



# Recursive Algorithms and List Data Structures

## Introduction to Algorithms

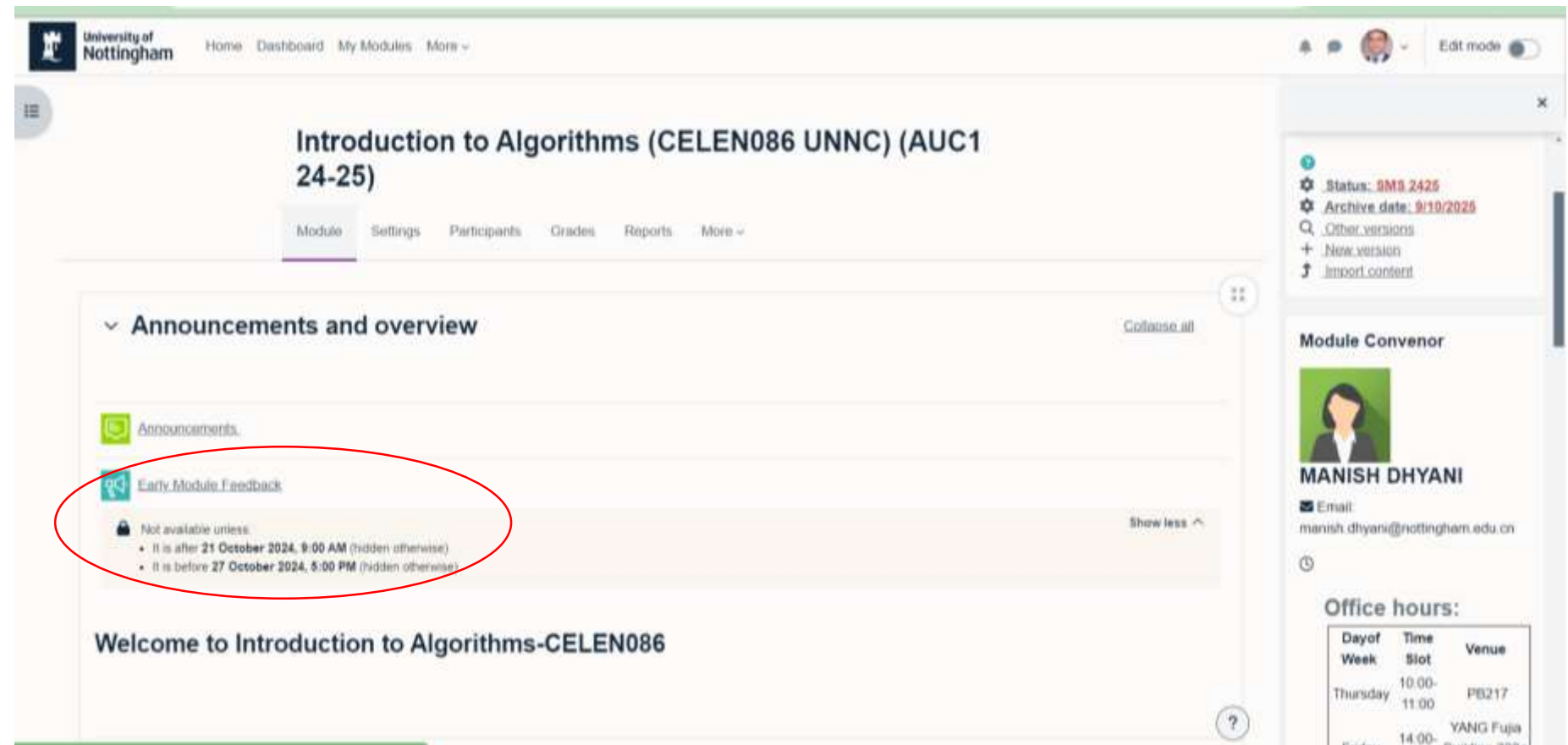
Module Code: CELEN086

Lecture 4

(21/10/24)

Lecturer & Convenor: Manish Dhyani  
Email: [Manish.Dhyani@nottingham.edu.cn](mailto:Manish.Dhyani@nottingham.edu.cn)

# Early Module Feedback



The screenshot shows the Moodle interface for the 'Introduction to Algorithms (CELEN086 UNNC) (AUC1 24-25)' module. The 'Announcements and overview' section is expanded, showing a list of announcements. The 'Early Module Feedback' link is circled in red. Below the link, a message states: 'Not available unless: • It is after 21 October 2024, 9:00 AM (hidden otherwise) • It is before 27 October 2024, 5:00 PM (hidden otherwise)'. The right sidebar shows the 'Module Convenor' as MANISH DHYANI and 'Office hours'.

University of Nottingham Home Dashboard My Modules More

Introduction to Algorithms (CELEN086 UNNC) (AUC1 24-25)

Module Settings Participants Grades Reports More

Announcements and overview Collapse all

Announcements

Early Module Feedback

Not available unless:

- It is after 21 October 2024, 9:00 AM (hidden otherwise)
- It is before 27 October 2024, 5:00 PM (hidden otherwise)

Show less

Welcome to Introduction to Algorithms-CELEN086

Module Convenor

MANISH DHYANI

Email: manish.dhyani@nottingham.edu.cn

Office hours:

Day of Week	Time Slot	Venue
Thursday	10:00-11:00	PS217
Friday	14:00-15:00	YANG Fujia

Available on CELEN086 Moodle page from Monday 21 October 9:00 AM to Sunday 27 October 5:00 PM.

# Fibonacci sequence (Recursive Approach)

Fibonacci numbers, commonly denoted by  $F_n$ , form a well-known sequence. The following is a Fibonacci sequence:

0, 1, 1, 2, 3, 5, 8, 13, 21, ...

•**Fibonacci Numbers:** The Fibonacci sequence is defined recursively as:

$$F_n = F(n - 1) + F(n - 2), \quad n \geq 3$$

Algorithm: F(n)

Requires: a positive integer n

Returns: the n-th number in the Fibonacci sequence

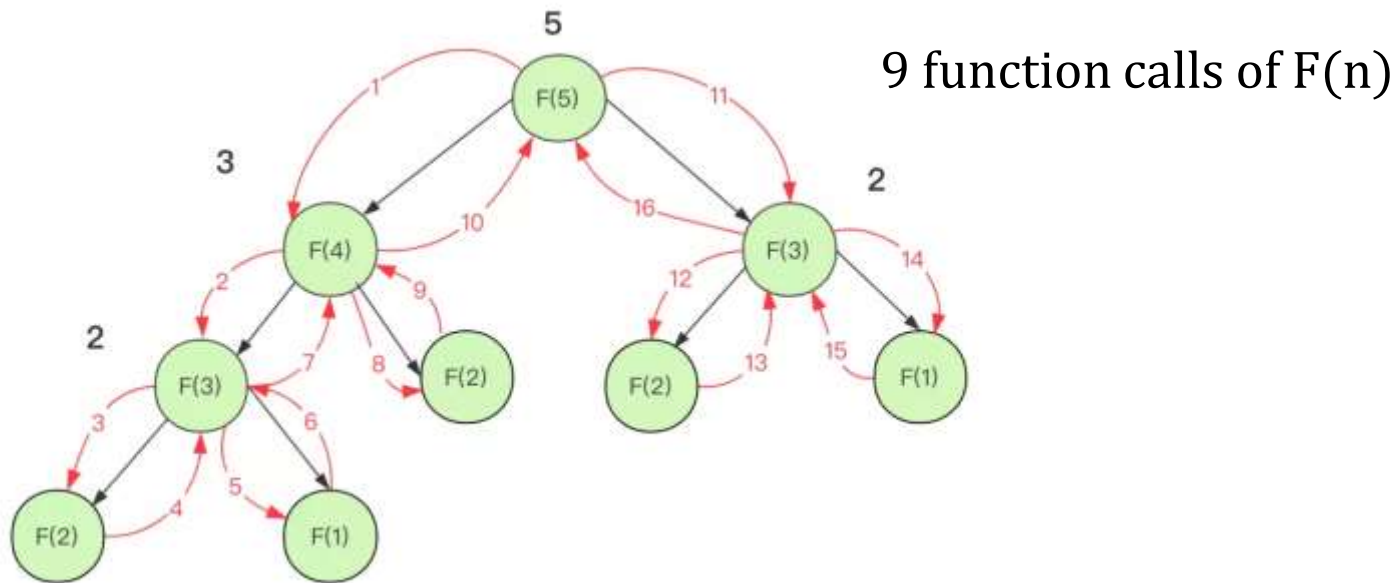
```
1. if n==0 then
2.   return 0 //base case
3. elseif n== 1 then
4.     return 1 // base case
5. else
6.     return F(n-1)+F(n-2) // recursive step
7. endif
```

Two base cases (combined as one)

Two recursive calls onto function F itself at each recursive step

# Recursion: pros and cons

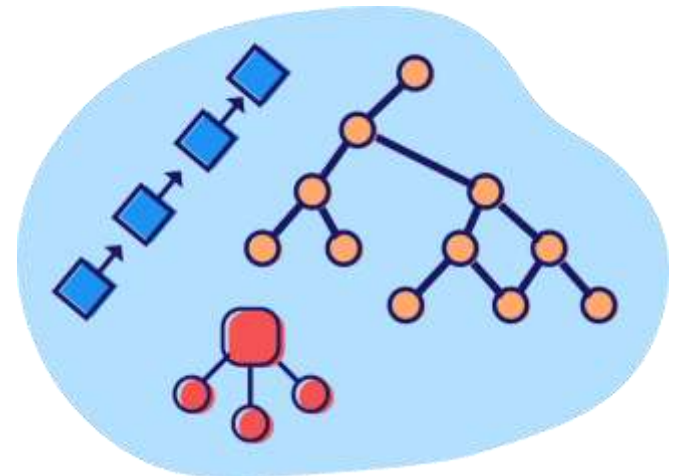
To compute  $F(5)$ , how many function calls are there?



- Pros: recursive algorithm is well-structured with short length of codes.
- Cons: time complexity may grow exponentially if there are considerable numbers of recursive calls.

# What is a Data Structure?

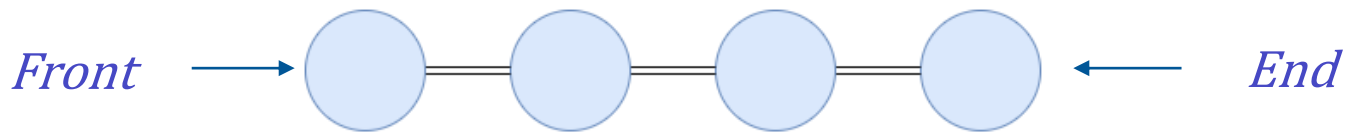
- ❑ Data Structure is a systematic way to **store and organize data** so that it can be used efficiently. It is useful in every aspect of computer science: operating system, compiler design, artificial intelligence, etc.
- ❑ Data structure is **associated with algorithms** that allows programmers to handle data in efficient ways and enhance the performance of the program.
- ❑ Common types of data structure
  - Linear data structure: **list**, array, stack, queue
  - Non-linear data structure: **tree**, **graph**





# List

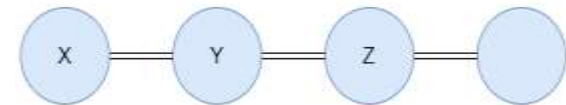
A list is a linear data structure.



How to access elements (data) stored in a list?

In this module, we restrict basic operations in list as follows:

- Add an element to a list from the front
- Delete an element from the front
- Retrieve an element from the front



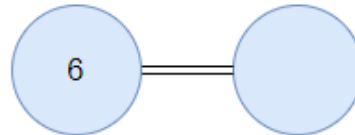
# Examples of list

We can use one dimensional arrays\* for representing lists in this module.

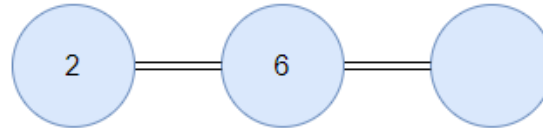
The empty list [ ]



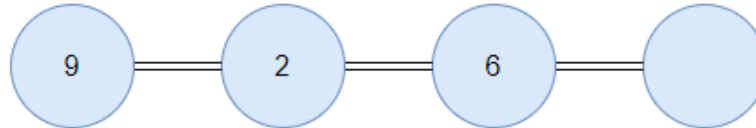
The list [6]



The list [2,6]



The list [9,2,6]



*\*Note: Arrays and lists are stored differently in computer memory.*

# Creating a list

There are two building blocks to create a list:

- **Nil** to create/represent an empty list
- **cons(x, list)** to construct a longer list by adding an element x to the front of a given list



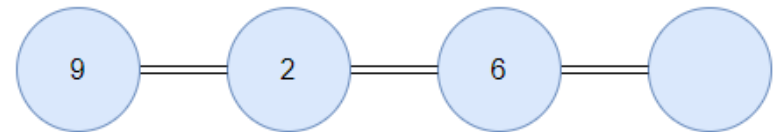
Every list is either empty or built by adding a number to a shorter list.





# Example

How to build the list [9,2,6]?



Start from an empty list **Nil**, then add elements one by one in order using **cons(x, list)**.

$\text{Nil} = []$

$\text{cons}(6, \text{Nil}) = [6]$

$\text{cons}(2, [6]) = [2, 6]$

$\text{cons}(9, [2, 6]) = [9, 2, 6]$

Note:

6 and [6] are not the same. They are specified by different data structures.

$\text{cons}(x, \text{list})$

The first input argument is a number;  
the second input argument is a list.

The above statements can be written in a composite form

$\text{cons}(9, \text{cons}(2, \text{cons}(6, \text{Nil}))) = [9, 2, 6]$

# Decomposing a list

Before starting algorithm design on lists, we need three more functions that can help decomposing the lists.

- `isEmpty(list)` to return Boolean value True if the list is empty (Nil or [ ]); False if the list is non-empty
- `value(list)` to return the first element stored in the list
- `tail(list)` to return a list without the first element, i.e., `value(list)`

Example: `isEmpty(tail(list))` can check if a non-empty list has single element



# Example

`isEmpty( Nil ) = True`

`isEmpty( [1,2,3] ) = False`

`value( [1,2,3] ) = 1`

`tail( [1,2,3] ) = [2,3]`

`value( tail( [1,2,3] ) ) = 2`

`tail( tail( [1,2,3] ) ) = [3]`

`value ( value( [1,2,3] ) )`  
(not a list)

Not valid!

`tail ( value( [1,2,3] ) )`

`value ( tail ( tail( [1,2,3] ) ) ) = 3`

`tail ( tail ( tail( [1,2,3] ) ) ) = [ ]`

By making composite calls of `value()` and `tail()`, we are able to move along with the list and retrieve any element from it.

# List Length

Trace `length([4,2,7])`

`list=[4,2,7]`

Line 1: False

Line 4: return  $1 + \text{length}([2,7]) = 1 + 2 = 3$

`list=[2,7]`

Line 1: False

Line 4: return  $1 + \text{length}([7]) = 1 + 1 = 2$

`list=[7]`

Line 1: False

Line 4: return  $1 + \text{length}([ ]) = 1 + 0 = 1$

`list=[ ]`

Line 1: True

Line 2: return 0 (base case)

Backtracking

Algorithm: `length(list)`

Requires: a list

Returns: total number of elements in the list

- if `isEmpty(list)`
  - return 0
  - else
  - return  $1 + \text{length}(\text{tail}(\text{list}))$
  - endif
- Approaching base case

Note:

The `value()` and `tail()` functions only work on non-empty list.

Therefore, we may need to check if the list is empty or not before calling these two functions, using `isEmpty()`.



# List Sum

Trace `sum([4,2,7])`

`list=[4,2,7]`

Line 1: `isEmpty([2,7])`? False

Line 4: return  $4 + \text{sum}([2,7]) = 4 + 9 = 13$

`list=[2,7]`

Line 1: `isEmpty([7])`? False

Line 4: return  $2 + \text{sum}([7]) = 2 + 7 = 9$

`list=[7]`

Line 1: `isEmpty([ ])`? True

Line 4: return 7  
(base case)

Backtracking

Algorithm: `sum(list)`

Requires: a **non-empty** list

Returns: sum of all elements in the list

1. if `isEmpty(tail(list))`
2.       return `value(list)`
3. else
4.       return `value(list) + sum(tail(list))`
5. endif

Note:

Normally in a recursive algorithm on lists, the simplest situation will be that the list is empty, or it has single element.

We can use `isEmpty(list)` or `isEmpty(tail(list))` respectively, to specify the base case.

# Minimum of a list

Algorithm: **minimum**(list) [main algorithm]

Requires: a non-empty list

Returns: smallest element of the list

```

1.  if isEmpty(tail(list))
2.      return value(list)
3.  else
4.      return min(value(list), minimum(tail(list)))
5.  endif
    
```

Algorithm: **min**(x,y) [sub algorithm]

Requires: two numbers x and y

Returns: the minimum of x and y

```

1.  if x < y
2.      return x
3.  else
4.      return y
5.  end
    
```

**minimum**([4,2,7])

list = [4,2,7]

Line 4: return **min**(4, **minimum**([2,7])) = **min**(4,2) = 2

list = [2,7]

Computed by sub-algorithm

Line 4: return **min**(2, **minimum**([7])) = **min**(2,7) = 2

list = [7]

Line 2: return 7

Backtracking

# Minimum of a list (alternative ver.)

Algorithm: minList(L) [main function]  
Requires: a non-empty list  
Returns: smallest element of the list

1. return minListHelper(tail(L),value(L))

minList([4,2,7]) [main]  
L = [4,2,7]  
return minListHelper([2,7],4)

list = [2,7], ref\_Val = 4 [helper]

Algorithm: minListHelper(list, ref\_Val) [helper function]  
Requires: a non-empty list and a number  
Returns: smallest element of the list

1. if isEmpty(list)  
2.     return ref\_Val  
3. elseif value(list)<ref\_Val  
4.     return minListHelper(tail(list), value(list))  
5. else // ref\_Val <= value(list)  
6.     return minListHelper(tail(list), ref\_Val)  
7. endif

Stores the minimum value we have found so far.

Line 1: isEmpty? False.    Line 3: 2<4? True.    Line 4: return minListHelper([7],2)

list = [7], ref\_Val = 2

Line 1: isEmpty? False.    Line 3: 7<2? False.    Line 6: return minListHelper([ ],2)

list = [ ], ref\_Val = 2                      Backtracking?    No. This is a tail recursion. No other actions need to be performed. (Seminar 3)

Line 1: isEmpty? True.    Line 2: return 2



# Review Activity

<https://forms.office.com/r/30wPYX9PYn>

Review Quiz -CELEN086(ITA)

