

# Solving puzzles of shellable simplicial spheres

---

Yirong Yang  
University of Washington

Special Session: Algebraic, Geometric and Topological Combinatorics  
AMS 2025 Spring Central Sectional Meeting  
March 30, 2025

Slides



arXiv:2401.04220

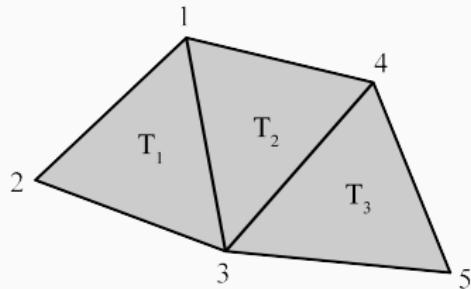


# “Shellable”?

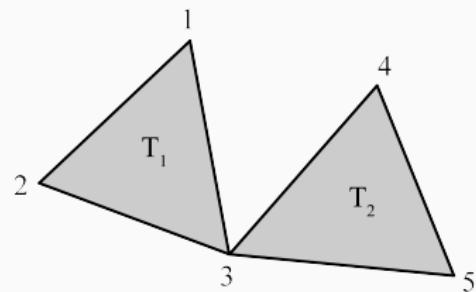
Let  $\Delta$  be a *pure* simplicial complex.

A *shelling* of  $\Delta$  is an ordering  $T_1, \dots, T_n$  of the facets of  $\Delta$  such that  $\overline{T_i} \cap (\overline{T_1} \cup \dots \cup \overline{T_{i-1}})$  is a pure  $(\dim \Delta - 1)$ -dimensional simplicial complex for every  $2 \leq i \leq n$ .

$\Delta$  is *shellable* if such a shelling exists.



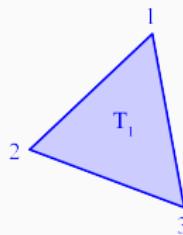
$T_1, T_2, T_3$  is a shelling.



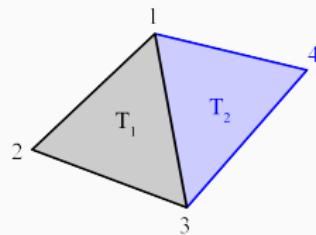
$T_1, T_2$  is not a shelling.  
 $\dim \overline{T_1} \cap \overline{T_2} = \dim \overline{3} = 0 < 1$ .

# “Shellable”?

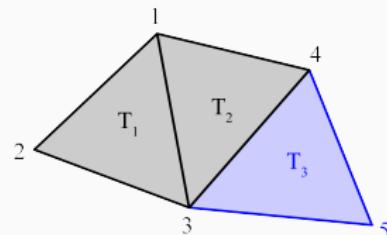
Every shelling gives a *partitioning* of the complex.



Minimal new face  
of  $T_1$ :  $\emptyset$ .



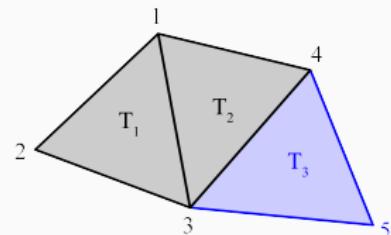
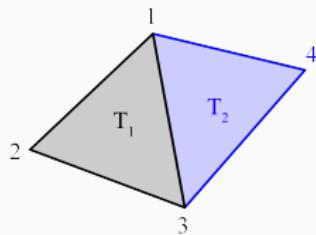
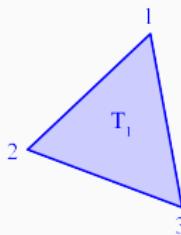
Minimal new face  
of  $T_2$ : 4.



Minimal new face  
of  $T_3$ : 5.

# “Shellable”?

Every shelling gives a *partitioning* of the complex.

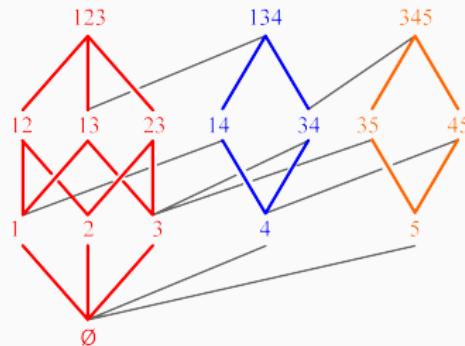


Minimal new face  
of  $T_1$ :  $\emptyset$ .

Minimal new face  
of  $T_2$ : 4.

Minimal new face  
of  $T_3$ : 5.

$\implies$  Partitioning:  
 $[\emptyset, 123] \sqcup [4, 134] \sqcup [5, 345]$ .

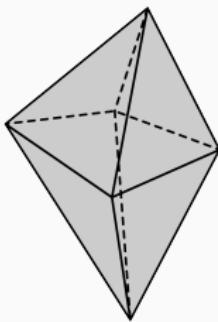
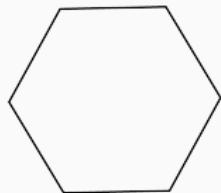


## “Simplicial sphere”?

$\Delta$  is a *simplicial  $(d - 1)$ -sphere* if its *geometric realization* is homeomorphic to a topological  $(d - 1)$ -sphere.

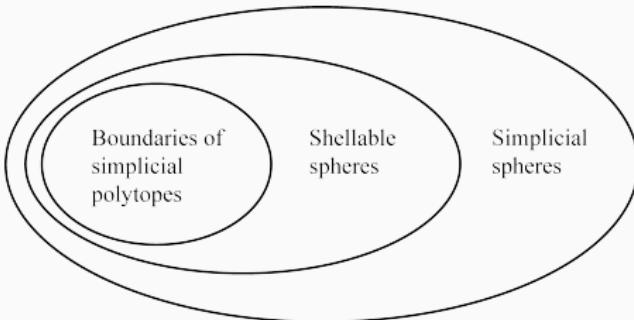
# “Simplicial sphere”?

$\Delta$  is a *simplicial*  $(d - 1)$ -sphere if its *geometric realization* is homeomorphic to a topological  $(d - 1)$ -sphere.



Simplicial 1-spheres = cycles.  
Simplicial 2-spheres = boundaries of simplicial 3-polytopes (Steinitz's theorem).  
They are all shellable.

# “Simplicial sphere”?



**Theorem (Goodman, Pollack, 1986 [4]; Alon, 1986 [1])**

There are  $2^{\Theta(n \log n)}$  combinatorially distinct  $d$ -polytopes with  $n$  vertices for  $d \geq 4$ .

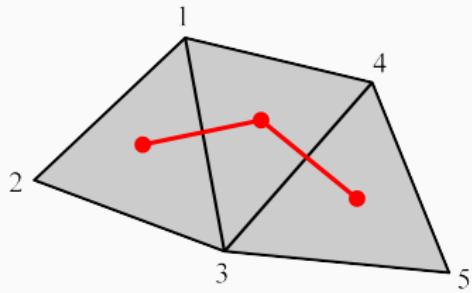
**Theorem (Kalai, 1988 [8]; Lee, 2000 [10]; Nevo, Santos, Wilson, 2016 [11]; Benedetti, Ziegler, 2011 [2]; Stanley, 1975 [12]; Y., 2024 [13])**

There are  $2^{\Theta(n^{\lceil(d-1)/2\rceil})}$  combinatorially distinct shellable  $(d-1)$ -spheres with  $n$  vertices for  $d \geq 4$ .

## “Puzzle”?

The *facet-ridge graph (puzzle)* of  $\Delta$  is a graph  $G$  where

- vertices represent the facets of  $\Delta$ ,
- two vertices form an edge  $\iff$  the corresponding facets share a ridge.



## “Puzzle”?

### Conjecture (Kalai, 2009 [7])

Every **simplicial sphere** is completely determined by its facet-ridge graph.

## “Puzzle”?

### Conjecture (Kalai, 2009 [7])

Every **simplicial sphere** is completely determined by its facet-ridge graph.

### Theorem (Blind and Mani-Levitska, 1987 [3]; Kalai, 1988 [9])

Every **polytopal sphere** is completely determined by its facet-ridge graph.

## “Puzzle”?

### Conjecture (Kalai, 2009 [7])

Every **simplicial sphere** is completely determined by its facet-ridge graph.

### Theorem (Blind and Mani-Levitska, 1987 [3]; Kalai, 1988 [9])

Every **polytopal sphere** is completely determined by its facet-ridge graph.

### Theorem (Y., 2024)

Every **shellable sphere** is completely determined by its facet-ridge graph.

“Puzzle”?

### Conjecture (Kalai, 2009 [7])

Every **simplicial sphere** is completely determined by its facet-ridge graph.

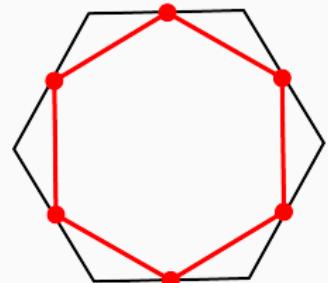
### Theorem (Blind and Mani-Levitska, 1987 [3]; Kalai, 1988 [9])

Every **polytopal sphere** is completely determined by its facet-ridge graph.

### Theorem (Y., 2024)

Every **shellable sphere** is completely determined by its facet-ridge graph.

The facet-ridge graph of a 1-sphere is isomorphic to the sphere itself.



# “Puzzle”?

## Task

We know that  $G$  is the facet-ridge graph of some **shellable**  $(d - 1)$ -sphere  $\Delta$ . The goal is to recover the combinatorial structure of  $\Delta$ .

# “Puzzle”?

## Task

We know that  $G$  is the facet-ridge graph of some **shellable**  $(d - 1)$ -sphere  $\Delta$ . The goal is to recover the combinatorial structure of  $\Delta$ .

**Step 1.** Find “good acyclic orientations” of  $G$ .

# “Puzzle”?

## Task

We know that  $G$  is the facet-ridge graph of some **shellable**  $(d - 1)$ -sphere  $\Delta$ . The goal is to recover the combinatorial structure of  $\Delta$ .

**Step 1.** Find “good acyclic orientations” of  $G$ .

**Step 2.** Read off a shelling of  $\Delta$  and its corresponding partitioning.

# “Puzzle”?

## Task

We know that  $G$  is the facet-ridge graph of some **shellable**  $(d - 1)$ -sphere  $\Delta$ . The goal is to recover the combinatorial structure of  $\Delta$ .

- Step 1. Find “good acyclic orientations” of  $G$ .
- Step 2. Read off a shelling of  $\Delta$  and its corresponding partitioning.
- Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .

# “Puzzle”?

## Task

We know that  $G$  is the facet-ridge graph of some **shellable**  $(d - 1)$ -sphere  $\Delta$ . The goal is to recover the combinatorial structure of  $\Delta$ .

- Step 1. Find “good acyclic orientations” of  $G$ .
- Step 2. Read off a shelling of  $\Delta$  and its corresponding partitioning.
- Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .

Language:  $k$ -frames and  $k$ -systems (Joswig, Kaibel, Körner, 2002 [6]).

# “Puzzle”?

## Task

We know that  $G$  is the facet-ridge graph of some **shellable**  $(d - 1)$ -sphere  $\Delta$ . The goal is to recover the combinatorial structure of  $\Delta$ .

- Step 1. Find “good acyclic orientations” of  $G$ .
- Step 2. Read off a shelling of  $\Delta$  and its corresponding partitioning.
- Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .

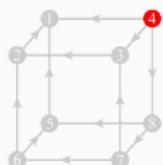
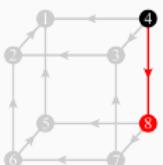
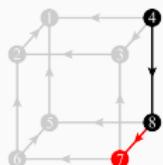
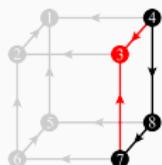
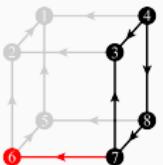
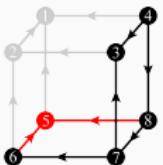
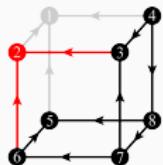
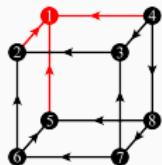
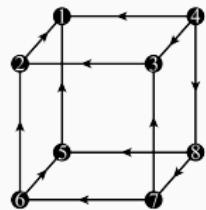
Language:  $k$ -frames and  $k$ -systems (Joswig, Kaibel, Körner, 2002 [6]).

## Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

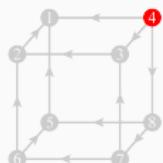
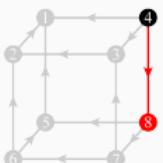
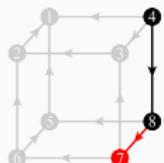
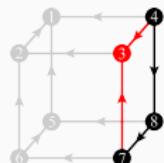
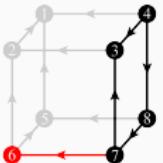
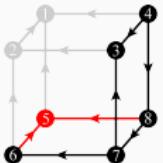
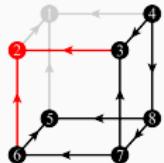
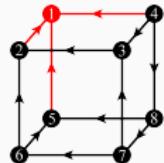
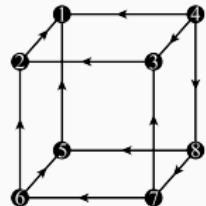
## Step 2. Read off a shelling and its corresponding partitioning.

Repeatedly taking sinks of the graph to get a shelling of  $\Delta$ .

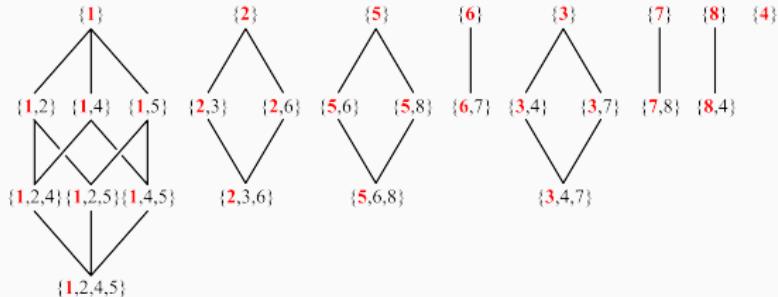
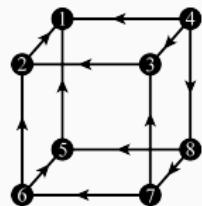


## Step 2. Read off a shelling and its corresponding partitioning.

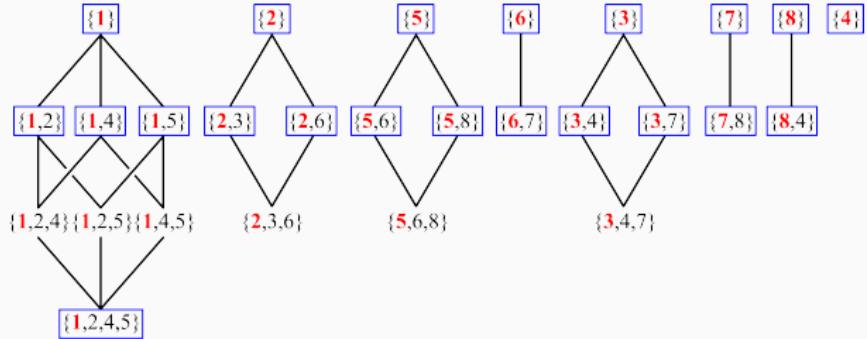
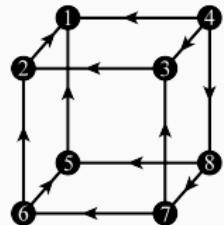
Repeatedly taking sinks of the graph to get a shelling of  $\Delta$ .



The corresponding partitioning:

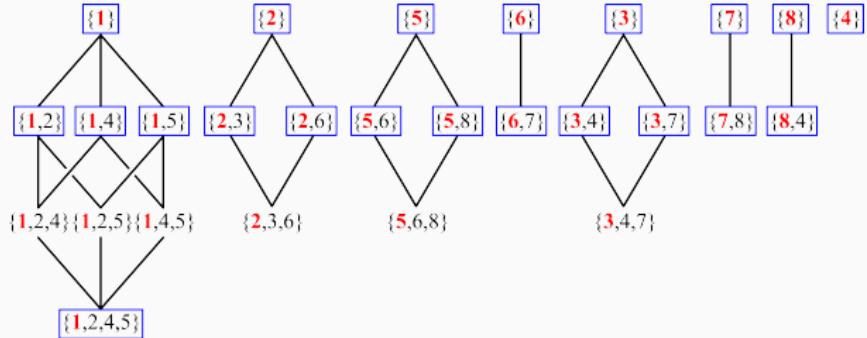
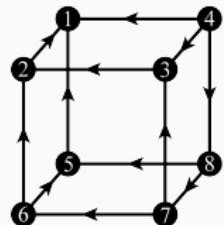


Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



Observation

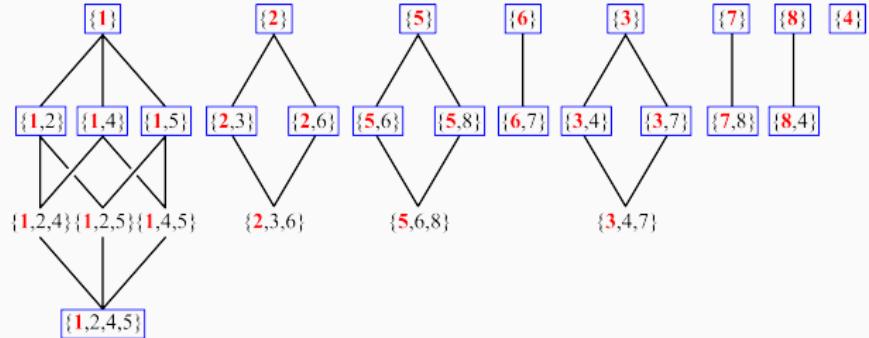
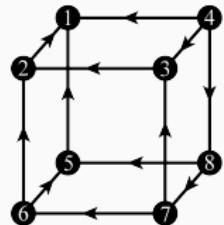
Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



### Observation

For  $(d - 1)$ -faces (facets): contained only in itself.

Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .

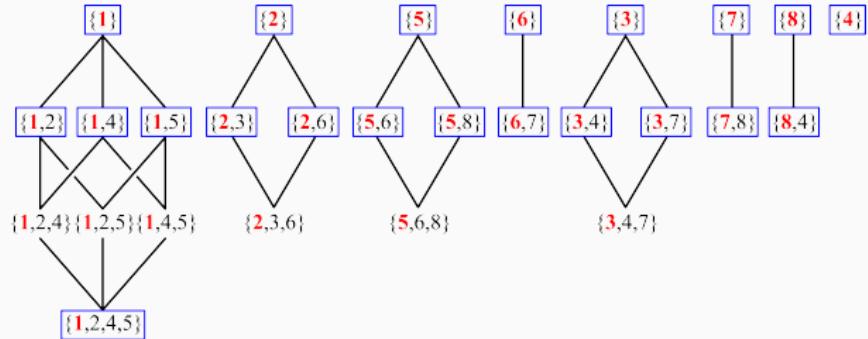
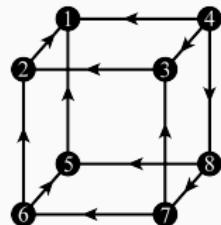


### Observation

For  $(d - 1)$ -faces (facets): contained only in itself.

For  $(d - 2)$ -faces (ridges): contained in exactly two facets, indicated by  $G$ .

Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



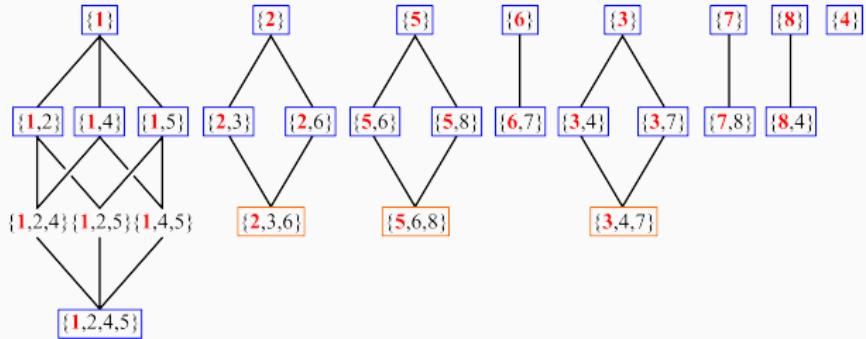
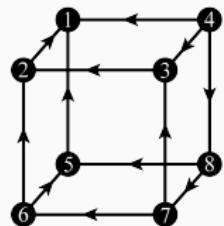
### Observation

For  $(d - 1)$ -faces (facets): contained only in itself.

For  $(d - 2)$ -faces (ridges): contained in exactly two facets, indicated by  $G$ .

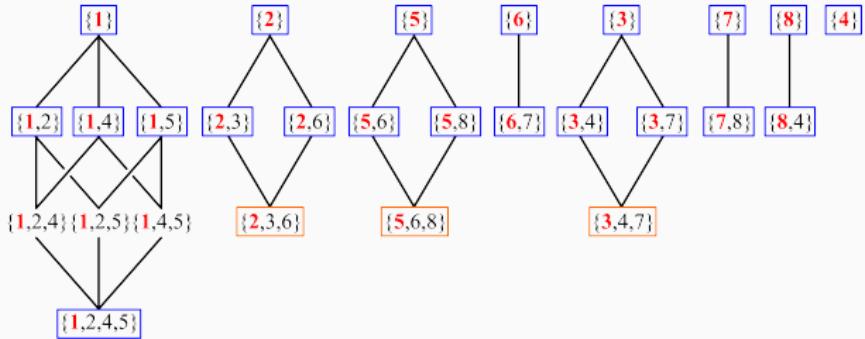
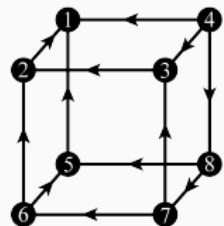
For the  $(-1)$ -face ( $\emptyset$ ): contained in every facet.

Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



Lemma

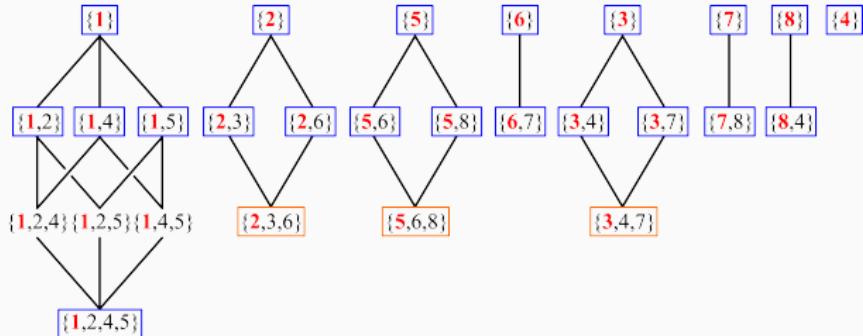
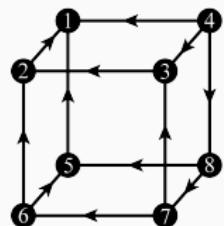
Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



### Lemma

- For every **minimal new face** in the shelling, we can determine which facets contain it.

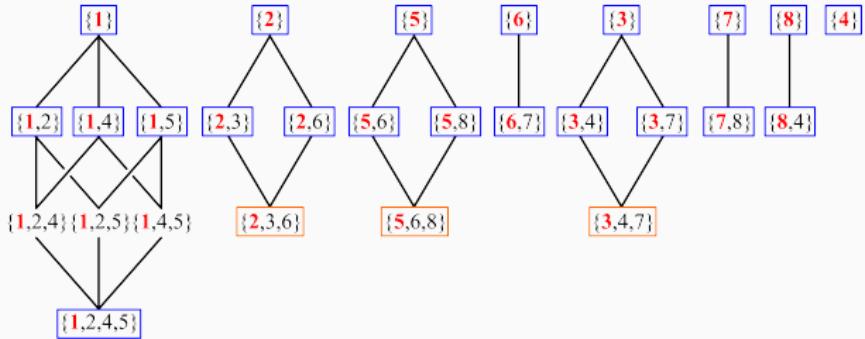
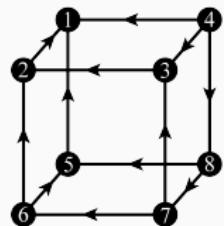
Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



### Lemma

- For every **minimal new face** in the shelling, we can determine which facets contain it.
- If for each face NOT in  $T_1$ , we know which facets contain it,

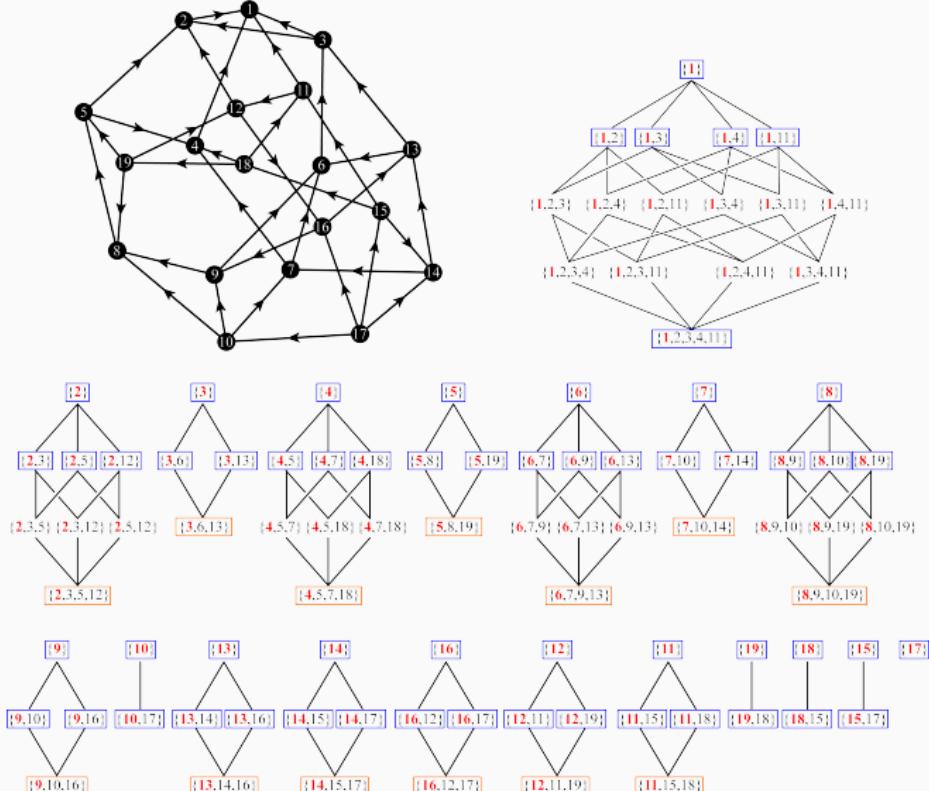
Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



### Lemma

- For every **minimal new face** in the shelling, we can determine which facets contain it.
- If for each face NOT in  $T_1$ , we know which facets contain it, then we can also determine such information for every face in  $T_1$ .

Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



A 3-dimensional example.

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres?

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres?

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres? (Is there a non-partitionable simplicial sphere?)

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres? (Is there a non-partitionable simplicial sphere?)
- Flag spheres?

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres? (Is there a non-partitionable simplicial sphere?)
- Flag spheres? (Clique complexes of graphs. All minimal nonfaces are 1-dimensional.)

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres? (Is there a non-partitionable simplicial sphere?)
- Flag spheres? (Clique complexes of graphs. All minimal nonfaces are 1-dimensional.)
- Balanced spheres?

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres? (Is there a non-partitionable simplicial sphere?)
- Flag spheres? (Clique complexes of graphs. All minimal nonfaces are 1-dimensional.)
- Balanced spheres? (The facet-ridge graphs are bipartite (Joswig, 2002 [5]).)

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres? (Is there a non-partitionable simplicial sphere?)
- Flag spheres? (Clique complexes of graphs. All minimal nonfaces are 1-dimensional.)
- Balanced spheres? (The facet-ridge graphs are bipartite (Joswig, 2002 [5]).)
- Does the facet-ridge graph determine the  $f$ -vector of a nonshellable sphere?

## What's next?

### Conjecture (Kalai, 2009 [7])

Every simplicial sphere is completely determined by its facet-ridge graph.

- Constructible spheres? (Is there even a constructible but nonshellable sphere?)
- Partitionable spheres? (Is there a non-partitionable simplicial sphere?)
- Flag spheres? (Clique complexes of graphs. All minimal nonfaces are 1-dimensional.)
- Balanced spheres? (The facet-ridge graphs are bipartite (Joswig, 2002 [5]).)
- Does the facet-ridge graph determine the  $f$ -vector of a nonshellable sphere? (Or more generally, of any Cohen–Macaulay manifold?)

## References i

-  N. Alon.  
**The number of polytopes, configurations and real matroids.**  
*Mathematika*, 33(1):62–71, 1986.
-  B. Benedetti and G. M. Ziegler.  
**On locally constructible spheres and balls.**  
*Acta Mathematica*, 206(2):205–243, 2011.
-  R. Blind and P. Mani-Levitska.  
**Puzzles and polytope isomorphisms.**  
*Aequationes Mathematicae*, 34(2):287–297, 1987.
-  J. E. Goodman and R. Pollack.  
**Upper bounds for configurations and polytopes in  $\mathbb{R}^d$ .**  
*Discrete & Computational Geometry*, 1(3):219–227, 1986.

## References ii

-  M. Joswig.  
**Projectivities in simplicial complexes and colorings of simple polytopes.**  
*Mathematische Zeitschrift*, 240:243–259, 2002.
-  M. Joswig, V. Kaibel, and F. Körner.  
**On the  $k$ -systems of a simple polytope.**  
*Israel Journal of Mathematics*, 129:109–117, 2002.
-  G. Kalai.  
**Telling a simple polytope from its graph.**  
<https://gilkalai.wordpress.com/2009/01/16/telling-a-simple-polytope-from-its-graph/>.  
Accessed: 2023-12-10.

## References iii

-  G. Kalai.  
**Many triangulated spheres.**  
*Discrete & Computational Geometry*, 3(1–2):1–14, 1988.
-  G. Kalai.  
**A simple way to tell a simple polytope from its graph.**  
*Journal of Combinatorial Theory, Series A*, 49(2):381–383, 1988.
-  C. W. Lee.  
**Kalai's squeezed spheres are shellable.**  
*Discrete & Computational Geometry*, 24:391–396, 2000.
-  E. Nevo, F. Santos, and S. Wilson.  
**Many triangulated odd-dimensional spheres.**  
*Mathematische Annalen*, 364(3–4):737–762, 2016.

## References iv

-  R. P. Stanley.  
**The Upper Bound Conjecture and Cohen--Macaulay rings.**  
*Studies in Applied Mathematics*, 54:135–142, 1975.
-  Y. Yang.  
**The Nevo-Santos-Wilson spheres are shellable.**  
*Electronic Journal of Combinatorics*, 31, 2024.

Step 1. Find “good acyclic orientations” of  $G$ .

Define

$$\mathcal{V}_\Delta(\sigma) := \{t \in V(G) : \text{the corresponding facet } T \text{ of } t \text{ contains } \sigma\}.$$

## Step 1. Find “good acyclic orientations” of $G$ .

Define

$$\mathcal{V}_\Delta(\sigma) := \{t \in V(G) : \text{the corresponding facet } T \text{ of } t \text{ contains } \sigma\}.$$

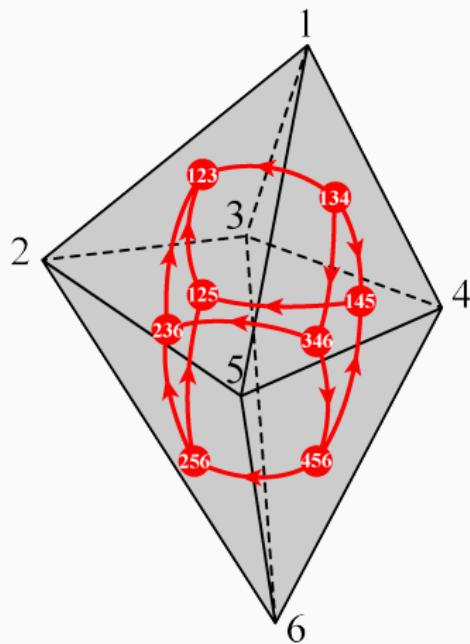
An acyclic orientation  $\mathcal{O}$  of  $G$  is *good* if  $\mathcal{O}$  induces exactly one sink on every  $G[\mathcal{V}_\Delta(\sigma)]$ .

## Step 1. Find “good acyclic orientations” of $G$ .

Define

$$\mathcal{V}_\Delta(\sigma) := \{t \in V(G) : \text{the corresponding facet } T \text{ of } t \text{ contains } \sigma\}.$$

An acyclic orientation  $\mathcal{O}$  of  $G$  is *good* if  $\mathcal{O}$  induces exactly one sink on every  $G[\mathcal{V}_\Delta(\sigma)]$ .



Step 1. Find “good acyclic orientations” of  $G$ .

**Proposition**

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

Step 1. Find “good acyclic orientations” of  $G$ .

### Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

### Problem

How to find the good acyclic orientations without knowing  $\Delta$ ?

Step 1. Find “good acyclic orientations” of  $G$ .

### Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

### Problem

How to find the good acyclic orientations without knowing  $\Delta$ ?

$h_k^{\mathcal{O}} = \#$  vertices of  $G$  with indegree  $k$ .

Step 1. Find “good acyclic orientations” of  $G$ .

### Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

### Problem

How to find the good acyclic orientations without knowing  $\Delta$ ?

$h_k^{\mathcal{O}} = \#$  vertices of  $G$  with indegree  $k$ .

$$f^{\mathcal{O}} = h_0^{\mathcal{O}} + 2h_1^{\mathcal{O}} + \cdots + 2^d h_d^{\mathcal{O}}.$$

Step 1. Find “good acyclic orientations” of  $G$ .

### Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

### Problem

How to find the good acyclic orientations without knowing  $\Delta$ ?

$h_k^{\mathcal{O}} = \#$  vertices of  $G$  with indegree  $k$ .

$$f^{\mathcal{O}} = h_0^{\mathcal{O}} + 2h_1^{\mathcal{O}} + \cdots + 2^d h_d^{\mathcal{O}}.$$

### Proposition (Essentially due to Kalai [9])

Let  $M = \min\{f^{\mathcal{O}} : \mathcal{O} \text{ is an acyclic orientation of } G\}$ .

Step 1. Find “good acyclic orientations” of  $G$ .

### Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

### Problem

How to find the good acyclic orientations without knowing  $\Delta$ ?

$h_k^{\mathcal{O}} = \#$  vertices of  $G$  with indegree  $k$ .

$$f^{\mathcal{O}} = h_0^{\mathcal{O}} + 2h_1^{\mathcal{O}} + \cdots + 2^d h_d^{\mathcal{O}}.$$

### Proposition (Essentially due to Kalai [9])

Let  $M = \min\{f^{\mathcal{O}} : \mathcal{O} \text{ is an acyclic orientation of } G\}$ . Then  $M$  is the total number of faces of  $\Delta$ ,

Step 1. Find “good acyclic orientations” of  $G$ .

### Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

### Problem

How to find the good acyclic orientations without knowing  $\Delta$ ?

$h_k^{\mathcal{O}} = \#$  vertices of  $G$  with indegree  $k$ .

$$f^{\mathcal{O}} = h_0^{\mathcal{O}} + 2h_1^{\mathcal{O}} + \cdots + 2^d h_d^{\mathcal{O}}.$$

### Proposition (Essentially due to Kalai [9])

Let  $M = \min\{f^{\mathcal{O}} : \mathcal{O} \text{ is an acyclic orientation of } G\}$ . Then  $M$  is the total number of faces of  $\Delta$ , and  $\mathcal{O}$  is good if and only if  $f^{\mathcal{O}} = M$ .

Step 1. Find “good acyclic orientations” of  $G$ .

### Proposition

$\Delta$  is shellable  $\iff G$  has a good acyclic orientation.

### Problem

How to find the good acyclic orientations without knowing  $\Delta$ ?

$h_k^{\mathcal{O}} = \#$  vertices of  $G$  with indegree  $k$ .

$$f^{\mathcal{O}} = h_0^{\mathcal{O}} + 2h_1^{\mathcal{O}} + \cdots + 2^d h_d^{\mathcal{O}}.$$

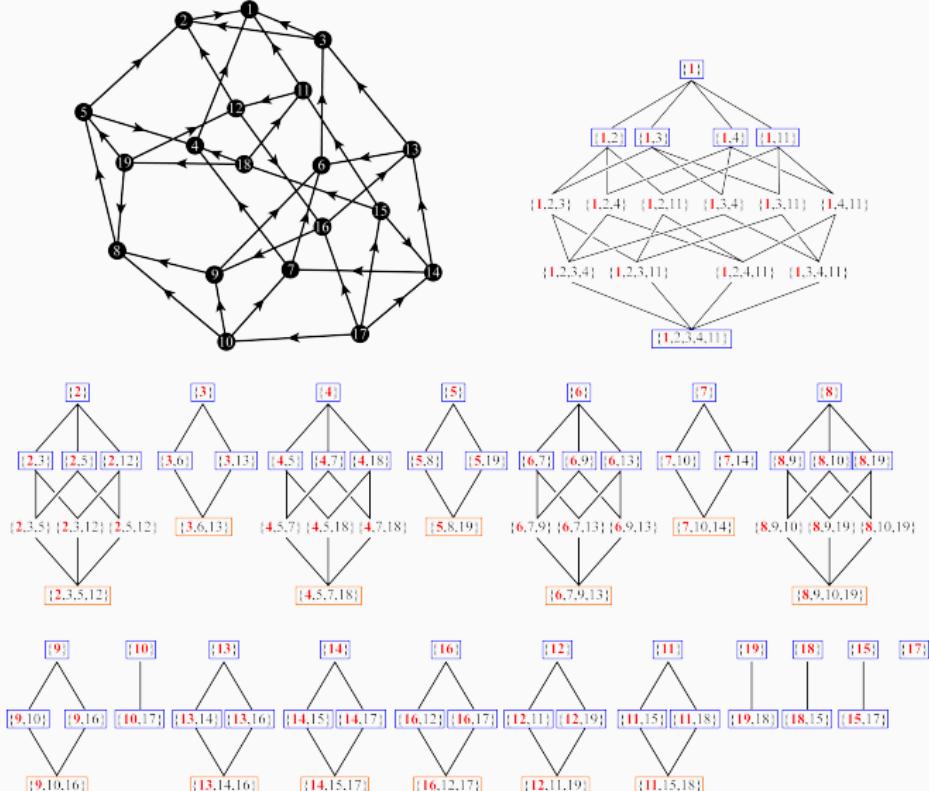
### Proposition (Essentially due to Kalai [9])

Let  $M = \min\{f^{\mathcal{O}} : \mathcal{O}$  is an acyclic orientation of  $G\}$ . Then  $M$  is the total number of faces of  $\Delta$ , and  $\mathcal{O}$  is good if and only if  $f^{\mathcal{O}} = M$ .

*Key idea in the proof.*

$$f^{\mathcal{O}} = \# (\text{star, sink}) \text{ pairs} \geq \# \text{ stars} = \# \text{ faces}.$$

Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .



A 3-dimensional example.

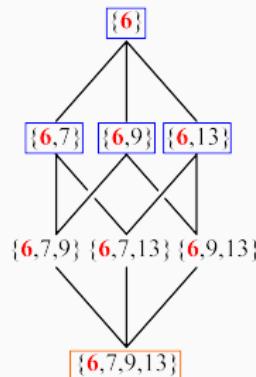
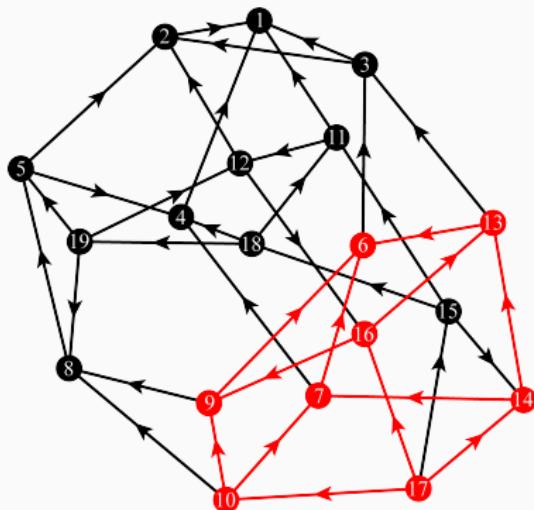
Step 3. For every face  $\sigma$  of  $\Delta$ , find all facets that contain  $\sigma$ .

### Fact

Define

$$\mathcal{V}_\Delta(\sigma) := \{t \in V(G) : \text{the corresponding facet } T \text{ of } t \text{ contains } \sigma\}.$$

For a non-empty face  $\sigma \in \Delta$ ,  $G[\mathcal{V}_\Delta(\sigma)]$  is the facet-ridge graph of a lower dimensional shellable sphere  $\text{lk}_\Delta \sigma$ .



$$\mathcal{V}_\Delta(\{6, 7, 9, 13\}) = \{6, 7, 9, 10, 13, 14, 16, 17\}.$$