

# List Theorem Solving:

## A segmentation approach based on length and congruence closure

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by *Jeroen Kool*

# Outline

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- 1 Introduction
- 2 Key ideas
- 3 Evaluation and conclusion



# Outline

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## Introduction

Key ideas

Evaluation  
and  
conclusion

- 1 Introduction
- 2 Key ideas
- 3 Evaluation and conclusion



# Problem

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## Introduction

### Key ideas

### Evaluation and conclusion

- Lists show up in often in programming
- We want a way to check program correctness
- There are several program verification tools, such as Viper, VeriFast, Iris, and VST that produce side conditions
- Therefore we need a good solver for lists



# What did we do?

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Introduction

Key ideas

Evaluation  
and  
conclusion

We create a system to solve theorems about lists

- Theoretical inference system
- Practical implementation in Coq



# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
- singleton
- append
- reverse
- length
- repeat
- take
- drop
- nth
- map
- update
- flip\_ends

## Example

List with numbers 1 until 4

[1; 2; 3; 4]



# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
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## Example

List with numbers 1 until 4

[1; 2; 3; 4]



# Allowed operators

## Introduction

### Key ideas

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## Example

Empty list

[ ]





# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
- **singleton**
- append
- reverse
- length
- repeat
- take
- drop
- nth
- map
- update
- flip\_ends

## Example

List containing one element

```
[[1]]
```



# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
- singleton
- **append**
- reverse
- length
- repeat
- take
- drop
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- map
- update
- flip\_ends

## Example

Concatenation of two lists

$$[1; 2] \text{ ++ } [3; 4] = [1; 2; 3; 4]$$



# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
- singleton
- append
- **reverse**
- length
- repeat
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## Example

The reverse of a list

$$\text{rev } [1; 2; 3; 4] = [4; 3; 2; 1]$$



# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
- singleton
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- **length**
- repeat
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## Example

Length of a list

$$\text{length } [1; 2; 3; 4] = 4$$



# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
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- length
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## Example

Give a list with  $n$  number of the same elements

`repeat 4 2 = [2; 2; 2; 2]`



# Allowed operators

## Introduction

### Key ideas

### Evaluation and conclusion

- nil
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- reverse
- length
- repeat
- **take**
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## Example

Take the first  $n$  elements of a list

$$\text{take } 2 \text{ [1; 2; 3; 4; 5]} = \text{[1; 2]}$$



# Allowed operators

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## Introduction

### Key ideas

### Evaluation and conclusion

- nil
- singleton
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- reverse
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- **drop**
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- map
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# Example

Introduction

Key ideas

Evaluation  
and  
conclusion

## Example

$$l_1 = l_3 \rightarrow$$

$$\text{rev } l_1 ++ \text{rev } l_2 = \text{rev } l_3 ++ \text{rev } l_4 \rightarrow$$

$$l_2 = l_4$$

Which we can also denote, in Coq's judgment style, as

## Example

$$\text{H1: } l_1 = l_3$$

$$\text{H2: } \text{rev } l_1 ++ \text{rev } l_2 = \text{rev } l_3 ++ \text{rev } l_4$$

$$\hline l_2 = l_4$$





# Example

Introduction

Key ideas

Evaluation  
and  
conclusion

## Example

$$\begin{aligned}l_1 &= l_3 \rightarrow \\ \text{rev } l_1 ++ \text{rev } l_2 &= \text{rev } l_3 ++ \text{rev } l_4 \rightarrow \\ l_2 &= l_4\end{aligned}$$

Which we can also denote, in Coq's judgment style, as

## Example

$$\frac{\begin{array}{l} \text{H1: } l_1 = l_3 \\ \text{H2: } \text{rev } l_1 ++ \text{rev } l_2 = \text{rev } l_3 ++ \text{rev } l_4 \end{array}}{l_2 = l_4}$$



# Prior work

Introduction

Key ideas

Evaluation  
and  
conclusion

	Own work	VST	SMT string theory
nil	x	x	x
append	x	x	x
reverse	x	x	
length	x	x	x
take	x	x	x
drop	x	x	x
nth	x	x	x
repeat	x	x	x
map	x	x	
update	x	x	x

Table: Supported operators of prior work



# Outline

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Introduction

Key ideas

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- 1 Introduction
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# Overview of key ideas

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Introduction

Key ideas

Evaluation  
and  
conclusion

- Operation rearrangement
- Reverse list assumptions
- List segmentation
- Take and drop substitution (At the end if time left)



# Operation rearrangement

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Introduction

Key ideas

Evaluation  
and  
conclusion

- Defined a (conditional) term rewrite system
- With the rewrite system we aim to place the operators in a certain order.
- Obtain a normal form

`[ ] > ++ > repeat > map > rev > drop > take > nth`



## Example operation rearrangement

We have, for example, the following rules:

$$\begin{aligned}\text{rev}(l_1 ++ l_2) &= \text{rev } l_2 ++ \text{rev } l_1 \\ \text{rev}(\text{repeat } n \ v) &= \text{repeat } n \ v\end{aligned}$$

### Example

We want to rewrite the following expression:

$$\text{rev}(l ++ (\text{repeat } n \ v))$$

We apply the first rule and get:

$$(\text{rev}(\text{repeat } n \ v)) ++ \text{rev } l$$

We apply the second rule and get:

$$(\text{repeat } n \ v) ++ \text{rev } l$$



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# Reverse list assumptions

## Lemma

$$l_1 = l_2 \rightarrow \text{rev } l_1 = \text{rev } l_2$$

We use this often in combination with the following rewrite rule:

$$\text{rev } (\text{rev } l) = l$$

This allows us to prove:

## Example

$$\frac{\text{rev } l_1 = \text{rev } l_2}{l_1 = l_2} \quad \Rightarrow \quad \frac{\text{rev } l_1 = \text{rev } l_2}{\text{rev } (\text{rev } l_1) = \text{rev } (\text{rev } l_2)} \quad \frac{}{l_1 = l_2}$$

# Reverse list assumptions

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# List segmentation

Main idea is using

## Lemma

$$\text{length } l_1 = \text{length } l_3 \rightarrow l_1 ++ l_2 = l_3 ++ l_4 \rightarrow (l_1 = l_3 \wedge l_2 = l_4)$$

We utilize this with

- Asserting hypotheses for the length of lists

$$l_1 = l_2 \rightarrow \text{length } l_1 = \text{length } l_2$$

- Using congruence closure algorithm



# Example, prove a theorem with the key ideas

Introduction

Key ideas

Evaluation  
and  
conclusion

We want to prove the following lemma:

Example

$$\frac{\begin{array}{l} \text{H1: } l_1 = l_3 \\ \text{H2: } \text{rev } l_1 ++ \text{rev } l_2 = \text{rev } l_3 ++ \text{rev } l_4 \end{array}}{l_2 = l_4}$$



## Example, prove a theorem with the key ideas

### Example

$$\begin{array}{c} \text{H1: } l_1 = l_3 \\ \text{H2: } \text{rev } l_1 ++ \text{rev } l_2 = \text{rev } l_3 ++ \text{rev } l_4 \\ \hline l_2 = l_4 \end{array}$$

We first take the reverse of list hypothesis H2. We will omit hypothesis H2, because of space on our slide.

### Example

$$\begin{array}{c} \text{H1: } l_1 = l_3 \\ \text{H3: } \text{rev}(\text{rev } l_1 ++ \text{rev } l_2) = \text{rev}(\text{rev } l_3 ++ \text{rev } l_4) \\ \hline l_2 = l_4 \end{array}$$



## Example, prove a theorem with the key ideas

### Example

$$\begin{array}{c} \text{H1: } l_1 = l_3 \\ \text{H2: } \text{rev } l_1 ++ \text{rev } l_2 = \text{rev } l_3 ++ \text{rev } l_4 \\ \hline l_2 = l_4 \end{array}$$

We first take the reverse of list hypothesis H2. We will omit hypothesis H2, because of space on our slide.

### Example

$$\begin{array}{c} \text{H1: } l_1 = l_3 \\ \text{H3: } \text{rev}(\text{rev } l_1 ++ \text{rev } l_2) = \text{rev}(\text{rev } l_3 ++ \text{rev } l_4) \\ \hline l_2 = l_4 \end{array}$$

## Example, prove a theorem with the key ideas

### Example

$$H1: l_1 = l_3$$

$$\frac{H3: \text{rev}(\text{rev } l_1 ++ \text{rev } l_2) = \text{rev}(\text{rev } l_3 ++ \text{rev } l_4)}{l_2 = l_4}$$

We then will apply operation rearrangement to obtain the normal forms in hypothesis H3. We first rewrite with the rule

$$\text{rev}(l ++ l') = \text{rev } l' ++ \text{rev } l.$$

### Example

$$H1: l_1 = l_3$$

$$\frac{H3: \text{rev}(\text{rev } l_2) ++ \text{rev}(\text{rev } l_1) = \text{rev}(\text{rev } l_4) ++ \text{rev}(\text{rev } l_3)}{l_2 = l_4}$$



## Example, prove a theorem with the key ideas

### Example

$$H1: l_1 = l_3$$

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## Example, prove a theorem with the key ideas

### Example

$$\frac{\begin{array}{l} \text{H1: } l_1 = l_3 \\ \text{H3: } \text{rev}(\text{rev } l_2) ++ \text{rev}(\text{rev } l_1) = \text{rev}(\text{rev } l_4) ++ \text{rev}(\text{rev } l_3) \end{array}}{l_2 = l_4}$$

We then rewrite with the rule

$$\text{rev}(\text{rev } l) = l.$$

### Example

$$\frac{\begin{array}{l} \text{H1: } l_1 = l_3 \\ \text{H3: } l_2 ++ l_1 = l_4 ++ l_3 \end{array}}{l_2 = l_4}$$

## Example, prove a theorem with the key ideas

### Example

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We then rewrite with the rule

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### Example

$$\frac{\begin{array}{l} \text{H1: } l_1 = l_3 \\ \text{H3: } l_2 ++ l_1 = l_4 ++ l_3 \end{array}}{l_2 = l_4}$$

# Example, prove a theorem with the key ideas

## Example

$$\begin{array}{l} \text{H1: } l_1 = l_3 \\ \text{H3: } l_2 ++ l_1 = l_4 ++ l_3 \\ \hline l_2 = l_4 \end{array}$$

To be able to apply the segmentation idea, we first have to obtain information about the length of the lists from hypothesis H1.

## Example

$$\begin{array}{l} \text{H4: length } l_1 = \text{length } l_3 \\ \text{H1: } l_1 = l_3 \\ \text{H3: } l_2 ++ l_1 = l_4 ++ l_3 \\ \hline l_2 = l_4 \end{array}$$

## Example, prove a theorem with the key ideas

### Example

$$\begin{array}{l} \text{H1: } l_1 = l_3 \\ \text{H3: } l_2 ++ l_1 = l_4 ++ l_3 \\ \hline l_2 = l_4 \end{array}$$

To be able to apply the segmentation idea, we first have to obtain information about the length of the lists from hypothesis H1.

### Example

$$\begin{array}{l} \text{H4: length } l_1 = \text{length } l_3 \\ \text{H1: } l_1 = l_3 \\ \text{H3: } l_2 ++ l_1 = l_4 ++ l_3 \\ \hline l_2 = l_4 \end{array}$$

## Example, prove a theorem with the key ideas

### Example

$$\text{H4: } \text{length } l_1 = \text{length } l_3$$

$$\text{H1: } l_1 = l_3$$

$$\begin{array}{r} \text{H3: } l_2 ++ l_1 = l_4 ++ l_3 \\ \hline l_2 = l_4 \end{array}$$

We now use our idea of segmentation:

$$\text{length } l_1 = \text{length } l_3 \rightarrow l_1 ++ l_2 = l_3 ++ l_4 \rightarrow (l_1 = l_3 \wedge l_2 = l_4)$$

With this idea we see that from the hypotheses follows that  $l_2 = l_4$ .



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Introduction

Key ideas

Evaluation  
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# Benchmarks

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Introduction

Key ideas

Evaluation  
and  
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We obtained three sorts of benchmarks

- Own creations (23)
- Coq's standard library and Iris extended standard library (10)
- VST (18)

A total of 51 benchmarks.



# Results

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Introduction

Key ideas

Evaluation  
and  
conclusion

	# of solved benchmarks
Own work	50
VST	37
SMT String Theory	21

Table: Number of solved benchmarks

Own work	60.10 sec
VST	1.02 sec

Table: Average time to solve one of the 37 overlapping lemmas



# Conclusion

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Introduction

Key ideas

Evaluation  
and  
conclusion

- Developed a solver with a wide solvability which is slow
- Provided a formal (inference) system that can be used to implement solvers for list theorems

Question?



# Take and drop substitution

$$l = \text{take } n \, l \, ++ \, \text{drop } n \, l$$

## Lemma

*We can choose new variables  $l_t$  and  $l_d$ , then the following will always hold:*

$$l = l_t \, ++ \, l_d \rightarrow$$

$$\text{length } l_t = \min(n, \text{length } l) \rightarrow$$

$$\text{length } l_d = \text{length } l - n \rightarrow$$

$$l_t = \text{take } n \, l \wedge l_d = \text{drop } n \, l$$

The goal is to substitute all occurrences of take and drop.

## Example, take and drop substitution

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### Example

$$\frac{\text{H1: length } l_1 = n}{\text{drop } n(l_1 ++ l_2) = l_2}$$

## Example, take and drop substitution

### Example

$$\frac{\text{H1: length } l_1 = n}{\text{drop } n(l_1 ++ l_2) = l_2}$$

We apply substitution

### Example

$$\begin{aligned} \text{H4: } l_1 ++ l_2 &= l_t ++ l_d \\ \text{H3: length } l_t &= n \\ \text{H2: length } l_d &= \text{length}(l_1 ++ l_2) - n \\ \frac{\text{H1: length } l_1 &= n}{l_d = l_2} \end{aligned}$$

## Example, take and drop substitution

### Example

$$\text{H4: } l_1 ++ l_2 = lt ++ ld$$

$$\text{H3: } \text{length } lt = n$$

$$\text{H2: } \text{length } ld = \text{length } (l_1 ++ l_2) - n$$

$$\text{H1: } \text{length } l_1 = n$$

$$\hline ld = l_2$$

We can now apply segmentation, because  $\text{length } l_1 = \text{length } lt$  and conclude the proof.

Question?