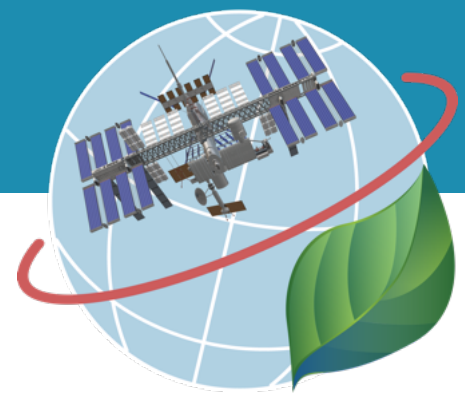


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8

Detecting Droughts with ECOSTRESS

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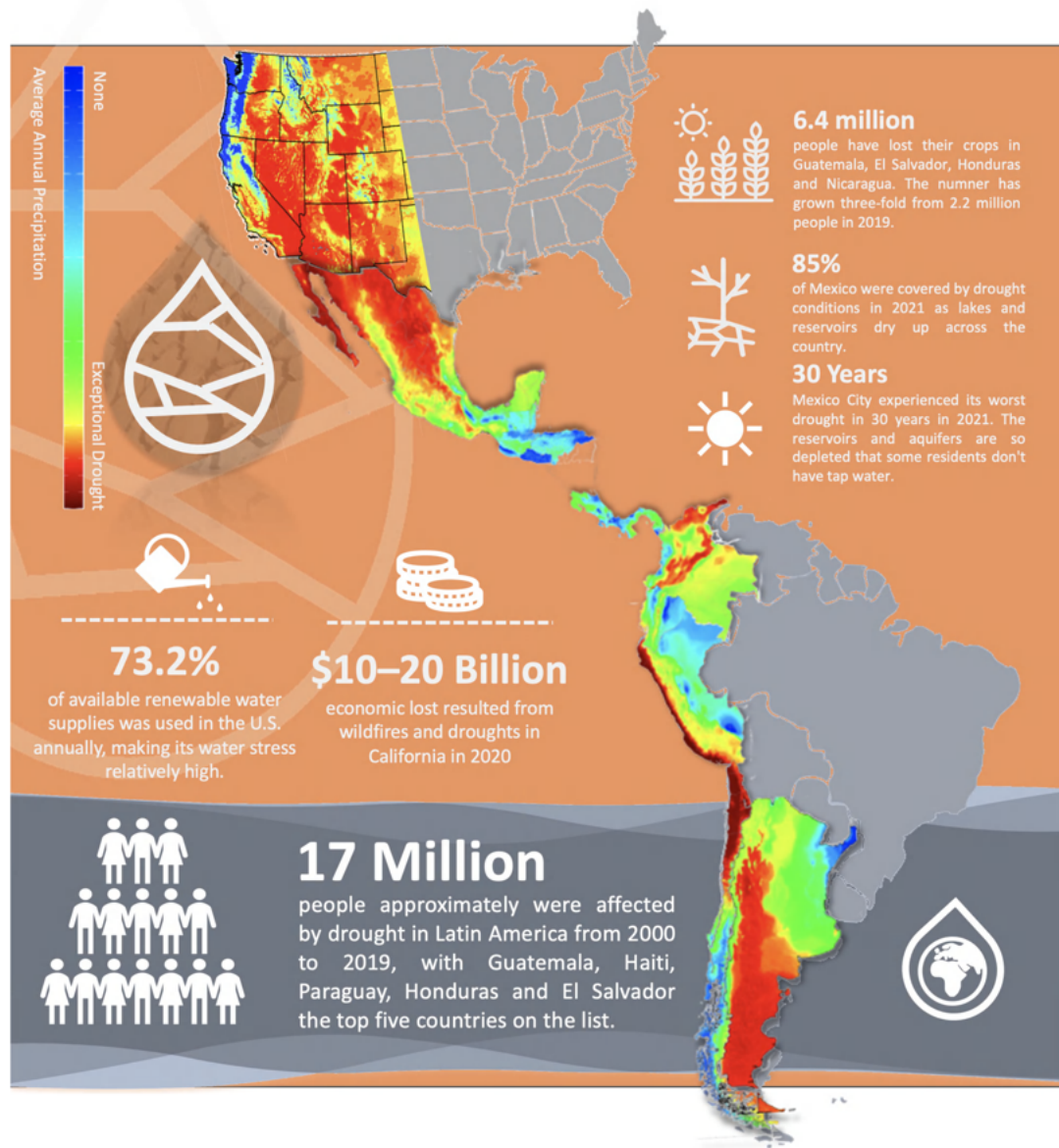
[Datafiles](#)

Objectives:

1. Distinguish between the two types of requests in AppEEARS, point timeseries data versus area geographic data.
2. Determine drought status for Mexico City in 2022 and compare to 2021's record breaking drought.
3. Create a map focusing on the social issues surrounding drought and water scarcity.



Motivation For Today's Tutorial : Drought & Water Scarcity



The figure above was created for the United Nations' "Drought in Numbers" report, calling for a top priority global commitment to drought preparedness and resilience across all regions. Drought is a complex crisis with roots not just in climate change but in social justice and public policy.

- More than 10 million people lost their lives due to major drought events in the past century with over 90% of those deaths occurring in developing countries (Guha-Sapir, D. et al., 2021).
- By 2050, between 4.8 and 5.7 billion people will live in areas that are water-scarce for at least one month each year, up from 3.6 billion today (UN Water, 2021).
- **Droughts can effect women disproportionately.** While women make up the majority of rural farmers across the globe, less than 15% of agricultural landholders are women, leading to decisions surrounding water scarcity and land use are not often made by the women who are most affected.



8.1 ACCESSING ECOSTRESS ESI DATA THROUGH AppEEARS

8.1.1 ECOSTRESS Evaporative Stress Index (ESI) Data

Data Product	Description	Pixel Size*	Temporal Resolution (days)
ECO1BRAD.001	Radiometric Calibration	70 x 70	Over continental United States and target areas**, every 1-7 days
ECO1BATT.001	Attitude and Ephemeris		
ECO1BMAPRAD.001	Radiometric Calibration		
ECO1BGEO.001	Geometric Calibration		
ECO2LSTE.001	Land Surface Temperature and Emissivity		
ECO2CLD.001	Cloud mask	30 x 30***	
ECO3ETPTJPL.001	Evapotranspiration (PT-JPL model enhanced)		
ECO3ANCQA.001	Ancillary Data Quality		
ECO3ETALEXIU.001	Evapotranspiration (ALEXI model enhanced)		
ECO4ESIPTJPL.001	Evaporative Stress Index derived from L3_ET_PT-JPL	70 x 70	
ECO4ESIALEXIU.001	Evaporative Stress Index derived from L3_ET_ALEXI	30 x 30***	
ECO4WUE.001	Water Use Efficiency	70 x 70	

*More accurately referred to as pixel spacing resolution (m) because of dependencies on ISS altitude, which varies.
**For more info, please visit ECOSTRESS Gmap to see where data has been acquired
***70 x 70 is resampled to 30 x 30 (meters)

In the last tutorials, we learned that ECOSTRESS uses land surface temperatures to estimate evapotranspiration (ET). A related variable is evaporative stress index (ESI), which is measure of potential drought conditions. It is a Level 4 (ECO4) ECOSTRESS data product that can be accessed through AppEEARS:

ECOSTRESS Evaporative Stress Index PT-JPL ✕
ECO4ESIPTJPL.001, 70m, ISS-dependent, (2018-07-09 to Present)

i Evaporative_Stress_Index_PT_JPL_ESIavg
←
+

i Evaporative_Stress_Index_PT_JPL_PET
+

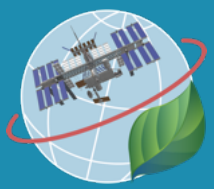
The ECOSTRESS drought stress signal is derived from ratio of actual evapotranspiration (ET) to potential evapotranspiration(PET):

$$ESI = \frac{ET}{PET}$$

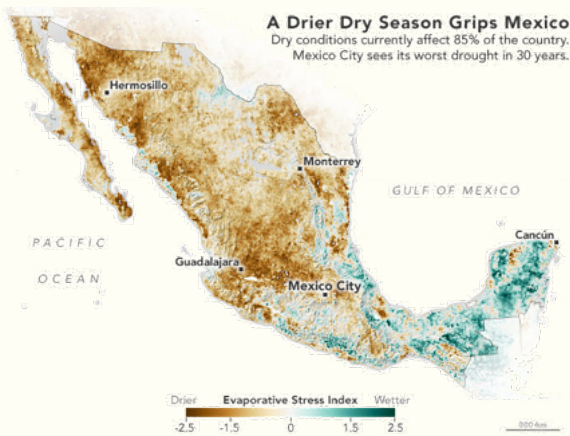
PET represents the demand on ET from the environmental or atmospheric conditions (sunlight, temperature, etc) that can be thought of as the maximum amount of water that would be used through evapotranspiration if unlimited water was available. ESI is a unitless metric that ranges from 0 to 1, with 0 indicating maximum water stress (little ET compared to PET) and 1 denoting no water stress (ET and PET are equal).

NOTE: The range for ESI is perhaps confusing, as a large number (nearing 1) indicates the absence of drought conditions, and a small number (nearing 0) suggests drought.

NOTE: Confusingly, evaporative stress index can have different definitions and even equations from study to study. For instance, the Mexico City map in the box below has a scale of -2.5 to 2.5, which is a different formula. Keep an eye out for this!



Mexico City

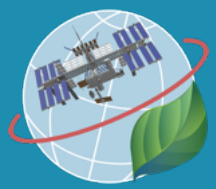


- Most populous city in North America with over 9.2 million people in the city center and over 21.8 million people in the greater metropolitan area.
- Northern Mexico's system of over 60 reservoirs is currently below 25% capacity, which has led to water scarcity (the lack of available water for human use) for over 30% of urban residents who do not have daily access to water.
- Mexico City at times has too little and other times too much water. In the dry season (October - April) droughts dry the land and deplete the water table, and in the wet season (May - September) floods wash over through its working-class neighborhoods.
- 2021 saw the worse drought conditions in over three decades for Mexico City, and brought predictions that 2022 might be worse. Today we are going to use ECOSTRESS to compare the drought conditions of 2022 to the extreme conditions of 2021.

8.1.2 Requesting Timeseries Point ESI Data in AppEEARS

So far we have been using area data from ECOSTRESS to create maps that visualize our variable of interest, but AppEEARS also has another type of data output, from a *Point* request. Instead of providing a shapefile or GeoJSON to define a set area, we can give AppEEARS GPS coordinates (Latitude, Longitude) and it will return a timeseries of our variable of interest (today we are going to look at ESI, but it can return this for any of the ECOSTRESS variables) for the single pixel that encompasses our coordinates.

1. To begin, go to <https://appeears.earthdatacloud.nasa.gov/> and login with your credentials.
2. Use the *Extract* dropdown menu but this time select *Point*. Next, select *Start a new request*.
3. Enter a useful name for the request you are going to submit, maybe something like "Mexico City ESI 2021-2022".
4. In the *Uploaded coordinates (ID, Category, Lat, Long):* section enter the GPS coordinates for Mexico City, 19.42847, -99.12766.
5. Update the *Start* and *End* Date Fields for our dates of interest: 01/01/2021 to 12/31/2022.



The screenshot shows the AppEEARS web interface. Red arrows with numbers 2 through 7 point to specific elements:

- 2: Points to the 'Extract Menu' dropdown.
- 3: Points to the 'Enter a name to identify your sample' text input field.
- 4: Points to the 'Uploaded coordinates (ID, Category, Lat, Long): 1' text area.
- 5: Points to the 'Start Date' and 'End Date' date pickers.
- 6: Points to the 'Select the layers to include in the sample' section.
- 7: Points to the 'Submit' button.

6. Under *Select the layers to include in the sample* type the words “ECOSTRESS” and “ESI” Select *ECOSTRESS Evaporative Stress Index PT-JPL*. Click on the “+” signs to add the following layers to your cart:

- `Evaporative_Stress_Index_PT_JPL_ESIavg`

Next, clear the selection of the current category using the small “x” to the right of the *ECOSTRESS Evaporative Stress Index PT-JPL* box.

Then, under *Select the layers to include in the sample* type the words “ECOSTRESS” and “LST” Select *ECOSTRESS Land Surface Temperature & Emissivity (LST&E)*. Click on the “+” signs to add the following layers to your cart:

- `SDS.LST`

Clear the selection of the current category using the small “x” to the right of the *ECOSTRESS Land Surface Temperature & Emissivity (LST&E)* box.

7. Click *Submit* to complete the data request. At the top, you should see a green banner:

✓ The area sample request was successfully submitted. An email notification will be delivered once the request is complete.



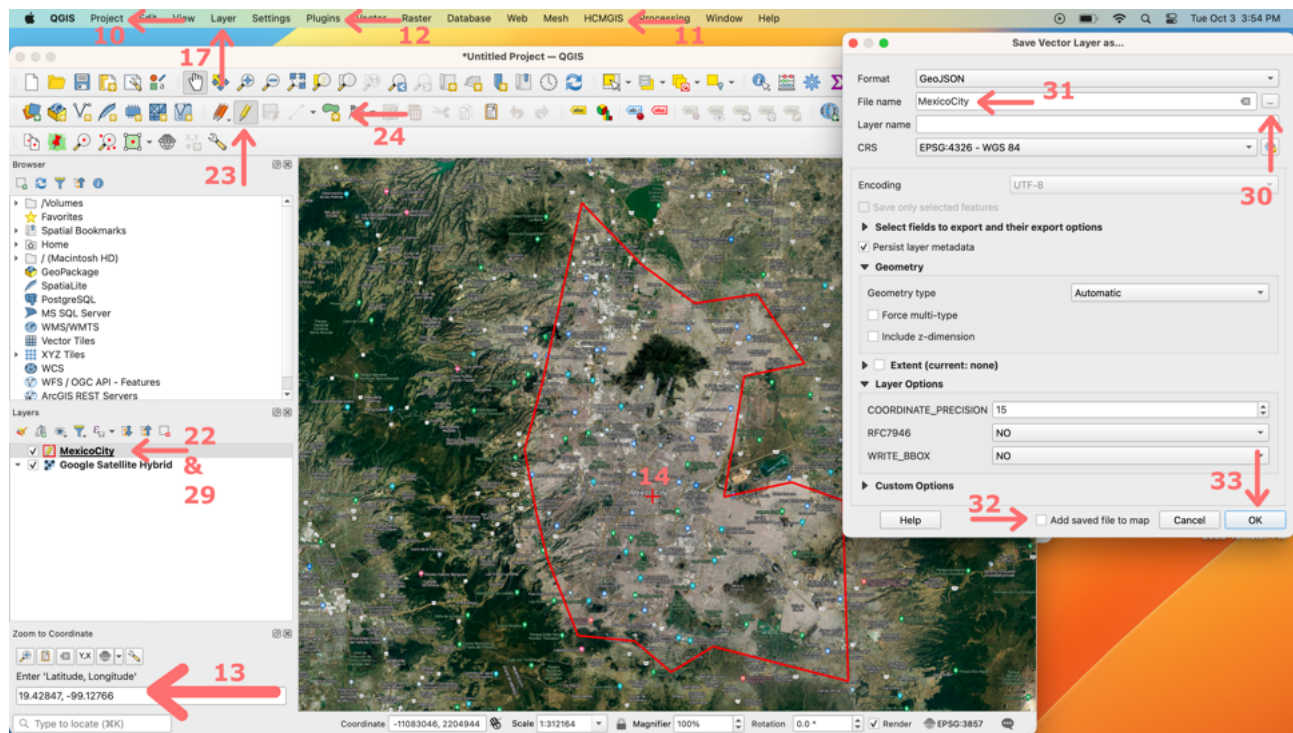
8. Use the *Explore* drop-down at the top to monitor the status of your request. Point requests typically process quicker than area requests because the system only needs to pull a single pixel from each scene.

8.1.3 Requesting Area ESI Data in AppEEARS

While we wait for the point request we are also going to create an area request so we can examine how the drought affects different parts of Mexico City. This procedure for downloading area ESI data through the AppEEARS interface is the same as in the previous tutorials on land surface temperature, evapotranspiration, and water use efficiency.

Drawing and Exporting A GeoJSON File with QGIS

9. First, we will begin by drawing an outline of the metropolitan region surrounding Mexico City and export it as a GeoJSON that we can load into AppEEARS.



10. Open QGIS and start a new project by selecting the *Project* menu, then *New*.





11. Then to add a basemap, find the *HCMGIS* menu bar, select *Basemap*, then pick your preferred map. Since we are outlining an urban area, I suggest we use *Google Satellite Hybrid*, which overlays streets and other google maps data on satellite imagery. Note that clicking on a basemap type automatically adds a new layer to your map, as seen in the layer browser window.

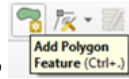
12. Open up the Lat Lon Tools window by selecting the *Plugins* menu → *Lat Lon Tools* → *Zoom To Coordinate*.

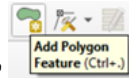
13. Enter in the following GPS coordinates of Mexico City (formatted as latitude, longitude) : 19.42847, -99.12766.

14. The Lat Lon Tools plugin has found the GPS coordinates for Mexico City and marked them with a "+" on the map.




15. Next, we want to draw a polygon (i.e., a line that forms the perimeter of the area of interest) that encompasses Vancouver Island, so that we can pull the request and download the data from *AppEEARS*.
16. Zoom in to the GPS coordinates we entered and marked with a “+” on the basemap using the *zoom in* , *zoom out* , and *pan*  buttons in toolbar. If you are on a laptop, you could use the trackpad to do the same.
17. Next, we are going to create a new layer in the map by selecting the following menus: *Layer* → *Create Layer* → *New Shapefile Layer...*
18. Select the “...” option next to the *Filename* input window. Navigate somewhere you can remember saving them somewhere you can remember (as always, we suggest creating a folder for each tutorial) and save it with a worthy filename. “Mexico City Perimeter” seems appropriate.
19. Select *UTF-8* for *File encoding*.
20. Select *Polygon* for geometry type.
21. Leave the remaining options as their defaults and click *OK*.
22. Now, it is time to draw the polygon. First, make sure that your new “Mexico City Perimeter” layer is highlighted in the *Layers* window.
23. Select the *Toggle Editing*  button from the toolbar to start editing the layer.



24. Then select the *Add Polygon Feature*  button to begin drawing.
25. Draw a polygon that encompasses Mexico City. Don't worry too much about being perfect or strictly aligning to the city's borders, getting the basic shape will do. Right click on Windows or Linux and Ctrl click on Mac to stop drawing when your shape is complete.

NOTE: Drawing a polygon in QGIS is both straightforward and nuanced. You use successive clicks with your mouse to create your desired shape. Simple forms like squares or rectangles are easy achievable, while more complex designs take some practice to master. It may take you a couple of tries to get the feel of it.

26. After you finish drawing, QGIS will prompt you for a feature ID. This is an arbitrary designation for our purposes today, so simply using the number 1 is my recommendation.
27. Click *OK*.
28. Select the *Toggle Editing*  button from the toolbar to toggle off editing the layer. QGIS will prompt you to confirm saving the layer. Select *Yes*.
29. To export your layer as a GeoJSON file: right click (Windows or Linux) or ctrl-click (Mac) on the layer in your layer browser window.
30. Select the “...” option next to the *Filename* input window to choose a logical location to save your GeoJSON file.
31. Name the file something appropriate, perhaps “MexicoCity”.
32. Uncheck the box labeled “Add saved file to map”.
33. Click “OK”.



Creating an Area Request for Mexico City (2022) in AppEEARS

Switching back over to AppEEARS to create a new area request. I have preselected some dates with good data coverage (few to no clouds, orbit path that aligns with a single shot for the area of interest) in 2021 & 2022.

34. Use the *Extract* dropdown menu to select *Area*. Next, select *Start a new request*.

The screenshot shows the AppEEARS 'Extract Area Sample' form. Red arrows and numbers indicate the following steps:

- 34**: Points to the 'Extract' dropdown menu in the top navigation bar.
- 35**: Points to the 'Enter a name to identify your sample' text input field, which contains 'Mexico City 2022'.
- 36**: Points to the 'Upload a file or draw a polygon using the [icon] or [icon] icon' section, specifically the 'Drop a vector polygon file' instruction.
- 37**: Points to the 'Start Date' and 'End Date' date pickers, both set to '04-05-2022'.
- 38**: Points to the 'Select the layers to include in the sample' section, specifically the search bar and the 'Selected layers' list on the right.
- 39**: Points to the 'Output Options' section, specifically the 'File Format' dropdown (set to 'GeoTIFF') and the 'Projection' dropdown (set to 'Native Projection').
- 40**: Points to the 'Submit' button at the bottom right of the form.

35. Enter a useful name for the request you are going to submit, maybe something like “Mexico City 2022”.

36. Drag and drop (or use the *click here to select the file* link) to upload the GeoJSON file we just exported in steps 10-33. The map should be updated with your polygon surrounding Mexico City.

37. Update the *Start* and *End* Date Fields for our preselected date of interest: 04/05/2022 to 04/05/2022.

38. Under *Select the layers to include in the sample* type the words “ECOSTRESS” and “ESI” Select *ECOSTRESS Evaporative Stress Index PT-JPL*. Click on the “+” signs to add the following layers to your cart:

- Evaporative_Stress.Index.PT_JPL_ESIavg

Next, clear the selection of the current category using the small “x” to the right of the *ECOSTRESS Evaporative Stress Index PT-JPL* box.



Then, under *Select the layers to include in the sample* type the words “ECOSTRESS” and “LST” Select *ECOSTRESS Land Surface Temperature & Emissivity (LST&E)*. Click on the “+” signs to add the following layers to your cart:

- SDS.LST

Clear the selection of the current category using the small “x” to the right of the *ECOSTRESS Land Surface Temperature & Emissivity (LST&E)* box.

39. Under *Output Options*, we want to use GeoTIFF (Geographic Tagged Image File Format; essentially an image file where the corresponding geographic information is embedded in the file) and *Native Projection* for projection.

40. Click *Submit* to complete the data request. At the top, you should see a green banner:

✓ The area sample request was successfully submitted. An email notification will be delivered once the request is complete.



Creating an Area Request for Mexico City (2021) in AppEEARS

41. Repeat steps 34 - 40 with the same layers and GeoJSON file called “Mexico City 2021” for 4/28/2021.

42. Use the *Explore* drop-down at the top to monitor the status of your request. Requests will likely go quickly, given that it is only one day’s worth of data.

8.2 VISUALIZING ESI TIMESERIES POINT DATA IN AppEEARS

43. When your first timeseries point data request (“Mexico City ESI 2021-2022”) is complete, use the link on the *Explore* page to access the details. AppEEARS returns this data in a .CSV spreadsheet that can be opened with free statistical software like [R](#), the paid program Microsoft Excel, or the cost free alternative [Libreoffice Calc](#). These software options can create graphs and run statistical comparisons.

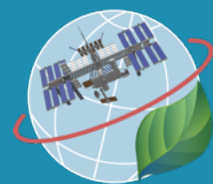
44. Additionally, you can use the AppEEARS interface to do some light visualizations, which will serve our purposes today.

45. Our goal for the ESI timeseries point analysis is not to visualize the data in space, but rather to compare the differences between the two years (the known drought year of 2021 and 2022). The benefit of this point data is that AppEEARS has conveniently packaged our variable of interest in an easy to manage format to track changes through time. The downside to this type of data retrieval is that it can only do it for a single pixel.

46. First, select the *Evaporative Stress Index_PT_JPL_ESIavg* layer:

The top panel displays the entire timeseries for the dates we have input. The bottom panel compares across years by plotting different years in our data in different colors.

Notice that the dry season (October - April) has much more drought stress (low ESI) compared to the wet season (May - September). Our goal was to compare 2022 to the known drought year of 2021. What conclusions can you draw from this data? Include your assessment in the write-up that accompanies your “Map of the Week”. Be sure to mention any sources of error, specifically mentioning the shortcomings of the point analysis (like using only one pixel).



8.3 VISUALIZING AREA ESI DATA WITH QGIS

8.3.1 Download Area ESI Data in AppEEARS

Explore Requests

Please see [Sample Request Retention](#) for details on expired requests.

Showing requests 1 - 84 of 84

≪ Prev 1 Next ≫

Request	Type	Status	Details	Date Submitted	Date Completed
Mexico City 2022	Area Sample	Done	Details	10-03-2023 7:03:40 PM PDT	10-03-2023 7:04:49 PM PDT
Mexico City 2021	Area Sample	Done	Details	10-03-2023 7:01:57 PM PDT	10-03-2023 7:02:51 PM PDT

47. By now your area requests should be complete. From the *Explore* dropdown menu at the type of the AppEEARS interface, click the download button (middle blue button) for the first area request “Mexico City 2022”.

Search keyword

1 Selected (2.07 MB)

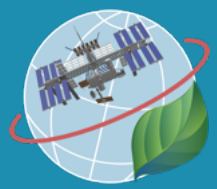
Download

Name	Size
ECO2LSTE.001_SDS_LST_doy2021118181114_aid0001.tif	808 KB
ECO2LSTE.001_SDS_QC_doy2021118181114_aid0001.tif	177.66 KB
ECO4ESIPTJPL_001_Evaporative_Stress_Index_PT_JPL_ESIavg_doy2021118181114_aid0001.tif	2.07 MB

1 - 3 displayed, 3 in total

48. Select the following filename:

ECO4ESIPTJPL.001_Evaporative.Stress.Index_PT_JPL_ESIavg_doy2022095170707_aid0001.tif. Down-



load the file using the *Download* button, that for some reason does not look much like a button, on the top right corner of the screen. Save the file somewhere you can remember.

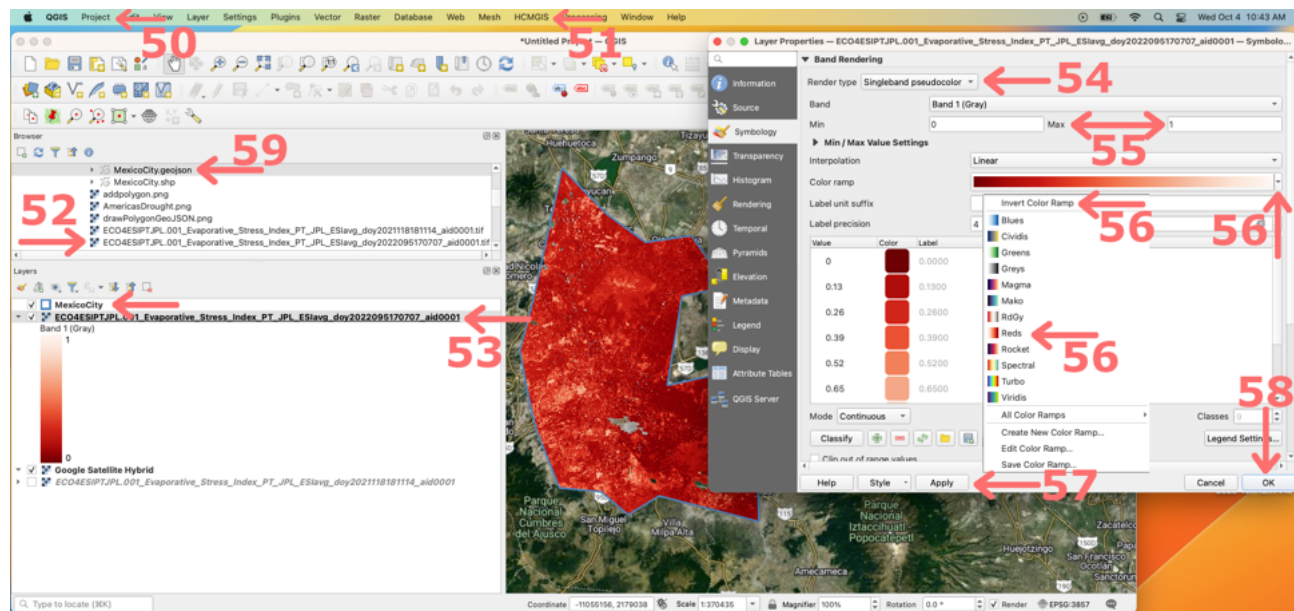
49. Repeat steps 47 - 48 to download the file: from the second request “Mexico City 2021” to download the following filename:

ECO4ESIPTJPL.001_Evaporative_Stress_Index_PT_JPL_ESlavg_doy2021118181114_aid0001.tif

8.3.2 Adding a Google Satellite Basemap

50. Switch over to QGIS and start a new project by selecting the *Project* menu, then *New*.

51. To add a basemap, find the *HCMGIS* menu bar, select *Basemap*, then pick your preferred map. For today’s map, we will use *Google Satellite*. Note that clicking on a basemap type automatically adds a new layer to your map, as seen in the layer browser window.



8.3.3 Add in ESI layer

52. Use the *browser* window to find the folder where you saved the 2022 ESI file:

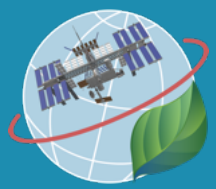
ECO4ESIPTJPL.001_Evaporative_Stress_Index_PT_JPL_ESlavg_doy2022095170707_aid0001.tif. Double-click it to add it to your map. Again, notice that they are now also listed in the *Layers* window.

NOTE: QGIS can automatically zoom to your layer’s area of interest by right clicking (ctrl-click on Mac) on the layer and selecting *Zoom to Layer(s)*.

53. Now, you have ECOSTRESS ESI data on your map, but we need to change it from grayscale. Right-click (ctrl-click on Mac) on the layer name in the *Layers* window and select *Layer Properties*.

54. On the menu bar to the left, select *Symbology* and change *Render type* to Singleband pseudocolor.

55. QGIS has automatically determined the minimum and maximum values from the datafiles; however, we are going to want to compare two different years and need to match them. The range of ESI is 0-1, so specify 0 as the minimum and 1 as the maximum.



56. Now we need to change the color ramp to effectively communicate ESI. Let's select "Reds" option, but remember that the scale for ESI is inverted (0 indicating drought stress & 1 indicating non drought conditions). To handle this check the *invert color ramp* checkbox.

57. Click *apply*.

58. Then click *ok*.

59. Finally, add the polygon border from your Mexico City GeoJSON file by double clicking on it in the *Browser* window. Right-click (ctrl-click on Mac) on the layer in the *Layers* window and change the symbology to *outline blue*.

8.4 ADD MAP ELEMENTS

60. Following the procedure described in [Tutorial #5 : Adding Elements To Maps](#), make a professional map complete with scalebars, labels, a legend, titles, and a North arrow. Use a basemap that showcases the study region (Mexico City) with this ESI map from 2022 as an inset.

61. Repeat steps 52 - 60 for the Mexico City 2021 data and include it as an inset on the same map. This map will be your part of your map of the week assignment.

Map of the Week Assignments

1. Make a map comparing 2021 and 2022 ESI data for Mexico City. Include a basemap that showcases the region and the ESI data for 2021 and 2022 as insets. The map(s) should be complete with scalebars(s), north arrow(s), legend(s), title(s), and label(s).
2. Provide a 1-2 paragraph description of your map and ESI timeseries point data that includes your reflections on which tree on whether 2022 should be considered a drought year, knowing that 2021 was the worst drought in over 30 years. How do the different sources of data (timeseries point vs geographic) contribute to your analysis? Address the limitations of your analysis.

Submit these assignments via Canvas before Monday's class.

Datafiles

In case you encountered any issues with the *AppEEARS* database, here are copies of the ECOSTRESS GeoTIFF file for Mexico City ESI 2022:

1. [ECO4ESIPTJPL.001_Evaporative_Stress_Index_PT_JPL_ESIavg_doy2022095170707_aid0001.tif](#)

And Mexico City ESI 2021:

1. [ECO4ESIPTJPL.001_Evaporative_Stress_Index_PT_JPL_ESIavg_doy2021118181114_aid0001.tif](#)

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