



Introduction to Algorithms

CELEN086

Seminar 8
(w/c 02/12/2024)

Outline

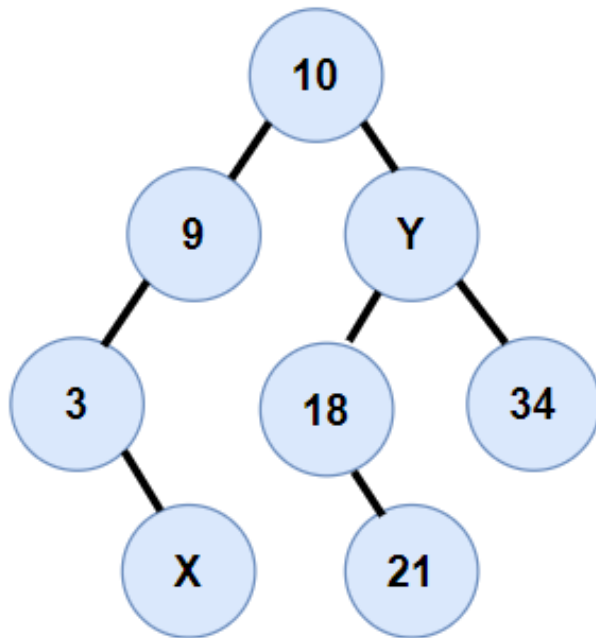
In this seminar, we will study and review on following topics:

- Binary search tree (BST)
- Designing recursive algorithms on BST
- Building BST from a list
- Traversal scheme and algorithm design

You will also learn useful Math/CS concepts and vocabularies.

Binary search tree

Determine the range of values X and Y that can be stored in the binary search tree:



$$X > 3 \quad \text{and} \quad X < 9$$

$$\text{Range of X:} \quad 3 < X < 9$$

$$Y > 10 \quad \text{and} \quad Y < 34$$

$$\text{and } Y > 18 \quad \text{and} \quad Y > 21$$

$$\text{Range of Y:} \quad 21 < Y < 34$$

Algorithm Example: isBST (with issue)

Write a recursive algorithm isBST(T) to determine if a binary tree is also a binary search tree or not.

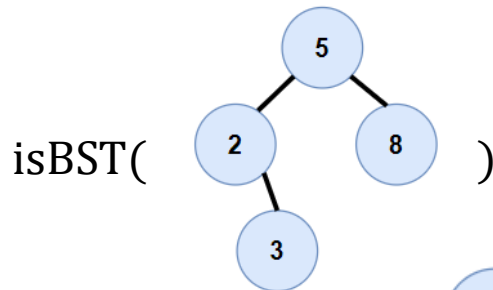
Algorithm: **isBST**(T)

Requires: a non-empty binary tree T

Returns: True if it is a BST; False otherwise

```
1. if isLeaf(left(T)) && isLeaf(right(T))
2.     return True
3. elseif isLeaf(left(T))
4.     return root(T) < root(right(T)) && isBST(right(T))
5. elseif isLeaf(right(T))
6.     return root(T) > root(left(T)) && isBST(left(T))
7. else
8.     return isBST(left(T)) && isBST(right(T))
9. endif
```

Trace (good case)



Line 8: return isBST(

```

graph TD
    2((2)) --- 3((3))
  
```

) && isBST(

```

graph TD
    8((8))
  
```

) = True && True = True

Line 1: return True

Line 4: return

2 < 3 && isBST(

```

graph TD
    3((3))
  
```

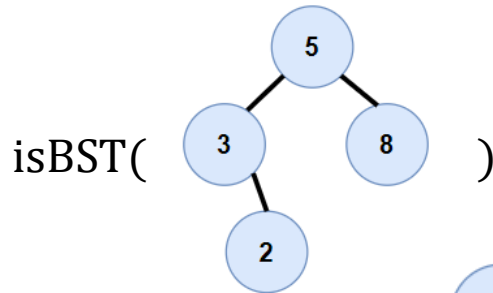
) = True && True = True

Line 1: return True

```

1. if isLeaf(left(T)) && isLeaf(right(T))
2.     return True
3. elseif isLeaf(left(T))
4.     return root(T) < root(right(T)) && isBST(right(T))
5. elseif isLeaf(right(T))
6.     return root(T) > root(left(T)) && isBST(left(T))
7. else
8.     return isBST(left(T)) && isBST(right(T))
9. endif
  
```

Trace (good case)



Line 8: return isBST(

```

graph TD
    3((3)) --- 2((2))
  
```

) && isBST(

```

graph TD
    8((8))
  
```

) = False && True = False

Line 1: return True

Line 4: return

3 < 2 && isBST(

```

graph TD
    2((2))
  
```

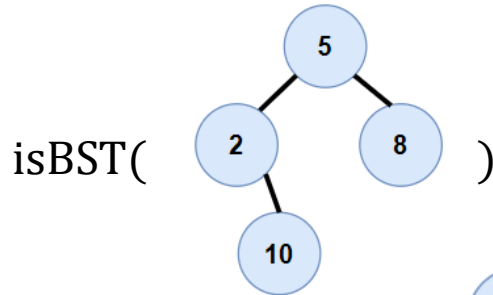
) = False && True = False

Line 1: return True

```

1. if isLeaf(left(T)) && isLeaf(right(T))
2.     return True
3. elseif isLeaf(left(T))
4.     return root(T) < root(right(T)) && isBST(right(T))
5. elseif isLeaf(right(T))
6.     return root(T) > root(left(T)) && isBST(left(T))
7. else
8.     return isBST(left(T)) && isBST(right(T))
9. endif
  
```

Trace (wrong case)



```

1. if isLeaf(left(T)) && isLeaf(right(T))
2.   return True
3. elseif isLeaf(left(T))
4.   return root(T)<root(right(T)) && isBST(right(T))
5. elseif isLeaf(right(T))
6.   return root(T)>root(left(T)) && isBST(left(T))
7. else
8.   return isBST(left(T)) && isBST(right(T))
9. endif
  
```

Line 8: return isBST(

```

graph TD
    2((2)) --- 10((10))
  
```

) && isBST(

```

graph TD
    8((8))
  
```

) = True && True = True

Line 1: return True

Line 4: return

2 < 10 && isBST(

```

graph TD
    3((3))
  
```

) = True && True = True

Line 1: return True

Clearly the answer obtained here is wrong.

Algorithm: isBST (correct version)

Algorithm: **isBST**(T)

Requires: a binary tree T

Returns: True if it is a BST; False otherwise

```
1. if isLeaf(left(T)) && isLeaf(right(T))
2.     return True
3. elseif isLeaf(left(T))
4.     return root(T) < minBT(right(T)) && isBST(right(T))
5. elseif isLeaf(right(T))
6.     return root(T) > maxBT(left(T)) && isBST(left(T))
7. else
8.     return isBST(left(T)) && root(T) > maxBT(left(T))
           && isBST(right(T)) && root(T) < minBT(right(T))
9. endif
```

Note:

Two sub-algorithms **maxBT()** and **minBT()** are used here, for computing the maximum and minimum value in a binary tree.

You should design them yourself as another practice.

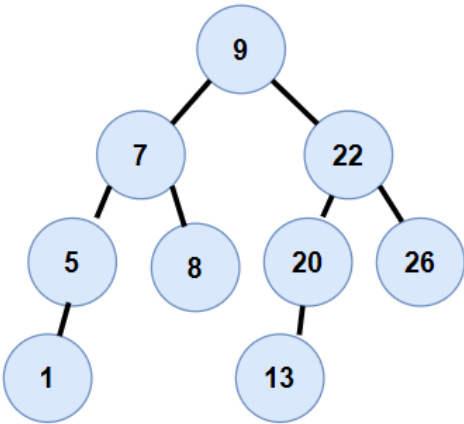
From List to BST

Create a binary search tree with minimal height (or depth) to store elements in the list [5, 13, 7, 26, 20, 1, 9, 22, 8].

Sort the list: [~~1~~, ~~5~~, ~~7~~, ~~8~~, ~~9~~, ~~13~~, ~~20~~, ~~22~~, ~~26~~]

Height= $\lfloor \log_2 9 \rfloor = 3$

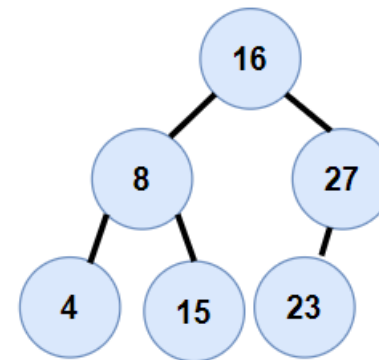
Levels:	Middle elements (N/2+1):			
Level 0	9			
Level 1	7		22	
Level 2	5	8	20	26
Level 3	1	13		



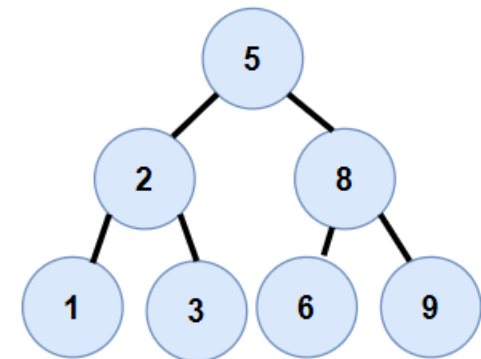
Practice

Create a binary search tree with minimal height (or depth) to store elements in the following lists:

$L1 = [15, 23, 8, 16, 27, 4]$



$L2 = [6, 8, 9, 3, 2, 1, 5]$



Traversal schemes (Binary tree to List)

Find the lists obtained by traversing the binary tree with:

i. Breadth first scheme

[9,7,22,5,8,20,26,1,13]

ii. Depth first, preorder scheme (NLR)

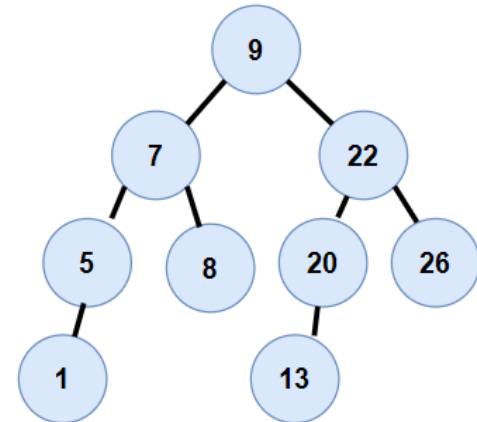
[9,7,5,1,8,22,20,13,26]

iii. Depth first, inorder scheme (LNR)

[1,5,7,8,9,13,20,22,26]

iv. Depth first, postorder scheme (LRN)

[1,5,8,7,13,20,26,22,9]



Practice

*You may pause the video for a few minutes.
Practice first before seeing the answers.*

Find the lists obtained by traversing the **binary tree** with following schemes:

- i. Breadth first
- ii. Depth first, preorder
- iii. Depth first, inorder
- iv. Depth first, postorder

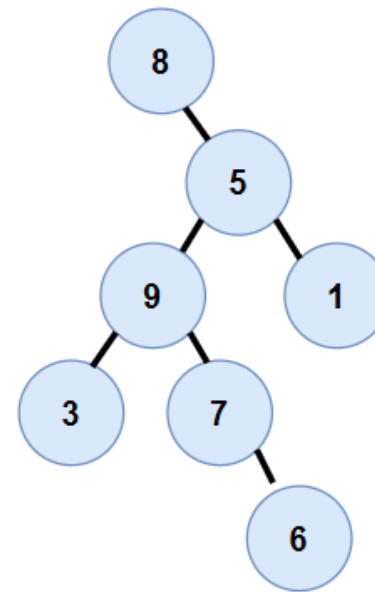
i. [8,5,9,1,3,7,6]

ii. [8,5,9,3,7,6,1]

iii. [8,3,9,7,6,5,1] →

iv. [3,6,7,9,1,5,8]

We won't get a sorted list, if tree is not a binary search tree.



Practice

*You may pause the video for a few minutes.
Practice first before seeing the answers.*

Find the lists obtained by traversing the **binary search tree** with following schemes:

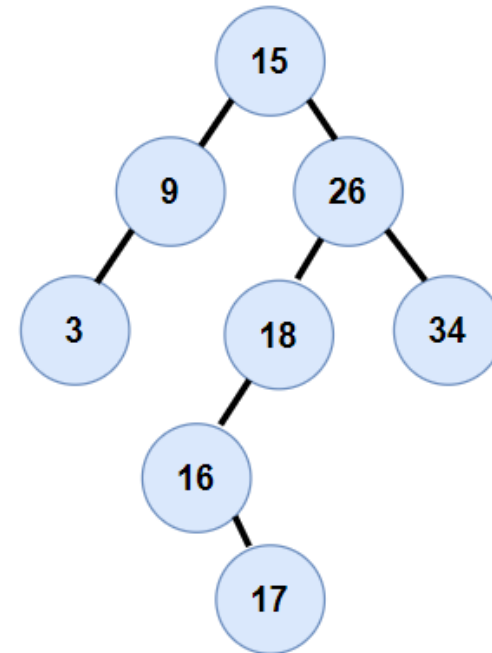
- i. Breadth first
- ii. Depth first, preorder
- iii. Depth first, inorder
- iv. Depth first, postorder

i. [15,9,26,3,18,34,16,17]

ii. [15,9,3,26,18,16,17,34]

iii. [3,9,15,16,17,18,26,34] →

iv. [3,9,17,16,18,34,26,15]



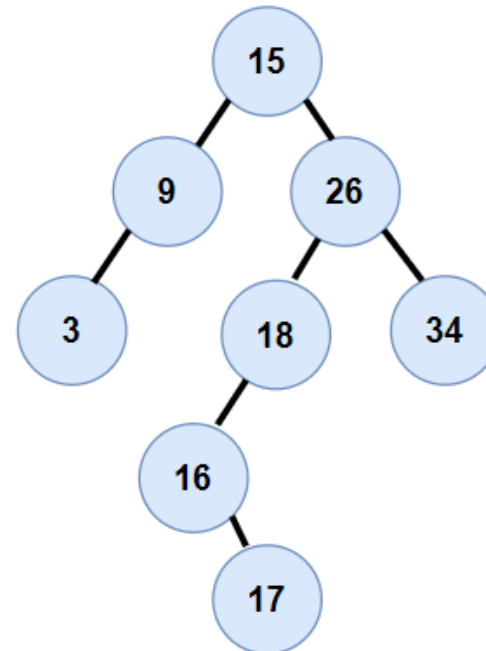
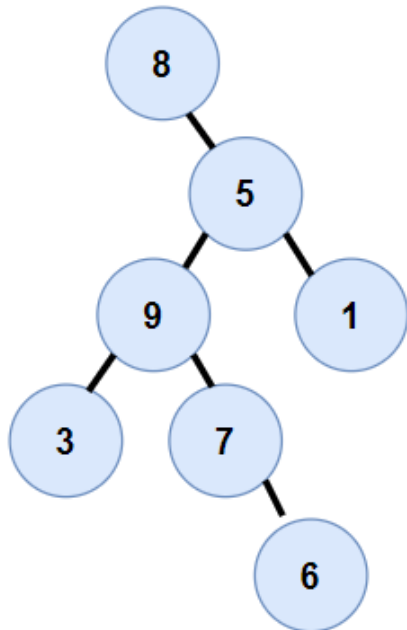
We will get a sorted list, if tree is a binary search tree.



Homework

Build binary search trees with **minimal depth** from the given trees.

You should demonstrate by showing necessary steps and draw your final binary search trees.





Algorithm for traversal schemes

Write a recursive algorithm `preorder(BST)` to return a list by traversing a binary search tree with `preorder` scheme.

Idea of designing this algorithm:

- Obtain three components
 - a (single-element) list for current node (or just the value itself)
 - a list for left sub-tree (recursively)
 - a list for right sub-tree (recursively)
- Combine them into a list with desired order (`NLR`)

`concat(L1,L2)`

`cons(x, L)`

(Problem Sheet 5)

Algorithm: preorder(BST)

Algorithm: **preorder**(T)

Requires: a binary search tree T

Returns: a list for preorder scheme (NLR scheme)

```
1. if isLeaf(T)
2.     return nil
3. else
4.     let L1 = cons(root(T),nil) // N
5.     let L2 = preorder(left(T)) // L
6.     let L3 = preorder(right(T)) // R
7.     return concat(L1, concat(L2,L3)) // NLR
8. endif
```

Note 1:

The structure is very similar to the mergeSort algorithm in Seminar 6.

Trace it yourself with BST examples used in this session.

Note 2: review the algorithm `concat()`, for concatenating two lists.

Note 3: Line 4 and Line 7 can be replaced by following:

```
4. let x=root(T)
7. return cons(x, concat(L2,L3))
```




Practice

Write a recursive algorithm `postorder(BST)` to return a list by traversing a binary search tree with `postorder` scheme.

Algorithm: `postorder(T)`

Requires: a binary search tree `T`

Returns: a list for postorder scheme (LRN scheme)

```
1. if isLeaf(T)
2.     return nil
3. else
4.     let L1 = cons(root(T),nil) // N
5.     let L2 = postorder(left(T)) // L
6.     let L3 = postorder(right(T)) //R
7.     return concat(L2, concat(L3,L1)) // LRN
8. endif
```

Complete the following sentences using suitable word from the word list given below.

leftmost, minimum, ordering , smaller, greater, predecessor, successor, n-1, left, right, two, parent, leaf, node, sorted , in-order, preorder, maximum, rightmost, height, level, current.

1. In a binary tree, each node can have a maximum of _____ children.
2. A binary search tree is a binary tree where the key in the left child is _____ than the key in the parent node, and the key in the right child is _____ than the key in the parent node.
3. The _____ node in a binary search tree contains the smallest key value.
4. In a binary search tree, the _____ subtree of a node contains keys smaller than the node's key.
5. The height of a binary tree with n nodes is at most _____.
6. The process of inserting a new node into a binary search tree involves comparing the key of the new node with the key of the _____ and moving to the left or right child accordingly.
7. In a binary tree, a _____ node is a node that does not have any children.
8. The _____ traversal of a binary tree visits the nodes in the order: left child, parent, right child.
9. In a binary search tree, the _____ node contains the largest key value.
10. The _____ of a binary search tree represents the maximum number of edges in the path from the root to a leaf node.
11. The process of deleting a node from a binary search tree involves replacing it with its _____ or _____ and deleting the duplicate node from its original position.
12. In a binary search tree, the _____ property allows for efficient search, insertion, and deletion operations.



1. In a binary tree, each node can have a maximum of _____ children. **Answer: two**
2. A binary search tree is a binary tree where the key in the left child is _____ than the key in the parent node, and the key in the right child is _____ than the key in the parent node. **Answer: smaller, greater**
3. The _____ node in a binary search tree contains the smallest key value. **Answer: leftmost or minimum**
4. In a binary search tree, the _____ subtree of a node contains keys smaller than the node's key. **Answer: left**
5. The height of a binary tree with n nodes is at most _____. **Answer: $n - 1$**
6. The process of inserting a new node into a binary search tree involves comparing the key of the new node with the key of the _____ and moving to the left or right child accordingly. **Answer: current or parent**
7. In a binary tree, a _____ node is a node that does not have any children. **Answer: leaf**
8. The _____ traversal of a binary tree visits the nodes in the order: left child, parent, right child. **Answer: in-order**
9. In a binary search tree, the _____ node contains the largest key value. **Answer: rightmost or maximum**
10. The _____ of a binary search tree represents the maximum number of edges in the path from the root to a leaf node. **Answer: height**
11. The process of deleting a node from a binary search tree involves replacing it with its _____ or _____ and deleting the duplicate node from its original position. **Answer: predecessor, successor**
12. In a binary search tree, the _____ property allows for efficient search, insertion, and deletion operations. **Answer: ordering or sorted**