

Totally symmetric self-complementary plane partition matrices and polytopes

Jessica Striker

North Dakota State University

March 2025

Alternating sign matrices

Definition

Alternating sign matrices (ASM) are square matrices with the following properties:

- entries $\in \{0, 1, -1\}$
- each row and each column sums to 1
- nonzero entries alternate in sign along a row/column

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix}$$

Alternating sign matrix enumeration

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

Theorem (Zeilberger 1996; Kuperberg 1996)

$n \times n$ alternating sign matrices are counted by: $\prod_{j=0}^{n-1} \frac{(3j+1)!}{(n+j)!}$.

1, 2, 7, 42, 429, 7436, 218348, 10850216, ...

This was conjectured by Mills, Robbins, and Rumsey (1983) and proved in different ways by Zeilberger (1996), Kuperberg (1996), Fischer (2006), and Fischer-Konvalinka (2020/2022).

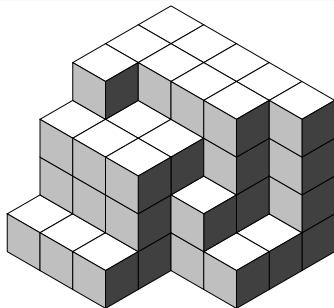
Plane partitions

Definition

A **plane partition** π is a set of positive integer lattice points (i, j, k) such that if $(i, j, k) \in \pi$, $i' \leq i$, $j' \leq j$, $k' \leq k$ then $(i', j', k') \in \pi$.

Theorem (MacMahon 1896)

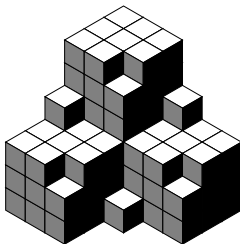
The number of plane partitions inside $a \times b \times c$ is $\prod_{i=1}^a \prod_{j=1}^b \prod_{k=1}^c \frac{i+j+k-1}{i+j+k-2}$



Symmetry classes of plane partitions

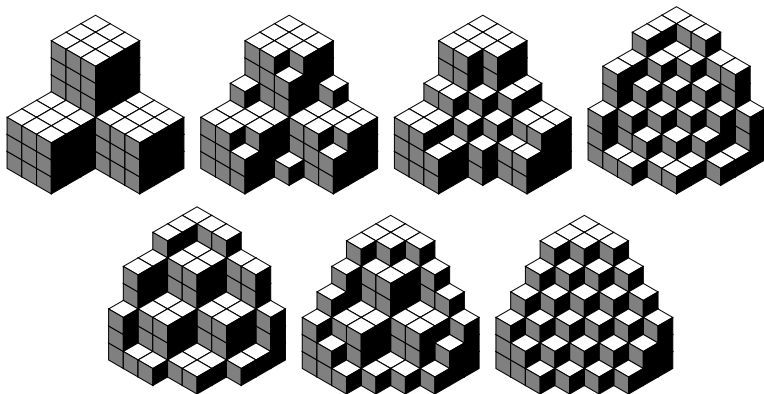
Definition

- π is **symmetric** if $(i, j, k) \in \pi$ implies $(j, i, k) \in \pi$.
- π is **cyclically symmetric** if $(i, j, k) \in \pi$ implies $(j, k, i) \in \pi$.
- π is **totally symmetric** if both of the above hold.
- π is **self-complementary** if it is equal to its complement.



Totally symmetric self-complementary plane partition (TSSCPP)

TSSCPP inside a $6 \times 6 \times 6$ box



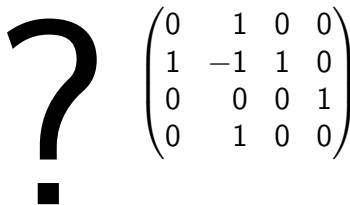
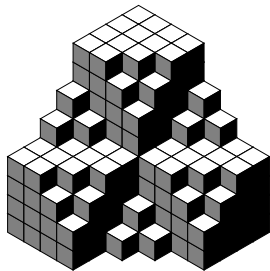
Theorem (G. Andrews 1994)

Totally symmetric self-complementary plane partitions inside a

$2n \times 2n \times 2n$ box are counted by:
$$\prod_{j=0}^{n-1} \frac{(3j+1)!}{(n+j)!}.$$

A missing bijection

Open problem: Find a **beautiful bijection** between $n \times n$ ASM and TSSCPP in a $2n \times 2n \times 2n$ box.



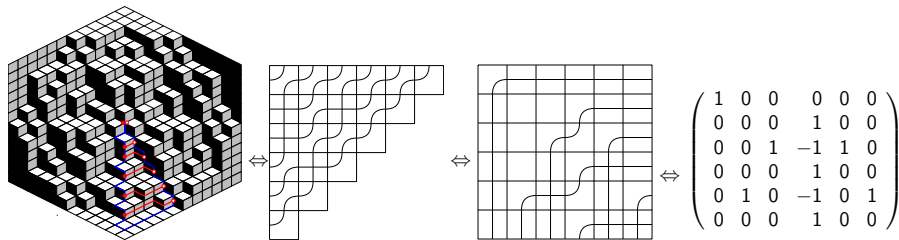
Sub-bijections known in the following cases:

- Permutation [S. 2018]
- Two-diagonal [Biane and Chebballah 2012, Bettinelli 2019]
- Gapless monotone triangle [ACGB 2011]
- 1432-key-avoiding [Huang and S. 2024]

A 1432-avoiding ASM-TSSCPP bijection

Theorem (Huang-S. 2024)

Let $\pi \in S_n$. There is an explicit weight-preserving injection φ from $TSSCPP^{red}(\pi)$ to $ASM^{red}(\pi)$. If π avoids 1432, then φ is a bijection.



Known alternating sign matrix bijections

ASM

$$\begin{pmatrix} 0 & 0 & 1 & 0 \\ 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

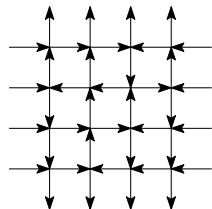
Monotone triangle

$$\begin{matrix} & & & 3 & & \\ & & 1 & & 4 & \\ & 1 & & 3 & & 4 \\ 1 & & 2 & & 3 & & 4 \end{matrix}$$

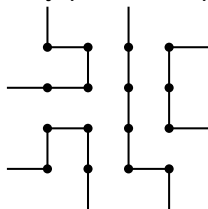
Height function

$$\begin{pmatrix} 0 & 1 & 2 & 3 & 4 \\ 1 & 2 & 3 & 2 & 3 \\ 2 & 1 & 2 & 3 & 2 \\ 3 & 2 & 3 & 2 & 1 \\ 4 & 3 & 2 & 1 & 0 \end{pmatrix}$$

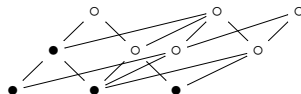
Six-vertex model



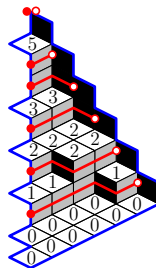
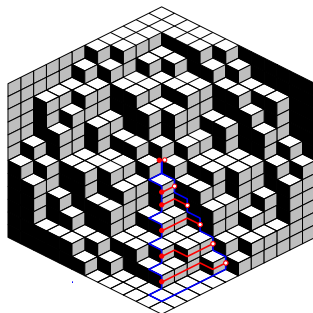
Fully-packed loop



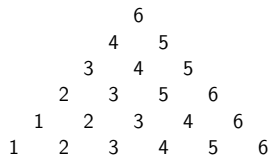
Order ideal



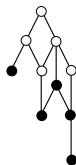
Known TSSCPP bijections



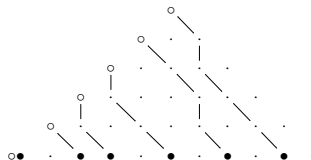
Magog triangle



Order ideal



Non-intersecting lattice paths



New TSSCPP object: Magog matrices

Magog triangle

						6		
					4	5		
			3		4	5		
	2		3		5	6		
1		2		3		4		6
1	2	3	4	5	6			

\longleftrightarrow

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}$$

Magog matrix

$$\longleftrightarrow \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & -1 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 1 & 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

Characterizing magog matrices (Holmlund and S. 2024)

ASM vs magog matrices

Properties of both:

- entries $\in \{0, 1, -1\}$
- rows and columns sum to one
- row and column partial sums ≥ 0
- column partial sums ≤ 1

Property of ASMs:

- row partial sums ≤ 1

Property of Magog matrices:

- For all $0 \leq i \leq n-2, 0 \leq j \leq n-2$,

$$\sum_{i'=1}^i a_{i',j+1} + \sum_{j'=1}^{j+1} a_{i+1,j'} - \sum_{i'=1}^i a_{i',j} \geq 0$$

Magog but not ASM

$$\begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

ASM but not Magog

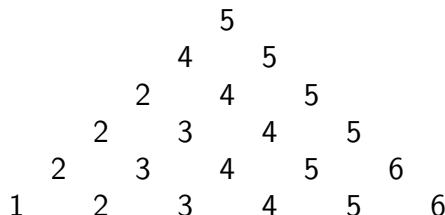
$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & -1 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

ASM and Magog

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & -1 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Permutation magog matrices

Magog triangle



Magog matrix

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

Theorem (Holmlund and S. 2024)

The magog matrices of order n with no negative ones are the 132-avoiding permutation matrices.

Is there a pattern avoidance characterization of *all* magog matrices?

→ New tool: Recent papers on key-avoidance and classical-avoidance in ASMs, joint with Bouvel and Smith as well as Egge and Troyka

ASMs / Magog matrices

Only ASM:

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

Both:

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

Only magog:

$$\begin{pmatrix} 0 & 0 & 1 \\ 1 & 1 & -1 \\ 0 & 0 & 1 \end{pmatrix}$$

Refined enumerations: Boundary 1s

For both ASMs and Magog matrices:

The first row (or first column or last row) has one 1 and the rest 0s.

ASM properties

- The last column has one 1 and the rest 0s.
- # with a 1 in a specified corner is the number of ASMs of size $n - 1$.
- Formula for # with a 1 in a specified entry of an outer row or column.

Magog matrix properties (Holmlund and S.)

- The last column can have -1 s and more 1s.
- # with a 1 in the SW corner is the number of ASMs of size $n - 1$.
- # with a 1 in the NW corner is 1.
- # with a 1 in the NE corner equals the number with a 1 in row 1 column $n - 1$.
- # with a 1 in row 1 column 2 is $2^{n-1} - 1$.
- # with a 1 in row 2 column 1 is $C_n - 1$.

Refined enumerations: Inversions

The *inversion number* of A is
$$\sum_{1 \leq k < i \leq n} \sum_{1 \leq j < l \leq n} A_{ij} A_{kl}.$$

For both ASMs and Magog matrices:

- The identity matrix is the only one with no inversions.
- The anti-identity matrix is the only one with the maximum number of inversions: $\binom{n}{2}$.

ASM properties

- # with 1 inversion is $n - 1$.
- # with 2 inversions is $\binom{n-1}{2} - 2(n-1) = \frac{(n-2)(n+3)}{2}$.
- # with $\binom{n}{2} - 1$ inversions is $\binom{n}{2}$

Magog matrix properties (HS 24)

- # with 1 inversion is 1.
- # with 2 inversions is $n + 1$.
- Conj: # with $\binom{n}{2} - 1$ inversions is $2^n - n - 1$.

More conjectures involving
positive inversions.

ASM vs. TSSCPP polytope

Definition (S. / Behrend-Knight)

The n th *alternating sign matrix polytope* $\text{ASM}(n)$ is the convex hull in \mathbb{R}^{n^2} of the $n \times n$ ASMs.

Definition (Holmlund and S.)

The n th *TSSCPP matrix polytope* $\text{TSSCPP}(n)$ is the convex hull in \mathbb{R}^{n^2} of all $n \times n$ magog matrices.

Theorem (S. 2009 / *BK 2007)

$\text{ASM}(n)$ satisfies:

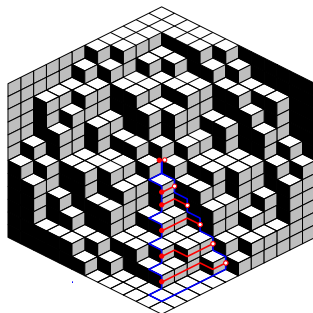
- * *Dimension* $(n - 1)^2$
- * *Vertices are precisely the $n \times n$ ASMs*
- * *Inequality description*
- $4[(n - 2)^2 + 1]$ *facets*
- *Nice face lattice description*
- *Projects to permutohedron*

Theorem (Holmlund and S. 2024)

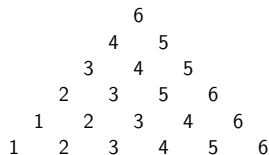
$\text{TSSCPP}(n)$ satisfies:

- *Dimension at most $(n - 1)^2$*
- *Vertices are precisely the set of $n \times n$ magog matrices*
- *Partial inequality description*

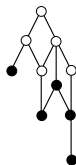
Known TSSCPP bijections



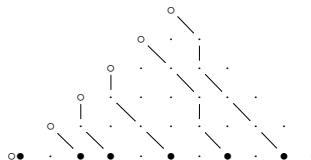
Magog triangle



Order ideal



Non-intersecting lattice paths

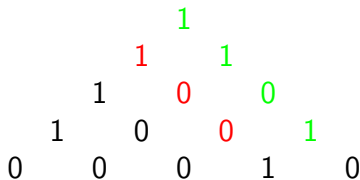


TSSCPP boolean triangles

Definition (S. 2018)

A *TSSCPP boolean triangle* of order n is a triangular integer array $\{b_{i,j}\}$ for $1 \leq i \leq n-1$, $n-i \leq j \leq n-1$ with entries in $\{0, 1\}$ such that the diagonal partial sums satisfy $1 + \sum_{i=j+1}^{i'} b_{i,n-j-1} \geq \sum_{i=j}^{i'} b_{i,n-j}$.

Not a TSSCPP boolean triangle



TSSCPP boolean triangles

Definition (S. 2018)

A *TSSCPP boolean triangle* of order n is a triangular integer array $\{b_{i,j}\}$ for $1 \leq i \leq n-1$, $n-i \leq j \leq n-1$ with entries in $\{0, 1\}$ such that the diagonal partial sums satisfy $1 + \sum_{i=j+1}^{i'} b_{i,n-j-1} \geq \sum_{i=j}^{i'} b_{i,n-j}$.

A TSSCPP boolean triangle

$$\begin{array}{ccccccc} & & & & 1 & & \\ & & & & & & \\ & & & 1 & & 1 & \\ & & 1 & & 0 & & 0 \\ & 1 & & 0 & & 1 & & 1 \\ 0 & & 0 & & 0 & & 0 & & 0 \end{array}$$

Boolean triangle polytope

Definition (Holmlund and S. 2024)

The n th *boolean triangle polytope* $\text{BTP}(n)$ is the convex hull in $\mathbb{R}^{\binom{n}{2}}$ of all TSSCPP boolean triangles of order n .

Theorem (Holmlund and S. 2024)

$\text{BTP}(n)$ satisfies the following:

- *Dimension* $\binom{n}{2}$.
- *Vertices are precisely the TSSCPP boolean triangles of order n .*
- *Inequality description*
- $\frac{(n-1)(3n-2)}{2}$ *facets*

The many faces of alternating sign matrices

ASM

$$\begin{pmatrix} 0 & 0 & 1 & 0 \\ 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

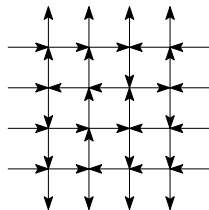
Monotone triangle

$$\begin{array}{ccccc} & & & & \\ & & & & 3 \\ & & 1 & & 4 \\ & 1 & & 3 & 4 \\ 1 & & 2 & 3 & 4 \end{array}$$

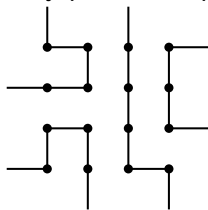
Height function

$$\begin{pmatrix} 0 & 1 & 2 & 3 & 4 \\ 1 & 2 & 3 & 2 & 3 \\ 2 & 1 & 2 & 3 & 2 \\ 3 & 2 & 3 & 2 & 1 \\ 4 & 3 & 2 & 1 & 0 \end{pmatrix}$$

Six-vertex model



Fully-packed loop



Corner sum matrix

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 & 2 \\ 0 & 1 & 1 & 2 & 3 \\ 0 & 1 & 2 & 3 & 4 \end{pmatrix}$$

The many faces of magog matrices (Bansal and S. 2025+)

Magog matrix

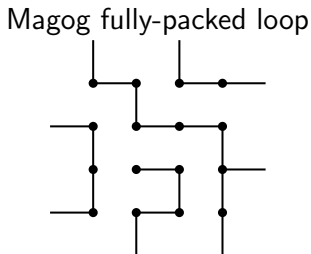
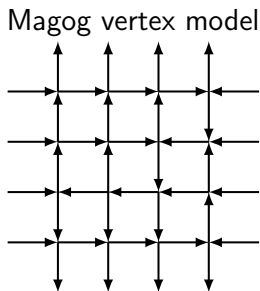
$$\begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & -1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Magog triangle

$$\begin{array}{ccccccc} & & & & 4 & & \\ & & & & & & \\ & & 3 & & 4 & & \\ & 1 & & 2 & & 3 & \\ 1 & & 2 & & 3 & & 4 \end{array}$$

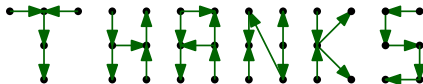
Magog height function

$$\begin{pmatrix} 0 & 1 & 2 & 3 & 4 \\ 1 & 2 & 3 & 4 & 3 \\ 2 & 3 & 4 & 3 & 2 \\ 3 & 2 & 1 & 0 & 1 \\ 4 & 3 & 2 & 1 & 0 \end{pmatrix}$$



Magog corner sum

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 2 \\ 0 & 1 & 2 & 3 & 3 \\ 0 & 1 & 2 & 3 & 4 \end{pmatrix}$$



Main papers for this talk:

- R. Bansal and J. Striker, The many faces of magog matrices, *In Preparation*.
- V. Holmlund and J. Striker, Totally symmetric self-complementary plane partition matrices and related polytopes, *Annals of Combinatorics* (2024), 38 pp.

Related papers:

- M. Bouvel, E. Egge, R. Smith, J. Striker, and J. Troyka, Classical pattern avoidance in alternating sign matrices, [arXiv:2411.07662](https://arxiv.org/abs/2411.07662) (Submitted).
- M. Bouvel, R. Smith, and J. Striker, Key-avoidance for alternating sign matrices, *Discrete Math Theoretical Computer Science* **27** (2025), no. 1, 28 pp.
- D. Huang and J. Striker, A pipe dream perspective on totally symmetric self-complementary plane partitions, *Forum of Math, Sigma* **12** (2024), no. e17, 19 pp.
- J. Striker, Permutation totally symmetric self-complementary plane partitions, *Ann. Comb.* **22** (2018), no. 3, 641–671.
- J. Striker, The alternating sign matrix polytope. *Electronic Journal of Combinatorics*, **16** (2009), no. 1.