Nguyen, has decided to talk with the Mathematics Department chairperson about having the Mathematics Department offer more courses in discrete mathematics. The chairperson has said that she will speak with three of the students. In how many ways can these five students choose three of their group to talk with the chairperson?

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We can construct r-permutations of an n-element set X in two successive steps:

- Select an r-combination of X (an unordered subset of r items).
- Order the r-combination.

Theorem 6.2.16 The number of r-combinations of a set of n distinct objects is

$$C(n,r) = \frac{P(n,r)}{r!} = \frac{n(n-1)...(n-r+1)}{r!} = \frac{n!}{(n-r)!r!} \qquad r \le n.$$

Proof

- There are n choices for the first element.
- For each of these, there are n-1 remaining choices for the second element.
- There are n-r+1 remaining choices for the last element.
- Thus, there are a total of $n \cdot (n-1) \cdot (n-2) \cdot \cdots (n-r+1)$ to choose r element.

Any ordering of the first *k* elements give the same subset!

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Example 6.2.17 In how many ways can we select a committee of three from a group of 10 distinct persons?

Theorem 6.2.16 The number of r-combinations of a set of n distinct objects is

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Example 6.2.18 In how many ways can we select a committee of two women and three men from a group of five distinct women and six distinct men?

Theorem 6.2.16 The number of r-combinations of a set of n distinct objects is

$$C(n,r) = \frac{P(n,r)}{r!} = \frac{n(n-1)...(n-r+1)}{r!} = \frac{n!}{(n-r)!r!}$$
 $r \le n$.

Example 6.2.19 How many eight-bit strings contain exactly four 1's?

Example 6.2.23 What is wrong with the following argument, which purports to show that there are $C(8,5)2^3$ bit strings of length 8 containing at least five 0's?

_ _ _ _ _ _ _ _ _

There are 52 cards in a deck. Each card has a suit and a value.



Five-Card Draw is a card game in which each player is initially dealt a hand, a subset of 5 cards.

How many different hands?

There are 52 cards in a deck. Each card has a suit and a value.

13 values (2, 3, 4, 5, 6, 7, 8, 9, 10, J, Q, K, A)

Five-Card Draw is a card game in which each player is initially dealt a hand, a subset of 5 cards.

How many different hands?

$$\binom{52}{5} = 2598960$$

Example 1: Four of a Kind

A Four-of-a-Kind is a set of four cards with the same value.

$$\{ 8\spadesuit, 8\diamondsuit, Q\heartsuit, 8\heartsuit, 8\clubsuit \}$$
$$\{ A\clubsuit, 2\clubsuit, 2\heartsuit, 2\diamondsuit, 2\diamondsuit, 2\spadesuit \}$$

How many different hands contain a Four-of-a-Kind?

Example 1: Four of a Kind

A Four-of-a-Kind is a set of four cards with the same value.

A hand with a Four-of-a-Kind is completely described by a sequence specifying:

- (1) The value of the four cards.
- (2) The value of the extra card.
- (3) The suit of the extra card.

Example 1: Four of a Kind

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- (2) The value of the extra card.
- (3) The suit of the extra card.

There are 13 choices for (1), 12 choices for (2), and 4 choices for (3). By generalized product rule, there are 13x12x4 = 624 hands.

Only 1 hand in about 4165 has a Four-of-a-Kind!

Example 2: Full House

A Full House is a hand with three cards of one value and two cards of another value.

How many different hands contain a Full House?

Example 2: Full House

A Full House is a hand with three cards of one value and two cards of another value.

There is a bijection between Full Houses and sequences specifying:

- (1) The value of the triple, which can be chosen in 13 ways.
- (2) The suits of the triple, which can be selected in C(4,3) ways.
- (3) The value of the pair, which can be chosen in 12 ways.
- (4) The suits of the pair, which can be selected in C(4, 2) ways.

By generalized product rule, there are $13 \cdot {4 \choose 3} \cdot 12 \cdot {4 \choose 2} = 3744$

Only 1 hand in about 634 has a Full House!

Example 3: Two Pairs

A Two Pairs is a set of two cards of one value, two cards of another value, and one card of a third value.

$$\left\{ \begin{array}{cccc} 3\diamondsuit, & 3\spadesuit, & Q\diamondsuit, & Q\heartsuit, & A\clubsuit & \right\} \\ \{ & 9\heartsuit, & 9\diamondsuit, & 5\heartsuit, & 5\clubsuit, & K\spadesuit & \right\} \\ \end{array}$$

How many different hands contain a Two Pairs?

Example 3: Two Pairs

A Two Pairs is a set of two cards of one value, two cards of another value, and one card of a third value.

- (1) The value of the first pair, which can be chosen in 13 ways.
- (2) The suits of the first pair, which can be selected (4 2) ways.
- (3) The value of the second pair, which can be chosen in 12 ways.
- (4) The suits of the second pair, which can be selected in (4 2) ways
- (5) The value of the extra card, which can be chosen in 11 ways.
- (6) The suit of the extra card, which can be selected in 4 ways.

Number of Two pairs =
$$13 \cdot {4 \choose 2} \cdot 12 \cdot {4 \choose 2} \cdot 11 \cdot 4$$

Example 3: Two Pairs

A Two Pairs is a set of two cards of one value, two cards of another value, and one card of a third value.

$$\begin{array}{c} \mathsf{Double} \\ \mathsf{Count!} \end{array} \qquad \begin{array}{c} (3,\{\diamondsuit,\spadesuit\}\,,Q,\{\diamondsuit,\heartsuit\}\,,A,\clubsuit) & \searrow \\ (Q,\{\diamondsuit,\heartsuit\}\,,3,\{\diamondsuit,\spadesuit\}\,,A,\clubsuit) & \nearrow \end{array} \qquad \left\{ \begin{array}{c} 3\diamondsuit, \ 3\spadesuit, \ Q\diamondsuit, \ Q\heartsuit, \ A\clubsuit \end{array} \right\}$$

Number of Two pairs =
$$13 \cdot {4 \choose 2} \cdot 12 \cdot {4 \choose 2} \cdot 11 \cdot 4$$

So the answer is
$$\frac{1}{2} \cdot 13 \cdot {4 \choose 2} \cdot 12 \cdot {4 \choose 2} \cdot 11 \cdot 4 = 123552$$

Example 4: Every Suit

How many hands contain at least one card from every suit?

```
\{7\diamondsuit, K\clubsuit, 3\diamondsuit, A\heartsuit, 2\spadesuit \}
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Example 4: Every Suit

How many hands contain at least one card from every suit?

$$\{7\diamondsuit, K\clubsuit, 3\diamondsuit, A\heartsuit, 2\spadesuit\}$$

- (1) The value of each suit, which can be selected in 13x13x13x13 ways.
- (2) The suit of the extra card, which can be selected in 4 ways.
- (3) The value of the extra card, which can be selected in 12 ways.

$$(7, K, A, 2, \diamondsuit, 3) \leftrightarrow \{7\diamondsuit, K\clubsuit, A\heartsuit, 2\spadesuit, 3\diamondsuit\}$$

Example 4: Every Suit

How many hands contain at least one card from every suit?

$$\{7\diamondsuit, K\clubsuit, 3\diamondsuit, A\heartsuit, 2\spadesuit\}$$

- (1) The value of each suit, which can be selected in 13x13x13x13 ways.
- (2) The suit of the extra card, which can be selected in 4 ways.
- (3) The value of the extra card, which can be selected in 12 ways.

$$(7,K,A,2,\diamondsuit,3) \leftrightarrow \{ 7\diamondsuit, K\clubsuit, A\heartsuit, 2\spadesuit, 3\diamondsuit \}$$

$$(7,K,A,2,\diamondsuit,3) \searrow \{ 7\diamondsuit, K\clubsuit, A\heartsuit, 2\spadesuit, 3\diamondsuit \}$$

$$(3,K,A,2,\diamondsuit,7) \nearrow$$

So the answer is $13^4x4x12/2$

Example 6.3.1 How many strings can be formed using the following letters?

MISSISSIPPI

The answer is not 11!

Example 6.3.1 How many strings can be formed using the following letters?

MISSISSIPPI

The answer is not 11!

By the Multiplication Principle, the number of ways of ordering the letters is

$$C(11,2)C(9,4)C(5,4) = \frac{11!}{2!2!} \frac{9!}{4!4!} = \frac{5!}{2!4!4!} = \frac{11!}{2!4!4!} = 34650.$$

The solution to Example 6.3.1 assumes a nice form. The number 11 that appears in the numerator is the total number of letters. The value in the denominator give the numbers of duplicates of each letter.

Theorem 6.3.2 Suppose that a sequence S of n items has n_1 identical objects of type 1, n_2 identical objects of type 2, ..., and n_t identical objects of type t. Then the number of orderings of S is

$$\frac{n!}{n_1! \, n_2! \dots n_t!}.$$

Proof

- Assign positions to the n_1 items of type 1 in $C(n, n_1)$ ways.
- Assign positions to the n_2 items of type 2 in $\mathcal{C}(n-n_1,n_2)$ ways, and so on.
- By the Multiplication Principle, the number of orderings is

$$C(n, n_{1})C(n - n_{1}, n_{2})C(n - n_{1} - n_{2}, n_{3})...C(n - n_{1} - ... - n_{t-1}, n_{t})$$

$$= \frac{n!}{n_{1}!(n - n_{1})!} \frac{(n - n_{1})!}{n_{2}!(n - n_{1} - n_{2})!} \cdots \frac{(n - n_{1} - ... - n_{t-1})!}{n_{T}!0!}$$

$$= \frac{n!}{n_{1}!n_{2}!...n_{t}!}$$

Theorem 6.3.2 Suppose that a sequence S of n items has n_1 identical objects of type 1, n_2 identical objects of type 2, ..., and n_t identical objects of type t. Then the number of orderings of S is

$$\frac{n!}{n_1! \, n_2! \dots n_t!}.$$

Example 6.3.3 In how many ways can eight distinct books be divided among three students if Bill gets four books and Shizuo and Marian each get two books?

Example 6.3.4 Consider three books: a computer science book, a physics book, and a history book. Suppose that the library has at least six copies of each of these books. In how many ways can we select six books?

Method 1

Example 6.3.4 Consider three books: a computer science book, a physics book, and a history book. Suppose that the library has at least six copies of each of these books. In how many ways can we select six books?

Method 2

Example 6.3.4 Consider three books: a computer science book, a physics book, and a history book. Suppose that the library has at least six copies of each of these books. In how many ways can we select six books?

Method 3

What is wrong with $\frac{C(7,1)C(7,1)}{2}$?

Theorem 6.3.5 If X is a set containing t elements, the number of unordered, k-element selections from X, repetitions allowed, is C(k+t-1,t-1)=C(k+t-1,k).

Example 6.3.6 Suppose that there are piles of red, blue, and green balls and that each pile contains at least eight balls.

- (a) In how many ways can we select eight balls?
- (b) In how many ways can we select eight balls if we must have at least one ball of each color?

The following table summarizes the various formulas:

	No Repetitions	Repetitions Allowed
Ordered Selections Unordered Selections	n! $C(n, r)$	$n!/(n_1!\cdots n_t!)$ $C(k+t-1,t-1)$