

# PRIVACY-PRESERVING DEEP-LEARNING

Jerald Yik, Tan Zhen Yuan, Zaw Maw Htun

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## ABSTRACT

As concern about privacy grows with increasing usage of machine learning, federated learning (FL) appeals in its ability to preserve privacy across clients whilst training a central model. Differential privacy (DP) complements FL by obfuscating personally identifiable information (PII).

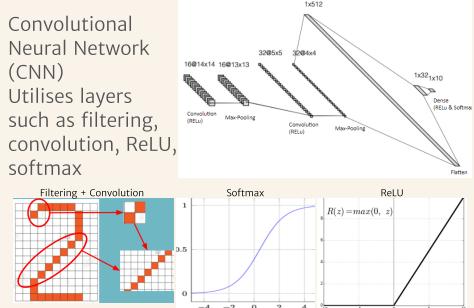
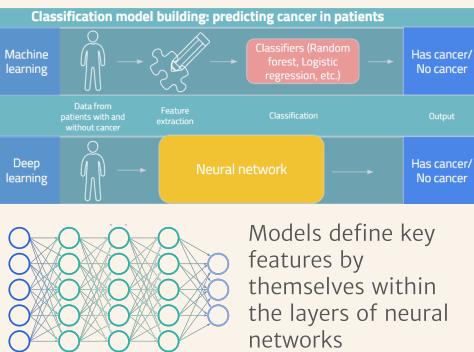
We investigated the effects of the different hyperparameters on accuracy, and ascertained the relationship between privacy and noise added.

## OBJECTIVE

- 1 Explore the effects of Federated Learning & Differential Privacy on accuracy
- 2 Ascertain the relationship between the privacy budget  $\epsilon$  and the nature of noise added (standard deviation)

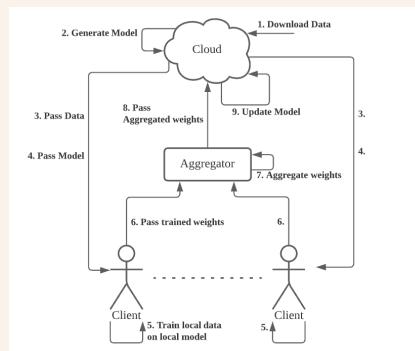
## DEEP LEARNING

Deep learning is a subset of machine learning, with some crucial differences:



## FEDERATED LEARNING

The federated learning (FL) stakeholders in our project are grouped by the Central Server and the Clients, and the process follows this flow:



## DIFFERENTIAL PRIVACY

Helpful in preserving privacy by preventing attacks such as membership inference attack

Mechanism:

1. DP based on randomised function  $M$  with privacy budget  $\epsilon$ :  
 $\Pr[M(D) \in S] \leq \exp(\epsilon) \Pr[M(D) \in S] + \delta$
2. Noise  $n$  added (obfuscation) to query response  $f$  of a data  $D$  in function  $M$ :  
 $M(D) = f(D) + n$

**Smaller  $\epsilon$  gives better privacy preservation**

Stochastic gradient descent:  
Used to optimize parameters



### Algorithm 1 Differentially private SGD (Outline)

Input: Examples  $\{x_1, \dots, x_N\}$ , loss function  $\mathcal{L}(\theta) = \frac{1}{N} \sum_i \mathcal{L}(\theta, x_i)$ . Parameters: learning rate  $\eta_t$ , noise scale  $\sigma$ , group size  $L_t$ , gradient norm bound  $C$ .

Initialise  $\theta_0$  randomly

for  $t \in [T]$  do

Take a random sample  $L_t$  with sampling probability  $L/N$

Compute gradient

For each  $i \in L_t$ , compute  $\mathbf{g}_t(x_i) \leftarrow \nabla_{\theta_t} \mathcal{L}(\theta_t, x_i)$

Clip gradient

$\mathbf{g}_t(x_i) \leftarrow \mathbf{g}_t(x_i) / \max(1, \frac{\|\mathbf{g}_t(x_i)\|}{C})$

Add noise

$\tilde{\mathbf{g}}_t \leftarrow \frac{1}{L_t} (\sum_{i \in L_t} \mathbf{g}_t(x_i) + \mathcal{N}(0, \sigma^2 C^2 I))$

Descent

$\theta_{t+1} \leftarrow \theta_t - \eta_t \tilde{\mathbf{g}}_t$

Output  $\theta_T$  and compute the overall privacy cost  $(\epsilon, \delta)$  using a privacy accounting method.

Line 1: Gradient computation

Line 2: DP-incorporated, Prevention of outlier in each epoch

Line 3: Noise addition (Gaussian)

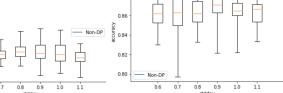
## IMPLEMENTATION, EXPERIMENTS & INSIGHTS

Dataset Services Used Inverse Relationship

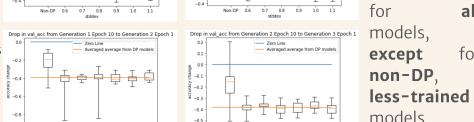
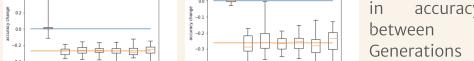


### Implementation Timeline

Less accurate for DP & less-trained models



Definite Drop in accuracy between Generations for all models, except for non-DP, less-trained models



## FUTURE DEVELOPMENTS

### Different Hyperparameters

Experiment with different hyperparameters to ascertain a relationship between Standard Deviation & Epsilon through experiments.

### Decentralised Federated Learning

This will remove the need for a Learning Coordinator, and the client-side is responsible for training local models.



### More Efficient Models/Optimisers

Recurrent Neural Network (RNN)  
Long-Short-Term-Memory (LSTM)  
Johnson-Lindenstrauss (JL) Projection

### Different FL Structure

Consider implementing a vertical or hybrid Federated Learning structure, to see if there are any effects on experimental results.