> Viviane Déprez Jeremy Yeaton

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How Ambiguous are French Double Negative Sequences?

Viviane Déprez Jeremy Yeaton

CNRS, Rutgers University École normale supérieure

University of Göttingen AMBIGO: July 4-6, 2018

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Nobody Say Nothing!

- Negative Concord (NC): A single negation reading of a sequence of Negative Concord Items (NCI) Nobody say anything
- ② Double negation (DN): Nobody say nothing → Everybody say something

French, while assumed to favor NC, permits both: *Personne ne dit rien.*

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Theoretical models of NC

Three main families of theory:

- 1 NC = NPI dependencies $(\neg \exists x, y \text{ or } \forall x, y \neg [x \text{ said } y])$ (Laka 1990, Giannakidou 1998)
 - NCI = NPI = non-negative indefinite expression
 - ullet $\mathrm{SNEG} = \mathsf{semantically}$ negative

Prediction: No DN readings in NC dependencies as in NPI dependencies (But see Nicolae & Falaus 2016 for Romanian)

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Theoretical models of NC

- **2** NC = Agree dependency $(\neg \exists x, y[x \text{ said } y])$ (Zeijlstra 2004, Biberauer & Zeijlstra 2012)
 - NCI = non-negative indefinite expression with a grammatical feature [INEG] or [UNEG]
 - The [INEG] vs [UNEG] distinction is parametric: DN languages: NCI = [INEG], SNEG = [INEG] NC languages: NCI always [UNEG], SNEG = [INEG] in non-strict NC (e.g.: Italian), but [UNEG] for strict NC languages (Haitian Creole)

Prediction: DN in DN languages, NC in NC languages, no ambiguity, except for historically shifting languages

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Theoretical models of NC

- **3** NC = Resumptive Quantification (NO < x, y > [x said y]) (De Swart 2010)
 - NCI = negative quantifiers
 - ullet SNEG = semantically inert
 - Possible DN readings

Prediction: Possible DN readings in all NC languages

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A dual approach: Déprez (1997, 2000, 2011)

Two core types of NC relations by the nature of the NCI:

- Resumptive Quantification with NCI = Negative Q
- **2** NPI-like dependencies with NCI = non-quantificational indefinite expressions

FR-NCI: NCI high within DP/XP structure

- (1) $[DP/XP \text{ NCI } D \dots [NP/FP \ 0]]$
 - Are like bare Negative Quantifiers
 - NC = Resumptive Quantification, allows for DN readings

HC-NCI: NCI low within DP/XP structure

- (2) $[DP/XP \ 0 \dots [NP/FP \ NCI]]$
 - Are like bare nominals with a null D: indefinite expressions that must be bound by a $\rm NEG$ operator (pa) = NPI

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A dual approach: Déprez (1997, 2000, 2011)

- Micro-parametric proposal
- NCIs do not need to all be identical in a given language, even if languages tend to have NCI paradigms
- Differences in NCIs are **not** subordinate to the nature of sentential negation

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A more generalized approach: Déprez (2011)

Negative interpretability at the edge

- Neg features are "interpretable" for computation at phase edge
- Otherwise, ${
 m NEGF}=$ "uninterpretable" & needs licensing (derivational time bombs, cf. Preminger 2011, Kalin 2016)
- NEGF at DP edge = interpretable = Negative quantifier [DP personne [D de [.autre...]]]
 Grammaticalized DP internal focus position
- NEGF within DP = uninterpretable & needs licensing [DP 0...[FP...[nP pèsonn]]]
- NEGF at vP edge = visible at sentential level (NegF inside vP needs licensing-PIC)
 [TP J'ai [vP rien [vP fait [vP]]] Demotic French (Massot 2013), Norwegian V-move (Svenonius 2002)

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Experiment 1: Picture Choice

Research Questions:

• In the absence of context, is NC the preferred interpretation in French?

Prediction: Yes, according to the literature.

- 2 To what extent does the nature of the NCIs play a role in predicting interpretations?
 - Syntactic position
 - Parallelism
 - Structure of the NCIs themselves

Design

The Task

Binary choice between pictures

- Pictures represent possible NC/DN reading for an ambiguous French sentence with 2 negative expressions
- Preference task: speakers freely chose which reading was most salient
 - Avoids normativity issues that may arise from a judgment task
- Trial format:
 - Presentation of sentence
 - Read sentence and click to reveal pictures
 - 3 Click to select image corresponding to interpretation (RT)
 - 4 Required to provide justification on some items
- Pseudo-randomized to avoid ordering effects and left/right preference

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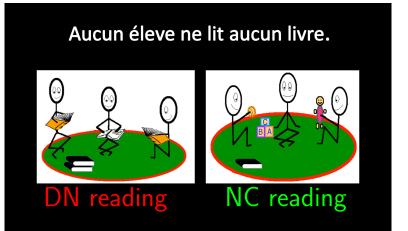
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Stimulus



No student reads no book.

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Stimulus

4 conditions \times 8 tokens each = 32 critical conditions items:

- 8 Pro-Pro: Simple Parallel Personne ne mange rien "Nobody is eating nothing"
- 8 DP-DP: Complex Parallel
 Aucun élève ne lit aucun livre
 "No student is reading no book"
- 8 Pro-DP: Simple Subject Non-Parallel Personne ne chante aucune chanson "Nobody is singing no song"
- 8 DP-Pro: Complex Subject Non-parallel *Aucun enfant ne boit rien* "No child is drinking nothing"

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4 control conditions to ensure availability of single negation and DN readings:

- 8 Double Negative: Pas un enfant ne lit rien "No child is reading nothing"
- 8 Negative polarity: *Personne ne lit quoique ce soit* "No one reads anything"
- 8 Negative quantifiers: *Les enfants ne lisent rien* "The children are reading nothing"
- 8 universal readings: *Tout le monde lit quelque chose* "Everyone is reading something"

32 additional fillers (96 total items)

Justification for picture choice every 10 items

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Participants

French

- 38 native speakers of French
 - Collected from the Universities of Caen & Lyon
 - Aged 18-26

Conducted in parallel with the same experiment in English:

English

Presumed DN language (but see Blanchette 2013)

- 22 native speakers of English
 - Undergraduates at Rutgers University in New Jersey

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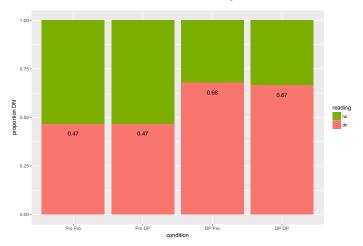
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French Results by Condition



- No major NC preference: DN dominates in 2 conditions
- DPs trigger slightly more DN overall (p=0.02), especially in subject position (p=0.003)

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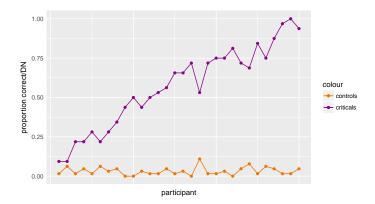
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French Results by Participant



- Participants were consistently good on controls
- Varying levels of DN preference through conditions

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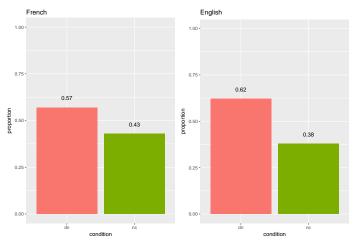
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Overall French & English



- DN/NC preference in French closely resembles that of English (presumed DN language)
- DN preference with all conditions together (p<0.001)

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Back to the research questions

- 1 Is NC a default choice in French?
 - NC does not appear to be the default reading in French-there is no overall preference for NC
 - Seems to be an overall preference for DN, taking all critical conditions together (thought both are hard, per RTs)
- 2 How does the nature of NCI affect interpretation?
 - Structural Parallelism does not appear to impact reading choice, contrary to May (1989) and Déprez (2000) conjecture.
 - DP Structure matters. Significant distinction between Pro-Pro and DP-DP sequences, with DP-DP and DP-Pro favoring DN.
 - Syntactic Position matters. DP in preverbal subject position (at TP edge) significantly favors DN, as opposed to Pro subject.

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Theoretical Significance

- DN is not as marked as expected from the literature and can sometimes be preferred. This suggests that there are languages where DN is no more marked than NC.
- Neg relations are not homogenously interpreted even within a single language; they depend on the nature of the NCI and position in sentence.
- Calls into question the macroparametric approach:
 - Does not make sense to classify French as a DN or NC language, since the DN/NC preference varies across conditions
 - Both interpretations remain readily available.
 - Macro-parameter accounts for neither the strong presence of both NC and DN in French, nor the distinctions observed in the different conditions

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Canalusians

Experiment 2a: Context Infuence

Research Question:

• Does context succeed in influencing/ determining NC or DN interpretations in French?

Prediction: Yes, if NC/DN is a matter of ambiguity influenced by pragmatics, and not a syntactic parameter.

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The Task

- Native French speakers were presented with simple, ambiguous transitive sentences with one (Control) or two (Critical) NCIs in context
- They were then asked to (at their own pace)
 - 1 Read the entirety silently for comprehension
 - Read it aloud (as though to a child)
 - **3** Respond to T/F question
- Responses were recorded on an Asus Orion PRO gaming headset with a noise filtering microphone
- Recording took place at the Laboratoire sur le Langage, le Cerveau, et la Cognition (L2C2) in Bron, France
- Total experimental time ≤ 20 minutes

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Some Examples

NC Context

① Dans notre famille, on est tous allergique à l'alcool : (In our family, we are all allergic to alcohol)

DN Context

2 Chez les jeunes, la consommation d'alcool est effrayante : (Among the youth, the rate of alcohol consumption is frightening)

Ambiguous Critical Item

Personne ne boit rien dans les soirées. (Nobody drinks nothing/ anything at parties)

Interpretation Verification

- 4 Ils ne boivent pas d'alcool. (They don't drink alcohol)
 - = **T** for NC interpretation
 - = **F** or **DN** interpretation

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Stimuli

- 40 total context/sentence pairs (8 items \times 5 conditions):
 - 1 8 × Double Negative: Personne ne boit rien ici
 - 2 8 × Negative Concord: Personne ne boit rien ici
 - 3 8 × Negative Object: Marie ne boit rien ici
 - 4 8 × Negative Subject: Personne ne boit d'eau ici
 - $\mathbf{6}$ 8 \times Fillers
- Pseudorandomized
- DN contexts did not resort to contradictions

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Participants

- 28 native French speakers (M=10)
- Age 18-45 (mostly students at University of Lyon)
- Representative of diverse regions of France
- All had a minimum of a university degree

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2a:Context Influence

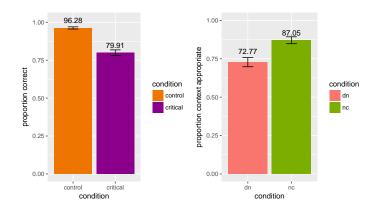
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Results: Controls and Criticals



- Participants were overall good at the task/ obedient to context
- Overall slight preference for NC, but DN still frequent

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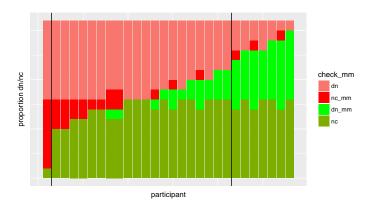
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Results: Participants



- Varying DN/NC preference (as seen in picture choice)
- More subjects biased toward NC, but one biased toward DN

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Back to the research question

- 1 Does context succeed in influencing/ determining NC or DN interpretations in French?
 - Yes it does, pointing to ambiguity, not syntactic parameter.
 - NC and DN are still both quite available in French (neither an NC nor DN language)
 - Does not fit macro-parametric approach
 - Could fit either resumptive quantification or micro-parametric approaches
 - Context generally allows for disambiguation, but not universally across speakers: some are all NC and rarely all DN
 - Further research is needed investigating the nature of the contexts

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Experiment 2b: Prosody

Research Questions:

- 1 Is prosody used in disambiguating French transitive sentences with two NCIs?
 - **Prediction:** Yes (cf. Spanish, Catalan–Espinal et al 2011, 2016–and English–Blanchette et al 2018).
- What are the prosodic indicators which are employed by speakers to mark these differences?
 - **Prediction:** Speakers will use a high pitch accent and extended duration to mark DN readings (Féry 2000, Avanzi et al 2014)

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Stimuli & Participants

The same experiment as 2a.

Stimuli specifications:

- Same 8 frequent monosyllabic verbs
- Same number of syllables in target sentence
- Final PP to avoid sentence boundary L tone on object NCI
- Maximized use of sonorants where possible

Participants:

- 20 native French speakers (M=4)
- Age 18-45 (mostly students at University of Lyon)
- Representative of diverse regions of France

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Data

Condition	Structure	Abbreviation	n
Double Negation	NCI-NCI	DN	137
Negative Concord	NCI-NCI	NC	140
Subtotal Criticals			277
Single Negative Object	DP-NCI	NegOb	149
Single Negative Subject	NCI-DP	NegSub	149
Total			575

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Analysis

- Utterances were excised from context and text-aligned using EasyAlign (J.-Ph. Goldman, 2011) in Praat (Boersma & Weenink, 2015)
- Extracted for each syllable using **ProsodyPro** (Xu, 2013):
 - Duration
 - 10 time-normalized F0 measurements
- Only the first 6 syllables are included: per sonne ne [verb] rien PP[1]
- F0 values were de-meaned
- Analysis: LM, LMEM
- Removed 1,136/33,790 (3.4%) data points $\geq 3\sigma$ from μ

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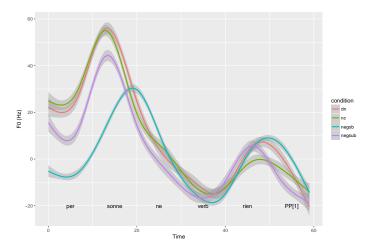
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Aggregated Pitch Contours



 Differences seen on subject and object between conditions (n=20)

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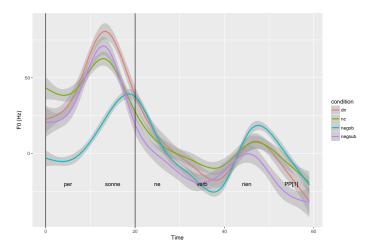
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Group 1: Subject NCI



High pitch accent on subject NCI in DN, no difference on object NCI (n=4)

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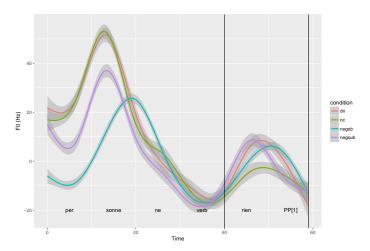
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Group2: Object NCI



• High pitch accent on object NCI in DN, no difference on subject NCI (n=14)

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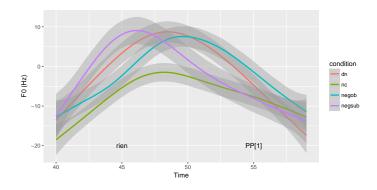
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A Closer Look: Group 2

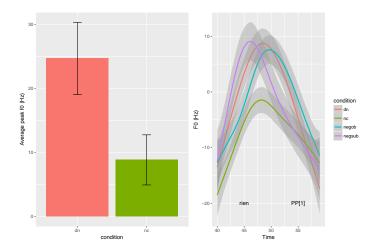


- Group 2 only (n=14)
- Three other conditions (including non-NCI object) appear very similar, while NC is much flatter

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Results

A Closer Look: rien peak

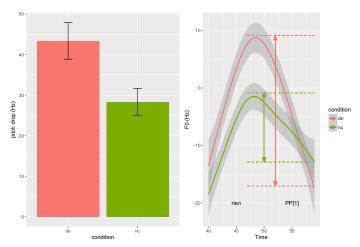


- Group 2 only (n=14)
- Peak on NC much lower than on DN

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Results

A Closer Look: rien drop



- Group 2 only (n=14)
- NC has much lower slope into the following syllable

NC Flattening

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Linear Model:

 $F0^{\sim}$ timeseries + condition + time \times condition

1 Interaction effect of *timeseries* \times *condition*:

NC
$$(t = -4.558, p = 5.25e - 06)$$

NegSub $(t = 4.011, p = 6.11e - 05)$ ***
NegOb $(t = 2.549, p = 0.0108)$ *

2 Main effect of condition:

NC
$$(t = -5.077, p = 3.93e - 07)^{***}$$

NegSub $(t = -4.771, p = 1.87e - 06)^{***}$
NegOb $(t = -2.006, p = 0.0449)^{*}$

3 Significant effect of *timeseries* across conditions







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Back to the research questions

- 1 Is prosody used in disambiguating French transitive sentences with two NCIs?
 - Yes, with significant differences shown between DN and NC conditions in both f0 and duration.
- What are the prosodic indicators employed by speakers to mark these differences?
 - NC seems to be marked by a flattening of pitch.

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Discussion: f0

The f0 distinctions we see on the second NCI are realizations of phrasing and tone:

NC

- Focus on personne
- rien is phrased as part of VP

L* H- L* H-/L- L%
$$(([_{DP}\mathbf{Personne}]_{AP})([_{VP}\mathbf{ne}\ V\ \mathbf{rien}]_{AP})...([_{PP}...PP...]_{AP})_{IP})$$

DN

- Focus on personne
- VP is "dephrased" (Féry 2010)
- Focus on *rien*, which forms its own phrase

L* H- L* LH- L%
$$(([D_P \mathbf{Personne}]_{AP}) \text{ ne V } ([D_P \mathbf{rien}]_{AP})...([P_P ...PP...]_{AP})_{IP})$$

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Conclusions

- The availability of both readings is inconsistent with a Macro-Parametric approach
- Our results are consistent with a Resumptive Quantification and Micro-Parametric approach
- The acoustic cues that we see in French to mark phrasing might be clues to Syntax:
 - NC: NCl₂ is phrased within the VP & has H[TPPersonne [ne dit [VP [VPdit rien]]]]
 NCl₂ remains inside VP, so its NEG feature is not interpretable since it is not at an edge
 - **DN:** NCl₂ forms its own prosodic phrase with LH-[TPPersonne [ne dit [vP rien [vP rien]]]] NCl₂ is at vP edge where its NEG feature is interpretable

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Outstanding Questions and Next Steps

- Are the prosodic differences actually perceptible to speakers? (Perception experiment ongoing.)
- Might speakers emphasize these features more in a situation with less clear context?
- Is all NC Resumptive Quantification? Presumably not:
 - Catalan and Spanish have a clear preference for NC (Déprez et al 2015)
 - Basque has no DN under essentially the same experimental conditions (Etxeberria et al 2018)
- How can we investigate the processing cost of these different readings? Does one have a higher cost?

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Thank you for your attention!

Acknowledgements

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R Output: Linear Model

```
Call:
lm(formula = demeaned f0 ~ series * condition + series + condition.
   data = over46)
Residuals:
           1Q Median 3Q
   Min
                                Max
-87 291 -12 271 0 894 11 842 97 834
Coefficients:
                     Estimate Std. Error t value Pr(>|t|)
(Intercept)
                    128.7891 7.0734 18.207 < 2e-16 ***
series
                    -2.4613 0.1332 -18.483 < 2e-16 ***
                    -50.4014 9.9274 -5.077 3.93e-07 ***
conditionnc
conditionnegob
                 -19.6224 9.7837 -2.006 0.0449 *
conditionnegsub
                -47.1537 9.8829 -4.771 1.87e-06 ***
series:conditionnc
                   0.8520 0.1869 4.558 5.25e-06 ***
series:conditionnegob 0.4695 0.1842 2.549 0.0108 *
series:conditionnegsub 0.7461 0.1860 4.011 6.11e-05 ***
---
Signif. codes: 0 *** 0.001 ** 0.01 * 0.05 . 0.1 1
Residual standard error: 20.76 on 7267 degrees of freedom
Multiple R-squared: 0.1558, Adjusted R-squared: 0.155
F-statistic: 191.7 on 7 and 7267 DF, p-value: < 2.2e-16
```

Back to results

```
How
Ambiguous
are French
Double
Negative
Sequences?
```

```
R Output: LMEM
```

```
Linear mixed model fit by REML ['lmerMod']

Formula: demeaned_f0 ~ series * condition + condition + series + (1 + condition | subj)

Data: over46

REML criterion at convergence: 63525.4

Scaled residuals:

Min 1Q Median 3Q Max
-4.7017 -0.5404 -0.0309 0.4849 5.4231

Random effects:

Groups Name Variance Std.Dev. Corr
```

Groups	Name	Variance	Std.Dev.	Corr		
subj	(Intercept)	60.61	7.785			
	conditionnc	59.59	7.719	-0.15		
	conditionnegob	83.66	9.147	-0.38	0.59	
	conditionnegsub	49.58	7.041	-0.31	0.18	0.5
Residual		353.04	18.789			
Number of	obs: 7275, group	ps: subj	, 20			

Fixed effects:

	Estimate	Std. Error	t value
(Intercept)	130.2274	6.6366	19.622
series	-2.4844	0.1206	-20.606
conditionnc	-52.0684	9.1518	-5.689
conditionnegob	-21.5778	9.0914	-2.373
conditionnegsub	-46.9842	9.0858	-5.171
series:conditionnc	0.8770	0.1692	5.182
series:conditionnegob	0.4982	0.1668	2.987
series:conditionnegsub	0.7371	0.1684	4.376

Correlation of Fixed Effects:

(Intr) series cndtnnc cndtnngb cndtnngs srs:cndtnnc srs:cndtnngb series -0.963

R Output: ANOVA

Back to result