Journal of the American Heart Association

ORIGINAL RESEARCH

Coronary Artery Disease and Heart Failure With Preserved Ejection Fraction: The ARIC Study

Jenine E. John , MD; Brian Claggett , PhD; Hicham Skali , MD, MSc; Scott D. Solomon , MD; Jonathan W. Cunningham , MD; Kunihiro Matsushita , MD, PhD; Suma H. Konety , MD, MS; Dalane W. Kitzman, MD; Thomas H. Mosley , PhD; Donald Clark, III , MD; Patricia P. Chang, MD, MHS; Amil M. Shah , MD, MPH

BACKGROUND: Whether coronary artery disease (CAD) is a significant risk factor for heart failure (HF) with preserved ejection fraction (HFpEF) is unclear.

METHODS AND RESULTS: Among 9902 participants in the ARIC (Atherosclerosis Risk in Communities) study, we assessed the association of incident CAD with subsequent incident HFpEF (left ventricular ejection fraction [≥50%]) and HF with reduced ejection fraction (HFrEF; left ventricular ejection fraction <50%) using survival models with time-updated variables. We also assessed the extent to which echocardiographic correlates of prevalent CAD account for the relationship between CAD and incident HFpEF. Over 13-year follow-up, incident CAD developed in 892 participants and 178 subsequently developed HF (86 HFrEF, 71 HFpEF). Incident HFrEF and HFpEF risk were both greatest early after the CAD event. At >1 year post-CAD event, adjusted incidence of HFrEF and HFpEF were similar (7.2 [95% CI, 5.2–10.0] and 6.7 [4.8–9.2] per 1000 person-years, respectively) and CAD remained predictive of both (HFrEF: hazard ratio, 2.76 [95% CI, 1.99–3.84]; HFpEF: 1.85 [1.35–2.54]) after adjusting for demographics and common comorbidities. Among 4779 HF-free participants at Visit 5 (2011–2013), the 490 with prevalent CAD had lower left ventricular ejection fraction and higher left ventricular mass index, E/e', and left atrial volume index (all P<0.01). The association of prevalent CAD with incident HFpEF post-Visit 5 was not significant after adjusting for echocardiographic measures, with the greatest attenuation observed for left ventricular diastolic function.

CONCLUSIONS: CAD is a significant risk factor for incident HFpEF after adjustment for demographics and common comorbidities. This relationship is partially accounted for by echocardiographic alterations, particularly left ventricular diastolic function.

Key Words: atherosclerosis ■ coronary artery disease ■ diastolic function ■ echocardiography ■ heart failure with preserved ejection fraction

eart failure (HF) with preserved ejection fraction (HFpEF) is a major public health concern given its rising prevalence with the aging population.¹ Limited understanding of HFpEF pathobiology has hindered the development of efficacious treatments. While epicardial coronary artery disease (CAD) is an important risk factor for HF with reduced ejection fraction (HFrEF), and has been more strongly associated

with HFrEF than with HFpEF, the prevalence of CAD in HFpEF is considerably higher than among people free of HF.²⁻⁶ Prior epidemiologic studies have identified a history of myocardial infarction (MI) as a risk factor for HFpEF,⁷⁻⁹ but these studies were generally limited by assessing CAD history at a single time-point, occurring at an undefined period prior to the HFpEF event and relative to the development of other HF risk factors.

Correspondence to: Amil M. Shah, MD, MPH, Cardiovascular Division, Brigham and Women's Hospital, 75 Francis St., Boston, MA 02115. Email: ashah11@rics.bwh.harvard.edu

Supplemental Material for this article is available at https://www.ahajournals.org/doi/suppl/10.1161/JAHA.121.021660 For Sources of Funding and Disclosures, see page 10.

© 2022 The Authors. Published on behalf of the American Heart Association, Inc., by Wiley. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.

JAHA is available at: www.ahajournals.org/journal/jaha

CLINICAL PERSPECTIVE

What Is New?

- Coronary artery disease (CAD) is a risk factor for both incident heart failure with reduced ejection fraction and heart failure with preserved ejection (HFpEF) after adjusting for common cardiovascular comorbidities, and this risk is partially accounted for by CAD-associated impairments in left ventricular diastolic function.
- The incidence of heart failure with reduced ejection fraction and of HFpEF are similar after 1 year following a CAD event.

What Are the Clinical Implications?

- Both heart failure with reduced ejection fraction and HFpEF should be in the differential diagnosis for patients with stable CAD and symptoms suspicious for heart failure.
- Further research is required to determine whether CAD contributes to HFpEF development, and whether therapies targeting ischemia can be beneficial in preventing or treating a subset of patients with HFpEF.

Nonstandard Abbreviations and Acronyms

ARIC Atherosclerosis Risk in Communities

FHS Framingham Heart Study

HFPEF heart failure with preserved ejection

fraction

HFrEF heart failure with reduced ejection

fraction

Limited data exist regarding the prognostic importance of CAD for the development of HFpEF after accounting for concurrent common shared cardiovascular comorbidities. Furthermore, there are limited data regarding the extent to which CAD-associated alterations in cardiac structure and function, including contemporary measures of left ventricular (LV) deformation and diastolic function, account for associations with subsequent HFpEF development.

Understanding the role of CAD as a risk factor for HFpEF could have significant implications for the diagnostic and therapeutic approach to HFpEF. We aimed to determine the longitudinal association of CAD, defined using MI or coronary revascularization, with subsequent incident HFpEF after adjusting for common comorbidities assessed in a time-updated manner. We also aimed to assess the extent to which CAD-associated alterations in cardiac structure and function may account for this relationship.

METHODS

Data and Analytic Methods Availability

Anonymized data from the ARIC (Atherosclerosis Risk in Communities) study have been made publicly available at the NHLBI Biologic Specimen and Data Repository Information Coordinating Center (BioLINCC) and can be accessed at https://biolincc.nhlbi.nih.gov/studies/aric/. The code used for statistical analyses in this study is available from the corresponding author upon reasonable request.

Study Population

The ARIC study is an ongoing prospective cohort study that enrolled 15 792 men and women aged 45 to 65 years in 1987 to 1989 from 4 communities in the United States: Forsyth County, NC; Jackson, MS; suburban Minneapolis, MN; and Washington County, MD. Participants have completed 7 study visits, with comprehensive echocardiography performed at the fifth study visit (2011-2013). Evaluations including physical examination, questionnaires, ECG, and blood draws were performed at study visits, with information on interim medical events and medication use collected through additional annual and semi-annual questionnaires as previously described. 10 Participants who were free of CAD and HF as of 2005, the time at which adjudication for HF began, were included for the analysis of incident CAD as a risk factor for incident HF (Analysis 1; Figure 1). Prevalent HF was defined based on Gothenburg criteria at Visit 1, HF-related International Classification of Diseases (ICD) hospital discharge codes between Visit 1 and January 1, 2005, and physician survey response affirming the presence of HF in participants who self-reported HF between Visit 1 and January 1, 2005. Prevalent CAD as of 2005 was defined as a self-reported history of CAD at Visit 1, or an adjudicated MI or coronary revascularization event prior to January 1, 2005. Participants who were free of HF and underwent a standardized echocardiogram at Visit 5 were included for the analysis of echocardiographic parameters associated with prevalent CAD (Analysis 2: Figure 1). For this analysis, prevalent HF at Visit 5 was defined based on physician adjudication of hospitalizations with HF-related ICD discharge codes starting in 2005, HF-related ICD codes from hospitalizations before 2005, physician survey, and self-report on annual/ semi-annual follow-up calls. Institutional review boards at each field center approved the study protocol, and all participants provided written informed consent.

Ascertainment of CAD

Surveillance of MI and coronary revascularization (percutaneous coronary intervention or coronary artery bypass surgery) was performed from study inception

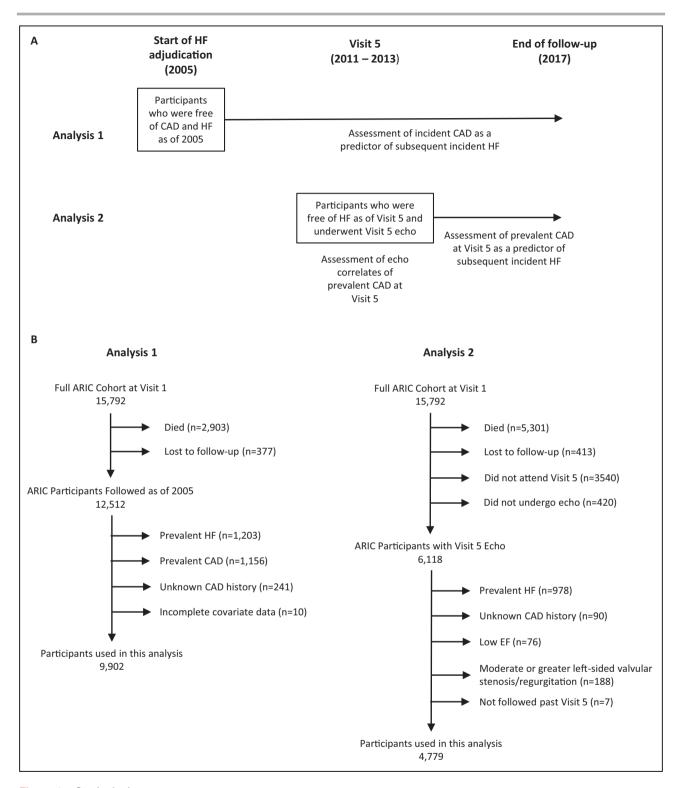


Figure 1. Study design.

A, Design of Analysis 1 (analysis of incident CAD as a risk factor for subsequent incident HF) and Analysis 2 (analysis of echocardiographic correlates of CAD). **B**, Derivation of study sample from overall ARIC cohort for Analysis 1 and 2. ARIC indicates Atherosclerosis Risk in Communities; CAD, coronary artery disease; echo, echocardiogram; EF, ejection fraction; and HF, heart failure.

through active surveillance of *ICD* hospital discharge codes and participant interview. Medical records were abstracted from all identified hospitalizations and were

adjudicated by physicians as previously described.¹¹ For Analysis 1, incident CAD was defined using adjudicated MI and coronary revascularization. For Analysis 2,

prevalent CAD at visit 5 was defined as a self-reported history of CAD at Visit 1, an adjudicated MI or coronary revascularization event prior to Visit 5, or silent MI by serial ECG changes as of Visit 5. Deaths were ascertained by ARIC surveillance or the National Death Index.

Ascertainment of Heart Failure

Physician adjudication of HF in ARIC began in 2005 as previously described, so incident HF cases between 2005 and 2017 were assessed. 12 Surveillance of ICD codes related to HF, including dyspnea and acute lung edema, was performed to identify hospitalizations for adjudication. Incident heart failure was defined as the first hospitalization for HF. Hospitalizations that were adjudicated as both MI and HF hospitalizations were classified as MI-only hospitalizations, since HF signs and symptoms may occur as a manifestation of MI (eg, Killip class; n=72). LVEF abstracted from the first incident adjudicated HF hospitalization was used to classify HF as HFpEF (LVEF ≥50%) or HFrEF (LVEF <50%). A separate category for HF with midrange ejection fraction (HFmrEF) was not created given the absence of reliable numeric LVEF values in 33% of hospitalizations and resultant limitations in power to draw meaningful conclusions about this group. When LVEF was unavailable from this hospitalization, the most recent abstracted LVEF from a prior hospitalization-if available—was used. If the prior LVEF was normal, it was only used if it was from within 6 months before the HF hospitalization and without an interval MI. Of the incident HF cases between 2005 and 2017, 8% of the HFrEF cases and 3% of the HFpEF cases were defined using a prior LVEF. During this period, 14% of incident HF cases were unclassifiable with respect to LVEF.

Assessment of Clinical Covariates

Information on clinical covariates was obtained from measurements, medication lists, and self-reported data from study visits, as well as self-reported data from annual and semi-annual questionnaires. Hypertension and diabetes were assessed using measurements at study visits (systolic blood pressure ≥140 mm Hg or diastolic blood pressure ≥90 mm Ha for hypertension. fasting glucose ≥126 mg/dL or nonfasting glucose ≥200 md/dL for diabetes), self-report, and medication use. Atrial fibrillation was assessed using study visit ECGs, hospital discharge records, and self-report.¹³ Stroke was assessed by self-report and adjudication of hospitalizations.¹⁴ Smoking status was assessed by self-report. Laboratory values were obtained from blood drawn at study visits. Estimated glomerular filtration rate (eGFR) was calculated using the Chronic Kidney Disease Epidemiology Collaboration equation.¹⁵ Body mass index (BMI, kg/m²) was determined using weight and height from study visits. Covariates at 2005 were determined using the most recently available values from prior visits or annual/semi-annual follow-up calls. For eGFR and BMI, these data were primarily carried forward from Visit 4 (1996–1999).

Echocardiography

Standardized comprehensive echocardiography was performed at Visit 5 (2011–2013) by certified study sonographers following a standardized image acquisition protocol using uniform imaging equipment as previously described (Data S1).¹⁶ Blinded analysts at a dedicated core laboratory determined quantitative measures according to the recommendations of the American Society of Echocardiography.^{17,18} Reproducibility metrics have been previously reported.¹⁶

Statistical Analysis

For the analysis of incident CAD as a risk factor for subsequent incident HF (Analysis 1), multivariable Cox proportional hazards models were employed to analyze the association of incident CAD with the outcome of subsequent incident HF and HF phenotype. Because patients are at high risk for several weeks immediately following a CAD event, and are generally considered to have stable CAD by 1 year after the CAD event, 19 CAD was modeled as a time-updated variable with separate categories for 0 to <90 days after the CAD event, 90 days to 1 year after the CAD event, and >1 year after the CAD event. Model covariates were selected based on a priori knowledge. Adjustment variables were age, race-sex category, hypertension, atrial fibrillation, stroke, eGFR, and BMI. Diabetes, current/prior smoking, and field center were included as stratification factors rather than covariates to address potential violations of proportional hazards assumptions. Participants were divided by selfreported race into Black and non-Black categories, and self-reported race and sex were combined to create a race-sex categorical variable. Hypertension, atrial fibrillation, stroke, diabetes, current/prior smoking, eGFR, and BMI were modeled as time-updated variables. eGFR and BMI were modeled quadratically.

Adjusted incidence rates of HF, HFpEF, and HFrEF were obtained using Poisson regression with adjustment for the same covariates as used in the Cox proportional hazards model. When assessing incident HFpEF as the primary outcome, participants experiencing incident HFrEF or incident HF with unknown EF were censored at the time of that event, and vice versa for incident HFrEF. A sensitivity analysis was also performed using the Fine-Gray subdistribution hazard modeling approach for competing risks.²⁰ Diabetes, smoking, and field center were included as covariates for these models. In order to determine whether sex or factors associated with the social construct of race affect the relationship between incident CAD and subsequent HF,

effect modification by sex and self-reported race on the relationship was assessed by multiplicative interaction terms. Participants reporting non-Black and non-White race were excluded from the assessment of effect modification by self-reported race (n=30). Additional sensitivity analyses were performed categorizing participants with incident HF with unknown EF as either HFpEF or HFrEF. The composite outcome of death and HF hospitalization was also assessed.

The analysis of the echocardiographic correlates of prevalent CAD at Visit 5 was performed among HF-free ARIC participants who underwent echocardiography at Visit 5 and had an LVEF ≥50% (Analysis 2). Participants with unknown CAD history (n=90) or moderate or greater left-sided valvular disease (n=188) on Visit 5 echocardiography were excluded. The association of prevalent CAD at Visit 5 with echocardiographic measures was performed using 2 multivariable linear regression models: Model 1 adjusted for age, sex, self-reported race, and field center; Model 2 additionally adjusted for diabetes, hypertension, atrial fibrillation, stroke, current/prior smoking, eGFR, BMI, hemoglobin A1c, and hemoglobin.

The association of prevalent CAD at Visit 5 with incident HFpEF was assessed in a multivariable Cox proportional hazards model. The model was adjusted only for demographic variables given that clinical HF risk factors may impact HF risk through effects on cardiac structure and function. The model was adjusted for age with sex, self-reported race, and field center as stratification factors to avoid potential overfitting given the limited number of events. The extent to which echocardiographic measures attenuated the association of prevalent CAD with incident HFpEF was then assessed by comparing models without or with echocardiographic measures as model covariates. Participants with an MI after Visit 5 were censored at the time of that event so that the predictive value of Visit 5 echo parameters on subsequent HFpEF could be assessed in the absence of intercurrent MI. Echocardiographic measures were grouped into those relating to structure (end-diastolic LV internal dimension, mean LV thickness, LV mass index), systolic function (ejection fraction, longitudinal strain), and diastolic function (left atrial volume index, peak E wave, septal e', E/e').

A *P* value of <0.05 was considered significant. Analyses were performed with Stata, version 14 (Statacorp, College Station, TX).

RESULTS

Incident CAD and Subsequent Incident HFpEF and HFrEF

Of the 12 512 ARIC participants followed as of 2005, 1203 had prevalent HF, 1156 had prevalent CAD, 241

had incomplete history of CAD, and 10 had missing covariate data, leaving 9902 CAD-free and HF-free participants included in the analysis (Figure 1). The average age was 70±6 years, 61% were female, and 26% were Black (Table 1). During median follow-up of 13 years, 892 participants (9%) developed incident CAD (560 MI and 332 coronary revascularization), of whom 178 developed subsequent HF (20% of patients with incident CAD; 86 HFrEF and 71 HFpEF). An additional 934 participants developed incident HF without antecedent incident MI/revascularization.

The risk of incident HF was time-dependent following the incident CAD event, with risk greatest in the first 90 days, intermediate in the period from 90 days to 1 year, and lowest at >1 year after the CAD event (Table 2). A similar temporal pattern was observed for incident HFrEF alone and HFpEF alone (Table 2, Figure 2), and for the composite of HF or death (Table S1). At >1 year after the CAD event, incident CAD remained predictive of both incident HFrEF (hazard ratio [HR], 2.76; 95% CI, 1.99-3.84) and HFpEF (HR, 1.85; 95% CI, 1.35-2.54), with no significant effect modification by sex or self-reported race (Figure 3, Tables S2 and S3). Similar risks for HFpEF were observed at >1 year following incident MI alone, and following incident revascularization alone (Table S4). The adjusted incidence rates of HFrEF and HFpEF were similar at >1 year following the CAD event ([7.2, 95% CI, 5.2–10.0]

Table 1. Baseline Characteristics for Participants Free of CAD and HF in 2005 (n=9902)

Charecteristic	Value (mean±SD or n (%))
Age, y	70±6
Female	6046 (61%)
Self-reported race	
Black	2542 (26%)
White	7340 (74%)
Asian	22 (<1%)
Native American	8 (<1%)
Diabetes	2381 (24%)
Hypertension	6865 (69%)
Atrial fibrillation	438 (4%)
Stroke	321 (3%)
Current/former smoker	6007 (61%)
eGFR, mL/min per 1.73 m ²	82.8±15.5
BMI, kg/m ²	28.5±5.5
Center	
Forsyth	2495 (25%)
Jackson	2276 (23%)
Minneapolis	2683 (27%)
Washington	2458 (25%)

BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; and HF, heart failure.

and [6.7, 95% CI, 4.8–9.2] per 1000 person-years, respectively; Table 2). Similar results were obtained in the following sensitivity analyses: (1) classifying all HF with unknown LVEF either as HFrEF or as HFpEF (Table S5); (2) censoring participants if they develop an MI after the initial CAD event (72 participants; Table S6); (3) censoring participants if the initial CAD hospitalization was also adjudicated as an HF hospitalization (Table S7); (4) including incident HF hospitalizations that were also adjudicated as an MI hospitalization (Table S8); (5) performing Fine-Gray modeling for competing risks²⁰ (Table S9); and (6) performing the analysis separately in the early portion of the study period (2005–2011) and late portion of the study period (2011–2017; Table S10).

Cardiac Structural and Functional Correlates of Prevalent CAD With Preserved LVEF

Of the 6118 ARIC participants undergoing echocardiography at Visit 5, 978 had prevalent HF, 90 had unknown CAD history, 76 had an LVEF <50%, 188 had at least moderate left-sided valvular disease, and 7 were not followed after Visit 5, leaving 4779 HF-free participants included in the analysis (Figure 1). Prevalent CAD was present in 490 (10%) of participants at Visit 5, and was associated with older age and higher prevalence of cardiovascular comorbidities (Table 3), Prevalent CAD was associated with lower LVEF, higher LV mass index related to both greater LV wall thickness and chamber dimension, and higher echocardiographic indices of LV filling pressure (E/e' ratio and left atrial volume index; Table 4). These associations persisted after adjustment for demographics and common cardiovascular comorbidities, including diabetes, hypertension, atrial fibrillation, stroke, and current/prior smoking, in addition to eGFR, BMI, hemoglobin A1c, and hemoglobin.

At a median follow-up of 5.5 years post-Visit 5, 214 participants developed incident HF (74 HFrEF, 109 HFpEF). Prevalent CAD at Visit 5 was a significant predictor both incident HFrEF (HR, 2.05; 95% CI, 1.13–3.75) and HFpEF (HR, 1.80; 95% CI, 1.07–3.02). The association with HFpEF was attenuated after further adjustment for echocardiographic measures, with the greatest attenuation observed with the addition of LV structural measures or diastolic function measures (Table 5).

DISCUSSION

CAD is an established—and powerful—risk factor for incident HF generally, and HFrEF in particular. Using a time-updated analysis in a community-based cohort, we report that incident CAD, as defined by MI and coronary

Table 2. Risk of Incident HF and HF Subtypes by CAD Status

	Incident HF	뚜			Incident HFrEF	FrEF			Incident HFpEF	FpEF		
	Events (n=1112)	Events Adjusted (n=1112) incidence rate	Hazard ratio	P value	Events (n=419)	Adjusted incidence rate	Hazard ratio	P value	Events (n=532)	Adjusted incidence rate	Hazard ratio	P value
No CAD event	934	7.1 (6.6–7.7)	Reference		333	2.5 (2.2–2.8)	Reference		461	3.3 (2.9–3.7)	Reference	
0 to <90 d after CAD event	41	134.5 (98.2–184.2)	20.02 (14.44–27.75)	<0.001	24	78.0 (51.4–118.3)	34.29 (22.09–53.23)	<0.001	15	45.6 (27.2–76.6)	13.63 (7.99–23.26)	<0.001
90 d to 1 y after CAD event	32	38.0 (26.6–54.1)	5.57 (3.90–7.97)	<0.001	18	21.2 (13.2–34.2)	8.63 (5.31–14.02)	<0.001	1	12.1 (6.6–22.0)	3.99 (2.18–7.32)	<0.001
>1 y after CAD event	105	17.0 (13.8–20.9)	2.20 (1.79–2.72)	<0.001	44	7.2 (5.2–10.0)	2.76 (1.99–3.84)	<0.001	45	6.7 (4.8–9.2)	1.85 (1.35–2.54)	<0.001

Models are adjusted for age, combined self-reported race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. Adjusted ncidence rates are per 1000 person-years. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction;

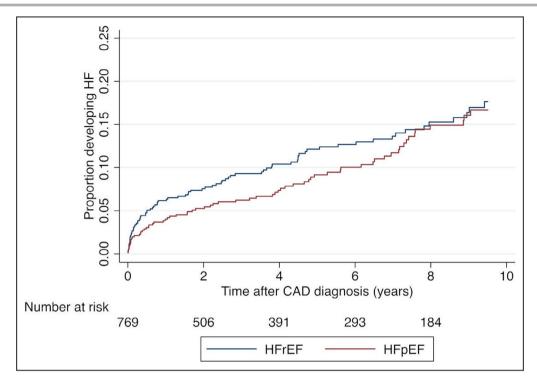


Figure 2. Incidence of HFrEF and HFpEF following CAD event.

Cumulative incidence of HFrEF (blue) and HFpEF (red) after CAD diagnosed by MI or revascularization.

CAD indicates coronary artery disease; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; and MI, myocardial infarction.

revascularization, is also a risk factor for subsequent incident HFpEF after adjusting for common comorbidities including hypertension, diabetes, atrial fibrillation, smoking, stroke, eGFR, and BMI. At >1 year after an incident CAD event, there was a similar likelihood of developing HFpEF and HFrEF. Even in the setting of a preserved LVEF, prevalent CAD was associated with greater LV mass index and higher LV filling pressure, as indicated by higher E/e' ratio and left atrial volume index, and these parameters partially accounted for the relationship between CAD and incident HFpEF.

Prior Research on CAD in HFpEF

Both HFrEF and HFpEF are characterized by a combination of systolic dysfunction (abnormal ejection fraction or abnormal longitudinal strain), and diastolic dysfunction. Myocardial ischemia results in earlier and more prolonged impairment in LV diastolic compared to systolic function, and revascularization rapidly improves diastolic function in patients with stable CAD and normal ejection fraction. AD may therefore be an important contributor to the development of HFpEF, as supported by previous studies demonstrating associations between prior MI and HFpEF and between extent of CAD and HFpEF risk in patients who are post-MI. Prior MI was the one of the strongest risk factors for prevalent HF-the large majority HFpEF—in the CHS (Cardiovascular Health

Study) .⁷ In a cross-cohort evaluation of CHS, the FHS (Framingham Heart Study) , and the PREVEND (Prevention of Renal and Vascular Endstage Disease) study, history of MI at baseline was a predictor of incident HFpEF over a median follow-up of 12 years.⁸ In the MESA (Multi-Ethnic Study of Atherosclerosis) , post-enrollment MI was a significant risk factor for incident HFpEF although adjustment covariates were assessed only at baseline.⁹ Patients with MI represent one subset of CAD patients. Studies of participants with stable CAD and normal EF have found that most of those who later develop HF did not have an interval MI, and that prior revascularization is a risk factor for incident HF.^{29,30}

CAD as a Risk Factor for HFpEF

We found both MI and revascularization without preceding MI to be a significant predictor of incident HFpEF after adjusting for demographics and common comorbidities. By analyzing CAD and the potential confounding covariates as time-updated variables, our analysis minimized residual confounding relative to prior analyses assessing predictors and confounders at a single time point. By accounting for the high HF risk early after a CAD event, we observed that CAD is a predictor of HFpEF beyond the acute functional and hemodynamic perturbations and increased monitoring occurring in the period surrounding a CAD event. We

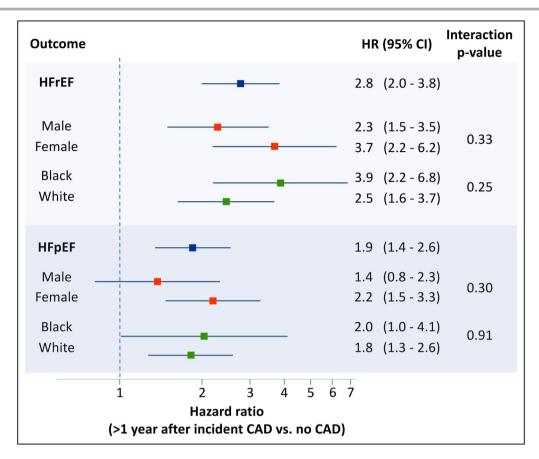


Figure 3. Risk of incident HFrEF and HFpEF associated with incident CAD compared to no CAD. Analysis 1 hazard ratios for incident CAD as a risk factor for incident HFrEF and HFpEF events occurring >1 year afterward. Models are adjusted for age, combined self-reported race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. Boxes represent the hazard ratios, and the horizontal lines indicate the 95% CIs. The vertical dashed line marks a hazard ratio of 1. Blue represents the hazard ratios for all HFrEF and HFpEF events. Red represents sex subgroups and green represents self-reported race subgroups. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HFpEF, heart failure with preserved ejection fraction; and HFrEF, heart failure with reduced ejection fraction.

focused on the period of >1 year after the CAD event to evaluate stable CAD, but it is interesting to note that the risk for both HFpEF and HFrEF was higher at 90 days to 1 year after incident CAD compared with >1 year after incident CAD.

Prior small studies of patients with prevalent HFpEF have observed only subtle differences in echocardiographic measures between those with versus without prevalent CAD.^{6,31} In our study of older people free of HF, prevalent CAD was associated with greater structural remodeling, higher LV filling pressure, and lower LVEF. Each of these partially accounted for the association of CAD with incident HFpEF. It is possible that the presence of structural remodeling or echocardiographic markers of elevated left atrial pressure may itself make a diagnosis of HFpEF more likely in a patient admitted with symptoms consistent with HF, so our findings merit future confirmation. Together these

findings raise the possibility that CAD-associated ischemia, due either to epicardial CAD or concomitant coronary microvascular dysfunction, may lead to systolic and diastolic dysfunction and ultimately HFpEF. Consistent with this are prior studies that have implicated microvascular dysfunction in the development of HFpEF, and the finding that both obstructive and non-obstructive CAD may have associated coronary microvascular dysfunction. These findings argue for an important, and potentially underappreciated, role of CAD in the development of HFpEF.

Future Directions

Our findings highlight that a promising group to target for HFpEF prevention is people with CAD. This is particularly important as CAD in HFpEF is likely underdiagnosed with current clinical approaches since coronary angiography is infrequently used to evaluate

Table 3. Baseline Characteristics for Participants Free of HF, LVEF <50%, and Moderate-or-Greater Left-Sided Valvular Dysfunction at Visit 5

Variable	No CAD (n=4289)	Prevalent CAD (n=490)	P value	Adj P value
Age, y	75.1±5.0	76.9±5.2	<0.001	<0.001*
Female	2679 (62.5%)	153 (31.2%)	<0.001	<0.001*
Black	907 (21.1%)	30 (6.1%)	<0.001	0.120*
Diabetes	1453 (33.9%)	205 (41.8%)	0.001	<0.001
Hypertension	3472 (81.0%)	462 (94.3%)	<0.001	<0.001
Atrial fibrillation	712 (16.6%)	138 (28.2%)	<0.001	<0.001
Stroke	109 (2.5%)	23 (4.7%)	0.012	0.025
Current/former smoker	2537 (59.2%)	354 (72.2%)	<0.001	0.001
eGFR, mL/min per 1.73 m ²	71±16	66±17	<0.001	<0.001
BMI, kg/m ²	28.5±5.5	27.9±4.2	0.017	0.57
Hemoglobin, g/dL	13.3±1.4	13.7±2.1	<0.001	0.162
Hemoglobin A1c, %	5.9±0.8	6.0±0.8	<0.001	<0.001
Center			<0.001	
Forsyth	1010 (23.5%)	136 (27.8%)		
Jackson	823 (19.2%)	24 (4.9%)		
Minneapolis	1316 (30.7%)	157 (32.0%)		
Washington	1140 (26.6%)	173 (35.3%)		

Adjusted P values are adjusted for age, sex, self-reported race, and field center. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; and LVEF, left ventricular ejection fraction.

HFpEF.³⁶ Prior observational studies have found a prevalence of CAD of about 50% or less among individuals with HFpEF.^{3,4} However, an autopsy-based study reported CAD in 65% of HFpEF patients compared with only 13% of age-matched controls,⁵ and a study of systematic angiography in patients with acute decompensated HFpEF found obstructive coronary artery stenosis or a history of CAD in 79%.⁶ Despite recent observational data suggesting less reduction

in LVEF and improved survival among HFpEF patients with CAD undergoing complete revascularization versus not, 31,37 the lack of benefit with revascularization in prior observational studies make the potential benefit of routine revascularization of stable CAD for HFpEF prevention uncertain. 38,39 However, rigorous identification of CAD may allow the identification of a subset of HFpEF patients for whom certain medical therapies are effective, such as spironolactone, 40 interleukin-1

Table 4. Echocardiographic Correlates of Prevalent CAD at Visit 5

Variable	No CAD	CAD	P value	Model 1 P value	Model 2 P value
Structure					
LVEDD (cm)	4.35±0.47	4.51±0.49	<0.001	0.019	0.043
Mean LV wall thickness (cm)	0.97±0.13	1.02±0.15	<0.001	<0.001	0.014
LVMI (g/m²)	77±17	85±21	<0.001	<0.001	<0.001
Systolic function					
Ejection fraction (%)	66.2±5.2	64.9±5.5	<0.001	0.001	0.006
Longitudinal strain (%)	-18.2±2.3	-17.7±2.6	<0.001	0.002	0.059
Diastolic function					
LAVi (mL/m²)	25±8	28±10	<0.001	<0.001	<0.001
Peak E wave (cm/s)	66±17	68±20	0.010	<0.001	<0.001
Septal e' (cm/s)	5.8±1.5	5.6±1.3	0.031	0.011	0.081
E/e'	12.0±3.8	12.8±5.0	<0.001	<0.001	<0.001

Values are mean±SD, n (%), or median [25th-75th percentile]. Model 1: Adjusted for age, sex, self-reported race, field center. Model 2: Adjusted for age, sex, self-reported race, field center, diabetes, hypertension, atrial fibrillation, stroke, current/prior smoking, hemoglobin A1c, and hemoglobin. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; LAVi, left atrial volume index; LV, left ventricular, LVEDD, left ventricular end-diastolic dimension and LVMI, left ventricular mass index.

^{*}The adjusted P values for these variables are adjusted only for the remaining variables.

Table 5. Impact of Left Ventricular Structure and Function on the Association of Prevalent CAD at Visit 5 With Subsequent Incident HFpEF

	Model for HFp without echo parameters	EF	Model for HFp	
	HR of CAD	P value	HR of CAD	P value
Structure	1.80 (1.07–3.03)	0.027	1.54 (0.91–2.62)	0.110
Systolic function	1.75 (1.03–2.98)	0.039	1.68 (0.98–2.87)	0.058
Diastolic function	1.73 (1.02–2.94)	0.043	1.35 (0.78–2.33)	0.277

Model is adjusted for age and stratified by sex, self-reported race, and field center. Structural parameters are end-diastolic LV internal dimension (cm) and mean LV thickness (cm); systolic function parameters are ejection fraction (%) and longitudinal strain (%); diastolic function parameters are left atrial volume index (mL/m²), peak E wave (cm/s), septal e' (cm/s), and E/e'. CAD indicates coronary artery disease; HFpEF, heart failure with preserved ejection fraction; HR, hazard ratio; and LV, left ventricular.

inhibitors.41 or sodium-glucose cotransporter-2 (SGLT2) inhibitors.42

Limitations

Several limitations of this study should be noted. There may be survival bias because of participant death, but the ARIC cohort is a community-based study of primarily older individuals, and therefore is roughly representative of the population at risk for HFpEF. Among ARIC participants alive and followed as of Visit 5, 61% chose to attend the visit and underwent echocardiography. Attrition bias due to visit nonattendance may therefore be an issue for Analysis 2 and may limit the generalizability of Analysis 2 findings. Analysis 1 is more robust to attrition bias as information from annual and semiannual follow-up telephone calls and surveillance of hospitalizations was used for this analysis. An additional limitation is that the large majority of Black participants are from the Jackson field center, and therefore race effects cannot be disentangled from effects related to the region or center of participants. Data on LVEF at the time of HF hospitalization were not available in a minority of hospitalizations, but sensitivity analyses found that this does not meaningfully impact the results. Baseline values for some characteristics such as eGFR and BMI had to be carried forward from prior time points and may have led to misclassification. The definition of HFpEF in the study was consistent with that used in prior epidemiologic studies.⁴³ However, recent consensus statements have proposed specific echocardiographic and biomarker criteria for diagnosing HFpEF. These recommendations were not available at the time of HF hospitalization, chart abstraction, or adjudication, and these measures were therefore not routinely available from the time of incident HF hospitalization. Additionally, as with the majority of epidemiology studies on HFpEF, only inpatient HF diagnoses were captured. ⁴³ It is possible that some coronary revascularization procedures were performed for an outpatient HF diagnosis, but this is more likely for HFrEF than HFpEF. We used adjudicated MI events, rather than *ICD* codes, to mitigate the potential for misclassifying a type 2 MI related to decompensated HF exacerbation as an MI. Also, a sensitivity analysis showed that the results would not significantly change if we censored participants if their initial CAD hospitalization was also classified as an HF hospitalization. Finally, participants without an MI but with nonobstructive CAD or unrevascularized obstructive CAD would not be captured as CAD in this study, but we would expect this to bias results toward the null.

ARTICLE INFORMATION

Received March 15, 2021; accepted December 10, 2021.

Affiliations

Noninvasive Cardiovascular Imaging Program, Departments of Medicine and Radiology (J.E.J., H.S., J.W.C.); Cardiovascular Division (J.E.J., B.C., H.S., S.D.S., J.W.C., A.M.S.); Johns Hopkins Bloomberg School of Public Health (K.M.); Division of Cardiovascular Medicine (S.H.K.); Cardiovascular Medicine Section, Wake Forest School of Medicine, Winston-Salem, NC (D.W.K.); Department of Medicine (T.H.M.); and Division of Cardiology (D.C.), University of Mississippi Medical Center, Jackson, MS; and Division of Cardiology, University of North Carolina at Chapel Hill, Chapel Hill, NC (P.P.C.).

Acknowledgments

The authors thank the staff and participants of the ARIC study for their important contributions.

Sources of Funding

The Atherosclerosis Risk in Communities study has been funded in whole or in part with Federal funds from the National Heart, Lung, and Blood Institute (NHLBI), National Institutes of Health, Department of Health and Human Services, under Contract nos. (HHSN268201700001I, HHSN268201700002I, HHSN268201700003I, HHSN268201700004I). Work for the manuscript was also supported by NHLBI grants R01HL135008, R01HL143224, R01HL150342, R01HL148218, and K24hl152008 (Dr Amil Shah).

Disclosures

Dr Jenine E. John and Dr Jonathan W. Cunningham supported by the National Heart, Lung, and Blood Institute T32 postdoctoral training grant (T32HL094301-10). Dr Brian Claggett has consulted for Amgen, Boehringer-Ingelheim, Corvia, Myokardia, and Novartis. Dr Hicham Skali has received stock options in OptimizeRx for consulting/advisory roles. Dr Scott D. Solomon has received research grants from Alnylam, Amgen, AstraZeneca, Bellerophon, Bayer, BMS, Celladon, Cytokinetics, Eidos, Gilead, GSK, Ionis, Lone Star Heart, Mesoblast, MyoKardia, NIH/NHLBI, Novartis, Sanofi Pasteur, Theracos, and has consulted for Akros, Alnylam, Amgen. AstraZeneca, Bayer, BMS, Cardior, Corvia, Cytokinetics, Gilead, GSK, Ironwood, Merck, Myokardia, Novartis, Roche, Takeda, Theracos, Quantum Genetics, Cardurion, AoBiome, Janssen, Cardiac Dimensions, and Tenaya. Dr Dalane W. Kitzman has received grants and consulted for Novartis, Bayer, and AstraZeneca, consulted for Merck, and owns stock in Gilead. Dr Amil M. Shah has received research support from Novartis and Philips Ultrasound through Brigham and Women's Hospital and has consulted for Philips Ultrasound and Edwards Lifesciences. Dr Kunihiro Matsushita: None. Dr Suma H. Konety: None. Dr Thomas H. Mosley: None. Dr Donald Clark 3rd: None. Dr Patricia P. Chang: None.

Supplemental Material

Data S1 Tables S1-S10

REFERENCES

- Oktay AA, Rich JD, Shah SJ. The emerging epidemic of heart failure with preserved ejection fraction. Curr Heart Fail Rep. 2013;10:401–410.
- Ho JE, Gona P, Pencina MJ, Tu JV, Austin PC, Vasan RS, Kannel WB, D'Agostino RB, Lee DS, Levy D. Discriminating clinical features of heart failure with preserved vs. reduced ejection fraction in the community. Eur Heart J. 2012;33:1734–1741.
- Yancy CW, Lopatin M, Stevenson LW, De Marco T, Fonarow GC. Clinical presentation, management, and in-hospital outcomes of patients admitted with acute decompensated heart failure with preserved systolic function: a report from the Acute Decompensated Heart Failure National Registry (ADHERE) database. J Am Coll Cardiol. 2006;47:76– 84. doi: 10.1016/j.jacc.2005.09.022
- Lee DS, Gona P, Vasan RS, Larson MG, Benjamin EJ, Wang TJ, Tu JV, Levy D. Relation of disease pathogenesis and risk factors to heart failure with preserved or reduced ejection fraction: insights from the Framingham heart study of the national heart, lung, and blood institute. *Circulation*. 2009;119:3070–3077. doi: 10.1161/CIRCULATIO NAHA.108.815944
- Mohammed SF, Hussain S, Mirzoyev SA, Edwards WD, Maleszewski JJ, Redfield MM. Coronary microvascular rarefaction and myocardial fibrosis in heart failure with preserved ejection fraction. *Circulation*. 2015;131:550–559. doi: 10.1161/CIRCULATIONAHA.114.009625
- Trevisan L, Cautela J, Resseguier N, Laine M, Arques S, Pinto J, Orabona M, Barraud J, Peyrol M, Paganelli F, et al. Prevalence and characteristics of coronary artery disease in heart failure with preserved and mid-range ejection fractions: a systematic angiography approach. *Arch Cardiovasc Dis.* 2018;111:109–118. doi: 10.1016/j.acvd.2017.05.006
- Kitzman DW, Gardin JM, Gottdiener JS, Arnold A, Boineau R, Aurigemma G, Marino EK, Lyles M, Cushman M, Enright PL, et al. Importance of heart failure with preserved systolic function in patients > or = 65 years of age. CHS research group. Cardiovascular health study. Am J Cardiol. 2001;87:413–419.
- Ho JE, Enserro D, Brouwers FP, Kizer JR, Shah SJ, Psaty BM, Bartz TM, Santhanakrishnan R, Lee DS, Chan C, et al. Predicting heart failure with preserved and reduced ejection fraction: the international collaboration on heart failure subtypes. Circ Heart Fail. 2016;9. doi: 10.1161/ CIRCHEARTFAILURE.115.003116
- Silverman MG, Patel B, Blankstein R, Lima JA, Blumenthal RS, Nasir K, Blaha MJ. Impact of race, ethnicity, and multimodality biomarkers on the incidence of new-onset heart failure with preserved ejection fraction (from the multi-ethnic study of atherosclerosis). Am J Cardiol. 2016;117:1474–1481. doi: 10.1016/j.amjcard.2016.02.017
- The atherosclerosis risk in communities (ARIC) study: design and objectives. The ARIC investigators. Am J Epidemiol. 1989;129:687–702.
- White AD, Folsom AR, Chambless LE, Sharret AR, Yang K, Conwill D, Higgins M, Williams OD, Tyroler HA. Community surveillance of coronary heart disease in the atherosclerosis risk in communities (ARIC) study: methods and initial two years' experience. *J Clin Epidemiol*. 1996:49:223–233.
- Rosamond WD, Chang PP, Baggett C, Johnson A, Bertoni AG, Shahar E, Deswal A, Heiss G, Chambless LE. Classification of heart failure in the atherosclerosis risk in communities (ARIC) study: a comparison of diagnostic criteria. *Circ Heart Fail*. 2012;5:152–159. doi: 10.1161/CIRCH EARTFAILURE.111.963199
- Alonso A, Agarwal SK, Soliman EZ, Ambrose M, Chamberlain AM, Prineas RJ, Folsom AR. Incidence of atrial fibrillation in whites and African-Americans: the atherosclerosis risk in communities (ARIC) study. Am Heart J. 2009;158:111–117.
- Rosamond WD, Folsom AR, Chambless LE, Wang CH, McGovern PG, Howard G, Copper LS, Shahar E. Stroke incidence and survival among middle-aged adults: 9-year follow-up of the Atherosclerosis Risk In Communities (ARIC) cohort. Stroke. 1999;30:736–743. doi: 10.1161/01. STR.30.4.736
- Levey AS, Stevens LA, Schmid CH, Zhang YL, Castro AF III Feldman HI, Kusek JW, Eggers P, Van Lente F, Greene T, et al. A new equation to estimate glomerular filtration rate. Ann Intern Med. 2009;150:604–612.
- 16. Shah AM, Cheng S, Skali H, Wu J, Mangion JR, Kitzman D, Matsushita K, Konety S, Butler KR, Fox ER, et al. Rationale and design of a multicenter echocardiographic study to assess the relationship between cardiac structure and function and heart failure risk in a biracial cohort of community-dwelling elderly persons: the atherosclerosis risk in communities study. Circ Cardiovasc Imaging. 2014;7:173–181.

- 17. Lang RM, Bierig M, Devereux RB, Flachskampf FA, Foster E, Pellikka PA, Picard MH, Roman MJ, Seward J, Shanewise JS, et al. Recommendations for chamber quantification: a report from the American Society of Echocardiography's guidelines and standards committee and the chamber quantification writing group, developed in conjunction with the European Association of Echocardiography, a branch of the European Society of Cardiology. J Am Soc Echocardiogr. 2005;18:1440–1463. doi: 10.1016/j.echo.2005.10.005
- Nagueh SF, Appleton CP, Gillebert TC, Marino PN, Oh JK, Smiseth OA, Waggoner AD, Flachskampf FA, Pellikka PA, Evangelista A. Recommendations for the evaluation of left ventricular diastolic function by echocardiography. J Am Soc Echocardiogr. 2009;22:107–133. doi: 10.1016/j.echo.2008.11.023
- Knuuti J, Wijns W, Saraste A, Capodanno D, Barbato E, Funck-Brentano C, Prescott E, Storey RF, Deaton C, Cuisset T, et al. 2019 ESC guidelines for the diagnosis and management of chronic coronary syndromes. Eur Heart J. 2020;41:407–477. doi: 10.1093/eurheartj/ehz425
- Fine JP, Gray RJ. A proportional hazards model for the subdistribution of a competing risk. J Am Stat Assoc. 1999;94:496–509.
- Shah AM, Claggett B, Sweitzer NK, Shah SJ, Anand IS, Liu L, Pitt B, Pfeffer MA, Solomon SD. The prognostic importance of impaired systolic function in heart failure with preserved ejection fraction and the impact of spironolactone. *Circulation*. 2015;132:402–414. doi: 10.1161/ CIRCUII ATIONAHA 115.015884
- Shah AM, Solomon SD. Phenotypic and pathophysiological heterogeneity in heart failure with preserved ejection fraction. Eur Heart J. 2012;33:1716–1717.
- Shah SJ. Evolving approaches to the management of heart failure with preserved ejection fraction in patients with coronary artery disease. *Curr Treat Options Cardiovasc Med.* 2010;12:58–75. doi: 10.1007/s1193 6-009-0060-2
- Ishii K, Suyama T, Imai M, Maenaka M, Yamanaka A, Makino Y, Seino Y, Shimada K, Yoshikawa J. Abnormal regional left ventricular systolic and diastolic function in patients with coronary artery disease undergoing percutaneous coronary intervention: clinical significance of post-ischemic diastolic stunning. *J Am Coll Cardiol*. 2009;54:1589–1597. doi: 10.1016/j.jacc.2009.06.030
- Wijns W, Serruys PW, Slager CJ, Grimm J, Krayenbuehl HP, Hugenholtz PG, Hess OM. Effect of coronary occlusion during percutaneous transluminal angioplasty in humans on left ventricular chamber stiffness and regional diastolic pressure-radius relations. *J Am Coll Cardiol*. 1986;7:455–463.
- Bonow RO, Vitale DF, Bacharach SL, Frederick TM, Kent KM, Green MV. Asynchronous left ventricular regional function and impaired global diastolic filling in patients with coronary artery disease: reversal after coronary angioplasty. *Circulation*. 1985;71:297–307. doi: 10.1161/01. CIB.71.2.297
- Diller GP, Wasan BS, Thom SA, Foale RA, Hughes AD, Francis DP, Mayet J. Evidence of improved regional myocardial function in patients with chronic stable angina and apparent normal ventricular function—a tissue Doppler study before and after percutaneous coronary intervention. J Am Soc Echocardiogr. 2009;22:177–182. doi: 10.1016/j. echo 2008.10.018
- Gerber Y, Weston SA, Enriquez-Sarano M, Manemann SM, Chamberlain AM, Jiang R, Roger VL. Atherosclerotic burden and heart failure after myocardial infarction. *JAMA Cardiology*. 2016;1:156–162. doi: 10.1001/jamacardio.2016.0074
- Lewis EF, Solomon SD, Jablonski KA, Rice MM, Clemenza F, Hsia J, Maggioni AP, Zabalgoitia M, Huynh T, Cuddy TE, et al. Predictors of heart failure in patients with stable coronary artery disease: a peace study. Circ Heart Fail. 2009;2:209–216. doi: 10.1161/CIRCHEARTF AILURE.108.820696
- Lamblin N, Meurice T, Tricot O, de Groote P, Lemesle G, Bauters C. First hospitalization for heart failure in outpatients with stable coronary artery disease: determinants, role of incident myocardial infarction, and prognosis. J Card Fail. 2018;24:815–822. doi: 10.1016/j.cardfail.2018.09.013
- Hwang S-J, Melenovsky V, Borlaug BA. Implications of coronary artery disease in heart failure with preserved ejection fraction. *J Am Coll Cardiol*. 2014;63:2817–2827.
- Corcoran D, Young R, Adlam D, McConnachie A, Mangion K, Ripley D, Cairns D, Brown J, Bucciarelli-Ducci C, Baumbach A, et al. Coronary microvascular dysfunction in patients with stable coronary artery disease: the Ce-Marc 2 coronary physiology sub-study. *Int J Cardiol*. 2018;266:7–14.

- Panting JR, Gatehouse PD, Yang GZ, Grothues F, Firmin DN, Collins P, Pennell DJ. Abnormal subendocardial perfusion in cardiac syndrome x detected by cardiovascular magnetic resonance imaging. N Engl J Med. 2002;346:1948–1953.
- Paulus WJ, Tschöpe C. A novel paradigm for heart failure with preserved ejection fraction: comorbidities drive myocardial dysfunction and remodeling through coronary microvascular endothelial inflammation. J Am Coll Cardiol. 2013;62:263–271.
- Taqueti VR, Solomon SD, Shah AM, Desai AS, Groarke JD, Osborne MT, Hainer J, Bibbo CF, Dorbala S, Blankstein R, et al. Coronary microvascular dysfunction and future risk of heart failure with preserved ejection fraction. *Eur Heart J*. 2018;39:840–849. doi: 10.1093/eurheartj/ehx721
- Flaherty JD, Bax JJ, De Luca L, Rossi JS, Davidson CJ, Filippatos G, Liu PP, Konstam MA, Greenberg B, Mehra MR, et al. Acute heart failure syndromes in patients with coronary artery disease: early assessment and treatment. J Am Coll Cardiol. 2009;53:254–263.
- 37. Rossi JS, Flaherty JD, Fonarow GC, Nunez E, Gattis Stough W, Abraham WT, Albert NM, Greenberg BH, O'Connor CM, Yancy CW, et al. Influence of coronary artery disease and coronary revascularization status on outcomes in patients with acute heart failure syndromes: a report from OPTIMIZE-HF (organized program to initiate lifesaving treatment in hospitalized patients with heart failure). Eur J Heart Fail. 2008;10:1215–1223. doi: 10.1016/j.ejheart.2008.09.009

- Kramer K, Kirkman P, Kitzman D, Little WC. Flash pulmonary edema: association with hypertension and reoccurrence despite coronary revascularization. Am Heart J. 2000;140:451–455.
- Judge KW, Pawitan Y, Caldwell J, Gersh BJ, Kennedy JW. Congestive heart failure symptoms in patients with preserved left ventricular systolic function: analysis of the CASS registry. *J Am Coll Cardiol*. 1991;18:377– 382. doi: 10.1016/0735-1097(91)90589-2
- Pitt B, Pfeffer MA, Assmann SF, Boineau R, Anand IS, Claggett B, Clausell N, Desai AS, Diaz R, Fleg JL, et al. Spironolactone for heart failure with preserved ejection fraction. N Engl J Med. 2014;370:1383–1392.
- Van Tassell BW, Arena R, Biondi-Zoccai G, McNair Canada J, Oddi C, Abouzaki NA, Jahangiri A, Falcao RA, Kontos MC, Shah KB, et al. Effects of interleukin-1 blockade with anakinra on aerobic exercise capacity in patients with heart failure and preserved ejection fraction (from the D-HART pilot study). Am J Cardiol. 2014;113:321–327. doi: 10.1016/j.amjcard.2013.08.047
- Habibi J, Aroor AR, Sowers JR, Jia G, Hayden MR, Garro M, Barron B, Mayoux E, Rector RS, Whaley-Connell A, et al. Sodium glucose transporter 2 (SGLT2) inhibition with empagliflozin improves cardiac diastolic function in a female rodent model of diabetes. *Cardiovasc Diabetol*. 2017;16:9
- 43. Pfeffer MA, Shah AM, Borlaug BA. Heart failure with preserved ejection fraction in perspective. *Circ Res.* 2019;124:1598–1617.

SUPPLEMENTAL MATERIAL

Data S1. Supplemental Methods

Echocardiograms were obtained during Visit 5 by trained sonographers who used a preprogrammed acquisition protocol. The protocol included two-dimensional imaging of each view, along
with color Doppler, continuous wave (CW) Doppler, pulsed wave (PW) Doppler, and tissue Doppler
imaging (TDI) of certain views. At least three full cardiac cycles per view were recorded for patients in
sinus rhythm, and at least five full cardiac cycles per view were recorded for patients in atrial
fibrillation. Measurements were performed in accordance with American Society of Echocardiography
guidelines by trained analysts at a dedicated core laboratory and were over-read by cardiologists.

Left ventricular (LV) dimensions were measured in the parasternal long axis view. The LV mass was determined from the LV linear dimensions and was indexed to the body surface area to obtain the LV mass index. LV volumes in the apical 4-chamber and apical 2-chamber views were calculated by the modified Simpson's method, and these volumes were used to determine the LV ejection fraction. The left atrial volume was calculated from the apical 4-chamber and apical 2-chamber views using the method of discs, and was indexed to obtain the left atrial volume index (LAVi). The peak E wave was measured using PW Doppler with the sample volume positioned at the tip of the mitral leaflets on the apical 4-chamber view. The peak septal mitral annular relaxation velocity (septal e') was assessed using TDI on the apical 4-chamber view. E/e' was calculated from these two values.

Table S1. Risk of the composite outcome of incident HF and death by CAD status

CAD States		Incident HF + Death	
CAD Status	Events	Hazard ratio	P-value
No CAD event	3137	Reference	
0 to <90 days after CAD event	109	16.01(13.14 – 19.51)	<0.001
90 days to 1 yr after CAD event	59	3.25(2.51 – 4.22)	<0.001
>1 yr after CAD event	210	1.43(1.24 – 1.65)	<0.001

Models are adjusted for age, race, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; and HF, heart failure.

Table S2. Risk of incident HF and HF subtypes by CAD status, separated by sex

			Incident HF			Incident HFrEF			Incident HFpEI	י
		Events	Hazard ratio	P-value	Events	Hazard ratio	P-value	Events	Hazard ratio	P-value
	No CAD event	360	Reference		178	Reference		136	Reference	
ants (3865)	0 to <90 days after CAD event	15	16.27 (9.34 – 28.35)	<0.001	11	23.41 (11.97 – 45.78)	<0.001	3	8.40 (2.52 – 27.95)	0.001
Male participants (3865)	90 days to 1 yr after CAD event	14	4.88 (2.81 – 8.47)	<0.001	8	5.98 (2.86 – 12.47)	<0.001	5	4.10 (1.62 – 10.34)	0.003
Z	>1 yr after CAD event	52	1.85 (1.37 – 2.51)	<0.001	27	2.29 (1.50 – 3.51)	<0.001	17	1.36 (0.80 – 2.30)	0.251
	No CAD event	574	Reference		155	Reference		325	Reference	
nts (6037)	0 to <90 days after CAD event	26	24.34 (15.88 – 37.31)	<0.001	13	62.67 (32.83 – 119.65)	<0.001	12	16.58 (8.94 – 30.75)	<0.001
Female participants (6037)	90 days to 1 yr after CAD event	18	6.99 (4.32 – 11.32)	<0.001	10	15.56 (7.95 – 30.44)	<0.001	6	4.13 (1.81 – 9.38)	0.001
Fema	>1 yr after CAD event	53	2.60 (1.94 – 3.48)	<0.001	17	3.71 (2.20 – 6.24)	<0.001	28	2.21 (1.48 – 3.30)	<0.001

Models are adjusted for age, race, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; and HFrEF, heart failure with reduced ejection fraction.

Table S3. Risk of incident HF and HF subtypes by CAD status, separated by race

	ic 50% Risk of file		Incident HF		, 1	Incident HFrEF			Incident HFpEl	र
		Events	Hazard ratio	P-value	Events	Hazard ratio	P-value	Events	Hazard ratio	P-value
	No CAD event	309	Reference		132	Reference		142	Reference	
(98	0 to <90 days	9	14.45	<0.001	5	17.00	< 0.001	3	10.21	<0.001
its (253	after CAD event	9	(7.24 – 28.85)	<0.001	3	(6.63 – 43.60)	<0.001	3	(3.14 - 33.18)	<0.001
cipan	90 days to 1 yr	8	4.86	<0.001	8	11.11	< 0.001	0		
Black participants (2536)	after CAD event	8	(2.29 – 10.28)	<0.001	0	(5.05 – 24.43)	<0.001	U	-	-
Blac	>1 yr after CAD	30	3.21	<0.001	15	3.87	< 0.001	9	2.03	0.047
	event	30	(2.16 - 4.78)	<0.001	13	(2.19 - 6.81)	<0.001	,	(1.01 - 4.10)	0.047
	No CAD event	622	Reference		201	Reference		316	Reference	
36)	0 to <90 days	32	22.87	< 0.001	19	44.36	< 0.001	12	15.98	< 0.001
rts (73	after CAD event	32	(15.74 – 33.25)	\0.001	1)	(26.84 – 73.32)	VO.001	12	(8.74 - 29.24)	0.001
cipar	90 days to 1 yr	24	5.53	<0.001	10	6.70	<0.001	11	5.31	<0.001
White participants (7336)	after CAD event	24	(3.65 - 8.37)	<0.001	10	(3.51 – 12.79)	<0.001	11	(2.88 - 9.80)	<0.001
Whi	>1 yr after CAD	75	1.96	<0.001	29	2.45	<0.001	142	1.82	0.001
	event	13	(1.53 - 2.51)	\0.001	29	(1.63 - 3.67)	\0.001	142	(1.27 - 2.59)	0.001

Models are adjusted for age, sex, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; and HFrEF, heart failure with reduced ejection fraction.

Table S4. Risk of incident HF and HF subtypes by CAD status, with CAD separated into MI and revascularization

			Incident HF			Incident HFrEF			Incident HFpEF	
		Events	Hazard ratio	P-value	Events	Hazard ratio	P-value	Events	Hazard ratio	P-value
	No CAD event	934	Reference		333	Reference		461	Reference	
MI only censored)	0 to <90 days after CAD event	33	23.86 (16.55 – 34.40)	<0.001	21	47.94 (29.72 – 77.33)	<0.001	11	14.11 (7.55 – 26.37)	<0.001
CAD defined as MI only (revascularization censored)	90 days to 1 yr after CAD event	28	7.96 (5.42 – 11.69)	<0.001	18	14.49 (8.87 – 23.67)	<0.001	7	4.18 (1.96 – 8.90)	<0.001
CAI (revas	>1 yr after CAD event	63	2.88 (2.22 – 3.75)	<0.001	30	4.23 (2.88 – 6.22)	<0.001	23	1.99 (1.30 – 3.05)	0.002
yluc	No CAD event	934	Reference		333	Reference		461	Reference	
ularization eed)	0 to <90 days after CAD event	8	13.09 (6.41 – 26.76)	<0.001	3	11.31 (3.51 – 36.41)	<0.001	4	13.82 (5.01 – 38.10)	<0.001
CAD defined as revascularization only (MI censored)	90 days to 1 yr after CAD event	4	1.95 (0.72 – 5.23)	0.187	0	-	-	4	4.06 (1.50 – 11.00)	0.006
	>1 yr after CAD event	42	1.74 (1.27 – 2.38)	<0.001	14	1.69 (0.98 – 2.93)	<0.001	22	1.87 (1.21 – 2.89)	0.005

Models are adjusted for age, combined race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; and MI, myocardial infarction.

Table S5. Sensitivity analysis for risk of incident HF and HF subtypes by CAD status, with all unclassified incident HF cases added to HFrEF category and HFpEF category

			Incident HFrEF			Incident HFpEl	र
		Events	Hazard ratio	P-value	Events	Hazard ratio	P-value
	No CAD event	473	Reference				
ied as	0 to <90 days after	26	26.33	<0.001			
classif	CAD event	20	(17.38 – 39.91)	<0.001			
I HF rec HFrEF	90 days to 1 yr after	21	7.08	< 0.001			
Unclassified HF reclassified as HFrEF	CAD event	21	(4.53 – 11.06)	<0.001			
Inclas	>1 yr after CAD event	60	2.56	< 0.001			
ر ا	·		(1.93 - 3.38)				
PEF	No CAD event				601	Reference	
as HF	0 to <90 days after				17	11.96	<0.001
sified a	CAD event				17	(7.26 – 19.70)	<0.001
eclas	90 days to 1 yr after				14	3.84	<0.001
Unclassified HF reclassified as HFpEF	CAD event				14	(2.25 - 6.57)	\0.001
ıssifie	>1 yr after CAD event				61	1.91	< 0.001
Uncla	y = y = mior or m over				01	(1.46 - 2.52)	10.001

Models are adjusted for age, combined race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; and HFrEF, heart failure with reduced ejection fraction.

Table S6. Risk of incident HF and HF subtypes by CAD status, with censoring of participants who develop an MI after the incident CAD event

			Incident HF			Incident HFrEF			Incident HFpEF	
		Events	Hazard ratio	P-value	Events	Hazard ratio	P-value	Events	Hazard ratio	P-value
D	No CAD event	934	Reference		333	Reference		461	Reference	
er CAD	0 to <90 days	39	19.33	< 0.001	22	32.07	<0.001	15	13.85	< 0.001
MI after	after CAD event	0,	(13.84 – 27.00)	101001		(20.31 – 50.65)	101001	10	(8.12 - 23.61)	(0.001
	90 days to 1 yr	24	4.17	< 0.001	10	4.75	< 0.001	11	4.00	<0.001
censoring for	after CAD event	21	(2.77 – 6.29)	\0.001	10	(2.51 - 8.98)	VO.001	11	(2.18 - 7.33)	<0.001
th cer	>1 yr after CAD	90	1.88	< 0.001	37	2.30	<0.001	39	1.61	0.006
With	event	, ,	(1.51 - 2.36)	10.001	57	(1.61 - 3.27)	10.001		(1.15 - 2.25)	0.000

Models are adjusted for age, combined race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; and MI, myocardial infarction.

Table S7. Risk of incident HF and HF subtypes by CAD status, with censoring of participants for whom the hospitalization for incident CAD was also adjudicated as a HF hospitalization

			Incident HF			Incident HFrEF			Incident HFpEF	
		Events	Hazard ratio	P-value	Events	Hazard ratio	P-value	Events	Hazard ratio	P-value
at	No CAD event	934	Reference		333	Reference		461	Reference	
udicated HF initial CAD	0 to <90 days after CAD event	21	12.22 (7.83 – 19.07)	<0.001	9	15.01 (7.58 – 29.76)	<0.001	10	10.71 (5.62 – 20.42)	<0.001
With censoring if adjudicated HF at same hospitalization as initial CAD event	90 days to 1 yr after CAD event	18	3.49 (2.18 – 5.59)	<0.001	7	3.57 (1.67 – 7.61)	0.001	8	3.34 (1.65 – 6.79)	0.001
With ce same hosp	>1 yr after CAD event	81	1.77 (1.40 – 2.24)	<0.001	26	1.66 (1.10 – 2.51)	0.016	41	1.80 (1.29 – 2.51)	<0.001

Models are adjusted for age, combined race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; and HFrEF, heart failure with reduced ejection fraction.

Table S8. Risk of incident HF and HF subtypes by CAD status, with incident HF defined as the first hospitalization that is adjudicated for HF, regardless of whether an MI was diagnosed in the same hospitalization (note- if a hospitalization is for both HF and MI, the incident HF event is included in the "No CAD event" category rather than in the "0 to <90 days after CAD event" category, since the HF date is determined by the admission date rather than the exact date of HF diagnosis during the hospitalization, which is unavailable)

		Incident HF				Incident HFrEF		Incident HFpEF			
		Events	Hazard ratio	P-value	Events	Hazard ratio	P-value	Events	Hazard ratio	P-value	
With incident HF defined as first HF hospitalization, regardless of whether an MI diagnosed at the time	No CAD event	1051	Reference		421	Reference		490	Reference		
	0 to <90 days after CAD event	22	11.10 (7.20 – 17.12)	<0.001	10	12.47 (6.54 – 23.78)	<0.001	10	10.17 (5.35 – 19.37)	<0.001	
	90 days to 1 yr after CAD event	20	3.51 (2.24 – 5.49)	<0.001	9	3.82 (1.96 – 7.45)	<0.001	8	3.14 (1.55 – 6.36)	0.002	
	>1 yr after CAD event	91	1.79 (1.43 – 2.23)	<0.001	33	1.72 (1.19 – 2.48)	0.004	44	1.80 (1.31 – 2.48)	<0.001	

Models are adjusted for age, combined race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated

glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; and MI, myocardial infarction.

Table S9. Risk of incident HF and HF subtypes by CAD status, comparing main analysis with Fine-Gray modeling

		Incident HFrEF		Incident HFpEF					
		SHR with HFpEF and	SHR with HFpEF,		SHR with HFpEF	SHR with HFpEF,			
	HR (main	unclassified HF as	unclassified HF, and	HR (main	and unclassified HF	unclassified HF, and			
	analysis)	competing risks (Fine-	death as competing risks	analysis)	as competing risks	death as competing risks			
		Gray modeling)	(Fine-Gray modeling)		(Fine-Gray modeling)	(Fine-Gray modeling)			
No CAD event	Reference	Reference	Reference	Reference	Reference	Reference			
0 to <90 days	34.29	17.23	8.01	13.63	5.78	3.17			
after CAD	(22.09 - 53.23)	(10.11 – 29.36)	(4.84 – 13.23)	(7.99 – 23.26)	(3.09 - 10.80)	(1.76 - 5.71)			
event	p <0.001	p <0.001	p <0.001	p <0.001	p <0.001	p <0.001			
90 days to 1 yr	8.63	7.83	6.62	3.99	2.84	2.56			
after CAD	(5.31 – 14.02)	(4.77 – 12.86)	(3.91 – 11.19)	(2.18 - 7.32)	(1.51 - 5.33)	(1.35 - 4.85)			
event	p <0.001	p <0.001	p <0.001	p <0.001	p 0.001	p 0.004			
>1 yr after	2.76	2.70	2.84	1.85	1.76	1.92			
	(1.99 - 3.84)	(1.93 - 3.76)	(2.02 - 3.99)	(1.35 - 2.54)	(1.27 – 2.44)	(1.38 - 2.66)			
CAD event	p <0.001	p <0.001	p <0.001	p <0.001	p 0.001	p <0.001			

Models are adjusted for age, combined race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, BMI, current/prior smoking, diabetes, and field center.

BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; HR, hazard ratio; and SHR, sub-distribution hazard ratio.

Table S10. Risk of incident HF and HF subtypes by CAD status, with analysis performed separately for the early half (1/1/2005 to 7/2/2011) and the late half (7/3/2011 to 12/31/2017) of the study period

	Incident HFrEF							Incident HFpEF						
	Full study period		Early		Late		Full study period		Early		Late			
	Events	Hazard ratio	Events	Hazard ratio	Events	Hazard ratio	Events	Hazard ratio	Events	Hazard ratio	Events	Hazard ratio		
No CAD event	333	Reference	162	Reference	160	Reference	461	Reference	184	Reference	265	Reference		
0 to <90 days after CAD event	24	34.29 (22.09 – 53.23) p <0.001	10	21.91 (11.18 – 42.95) p <0.001	14	76.59 (41.36 – 141.85) p <0.001	15	13.63 (7.99 – 23.26) p <0.001	11	17.34 (9.12 – 32.96) p <0.001	4	9.68 (3.52 – 26.62) p <0.001		
90 days to 1 yr after CAD event	18	8.63 (5.31 – 14.02) p <0.001	10	8.44 (4.37 – 16.30) p <0.001	5	6.97 (2.82 – 17.25) p <0.001	11	3.99 (2.18 – 7.32) p <0.001	7	4.92 (2.28 – 10.63) p <0.001	4	3.66 (1.35 – 9.93) p 0.011		
>1 yr after CAD event	44	2.76 (1.99 – 3.84) p <0.001	13	3.22 (1.77 – 5.84) p <0.001	9	3.97 (2.00 – 7.89) p <0.001	45	1.85 (1.35 – 2.54) p <0.001	7	1.25 (0.58 – 2.71) p 0.574	13	3.40 (1.91 – 6.03) p <0.001		

Models are adjusted for age, combined race and sex variable, hypertension, atrial fibrillation, stroke, eGFR, and BMI, with current/prior smoking, diabetes, and field center as stratification factors. BMI indicates body mass index; CAD, coronary artery disease; eGFR, estimated

glomerular filtration rate; HF, heart failure; HFpEF, heart failure with preserved ejection fraction; and HFrEF, heart failure with reduced ejection fraction.