# Lecture: Coloring (2)

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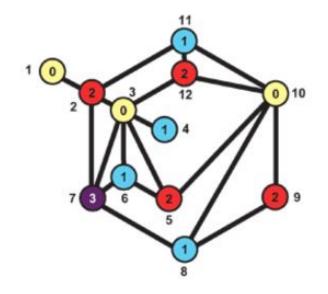
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https://shuaili8.github.io

https://shuaili8.github.io/Teaching/CS445/index.html

### Motivation: Scheduling and coloring

- University examination timetabling
  - Two courses linked by an edge if they have the same students
- Meeting scheduling
  - Two meetings are linked if they have same member



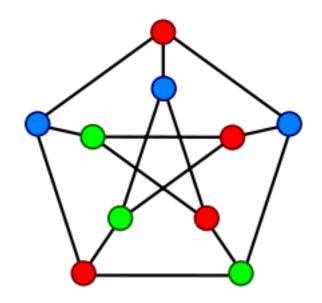
### **Definitions**

- Given a graph G and a positive integer k, a k-coloring is a function  $K:V(G) \longrightarrow \{1, ..., k\}$  from the vertex set into the set of positive integers less than or equal to k. If we think of the latter set as a set of k "colors," then K is an assignment of one color to each vertex.
- We say that K is a proper k-coloring of G if for every pair u, v of adjacent vertices,  $K(u) \neq K(v)$  that is, if adjacent vertices are colored differently. If such a coloring exists for a graph G, we say that G is k-colorable

#### Chromatic number

- Given a graph G, the chromatic number of G, denoted by  $\chi(G)$ , is the smallest integer k such that G is k-colorable
- Examples

$$\chi(C_n)=\left\{egin{array}{ll} 2 & ext{if $n$ is even,} \ 3 & ext{if $n$ is odd,} \end{array}
ight. \ \chi(P_n)=\left\{egin{array}{ll} 2 & ext{if $n$ is odd,} \ 1 & ext{if $n\geq 2$,} \ 1 & ext{if $n=1$,} \end{array}
ight. \ \chi(K_n)=n, \ \chi(E_n)=1, \ \chi(K_{m,n})=2. \end{array}
ight.$$



• (Ex5, S1.6.1, H) A graph G of order at least two is bipartite  $\iff$  it is 2-colorable

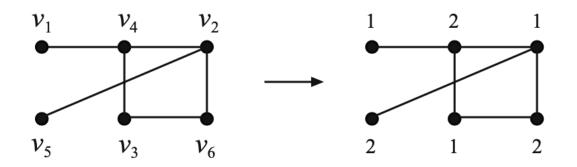
### Bounds on Chromatic number

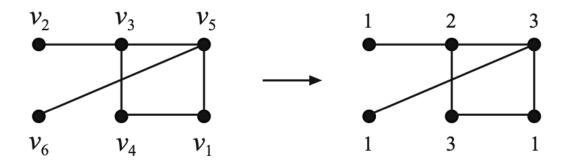
- Theorem (1.41, H) For any graph G of order  $n, \chi(G) \leq n$
- It is tight since  $\chi(K_n) = n$
- $\chi(G) = n \Leftrightarrow G = K_n$

### Greedy algorithm

- First label the vertices in some order—call them  $v_1, v_2, ..., v_n$
- Next, order the available colors (1,2,...,n) in some way
  - Start coloring by assigning color 1 to vertex  $v_1$
  - If  $v_1$  and  $v_2$  are adjacent, assign color 2 to vertex  $v_2$ ; otherwise, use color 1
  - To color vertex  $v_i$ , use the first available color that has not been used for any of  $v_i$ 's previously colored neighbors

# Examples: Different orders result in different number of colors



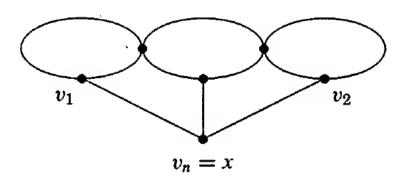


### Bound of the greedy algorithm

- Theorem (1.42, H) For any graph G,  $\chi(G) \leq \Delta(G) + 1$
- The equality is obtained for complete graphs and cycles with an odd number of vertices

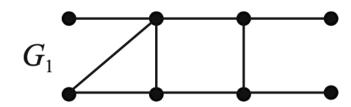
### Brooks's theorem

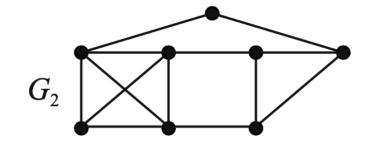
• Theorem (1.43, H; 5.1.22, W; 5.2.4, D; Brooks 1941) If G is a connected graph that is neither an odd cycle or a complete graph, then  $\chi(G) \leq \Delta(G)$ 



### Chromatic number and clique number

- The clique number  $\omega(G)$  of a graph is defined as the order of the largest complete graph that is a subgraph of G
- Example:  $\omega(G_1) = 3$ ,  $\omega(G_2) = 4$





• Theorem (1.44, H) For any graph G,  $\chi(G) \ge \omega(G)$ 

# Chromatic number and independence number

• Theorem (1.45, H; Ex6, S1.6.2, H) For any graph 
$$G$$
 of order  $n$ , 
$$\frac{n}{\alpha(G)} \le \chi(G) \le n+1-\alpha(G)$$

#### The Four Color Problem

- Q: Is it true that the countries on any given map can be colored with four or fewer colors in such a way that adjacent countries are colored differently?
- Theorem (Four Color Theorem) Every planar graph is 4-colorable
- Theorem (Five Color Theorem) (1.47, H) Every planar graph is 5colorable

**Theorem 1.35.** If G is a planar graph, then G contains a vertex of degree at most five. That is,  $\delta(G) \leq 5$ .

# Chromatic Polynomials

### Definition and examples

- It is brought up by George David Birkhoff in 1912 in an attempt to prove the four color theorem
- Define  $c_G(k)$  to be the number of different colorings of a graph G using at most k colors
- Examples:
  - How many different colorings of  $K_4$  using 4 colors?
    - 4 × 3 × 2 × 1
    - $c_{K_4}(4) = 24$
  - How many different colorings of  $K_4$  using 6 colors?
    - $6 \times 5 \times 4 \times 3$
    - $c_{K_4}(6) = 360$
  - How many different colorings of  $K_4$  using 2 colors?
    - 0
    - $c_{K_4}(2) = 0$

### Examples

• If  $k \ge n$ 

$$c_{K_n}(k) = k(k-1)\cdots(k-n+1)$$

• If *k* < *n* 

$$c_{K_n}(k) = 0$$

- *G* is *k*-colorable  $\iff \chi(G) \le k \iff c_G(k) > 0$
- $\chi(G) = \min \{k \ge 1 : c_G(k) > 0\}$

### Chromatic recurrence

• G - e and G/e

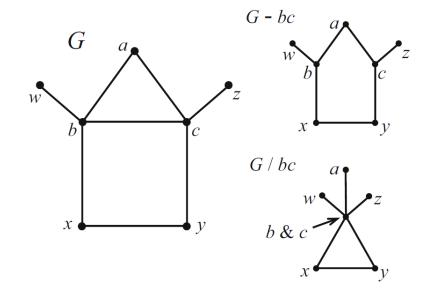


FIGURE 1.98. Examples of the operations.

• Theorem (1.48, H; 5.3.6, W) Let G be a graph and e be any edge of G. Then

$$c_G(k) = c_{G-e}(k) - c_{G/e}(k)$$

## Use chromatic recurrence to compute $c_G(k)$

- Example: Compute  $c_{P_3}(k) = k^4 3k^3 + 3k^2 k$
- Check:  $c_{P_3}(1) = 0$ ,  $c_{P_3}(2) = 2$



FIGURE 1.102. Two 2-colorings of  $P_4$ .

### More examples

• Path  $P_{n-1}$  has n-1 edges (n vertices)

$$c_{P_{n-1}}(k) = k(k-1)^{n-1}$$

Any tree T on n vertices

$$c_T(k) = k(k-1)^{n-1}$$

• Cycle  $C_n$ 

$$c_{C_n}(k) = (k-1)^n + (-1)^n(k-1)$$

- When n is odd,  $c_{C_n}(2) = 0$ ,  $c_{C_n}(3) > 0$
- When n is even,  $c_{C_n}(2) > 0$

### Properties of chromatic polynomials

- Theorem (1.49, H; Ex 3, S1.6.4, H) Let G be a graph of order n
  - $c_G(k)$  is a polynomial in k of degree n
  - The leading coefficient of  $c_G(k)$  is 1
  - The constant term of  $c_G(k)$  is 0
    - If G has i components, then the coefficients of  $k^0, ..., k^{i-1}$  are 0
    - G is connected  $\Leftrightarrow$  the coefficient of k is nonzero
  - The coefficients of  $c_G(k)$  alternate in sign
  - The coefficient of the  $k^{n-1}$  term is -|E(G)|
    - A graph G is a tree  $\iff$   $c_G(k) = k(k-1)^{n-1}$

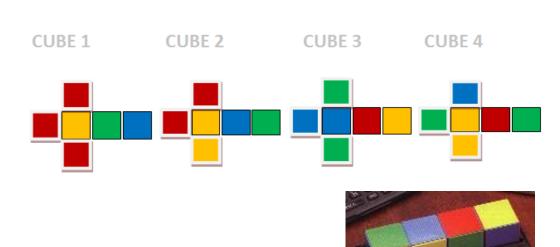
 $\Leftrightarrow$  (Theorem 1.10, 1.12, H) T is connected with n-1 edges

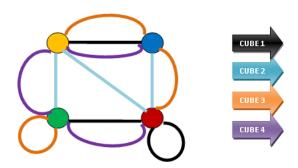
• A graph G is complete  $\Leftrightarrow c_G(k) = k(k-1)\cdots(k-n+1)$ 

# Proof Using Coloring

# Example -- Instant Insanity 四色方柱问题 (1.2, L)

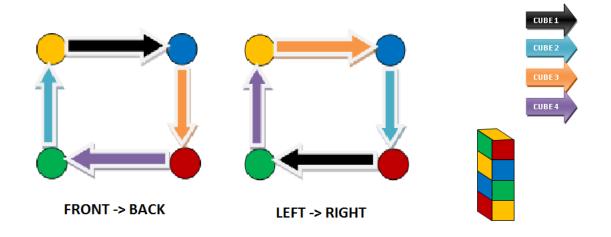
- Problem make a stack of these cubes so that all four colors appear on each of the four sides of the stack
- An edge indicates that the two adjacent colors occur on opposite faces of the cube
- Problem necessary to find two subgraphs s.t.
  - are regular of degree 2
  - four edges from each cube
  - no edge in common

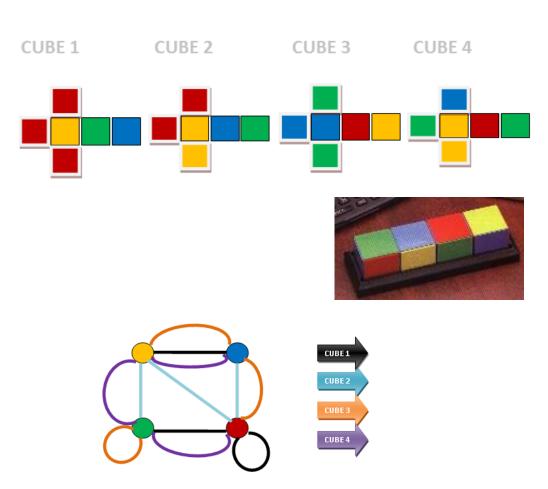




# Example -- Instant Insanity 四色方柱问题 (1.2, L)

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### An example about sets (1E, L)

- Let  $A_1, ..., A_n$  be n distinct subsets of the n-set  $N := \{1, ..., n\}$ . Show that there is an element  $x \in N$  such that the sets  $A_i \setminus \{x\}, 1 \le i \le n$ , are all distinct
- Proof Consider a graph with vertices  $A_1, \dots, A_n$ .
  - An edge of `color' x between  $A_i$  and  $A_j$  iff  $A_i \Delta A_j = \{x\}$
  - Then the problem is equivalent to find y s.t. no color y
  - Notice that a cycle in this graph must have even length and each color appears even times
  - Then we can remove an edge if there is an edge with same color
  - Thus the number of colors remain the same and no cycle exists
  - By tree property, the number of edges is at most n-1