## **Deep Learning in Natural Language Processing**

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#### 1. One-hot encoding

Example:

Let n be the number of words in dictionary.

We use the n-dimension one-hot vector to represent each word.

```
Dict = { apple, orange, mango, durian } apple = < 1, 0, 0, 0 > orange = < 0, 1, 0, 0 >
```

mango = 
$$< 0, 0, 1, 0 >$$

durian = 
$$< 0, 0, 0, 1 >$$

#### 2. TF-IDF encoding

**TF – Term frequency** 

No. of times the term occurs in the document

Total No. of terms in the document

**IDF** – **Inverse Documet Frequency** 

 $\log(\frac{Total\ No.\ of\ documents}{No.of\ documents\ that\ a\ term\ occurs})$ 

```
Example: sentence1 = < I like orange >
sentence2 = < I like banana >
sentence3 = < I don't know fresh figs >
Let sentence = document, word = term.
```

```
In sentence1, TF(I) = TF(like) = TF(orange) = 1/3 = 0.33
In sentence2, TF(I) = TF(like) = TF(banana) = 1/3 = 0.33
In sentence3, TF(I) = TF(don't) = TF(know) = TF(fresh) = TF(figs) = 1/5 = 0.2
```

```
IDF(I) = log(3/3) = 0; So, 'I' is not important term. IDF(like) = log(3/2) = 0.17

IDF(orange) = log(3/1) = log(3) = 0.47; Important term!

= IDF(banana), IDF(don't), IDF(know), IDF(fresh), IDF(figs)
```

#### **TF-IDF encoding (cont.)**

```
sentence1 = < I like orange > , TF of each word = 0.33
```

Note: TF of each word in a sentence is identical by incidental.

	banana	don't	figs	fresh	know	like	orange
IDF	0.47	0.47	0.47	0.47	0.47	0.17	0.47

Note: IDF of each word has only a single value. ('I' was removed since its IDF=0)

Word positions in vector (sorted): [banana, don't, figs, fresh, know, like, orange]

Word vector for each sentence is given below:

Sentence1: [ 0, 0, 0, 0, 0, 0.33\*0.17, 0.33\*0.47 ]

Sentence2: [ 0.33\*0.47, 0, 0, 0, 0, 0, 0.33\*0.17, 0 ]

Sentence3: [ 0, 0.17\*0.47, 0.17\*0.47, 0.17\*0.47, 0.17\*0.47, 0, 0 ]

Warning! Result from Scikit-learn may different because it applies some advanced techniques, e.g. smoothing, log base e.

#### 3. Word Vector

The most popular word embedding.

 Unlike one-hot and tf-idf encodings, word vector can capture the meaning, semantic similarity, and relationship of a word and its

surrounding words.

 Each word is encoded as a multi-dimensional vector.

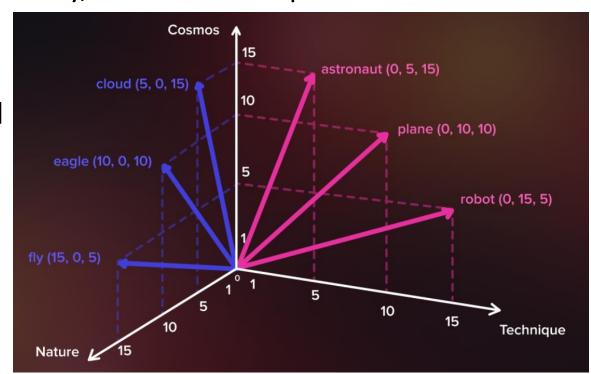


Figure credit: https://serokell.io/blog/word2vec

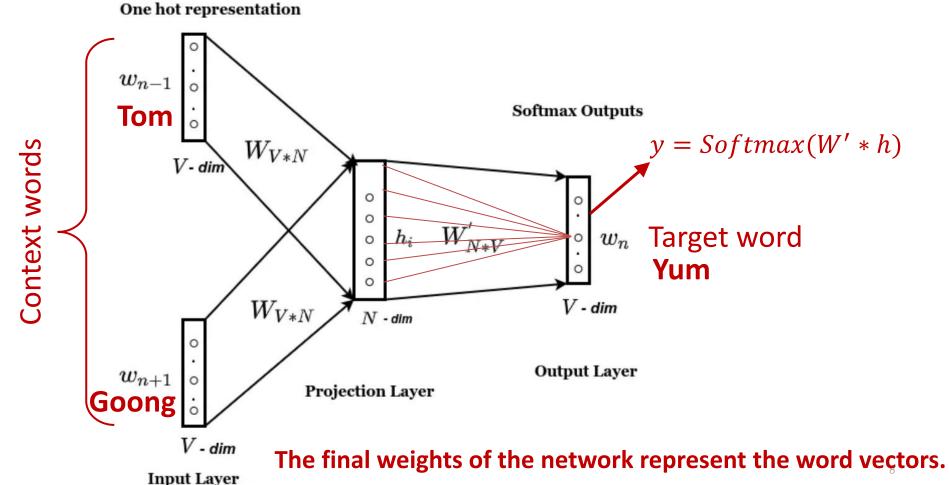
- Word2Vec uses a shallow neural network (have one or two hidden layers between the input and output) to learn the meaning of words from a large corpus of texts.
- The shallow neural network of Word2Vec can quickly recognize semantic similarities and identify synonymous words using logistic regression classifier.
- To represent a particular word as an input vector in multidimensional space, simply use a bag-of-word encoding.
- The training algorithm is categorized into two modes: continuous bag of words (CBOW) or skip-gram.

Continuous bag of words (CBOW) => Tom ? Goong

Predict the target word 'Yum' from the context words (Tom, Goong)

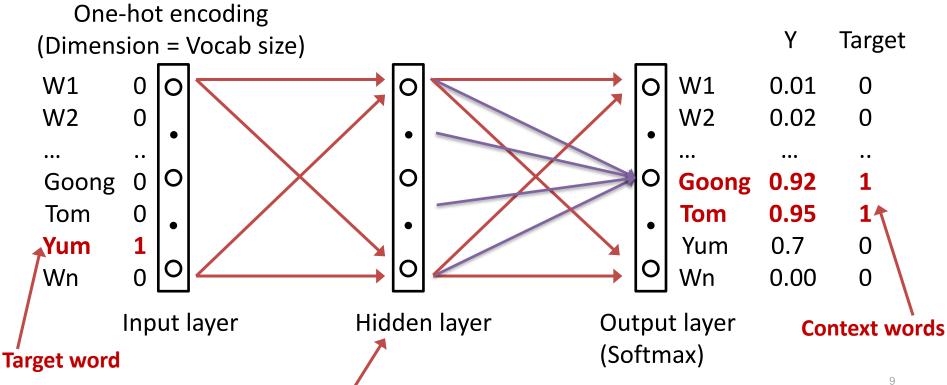
- The input layer is represented by a one-hot encoded vector, where each element in the vector corresponds to a specific word in the vocabulary. For example, if the vocabulary contains 10,000 words, the input layer will have 10,000 elements.
- The hidden layer is where the word embeddings are learned. The number of neurons in the hidden layer can be varied depending upon the dimension of the word vector.
- The output layer is also a dense layer, with each neuron representing a specific word in the vocabulary. The number of neurons in the output layer is the same as the number of words in the vocabulary.

Continuous bag of words (CBOW) Tom ? Goong



• Skip-gram => ? Yum ?

Predict the context words ('Tom', 'Goong') from the given word 'Yum'.



No. of neurons = dimensions of word vector

According to the original paper, Mikolov et al., it is found that

- **Skip-Gram** works well with <u>small datasets</u>, and can <u>better</u> represent less frequent words (e.g. pulchritudinous).
- **CBOW** is found to <u>train faster</u> than Skip-Gram, and can <u>better</u> represent more frequent words (e.g. beautiful, nice).

#### Interesting facts about Word2Vec

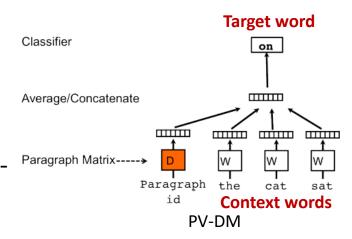
- Word vectors are meaningful as you can find their relationships.
   Vector(King) Vector(Man) + Vector(Woman) = Vector(Queen)
- Gensim<sup>1</sup> provides an implementation of Word2Vec that you can train the model from scratch.
- PyThaiNLP<sup>2</sup> provides Thai2Vec which contains 51,556 Thai word embeddings each of 300 dimensions.
- Another well-known word encoding is GloVe (Global Vectors for Word Representation) from Stanford University which was trained on different method but give similar results.
- 1. <a href="https://radimrehurek.com/gensim/models/word2vec.html">https://radimrehurek.com/gensim/models/word2vec.html</a>
- 2. <a href="https://pythainlp.github.io/tutorials/notebooks/word2vec\_examples.html">https://pythainlp.github.io/tutorials/notebooks/word2vec\_examples.html</a>

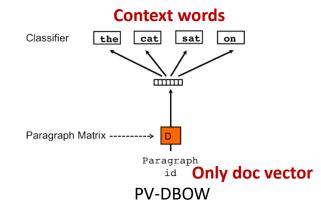
# Representing Sentence with Numeric Values

- The simple strategy to find the sentence vector is to average all vectors of words in that sentence.
- Although this simple averaging works in most task, it performs poorly for sentiment analysis tasks, because it "loses the word order in the same way as the standard bag-of-words models do".
- Other advanced methods to find a sentence vector can be found in:
  - https://nlp.stanford.edu/~socherr/EMNLP2013\_RNTN.pdf
  - https://arxiv.org/abs/1506.06726
  - https://aclanthology.org/P16-1089.pdf
  - https://arxiv.org/pdf/1908.10084.pdf

## Representing Document with Numeric Values

- Doc2Vec has a similar training process to Word2Vec. Two Doc2Vec training algorithms: PV-DM and PV-DBOW. Unseen document is inferred its vector by the average of word vectors.
- **Distributed memory (PV-DM)**: instead of using just words to predict the target word, we also added another feature vector, which is document-unique (paragraph id). The target word is predicted. (PV-DM consumes more memory, but has better result than PV-DBOW)
- Distributed bag of words (PV-DBOW): Only the document vector (or paragraph id) is fed into the hidden layer. The context words in the document are predicted. (PV-DBOW is faster than PV-DM and works very well on shortish-docs)
- Once words are represented as vectors of numeric values, we can apply them to any deep learning models.



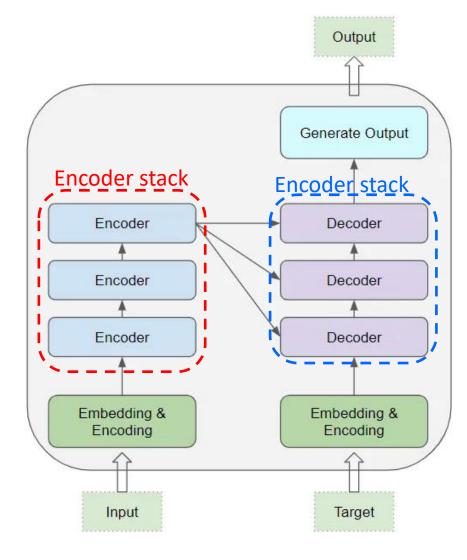


- Transformer is a deep learning architecture that uses Attention Mechanism to significantly improve the performance of NLP translation models.
- It was first introduced in the paper "Attention is all you need"<sup>1</sup>
- Numerous projects including Google's BERT and OpenAl's GPT series have built on this foundation

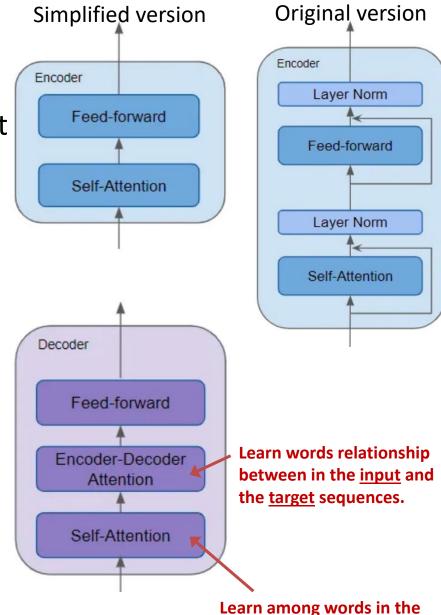


 Transformer takes a text sequence as input and produce another text sequence as output.

- It contains a stack of Encoder layers and Decoder layers.
- Each layer in Encoder and Decoder layers has its own <u>set of</u> <u>weights</u>.
- These <u>weights</u> can <u>learn</u>
   <u>relationship among each word</u>
   <u>and other words</u> in the sentence.
- Finally, there is an Output layer to generate the final output.



- The Encoder contains the allimportant Self-attention layer that computes the <u>relationship</u> <u>between different words in the</u> <u>sequence</u>, as well as a Feedforward layer.
- The Decoder contains the <u>Self-attention layer</u> and the <u>Feed-forward layer</u>, as well as a second <u>Encoder-Decoder attention layer</u>.



target sequence.

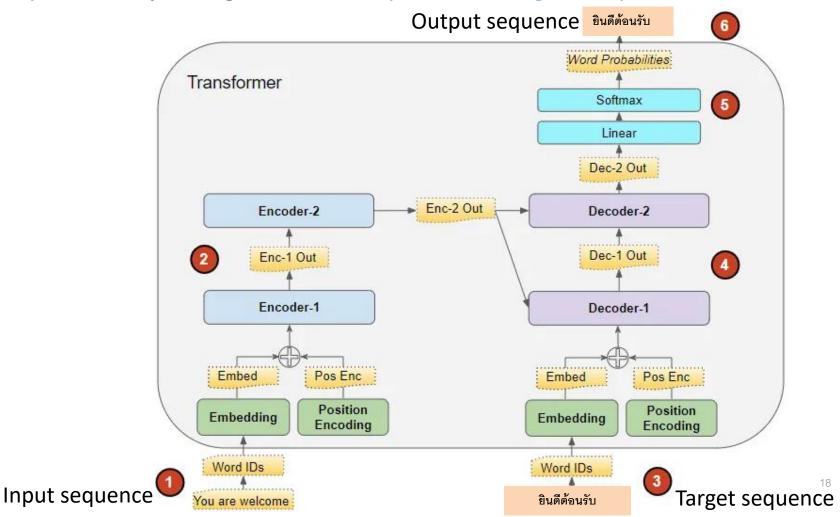
- The most important function in Transformer is its Attention Mechanism.
- While processing a word, <u>Attention enables the model to focus</u> on other words in the input that are closely related to that word.



'Ball' is closely related to 'blue' and 'holding'. On the other hand, 'blue' is not related to 'boy'

- Transformers include multiple attention scores for each word.
- Training data consists of two parts:
  - 1. The source or input sequence (e.g. "You are welcome" in English, for a translation problem)
  - 2. The destination or target sequence (e.g. "ยินดีต้อนรับ" in Thai)

• The Transformer's goal is to learn how to output the target sequence, by using both the input and target sequences.



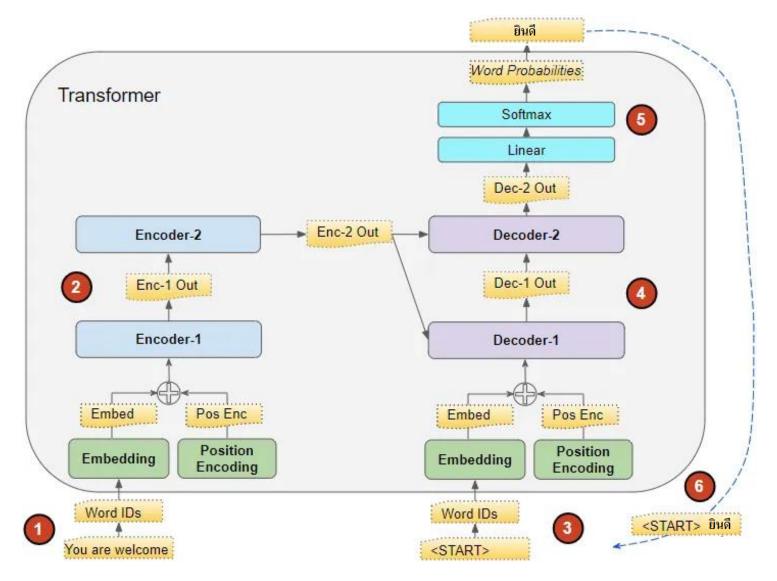
Training steps in Transformer.

- 1. The **input sequence** is **encoded with the position of each word**. Then the encoded signal is fed to the Encoder.
- 2. Encoder stack processes this signal with self attention.
- 3. The **target sequence** is **prepended** with a <u>start-of-sentence token</u>, converted into <u>target embeddings</u> (with <u>positional encoding</u>), and fed to the Decoder.
- 4. The **stack of Decoders** processes the target sequence embedding with **self attention**.
- 5. The outputs from the **Decoder stack** and the **Encoder stack** are brought into the **attention** calculation to find the relationship between them. (Encoder-Decoder attention)
- 6. The Output layer converts it into word probabilities (softmax function) and the final **output sequence**.
- 7. Loss value is calculated and the gradient is back-propagated.

#### **Inference in Transformer**

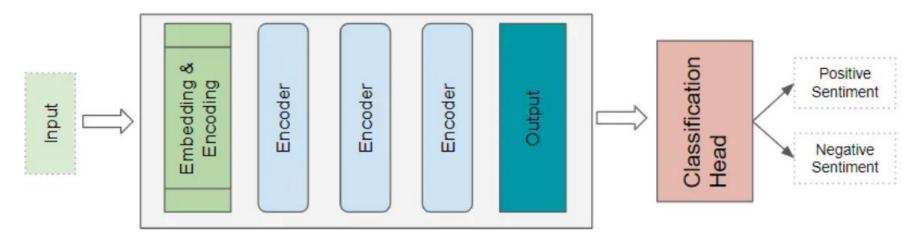
- During Inference, we have only the input sequence and don't have the target sequence to pass as input to the Decoder.
- The goal of the Transformer is to produce the target sequence (at the output layer) from the input sequence alone.
- We generate the output in a loop: feed the entire output sequence from the previous timestep to the Decoder in the next timestep until we reach an end-of-sentence token <eos> at the output layer.

### **Inference in Transformer**



## **Applications of Transformer**

- Transformer is frequently used in sequence-to-sequence models for applications such as Machine Translation, Text Summarization, Question-Answering, Named Entity Recognition, and Speech Recognition.
- The basic Encoder Layer is used as a common building block with different application-specific 'heads' depending on the problem being solved.



Sample application of transformer: Sentiment analysis

## Large Language Model (LLM)

- LLM is a very large deep learning models that are pre-trained on vast amounts of data.
- LLM can perform completely different tasks such as answering questions, summarizing documents, translating languages, sentiment analysis, and text generation, etc.
- Once trained, LLMs can be finetuned to perform specific task by using small data.

#### Three common learning models exist:

- Zero-shot learning; Base LLMs can respond to a broad range of requests without explicit training or prompts.
  - Ex: Query "What is the capital of France?", Answer: "Paris"
- Few-shot learning: By providing a few relevant prompt examples, base model performance significantly improves in that specific area.
  - Ex: "France: Paris, Germany: Berlin, Canada: Ottawa", Query: "Thailand"
- Fine-tuning: This is an extension of few-shot learning in that we train a base model with a specific task's dataset.