



# Chapter 6: Database Design Using the E-R Model (Cont.)

Database System Concepts, 7<sup>th</sup> Ed.

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# Extended E-R Features



★ Entity



★ Weak Entity



★ Attribute



★ Key Attribute

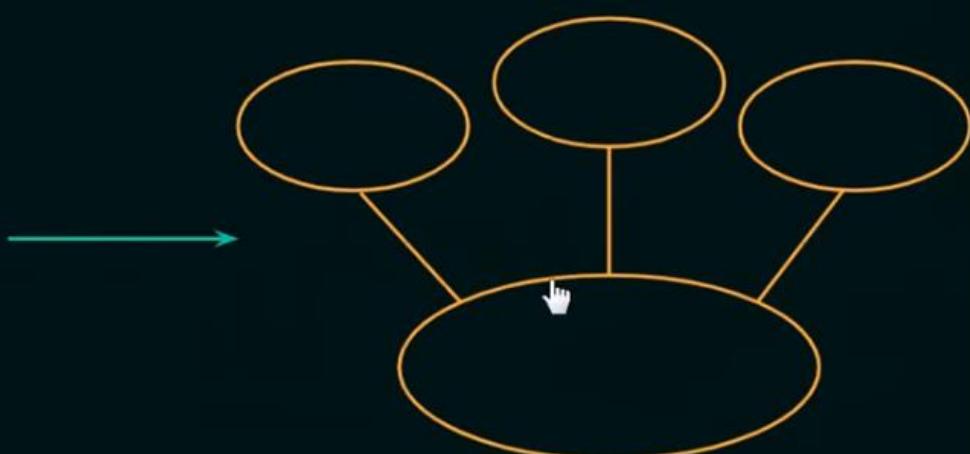




★ Multivalued Attribute



★ Composite Attribute





★ Derived Attribute



★ Identifying Relationship





# Extended Entity Relationship (ER) Features

- As the complexity of data increased in the late 1980s, it became more and more difficult to use the traditional ER Model for database modelling. Hence some improvements or enhancements were made to the existing ER Model to make it able to handle the complex applications better.
- Hence, as part of the **Extended ER Model**, along with other improvements, three new concepts were added to the existing ER Model:
  1. **Generalization**
  2. **Specialization**
  3. **Aggregation**



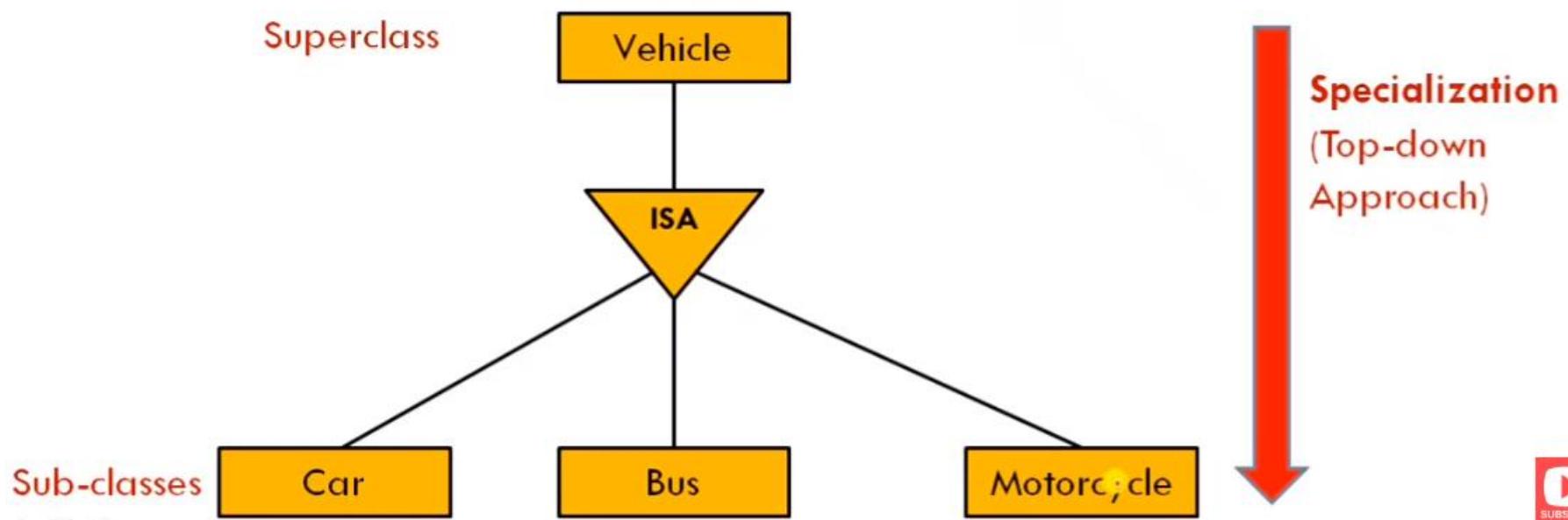
# Specialization

- Specialization is **opposite** of Generalization
- In **Specialization**, an entity is broken down into sub-entities based on their characteristics.
- **Specialization is a “Top-down approach”** where higher level entity is specialized into two or more lower level entities.
- **Specialization is used** to identify the subset of an entity set that shares some distinguishing characteristics.
- **Specialization** can be repeatedly applied to refine the design of schema
- depicted by triangle component labeled **ISA**



# Example: Specialization

- **Vehicle** entity can be a Car, Truck or Motorcycle.
  - Normally, the superclass is defined first, the subclass and its related attributes are defined next, and relationship set are then added.





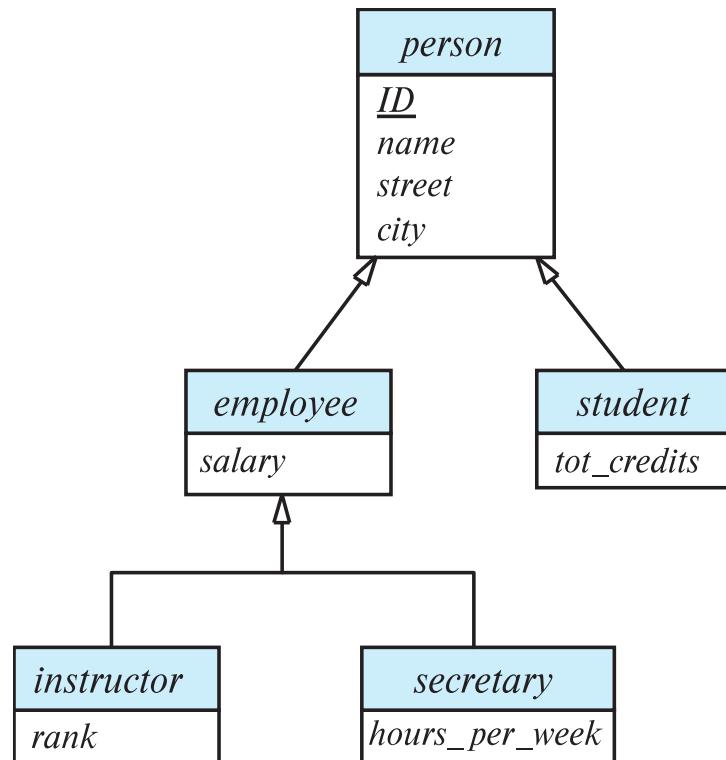
# Specialization

- Top-down design process; we designate sub-groupings within an entity set that are distinctive from other entities in the set.
- These sub-groupings become lower-level entity sets that have attributes or participate in relationships that do not apply to the higher-level entity set.
- Depicted by a *triangle component labeled ISA* (e.g., *instructor* “is a” *person*).
- **Attribute inheritance** – a lower-level entity set inherits all the attributes and relationship participation of the higher-level entity set to which it is linked.



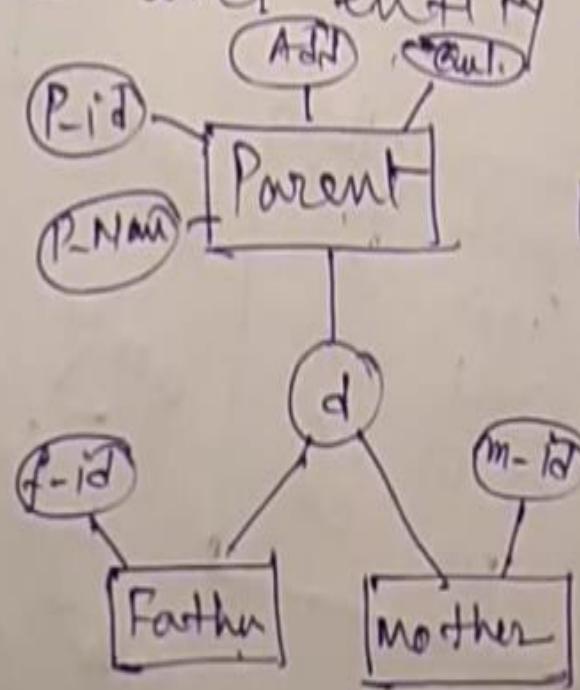
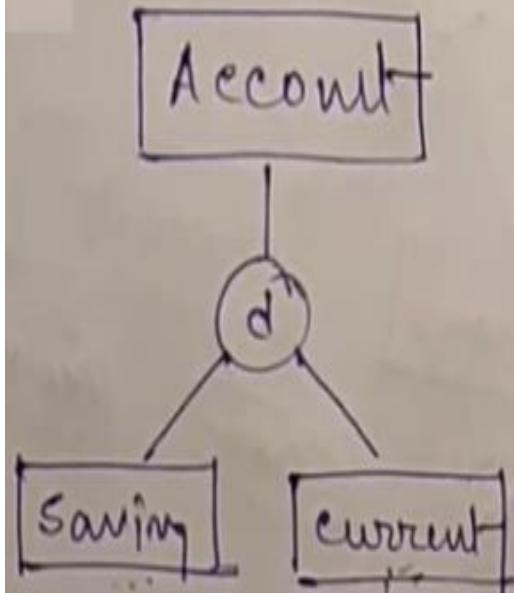
# Specialization Example

- **Overlapping** – *employee* and *student*
- **Disjoint** – *instructor* and *secretary*
- Total and partial





Disjoint: An entity belongs to no more than one lower level entity set.



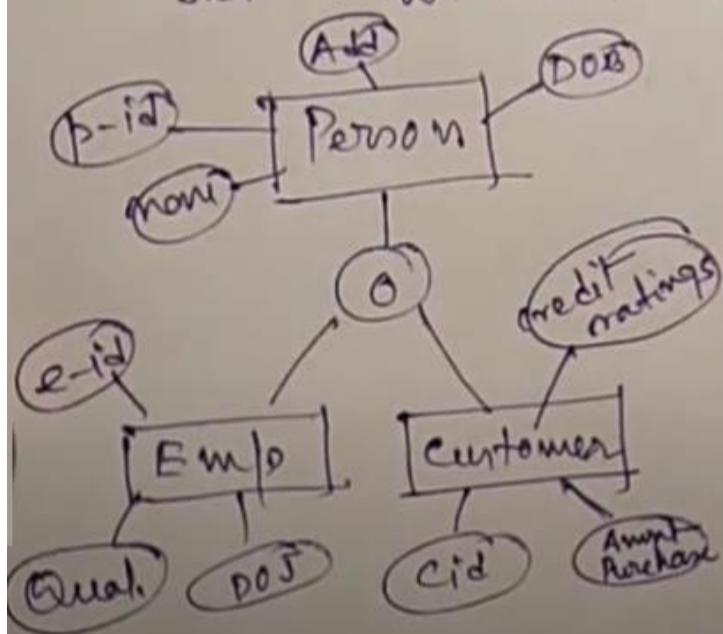
Parent(P-id, P-Name, Add, Equal.)

Father(f-id, -, -)

Mother(m-id, -, -)



(b) Overlapping: The same entity may belong to more than one lower-level entity set within a single generalization.



Person (P-id, Name, Add, DOB)  
Employee (e-id, Qualification, DOJ)  
Customer (cid, Amount\_Purchase, credit ratings)



# Representing Specialization via Schemas

- Method 1:
  - Form a schema for the higher-level entity
  - Form a schema for each lower-level entity set, include primary key of higher-level entity set and local attributes

schema	attributes
person	ID, name, street, city
student	ID, tot_cred
employee	ID, salary

- Drawback: getting information about, an *employee* requires accessing two relations, **the one corresponding to the low-level schema and the one corresponding to the high-level schema**



# Representing Specialization as Schemas (Cont.)

- Method 2:

- Form a schema for each entity set with all local and inherited attributes

schema	attributes
person	ID, name, street, city
student	ID, name, street, city, tot_cred
employee	ID, name, street, city, salary

- Drawback: *name*, *street* and *city* may be stored redundantly for people who are both students and employees



# Generalization

- **A bottom-up design process** – combine a number of entity sets that share **the same features into a higher-level entity set**.
- Specialization and generalization **are simple inversions of each other**; they are represented in an E-R diagram in the same way.
- The terms specialization and generalization are used interchangeably.



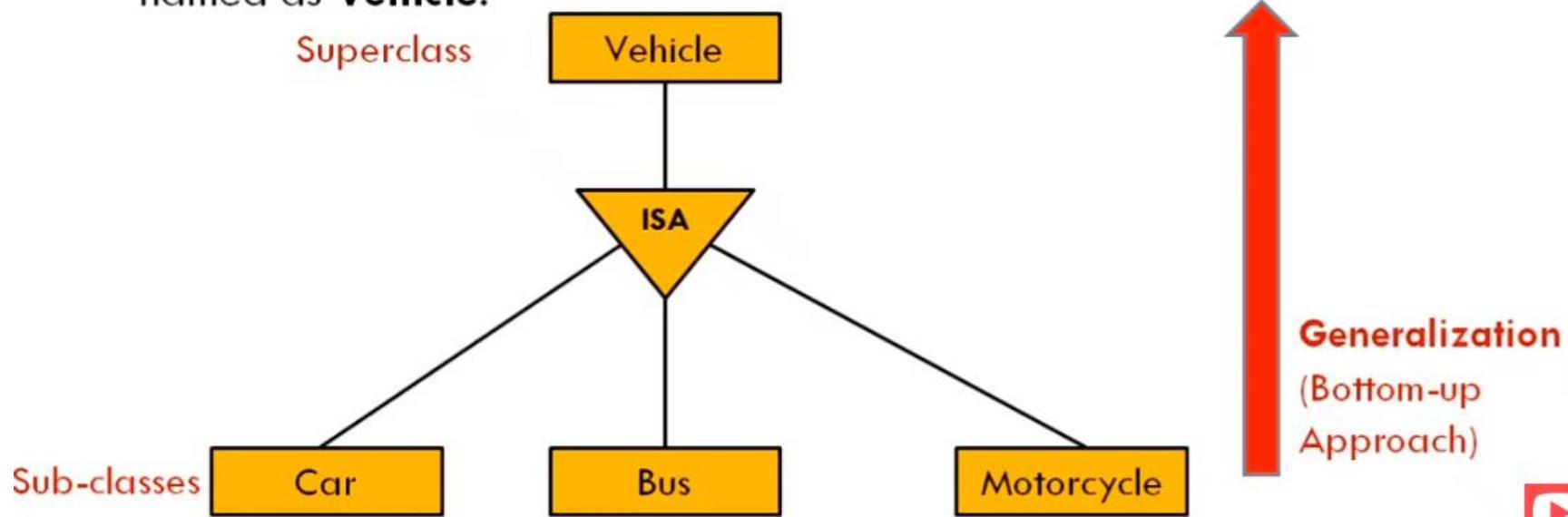
# Generalization

- **Generalization** is the process of extracting common properties from a set of entities and create a generalized entity from it.
- **Generalization is a “bottom-up approach”** in which two or more entities can be combined to form a higher level entity if they have some attributes in common.
  - subclasses are combined to make a superclass.
- **Generalization is used** to emphasize the similarities among lower-level entity set and to hide differences in the schema



# Example: Generalization

- Consider we have 3 sub entities Car, Bus and Motorcycle. Now these three entities can be generalized into one higher-level entity (or super class) named as **Vehicle**.

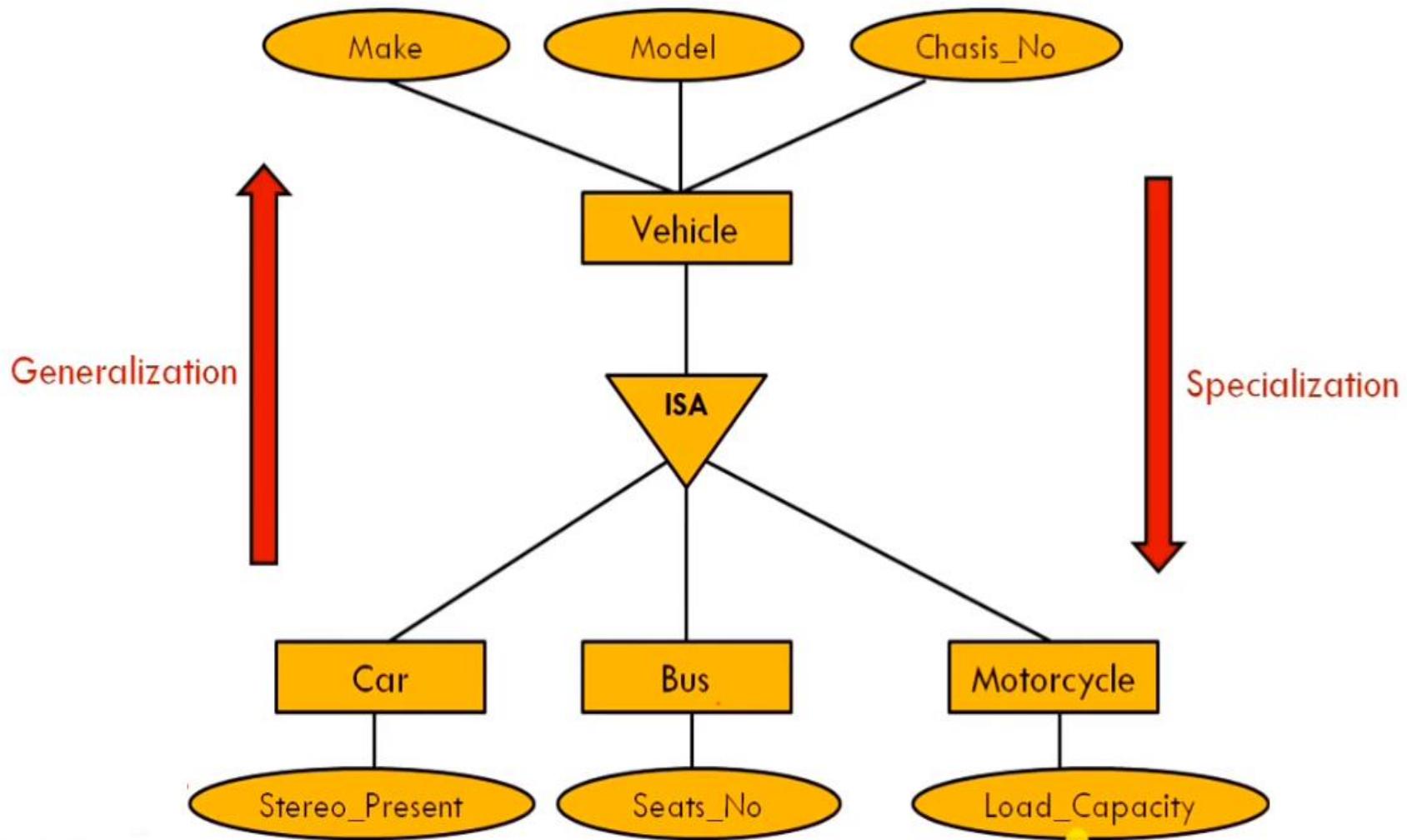




# Inheritance

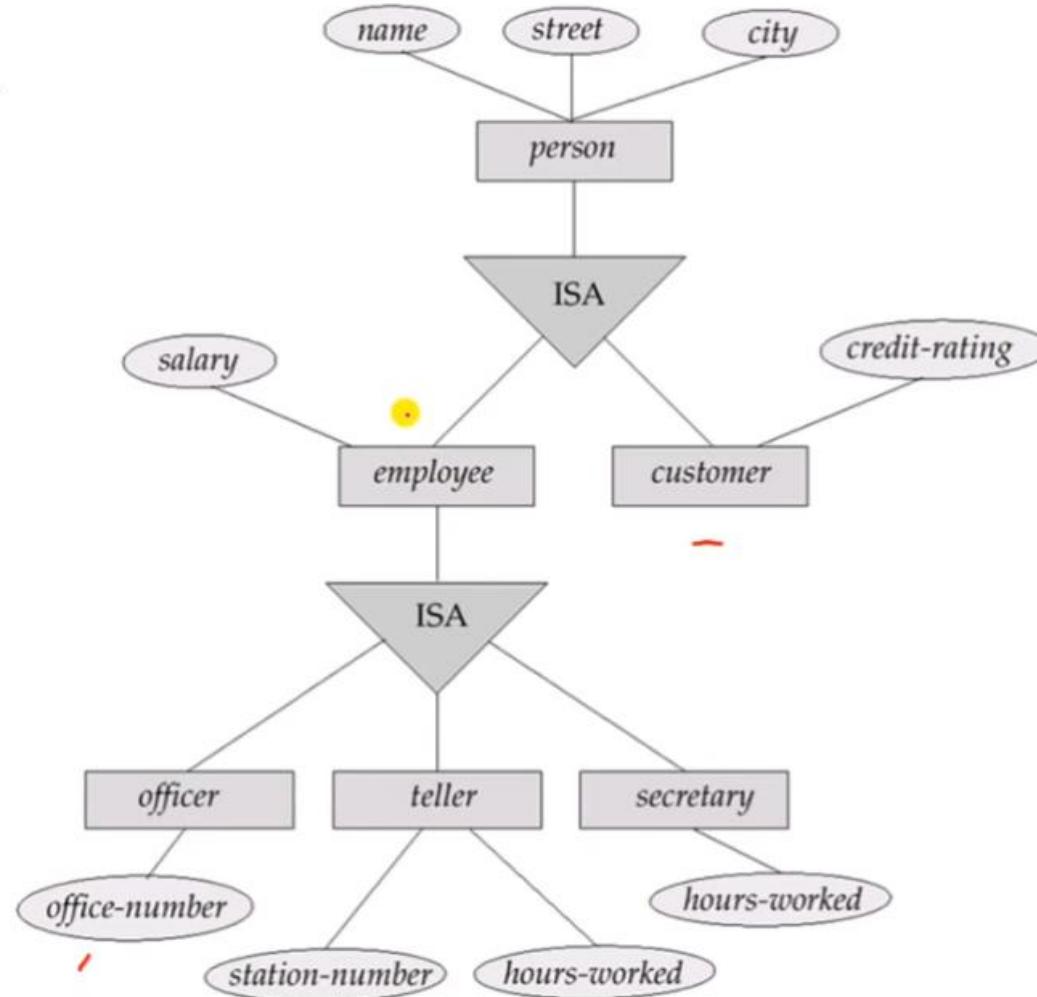
- **Inheritance** is an important feature of generalization and specialization.
- **Attribute inheritance** allows lower level entities to inherit the attributes of higher level entities.
  - For example, Consider relations Car and Bus inheriting the attributes of Vehicle. Thus, Car is described by attributes of super-class Vehicle as well as its own attributes.
- This also extends to **Participation Inheritance** in which relationships involving higher-level entity-sets are also inherited by lower-level entity-sets.
  - A lower-level entity-set can participate in its own relationship-sets, too







Generalization

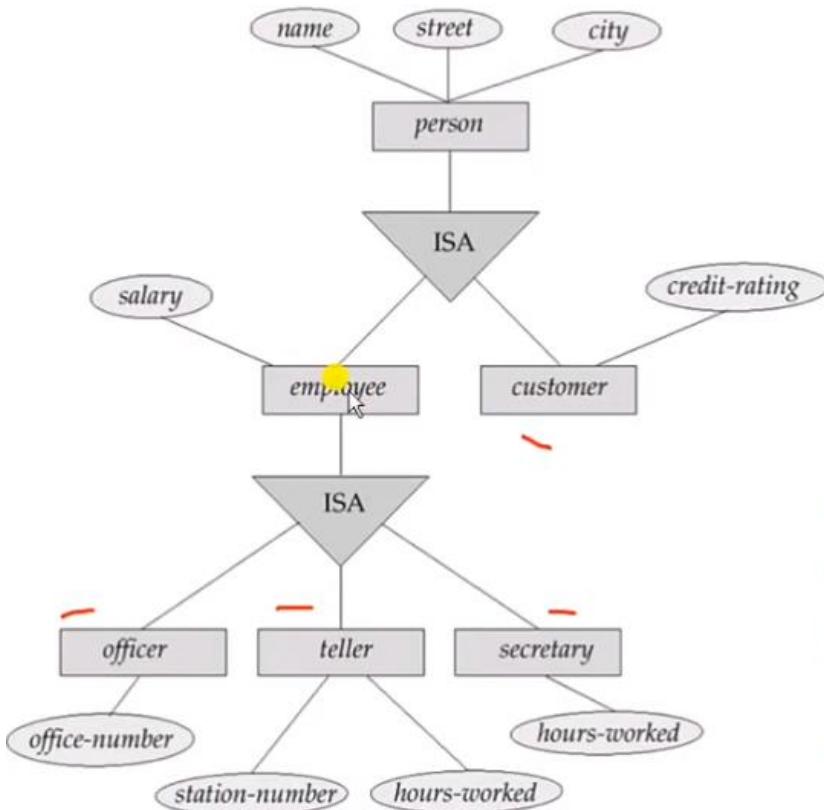


Specialization





# How Schema or Tables can be formed?



Four tables can be formed:

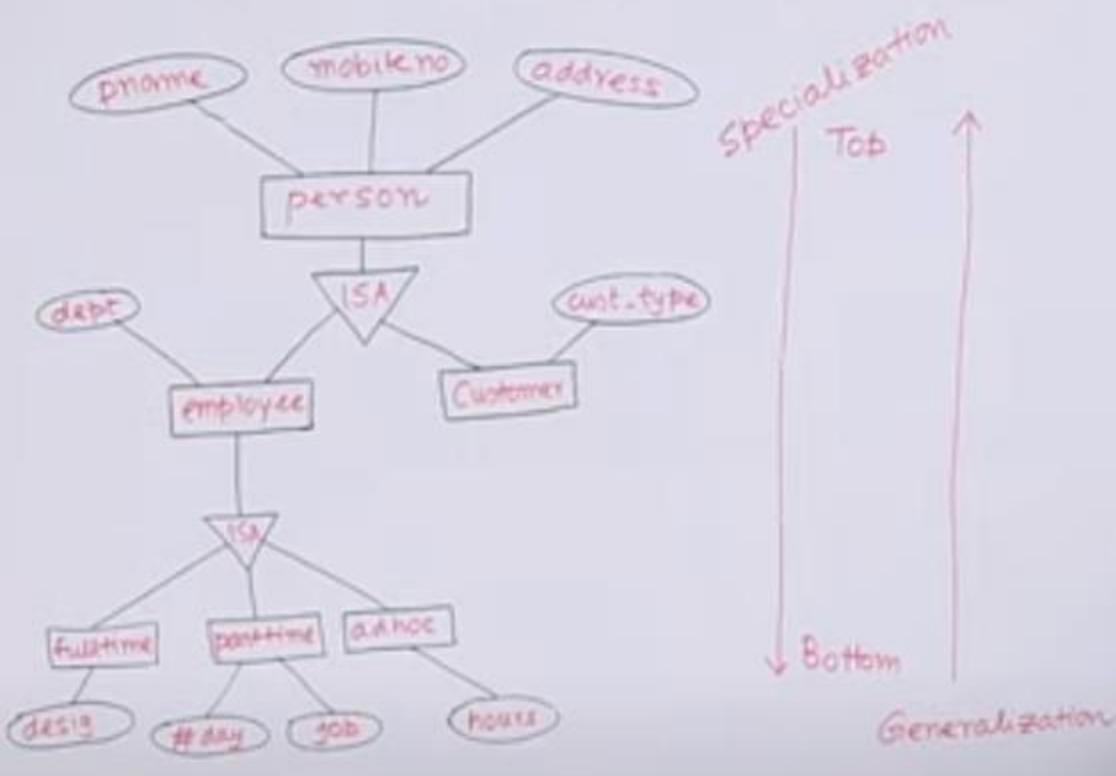
1. **customer** (name, street, city, credit\_rating)
2. **officer** (name, street, city, salary, office\_number)
3. **teller** (name, street, city, salary, station\_number, hours\_worked)
4. **secretary** (name, street, city, salary, hours\_worked)

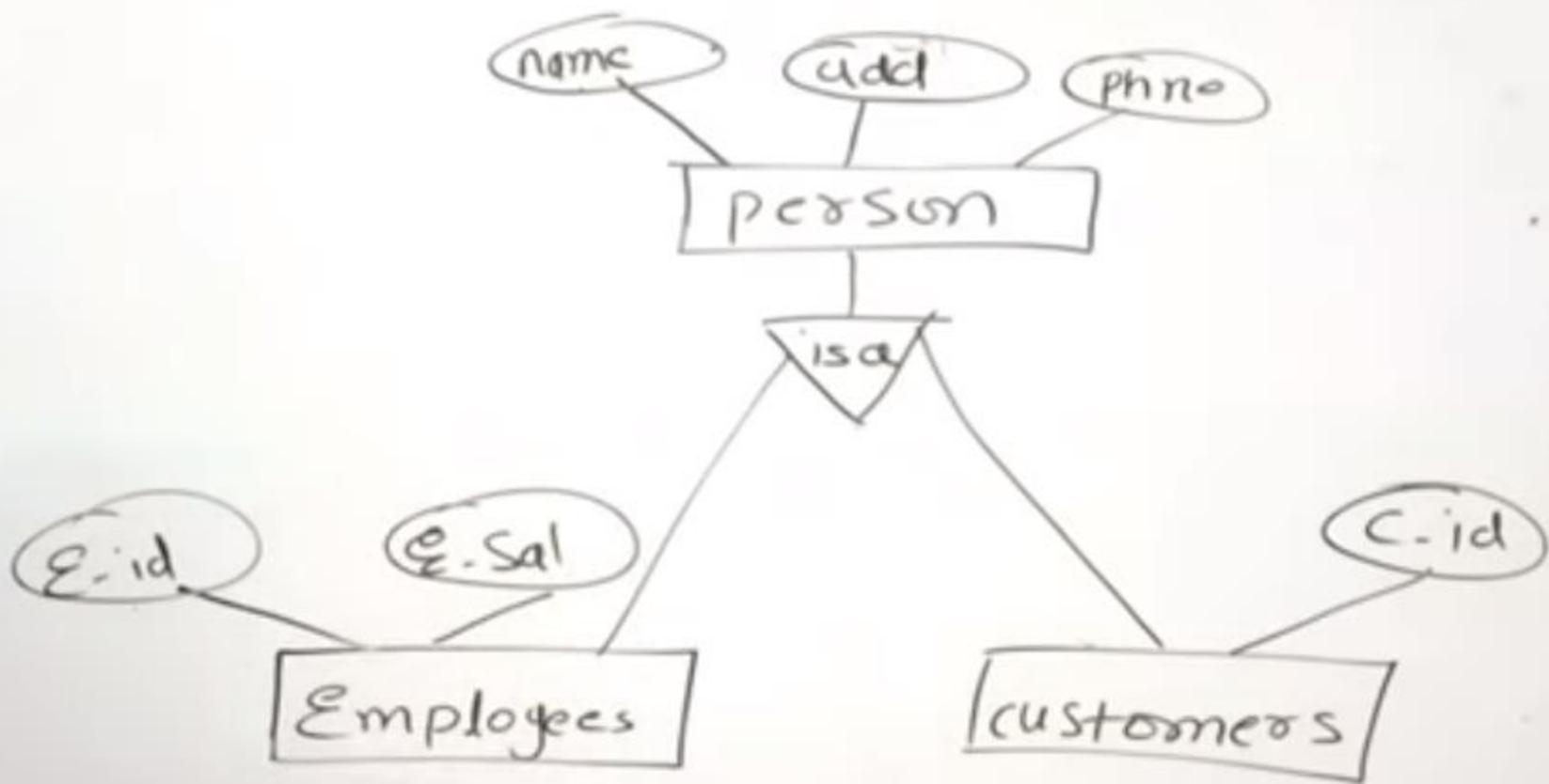


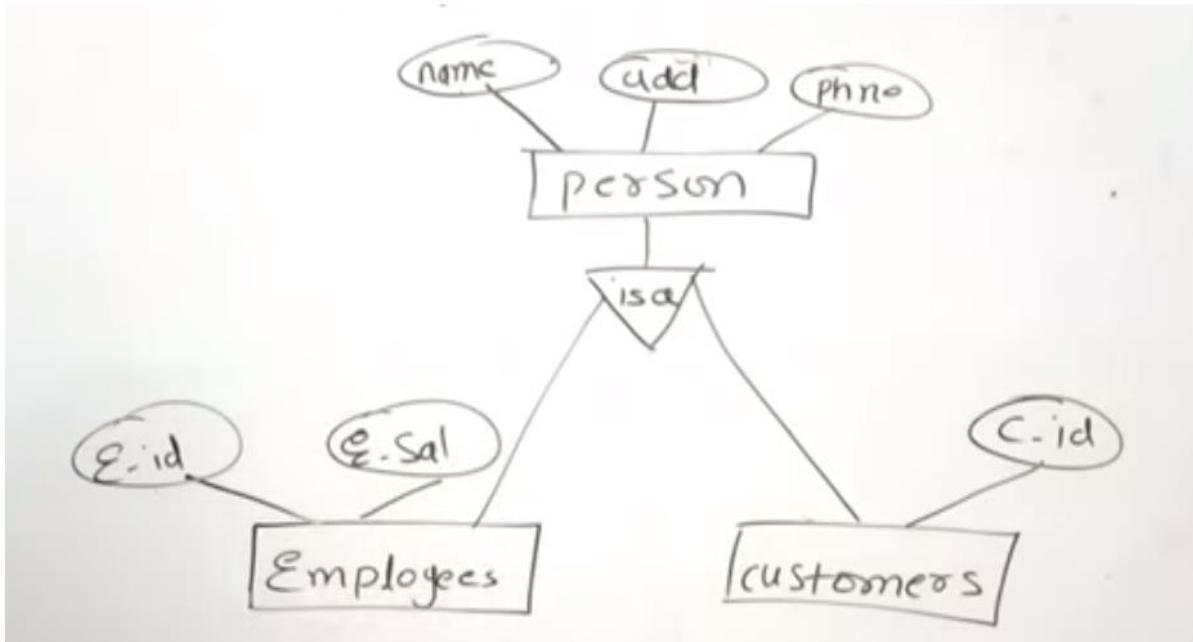


Customer(pname, mobileno, address, cust-type)  
fulltime(pname, mobileno, address, dept, desig)  
parttime(pname, mobileno, address, dept, #day, job)  
adhoc(pname, mobileno, address, dept, hours)

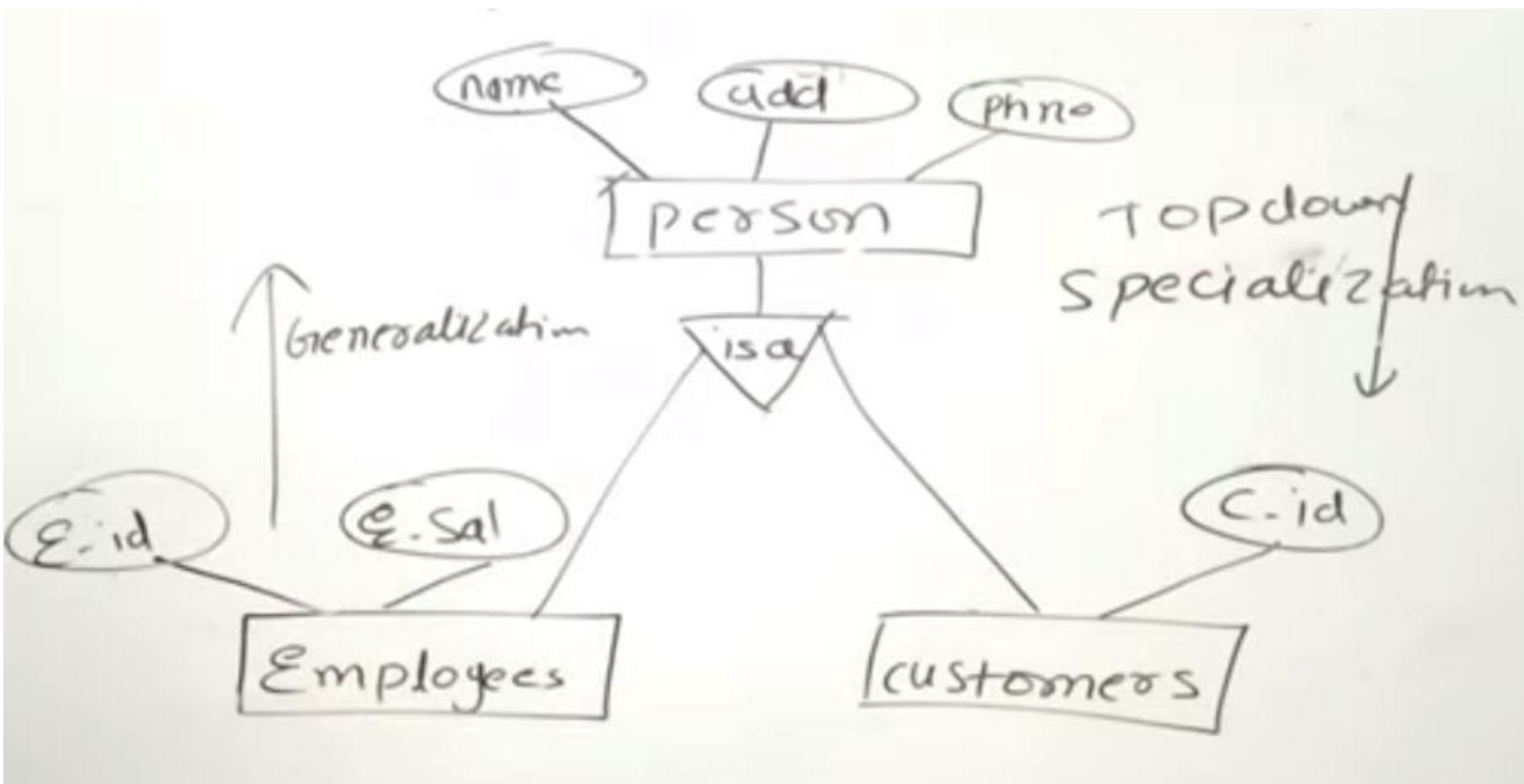
## Specialization and Generalization







`Employees( name add, phno, E.id E.sal )`  
`Customers( name add, phno, C.id )`  
`Person( name add, phno)`





# Completeness constraint

- **Completeness constraint** -- specifies whether or not an entity in the higher-level entity set must belong to at least one of the lower-level entity sets within a generalization.
  - **total**: an entity must belong to one of the lower-level entity sets
  - **partial**: an entity need not belong to one of the lower-level entity sets



# Completeness constraint (Cont.)

- Partial generalization is the default.
- We can specify total generalization in an ER diagram by adding the keyword **total** in the diagram and drawing a dashed line from the keyword to the corresponding hollow arrow-head to which it applies (for a total generalization), or to the set of hollow arrow-heads to which it applies (for an overlapping generalization).
- The *student* generalization is total: All student entities must be either graduate or undergraduate. Because the higher-level entity set arrived at through generalization is generally composed of only those entities in the lower-level entity sets, the completeness constraint for a generalized higher-level entity set is usually total



## Constraints in Supertype/ Completeness Constraint

- ***Completeness Constraints:***  
Whether an instance of a supertype  
**must** also be a member of at least one subtype
  - Total Specialization Rule: Yes (double line)
  - Partial Specialization Rule: No (single line)



Figure 3-6 Examples of completeness constraints  
a) Total specialization rule

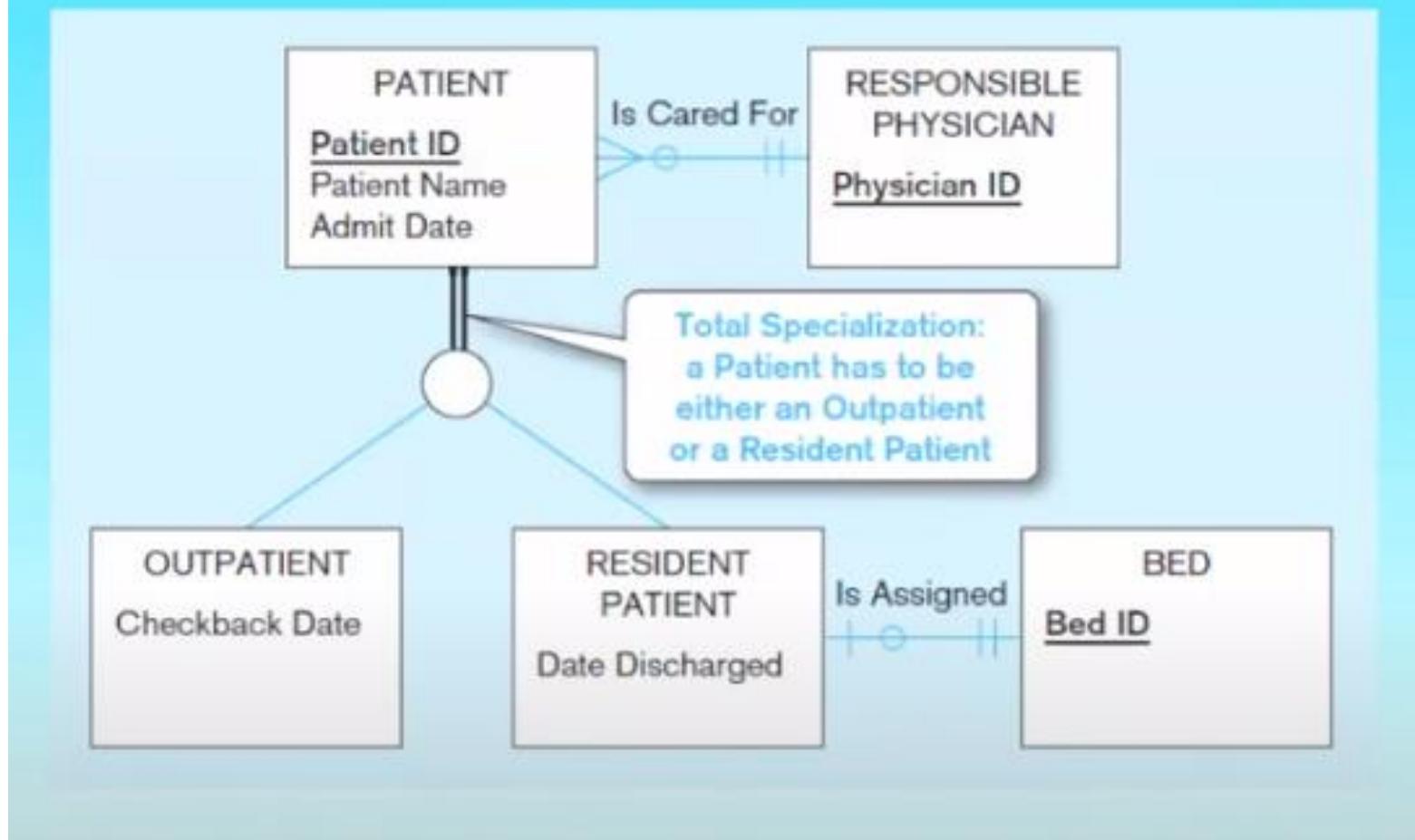
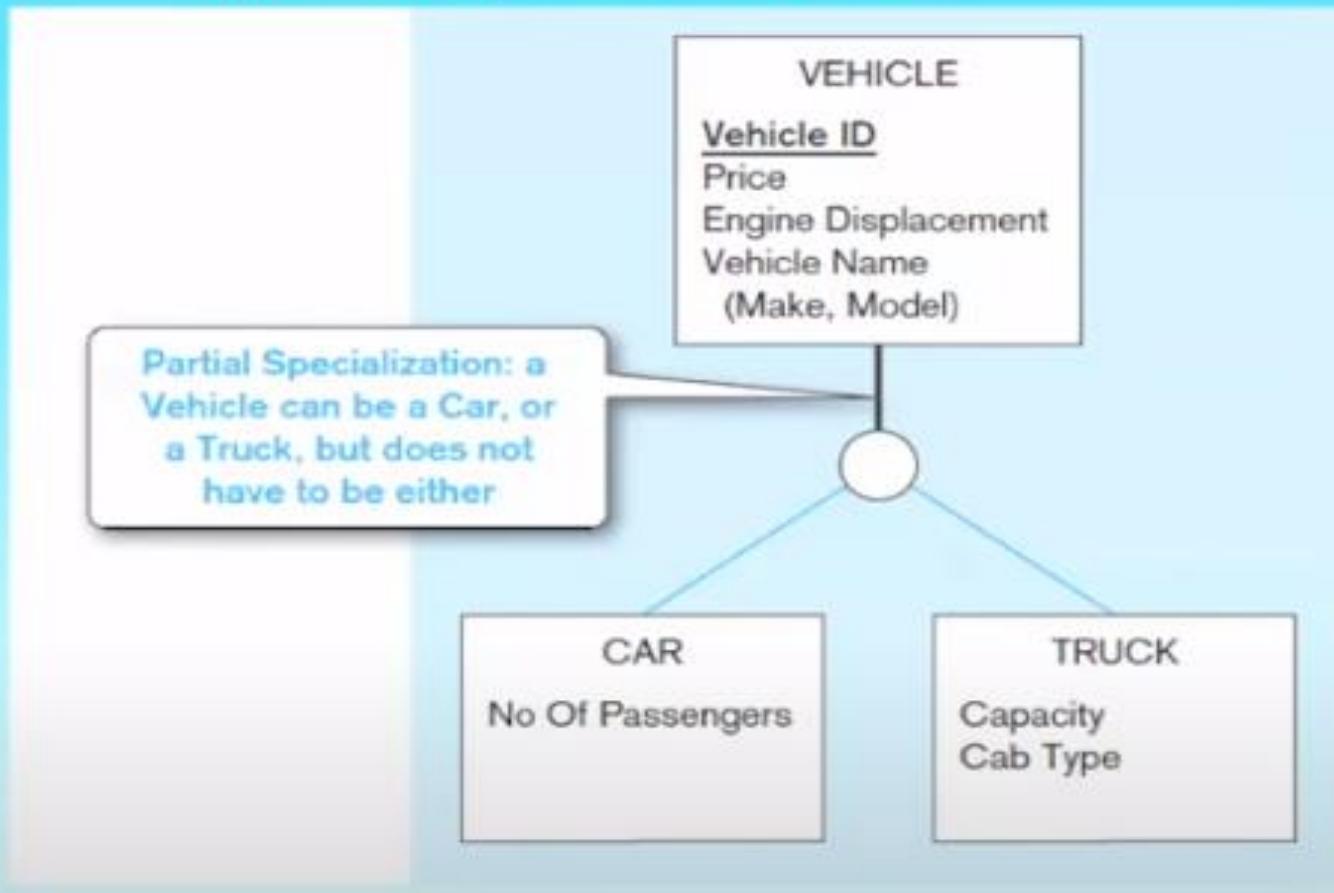




Figure 3-6 Examples of completeness constraints (cont.)

b) Partial specialization rule





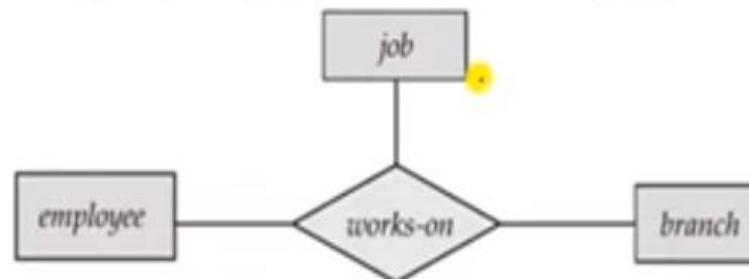
# Aggregation

- **Aggregation** is used when we need to express a **relationship among relationships**
- **Aggregation** is an **abstraction** through which **relationships are treated as higher level entities**
- **Aggregation** is a process when a **relationship between two entities is considered as a single entity** and again this single entity has a **relationship with another entity**



## Example: (Relationship of Relations)

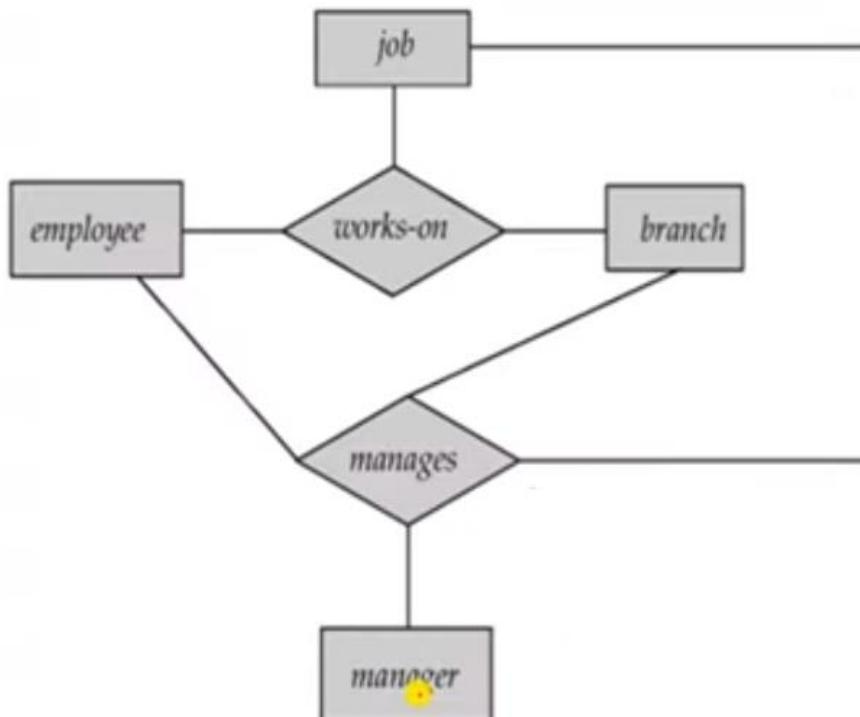
- Basic E-R model can't represent relationships involving other relationships
- Consider a ternary relationship **works\_on** between **Employee**, **Branch** and **Job**.
  - An **employee** **works on** a particular **job** at a particular **branch**



- Suppose we want to assign a **manager** for jobs performed by an employee at a branch (i.e. want to assign managers to each employee, job, branch combination)
  - Need a separate manager entity-set
  - Relationship between each manager, employee, branch, and job entity



## Example: (Redundant Relationship)



ER Diagram with Redundant Relationship

- ❑ Relationship sets **works-on** and **manages** represent overlapping (redundant) information
  - Every **manages** relationship corresponds to a **works-on** relationship
  - However, some **works-on** relationships may not correspond to any **manages** relationships
    - So we can't discard the **works-on** relationship



# Aggregation

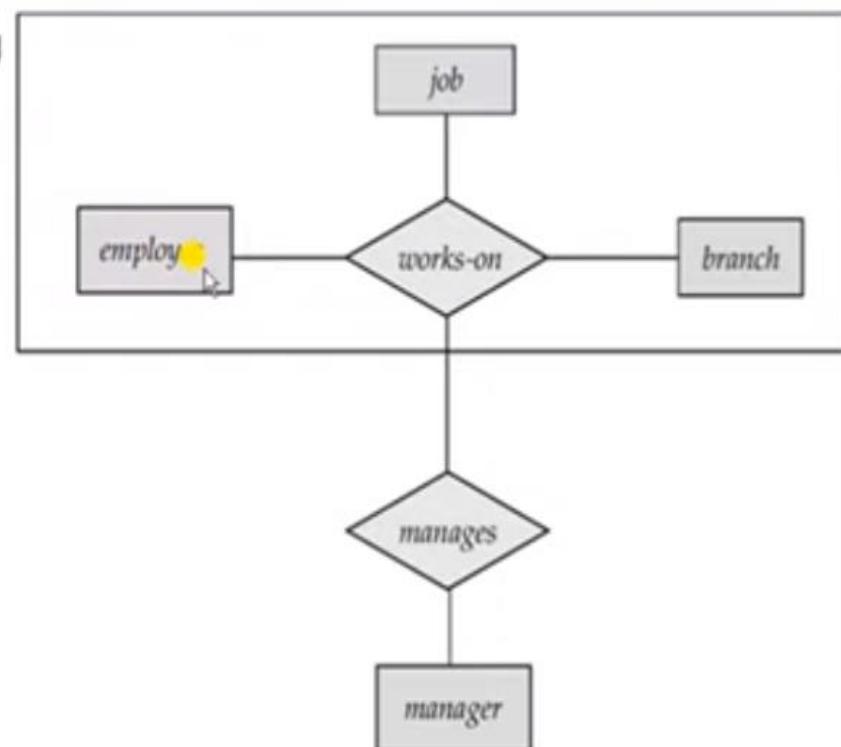
- Eliminate this redundancy via **aggregation**
  - Treat relationship as an abstract entity
  - Allows relationships between relationships
  - Abstraction of relationship into new entity



# Example: (Aggregation)

With **Aggregation** (without introducing redundancy) the ER diagram can be represented as:

- An employee works on a particular job at a particular branch
- An employee, branch, job combination may have an associated manager

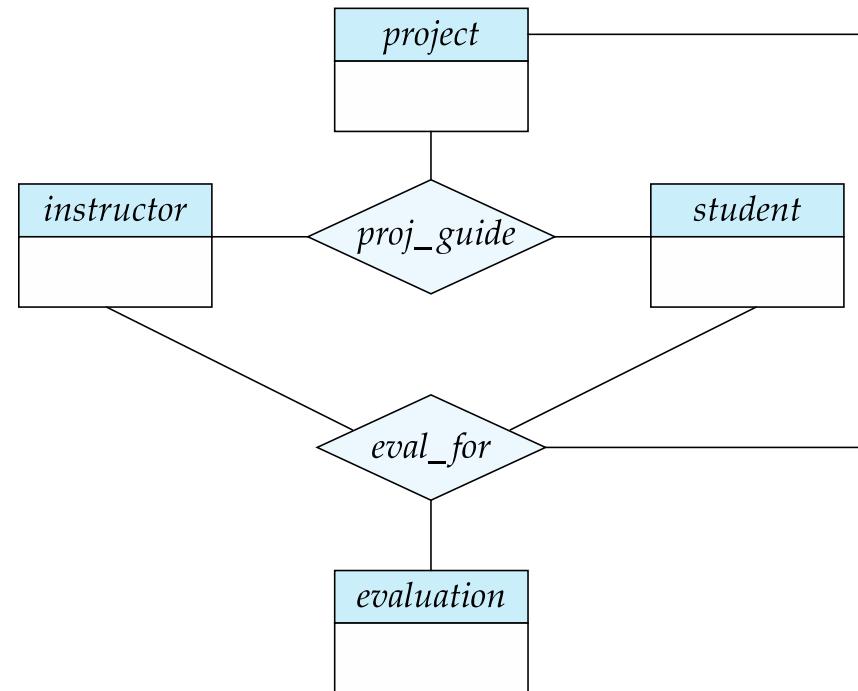


ER Diagram with Aggregation



# Aggregation

- Consider the ternary relationship *proj\_guide*, which we saw earlier
- Suppose we want to record evaluations of a student by a guide on a project





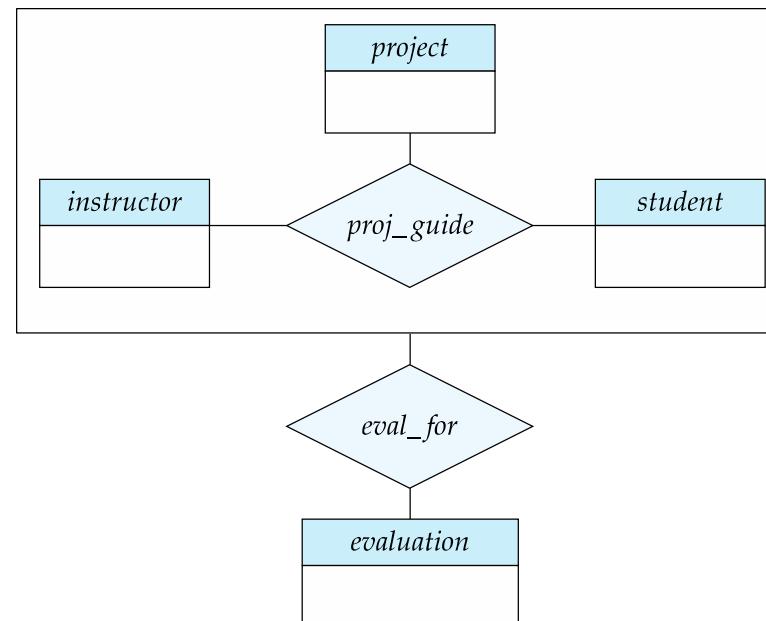
# Aggregation (Cont.)

- Relationship sets *eval\_for* and *proj\_guide* represent overlapping information
  - Every *eval\_for* relationship corresponds to a *proj\_guide* relationship
  - However, some *proj\_guide* relationships may not correspond to any *eval\_for* relationships
    - So we can't discard the *proj\_guide* relationship
- Eliminate this redundancy via *aggregation*
  - Treat relationship as an abstract entity
  - Allows relationships between relationships
  - Abstraction of relationship into new entity



# Aggregation (Cont.)

- Eliminate this redundancy via *aggregation* without introducing redundancy, the following diagram represents:
  - A student is guided by a particular instructor on a particular project
  - A student, instructor, project combination may have an associated evaluation



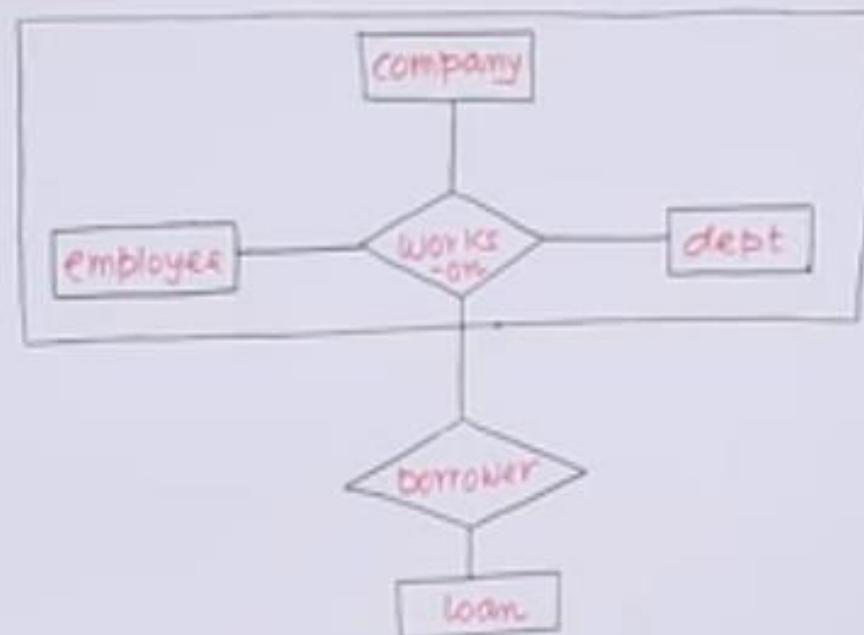


# Reduction to Relational Schemas

- To represent aggregation, create a schema containing
  - Primary key of the aggregated relationship,
  - The primary key of the associated entity set
  - Any descriptive attributes
- In our example:
  - The schema *eval\_for* is:  
 $\text{eval\_for} (s\_ID, project\_id, i\_ID, evaluation\_id)$
  - The schema *proj\_guide* is redundant.



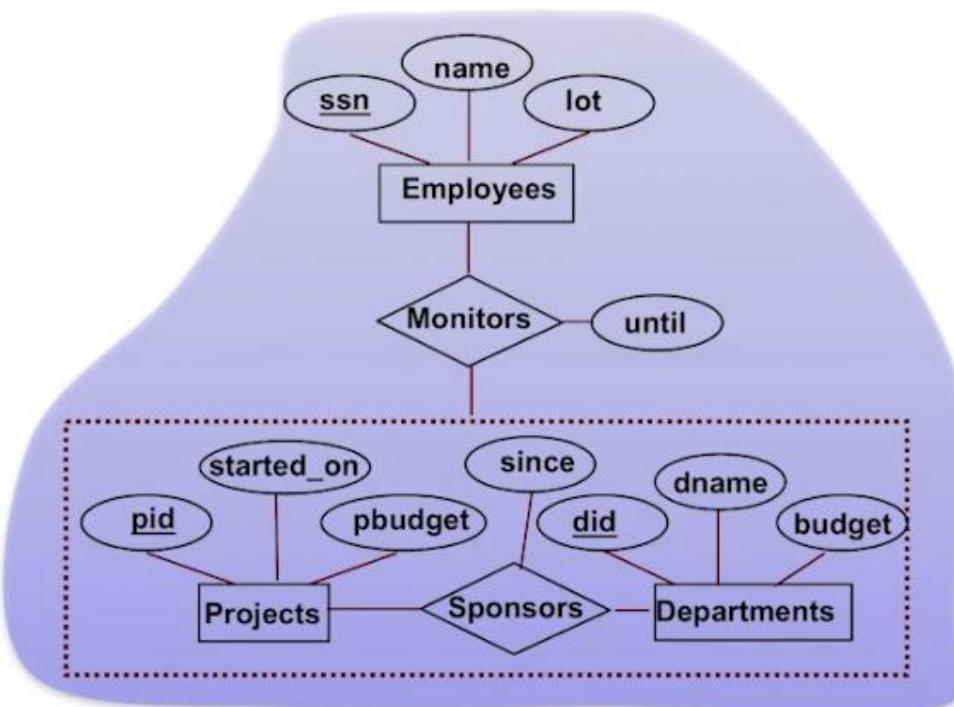
## ER Diagram with aggregation





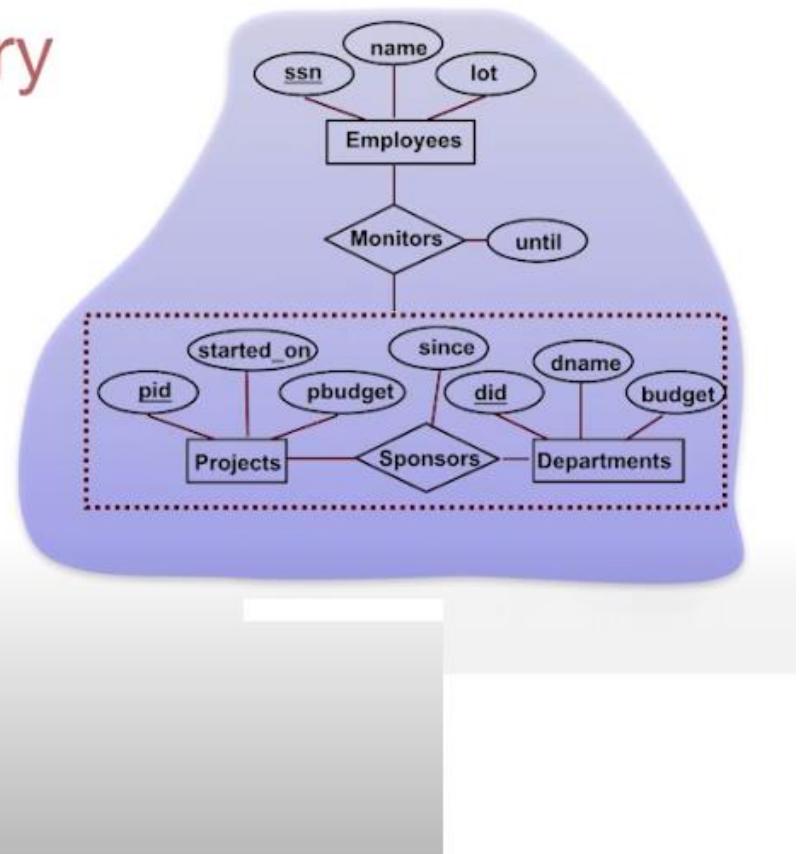
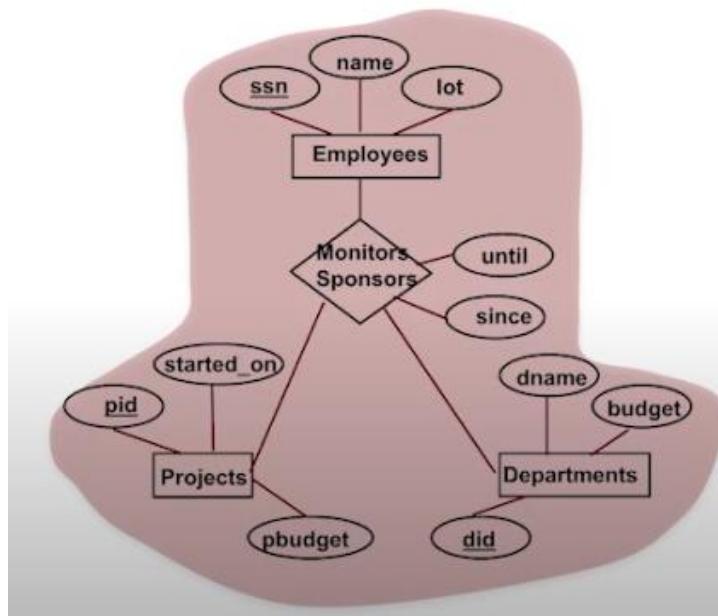
# Aggregation

Allows relationships to have relationships.



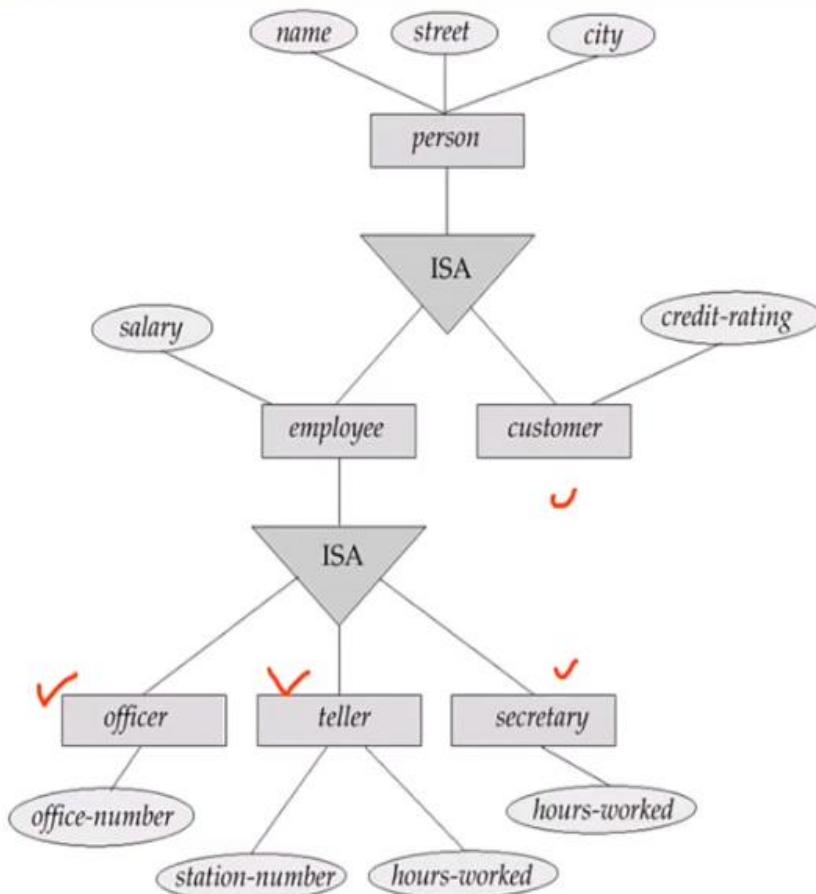


## Aggregation vs. Ternary





# Question 4:



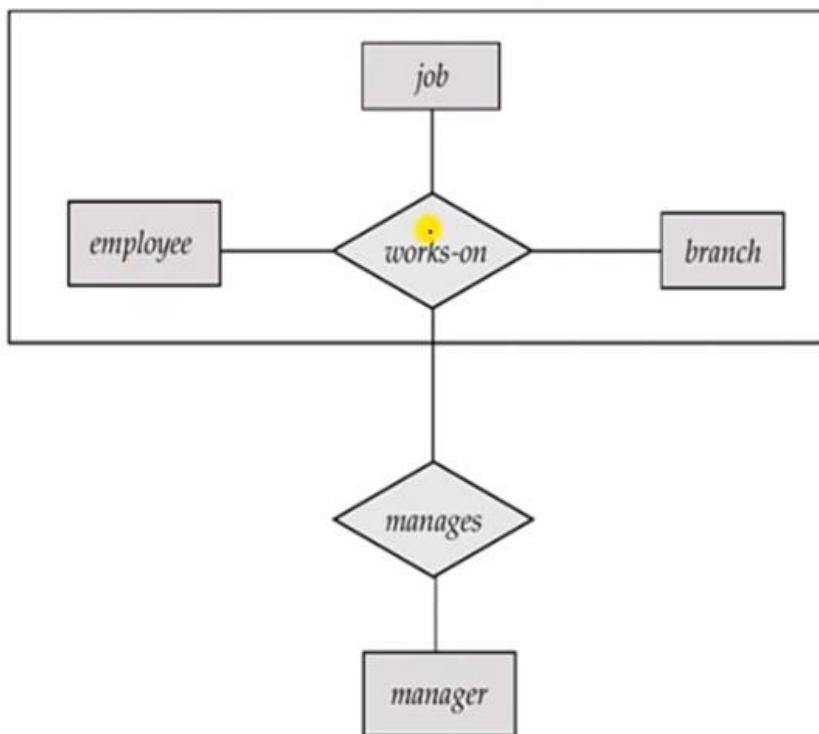
## Solution-

Minimum 4 tables will be required:

1. **customer** (name, street, city, credit\_rating)
2. **officer** (name, street, city, salary, office\_number)
3. **teller** (name, street, city, salary, station\_number, hours\_worked)
4. **secretary** (name, street, city, salary, hours\_worked)



# Question 5:



ER Diagram with Aggregation

## Solution:

Minimum six tables will be required

*Job schemas:*

1. employee
2. job
3. Branch
4. works\_on

*Manager schemas:*

5. manager
6. manages





We will discuss the basic design issues of ER database schema in the following points.

**Entity vs Attribute**

**Entity vs Relationship**

**Binary vs Ternary Relationship**



## Use of Entity Set Vs Attributes

- Choice mainly depends on the structure of the enterprise being modeled, and on the semantics associated with the attribute in question

<i>instructor</i>	
<u>ID</u>	
Name	
Phone_number	
Salary	

<i>instructor</i>	
<u>ID</u>	
Name	
Salary	

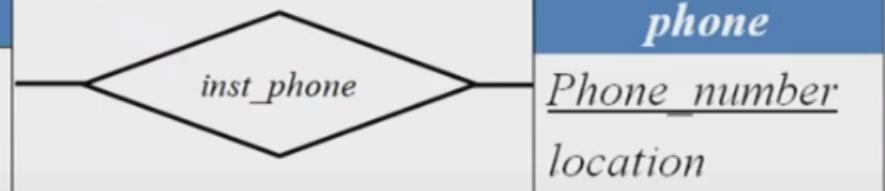


FIG A

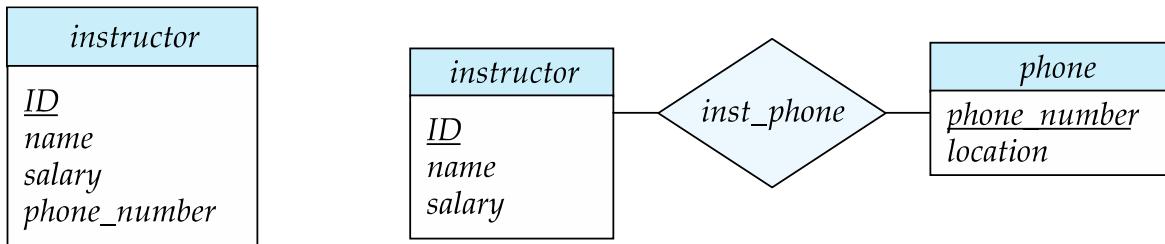
FIG B





# Entities vs. Attributes

- Use of entity sets vs. attributes



- Use of phone as an entity allows extra information about phone numbers (plus multiple phone numbers)



# Use of Entity Sets Vs Relationship Sets

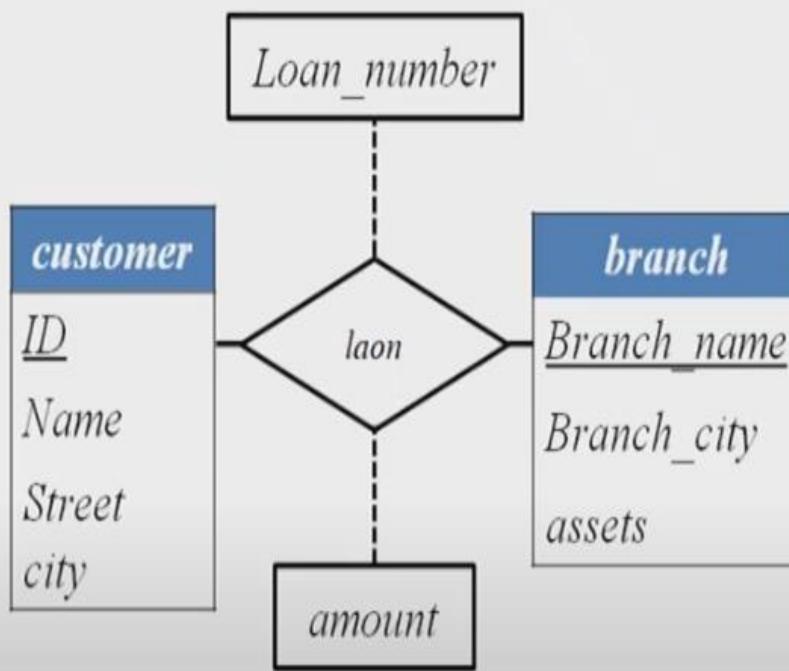


FIG A

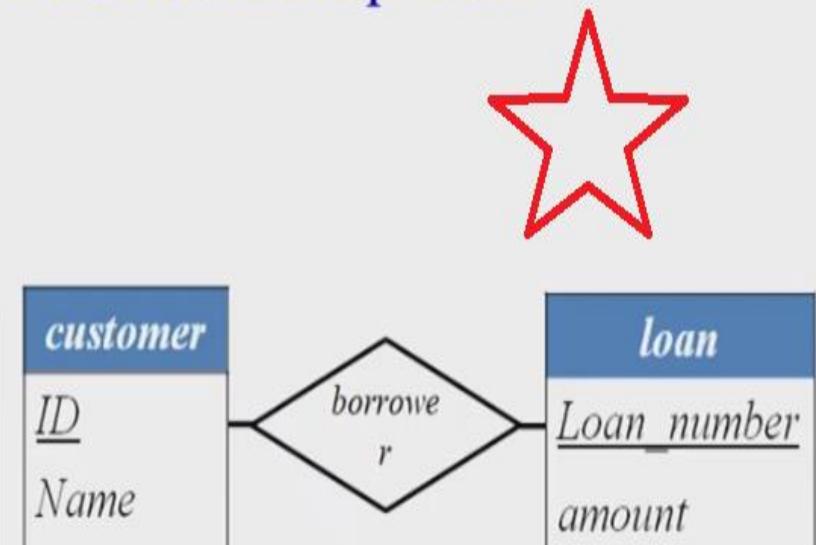
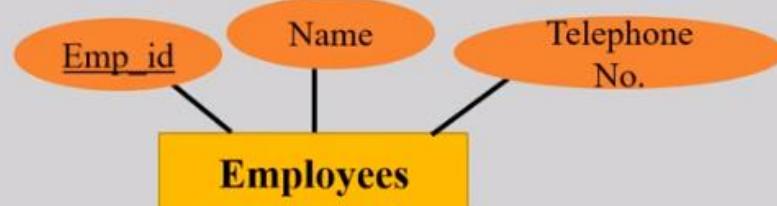


FIG B

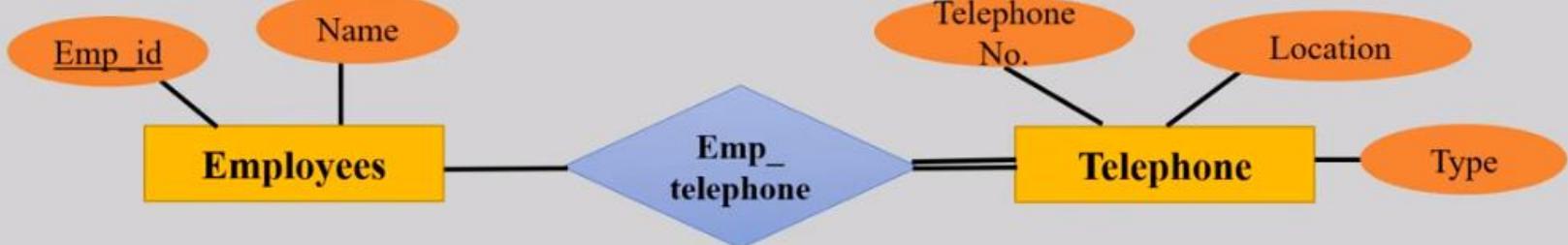


# Entity vs Attribute

Is Telephone-No an attribute or an entity set?



**Using attribute as Entity**

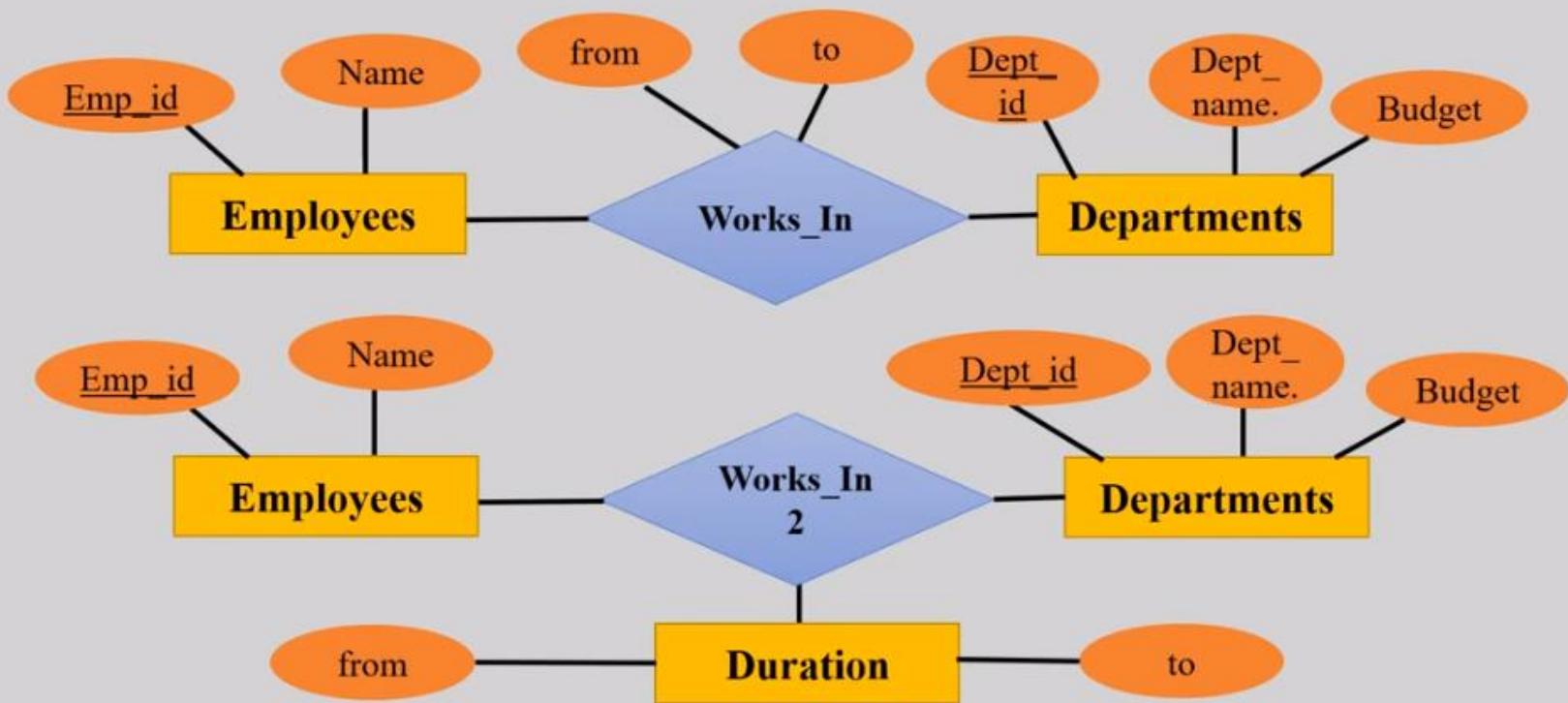


Use of telephone as an entity allows extra information about phone numbers (plus multiple phone numbers.)



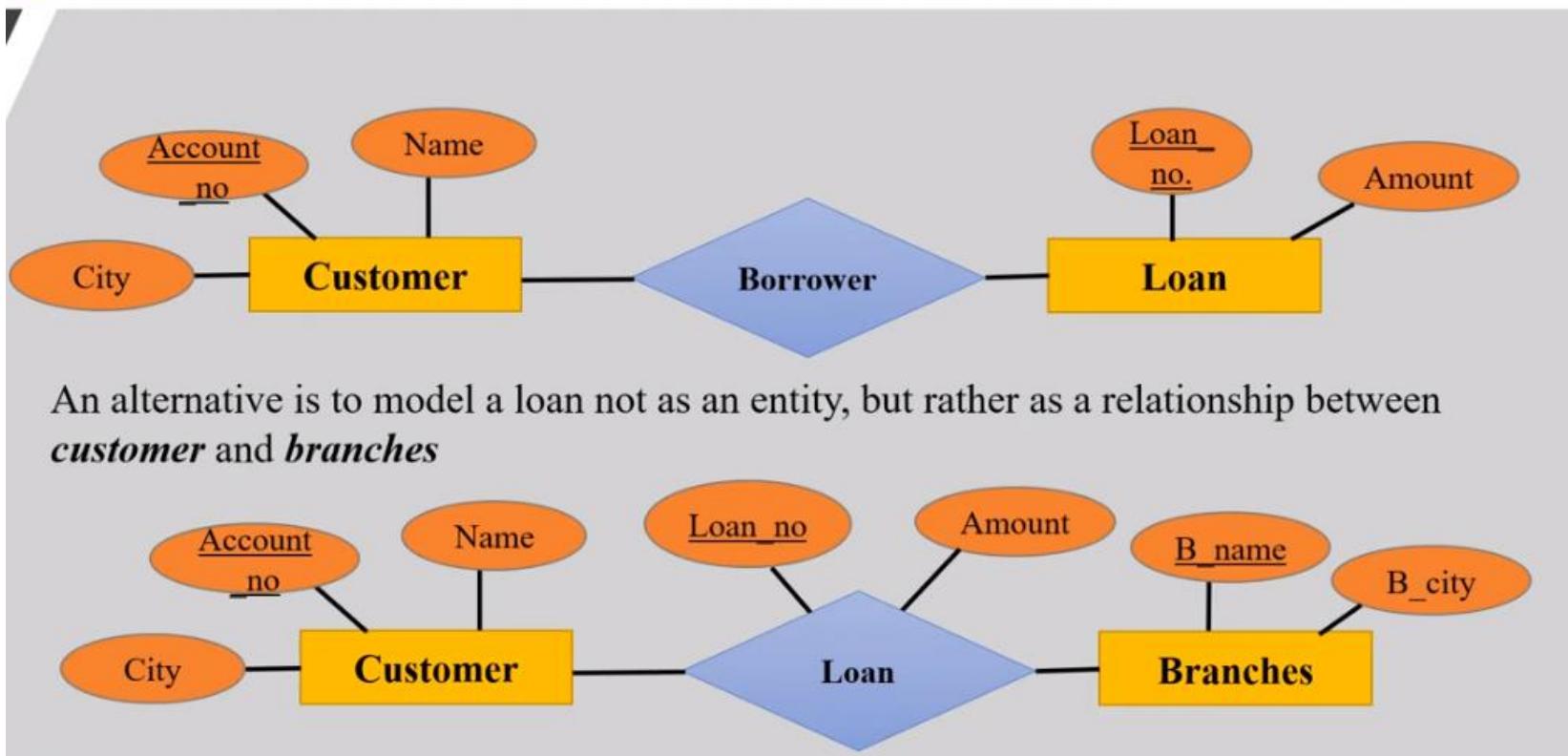
# Entity vs Attribute

Works\_In does not allow an employee to work in a department for two or more periods





# Entity vs Relationship



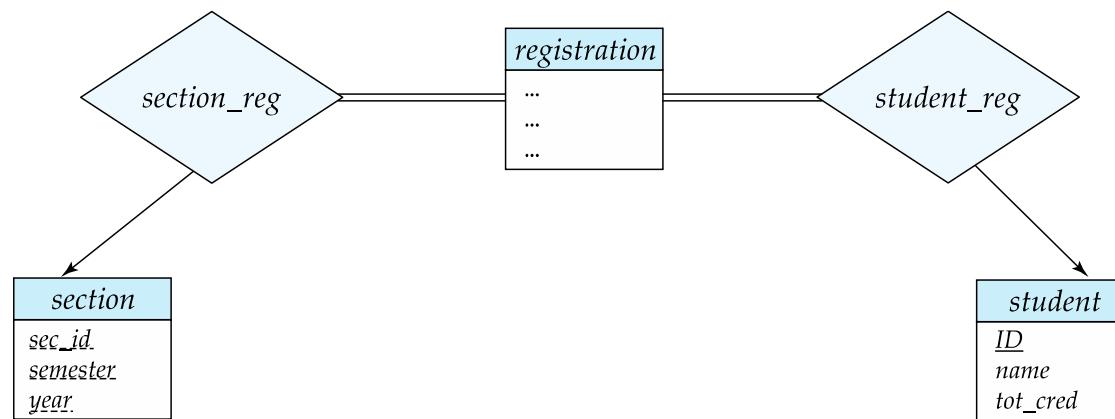
An alternative is to model a loan not as an entity, but rather as a relationship between **customer** and **branches**



# Entities vs. Relationship sets

- **Use of entity sets vs. relationship sets**

Possible guideline is to designate a relationship set to describe an action that occurs between entities



- **Placement of relationship attributes**

For example, attribute date as attribute of advisor or as attribute of student



## Binary Vs $n$ -array Relationship Sets

- It is always possible to replace a non-binary relationship set by a number of distinct binary relationship sets

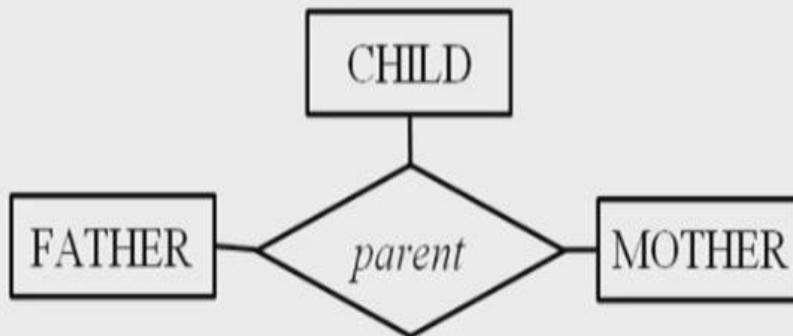


FIG A

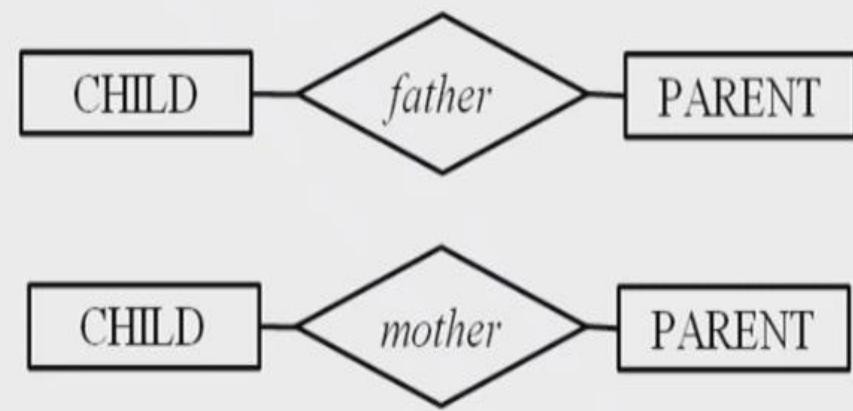
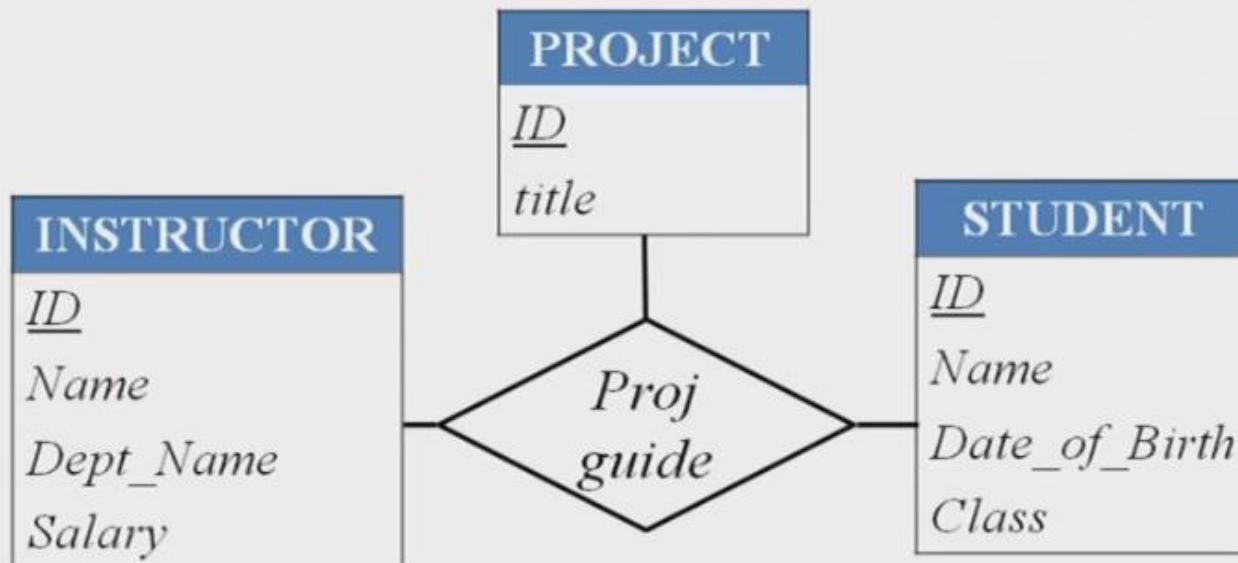


FIG B



## Binary Vs $n$ -array Relationship Sets

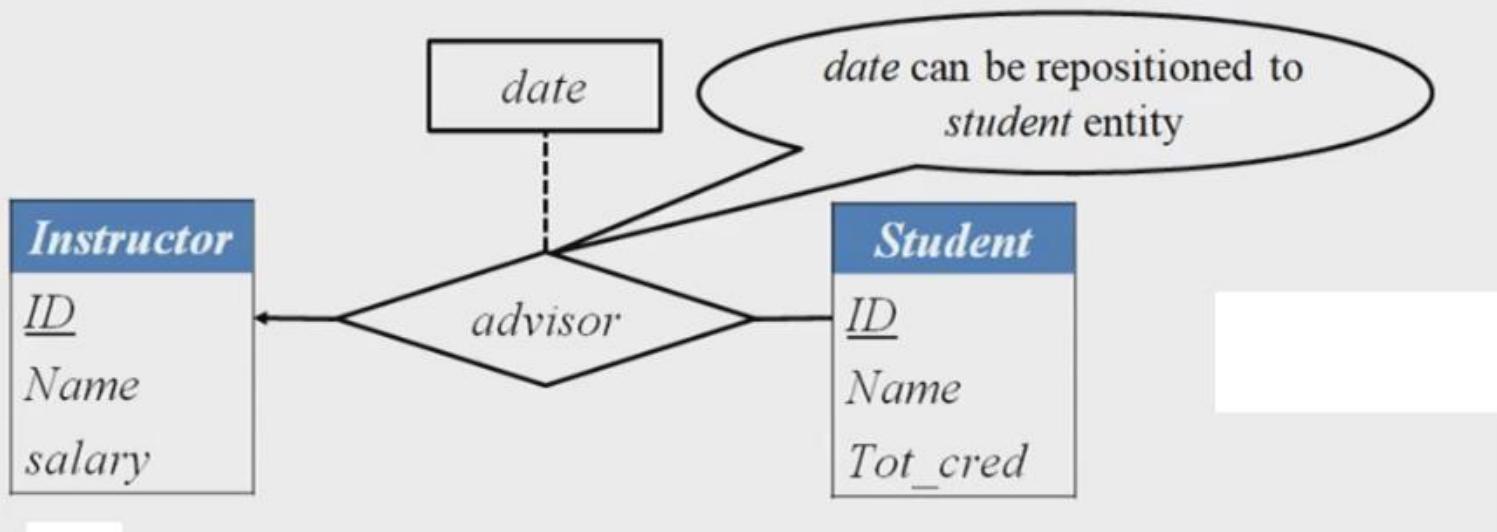
- There are some relationship that are naturally non-binary
- Eg: *proj\_guide*





# Placement of Relationship Attributes

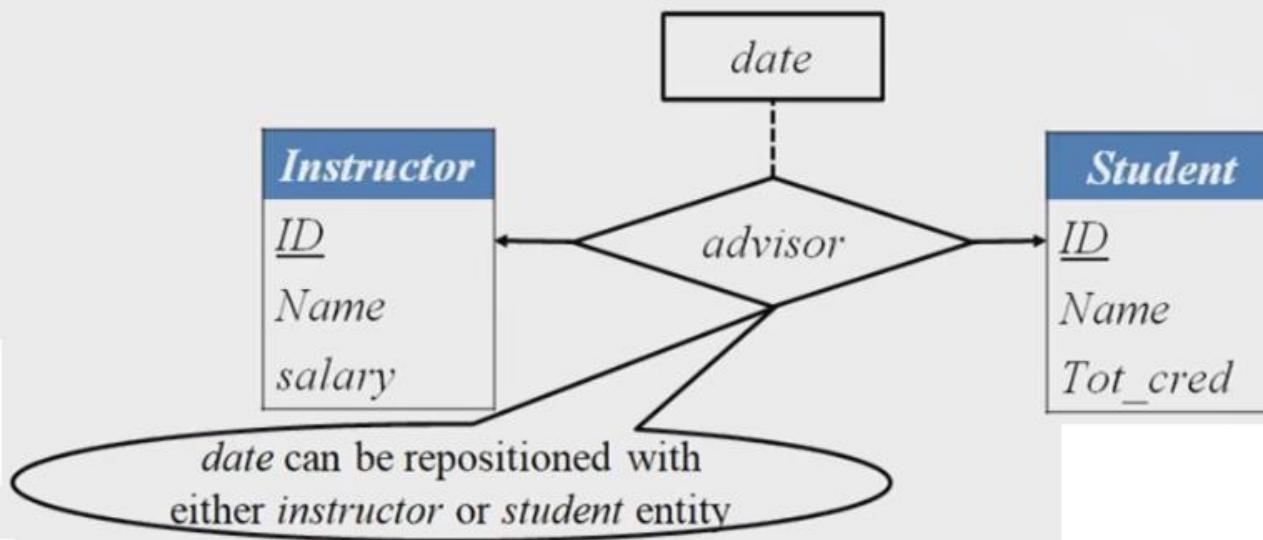
- Attributes of one – to – many relationship sets can be repositioned to only the entity set on the many side of the relationship.





## Placement of Relationship Attributes

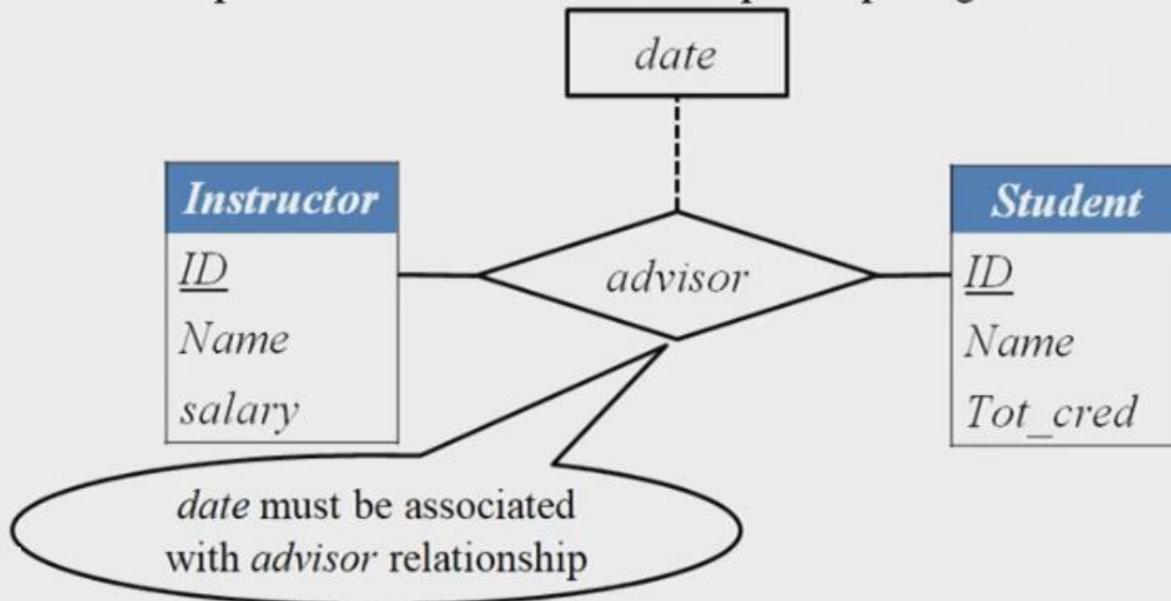
- For one – to – one relationship sets, the relationship attribute can be associated with either one of the participating entities.





## Placement of Relationship Attributes

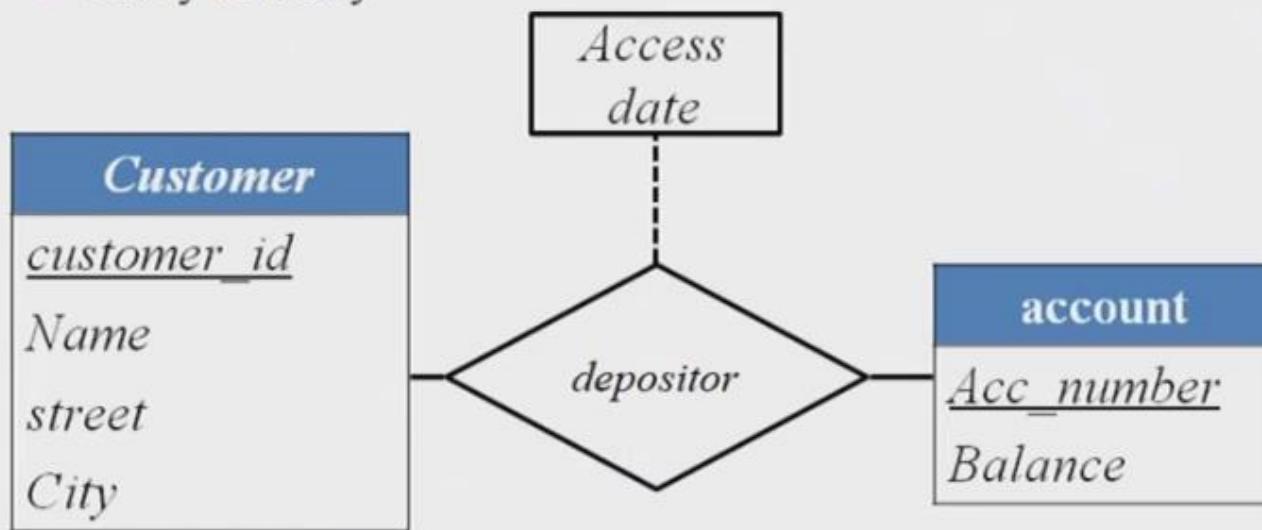
- For many – to – many relationship set, attributes must be associated with relationship sets rather than one of the participating entities.

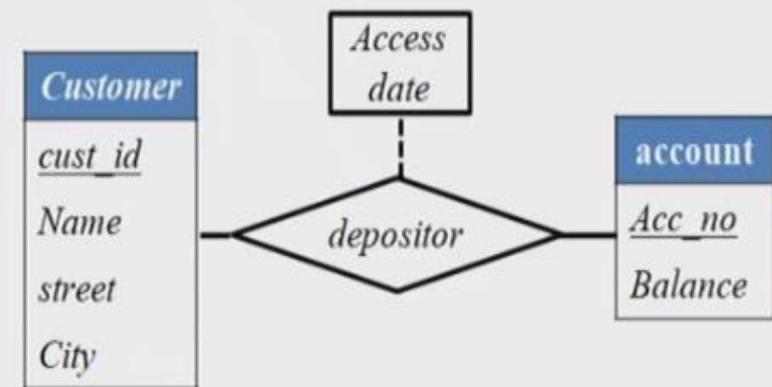
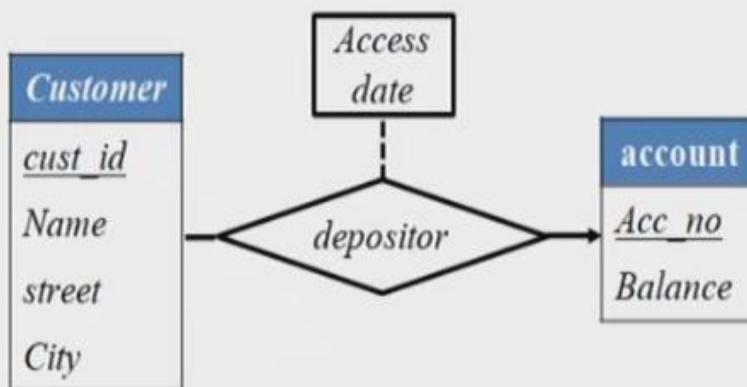
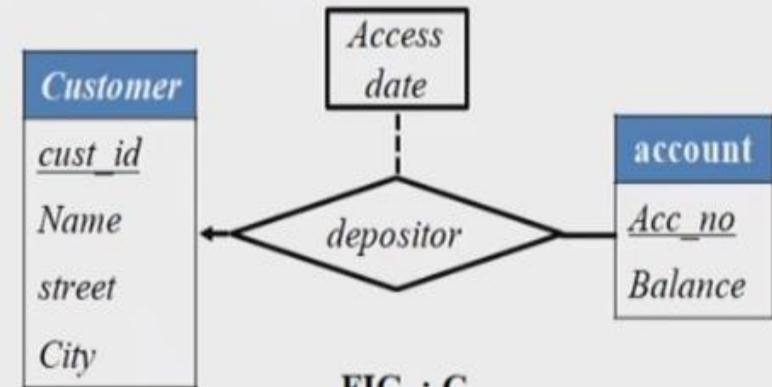
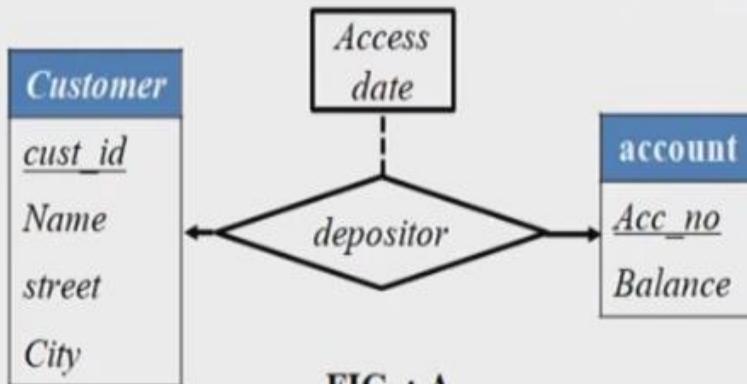




## THINK AND WRITE

- For the following ER Diagram specify the attribute placement of relationship
  - One-to-one
  - One-to-many
  - Many-to-many







# Binary Vs. Non-Binary Relationships

- Although it is possible to replace any non-binary ( $n$ -ary, for  $n > 2$ ) relationship set by a number of distinct binary relationship sets, **a  $n$ -ary relationship set shows more clearly that several entities participate in a single relationship.**
- Some **relationships that appear to be non-binary may be better represented using binary relationships**
  - For example, **a ternary relationship *parents*, relating a child to his/her father and mother, is best replaced by two binary relationships, *father* and *mother***
    - Using two binary relationships **allows partial information** (e.g., only mother being known)
    - But there are some **relationships that are naturally non-binary**
      - **Example: *proj\_guide***



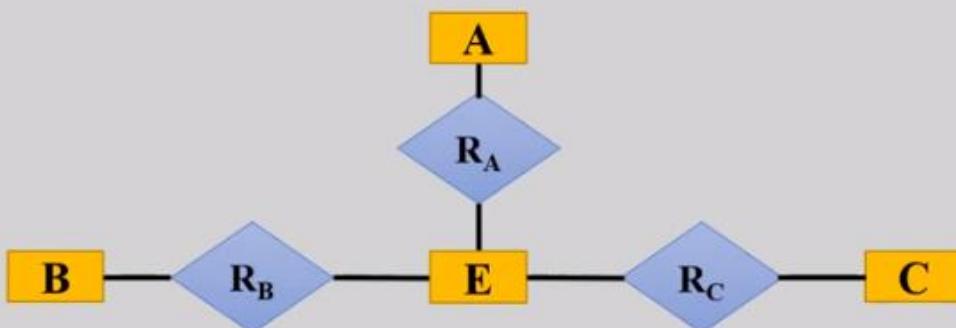
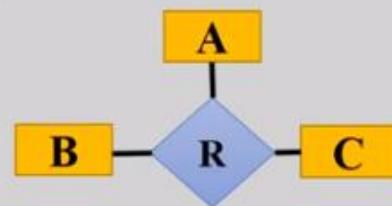
# Binary vs Ternary Relationship

Generally, the relationships described in the databases are binary relationships. However, non-binary relationships can be represented by several binary relationships.

Ternary ( $n=3$ ) relationship set R relating entity sets A, B and C.

We replace the relationship set R by an entity set E,

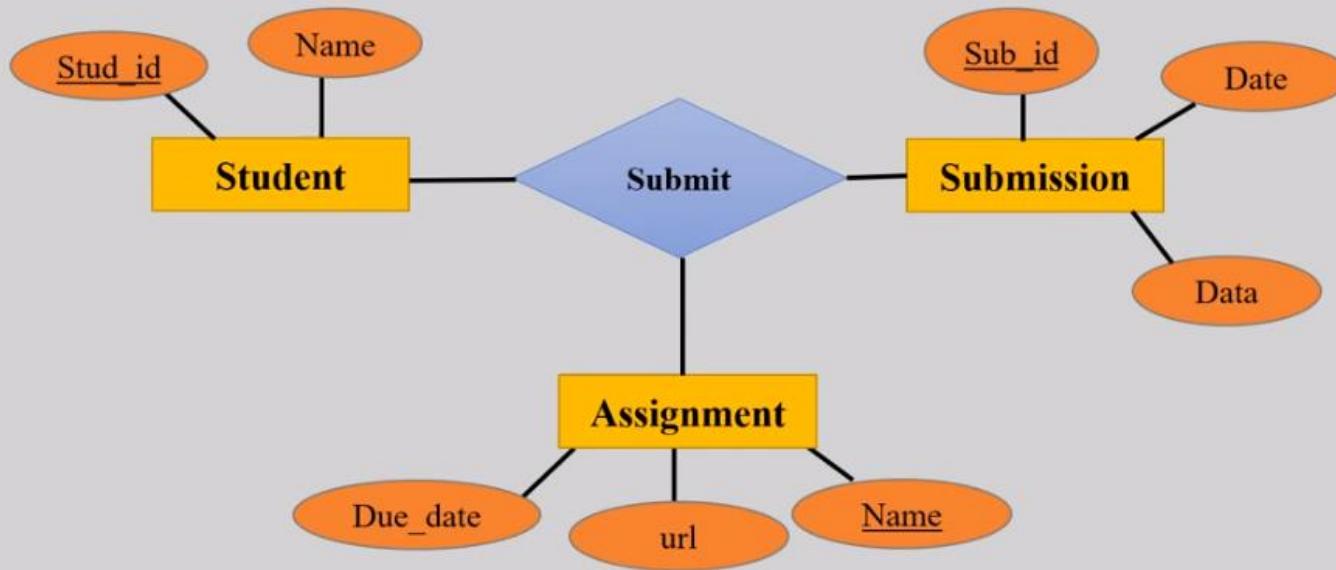
If the relationship set R had any attributes, these are assigned to entity set E.

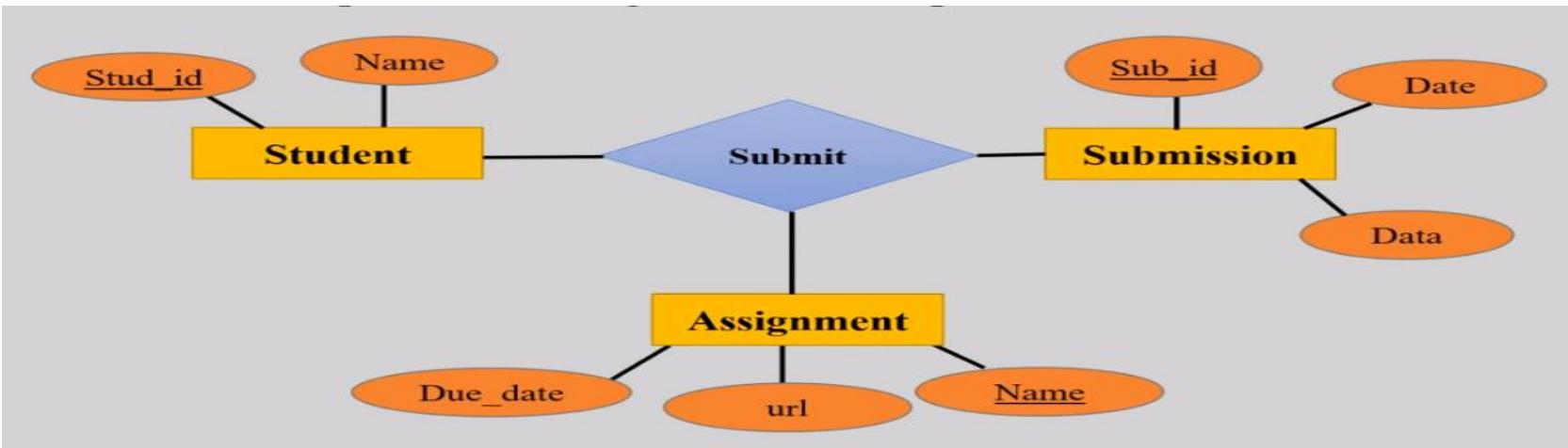




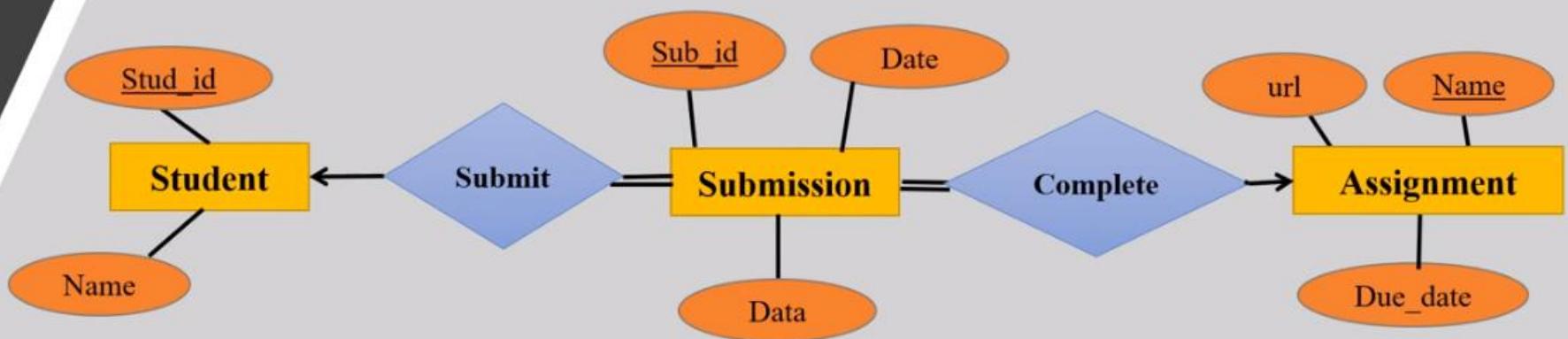
# Example

Ternary relationship between student, assignment, and submission. Allow multiple submission for a particular assignment from a particular student.





Can also represent as two binary relationships



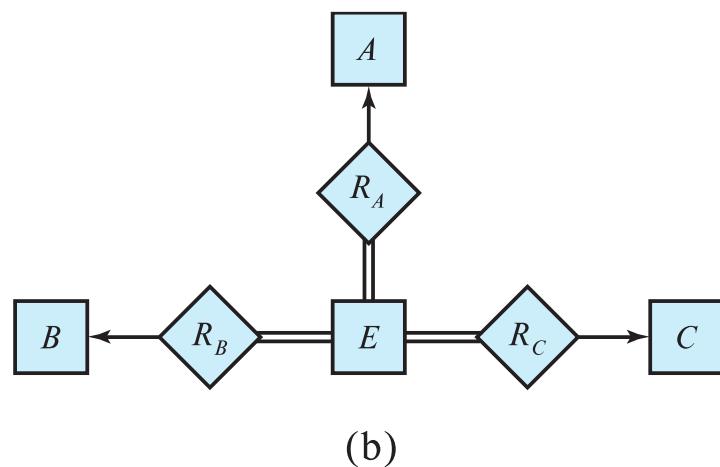
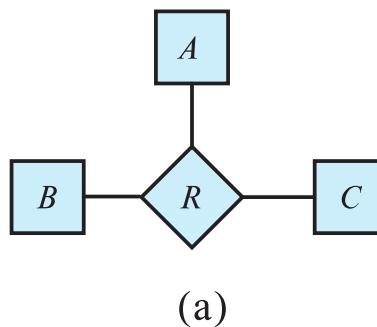
Entity-relationship model is inexact

Can represent a particular design in several different ways.



# Converting Non-Binary Relationships to Binary Form

- In general, any non-binary relationship can be represented using binary relationships by creating an artificial entity set.
  - Replace  $R$  between entity sets  $A$ ,  $B$  and  $C$  by an entity set  $E$ , and three relationship sets:
    - $R_A$ , relating  $E$  and  $A$
    - $R_B$ , relating  $E$  and  $B$
    - $R_C$ , relating  $E$  and  $C$
  - Create an identifying attribute for  $E$  and add any attributes of  $R$  to  $E$
  - For each relationship  $(a_i, b_i, c_i)$  in  $R$ , create
    - a new entity  $e_i$  in the entity set  $E$
    - add  $(e_i, a_i)$  to  $R_A$
    - add  $(e_i, b_i)$  to  $R_B$
    - add  $(e_i, c_i)$  to  $R_C$



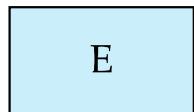


# Converting Non-Binary Relationships (Cont.)

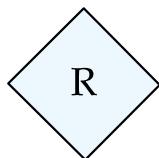
- Also need to translate constraints
  - Translating all constraints may not be possible
  - There may be instances in the translated schema that cannot correspond to any instance of  $R$ 
    - **Exercise:** *add constraints to the relationships  $R_A$ ,  $R_B$  and  $R_C$  to ensure that a newly created entity corresponds to exactly one entity in each of entity sets A, B and C*



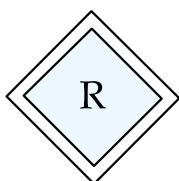
# Summary of Symbols Used in E-R Notation



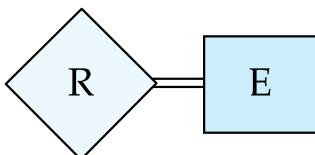
entity set



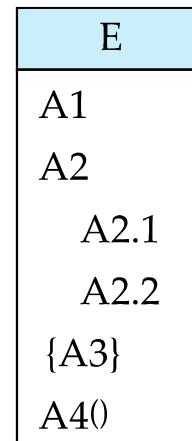
relationship set



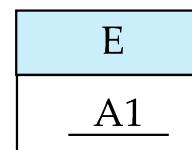
identifying  
relationship set  
for weak entity set



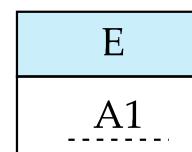
total participation  
of entity set in  
relationship



attributes:  
simple (A1),  
composite (A2) and  
multivalued (A3)  
derived (A4)



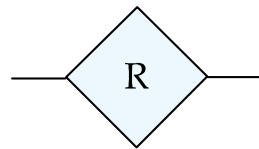
primary key



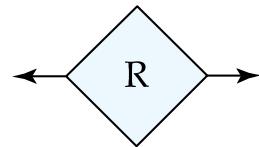
discriminating  
attribute of  
weak entity set



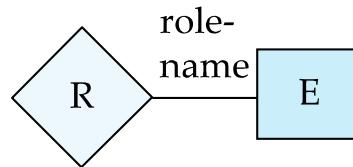
# Symbols Used in E-R Notation (Cont.)



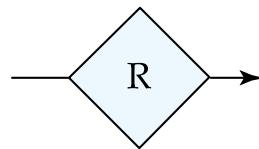
many-to-many  
relationship



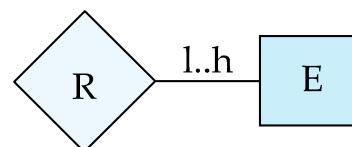
one-to-one  
relationship



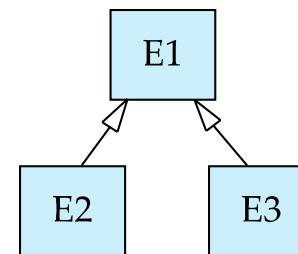
role indicator



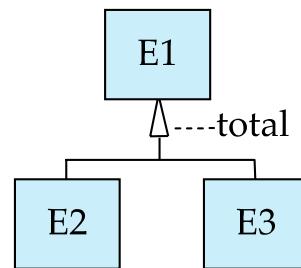
many-to-one  
relationship



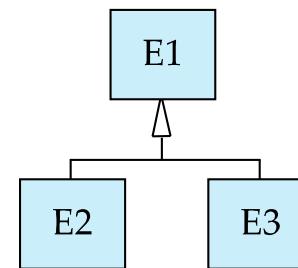
cardinality  
limits



ISA: generalization  
or specialization



total (disjoint)  
generalization



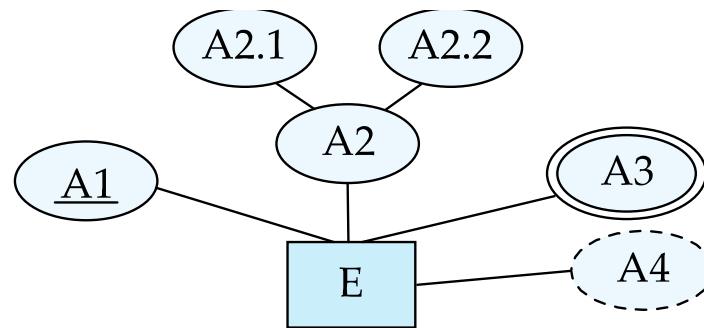
disjoint  
generalization



# Alternative ER Notations

- Chen, IDE1FX, ...

entity set E with  
simple attribute A1,  
composite attribute A2,  
multivalued attribute A3,  
derived attribute A4,  
and primary key A1



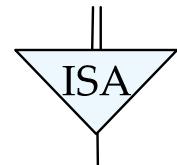
weak entity set



generalization



total  
generalization

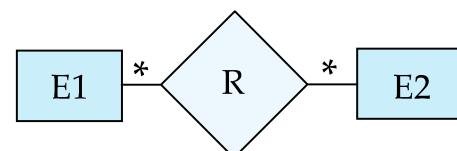




# Alternative ER Notations

Chen

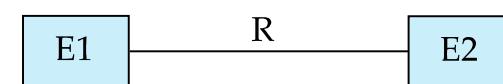
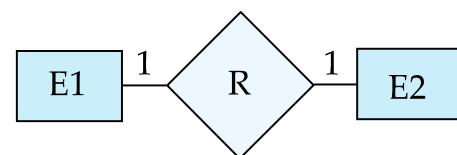
many-to-many  
relationship



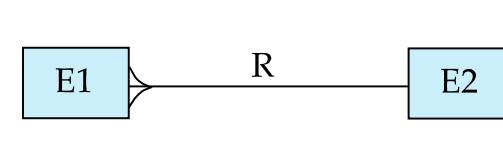
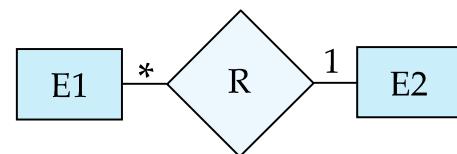
IDE1FX (Crows feet notation)



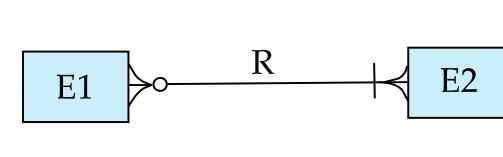
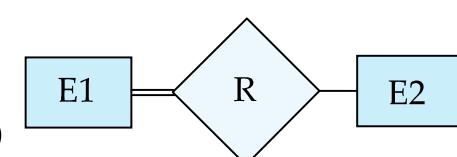
one-to-one  
relationship



many-to-one  
relationship



participation  
in R: total (E1)  
and partial (E2)





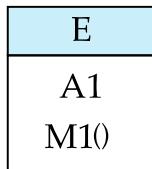
# UML

- **UML:** Unified Modeling Language
- UML has many components to graphically model different aspects of an entire software system
- UML Class Diagrams correspond to E-R Diagram, but several differences.

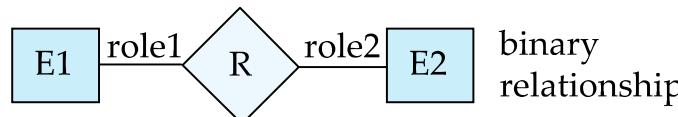


# ER vs. UML Class Diagrams

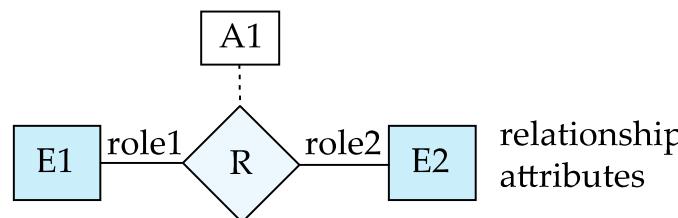
## ER Diagram Notation



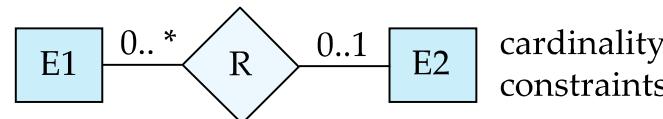
entity with attributes (simple, composite, multivalued, derived)



binary relationship

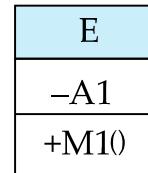


relationship attributes

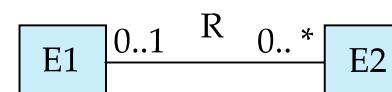
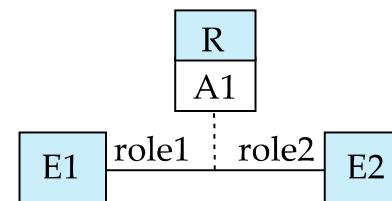
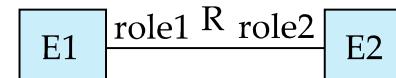


cardinality constraints

## Equivalent in UML



class with simple attributes and methods (attribute prefixes: + = public, - = private, # = protected)

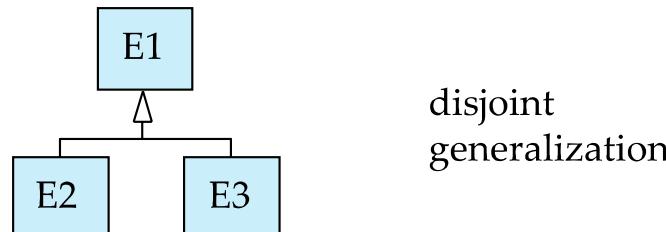
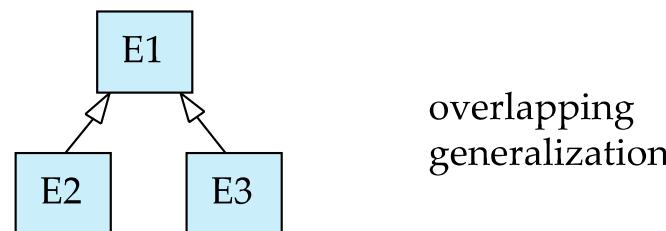
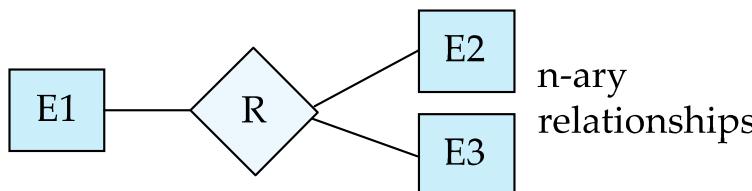


\* Note reversal of position in cardinality constraint depiction

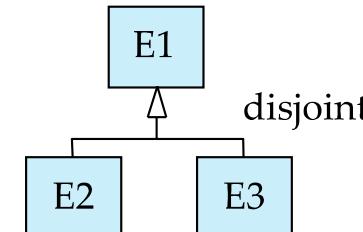
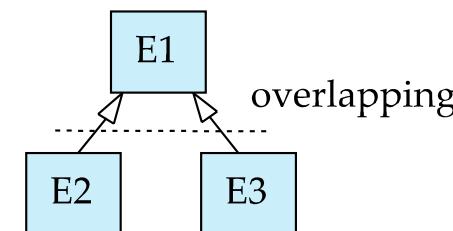
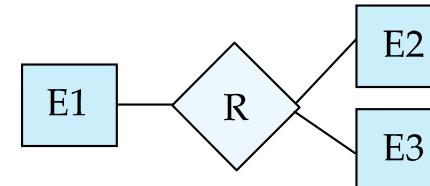


# ER vs. UML Class Diagrams

## ER Diagram Notation



## Equivalent in UML



- \* Generalization can use merged or separate arrows independent of disjoint/overlapping



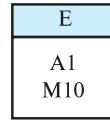
# UML Class Diagrams (Cont.)

- Binary relationship sets are represented in UML by just drawing a line connecting the entity sets. The relationship set name is written adjacent to the line.
- The role played by an entity set in a relationship set may also be specified by writing the role name on the line, adjacent to the entity set.
- The relationship set name may alternatively be written in a box, along with attributes of the relationship set, and the box is connected, using a dotted line, to the line depicting the relationship set.

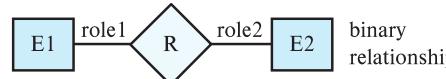


# ER vs. UML Class Diagrams

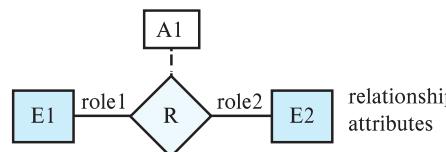
ER Diagram Notation



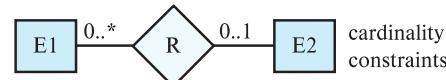
entity with attributes (simple, composite, multivalued, derived)



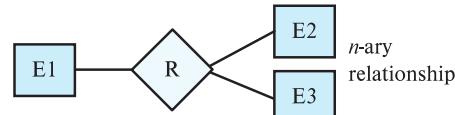
binary relationship



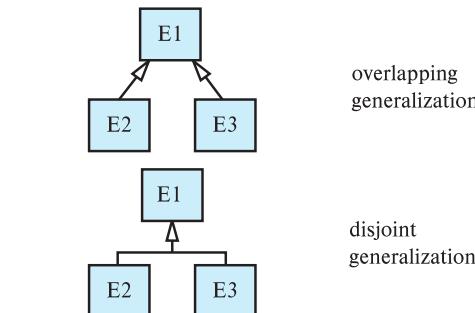
relationship attributes



cardinality constraints



n-ary relationships



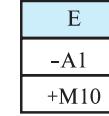
overlapping generalization

disjoint generalization

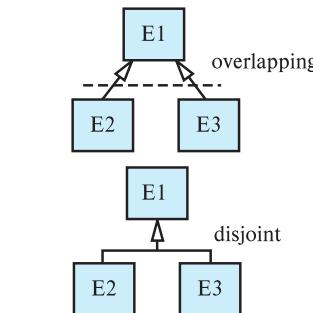
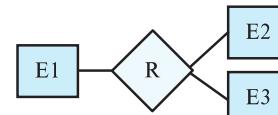
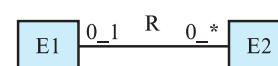
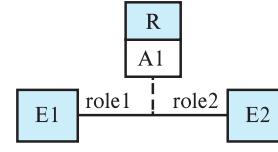
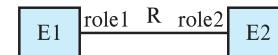


weak-entity composition

Equivalent in UML



class with simple attributes and methods (attribute prefixes: + = public, - = private, # = protected)



overlapping

disjoint





# End of Chapter 6